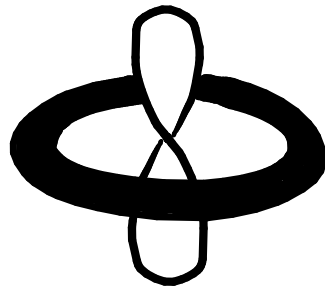
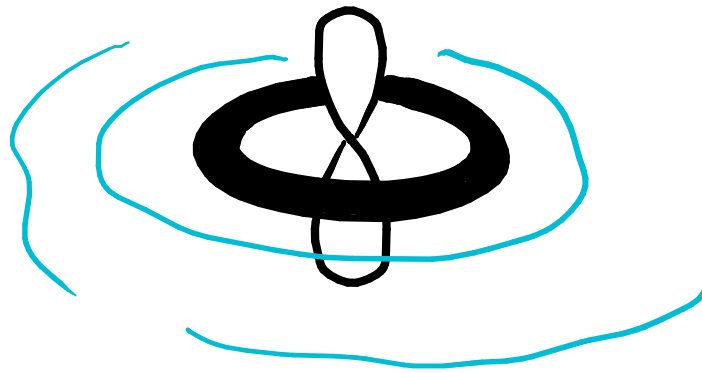


orbital relaxation pt.2

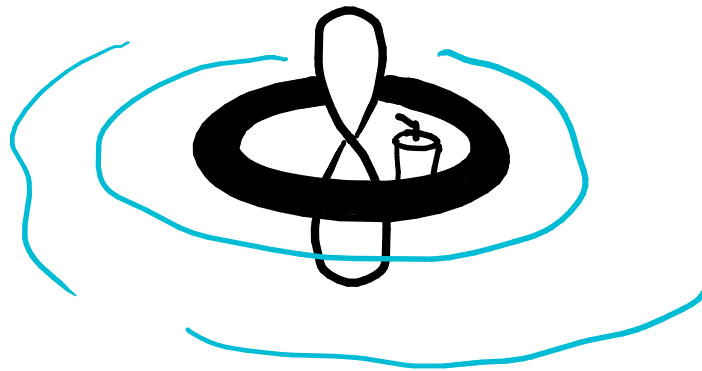
orbital relaxation pt.2



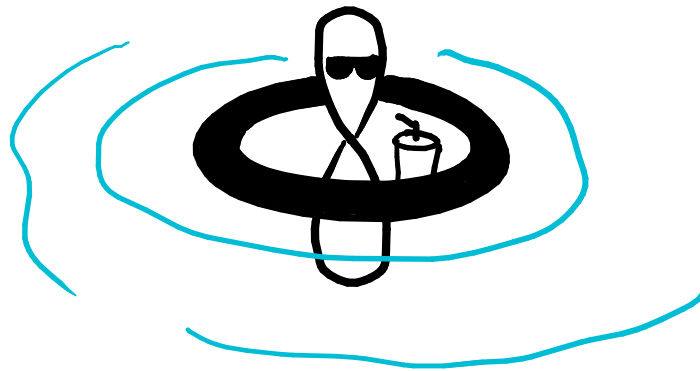
orbital relaxation pt.2



orbital relaxation pt.2



orbital relaxation pt.2



Newton-Raphson step:

Newton-Raphson step:

$$E(x)$$

Newton-Raphson step:

$$E(x) \approx E(0)$$

Newton-Raphson step:

$$E(\mathbf{x}) \approx E(0) + \mathbf{x}^T \mathbf{w}$$

Newton-Raphson step:

$$E(x) \approx E(0) + x^T w + \frac{1}{2} x^T A x$$

Newton-Raphson step:

$$E(x) \approx E(0) + x^T w + \frac{1}{2} x^T A x$$

↓

$$\left. \frac{\partial E}{\partial x^T} \right|_0$$

Newton-Raphson step:

$$E(x) \approx E(0) + x^T w + \frac{1}{2} x^T A x$$

$$\downarrow$$
$$\frac{\partial E}{\partial x^T} \Big|_0$$

$$\downarrow$$
$$\frac{\partial^2 E}{\partial x^T \partial x} \Big|_0$$

Newton-Raphson step:

$$E(x) \approx E(0) + x^T w + \frac{1}{2} x^T A x$$

$$\downarrow$$
$$\left. \frac{\partial E}{\partial x^T} \right|_0$$

$$\downarrow$$
$$\left. \frac{\partial^2 E}{\partial x^T \partial x} \right|_0$$

$$\frac{\partial E}{\partial x^T}(x)$$

Newton-Raphson step:

$$E(x) \approx E(0) + x^T w + \frac{1}{2} x^T A x$$
$$\downarrow \qquad \qquad \qquad \downarrow$$
$$\frac{\partial E}{\partial x^T} \Big|_0 \qquad \qquad \frac{\partial^2 E}{\partial x^T \partial x} \Big|_0$$

$$\frac{\partial E}{\partial x^T}(x) \approx w + Ax$$

Newton-Raphson step:

$$E(x) \approx E(0) + x^T w + \frac{1}{2} x^T A x$$
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\Rightarrow

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$$\Rightarrow x$$

Newton-Raphson step:

$$E(x) \approx E(0) + x^T w + \frac{1}{2} x^T A x$$
$$\downarrow \qquad \qquad \qquad \downarrow$$
$$\frac{\partial E}{\partial x^T} \Big|_0 \qquad \qquad \frac{\partial^2 E}{\partial x^T \partial x} \Big|_0$$

$$\frac{\partial E}{\partial x^T}(x) \approx w + Ax \stackrel{!}{=} 0$$

$$\Rightarrow x = -A^{-1}w$$

Orbital rotations:

Orbital rotations:

ψ^i

Orbital rotations:

$$\psi' = \psi U$$

Orbital rotations:

$$\psi' = \psi U$$

$$U = \exp(X - X^\dagger)$$

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$$a_p'^\dagger$$

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$$a_p'^\dagger = \sum_q a_q^\dagger (U)_{qp}$$

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$$\begin{aligned} a_p'^\dagger &= \sum_q a_q^\dagger (U)_{qp} \\ &= U a_p^\dagger U^\dagger \end{aligned}$$

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$$X = x_a^i a_i^a$$

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Note:

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Note: $a_p'^\dagger a_q'^\dagger$

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Note:
$$a_p'^\dagger a_q'^\dagger = U a_p^\dagger U^\dagger U a_q^\dagger U^\dagger$$

Orbital rotations:

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$$U = \exp(X - X^\dagger)$$

$$\begin{aligned} a_p'^\dagger &= \sum_q a_q^\dagger (U)_{qp} \\ &= U a_p^\dagger U^\dagger \end{aligned}$$

$$U = \exp(X - X^\dagger)$$

$$X = x_a^i a_i^a$$

Note: $a_p'^\dagger a_q'^\dagger = U a_p^\dagger \cancel{U^\dagger} U a_q^\dagger U^\dagger$

Orbital rotations:

$$\psi' = \psi U$$

$$U = \exp(X - X^\dagger)$$

$$\begin{aligned} a_p'^\dagger &= \sum_q a_q^\dagger (U)_{qp} \\ &= U a_p^\dagger U^\dagger \end{aligned}$$

$$U = \exp(X - X^\dagger)$$

$$X = x_a^i a_i^a$$

Note:

$$\begin{aligned} a_p'^\dagger a_q'^\dagger &= U a_p^\dagger \cancel{U^\dagger U} a_q^\dagger U^\dagger \\ &= U (a_p^\dagger a_q^\dagger) U^\dagger \end{aligned}$$

$$\Phi'_{(p_1 \cdots p_n)}$$

$$\Phi'_{(p_1 \dots p_n)} = a_{p_1}^{\dagger} a_{p_2}^{\dagger} \dots a_{p_n}^{\dagger} |vac\rangle$$

$$\begin{aligned}
\Phi'_{(p_1 \dots p_n)} &= a_{p_1}^{\dagger} a_{p_2}^{\dagger} \dots a_{p_n}^{\dagger} |vac\rangle \\
&= U a_{p_1}^{\dagger} a_{p_2}^{\dagger} \dots a_{p_n}^{\dagger} U^{\dagger} |vac\rangle
\end{aligned}$$

$$\Phi'_{(p_1 \dots p_n)} = a_{p_1}^{\dagger} a_{p_2}^{\dagger} \dots a_{p_n}^{\dagger} |vac\rangle$$

$$= U a_{p_1}^{\dagger} a_{p_2}^{\dagger} \dots a_{p_n}^{\dagger} \underbrace{U^{\dagger} |vac\rangle}_{|vac\rangle}$$

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$$= U \Phi_{(p_1 \dots p_n)}$$

$$\begin{aligned}
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&= U a_{p_1}^{\dagger} a_{p_2}^{\dagger} \dots a_{p_n}^{\dagger} \underbrace{U^{\dagger} |vac\rangle}_{|vac\rangle} \\
&= U \Phi_{(p_1 \dots p_n)}
\end{aligned}$$

In general:

$$\begin{aligned}
\Phi'_{(p_1 \dots p_n)} &= a_{p_1}^{\dagger} a_{p_2}^{\dagger} \dots a_{p_n}^{\dagger} |vac\rangle \\
&= U a_{p_1}^{\dagger} a_{p_2}^{\dagger} \dots a_{p_n}^{\dagger} \underbrace{U^{\dagger} |vac\rangle}_{|vac\rangle} \\
&= U \Phi_{(p_1 \dots p_n)}
\end{aligned}$$

In general: Ψ'

$$\begin{aligned}
\Phi'_{(p_1 \dots p_n)} &= a_{p_1}^{\dagger} a_{p_2}^{\dagger} \dots a_{p_n}^{\dagger} |vac\rangle \\
&= U a_{p_1}^{\dagger} a_{p_2}^{\dagger} \dots a_{p_n}^{\dagger} \underbrace{U^{\dagger} |vac\rangle}_{|vac\rangle} \\
&= U \Phi_{(p_1 \dots p_n)}
\end{aligned}$$

In general: $\Psi' = U \Psi$

$$\langle \psi' | H | \psi' \rangle$$

$$\langle \psi' | H | \psi' \rangle = \langle \psi | U^\dagger H U | \psi \rangle$$

$$\langle \Psi' | H | \Psi' \rangle = \langle \Psi | U^\dagger H U | \Psi \rangle$$

$$= \langle \Psi | \exp(X^\dagger - X) H \exp(X - X^\dagger) | \Psi \rangle$$

$$\langle \Psi' | H | \Psi' \rangle = \langle \Psi | U^\dagger H U | \Psi \rangle$$

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 \end{aligned}$$

w_{ia}

$$\begin{aligned}
 \langle \Psi' | H | \Psi' \rangle &= \langle \Psi | U^\dagger H U | \Psi \rangle \\
 &= \underbrace{\langle \Psi | \exp(X^\dagger - X) H \exp(X - X^\dagger) | \Psi \rangle}_{E(\mathbf{x})}
 \end{aligned}$$

$$w_{ia} = \left. \frac{\partial E(\mathbf{x})}{\partial x_a^{i*}} \right|_0$$

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 \langle \Psi' | H | \Psi' \rangle &= \langle \Psi | U^\dagger H U | \Psi \rangle \\
 &= \underbrace{\langle \Psi | \exp(X^\dagger - X) H \exp(X - X^\dagger) | \Psi \rangle}_{E(\mathbf{x})}
 \end{aligned}$$

$$\begin{aligned}
 w_{ia} &= \left. \frac{\partial E(\mathbf{x})}{\partial x_a^{i*}} \right|_0 \\
 &= \langle \Psi | \left. \frac{\partial e^{X^\dagger - X}}{\partial x_a^{i*}} \right|_0 H + H \left. \frac{\partial e^{X - X^\dagger}}{\partial x_a^{i*}} \right|_0 | \Psi \rangle
 \end{aligned}$$

$$\begin{aligned}
 \langle \Psi' | H | \Psi' \rangle &= \langle \Psi | U^\dagger H U | \Psi \rangle \\
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 &= \langle \Psi | a_a^i H - H a_a^i | \Psi \rangle
 \end{aligned}$$

Orb. gradient:

Orb. gradient: $w_{ia} = \langle \Psi | [a_i^\dagger, H] | \Psi \rangle$

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Orb. Hessian:

Orb. gradient: $w_{ia} = \langle \Psi | [a_i^\dagger, H] | \Psi \rangle$

Orb. Hessian:

$$A_{ia,jb}$$

Orb. gradient: $w_{ia} = \langle \Psi | [a_a^\dagger, H] | \Psi \rangle$

Orb. Hessian:

$$A_{ia,jb} = \left. \frac{\partial^2 E(\mathbf{x})}{\partial x_a^{i*} \partial x_b^j} \right|_0$$

Orb. gradient: $w_{ia} = \langle \Psi | [a_a^i, H] | \Psi \rangle$

Orb. Hessian:

$$A_{ia,jb} = \left. \frac{\partial^2 E(\mathbf{x})}{\partial x_a^{i*} \partial x_b^j} \right|_0$$

$$= \langle \Psi | [[a_a^i, H], a_j^b] | \Psi \rangle$$

Density matrices:

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E

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$$E = \langle \Psi | h_p^q a_q^p + \frac{1}{4} \bar{g}_{pq}^{rs} a_{rs}^{pq} | \Psi \rangle$$

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$$\begin{aligned} E &= \langle \Psi | h_p^q a_q^p + \frac{1}{4} \bar{g}_{pq}^{rs} a_{rs}^{pq} | \Psi \rangle \\ &= h_p^q \langle \Psi | a_q^p | \Psi \rangle + \frac{1}{4} \bar{g}_{pq}^{rs} \langle \Psi | a_{rs}^{pq} | \Psi \rangle \end{aligned}$$

Density matrices:

$$\begin{aligned} E &= \langle \Psi | h_p^q a_q^p + \frac{1}{4} \bar{g}_{pq}^{rs} a_{rs}^{pq} | \Psi \rangle \\ &= h_p^q \underbrace{\langle \Psi | a_q^p | \Psi \rangle}_{\gamma_q^p} + \frac{1}{4} \bar{g}_{pq}^{rs} \langle \Psi | a_{rs}^{pq} | \Psi \rangle \end{aligned}$$

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To express orb. grad. in terms of d.m.s.,

Density matrices:

$$\begin{aligned} E &= \langle \Psi | h_p^q a_q^p + \frac{1}{4} \bar{g}_{pq}^{rs} a_{rs}^{pq} | \Psi \rangle \\ &= h_p^q \underbrace{\langle \Psi | a_q^p | \Psi \rangle}_{\gamma_q^p} + \frac{1}{4} \bar{g}_{pq}^{rs} \underbrace{\langle \Psi | a_{rs}^{pq} | \Psi \rangle}_{\gamma_{rs}^{pq}} \end{aligned}$$

To express orb. grad. in terms of d.m.s,
evaluate $[a_a^i, a_q^p]$ and $[a_a^i, a_{rs}^{pq}]$

$$[a_a^i, a_q^p]$$

$$[a_a^i, a_q^p] = \delta_a^p a_q^i - \delta_q^i a_a^p$$

$$[a_a^i, a_q^p] = \delta_a^p a_q^i - \delta_q^i a_a^p$$

$$[a_a^i, a_{rs}^{pq}]$$

$$[a_a^i, a_q^p] = \delta_a^p a_q^i - \delta_q^i a_a^p$$

$$[a_a^i, a_{rs}^{pq}] = p^{(q'q)} \delta_a^p a_{rs}^{iq} - p_{(r|s)} \delta_r^i a_{as}^{pq}$$

$$[a_a^i, a_q^p] = \delta_a^p a_q^i - \delta_q^i a_a^p$$

$$[a_a^i, a_{rs}^{pq}] = p^{(q'q)} \delta_a^p a_{rs}^{iq} - p_{(r|s)} \delta_r^i a_{as}^{pq}$$

\Rightarrow

$$[a_a^i, a_q^p] = \delta_a^p a_q^i - \delta_q^i a_a^p$$

$$[a_a^i, a_{rs}^{pq}] = p^{(q'q)} \delta_a^p a_{rs}^{iq} - p_{(r|s)} \delta_r^i a_{as}^{pq}$$

$$\Rightarrow w_{ia}$$

$$[a_a^i, a_q^p] = \delta_a^p a_q^i - \delta_q^i a_a^p$$

$$[a_a^i, a_{rs}^{pq}] = p^{(q'q)} \delta_a^p a_{rs}^{iq} - p_{(r|s)} \delta_r^i a_{as}^{pq}$$

$$\Rightarrow w_{ia} = \langle \psi | h_p^q [a_a^i, a_q^p] + \frac{1}{4} \bar{g}_{pq}^{rs} [a_a^i, a_{rs}^{pq}] | \psi \rangle$$

$$[a_a^i, a_q^p] = \delta_a^p a_q^i - \delta_q^i a_a^p$$

$$[a_a^i, a_{rs}^{pq}] = p^{(q'q)} \delta_a^p a_{rs}^{iq} - p_{(r|s)} \delta_r^i a_{as}^{pq}$$

$$\begin{aligned} \Rightarrow w_{ia} &= \langle \psi | h_p^q [a_a^i, a_q^p] + \frac{1}{4} \bar{g}_{pq}^{rs} [a_a^i, a_{rs}^{pq}] | \psi \rangle \\ &= h_a^q \gamma_q^i - h_p^i \gamma_a^p \end{aligned}$$

$$[a_a^i, a_q^p] = \delta_a^p a_q^i - \delta_q^i a_a^p$$

$$[a_a^i, a_{rs}^{pq}] = p^{(q'q)} \delta_a^p a_{rs}^{iq} - p_{(r|s)} \delta_r^i a_{as}^{pq}$$

$$\Rightarrow w_{ia} = \langle \psi | h_p^q [a_a^i, a_q^p] + \frac{1}{4} \bar{g}_{pq}^{rs} [a_a^i, a_{rs}^{pq}] | \psi \rangle$$

$$= h_a^q \gamma_q^i - h_p^i \gamma_a^p + \frac{1}{2} \bar{g}_{aq}^{rs} \gamma_{rs}^{iq} - \frac{1}{2} \bar{g}_{pq}^{is} \gamma_{as}^{pq}$$

$$[a_a^i, a_q^p] = \delta_a^p a_q^i - \delta_q^i a_a^p$$

$$[a_a^i, a_{rs}^{pq}] = p^{(q'q)} \delta_a^p a_{rs}^{iq} - p_{(r|s)} \delta_r^i a_{as}^{pq}$$

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$$[a_a^i, a_q^p] = \delta_a^p a_q^i - \delta_q^i a_a^p$$

$$[a_a^i, a_{rs}^{pq}] = p^{(q'q)} \delta_a^p a_{rs}^{iq} - p_{(r|s)} \delta_r^i a_{as}^{pq}$$

$$\Rightarrow w_{ia} = \langle \psi | h_p^q [a_a^i, a_q^p] + \frac{1}{4} \bar{g}_{pq}^{rs} [a_a^i, a_{rs}^{pq}] | \psi \rangle$$

$$= \underbrace{h_a^q \gamma_q^i - h_p^i \gamma_a^p + \frac{1}{2} \bar{g}_{aq}^{rs} \gamma_{rs}^{iq}}_{(F)_a^i} - \frac{1}{2} \bar{g}_{pq}^{is} \gamma_{as}^{pq}$$

$$[a_a^i, a_q^p] = \delta_a^p a_q^i - \delta_q^i a_a^p$$

$$[a_a^i, a_{rs}^{pq}] = p^{(q'q)} \delta_a^p a_{rs}^{iq} - p_{(r|s)} \delta_r^i a_{as}^{pq}$$

$$\Rightarrow w_{ia} = \langle \psi | h_p^q [a_a^i, a_q^p] + \frac{1}{4} \bar{g}_{pq}^{rs} [a_a^i, a_{rs}^{pq}] | \psi \rangle$$

$$= \underbrace{h_a^q \gamma_q^i - h_p^i \gamma_a^p + \frac{1}{2} \bar{g}_{aq}^{rs} \gamma_{rs}^{iq}}_{(F)_a^i} - \frac{1}{2} \bar{g}_{pq}^{is} \gamma_{as}^{pq}$$

$$[a_a^i, a_q^p] = \delta_a^p a_q^i - \delta_q^i a_a^p$$

$$[a_a^i, a_{rs}^{pq}] = p^{(q'q)} \delta_a^p a_{rs}^{iq} - p_{(r|s)} \delta_r^i a_{as}^{pq}$$

$$\Rightarrow w_{ia} = \langle \psi | h_p^q [a_a^i, a_q^p] + \frac{1}{4} \bar{g}_{pq}^{rs} [a_a^i, a_{rs}^{pq}] | \psi \rangle$$

$$= \underbrace{h_a^q \gamma_q^i - h_p^i \gamma_a^p}_{(F)_a^i} + \underbrace{\frac{1}{2} \bar{g}_{aq}^{rs} \gamma_{rs}^{iq} - \frac{1}{2} \bar{g}_{pq}^{is} \gamma_{as}^{pq}}_{(F^+)_a^i}$$

Orb Hessian

Orb Hessian

$$[[a_a^i, a_q^p], a_j^b]$$

$$[[a_a^i, a_{rs}^{pq}], a_j^b]$$

Orb Hessian

$$[[a_a^i, a_q^p], a_j^b] =$$

$$[[a_a^i, a_{rs}^{pq}], a_j^b] =$$

Orb Hessian

$$[[a_a^i, a_q^p], a_j^b] = \text{tedious.}$$

$$[[a_a^i, a_{rs}^{pq}], a_j^b] =$$

Orb Hessian

$$[[a_a^i, a_q^p], a_j^b] =$$

tedious. Cheat!

$$[[a_a^i, a_{rs}^{pq}], a_j^b] =$$

Orb Hessian

$$[[a_a^i, a_q^p], a_j^b] = \text{tedious. Cheat!}$$

$$[[a_a^i, a_{rs}^{pq}], a_j^b] =$$

$$A_{ia,jb}$$

Orb Hessian

$$[[a_a^i, a_q^p], a_j^b] = \text{tedious. Cheat!}$$

$$[[a_a^i, a_{rs}^{pq}], a_j^b] =$$

$$A_{ia,jb} \approx \langle \Psi | [[a_a^i, H], a_j^b] | \Psi \rangle^{(0)}$$

Orb Hessian

$$[[a_a^i, a_q^p], a_j^b] = \text{tedious. Cheat!}$$

$$[[a_a^i, a_{rs}^{pq}], a_j^b] =$$

$$A_{ia,jb} \approx \langle \Psi | [[a_a^i, H], a_j^b] | \Psi \rangle^{(0)} \\ = \langle \Phi | [[a_a^i, H_0], a_j^b] | \Phi \rangle$$

Orb Hessian

$$[[a_a^i, a_q^p], a_j^b] = \text{tedious. Cheat!}$$

$$[[a_a^i, a_{rs}^{pq}], a_j^b] =$$

$$A_{ia,jb} \approx \langle \Psi | [[a_a^i, H], a_j^b] | \Psi \rangle^{(0)}$$

$$= \langle \Phi | [[a_a^i, H_0], a_j^b] | \Phi \rangle$$

$$= \langle \Phi_i^a | H_0 | \Phi_j^b \rangle$$

Orb Hessian

$$[[a_a^i, a_q^p], a_j^b] = \text{tedious. Cheat!}$$

$$[[a_a^i, a_{rs}^{pq}], a_j^b] =$$

$$A_{ia,jb} \approx \langle \Psi | [[a_a^i, H], a_j^b] | \Psi \rangle^{(0)}$$

$$= \langle \Phi | [[a_a^i, H_0], a_j^b] | \Phi \rangle$$

$$= \langle \Phi_i^a | H_0 | \Phi_j^b \rangle$$

$$= \varepsilon_j^b \langle \Phi_i^a | \Phi_j^b \rangle$$

Orbital Newton-Raphson step:

Orbital Newton-Raphson step:

$$\chi_a^i$$

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General OO algorithm:

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3. Newton-Raphson step:

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$$x_a^i = \frac{(F - F^+)_a^i}{\varepsilon_a^i}$$

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5. If E not converged, return to 1.

the end.