

# Second quantization

The wavefunction is fully represented  
by a linear combination of Slater  
determinants

$$|\Psi\rangle = \sum_{p_1 < \dots < p_N} c_p |\Phi_{p_1 \dots p_N}\rangle$$

$$E = \langle \Phi | \sum_i \hat{h}(i) + \sum_{i < j} \hat{g}(i, j) | \Phi \rangle$$

- System dependent representation
- Have to keep track of anti-symmetry properties

# What do we need to know to uniquely define a determinant?

$$\Phi_{p_1 \cdots p_N}$$

- We need to know which spin-orbitals are occupied in our basis (indices  $p_1 \dots p_N$ )
- Assign an occupation number (ON) to each spin orbital: 1 for occupied, 0 for unoccupied

$$|\mathbf{n}\rangle = |n_1 \ n_2 \ \cdots \ n_p \ \cdots \ n_M\rangle$$

- ON vector follows vector algebra



# Operators in the ON formalism

- Annihilation operator

$$m = \sum_{k=1}^{p-1} n_k$$

$$a_p |\cdots n_p \cdots\rangle = (-1)^m n_p |\cdots (1 - n_p) \cdots\rangle$$

- Annihilation operator acting on a single particle state gives the true vacuum

- Creation operator

$$a_p^\dagger |\cdots n_p \cdots\rangle = (-1)^m (1 - n_p) |\cdots (1 - n_p) \cdots\rangle$$

# Relating the determinant and ON formalism

- For ordered indices:

$$|\Phi_{p_1 \cdots p_N}\rangle = |\mathbf{n}_{p_1 \cdots p_N}\rangle = |\cdots n_p \cdots\rangle$$

$$n_p = 0 \text{ if } p \notin \{p_1 \cdots p_N\}$$

$$n_p = 1 \text{ if } p \in \{p_1 \cdots p_N\}$$

- For arbitrary indices:

$$|\Phi_{p_1 \cdots p_N}\rangle = (-1)^R |\mathbf{n}_{p_1 \cdots p_N}\rangle$$

# Anticommutation relations

$$\{\hat{A}, \hat{B}\} = \hat{A}\hat{B} + \hat{B}\hat{A}$$

$$\{a_p, a_q\} = 0$$

$$\{a_p^\dagger, a_q^\dagger\} = 0$$

$$\{a_p^\dagger, a_q\} = \delta_{pq}$$

# Operators acting on the determinant

$$a_p \Phi_{(p_1 \dots p_N)} = (-)^{k-1} \Phi_{(p_1 \dots \cancel{p_k} \dots p_N)} \text{ if } p = p_k \in (p_1 \dots p_N)$$

$$a_p \Phi_{(p_1 \dots p_N)} = 0 \text{ if } p \notin (p_1 \dots p_N)$$

$$a_p^\dagger \Phi_{(p_1 \dots p_N)} = (-)^{k-1} \Phi_{(p_1 \dots p_{k-1} p p_k \dots p_N)} \text{ if } p \notin (p_1 \dots p_N)$$

$$a_p^\dagger \Phi_{(p_1 \dots p_N)} = 0 \text{ if } p \in (p_1 \dots p_N)$$

The determinant can be represented by a string of creation operators

- For arbitrary indices:

$$|\Phi_{p_1 \cdots p_N}\rangle = a_{p_1}^\dagger \cdots a_{p_N}^\dagger |0\rangle$$

- Anti-symmetry is built into anticommutation relations
- “Second quantization”



# Operators acting on the determinant

$$a_p \Phi_{(p_1 \dots p_N)} = (-)^{k-1} \Phi_{(p_1 \dots \cancel{p_k} \dots p_N)} \text{ if } p = p_k \in (p_1 \dots p_N)$$

$$a_p \Phi_{(p_1 \dots p_N)} = 0 \text{ if } p \notin (p_1 \dots p_N)$$



$$a_q \prod a^\dagger |0\rangle = \sum_i^N (-1)^{i-1} \delta_{qp_i} \prod^{p_i} a^\dagger |0\rangle$$

All quantum mechanical operators can be constructed from  $a_p$  and  $a_p^\dagger$

- We have a way to write determinants in terms of creation and annihilation operators
- Now, we need to find a way to write the Hamiltonian in terms of creation and annihilation operators

$$\hat{H} = \hat{O}_1 + \hat{O}_2$$


$$\hat{H} = \sum_i \hat{h}(i) + \frac{1}{2} \sum_{ij} \hat{g}(i, j)$$

# Deriving the 1-electron operator

$$\hat{O}_1 \prod a^\dagger |0\rangle = \hat{O}_1 \Phi_{p_1 \dots p_N} = \hat{O}_1 \sqrt{N!} \hat{A}(\psi_{p_1} \dots \psi_{p_N})$$

$$= \boxed{\sqrt{N!} \hat{A} \hat{O}_1}(\psi_1 \dots \psi_N)$$

$$= \sqrt{N!} \hat{A} \sum_i^N \hat{h}(\mathbf{r}_i)(\psi_1 \dots \psi_N)$$

$$= \sqrt{N!} \hat{A} \sum_i^N \hat{h}(\mathbf{r}_i) \psi_{p_i}(\mathbf{r}_i) (\psi_{p_1} \dots \cancel{\psi_{p_i}} \dots \psi_{p_N})$$


Resolution of the  
identity!

$$= \sqrt{N!} \hat{A} \sum_i^N \sum_p \langle p | \hat{h} | p_i \rangle \psi_p (\psi_{p_1} \dots \cancel{\psi_{p_i}} \dots \psi_{p_N})$$

$$\begin{aligned}
&= \sqrt{N!} \hat{A} \sum_i^N \sum_p \langle p | \hat{h} | p_i \rangle \psi_p (\psi_{p_1} \cdots \cancel{\psi_{p_i}} \cdots \psi_{p_N}) \\
&= \sqrt{N!} \hat{A} \sum_i^N \sum_p \langle p | \hat{h} | p_i \rangle (\psi_{p_1} \cdots \psi_{p_{i-1}} \boxed{\psi_p} \psi_{p_{i+1}} \cdots \psi_{p_N}) \\
&= \sum_i^N \sum_p \langle p | \hat{h} | p_i \rangle \sqrt{N!} \hat{A} (\psi_{p_1} \cdots \psi_{p_{i-1}} \psi_p \psi_{p_{i+1}} \cdots \psi_{p_N}) \\
&= \sum_i^N \sum_p \langle p | \hat{h} | p_i \rangle \Phi_{p_1 \cdots p_{i-1} p p_{i+1} \cdots p_N} \\
&= \sum_i^N \sum_p \langle p | \hat{h} | p_i \rangle a_{p_1}^\dagger \cdots a_{p_{i-1}}^\dagger a_p^\dagger a_{p_{i+1}}^\dagger \cdots a_{p_N}^\dagger |0\rangle \\
&= \sum_i^N \sum_p \langle p | \hat{h} | p_i \rangle a_p^\dagger (-1)^{i-1} a_{p_1}^\dagger \cdots a_{p_{i-1}}^\dagger a_{p_{i+1}}^\dagger \cdots a_{p_N}^\dagger |0\rangle
\end{aligned}$$

$$= \sum_i^N \sum_p \langle p | \hat{h} | p_i \rangle \textcolor{blue}{a}_p^\dagger (-1)^{i-1} a_{p_1}^\dagger \cdots a_{p_{i-1}}^\dagger a_{p_{i+1}}^\dagger \cdots a_{p_N}^\dagger |0\rangle$$

$$= \sum_i^N \sum_{p\textcolor{blue}{q}} \langle p | \hat{h} | \textcolor{blue}{q} \rangle \langle \textcolor{blue}{q} | p_i \rangle a_p^\dagger (-1)^{i-1} a_{p_1}^\dagger \cdots a_{p_{i-1}}^\dagger a_{p_{i+1}}^\dagger \cdots a_{p_N}^\dagger |0\rangle$$

$$= \sum_i^N \sum_{pq} \langle p | \hat{h} | q \rangle \textcolor{red}{\delta}_{qp_i} a_p^\dagger (-1)^{i-1} a_{p_1}^\dagger \cdots a_{p_{i-1}}^\dagger a_{p_{i+1}}^\dagger \cdots a_{p_N}^\dagger |0\rangle$$

$$= \sum_{pq} \langle p | \hat{h} | q \rangle a_p^\dagger \sum_i^N \textcolor{blue}{\delta}_{qp_i} (-1)^{i-1} a_{p_1}^\dagger \cdots a_{p_{i-1}}^\dagger a_{p_{i+1}}^\dagger \cdots a_{p_N}^\dagger |0\rangle$$

$$a_q \prod a^\dagger |0\rangle = \sum_i^N (-1)^{i-1} \delta_{qp_i} \prod^{p_i} a^\dagger |0\rangle$$

$$= \sum_{pq} \langle p | \hat{h} | q \rangle a_p^\dagger \sum_i^N \delta_{qp_i} (-1)^{i-1} a_{p_1}^\dagger \cdots a_{p_{i-1}}^\dagger a_{p_{i+1}}^\dagger \cdots a_{p_N}^\dagger |0\rangle$$

$$= \sum_{pq} \langle p | \hat{h} | q \rangle a_p^\dagger a_q \prod a^\dagger |0\rangle$$

$$\hat{O}_1 \prod a^\dagger |0\rangle = \sum_{pq} \langle p | \hat{h} | q \rangle a_p^\dagger a_q \prod a^\dagger |0\rangle$$

$$\hat{O}_1 = \sum_{pq} \langle p | \hat{h} | q \rangle a_p^\dagger a_q$$

# Two-electron operator

$$\hat{O}_2 = \frac{1}{2} \sum_{pqrs} \langle pq | \hat{g} | rs \rangle a_p^\dagger a_q^\dagger a_s a_r$$



**Note order of indices!!**

# Final Hamiltonian

$$\hat{H} = \sum_{pq} \langle p | \hat{h} | q \rangle a_p^\dagger a_q + \frac{1}{2} \sum_{pqrs} \langle pq | \hat{g} | rs \rangle a_p^\dagger a_q^\dagger a_s a_r$$

- Independent of system size!
- What is the effect of the Hamiltonian on the determinant?



# General N-body operator

$$\hat{O}_k = \frac{1}{k!} \sum_{\substack{p_1 \cdots p_k \\ q_1 \cdots q_k}} \langle p_1 \cdots p_k | \hat{o}_k | q_1 \cdots q_k \rangle a_{p_1}^\dagger \cdots a_{p_k}^\dagger a_{q_k} \cdots a_{q_1}$$

**Matrix  
elements are  
non-symmetric!**

- Anti-symmetrized form:

$$\hat{O}_k = \left( \frac{1}{k!} \right)^2 \sum_{\substack{p_1 \cdots p_k \\ q_1 \cdots q_k}} \langle p_1 \cdots p_k | \hat{o}_k | q_1 \cdots q_k \rangle_{\mathcal{A}} a_{p_1}^\dagger \cdots a_{p_k}^\dagger a_{q_k} \cdots a_{q_1}$$

$$\hat{O}_2 = \left( \frac{1}{2!} \right)^2 \sum_{pqrs} \langle pq | \hat{g} | rs \rangle_{\mathcal{A}} a_p^\dagger a_q^\dagger a_s a_r = \frac{1}{4} \sum_{pqrs} (\langle pq | \hat{g} | rs \rangle - \langle pq | \hat{g} | sr \rangle) a_p^\dagger a_q^\dagger a_s a_r$$

# Some notation

$$\hat{H} = \sum_{pq} \underbrace{\langle p|\hat{h}|q\rangle}_{h_{pq}} a_p^\dagger a_q + \frac{1}{2} \sum_{pqrs} \underbrace{\langle pq|\hat{g}|rs\rangle}_{\langle pq|rs\rangle} a_p^\dagger a_q^\dagger a_s a_r$$

$$\hat{H} = \sum_{pq} h_{pq} a_p^\dagger a_q + \frac{1}{2} \sum_{pqrs} \langle pq|rs\rangle a_p^\dagger a_q^\dagger a_s a_r$$

$$\hat{H} = \sum_{pq} h_{pq} a_p^\dagger a_q + \frac{1}{4} \sum_{pqrs} \underbrace{\langle pq||rs\rangle}_{\langle pq|\hat{g}|rs\rangle - \langle pq|\hat{g}|sr\rangle} a_p^\dagger a_q^\dagger a_s a_r$$

# General remarks

- We can now express both the determinant and the Hamiltonian in terms of strings of creation and annihilation operators

$$|\Phi_{p_1 \dots p_N}\rangle = a_{p_1}^\dagger \cdots a_{p_N}^\dagger |0\rangle$$

$$\hat{H} = \sum_{pq} h_{pq} a_p^\dagger a_q + \frac{1}{4} \sum_{pqrs} \langle pq || rs \rangle a_p^\dagger a_q^\dagger a_s a_r$$

- Major mathematical manipulations boils down to finding the expectation value of creation and annihilation operators in the vacuum

$$E = \sum_{\substack{p_1 < \dots < p_N \\ q_1 < \dots < q_N}} \langle \Phi_{q_1 \dots q_N} | c_q^* \hat{H} c_p | \Phi_{p_1 \dots p_N} \rangle = \sum_{\substack{p_1 < \dots < p_N \\ q_1 < \dots < q_N}} c_q^* c_p \langle \Phi_{q_1 \dots q_N} | \hat{H} | \Phi_{p_1 \dots p_N} \rangle$$

# Example

$$\langle \Phi_t | \hat{O}_1 | \Phi_t \rangle = \langle \Phi_t | \sum_{pq} h_{pq} a_p^\dagger a_q | \Phi_t \rangle$$

$$\langle \Phi_t | \hat{O}_2 | \Phi_t \rangle = \frac{1}{4} \langle \Phi_t | \sum_{pqrs} \langle pq || rs \rangle a_p^\dagger a_q^\dagger a_s a_r | \Phi_t \rangle$$

$$\{a_p, a_q\} = 0$$

$$\{a_p^\dagger, a_q^\dagger\} = 0$$

$$\{a_p^\dagger, a_q\} = \delta_{pq}$$

# Example

$$\langle \Phi_{rs} | \hat{h} | \Phi_{rs} \rangle = \langle \Phi_{rs} | \sum_{pq} h_{pq} a_p^\dagger a_q | \Phi_{rs} \rangle$$

$$\{a_p, a_q\} = 0$$

$$\{a_p^\dagger, a_q^\dagger\} = 0$$

$$\{a_p^\dagger, a_q\} = \delta_{pq}$$

$$\langle \Phi_{rs} | a_r^\dagger a_q | \Phi_{rs} \rangle$$

$$\langle 0 | a_s a_r a_p^\dagger a_q a_r^\dagger a_s^\dagger | 0 \rangle$$

$$\langle 0 | a_s a_r a_p^\dagger a_q a_r^\dagger a_s^\dagger | 0 \rangle$$

$$\langle 0 | a_s (\delta_{pr} - a_p^\dagger a_r) a_q a_r^\dagger a_s^\dagger | 0 \rangle$$

$$\delta_{pr} \langle 0 | a_s a_q a_r^\dagger a_s^\dagger | 0 \rangle - \langle 0 | a_s a_p^\dagger a_r a_q a_r^\dagger a_s^\dagger | 0 \rangle$$

$$\delta_{pr} \langle 0 | a_s (\delta_{qr} - a_q^\dagger a_r) a_s^\dagger | 0 \rangle - \langle 0 | (\delta_{sq} - a_s^\dagger a_q) a_r a_q a_r^\dagger a_s^\dagger | 0 \rangle$$

$$\delta_{pr} \delta_{qr} \langle 0 | a_s a_s^\dagger | 0 \rangle - \delta_{pr} \langle 0 | a_s a_q^\dagger a_r a_s^\dagger | 0 \rangle - \delta_{ps} \langle 0 | a_r a_q a_r^\dagger a_s^\dagger | 0 \rangle + \langle 0 | a_p^\dagger a_s a_r a_q a_r^\dagger a_s^\dagger | 0 \rangle$$

$(\delta_{ss} - a_s^\dagger a_s) \quad (\delta_{sr} - a_r^\dagger a_s) \quad (\delta_{qr} - a_r^\dagger a_q)$

$$\delta_{pr} \delta_{qr} \delta_{ss} - \langle 0 | a_s a_s^\dagger | 0 \rangle - \delta_{pr} \delta_{sr} \langle 0 | a_q a_s^\dagger | 0 \rangle + \delta_{ps} \langle 0 | a_r^\dagger a_s a_q a_s^\dagger | 0 \rangle - \delta_{ps} \delta_{qr} \langle 0 | a_r a_s^\dagger | 0 \rangle + \delta_{ps} \langle 0 | a_r a_q^\dagger a_r a_s^\dagger | 0 \rangle$$

$(\delta_{qs} - a_s^\dagger a_q) \quad (\delta_{rs} - a_s^\dagger a_r) \quad (\delta_{qr} - a_r^\dagger a_r)$

$$-\delta_{pr} \delta_{sr} \delta_{qs} + \delta_{pr} \delta_{sr} \langle 0 | a_s^\dagger a_q | 0 \rangle - \delta_{ps} \delta_{qr} \delta_{rs} + \delta_{ps} \delta_{qr} \langle 0 | a_s^\dagger a_r | 0 \rangle + \delta_{ps} \delta_{rr} \langle 0 | a_q a_s^\dagger | 0 \rangle - \delta_{ps} \langle 0 | a_r^\dagger a_r a_q a_s^\dagger | 0 \rangle$$

$(\delta_{qs} - a_s^\dagger a_q) \quad (\delta_{ps} \delta_{rr} \delta_{qs} - \delta_{ps} \delta_{rr} \langle 0 | a_s^\dagger a_q | 0 \rangle)$

$$\sum_{pq} h_{pq} \langle \Phi_{rs} | a_p^\dagger a_q | \Phi_{rs} \rangle = \sum_{pq} h_{pq} (\delta_{pr} \delta_{qr} \delta_{ss} - \delta_{pr} \delta_{sr} \delta_{qs} - \delta_{ps} \delta_{qr} \delta_{rs} + \delta_{ps} \delta_{rr} \delta_{qs})$$

$$= h_{rr} \delta_{ss} - h_{rs} \delta_{sr} - h_{sr} \delta_{sr} + h_{ss} \delta_{rr}$$

$$= \sum_i h_{ii} \quad \text{where } i \text{ in this case} = r+s$$

- Applying anticommutation relations to evaluate matrix elements does not seem to simplify the problem
- We need a more efficient way of solving for matrix elements!

# Looking ahead

- Wick's theorem
  - Normal ordering
  - Contractions
  - Normal ordering with contractions