# Lecture 3.6: $a_p$ and $a_p^{\dagger}$ in the Particle-Hole Formalism

Previously, we saw that to work in the Fermi vacuum, we could first translate particle operators  $a_p$  and  $a_p^{\dagger}$  into quasiparticle operators  $b_p$  and  $b_p^{\dagger}$ . This was not efficient because for each p there are two cases to consider: occupied and unoccupied. For a string of 4 operators,  $a_p^{\dagger}a_q^{\dagger}a_sa_r$ , this would mean we have 16 terms in the particle-hole formalism to deal with. Instead, we want to work directly with  $a_p$  and  $a_p^{\dagger}$  in the particle-hole formalism. Our next task is to see how to do that.

# 1 Working with $a_p$ and $a_p^{\dagger}$ in the particle-hole formalism

#### 1.1 Anticommunitation relations

The anticommunitation relations for  $a_p$  and  $a_p^{\dagger}$  stays the same. Strictly speaking, we see that the definitions depend on what the index p is, for example:

$$a_i, a_a = b_i^{\dagger}, b_a = \delta_{ia}$$

However, this quantity ends up being 0 because  $\delta_{ia}$  is always 0. We can make this sort of argument for all mixed cases of occupied and unoccupied indices to see that the anticommunication relations remains:

- 1.  $\{a_n, a_n\} = 0$
- 2.  $\{a_n^{\dagger}, a_n^{\dagger}\} = 0$
- 3.  $\{a_p, a_q^{\dagger}\} = \delta_{pq}$

# 1.2 $\Phi$ -Normal products using $a_p$ and $a_p^{\dagger}$

How do we evaluate  $N[a_p^{\dagger}a_qa_r^{\dagger}]$ ? We need to translate back to quasiparticle operators. In order to do that, we have to specify occupation for the indices.

In the case where p = i, q = j, and r = a,

$$\begin{split} N[a_i^{\dagger}a_ja_a^{\dagger}] &= N[b_ib_j^{\dagger}b_a^{\dagger}] \\ &= b_j^{\dagger}b_a^{\dagger}b_i \\ &= a_ja_a^{\dagger}a_i^{\dagger} \\ &\neq n[a_i^{\dagger}a_ja_a^{\dagger}] \end{split}$$

Thus, we see that  $N[x_1 \cdots x_m] \neq n[x_1 \cdots x_m]$ , and the relationship between the two depends on the indices.

A formal definition for the normal product is:

$$N[x_1 \cdots x_m] = (-1)^R b_{R_1}^{\dagger} \cdots b_{R_{\alpha}}^{\dagger} b_{R_{\alpha+1}} \cdots b_{R_m}$$

Thus, a  $\Phi$ -normal product using  $a_p$  and  $a_p^{\dagger}$  still means that all the quasiparticle operators  $b_p^{\dagger}$  are to the left and  $b_p$  are to the right. In order to explicitly write a form for  $N[x_1 \cdots x_m]$ , we would need to know the occupation of the indices.

### 1.3 Contractions in the Fermi vacuum using $a_p$ and $a_p^{\dagger}$

To figure out what contractions between  $a_p$  and  $a_p^{\dagger}$  look like with respect to the Fermi vacuum, we first introduce the step functions  $\chi$  and  $\pi$ :

$$\chi(p) = \begin{cases} 1 & p \in occupied \\ 0 & p \in unoccupied \end{cases}$$

$$\pi(p) = \begin{cases} 0 & p \in occupied \\ 1 & p \in unoccupied \end{cases}$$

For example,

$$\chi(i) = 1$$
  $\chi(a) = 0$   $\pi(i) = 0$   $\pi(a) = 1$ 

The step functions have the following properties:

$$\chi(p) + \pi(p) = 1$$
$$\chi(p)\pi(p) = 0$$
$$\chi^{2}(p) = \chi(p)$$
$$\pi^{2}(p) = \pi(p)$$

We can use the step functions to inform how to map between particle operators and quasiparticle operators. For example,  $\chi(p)a_p = b_p^{\dagger}$ .

Let's see how we can figure out how to compute a contraction in the Fermi vacuum for  $a_p$  and  $a_q$ :

$$\begin{split} \overrightarrow{a_p} \overrightarrow{a_q} &= (1)(1) \overrightarrow{a_p} \overrightarrow{a_q} \\ &= [\chi(p) + \pi(p)] [\chi(q) + \pi(q)] \overrightarrow{a_p} \overrightarrow{a_q} \\ &= \chi(p) \chi(q) \overrightarrow{a_p} \overrightarrow{a_q} + \chi(p) \pi(q) \overrightarrow{a_p} \overrightarrow{a_q} + \pi(p) \chi(q) \overrightarrow{a_p} \overrightarrow{a_q} + \pi(p) \pi(q) \overrightarrow{a_p} \overrightarrow{a_q} \end{split}$$

Now, the step functions will inform what b operator to translate to:

$$\overrightarrow{a_p a_q} = \chi(p)\chi(q)\overrightarrow{a_p a_q} + \chi(p)\pi(q)\overrightarrow{a_p a_q} + \pi(p)\chi(q)\overrightarrow{a_p a_q} + \pi(p)\pi(q)\overrightarrow{a_p a_q}$$

$$= \chi(p)\chi(q)\overrightarrow{b_p^\dagger b_q^\dagger} + \chi(p)\pi(q)\overrightarrow{b_p^\dagger b_q} + \pi(p)\chi(q)\overrightarrow{b_p b_q^\dagger} + \pi(p)\pi(q)\overrightarrow{b_p b_q}$$

we can get rid of all contractions that go to zero

$$= \chi(p)\chi(q)b_p^{\dagger}b_q^{\dagger} + \chi(p)\pi(q)b_p^{\dagger}b_q + \pi(p)\chi(q)b_pb_q^{\dagger} + \pi(p)\pi(q)b_pb_q^{\dagger}$$

$$= \pi(p)\chi(q)\delta_{pq}$$

$$= \pi(p)\chi(p)$$

$$= 0$$

We can take the same steps to find  $a_p^{\dagger} a_q$ :

$$\begin{split} \overrightarrow{a_p^\dagger a_q} &= [\chi(p) + \pi(p)] [\chi(q) + \pi(q)] \overrightarrow{a_p^\dagger a_q} \\ &= \chi(p) \chi(q) \overrightarrow{a_p^\dagger a_q} + \chi(p) \pi(q) \overrightarrow{a_p^\dagger a_q} + \pi(p) \chi(q) \overrightarrow{a_p^\dagger a_q} + \pi(p) \pi(q) \overrightarrow{a_p^\dagger a_q} \\ &= \chi(p) \chi(q) \overrightarrow{b_p b_q^\dagger} + \chi(p) \pi(q) \overrightarrow{b_p b_q} + \pi(p) \chi(q) \overrightarrow{b_p^\dagger b_q^\dagger} + \pi(p) \pi(q) \overrightarrow{b_p^\dagger b_q} \\ &= \chi(p) \chi(q) \overrightarrow{b_p b_q^\dagger} + \chi(p) \pi(q) \overrightarrow{b_p b_q} + \pi(p) \chi(q) \overrightarrow{b_p^\dagger b_q^\dagger} + \pi(p) \pi(q) \overrightarrow{b_p^\dagger b_q} \\ &= \chi(p) \chi(q) \delta_{pq} \\ &= \chi(p) \chi(p) \delta_{pq} \\ &= \chi(p) \delta_{pq} \\ &= \chi(p) \delta_{pq} \\ &= \chi(p) \delta_{pq} \\ &= \gamma_{pq} \end{split}$$

We can do the same type of derivation for  $a_p a_q^{\dagger}$  and  $a_p^{\dagger} a_q^{\dagger}$ . You will practice this in your homework. The end result is:

$$\overrightarrow{a_p} \overrightarrow{a_q} = 0$$

$$\overline{a_p} \overline{a_q^{\dagger}} = \pi(p) \delta_{pq} = \eta_{pq}$$

$$\overline{a_p^{\dagger}} \overline{a_q} = \chi(p) \delta_{pq} = \gamma_{pq}$$

$$\overline{a_p^\dagger a_q^\dagger} = 0$$

where we have defined  $\eta_{pq}=\pi(p)\delta_{pq}$  and  $\gamma_{pq}=\chi(p)\delta_{pq}$ 

### 1.4 $\Phi$ -Normal products using $a_p$ and $a_p^{\dagger}$

From what we know so far, we see that a Φ-normal product with contractions inside for particle operators

$$N[x_1 \cdots x_{i_1} \cdots x_{i_{\lambda}} \cdots x_{j_1} \cdots x_{j_{\lambda}} \cdots x_m]$$

can be rewritten as:

$$N[x_1\cdots x_{i_1}\cdots x_{i_{\lambda}}\cdots x_{j_1}\cdots x_{j_{\lambda}}\cdots x_m]=(-1)^R x_{i_1}x_{j_1}\cdots x_{i_{\lambda}}x_{j_{\lambda}}N[x_{k_1}\cdots x_{k_{\mu}}]$$

where

$$R = \begin{pmatrix} 1 & 2 & \cdots & 2\lambda - 1 & 2\lambda & 2\lambda + 1 & \cdots & m \\ i_1 & j_1 & \cdots & i_\lambda & j_\lambda & k_1 & \cdots & k_\mu \end{pmatrix}$$

and the indices  $2\lambda + \mu = m$ .

For example:

$$\begin{split} N[\overrightarrow{a_p^{\dagger}} \overrightarrow{a_q} \overrightarrow{a_r^{\dagger}} \overrightarrow{a_s} \overrightarrow{a_t} \overrightarrow{a_u^{\dagger}}] &= \overrightarrow{a_p^{\dagger}} \overrightarrow{a_s} \overrightarrow{a_q} \overrightarrow{a_r^{\dagger}} \overrightarrow{a_t} \overrightarrow{a_u^{\dagger}} \\ &= [\chi(p) \delta_{ps}] [\pi(p) \delta_{qr}] [\pi(t) \delta_{tu}] \\ &= \gamma_{ps} \eta_{qr} \eta_{tu} \end{split}$$

#### 1.5 Wick's Theorem

Now that we have addressed what  $\Phi$ -normal ordering and  $\Phi$ -normal ordering with contractions mean for operators  $a_p$  and  $a_p^{\dagger}$ , we are ready to state Wick's theorem for  $a_p$  and  $a_p^{\dagger}$  in the particle-hole formalism:

$$x_1 \cdots x_m = N[x_1 \cdots x_m] + \sum_{a.c.} N[\overline{x_1 \cdots x_m}]$$

The generalized Wick's Theorem states:

$$x_1 \cdots N[x_{\nu} \cdots x_{\mu}] \cdots x_m = N[x_1 \cdots x_m] + \sum_{a \in C} N[x_1 \cdots x_m]$$

Where  $\sum_{a.c.}$  stands for all possible contractions except the ones between the operators within the  $\Phi$ -normal order.

#### 1.6 Rules for expectation values

We can now see what the rules imply for  $a_p$  and  $a_p^{\dagger}$  in the Fermi vacuum.

- Rule 1:  $N[x_1 \cdots x_m] |\Phi\rangle = 0$  unless  $x_1 \cdots x_m$  only contains  $a_p$  where  $p \in occ$  and  $a_q^{\dagger}$  where  $q \in unocc$ .
- Rule 2:  $\langle \Phi | N[x_1 \cdots x_m] | \Phi \rangle = 0$  for  $m \geq 1$
- Rule 3.  $N[x_1 \cdots x_{\nu_1} \cdots x_{\nu_{\lambda}} \cdots x_{\mu_1} \cdots x_{\mu_{\lambda}} \cdots x_m] |\Phi\rangle = 0$  if there is at least 1 uncontracted operator  $a_p^{\dagger}$  where  $p \in occ$  or  $a_q$  where  $q \in unocc$
- Rule 4.  $\langle \Phi | N[x_1 \cdots x_{\nu_1} \cdots x_{\nu_k} \cdots x_{\mu_1} \cdots x_{\mu_k} \cdots x_m] | \Phi \rangle = 0$  unless all operators are contracted. A vacuum expectation value of any normal product with contractions will be zero if there are a odd number of operators.
- Rule 5  $\langle \Phi | x_1 \cdots x_{2m+1} | \Phi \rangle = 0$
- Rule 6  $\langle \Phi | x_1 \cdots x_{2m} | \Phi \rangle = \sum_{f,c} \langle \Phi | N \overline{\overline{[x_1 \cdots x_{2m}]}} | \Phi \rangle = \sum_{f,c} (-1)^R \overline{x_{\nu_1}} \overline{x_{\mu_1}} \cdots \overline{x_{\nu_m}} \overline{x_{\mu_m}}$

$$R = \begin{pmatrix} 1 & 2 & \cdots & 2m-1 & 2m \\ \nu_1 & \mu_1 & \cdots & \nu_m & \mu_m \end{pmatrix}$$

• Rule  $7 x_1 \cdots x_m |\Phi\rangle = \sum_{b_p f.c.} N[\overline{x_1 \cdots x_m}] |\Phi\rangle$  where  $\sum_{b_p f.c.}$  denotes that any  $a_p^{\dagger}$  where  $p \in occ$  or  $a_q$  where  $q \in unocc$  is fully contracted.

#### 1.7 Example: Deriving Slater's first rule

We can use what we have learned so far to quickly derive Slater's first rule.

$$\langle \Phi | H | \Phi \rangle = \langle \Phi | \sum_{pq} h_{pq} a_p^{\dagger} a_q + \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle a_p^{\dagger} a_q^{\dagger} a_s a_r | \Phi \rangle$$

One-electron term:

$$\sum_{pq} h_{pq} \langle \Phi | a_p^{\dagger} a_q | \Phi \rangle = \sum_{pq} h_{pq} \langle \Phi | N[a_p^{\dagger} a_q] | \Phi \rangle)$$

$$= \sum_{pq} h_{pq} \chi(p) \delta_{pq} \langle \Phi | \Phi \rangle$$

$$= \sum_{pq} h_{pq} \gamma_{pq}$$

$$= \sum_{ij} h_{ij} \delta_{ij}$$

$$= \sum_{i} h_{ii}$$

Two-electron term:

$$\begin{split} \frac{1}{2} \sum_{pqrs} \left\langle pq|rs \right\rangle \left\langle \Phi | a_p^{\dagger} a_q^{\dagger} a_s a_r | \Phi \right\rangle &= \frac{1}{2} \sum_{pqrs} \left\langle pq|rs \right\rangle \sum_{f.c.} \left\langle \Phi | N[\overline{a_p^{\dagger} a_q^{\dagger} a_s a_r}] | \Phi \right\rangle \\ &= \frac{1}{2} \sum_{pqrs} \left\langle pq|rs \right\rangle \left( \left\langle \Phi | N[\overline{a_p^{\dagger} a_q^{\dagger} a_s a_r}] | \Phi \right\rangle + \left\langle \Phi | N[\overline{a_p^{\dagger} a_q^{\dagger} a_s a_r}] | \Phi \right\rangle \right) \\ &= \frac{1}{2} \sum_{pqrs} \left\langle pq|rs \right\rangle \left( \gamma_{pr} \gamma_{qs} - \gamma_{ps} \gamma_{qr} \right) \\ &= \frac{1}{2} \sum_{ijkl} \left\langle ij|kl \right\rangle \left( \delta_{ik} \delta_{jl} - \delta_{il} \delta_{jk} \right) \\ &= \frac{1}{2} \sum_{ijkl} \left\langle ij|ij \right\rangle - \left\langle ij|ji \right\rangle \\ &= \frac{1}{2} \sum_{ij} \left\langle ij|ij \right\rangle \end{split}$$

What if we wanted to solve for matrix elements of terms like  $\langle \Phi_{kl}^{cd} | H | \Phi_{ij}^{ab} \rangle$ ? This means we are working with 12 operators:

$$\langle \Phi | a_l^\dagger a_d a_k^\dagger a_c a_p^\dagger a_q^\dagger a_s a_r \frac{a_a^\dagger}{a_i} a_i \frac{a_b^\dagger}{a_j} a_j | \Phi \rangle$$

Previously, in the particle formalism, we took advantage of the Generalized Wick's theorem to reduce the number of contractions we have to consider. We only considered contractions between operators that were not from the same normal-ordered group. We see that if we could put different groups in the above term in  $\Phi$ -normal ordering, we can reduce the number of terms we have to consider.

### 2 Excitation operators from $|\Phi\rangle$ are already in $\Phi$ -normal order

We see that the operators associated with excitations from the reference determinant are already in  $\Phi$ -normal order.

For example,

$$a_a^\dagger a_i a_b^\dagger a_j = b_a^\dagger b_i^\dagger b_b^\dagger b_i^\dagger = N[b_a^\dagger b_i^\dagger b_b^\dagger b_i^\dagger] = N[a_a^\dagger a_i a_b^\dagger a_j]$$

We see that using anticommunitation relations, we can also equivalently write:

$$N[b_a^\dagger b_i^\dagger b_b^\dagger b_i^\dagger] = N[b_a^\dagger b_b^\dagger b_i^\dagger b_i^\dagger] = N[a_a^\dagger a_b^\dagger a_j a_i]$$

Both forms can be used to express excitations from the reference determinant.

#### 3 The $\Phi$ -normal ordered Hamiltonian

We can use Wick's theorem to help put the Hamiltonian in  $\Phi$ -normal order.

One-electron operator:

$$\begin{split} \hat{h} &= \sum_{pq} h_{pq} a_p^\dagger a_q \\ &= \sum_{pq} h_{pq} (N[a_p^\dagger a_q] + N[\overline{a_p^\dagger} \overline{a_q}]) \\ &= \sum_{pq} h_{pq} N[a_p^\dagger a_q] + \sum_{pq} h_{pq} \gamma_{pq} \\ &= \sum_{pq} h_{pq} N[a_p^\dagger a_q] + \sum_{i} h_{ii} \end{split}$$

Two-electron operator:

$$\begin{split} \hat{g} &= \frac{1}{2} \sum_{pqrs} \langle pq|rs \rangle \, a_p^{\dagger} a_q^{\dagger} a_s a_r \\ &= \frac{1}{2} \sum_{pqrs} \langle pq|rs \rangle \, N[a_p^{\dagger} a_q^{\dagger} a_s a_r] \\ &+ \frac{1}{2} \sum_{pqrs} \langle pq|rs \rangle \, \left( N[a_p^{\dagger} a_q^{\dagger} a_s a_r] + N[a_p^{\dagger} a_q^{\dagger} a_s^{\dagger} a_r] + N[a_p^{\dagger} a_q^{\dagger} a_s^{\dagger} a_r] + N[a_p^{\dagger} a_q^{\dagger} a_s^{\dagger} a_r] \right) \\ &+ \frac{1}{2} \sum_{pqrs} \langle pq|rs \rangle \, \left( N[a_p^{\dagger} a_q^{\dagger} a_s^{\dagger} a_r] + N[a_p^{\dagger} a_q^{\dagger} a_s^{\dagger} a_r] \right) \\ &= \frac{1}{2} \sum_{pqrs} \langle pq|rs \rangle \, N[a_p^{\dagger} a_q^{\dagger} a_s^{\dagger} a_r] \\ &+ \frac{1}{2} \sum_{pqrs} \langle pq|rs \rangle \, \left( -\gamma_{ps} N[a_q^{\dagger} a_r] + \gamma_{pr} N[a_q^{\dagger} a_s] + \gamma_{qs} N[a_p^{\dagger} a_r] - \gamma_{qr} N[a_p^{\dagger} a_s] \right) \\ &+ \frac{1}{2} \sum_{pqrs} \langle pq|rs \rangle \, \left( \gamma_{pr} \gamma_{qs} - \gamma_{ps} \gamma_{qr} \right) \\ &= \frac{1}{2} \sum_{pqrs} \langle pq|rs \rangle \, N[a_p^{\dagger} a_q^{\dagger} a_s a_r] \\ &- \frac{1}{2} \sum_{pqrs} \langle iq|ri \rangle \, N[a_p^{\dagger} a_r^{\dagger} a_s] + \frac{1}{4} \sum_{qs} \sum_{i} \langle iq|is \rangle \, N[a_q^{\dagger} a_s] + \frac{1}{4} \sum_{pr} \sum_{i} \langle pi|ri \rangle \, N[a_p^{\dagger} a_r] - \frac{1}{4} \sum_{ps} \sum_{i} \langle pi|is \rangle \, N[a_p^{\dagger} a_s] \\ &+ \frac{1}{2} \sum_{pqrs} \langle ij||ij \rangle \end{split}$$

We can perform a change of variables and permute within the integrals:

$$\begin{split} \hat{g} &= \frac{1}{2} \sum_{pqrs} \left\langle pq|rs \right\rangle N[a_p^{\dagger} a_q^{\dagger} a_s a_r] \\ &- \frac{1}{2} \sum_{pq} \sum_{i} \left\langle ip|qi \right\rangle N[a_p^{\dagger} a_q] + \frac{1}{2} \sum_{pq} \sum_{i} \left\langle ip|iq \right\rangle N[a_p^{\dagger} a_q] + \frac{1}{2} \sum_{pq} \sum_{i} \left\langle pi|qi \right\rangle N[a_p^{\dagger} a_q] - \frac{1}{2} \sum_{pq} \sum_{i} \left\langle pi|iq \right\rangle N[a_p^{\dagger} a_q] \\ &+ \frac{1}{2} \sum_{ij} \left\langle ij||ij \right\rangle \\ &= \frac{1}{2} \sum_{pqrs} \left\langle pq|rs \right\rangle N[a_p^{\dagger} a_q^{\dagger} a_s a_r] \\ &\frac{1}{2} \sum_{pq} \sum_{i} \left\langle pi|qi \right\rangle N[a_p^{\dagger} a_q] - \frac{1}{2} \sum_{pq} \sum_{i} \left\langle pi|iq \right\rangle N[a_p^{\dagger} a_q] + \frac{1}{2} \sum_{pq} \sum_{i} \left\langle pi|qi \right\rangle N[a_p^{\dagger} a_q] - \frac{1}{2} \sum_{pq} \sum_{i} \left\langle pi|iq \right\rangle N[a_p^{\dagger} a_q] \end{split}$$

We can reorder the order of terms:

 $+\frac{1}{2}\sum_{i}\langle ij||ij\rangle$ 

$$\begin{split} &=\frac{1}{2}\sum_{pqrs}\left\langle pq|rs\right\rangle N[a_{p}^{\dagger}a_{q}^{\dagger}a_{s}a_{r}]\\ &+\frac{1}{2}\sum_{pq}\sum_{i}\left\langle pi|qi\right\rangle N[a_{p}^{\dagger}a_{q}]+\frac{1}{2}\sum_{pq}\sum_{i}\left\langle pi|qi\right\rangle N[a_{p}^{\dagger}a_{q}]-\frac{1}{2}\sum_{pq}\sum_{i}\left\langle pi|iq\right\rangle N[a_{p}^{\dagger}a_{q}]-\frac{1}{2}\sum_{pq}\sum_{i}\left\langle pi|iq\right\rangle N[a_{p}^{\dagger}a_{q}]\\ &+\frac{1}{2}\sum_{ij}\left\langle ij||ij\right\rangle \end{split}$$

$$\begin{split} &=\frac{1}{2}\sum_{pqrs}\left\langle pq|rs\right\rangle N[a_{p}^{\dagger}a_{q}^{\dagger}a_{s}a_{r}]\\ &+\sum_{pq}\sum_{i}(\left\langle pi|qi\right\rangle -\left\langle pi|iq\right\rangle )N[a_{p}^{\dagger}a_{q}]\\ &+\frac{1}{2}\sum_{ij}\left\langle ij||ij\right\rangle \end{split}$$

$$=\frac{1}{2}\sum_{pqrs}\left\langle pq|rs\right\rangle N[a_{p}^{\dagger}a_{q}^{\dagger}a_{s}a_{r}]+\sum_{pq}\sum_{i}\left\langle pi||qi\right\rangle N[a_{p}^{\dagger}a_{q}]+\frac{1}{2}\sum_{ij}\left\langle ij||ij\right\rangle N[a_{p}^{\dagger}a_{q}]$$

All together, we get:

$$H = \sum_{pq} h_{pq} N[a_p^{\dagger} a_q] + \sum_i h_{ii} + \frac{1}{2} \sum_{pqrs} \langle pq|rs \rangle N[a_p^{\dagger} a_q^{\dagger} a_s a_r] + \sum_{pq} \sum_i \langle pi||qi \rangle N[a_p^{\dagger} a_q] + \frac{1}{2} \sum_{ij} \langle ij||ij \rangle N[a_p^{\dagger} a_q] + \sum_i \sum_i \langle pi||qi \rangle N[a$$

We see that the second and fifth terms have no operators, and the first and fourth terms both have 2 operators. We can group these terms together:

$$H = \sum_{i} h_{ii} + \frac{1}{2} \sum_{ij} \langle ij||ij\rangle + \sum_{pq} h_{pq} N[a_p^{\dagger} a_q] + \sum_{pq} \sum_{i} \langle pi||qi\rangle N[a_p^{\dagger} a_q] + \frac{1}{2} \sum_{pqrs} \langle pq|rs\rangle N[a_p^{\dagger} a_q^{\dagger} a_s a_r]$$

The first two terms we see are simply  $\langle \Phi | H | \Phi \rangle$ :

$$H = \langle \Phi | H | \Phi \rangle + \sum_{pq} h_{pq} N[a_p^{\dagger} a_q] + \sum_{pq} \sum_{i} \langle pi | | qi \rangle N[a_p^{\dagger} a_q] + \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle N[a_p^{\dagger} a_q^{\dagger} a_s a_r]$$

The remaining terms now form our  $\Phi$ -normal ordered Hamiltonian,  $\hat{H}_N$ :

$$H = \langle \Phi | H | \Phi \rangle + H_N$$

$$H_N = \sum_{r} h_{pq} N[a_p^{\dagger} a_q] + \sum_{r} \sum_{r} \langle pi | | qi \rangle N[a_p^{\dagger} a_q] + \frac{1}{2} \sum_{r} \langle pq | rs \rangle N[a_p^{\dagger} a_q^{\dagger} a_s a_r]$$

The  $\Phi$ -normal ordered Hamiltonian can be further divided into 2 terms:

$$H_{N} = F_{N} + G_{N}$$

$$F_{N} = \sum_{pq} h_{pq} N[a_{p}^{\dagger} a_{q}] + \sum_{pq} \sum_{i} \langle pi | | qi \rangle N[a_{p}^{\dagger} a_{q}]$$

$$G_{N} = \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle N[a_{p}^{\dagger} a_{q}^{\dagger} a_{s} a_{r}]$$

 $F_N$ , called the Fock operator, is an effective one-electron operator. The first term tells us about state q leaving and arriving at state p. The second term tells about state q leaving and arriving at state p in the presence of occupied orbitals i. It describes an effective one-body interaction that comes from a two-body operator. In other words, it contains information about two-body interactions in a mean-field manner.  $G_N$  is the usual two-electron operator.

We can re-express  $F_N$  as:

$$F_N = \sum_{pq} (h_{pq} + \sum_i \langle pi||qi\rangle) N[a_p^{\dagger} a_q]$$
$$F_N = \sum_{pq} f_{pq} N[a_p^{\dagger} a_q]$$

And re-express  $H_N$  as:

$$H_N = \sum_{pq} f_{pq} N[a_p^{\dagger} a_q] + \frac{1}{2} \sum_{pqrs} \langle pq|rs \rangle N[a_p^{\dagger} a_q^{\dagger} a_s a_r]$$

## 4 Implications of the $\Phi$ -normal ordered Hamiltonian

We have thus arrived at a  $\Phi$ -normal ordered Hamiltonian:

$$H_N = H - \langle \Phi | H | \Phi \rangle$$

What have we done qualitatively? We see that the  $\Phi$ -normal ordered Hamiltonian is obtained by shifting the original Hamiltonian by the expectation value in the reference determinant. In other words, it is removing the energy contribution of the reference determinant. This makes sense. In our original mapping to the particle-hole formalism, we make the reference determinant a vacuum state. Thus, the  $\Phi$ -normal ordered Hamiltonian, which is in the particle-hole formalism does not have any interaction with reference determinant. In the same way that in our original formalism,

$$\langle 0|H|0\rangle = 0$$

In the particle-hole formalism,

$$\langle \Phi | H_N | \Phi \rangle = 0$$

Mathematically, the choice of the reference determinant is arbitrary. It does not matter which determinant you use in the wavefunction expansion. Practically, it is more efficient to choose a determinant that dominants the wavefunction expansion (large coefficient). If we choose our reference determinant  $|\Phi\rangle$  to be the Hartree-Fock result, then shifting the Hamiltonian by the Hartree-Fock energy  $E_{HF} = \langle \Phi | H | \Phi \rangle$  means we are really just defining a Hamiltonian that takes care of correlation effects.

$$H = E_{HF} + H_{correlation}$$

The  $\Phi$ -normal ordered Hamiltonian is thus equal to a correlation Hamiltonian  $H_c$ :

$$H_N = H_c$$