

# Algebra in Quiet Hours

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*To see a world in a grain of sand  
And a heaven in a wild flower,  
Hold infinity in the palm of your hand  
And eternity in an hour.*

— *Auguries of Innocence*, William Blake

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# Preliminaries

These are definitions and results we used without proof. It is recommended to show them; should be good exercises for beginners in algebra.

## Groups

(1) We start from defining a set with a binary operation.

- A set  $M$  with a binary operation  $M \times M \rightarrow M$  is called a **magma**. We denote it by  $(M, \cdot)$  or simply  $M$ . Note that the closure property is mentioned in the binary operation.
- A magma  $M$  is called a **semigroup** if it satisfies associative law:  $(xy)z = x(yz)$  for all  $x, y, z \in M$ .
- A semigroup  $M$  is called a **monoid** if there is an identity element  $e \in M$  (or 1) such that  $ex = xe = x$  for all  $x \in M$ .
- A monoid  $G$  is called a **group** if every element in  $G$  has an inverse: for all  $x \in G$ , there exists  $x^{-1} \in G$  such that  $xx^{-1} = e = x^{-1}x$ .

(2)  $\text{Magma} \subset \text{Semigroup} \subset \text{Monoid} \subset \text{Group}$ .

(3) There is an optional property:  $x, y$  are **commutative** if  $xy = yx$ . A commutative group is called an **abelian group**.

(4) We can drop the bracket for the element  $x_1x_2 \cdots x_n$  in semigroup because of the **general associative law** (so we say the product is **uniquely determined**). It means that the ways to take the product while fixing the order of elements does not affect the outcome. Similarly, the product of elements in a commutative semigroup is uniquely determined regardless of the ways to take the product or the order of elements. This is because of the **general commutative law**.

(5) If elements  $x$  and  $y$  in a monoid is invertible, then  $(x^{-1})^{-1} = x$  and  $(xy)^{-1} = y^{-1}x^{-1}$ . The latter is sometimes described as the **socks-shoes property**.

(6) In a group, define  $x^n$  ( $n \geq 1$ ) as the product of  $x$  taken  $n$  times,  $x^0 = e$  and  $x^{-n}$  ( $n \geq 1$ ) as the product of  $x^{-1}$  taken  $n$  times. Then the following hold:

- (i)  $(x^{-1})^m = (x^m)^{-1}$  for all integer  $m$ ;
- (ii)  $x^m x^n = x^{m+n}$  and  $(x^m)^n = x^{mn}$  for all integers  $m, n$ .

## Subgroups

- (1) Simply speaking, given a group with a binary operation  $\cdot$ , if the restriction of  $\cdot$  to a subset  $H \subseteq G$  makes  $H$  itself a group, then we call  $H$  a **subgroup** of  $G$ .
- (2) We have a analogous criterion for sets to be subspaces of a vector space; so we call this criterion the **subgroup criterion**. A subset  $H$  is a subgroup of a group if and only if

$$x, y \in H \Rightarrow xy^{-1} \in H.$$

This statement also equivalent to  $xy \in H$  and  $x^{-1} \in H$  for all  $x, y \in H$ .

- (3) Let  $H$  be a subgroup of  $G$ . Then the identity of  $H$  is the identity of  $G$ .
- (4) Clearly  $G$  and  $\{e\}$  are subgroups of  $G$ . They are called **trivial subgroups**.
- (5) Write  $H \leq G$  for a subgroup  $H$  of  $G$ . Then we have:

- (i)  $K \leq H$  and  $H \leq G \Rightarrow K \leq G$ ;  
(ii)  $H, K \leq G$  and  $K \subseteq H \Rightarrow K \leq H$ .

- (6) The **intersection of subgroups** of  $G$  is a **subgroup** of  $G$ . I think that the index set is not required to be a countable set. So  $\bigcap_{\lambda \in \Lambda} H_\lambda$  is also a subgroup of  $G$ .
- (7) The **union of subgroups** is **not necessarily a subgroup** of  $G$ . In fact,

$$H \cup K \leq G \Leftrightarrow H \subseteq K \text{ or } K \subseteq H.$$

- (8) The product  $HK = \{hk \mid h \in H, k \in K\}$  is called the **product** of subgroups  $H$  and  $K$ . It is **not necessarily a subgroup** of  $G$ . In fact, we have

$$HK \leq G \Leftrightarrow HK = KH.$$

## Subgroups Defined by Subsets

Let  $S$  be a subset of  $G$ . There are three classical subgroups that can be defined from  $S$ :

- (1) the **subgroup of  $G$  generated by  $S$** , denoted by  $\langle S \rangle$ ; this is the set of all elements of  $G$  which can be written as the product of finite number of elements of  $S$  or of the inverses of elements of  $S$ , i.e., every element is of the form

$$s_1 s_2 \cdots s_n$$

where  $s_i \in S$  or  $s_i^{-1} \in S$ .

- $\langle S \rangle$  is the intersection of all subgroups of  $G$  that contain  $S$ .
- $\langle S \rangle$  is the smallest subgroup of  $G$  that contains  $S$ .
- If  $H = \langle S \rangle$ , then  $S$  is called a **generating set** of  $H$ .
- If  $S$  is a finite set, then  $H$  is said to be **finitely generated**.
- In particular, if  $S$  is a singleton, then  $H$  is said to be **cyclic**.
- If we involve more than a set, say  $S_1, \dots, S_n$ , then  $\langle S_1, \dots, S_n \rangle$  is the subgroup generated by the union of subsets  $S_i$ . If we have a collection of subsets  $S_\lambda (\lambda \in \Lambda)$ , then  $\langle S_\lambda \mid \lambda \in \Lambda \rangle$  is the subgroup generated by  $\bigcup_{\lambda \in \Lambda} S_\lambda$ .

(2) the **normalizer** of  $S$  in  $G$ , denoted by  $N_G(S)$ ; this is the set

$$N_G(S) = \{g \in G \mid gSg^{-1} = S\}.$$

In this case, we say  $g$  **normalizes**  $S$ .

- If  $H$  is a subgroup of  $G$ , then  $H$  is normal in  $N_G(H)$ .
- $N_G(H)$  is the largest subgroup of  $G$  that contains  $H$  as a normal subgroup.

(3) the **centralizer** of  $S$  in  $G$ , denoted by  $C_G(S)$ ; this is the set

$$C_G(S) = \{g \in G \mid gs = sg \text{ for all } s \in S\}.$$

In this case, we say  $g$  **centralizes**  $S$ .

- $C_G(G)$  is called the **center** of  $G$ , and is denoted by  $Z(G)$ .

(4) The next subgroup is not depending on  $S$ , but it has important connection between those subgroups defined by  $S$ : If  $H$  is a subgroup of  $G$ , then  $xHx^{-1}$  (where  $x \in G$  is fixed) is called a **conjugate subgroup** of  $H$ .

- Let  $S \subseteq G$  and  $x \in G$ . Then

$$\begin{aligned}\langle xSx^{-1} \rangle &= x\langle S \rangle x^{-1}, \\ N_G(xSx^{-1}) &= xN_G(S)x^{-1}, \\ C_G(xSx^{-1}) &= xC_G(S)x^{-1}.\end{aligned}$$

As a consequence,  $N_G(S) \subseteq N_G(C_G(S))$ .



## Cosets

- (1) Let  $H$  be a subgroup of  $G$  and  $x \in G$ .
- $xH$  is a **left coset** of  $H$  in  $G$ ;
  - $Hx$  is a **right coset** of  $H$  in  $G$ .
  - The number of distinct left cosets of  $H$  in  $G$  is called the index of  $H$  in  $G$  and denoted  $[G : H]$ .

When the context is clear, we will call a left coset simply a coset.

- (2) In fact, the coset  $gH$  is an equivalence class of  $g$  with respect to the relation defined by

$$x \sim y \text{ if and only if } x^{-1}y \in H.$$

Hence we have  $xH = yH \Leftrightarrow x^{-1}y \in H$ .

- (3)  $G$  can be **partitioned** into a **disjoint union of cosets** of  $H$ .
- (4) Each coset has the same number of elements as in  $H$ , i.e.,  $|xH| = |H|$ .
- (5)  $[G : K] = [G : H][H : K]$  for  $K \leq H \leq G$ .
- (6) **Lagrange's Theorem.**  $|G| = [G : H]|H|$ .
- (7) As corollary, the order of an element of a finite group  $G$  must divide the order of  $G$ .
- (8) Using the concept of cosets one can establish

$$|HK| = \frac{|H||K|}{|H \cap K|}.$$

Remark that it has a weaker hypothesis than the Second Isomorphism Theorem since we don't require any subgroup to be normal.

## Normal subgroups

- (1) A subgroup  $H$  of  $G$  is said to be **normal** if

$$xH = Hx \text{ for all } x \in G.$$

Sometimes we denote it by  $H \triangleleft G$ . This condition can be also expressed as  $xHx^{-1} = H$ . So we can also define a normal subgroup as  $H$  with property  $N_G(H) = G$ .

(2) We have the following equivalent statements

$$xHx^{-1} = H \text{ for all } x \in G \Leftrightarrow xHx^{-1} \subseteq H \text{ for all } x \in G.$$

That is why sometimes proofs in some books only consider one direction.

(3) If  $N \triangleleft G$  and  $K \leq G$ , then

- $NK \leq G$  (and so  $NK = KN$ );
- $NK = \langle N, K \rangle$ ;
- $N \cap K \triangleleft K$ ;
- $N \triangleleft NK$ ;
- if  $K \supseteq N$ , then  $N \triangleleft K$ .
- if  $K \triangleleft G$ , then  $NK \triangleleft G$  and  $N \cap K \triangleleft G$ .

(4)  $K \triangleleft H$  and  $H \triangleleft G$  **do not imply**  $K \triangleleft G$ .

(5) Every normal subgroup is the kernel of some homomorphisms (there is an obvious one  $x \mapsto xN$  after we have discussed quotient groups).

(6) A subgroup is normal in  $G$  if and only if it is a union of conjugacy classes.

(7) Some classical examples:

- $Z(G) \triangleleft G$ ;
- $C_G(S) \triangleleft N_G(S)$ ; in particular, if  $N \triangleleft G$ , then  $C_G(N) \triangleleft G$ ;
- the **commutator subgroup**  $[G, G] \triangleleft G$ .
- The kernel of any homomorphism  $G \rightarrow H$  is normal in  $G$ .

## Quotient groups

(1) Let  $G/H$  ( $H \triangleleft G$ ) be the set of all cosets of  $H$  in  $G$ . Define a binary operation on  $G/H$  by

$$aHbH = abH.$$

This forms a group and we call  $G/H$  the **quotient group** of  $G$  by  $H$  (or modulo  $H$ ). When one writes down  $G/H$  without stating that  $H$  is normal, we will assume  $H$  is normal (otherwise it does not make sense; the binary operation is not well-defined for nonnormal subgroup).

- (2) The map  $x \mapsto xH$  of  $G$  into  $G/H$  is called the **canonical projection**. Sometimes it is denoted by  $\overline{\phantom{x}}$ .
- (3) Some usual properties:
- $(xH)^{-1} = x^{-1}H$ ;
  - $|G/H| = [G : H] = |G|/|H|$ .
- (4) **Correspondence Theorem.** There is a one-to-one-correspondence (a bijective function) between the set of subgroups of  $G/H$  and the set of subgroups of  $G$  which contain  $H$ .
- More precisely, For any subgroup  $\overline{K}$  of  $G/H$ , there is a unique subgroup  $K$  of  $G$  such that

$$K \supseteq H \quad \text{and} \quad K/H = \overline{K}.$$

In fact, the construction is given by  $K = \{x \in G \mid xH \in \overline{K}\}$ .

- This one-to-one correspondence also gives the following properties (assume  $S$  and  $T$  are subgroups):
  - (i)  $\overline{S} \subseteq \overline{T} \Leftrightarrow H \subseteq S \subseteq T$ ; so we have  $[\overline{T}, \overline{S}] = [T : S]$ ;
  - (ii)  $\overline{S} \triangleleft \overline{T} \Leftrightarrow S \triangleleft T$ ;
  - (iii)  $\overline{S}$  and  $\overline{T}$  are conjugate in  $G/H \Leftrightarrow S$  and  $T$  are conjugate in  $G$ .

## Group homomorphisms

- (1) A function  $f : G \rightarrow H$  is called a **group homomorphism** if

$$f(xy) = f(x)f(y) \quad \text{for all } x, y \in G.$$

- (2) An injective (resp. surjective) homomorphism is called a **monomorphism** (resp. **epimorphism**). A bijective function is called an **isomorphism**. Technically, we should not use “called” if we have already defined monomorphisms and epimorphisms in category. We can verify that the homomorphisms in group can satisfy the conditions in the sense of category if they are injective or surjective. This is also a reason why we write  $f \in \text{hom}(G, H)$  for a group homomorphism  $f$ .
- (3) Usual properties:
- $f(e) = e$ ;
  - $f(x^{-1}) = f(x)^{-1}$ ;

- If two subsets  $S$  and  $T$  are conjugate in  $G$ , then  $f(S)$  and  $f(T)$  are conjugate in  $H$ ;
- $H \triangleleft G \Rightarrow f(H) \triangleleft f(G)$ .

Note that  $f(e) = e$  can be deduced from the fact that  $f$  is homomorphism: take  $f(e)f(e) = f(e)$  and multiply by  $f(e)^{-1}$ . In the case of monoids, we cannot do that since not every element has an inverse. So the definition of homomorphisms between monoids are as follows: A function  $g : M \rightarrow N$  is called a **monoid homomorphism** if

$$g(xy) = g(x)g(y) \quad \text{for all } x, y \in M \quad \text{and} \quad g(1) = 1.$$

(4) The common subgroups defined from a homomorphism  $f : G \rightarrow H$  would be the **kernel** of  $f$  and the **image** of  $f$ .

- The kernel of  $f$  is a subgroup of  $G$ :

$$\text{Ker } f = \{x \in G \mid f(x) = e\}.$$

- The image of  $f$  is a subgroup of  $H$ :

$$\text{Im } f = \{f(x) \mid x \in G\}.$$

In fact, the kernel is a special case of the **preimage** of a fixed subgroup of  $H$  under  $f$ . Let  $U \leq H$ . It can be checked that

$$f^{-1}(U) = \{x \in G \mid f(x) \in U\}$$

is a subgroup of  $G$ .

(5) Common homomorphisms defined from  $f$  (the old one):

- The **restriction** of  $f$  to  $U$  ( $U$  is a subgroup of the domain  $G$ );
- composite mapping.

(6) Common homomorphisms defined from subgroups and quotient groups:

- The **inclusion map** of  $H$  into  $G$  (where  $H$  is a subgroup of  $G$ ), usually denoted by  $H \hookrightarrow G$ .
- The **canonical projection** of  $G$  onto the quotient group  $G/N$ , usually denoted by  $G \twoheadrightarrow G/N$ .

- The **retraction** from  $G$  to  $H$  (where  $H$  is a subgroup of  $G$ ). This is a homomorphism  $f : G \rightarrow H$  such that  $f(h) = h$  for all  $h \in H$ .

(7) The isomorphism theorems:

- (i) **First Isomorphism Theorem.** For any  $f : G \rightarrow H$ ,

$$\begin{aligned} G/\text{Ker } f &\xrightarrow{\sim} \text{Im } f, \\ x\text{Ker } f &\mapsto f(x). \end{aligned}$$

- (ii) **Second Isomorphism Theorem.** If  $H \leq G$  and  $N \triangleleft G$ , then

$$H \hookrightarrow HN \twoheadrightarrow HN/N$$

induces an isomorphism

$$\frac{H}{H \cap N} \cong \frac{HN}{N}.$$

- (iii) **Third Isomorphism Theorem.** If  $N \triangleleft G$  and  $N \subseteq H \leq G$  (same as saying  $\overline{H} \in G/N$ ), then

$$G \twoheadrightarrow G/N \twoheadrightarrow (G/H)/\overline{H}$$

induces an isomorphism

$$\frac{G}{\overline{H}} \cong \frac{G/N}{H/N}.$$

- (8) **Generalized version of Correspondence Theorem.** Let  $f : G \rightarrow G'$  be a homomorphism. Then there is a one-to-one correspondence between the set of subgroups of  $G'$  and the set of subgroups of  $G$  which contain  $\text{Ker } f$ .

- More precisely, the function is given by

$$H' \mapsto f^{-1}(H') = \{x \in G \mid f(x) \in H'\}.$$

The inverse function is given by

$$H \mapsto f(H).$$

- This one-to-one correspondence also gives the following properties (assume  $S$  and  $T$  are subgroups):

- (i)  $f(S) \subseteq f(T) \Leftrightarrow \text{Ker } f \subseteq S \subseteq T$ ; so we have  $[f(T) : f(S)] = [T : S]$ ;

- (ii)  $f(S) \triangleleft f(T) \Leftrightarrow S \triangleleft T$ ;
- (iii)  $f(S)$  and  $f(T)$  are conjugate in  $G' \Leftrightarrow S$  and  $T$  are conjugate in  $G$ .
- (iv) Let  $N' \triangleleft G'$ . Then

$$\frac{G}{f^{-1}(N')} \cong \frac{G'}{N'}.$$

It provides another approach to show isomorphism theorems.

## Cyclic groups

- (1) Cyclic groups can be finite or infinite.
- (2) In finite case, say  $x$  has order  $k$ . Then  $\langle x \rangle$  consists of  $k$  elements. Note that

$$x^m = x^n \Leftrightarrow m \equiv n \pmod{k}.$$

- (3) In infinite case, say  $x$  is such that  $x^m \neq x^n$  whenever  $m \neq n$ . Then  $\langle x \rangle$  has infinitely many elements, which are of the form  $x^n$  ( $n \in \mathbb{Z}$ ).
- (4) With both cases above, one can see that the map  $\mathbb{Z} \rightarrow \langle x \rangle$  given by  $n \mapsto x^n$  is a **surjective** homomorphism. If  $x$  has **infinite order**, then

$$\langle x \rangle \cong \mathbb{Z}.$$

If  $x$  has **finite order**  $k$ , then we see that the **kernel** of this map is  $k\mathbb{Z}$  and we have (by the First Isomorphism Theorem)

$$\langle x \rangle \cong \mathbb{Z}/k\mathbb{Z} \cong \mathbb{Z}_k.$$

- (5) The subgroups and quotient groups of a cyclic group is cyclic.
- (6) There are **only two generators** for an **infinite cyclic** group  $\langle x \rangle$ , namely  $x$  and  $x^{-1}$ .
- (7) For finite cyclic group  $\langle x \rangle$  (of order  $k$ ), there are  $\varphi(k)$  generators. More precisely, we have the following results:
  - $x^n = \frac{k}{\gcd(n,k)}$ ;
  - the result above leads to  $\langle x \rangle = \langle x^n \rangle \Leftrightarrow \gcd(n,k) = 1$ , that is why the generators can be counted via **Euler's Totient function**.
- (8) A cyclic group of order  $k$  has a **unique subgroup** of order  $d$  for **each divisor**  $d$  of  $k$ .

- (9) Using (8), we have a stronger version of (7): For each divisor  $d$  of  $k$ , we have  $\varphi(d)$  elements of order  $d$ . This immediately leads to a result on Number Theory:

$$\sum_{d|k} \varphi(d) = k.$$

## Group automorphisms

- (1) An isomorphism  $G \rightarrow G$  is called an **automorphism** of  $G$ .
- (2) An automorphism  $\sigma : G \rightarrow G$  preserves a lot of properties in group:
- $\sigma(H) = H$  for all  $H \leq G$ ;
  - $[\sigma(H), \sigma(K)] = [\sigma(H), \sigma(K)]$  for all  $K \leq H \leq G$ ;
  - $K \triangleleft H \Leftrightarrow \sigma(K) \triangleleft \sigma(H)$ ;
  - $H/K \cong \sigma(H)/\sigma(K)$  for all  $K \triangleleft H$ ;
  - $\langle \sigma(S) \rangle = \sigma(\langle S \rangle)$ ;
  - $N_G(\sigma(H)) = \sigma(N_G(H))$ ;
  - $C_G(\sigma(H)) = \sigma(C_G(H))$ .
- (3) The set of automorphisms of  $G$  forms a **group under composition**. It is denoted by  $\text{Aut } G$ .
- (4) We are interested in a special kind of automorphisms: The automorphism of  $G$  defined by  $x \mapsto gxg^{-1}$  ( $g \in G$  is fixed) is called an **inner automorphism** by  $g$ . This is also called the **conjugation** by  $g$ .
- The set of all inner automorphisms of  $G$  is a subgroup of  $\text{Aut } G$ , and is denoted by  $\text{Inn } G$ .
  - $\text{Inn } G \cong G/Z(G)$ .
  - In fact  $\text{Inn } G \triangleleft \text{Aut } G$ . The quotient group  $\text{Aut } G / \text{Inn } G$  is called the group of **outer automorphisms** of  $G$  and is denoted by  $\text{Out } G$ .
- (5) **Characteristic subgroup.** This is a subgroup of  $G$  for which every automorphism of  $G$  maps  $H$  onto itself, i.e.,  $\sigma(H) = H$  for all  $\sigma \in \text{Aut } G$ . Sometimes we write  $H \text{ char } G$ .
- $H \text{ char } G \Rightarrow H \triangleleft G$  (look at the inner automorphisms). The converse is not true.

- $H \text{ char } G \Leftrightarrow \sigma(H) \subseteq H$  for all  $\sigma \in H$  (an analogous result to normal subgroups).
- $H \text{ char } G \Rightarrow C_G(H) \text{ char } G$ .
- If  $H$  is the unique subgroup of its order, then  $H \text{ char } G$ .
- $H \text{ char } K$  and  $K \text{ char } G \Rightarrow H \text{ char } G$ .
- $H \text{ char } K$  and  $K \triangleleft G \Rightarrow H \triangleleft G$ .
- Common examples: Trivial subgroups and  $Z(G)$ .

(6) Studies of automorphisms of cyclic groups (say  $C$ ):

- $\text{Aut } C$  is abelian.
- If  $\sigma$  is an automorphism of  $C$ , then  $\sigma$  must **send generators to generators**.
- As a consequence, we get (by counting the number of generators)

$$|\text{Aut } \mathbb{Z}| = 2 \quad \text{and} \quad |\text{Aut } \mathbb{Z}_k| = \varphi(k).$$

We also have

$$\text{Aut } \mathbb{Z}_k \cong (\mathbb{Z}_k)^\times.$$

- In particular, if  $k$  is a power of a prime, say  $p^m$ , then

$$|\text{Aut } \mathbb{Z}_{p^m}| = p^{m-1}(p-1).$$

- Every subgroup of  $C$  is a characteristic of  $C$ .

## Commutator subgroup

(1) The subgroup of  $G$  generated by all of the elements of the form  $xyx^{-1}y^{-1}$  (called the **commutator** of  $x$  and  $y$ ) is called the **commutator** subgroup (or the **derived group**) of  $G$ . We denote it by  $[G, G]$  (or  $D(G)$ ,  $G^{(1)}$ ).

(2) Usual properties:

- $[x, y] = 1 \Leftrightarrow x$  and  $y$  commutes;
- A homomorphism  $f$  sends commutators to commutators of the images, i.e.,  $f([x, y]) = [f(x), f(y)]$ ;
- $[G, G] \text{ char } G$  (and so  $[G, G] \triangleleft G$ ).

(3) The quotient group  $G/[G, G]$  is abelian. Moreover,  $[G, G]$  is the **smallest normal subgroup** having the property that the **quotient group is abelian**, i.e., for all  $H \triangleleft G$ , if  $G/H$  is abelian, then  $H \supseteq [G, G]$ .



# Rings

(1) A set  $R$  with two binary operations, **addition**  $+$  and **multiplication**  $\cdot$  is called a **ring** if

- (i)  $(R, +)$  is an abelian group.
- (ii)  $(R, \cdot)$  is a semigroup.
- (iii) (Distributive laws) Left (or right) multiplication distributes over addition, i.e.,  $a(b + c) = ab + ac$  and  $(a + b)c = ac + bc$  for all  $a, b, c \in R$ .

Remark that the properties given above is defined for convenience. The commutativity in  $(R, +)$  is redundant because it can be deduced from other axioms.

(2) Optional properties:

- (a) If the multiplication in  $R$  is commutative, then  $R$  is called a **commutative ring**.
  - (b) If  $R$  has a multiplicative identity  $1$ , then  $R$  is called a **ring with identity** (or **unital ring**).
  - (c) If each nonzero element in a unital ring  $R$  has a multiplicative inverse, then  $R$  is called a **division ring**.
  - (d) The properties (a), (b), (c) hold and  $0 \neq 1 \Rightarrow R$  is a **field**.
- (3)  $1 = 0 \Leftrightarrow R$  is the **zero ring**  $\{0\}$ . Due to this result, we often impose that  $1 \neq 0$  (meaning that  $R$  is not the zero ring).
- (4) Usual properties: for all  $a, b \in R$ ,

- $0a = a0 = 0$ ;
- $(-a)b = a(-b) = -(ab)$ ;
- $(-a)(-b) = ab$ ;
- if  $R$  has  $1$ , then  $1$  is unique,  $(-1)^2 = 1$  and  $-a = (-1)a$ .

## Subrings

(1) A **subring** of  $R$  is a nonempty set that is

- (i) an additive subgroup of  $R$
- (ii) and closed under multiplication.

- (2) A subring of  $R$  need not have a multiplicative identity. E.g.  $2\mathbb{Z}$  is a subring of  $\mathbb{Z}$  but does not contain 1.
- (3) The intersection of a nonempty collection of subrings is also a subring.

## Integral domains

- (1) A nonzero element  $x$  is called a **left zero divisor** (resp. **right zero divisor**) if  $xa = 0$  (resp.  $ax = 0$ ) for some  $a \in R$ . We call  $x$  a **zero divisor** if it is either left **or** right zero divisor.
- (2) An element  $x$  of nonzero  $R$  is said to be **left invertible** (resp. **right invertible**) if  $ax = 1$  (resp.  $xa = 1$ ) for some  $a \in R$ . In this case,  $a$  is called a **left inverse** (resp. **right inverse**) of  $x$ .
- (3) Left-invertibility **does not imply** right-invertibility.
- (4) If  $x$  has left inverse  $y$  and right inverse  $y'$ , then  $y = y'$  and so we shall say that  $x$  is **invertible** (or a **unit**) in  $R$  and  $y$  is called the inverse of  $x$ .
- (5) The set of all units in  $R$  forms a multiplicative group.
- (6)  $x$  is a **zero divisor**  $\Rightarrow x$  is **not a unit**. Thus fields contain no zero divisor.
- (7)  $x$  is not a zero divisor  $\Rightarrow x$  is **left and right cancellable**, i.e.,  $xa = xb \Rightarrow x = 0$  or  $a = b$ , and  $ax = bx \Rightarrow x = 0$  or  $a = b$ .
- (8) An **integral domain**  $R$  is
  - (i) a commutative nonzero ring with 1
  - (ii) which has **no zero divisors**.
- (9) If the commutative property is dropped, we will call  $R$  a **domain**.
- (10) Cancellation law holds for integral domains.
- (11)  $R$  is an integral domain and  $R$  is finite  $\Rightarrow R$  is a field.

## Part I

# Group Theory

## 1 Symmetric Groups

Throughout the note, we let  $[n] = \{1, \dots, n\}$ .

### 1.1 Basic Definitions

**Definition 1.1.** The group  $S_n$  of all bijections  $[n] \rightarrow [n]$  is called the **symmetric group**. The elements of  $S_n$  are called **permutations**. A permutation  $\sigma$  can be expressed by

$$\sigma = \begin{pmatrix} 1 & 2 & \cdots & n \\ \sigma(1) & \sigma(2) & \cdots & \sigma(n) \end{pmatrix}.$$

**Definition 1.2.** Let  $a_1, \dots, a_r$ , ( $r \leq n$ ) be distinct elements in  $[n]$ . Then the  **$r$ -cycle**  $(a_1 a_2 \cdots a_r)$  is the permutation  $\sigma \in S_n$  defined by

$$\begin{aligned} \sigma(a_i) &= a_{i+1}, \quad \forall i \in \mathbb{Z}_r, \\ \sigma(x) &= x, \quad \forall x \notin \{a_1, \dots, a_r\}. \end{aligned}$$

Here,  $r$  is called the **length** of the cycle; a 2-cycle is called a **transposition**.

**Definition 1.3.** The permutations  $\sigma_1, \dots, \sigma_r \in S_n$  are said to be **disjoint** if for each  $1 \leq i \leq r$ , and every  $k \in [n]$ ,

$$\sigma_i(k) \neq k \implies \sigma_j(k) = k \quad \forall j \neq i.$$

In particular, two cycles  $(a_1 a_2 \cdots a_r)$  and  $(b_1 b_2 \cdots b_s)$  are disjoint if  $\{a_1, a_2, \dots, a_r\} \cap \{b_1, b_2, \dots, b_s\} = \emptyset$ .

**Proposition 1.4.**

- (i)  $|S_n| = n!$ ;
- (ii)  $(a_1 a_2 \cdots a_r) = (a_2 a_3 \cdots a_r a_1) = \cdots = (a_r a_1 \cdots a_{r-2} a_{r-1})$ ;
- (iii) Any 1-cycle is the identity permutation, and hence it can be omitted when expressing any product of cycles;

$$(iv) \quad |(a_1 a_2 \cdots a_r)| = r;$$

$$(v) \quad (a_1 a_2 \cdots a_r)^{-1} = (a_r a_{r-1} \cdots a_1);$$

(vi) If  $\sigma, \tau \in S_n$  are disjoint, then  $\sigma\tau = \tau\sigma$ . Furthermore,  $\sigma\tau = Id$  implies  $\sigma = \tau = Id$ .

The action of conjugation on  $S_n$  has a nice property.

**Proposition 1.5.** Let  $\tau = (a_1 \cdots a_r)$  be an  $r$ -cycle and  $\sigma \in S_n$ . Then

$$\sigma\tau\sigma^{-1} = \sigma(a_1 \cdots a_r)\sigma^{-1} = (\sigma(a_1)\sigma(a_2) \cdots \sigma(a_r)).$$

**Proof.** Write  $[n] = \{\sigma(a_1), \dots, \sigma(a_r), \sigma(b_{r+1}), \dots, \sigma(b_n)\}$  where  $b_{r+1}, \dots, b_n \notin \{a_1, \dots, a_r\}$ . The following

$$\begin{aligned} \sigma(a_i) &\xrightarrow{\sigma^{-1}} a_i \xrightarrow{\tau} a_{i+1} \xrightarrow{\sigma} \sigma(a_{i+1}), \\ \sigma(b_i) &\xrightarrow{\sigma^{-1}} b_i \xrightarrow{\tau} b_i \xrightarrow{\sigma} \sigma(b_i) \end{aligned}$$

prove the assertion. ■

## 1.2 Cycle Decomposition

**Theorem 1.6.** Every nonidentity permutation in  $S_n$  can be decomposed into a product of disjoint cycles, each of which has length at least 2. This decomposition is unique up to the order of the cycles in the product.

**Proof.** Let  $\sigma$  be the nonidentity permutation.

1. The set  $[n]$  can be partitioned into the orbits of  $\langle \sigma \rangle$  on  $[n]$ , i.e.,  $[n] = \bigcup_i \langle \sigma \rangle x_i$  (will be studied in Group Actions).
2. If  $\langle \sigma \rangle x_i = \{x_i\}$ , then it corresponds to the identity permutation.
3. If  $\langle \sigma \rangle x_i \neq \{x_i\}$ , then there must be  $\sigma^{k+\ell} x_i = \sigma^\ell x_i$  for some positive integer  $k$  and some nonnegative integer  $\ell$ , since  $\langle \sigma \rangle x_i$  is finite. This implies there is the least positive integer  $m$  such that  $\sigma^m x_i = x_i$ . Therefore  $\langle \sigma \rangle x_i = \{x_i, \sigma x_i, \sigma^2 x_i, \dots, \sigma^{m-1} x_i\}$  and it induces a cycle  $\tau_i = (x_i(\sigma x_i) \cdots (\sigma^{m-1} x_i))$ .
4. The induced cycles are disjoint since the orbits are disjoint. Also,  $\sigma x = \tau_i x$  if  $x \in \langle \sigma \rangle x_i$ , completing the proof, i.e.,  $\sigma = \tau_1 \cdots \tau_r$  for some  $r$ . Remark that any cycle of length 1 is omitted in the product.

5. To prove the uniqueness, consider  $\sigma = \phi_1 \cdots \phi_s$  for some  $s$ . Take  $x \in [n]$  such that  $\sigma(x) \neq x$ . Then there is a unique  $\phi_j$  such that  $\phi_j(x) = \sigma(x)$ . It follows from  $\phi_j \sigma = \sigma \phi_j$  that  $\phi_j^k(x) = \sigma^k(x)$  and hence the orbit of  $x$  under  $\phi_j$  is one of the orbits of  $\sigma$ . By Proposition 1.4.(ii), we get  $\phi_j = \tau_i$ . So  $s = r$  and  $\phi_i = \tau_i$  after reindexing. ■

**Corollary 1.7.** *The order of a permutation  $\sigma \in S_n$  is the least common multiple of the orders of its disjoint cycles.*

**Proof.** Write  $\sigma = \tau_1 \cdots \tau_r$ . Then  $\sigma^m = \text{Id}$  if and only if  $\tau_i^m = \text{Id}$  (by Proposition 1.4.(vi)) if and only if  $|\tau_i| \mid m$  for all  $i$ . By definition,  $\text{lcm}(|\tau_1|, \dots, |\tau_r|)$  is the least integer that is divisible by all  $|\tau_i|$ . ■

### 1.3 Generators of Symmetric Groups

**Proposition 1.8.** *The following sets are generators of  $S_n$ :*

- (i) *the set of all transpositions;*
- (ii)  $\{(12), (13), (14), \dots, (1n)\};$
- (iii)  $\{(12), (23), (34), \dots, (n-1 \ n)\};$
- (iv)  $\{(12), (123 \cdots n)\};$
- (v)  $\{(12), (23 \cdots n)\};$
- (vi) *if  $n = p$  where  $p$  is a prime, then  $\{(rs), (123 \cdots p)\}$  where  $(rs)$  is any transposition.*

**Proof.** (i) Note that

$$\begin{aligned} (x_1) &= (x_1 x_2)(x_2 x_1), \\ (x_1 x_2 x_3 \cdots x_r) &= (x_1 x_r)(x_1 x_{r-1}) \cdots (x_1 x_3)(x_1 x_2). \end{aligned}$$

Then use Theorem 1.6.

- (ii) Note that  $(ij) = (1i)(1j)(1i)$ . Then use (i).
- (iii) Note that  $(1j) = (1 \ j-1)(j-1 \ j)(1 \ j-1)$ . Then use (ii).
- (iv) Let  $\tau = (12)$  and  $\sigma = (123 \cdots n)$ . Then use Proposition 1.5 and (iii).
- (v) Let  $\tau = (12)$  and  $\sigma = (23 \cdots n)$ . Then use Proposition 1.5 and (ii).

(vi) Let  $\tau = (rs)$  and  $\sigma = (123 \cdots p)$ . Set  $d = s - r$ . By Proposition 1.5,  $\langle \tau, \sigma \rangle$  contains  $\{(k \ k + d) \mid k \in \mathbb{Z}_p\}$ . Note that  $(k + d \ k + 2d)(k \ k + d)(k + d \ k + 2d) = (k \ k + 2d)$  for all  $k \in \mathbb{Z}_p$ . Inductively,  $(k + id \ k + (i + 1)d)(k \ k + id)(k + id \ k + (i + 1)d) = (k \ k + (i + 1)d)$  for all  $k \in \mathbb{Z}_p$  and  $i \in \mathbb{Z}_p \setminus \{0, p - 1\}$ . Since  $d \in \mathbb{Z}_p^*$ , there exists  $d^{-1} \in \mathbb{Z}_p^*$  such that  $d^{-1}d = 1$ . In particular,  $\langle \tau, \sigma \rangle$  contains  $(k, k + d^{-1}d) = (k, k + 1)$  for all  $k \in \mathbb{Z}_p$ . Hence the assertion is proved by (iii). ■

## 1.4 Sign of a Permutation

**Definition 1.9.** A permutation in  $S_n$  is said to be **even** (resp. **odd**) if it can be written as a product of an even (resp. odd) number of transpositions.

As discussed in the previous section, any permutation can be decomposed into a product of transpositions. The decomposition into a product of transpositions is not unique, but the numbers of transpositions appearing in these decompositions are always all even or all odd.

**Theorem 1.10.** A permutation in  $S_n$  ( $n \geq 2$ ) cannot be both even and odd.

**Proof.** A permutation  $\sigma \in S_n$  induces a permutation matrix  $P_\sigma \in \text{GL}(n, \mathbb{R})$ , i.e.  $P_\sigma = (p_{ij})$  where

$$p_{ij} = \begin{cases} 1 & \text{if } \sigma(j) = i, \\ 0 & \text{if } \sigma(j) \neq i. \end{cases}$$

So the map  $S_n \rightarrow \text{GL}(n, \mathbb{R}); \sigma \mapsto P_\sigma$  is a group homomorphism. The transposition  $\tau$  is mapped to a matrix formed by swapping two columns of the identity matrix, and thus  $\det P_\tau = -1$ . Hence  $\sigma$  cannot be both even and odd, since  $\det P_\sigma$  can only take one value. ■

**Definition 1.11.** The **sign** of a permutation  $\tau \in S_n$  is the group homomorphism  $\text{sgn} : S_n \rightarrow \{-1, 1\}$  (here  $\{-1, 1\}$  is a multiplicative group) defined by

$$\text{sgn}(\tau) = \begin{cases} 1 & \text{if } \tau \text{ is even,} \\ -1 & \text{if } \tau \text{ is odd.} \end{cases}$$

## 1.5 Alternating Group

**Definition 1.12.** The group of all even permutations of  $S_n$  is called the **alternating group** of degree  $n$  and is denoted  $A_n$ .

**Lemma 1.13.** When  $n \geq 3$ ,  $A_n$  is generated by the set of all 3-cycles.

**Proof.** Any  $\sigma \in A_n$  is a product of terms of the form  $(ab)(cd)$ . Observe that

$$(ab)(cd) = \begin{cases} 1 & \text{if } \{a, b\} = \{c, d\}, \\ \text{3-cycle} & \text{if } |\{a, b\} \cap \{c, d\}| = 1, \\ (acb)(acd) & \text{if } \{a, b\} \cap \{c, d\} = \emptyset. \end{cases} \quad \blacksquare$$

**Theorem 1.14.** *Let  $n \geq 2$ . Then  $A_n$  is a normal subgroup of  $S_n$  of index 2 and order  $n!/2$ . Furthermore  $A_n$  is the only subgroup of  $S_n$  of index 2.*

**Proof.** The first assertion is proved by the First Isomorphism Theorem on the homomorphism  $\text{sgn}$ . To prove the second assertion, suppose that  $H \neq A_n$  is a subgroup of index 2. By Lemma 1.13, there exists a 3-cycle  $(abc) \notin H$  (otherwise  $A_n \subseteq H$  and thus  $A_n = H$  because  $|A_n| = |H|$ ). However  $H$ ,  $(abc)H$  and  $(abc)^{-1}H$  are distinct cosets, a contradiction.  $\blacksquare$

**Lemma 1.15.** *Let  $r, s$  be distinct elements of  $[n]$ . Then  $A_n$  ( $n \geq 3$ ) is generated by the  $n - 2$  cycles  $(rsk)$ ,  $1 \leq k \leq n$  with  $k \neq r, s$ .*

**Proof.** Let  $a, b, c$  be distinct elements and  $a, b, c \neq r, s$ . The decompositions of any 3-cycles into a product of the  $n - 2$  cycles mentioned above are presented without any motivation:

$$\begin{aligned} (rsa) & \text{ is trivial,} \\ (ras) & = (rsa)^2, \\ (rab) & = (rsb)(rsa)^2, \\ (sab) & = (rsb)^2(rsa), \\ (abc) & = (rsa)^2(rsc)(rsb)^2(rsa). \end{aligned}$$

Hence the result follows from Lemma 1.13.  $\blacksquare$

**Lemma 1.16.** *If  $N$  is a normal subgroup of  $A_n$  ( $n \geq 3$ ) and  $N$  contains a 3-cycle, then  $N = A_n$ .*

**Proof.** Without loss of generality, assume that  $(123) \in N$ . Then  $(213) = (123)^2 \in N$ . Since  $N$  is normal in  $A_n$ ,  $N$  contains all the conjugates  $\sigma(213)\sigma^{-1}$  ( $\sigma \in A_n$ ). In particular, if we choose  $\sigma = (12)(3k)$ , where  $k \geq 4$ , then  $\sigma(213)\sigma^{-1} = (12k)$ . The result follows by Lemma 1.15.  $\blacksquare$

**Theorem 1.17.** *The alternating group  $A_n$  is simple if and only if  $n \neq 4$ .*

**Proof.**

1.  $A_2$  only contains the identity permutation.
2. The order of  $A_3$  is 3 (which is a prime) and hence a cyclic group.
3.  $\{(1), (12)(34), (13)(24), (14)(23)\} \triangleleft A_4$  provides a counterexample.
4. For  $n \geq 5$ , let  $N$  be a nontrivial normal subgroup of  $A_n$ . For any  $\sigma \in S_n$ , we say that  $i \in [n]$  is a **fixed point** of  $\sigma$  if  $\sigma(i) = i$ . The number of fixed points of  $\sigma$  is denoted by  $[n]_\sigma$ .
  - (a) Take a permutation  $\sigma \in N$  so that  $[n]_\sigma$  is the largest among the permutations in  $N$ . Two cases to consider:  $\sigma$  is a product of disjoint transpositions; and  $\sigma$  is a cycle of length at least 3.
  - (b) For the first case, we can find two disjoint transpositions  $(ab)$  and  $(cd)$ . Then we take  $x \neq a, b, c, d$  and define

$$\begin{aligned}\tau &= (cdx) \in A_n, \\ \sigma' &= [\tau, \sigma] = \tau\sigma\tau^{-1}\sigma^{-1} \in N.\end{aligned}$$

It can be checked that we see that  $\sigma'(c) = x$  (and thus nontrivial) and  $\sigma'$  fixes  $a, b$  and  $[n]_\sigma \setminus \{x\}$ . This means that  $[n]_{\sigma'} > [n]_\sigma$ , a contradiction.

- (c) For the second case, if it is of length 3, then we are done. If it is of length 4, then we arrive at a contradiction because it is an odd permutation. If it is of length at least 5, i.e.,  $\sigma = (abcdx \cdots)$ , then using the same construction in (b) one can see that  $\sigma'(c) = d$  and  $\sigma'$  fixes  $b$  and  $[n]_\sigma$ . So  $[n]_{\sigma'} > [n]_\sigma$ , again a contradiction.

5. Therefore  $\sigma$  is a cycle of length 3. Hence Lemma 1.16 gives the result. ■

**Main References.** [Suz82; Lan02; Hun80; Li25]



## 2 Dihedral Groups and the Quaternion Group

### 2.1 Dihedral Groups

**Definition 2.1.** Let  $n \geq 3$  and let  $d : \mathbb{R}^2 \times \mathbb{R}^2 \rightarrow \mathbb{R}_{\geq 0}$  be the Euclidean distance. A **symmetry**  $s$  of a regular polygon  $P_n$  of  $n$  sides is a bijection that preserves distances, i.e., if  $x, y \in P_n$ , then  $d(x, y) \implies d(s(x), s(y))$ . The **dihedral group**  $D_n$  is the group of symmetries of  $P_n$ .

**Proposition 2.2.** Let  $r$  be a rotations of degree  $360^\circ/n$  clockwise around the center of the polygon  $P_n$  and let  $s$  be a fixed reflection about a line through the center and one vertex  $v$ . Then  $D_n = \langle r, s \rangle$  and hence  $|D_n| = 2n$ .

**Proof.** Let  $\sigma$  be a symmetry of  $P_n = \{1, 2, \dots, n\} \subseteq \mathbb{Z}_n$ , labelled in clockwise direction and assume that  $n = v$ . We observe the following:

- (i)  $r^\ell(k) = k + \ell$  for all  $k, \ell \in \mathbb{Z}_n$ ;
- (ii)  $s(k) = -k$  for all  $k \in \mathbb{Z}_n$ .

Suppose that  $\sigma$  maps 1 to some  $i$ . The possible values of  $\sigma(2)$  are  $i - 1$  and  $i + 1$ . If  $\sigma(2) = i + 1$ , then  $\sigma(k) = k + i - 1$  for all  $k \in \mathbb{Z}_n$  and thus  $\sigma$  is equal to  $r^{i-1}$ . If  $\sigma(2) = i - 1$ , then  $\sigma(k) = i + 1 - k$  for all  $k \in \mathbb{Z}_n$ . This implies  $\sigma(k) = r^{i+1}(-k) = r^{i+1}s(k)$  for all  $k \in \mathbb{Z}_n$  and thus  $\sigma = r^{i+1}s$ . Since  $s^2 = e$ , the first assertion is proved. For the second assertion, it suffices to verify that

- (i)  $|r| = n$  and  $|s| = 2$ ;
- (ii)  $r^i s = s r^{-i}$  for all  $0 \leq i \leq n$ ;
- (iii)  $s \neq r_i$  for all  $i$ .

This leads to  $D_n = \{1, r, r^2 \dots, r^{n-1}, s, sr, sr^2 \dots, sr^{n-1}\}$  where the elements in the set are distinct. ■

### 2.2 Presentations of Dihedral Groups

A way to describe a group is by using a **presentation**. Informally, it is an expression of the form  $\langle X | R \rangle$ , where  $X$  is a set of “generators”, and  $R$  is a set of “relations”. The precise definition will be introduced after we have studied free groups. For  $n \geq 1$ ,  $D_n$  has a usual presentation  $\langle r, s \mid r^n = s^2 = 1, rs = sr^{-1} \rangle$ . Clearly  $D_1 \cong \mathbb{Z}_2$  and  $D_2 \cong \mathbb{Z}_2 \times \mathbb{Z}_2$ . This extends the definition of dihedral groups.

The following are some of the presentations commonly used to express  $D_n$ :

- (i) A subgroup of  $S_n$  generated by  $(123 \cdots n)$  and  $\begin{pmatrix} 1 & 2 & 3 & \cdots & n-1 & n \\ 1 & n & n-2 & \cdots & 3 & 2 \end{pmatrix}$ .
- (ii) A subgroup of  $\text{GL}(2, \mathbb{C})$  generated by  $\begin{pmatrix} \cos \frac{2\pi}{n} & -\sin \frac{2\pi}{n} \\ \sin \frac{2\pi}{n} & \cos \frac{2\pi}{n} \end{pmatrix}$  and  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ .
- (iii) A subgroup of  $\text{GL}(2, \mathbb{C})$  generated by  $\begin{pmatrix} e^{2\pi i/n} & 0 \\ 0 & e^{-2\pi i/n} \end{pmatrix}$  and  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ .

## 2.3 Subgroups of Dihedral Groups

We can give the complete description of subgroups of dihedral groups. The proof below depends on Theorem 13.13 (will be studied in Section 13.3).

**Theorem 2.3.** *Every subgroup of a dihedral group  $D_n$  is cyclic or dihedral. In fact, every subgroup of  $D_n = \langle r, s \rangle$  belongs to one of the following lists:*

- (i)  $\langle r^d \rangle$ , where  $d$  is a positive divisor of  $n$ ;
- (ii)  $\langle r^d, r^i s \rangle$ , where  $d$  is a positive divisor of  $n$  and  $0 \leq i \leq d-1$ .

**Proof.** Clearly  $D_n$  contains a cyclic group  $C$  of order  $n$  consisting of rotations. Let  $H$  be any subgroup of  $D_n$ . If  $H \leq C$ , then  $H$  is cyclic. If not, then note that  $H \cap C$  is a proper subgroup of  $C$ . Let  $C = \langle r \rangle$ . Then  $H \cap C = \langle r^d \rangle$  for some positive divisor  $d$  of  $n$ . Take  $r^i s \in H$ . Then we can verify that  $H = \langle r^d, r^i s \rangle$ . Since  $|H| = 2|r^d|$ ,  $|r^d| = n/d$ ,  $|r^i s| = 2$  and  $r^{d+i} s = sr^{-i-d} = r^i sr^{-d}$ , we have  $H \cong D_{n/d}$ . ■

**Theorem 2.4.** *If  $n$  is odd, then the proper normal subgroups of  $D_n$  are those in Theorem 2.3.(i). If  $n$  is even, the proper normal subgroups of  $D_n$  are those in Theorem 2.3.(i), together with  $\langle r^2, s \rangle$  and  $\langle r^2, rs \rangle$ .*

**Proof.** If  $H$  is a cyclic subgroup of  $G$  that is normal in  $G$ , then the subgroups of  $H$  is also normal in  $G$  (see Hungerford Exercises I.5.11). So the subgroups in Theorem 2.3.(i) are normal in  $D_n$ .

Note that for all  $k \in \mathbb{Z}$ , we have  $r(r^k s)r^{-1} = r^{k+2}s$ . So  $r^k s$  and  $r^{k+2}s$  must belong to the same conjugacy class (will be studied in Group Actions). Let  $N$  be a normal subgroup in  $D_n$  containing at least a reflection (those without reflections have been classified in the first paragraph). Now we argue in two cases.

*Case I.* If  $n$  is odd, then the conjugacy classes containing a reflection is  $[s] = \{r^k s \mid k \in \mathbb{Z}\}$ . So  $N$  must contain this entire set. So  $|N| > n$  since there are  $n$  reflections and an identity element. Hence we have  $N = D_n$  by Lagrange's Theorem.

*Case II.* If  $n$  is even, we have two conjugacy classes containing reflections, i.e.,  $[s] = \{r^k s \mid k \in \mathbb{Z} \text{ is even}\}$  and  $[rs] = \{r^k s \mid k \in \mathbb{Z} \text{ is odd}\}$ . If  $N$  contains  $[s]$  and  $[rs]$ , then  $N = D_n$ . If  $N$  contains exactly one of them, then  $|N| > n/2$ . So  $[D_n : N] < 4$ . Also, the element  $r^i s \notin N$  implies that  $r^i s N$  has order two in the quotient group  $D_n/N$ . Thus  $|D_n/N| = [D_n : N]$  must be even and we get  $|D_n/N| = 2$ . In particular,  $r^2 \in N$  since  $N = rNrN = r^2N$ . If  $r \in N$ , then  $N = D_n$ . If  $r \notin N$ , then

$$N = \begin{cases} \langle r^2, s \rangle & \text{if } N \text{ contains } [s], \\ \langle r^2, rs \rangle & \text{if } N \text{ contains } [rs]. \end{cases}$$

■

## 2.4 Quaternion Group

**Definition 2.5.** The **quaternion group** is the group  $Q_8 = \langle i, j \mid i^4 = 1, i^2 = j^2, ij = ji^{-1} \rangle$ . Another presentation is  $\langle -1, i, j, k \mid (-1)^2 = 1, i^2 = j^2 = k^2 = ijk = -1 \rangle$ .

**Proposition 2.6.** *The order of  $Q_8$  is 8.*

**Proof.** Let  $Q_8 = \langle i, j \mid i^4 = 1, i^2 = j^2, ij = ji^{-1} \rangle$ . The relation  $ij = ji^{-1}$  forces every elements in  $Q_8$  can be written as the form  $i^s j^t$  ( $s, t \in \mathbb{Z}$ ). From  $i^4 = 1$  and  $i^2 = j^2$ , one can restrict  $s, t$  to  $s \in \{0, 1, 2, 3\}$  and  $t \in \{0, 1\}$ . Observe that  $\langle i \rangle \cap \langle j \rangle = \langle i^2 \rangle$ . If  $i^{s_1} j^{t_1} = i^{s_2} j^{t_2}$ , then  $j^{t_1 - t_2} \in \langle i^2 \rangle$  and so  $t_1 = t_2$ . Hence  $s_1 = s_2$ . This shows that  $i^s j^t$  ( $s \in \{0, 1, 2, 3\}, t \in \{0, 1\}$ ) are distinct elements in  $Q_8$ . ■

The following are some usual presentations of  $Q_8$ :

- (i) A subgroup of  $\text{GL}(2, \mathbb{C})$  generated by  $\begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}$  and  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ .
- (ii) A subgroup of  $\text{GL}(2, \mathbb{C})$  generated by  $\begin{pmatrix} e^{2\pi i/n} & 0 \\ 0 & e^{-2\pi i/n} \end{pmatrix}$  and  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ .

**Theorem 2.7.** *All subgroups of  $Q_8$  are normal.*

**Proof.** Let  $Q_8 = \langle i, j \mid i^4 = 1, i^2 = j^2, ij = ji^{-1} \rangle$ . Direct verification shows that  $\langle i \rangle$ ,  $\langle j \rangle$  and  $\langle ij \rangle$  are the only subgroups of order 4, and hence they are normal. Also, the only subgroup of order 2 is  $\langle i^2 \rangle = \langle j^2 \rangle = \langle (ij)^2 \rangle$ . We can check that  $\langle i^2 \rangle$  is normal. ■

If a group  $G$  is abelian, then all the subgroups of  $G$  are normal in  $G$ . Theorem 2.7 provides counterexamples to the converse of this statement. Moreover,  $D_4 \not\cong Q_8$ , which can be seen from their normal subgroups.

**Theorem 2.8.** *Let  $G$  be a nonabelian group of order 8. Then either  $G \cong D_4$  or  $G \cong Q_8$ .*

**Proof.** There are no elements of order 8, and there is an element  $g$  of order 4 (otherwise  $x^2 = 1$  for all  $x \in G$  implies  $G$  is abelian). So  $N = \langle g \rangle$  is a subgroup of index 2, and hence a normal subgroup in  $G$ .

Take a nonidentity element  $h \notin N$ . Then  $h^2 \in N$ , otherwise,  $h^2 \notin N$  implies  $h^2 \in hN$  and so  $h \in N$ . Also,  $h^{-1}gh = g^{-1}$  since  $N$  is normal and  $G$  is not abelian.

If  $|h| = 2$ , then  $h^2 = 1$  and hence  $G \cong D_4$ .

If  $|h| = 4$ , then  $h^2 = g^2$  ( $g^2$  is the only element of order 2 in  $N$ ) and hence  $G \cong Q_8$ . ■

**Main References.** [DF04; Art91; Hun80]

## 3 Group Actions

### 3.1 Basic Definitions

**Definition 3.1.** Let  $G$  be a group and let  $X$  be a set. The **left action** of  $G$  on  $X$  is a function  $\rho : G \times X \rightarrow X$  such that

- (i)  $\rho(g, \rho(g', x)) = \rho(gg', x)$  for all  $g, g' \in G$  and  $x \in X$ ;
- (ii)  $\rho(e, x) = x$  for all  $x \in X$ .

**Remark.** To emphasize the set  $X$ , we can talk about an action without explicitly mentioning  $\rho$ . In this case, (i) and (ii) can be rewritten as

- (i)  $g(g'x) = (gg')x$  for all  $g, g' \in G$  and  $x \in X$ ;
- (ii)  $ex = x$  for all  $x \in X$ .

Sometimes, we say that

- $G$  **acts** on  $X$ ;
- $X$  is a  $G$ -**set**;
- The action is a  $G$ -**action** on  $X$ .

Note that the action of  $G$  is implicitly given so as to make  $X$  a  $G$ -set. Also, the action is also called a **left action**. A right action  $X \times G \rightarrow X$  is defined analogously. Based on (i) and (ii), we can define **semigroup action** and **monoid action** accordingly, but these are not our main focus.

**Proposition 3.2.** *Let  $G$  be a group and let  $X$  be a set.*

- (i) *A group action  $G \times X \rightarrow X$  induces a group homomorphism  $G \rightarrow \text{Sym}(X)$ .*
- (ii) *A group homomorphism  $G \rightarrow \text{Sym}(X)$  induces a group action  $G \times X \rightarrow X$ .*

**Proof.** (i) For each  $g \in G$ , the map  $\pi_g : X \rightarrow X; x \mapsto gx$  is bijective (a permutation of  $X$ ). So  $g \mapsto \pi_g$  is a homomorphism of  $G$  into  $\text{Sym}(X)$ .

- (ii) Let  $g \mapsto \pi_g$  be such group homomorphism. Then the mapping  $G \times X \rightarrow X; (g, x) \mapsto \pi_g(x)$  is a group action. ■

Based on Proposition 3.2, (ii) is another way to define a group action. One can use both definitions interchangeably. For example, we can view  $gx$  ( $g \in G, x \in X$ ) as an element  $\rho(g)(x)$  when we emphasize the homomorphism  $\rho : G \rightarrow \text{Sym}(X)$ . In fact, we have the following definition.

**Definition 3.3.** Let  $G$  be a group and let  $X$  be a set. A **permutation representation** of  $G$  is a group homomorphism  $\rho : G \rightarrow \text{Sym}(X)$  where  $X$  is a nonempty set.

**Remark.** Left action and **right action** are not the same. In right action  $X \rightarrow G \rightarrow X$ , we need

- (i)  $(xg)g' = x(gg')$  for all  $g, g' \in G$  and  $x \in X$ ;
- (ii)  $xe = x$  for all  $x \in X$ .

When we have a left action (denoted  $\cdot$ ), the action is not a right action because it does not satisfy (i) in the sense of right action. However, we can induce a right action by defining  $xg = g^{-1} \cdot x$ .

## 3.2 Orbit-Stabilizer Theorem

**Definition 3.4.** Let  $G$  act on  $X$ . A subset

$$O_G(x) = \{gx \mid g \in G\}$$

is called an **orbit** containing  $x \in X$ . The number of elements in  $O_G(x)$  is called the **length** of the orbit  $O_G(x)$ .

**Proposition 3.5.**  $O_G(x)$  ( $x \in X$ ) are equivalence classes with respect to the relation defined by  $x \sim y$  if and only if  $y = gx$  for some  $g \in G$ .

**Proof.** Routine. ■

**Definition 3.6.** Let  $x \in X$ . The set  $S_G(x) = \{g \in G \mid gx = x\}$  is called the **stabilizer** of  $x$ . An element  $g \in G$  is said to **stabilize** or **fix**  $x$  if  $g \in S_G(x)$ .

**Proposition 3.7.** Let  $G$  act on  $X$ . Then

- (i) the stabilizer of  $x \in X$  is a subgroup of  $G$ . Hence it is also called the **isotropy group**;
- (ii) for all  $g \in G$  and  $x \in X$ , we have

$$S_G(gx) = gS_G(x)g^{-1}.$$

**Proof.** Routine. ■

**Lemma 3.8.** Let  $G$  act on  $X$ . Then

- (i) the set of orbits partitions  $X$ , i.e.,  $X = \bigcup_x O_G(x)$  where  $x$  is a representative for each orbit;
- (ii) for each  $x \in X$ , the function  $O_G(x) \rightarrow \{gS_G(x) \mid g \in G\}; gx \mapsto gS_G(x)$  is bijective.

**Proof.** (i) By Proposition 3.5. ■

(ii) Routine. ■

**Theorem 3.9** (Orbit-Stabilizer Theorem). *Let  $G$  act on a **finite** set  $X$ . Then for all  $x \in X$ ,*

$$|O_G(x)| = [G : S_G(x)] = \frac{|G|}{|S_G(x)|}.$$

**Proof.** By Lemma 3.8.(ii). ■

**Corollary 3.10** (Orbit decomposition). *Let  $G$  act on a finite set  $X$ . Let  $n$  be the total number of disjoint orbits. If  $x_i$  is a representative of  $O_G(x_i)$  for  $i = 1, \dots, n$ , then*

$$|X| = \sum_{i=1}^n [G : S_G(x_i)].$$

**Proof.** By Lemma 3.8.(i) and Theorem 3.9. ■

We end this section by counting the total number of orbits in a group action with both group and set being finite.

**Definition 3.11.** Let  $G$  act on a finite set  $X$ . The **character** of a permutation representation of  $G$  is the function  $\chi : G \rightarrow \mathbb{Z}_{\geq 0}$  defined by

$$\chi(g) = |\{x \in X \mid gx = x\}|.$$

In other words,  $\chi(g)$  is the number of points of  $X$  fixed by  $g$ .

**Theorem 3.12** (Burnside's Lemma). *Let  $G$  act on  $X$ . If both  $G$  and  $X$  are finite, then the total number of orbits is given by*

$$\frac{1}{|G|} \sum_{g \in G} \chi(g).$$

**Proof.** We use double counting argument on the set

$$\mathcal{S} = \{(x, g) \mid x \in X, g \in G, gx = x\}.$$

Fix  $x \in X$ , we see that there are  $|S_G(x)|$  elements in  $G$  that fixes  $x$ , and so  $|\mathcal{S}| = \sum_{x \in X} |S_G(x)|$ . On the other hand, fix  $g \in G$ , we see that there are  $\chi(g)$  elements in  $X$  fixed by  $g$ , and so  $|\mathcal{S}| = \sum_{g \in G} \chi(g)$ .

By Theorem 3.9,

$$\frac{1}{|G|} \sum_{g \in G} \chi(g) = \frac{1}{|G|} \sum_{x \in X} |S_G(x)| = \sum_{x \in X} \frac{1}{|O_G(x)|}.$$

To calculate  $\sum_{x \in X} \frac{1}{|O_G(x)|}$ , choose representatives  $x_1, \dots, x_m$  of orbits so that

$$\sum_{x \in X} \frac{1}{|O_G(x)|} = \sum_{i=1}^m \sum_{y \in O_G(x_i)} \frac{1}{|O_G(x_i)|}.$$

Fix  $i$ . The number of elements in  $O_G(x_i)$  is  $|O_G(x_i)|$ . Each element  $y \in O_G(x_i)$  contributes  $\frac{1}{|O_G(x_i)|}$  to the sum  $\sum_{y \in O_G(x_i)} \frac{1}{|O_G(x_i)|}$ . Hence  $\sum_{y \in O_G(x_i)} \frac{1}{|O_G(x_i)|} = 1$  and thus  $\sum_{x \in X} \frac{1}{|O_G(x)|} = m$ . Note that  $m$  is the total number of orbits. ■

### 3.3 Kernel of Group Actions

**Definition 3.13.** Let  $G$  act on  $X$  and let  $\rho : G \rightarrow \text{Sym}(X)$  be the associated homomorphism (discussed in Proposition 3.2). We say that the action is **faithful** if  $\text{Ker } \rho = \{e\}$ .

**Proposition 3.14.** *Let  $G$  act on  $X$ . Then the kernel of the action is the intersection of all the stabilizers  $\bigcap_{x \in X} S_G(x)$ .*

**Proof.** Note that

$$g \in \text{Ker } \rho \iff \rho(g) = \text{Id} \iff gx = x \text{ for all } x \in X \iff g \in S_G(x) \text{ for all } x \in X. \quad \blacksquare$$

### 3.4 Transitive Actions

**Definition 3.15.** Let  $G$  act on  $X$ . The action (or the  $G$ -set) is said to be **transitive** (or  $G$  is **transitive** on  $X$ ) if there is only one orbit, i.e., for all  $x, y \in X$ , there exists  $g \in G$  such that  $y = gx$ ; otherwise, it is **intransitive**.

**Proposition 3.16.** *Let  $G$  act transitively on  $X$ . Then the kernel of the action is*

$$\bigcap_{g \in G} gS_G(x)g^{-1}$$



for some  $x \in X$ .

**Proof.** Choose a representative  $x \in X$  so we can write  $X = \{gx \mid g \in G\}$ . Then for each  $gx \in X$ , we have  $S_G(gx) = gS_G(x)g^{-1}$  by Proposition 3.7.(ii). Hence the result follows from Proposition 3.14. ■

**Proposition 3.17.** *Let  $G$  act transitively on  $X$ . If  $G$  is finite and  $|X| > 1$ , then there exists  $g \in G$  fixing no points of  $X$ .*

**Proof.** We use Theorem 3.12. Since  $\chi(e) = |X| > 1$ , it follows that there exists  $g \in G$  such that  $\chi(g) = 0$ , otherwise the sum  $\sum_{h \in G} \chi(h)$  will exceed  $|G|$ . ■

### 3.5 Conjugations

**Definition 3.18.** Two subsets  $S$  and  $T$  of a group  $G$  are said to be **conjugate** in  $G$  if there exists  $g \in G$  such that  $T = gSg^{-1}$ .

**Definition 3.19.** We say that a group  $G$  act on a set  $X$  by **conjugation** if the action of  $G$  is given by  $(g, x) \mapsto gxg^{-1}$ .

**Remark.** We require that  $gxg^{-1}$  makes sense for all  $x \in X$ . So the set  $X$  cannot be arbitrary chosen. The next definition presents some typical sets involved.

**Definition 3.20.** Let  $G$  be a group and let  $H$  be a subgroup of  $G$ . The following table shows the notions used for orbits and stabilizers of group actions by conjugation.

Group	Set	Orbit	Stabilizer
$G$	$G$	Conjugacy class of $x$	Centralizer of $x$
$H$	$G$	-	Centralizer of $x$ in $H$
$H$	Subsets of $G$	-	Normalizer of $K$ in $H$

**Proposition 3.21.** *The number of conjugates of a subset  $S$  in a group  $G$  is the index of the normalizer of  $S$ . In particular, the number of conjugates of an element  $x$  of  $G$  is the index of the centralizer of  $x$ .*

**Proof.** Trivial. ■

**Definition 3.22.** Consider a finite group  $G$  act on itself by conjugation. The equation

$$|G| = \sum_{i=1}^n [G : C_G(g_i)]$$

which follows from Corollary 3.10, is called the **class equation** of  $G$ .

**Proposition 3.23.** *Let  $G$  be a finite group. Then*

$$|G| = |Z(G)| + \sum_{i=1}^m [G : C_G(x_i)]$$

where  $x_1, \dots, x_m$  are representatives of distinct conjugacy classes such that  $[G : C_G(x_i)] > 1$ .

**Proof.** Note that

$$[G : C_G(x)] = 1 \stackrel{\text{Theorem 3.9}}{\iff} O_G(x) = \{x\} \iff x \in Z(G). \quad \blacksquare$$

**Corollary 3.24.** *Let  $H$  be a subgroup of a finite group  $G$ . If  $\bigcup_{g \in G} gHg^{-1} = G$ , then  $H = G$ .*

**Proof.** Consider the action of  $G$  on the set of subsets of  $G$  by conjugation. Then there are  $|O_G(H)| = [G : N_G(H)]$  distinct conjugates of  $H$ . Since  $|gHg^{-1}| = |H|$  for all  $g \in G$ , we have the bound

$$|G| - 1 \leq [G : N_G(H)](|H| - 1)$$

which can be obtained by counting the nonidentity elements in  $G$ . Note that  $H$  is a subgroup of  $N_G(H)$  and so  $[G : N_G(H)] \leq [G : H]$ . Hence we get  $|G| - 1 \leq |G| - [G : H]$  and thus  $[G : H] \leq 1$ .  $\blacksquare$

**Theorem 3.25** (Landau). *For each positive integer  $k$ , there exists a bound  $B(k)$  such that a finite group  $G$  having exactly  $k$  conjugacy classes satisfies  $|G| \leq B(k)$ .*

We start with a lemma.

**Lemma 3.26.** *Given a positive integer  $k$  and a number  $M$ , there exist at most finitely many solutions in positive integers  $x_i$  for the equation*

$$\frac{1}{x_1} + \frac{1}{x_2} + \dots + \frac{1}{x_k} = M.$$

**Proof.** When  $M < 0$ , there is no solutions (still considered at most finitely many solutions).

Assume that  $M > 0$ . We rearrange  $x_i$ 's so that  $x_k$  is the smallest among  $x_1, \dots, x_k$ . Then  $\frac{k}{x_k} \geq M$ . This restricts the choices of  $x_k$  because  $1 \leq x_k \leq k/M$ . If  $k = 1$ , then we are done. If  $k > 1$ , we write  $\frac{1}{x_1} + \dots + \frac{1}{x_{k-1}} = M - \frac{1}{x_k}$  and apply induction on  $k$ .  $\blacksquare$

**Proof of Theorem 3.25.** Suppose  $G$  has  $k$  conjugacy classes. Multiply the class equation of  $G$  of  $1/|G|$ . By the lemma above, there are finitely many solutions (we treat  $|C_G(x_i)|$  as positive integers in the lemma). So there exists a bound  $B(k)$  such that  $|C_G(x_i)| \leq B(k)$  for all  $i = 1, \dots, k$ . In particular, one element  $x_j$  of the  $x_i$ 's is the identity element and so  $|G| = |C_G(x_j)| \leq B(k)$ . ■

### 3.6 Translations

**Definition 3.27.** Let  $G$  be a group and let  $H$  be a subgroup of  $G$ . We say that  $G$  act on the set of all left cosets of  $H$  in  $G$  by **left translation** (or **left multiplication**) if the action of  $G$  is given by  $(g, aH) \mapsto gaH$ . When  $H = \{e\}$ , we say that  $G$  **acts on itself by left translation** since we can identify each coset  $\{a\}$  as the element  $a$ .

**Theorem 3.28.** *Let  $G$  act on the set  $X$  of left cosets of  $H$  in  $G$  by left translation. Let  $\rho : G \rightarrow \text{Sym}(X)$  be the associated homomorphism. Then*

- (i)  $G$  acts transitively on  $X$ ;
- (ii)  $S_G(H) = H$ ;
- (iii)  $\text{Ker } \rho = \bigcap_{g \in G} gHg^{-1}$ ;
- (iv)  $\text{Ker } \rho$  is the largest normal subgroup of  $G$  contained in  $H$ .

**Proof.** (i) Let  $aH, bH \in X$ . Then we see that  $aH = (ab^{-1})bH$ . Hence  $aH$  and  $bH$  lie in the same orbit.

- (ii)  $S_G(H) = \{g \in G \mid gH = H\} = \{g \in G \mid g \in H\} = H$ .
- (iii) By (i), (ii) and Proposition 3.16.
- (iv) Let  $N$  be a normal subgroup of  $G$  such that  $N \leq H$ . Then  $N = gNg^{-1} \leq gHg^{-1}$  for all  $g \in G$ . So  $N \leq \bigcap_{g \in G} gHg^{-1} = \text{Ker } \rho$ . ■

In the situation of Theorem 3.28, the largest normal subgroup of  $G$  contained in  $H$  is called the **core** of  $H$  in  $G$ , and we write  $N = \text{Core}_G(H)$ .

**Corollary 3.29.** *The action of a group on itself by left translation is faithful.*

**Proof.** By Proposition 3.28.(iii). ■

**Theorem 3.30** (Cayley's Theorem). *Every group is isomorphic to a subgroup of some permutation group. If  $G$  is a group of order  $n$ , then  $G$  is isomorphic to a subgroup of  $S_n$ .*

**Proof.** Let  $G$  act on itself by left translation and let  $\rho : G \rightarrow \text{Sym}(G)$  be the associated homomorphism. By Corollary 3.29, we see that  $\rho$  is a monomorphism. Hence  $G \cong \text{Im } \rho \leq \text{Sym}(G)$ . To prove the second assertion, note that  $\text{Sym}(G) \cong S_n$ . ■

**Definition 3.31.** The isomorphism  $\rho$  defined above is called the **left regular representation**. The **right regular representation** is defined similarly by  $\rho_g(x) = xg^{-1}$  (inverse is needed in order to satisfy axioms).

**Corollary 3.32.** *Let  $G$  be a group and let  $H$  be a subgroup of  $G$  with finite index  $n$ . Then there exists a normal subgroup  $N$  in  $G$  such that  $N$  is a subgroup of  $H$  and  $[G : N]$  divides  $n!$ .*

**Proof.** Consider the action of  $G$  on the set of left cosets of  $H$  in  $G$  by left translation with the induced group homomorphism  $\phi : G \rightarrow \text{Aut}(X)$ , where  $X$  is the set of left cosets of  $H$  in  $G$ . Take  $N = \text{Ker } \phi$ , then  $N$  is the largest normal subgroup of  $G$  contained in  $H$  and  $G/N \cong \text{Im}(\phi) \leq S_n$  by Theorem 3.28.(iv) and Theorem 3.30. Thus,  $[G : N] = |G/N|$  divides  $|S_n| = n!$ . ■

**Corollary 3.33.** *If  $G$  is a finite group of order  $n$  and  $p$  is the smallest prime dividing  $|G|$ , then any subgroup of index  $p$  is normal.*

**Proof.** Let  $H$  be a subgroup of index  $p$ . By Corollary 3.32, we take a normal subgroup  $N$  of  $H$  such that  $[G : N]$  divides  $p!$  and consider  $[G : N] = [G : H][H : N]$ . ■

**Main References.** [DF04; Rot95; Hun80; Isa09]

## 4 The Sylow Theorems

The entire study of this section can be motivated by the following question:

**Question.** Lagrange's Theorem states that for every subgroup  $H$  of a finite group  $G$ , we have  $|H|$  divides  $|G|$ . Is the converse true? That is, if  $d$  divides  $|G|$ , then  $G$  contain a subgroup of order  $d$ .

The following provides a counterexample.

**Proposition 4.1.** *The alternating group  $A_4$  has order 12 and does not contain a subgroup of order 6.*

**Proof.** Let  $H$  be a subgroup of  $A_4$  with  $|H| = 6$ . Then  $[A_4 : H] = 2$ . We claim that  $a^2 \in H$  for all  $a \in A_4$ . If  $a \in H$ , then clearly  $a^2 \in H$ . If  $a \notin H$ , then  $A_4 = H \cup aH$ . If  $a^2H = aH$ , then  $aH = H$ , a contradiction. Thus  $a^2H = H$  and so  $a^2 \in H$ .

Note that every 3-cycle  $(abc)$  is a square, i.e.,  $(abc) = (abc)^4 = ((abc)^2)^2$  and thus they are contained in  $H$ . But there are more than six 3-cycles, while  $|H| = 6$ . ■

**Remark.** In case you want to count the number of  $r$ -cycles in  $S_n$ , there are  $\binom{n}{r}(r-1)!$  of them. It is found by choosing  $r$  elements from  $n$  elements and counting the number of cyclic permutations.

This motivates us to add some conditions so that the converse is true. It turns out that the result is true if the divisor is a power of prime.

### 4.1 $p$ -groups

**Definition 4.2.** Let  $p$  be a prime. A finite  **$p$ -group** is a finite group of order  $p^k$  for some  $k \geq 0$ . A subgroup of  $G$  is called  **$p$ -subgroup** if it is a  $p$ -group.

**Lemma 4.3** (Fixed point lemma). *Let  $G$  be a  $p$ -group and let  $X$  be a finite set. Suppose  $G$  acts on  $X$ . Let  $X_G = \{x \in X \mid gx = x \text{ for all } g \in G\}$ . Then  $|X| \equiv |X_G| \pmod{p}$ .*

**Proof.** Since  $x \in X_G$  if and only if  $|O_G(x)| = 1$ , it follows from Lemma 3.8.(i) that

$$X = \bigcup_{|O_G(x)|=1} O_G(x) \cup \bigcup_{|O_G(x)|>1} O_G(x) = X_G \cup \bigcup_{|O_G(x)|>1} O_G(x).$$

If  $|O_G(x)| > 1$ , then  $p$  divides  $|O_G(x)|$  by Theorem 3.9. This proves the lemma. ■

**Theorem 4.4** (Cauchy's Theorem). *If  $G$  is a finite group whose order is divisible by a prime  $p$ , then  $G$  contains an element of order  $p$ .*

**Proof.** (McKay's Construction) Define

$$X = \{(a_1, a_2, \dots, a_p) \mid a_i \in G \text{ and } a_1 a_2 \cdots a_p = e\}.$$

Note that for every  $k \in \mathbb{Z}_p$ ,  $(a_{k+1}, a_{k+2}, \dots, a_p, a_1, \dots, a_k) \in X$  (use the fact that  $ab = e$  implies  $ba = e$ ). It can be verified that the following function

$$\begin{aligned} \mathbb{Z}_p \times X &\rightarrow X \\ (k, (a_1, a_2, \dots, a_p)) &\mapsto (a_{k+1}, a_{k+2}, \dots, a_p, a_1, \dots, a_k) \end{aligned}$$

is a well-defined action of  $\mathbb{Z}_p$  on  $X$ .

Let  $X_{\mathbb{Z}_p} = \{(a_1, \dots, a_p) \in X \mid k(a_1, \dots, a_p) = (a_1, \dots, a_p) \text{ for all } k \in \mathbb{Z}_p\}$ . Then we can check that

$$X_{\mathbb{Z}_p} = \{(a, \dots, a) \mid a^p = e\}.$$

Note that the set  $X_{\mathbb{Z}_p}$  is nonempty since  $(e, \dots, e) \in X_{\mathbb{Z}_p}$ .

Since  $\mathbb{Z}_p$  is a  $p$ -group, it follows from Lemma 4.3 that  $|X_{\mathbb{Z}_p}| \equiv |X| \pmod{p}$ . Now we claim that  $|X| \equiv 0 \pmod{p}$ . In fact, we have  $|X| = |G|^{p-1}$ . This is established by observing that  $a_p$  is uniquely determined by  $(a_1 a_2 \cdots a_{p-1})^{-1}$ . The claim follows since  $p$  divides  $|G|$ .

Consequently, since  $|X_{\mathbb{Z}_p}| \equiv 0 \pmod{p}$  and  $X_{\mathbb{Z}_p}$  is nonempty, there must be at least  $p$  elements in  $X_{\mathbb{Z}_p}$ . In particular, there exists  $a \neq e$  such that  $a^p = e$ . Since  $p$  is prime, the order of  $a$  is  $p$ . ■

**Remark.** The McKay's trick is famous that it has even appeared in Putnam 2007 A5: Suppose that a finite group has exactly  $n$  elements of order  $p$ , where  $p$  is a prime. Prove that either  $n = 0$  or  $p$  divides  $n + 1$ .

**Corollary 4.5.** *A finite group  $G$  is a  $p$ -group if and only if every element has order a power of the prime  $p$ .*

**Proof.** If  $G$  is a  $p$ -group, then the result immediately follows by Lagrange's Theorem.

Suppose that every element has order a power of the prime  $p$ . Let  $q$  be a prime such that  $q \mid |G|$ . By Theorem 4.4,  $G$  contains an element of order  $q$ . So  $q = p$ , since  $q$  is a power of the prime  $p$ . Hence  $|G|$  is a power of  $p$ . ■

**Proposition 4.6.** *Let  $H$  be a  $p$ -subgroup of a finite group  $G$ .*

$$(i) \quad [N_G(H) : H] \equiv [G : H] \pmod{p};$$

(ii) if  $p \mid [G : H]$ , then  $N_G(H) \neq H$ .

**Proof.** Let  $X$  be the set of left cosets of  $H$  in  $G$ . Let  $H$  act on  $X$  by left translation. By Lemma 4.3,  $|X_H| \equiv |X| \pmod{p}$ . Since  $|X| = [G : H]$  it suffices to show that  $|X_H| = [N_G(H) : H]$ . To see this,

$$xH \in X_H \iff hxH = xH \quad \forall h \in H \iff x^{-1}Hx = H \iff x \in N_G(H).$$

So  $X_H$  contains  $xH$  where  $x \in N_G(H)$ . This proves (i).

For (ii), if  $p \mid [G : H]$ , then we have  $[N_G(H) : H] \equiv 0 \pmod{p}$ . Since  $[N_G(H) : H] \geq 1$ , we must have  $[N_G(H) : H] > 1$  and so  $N_G(H) \neq H$ . ■

## 4.2 Sylow's Theorems

**Definition 4.7.** Let  $G$  be a group of order  $p^k m$ , where  $p \nmid m$ , then a subgroup of order  $p^k$  is called a **Sylow  $p$ -subgroup** of  $G$ . The set of Sylow  $p$ -subgroups of  $G$  will be denoted by  $\text{Syl}_p(G)$ .

**Theorem 4.8** (First Sylow Theorem). *Let  $G$  be a group of order  $p^k m$ , where  $k \geq 1$  and  $p$  is a prime not dividing  $m$ . Then*

- (i) *for each  $1 \leq i \leq k$ , the group  $G$  contains a subgroup of order  $p^i$ ;*
- (ii) *for each  $1 \leq i < k$ , every subgroup of  $G$  of order  $p^i$  is normal in some subgroup of order  $p^{i+1}$ .*

*In particular, Sylow  $p$ -subgroups of  $G$  exists.*

**Proof.** By Theorem 4.4,  $G$  contains an element  $x$  of order  $p$ , and hence a subgroup of order  $p$  (namely  $\langle x \rangle$ ).

We proceed by induction. Assume that  $H$  is a subgroup of order  $p^i$  ( $1 \leq i < k$ ). Then  $[G : H] = |G|/|H| = p^{k-i}m$ . Since  $k - i > 1$ , we have  $p \mid [G : H]$ . From the argument in Proposition 4.6, we know that  $H$  is normal in  $N_G(H)$  and  $|N_G(H)/H| \equiv 0 \pmod{p}$ . Then  $p$  divides  $|N_G(H)/H|$ . By Theorem 4.4,  $N_G(H)/H$  contains a subgroup of order  $p$ . By Correspondence Theorem, this subgroup of order  $p$  is of the form  $K/H$  where  $K$  is a subgroup of  $N_G(H)$  containing  $H$ . Note that  $|K| = |K/H||H| = p \cdot p^i = p^{i+1}$ . Hence  $K$  is the desired subgroup. For (ii), recall that  $H$  is normal in  $N_G(H)$  and  $H \leq K \leq N_G(H)$ . Thus  $H$  is normal in  $K$ . ■

**Theorem 4.9** (Second Sylow Theorem). *Let  $p$  be a prime. If  $P$  is a Sylow  $p$ -subgroup of a finite group  $G$ , and  $H$  is a  $p$ -subgroup of  $G$ , then  $H$  is contained in some conjugate*

of  $P$ , i.e., there exists  $x \in G$  such that  $H \leq xPx^{-1}$ . In particular, any two Sylow  $p$ -subgroups of  $G$  are conjugate.

**Proof.** Consider the set  $X$  of left cosets of  $P$  in  $G$ . Let  $H$  act on  $X$  by left translation. By Lemma 4.3,  $|X_H| \equiv |X| \pmod{p}$ . Now we claim that  $|X_H| \geq 1$ . Since  $|X| = [G : P]$ , it suffices to show that  $p$  does not divide  $[G : P]$ . To see this, note that  $|G| = [G : P]|P|$  by Lagrange's Theorem. Let  $|G| = p^k m$  where  $p$  does not divide  $m$ . Then, we have  $|P| = p^k$  and so  $[G : P] = m$ . Consequently,  $|X_H| \geq 1$ . Let  $xP \in X_H$  where  $x \in G$ . By the definition of  $X_H$ , we have  $hxp = xp$  for all  $h \in H$ . Hence  $H \leq xPx^{-1}$ .

If  $H$  is a Sylow  $p$ -subgroup, then  $|H| = |P| = |xPx^{-1}|$ . Since  $H \leq xPx^{-1}$ , we obtain  $H = xPx^{-1}$ . ■

**Theorem 4.10** (Third Sylow Theorem). *Let  $G$  be a finite group and let  $p$  be a prime. If  $n_p$  is the number of Sylow  $p$ -subgroups of  $G$ , then  $n_p = [G : N_G(P)]$  for any Sylow  $p$ -subgroup  $P$ , and hence  $n_p$  divides  $|G|$ . Furthermore,*

$$n_p \equiv 1 \pmod{p}.$$

*In other words,  $n_p$  is of the form  $kp + 1$  for some  $k \geq 0$ .*

**Remark.** We also see that  $\gcd(n_p, p) = 1$ , will be useful later.

**Proof.** Since any two Sylow  $p$ -subgroups of  $G$  are conjugate by Theorem 4.9, we can choose a Sylow  $p$ -subgroup  $P$  and consider the action of  $G$  on  $\text{Syl}_p(G)$  by conjugation to obtain  $n_p = |O_G(P)|$ . By Theorem 3.9 and Definition 3.20, we have  $n_p = [G : S_G(P)] = [G : N_G(P)]$  and hence  $n_p$  divides  $|G|$ .

Let  $P$  act on  $\text{Syl}_p(G)$  by conjugation. Let  $X = \text{Syl}_p(G)$ . By Lemma 4.3,  $n_p = |X| \equiv |X_P| \pmod{p}$ . We now claim that  $X_P = \{P\}$ . Let  $Q \in X_P$ . Note that

$$Q \in X_P \iff xQx^{-1} = Q \quad \forall x \in P \iff P \leq N_G(Q).$$

Since  $Q$  is also a subgroup in  $N_G(Q)$ , we can view  $P$  and  $Q$  as Sylow  $p$ -subgroups in  $N_G(Q)$ . By Theorem 4.9, there exists  $x \in N_G(Q)$  such that  $P = xQx^{-1}$ . Since  $Q$  is normal in  $N_G(Q)$ , we have  $xQx^{-1} = Q$ . Hence  $P = Q$ . This completes the proof. ■

### 4.3 Applications

As an application, one thing we might do is to determine whether a group contains a normal Sylow subgroup, and so study its simplicity. However, this section is a total mess, as there are many variants of the problems, and some require more elegant



approaches to solve. In this section, I will only focus on a few problems that use common arguments. It is good to explore more ideas through textbooks and their exercises.

**Corollary 4.11.** *Let  $G$  be a group and let  $p$  be a prime. Let  $P$  be the Sylow  $p$ -subgroup of  $G$ . Then  $P$  is unique if and only if  $P$  is normal in  $G$ .*

**Proof.** This follows from Theorem 4.9. ■

**Proposition 4.12.** *Let  $G$  be a group of order  $pm$ , where  $p$  is a prime not dividing the integer  $m \geq 1$ . If the only factor of  $m$ , whose remainder is 1 when divided by  $p$ , is 1, then  $G$  is not simple.*

**Proof.** By Theorem 4.10,  $n_p \equiv 1 \pmod{p}$  and  $n_p | m$ . So  $n_p \in \{\text{divisors of } m\}$ . But the only factor  $d$  of  $m$  which satisfied  $d \equiv 1 \pmod{p}$  is  $d = 1$ , and so  $n_p = 1$ . Therefore there is only one  $p$ -Sylow subgroup, which is therefore normal by Corollary 4.11. Consequently,  $G$  is not simple. ■

**Proposition 4.13.** *Let  $G$  be a group of order  $pq$ , where  $p > q$  are primes. Then  $G$  has a normal Sylow  $p$ -subgroup. Also, if  $G$  is nonabelian, then  $q | (p - 1)$  and  $n_q = p$ .*

**Proof.** By Theorem 4.10, we have two informations:

- (i)  $n_p$  divides  $pq$ ;
- (ii)  $n_p \equiv 1 \pmod{p}$ .

From (i) and  $\gcd(n_p, p) = 1$ , we have  $n_p = 1$  or  $q$ . Since  $1 < q < p$ , it follows from (ii) that  $n_p = 1$ . So the results follows from Corollary 4.11.

Let  $P$  be the only Sylow  $p$ -subgroup of  $G$ . Note that  $G/P \cong \mathbb{Z}_q$  and hence  $G/P$  is abelian. This implies that the commutator subgroup  $[G, G] \leq P$ .

We claim that  $n_q > 1$ . Suppose on the contrary that  $n_q = 1$ . Then there is a normal Sylow  $q$ -subgroup  $Q$  of  $G$ . Hence  $[G, G] \leq Q$ . Therefore,  $[G, G] \leq P \cap Q = \{e\}$ , which means that  $G$  is abelian, a contradiction. Hence  $n_q > 1$ . Since  $n_q = 1$  or  $p$ , we have  $n_q = p$ . By Theorem 4.10, we obtain  $p = n_q \equiv 1 \pmod{q}$  ■

**Proposition 4.14.** *Let  $G$  be a group of order  $p^2q$ , where  $p$  and  $q$  are distinct primes. Then  $G$  has a normal Sylow subgroup (either  $p$  or  $q$ ).*

**Proof.** Let  $P \in \text{Syl}_p(G)$  and let  $Q \in \text{Syl}_q(G)$ .

When  $p > q$ , we have  $n_p | q$  and  $n_p \equiv 1 \pmod{p}$ . Hence  $n_p = 1$  and thus  $P$  is normal in  $G$ .

Consider now the case  $p < q$ . If  $n_q = 1$ , then we are done. If  $n_q > 1$ , Then  $n_q = 1 + kq$  for some  $k \geq 1$ . Since  $n_q$  divides  $p^2$ , we have  $n_q = p$  or  $p^2$ . Since  $q > p$ , we see that  $n_q = 1 + kq > p$ . So we must have  $n_q = p^2$ . We count the elements. Note that for distinct Sylow  $q$ -subgroups  $Q_1$  and  $Q_2$ , we have  $Q_1 \cap Q_2 = 1$ . So they do not share any nonidentity element. Since there are  $p^2$  Sylow  $q$ -subgroups, each containing  $q - 1$  nonidentity elements, we have a total of  $p^2(q - 1)$  nonidentity elements of order  $q$ . So there are  $p^2$  elements which are not of order  $q$ . Then  $P$  must contain these  $p^2$  elements, since  $P$  does not have an element of order  $q$ . Hence  $P$  is unique and thus normal in  $G$ . ■

**Proposition 4.15.** *Let  $G$  be a group of order  $p^3q$ , where  $p$  and  $q$  are distinct primes. Then either  $G$  has a normal Sylow subgroup (either  $p$  or  $q$ ) or that  $p = 2, q = 3, |G| = 24$ .*

**Proof.** The argument for the cases of  $p > q$  and  $p < q, n_q = 1$  are exactly the same as in Proposition 4.14. For the case of  $p < q, n_q > 1$ , then  $n_q = 1 + kq$  for some  $k \geq 1$ . Since  $n_q$  divides  $p^3$ , we have that  $n_q = p^2$  or  $p^3$  as  $n_q > p$ . If we have  $n_q = p^3$ , we count the elements just like in Proposition 4.14 to get a normal Sylow  $p$ -subgroup.

If  $n_q = p^2$ , we know from Sylow's second theorem that  $p^2 \equiv 1 \pmod{q}$ . This implies that  $q \mid p^2 - 1$  or  $q$  divides either  $p - 1$  or  $p + 1$ . Since  $p < q$ ,  $q$  cannot possibly divide  $p - 1$ . Thus,  $q$  divides  $p + 1$  or  $p + 1 = qr$  for some integer  $r$ . Since  $qr = p + 1 < q + 1$ , we must have  $q(r - 1) < 1$ , which forces  $r = 1$ . In this case, we find that  $p = 2, q = 3$  and  $|G| = 24$ . ■

**Proposition 4.16.** *Let  $G$  be a group of order  $p^k m$ , where  $k > 0, m > 1$  and  $p \nmid m$ . If  $G$  is simple, then  $|G|$  divides  $n_p!$ .*

**Proof.** Let  $S \in \text{Syl}_p(G)$  and  $H = N_G(S)$ . By Theorem 3.9, we have  $[G : N_G(S)] = [O_G(S)] = n_p$ . Since  $G$  is simple and  $\{e\} < S < G$ , we know that  $S$  is not normal (otherwise,  $G$  would not be simple) and so  $n_p > 1$ . Then, from Corollary 3.32, there exists a normal subgroup  $N \triangleleft G$  such that  $[G : N] \mid n_p!$ . Since  $G$  is simple,  $N = \{e\}$  and so  $|G|$  divides  $n_p!$ . ■

**Corollary 4.17.** *There is no simple group of order  $1000000 = 2^6 5^6$ .*

**Proof.** From Proposition 4.16, we must have  $|G|$  divides  $n_5!$ . By Sylow's third theorem,  $n_5 \mid 2^6$  and  $n_5 \equiv 1 \pmod{5}$ . The only possibilities are  $n_5 = 1$  or  $16$ . However,  $1000000 \nmid 1!$  and  $1000000 \nmid 16!$ , thus  $G$  is not simple. ■

**Main References.** [Hun80; DF04; Isa09]

## 5 Series

This series is not the series in analysis okay. One approach to studying the structure of groups is by breaking them down using composition series. It turns out that any two composition series of a group have the same of composition factors (Jordan–Hölder Theorem). These composition factors thus form an invariant of the group.

### 5.1 Basic Definitions and Examples

**Definition 5.1.** Let  $G$  be a group. A finite sequence of subgroups

$$G = G_0 \geq G_1 \geq \cdots \geq G_n = \{e\}$$

is called a **series** of  $G$ . The **length** of the series is the number of strict inclusions. Furthermore, we say that it is

- (i) **proper** if  $G_i \neq G_{i+1}$  for all  $i = 0, 1, \dots, n-1$ ;
- (ii) **subnormal** if  $G_i \triangleleft G_{i-1}$  for all  $i = 1, 2, \dots, n$ ;
- (iii) **normal** if  $G_i \triangleleft G$  for all  $i = 0, 1, \dots, n$ .

When the series is subnormal, the quotient groups  $G_i/G_{i+1}$  are called the **factors** of the series. In this case, the length of the series can be defined as the number of nontrivial factors.

Note that we are not concerned with series without any additional properties. Instead, we focus on certain types of series which involve the concept of normality, simplicity and abelian group.

**Definition 5.2.** Given a subnormal series  $G = G_0 \geq G_1 \geq \cdots \geq G_n = \{e\}$ . We say that the series is a **composition series** if each factor  $G_i/G_{i+1}$  is simple. In this case, we may write  $G_0 > G_1 > \cdots > G_n$  and the factors are called **composition factors**.

**Definition 5.3.** Let

$$S: \quad G = G_0 \geq G_1 \geq \cdots \geq G_n = \{e\}$$

be a subnormal series of a group  $G$ . A **refinement** of  $S$  is a subnormal series  $S'$  of  $G$  such that  $G_i$  is a term in  $S'$  for each  $i$ . A refinement of  $S$  is said to be **proper** if its length is larger than the length of  $S$ ; otherwise it is said to be **trivial**.

Simply speaking, refinement is just another subnormal series obtained by inserting a subgroup into the given subnormal series, and it is trivial if we insert a subgroup that already appears in the subnormal series. We do not define refinement for a series because it becomes redundant in this context.

Let us first characterize simple abelian groups before showing examples.

**Proposition 5.4.** *Every abelian group is simple if and only if it is of prime order.*

**Proof.** Let  $G$  be a group with  $|G| = p$  where  $p$  is a prime number. Then Lagrange's Theorem shows that  $G$  has no subgroups other than  $\{e\}$  and  $G$ . So  $G$  is simple.

Conversely, let  $G$  be a simple group. Since  $G$  is abelian, every subgroup is normal. Since  $G$  is simple, it follows that  $G$  has no subgroups other than  $\{e\}$  and  $G$ . If  $G \neq \{e\}$ , choose  $x \in G$  with  $x \neq e$  and consider the subgroup  $\langle x \rangle$  of  $G$ . We see immediately that  $\langle x \rangle = G$ . Now we claim that  $G$  is finite. If  $x$  has infinite order, then all the powers of  $x$  are distinct, and so  $\langle x^2 \rangle \subset \langle x \rangle$  is a proper nontrivial subgroup of  $\langle x \rangle$ , a contradiction. Therefore  $G$  has finite order. If  $|G|$  is not a prime, then  $|G| = mn$  with  $m, n > 1$  and  $\langle x^m \rangle$  is a proper nontrivial subgroup of  $G$ , a contradiction. Consequently,  $|G|$  is a prime number. ■

**Example 5.5.** Let  $G$  be a simple group. Then  $G > \{e\}$  is a composition series.

**Example 5.6.** Let  $C$  be a cyclic group of order  $p^k$ , where  $p$  is a prime and  $k \geq 1$ . Let  $x$  be a generator of  $C$ . Then

$$C = \langle x \rangle > \langle x^p \rangle > \langle x^{p^2} \rangle > \cdots > \langle x^{p^k} \rangle = \{e\}$$

is a composition series. Note that every composition factor in this series is isomorphic to  $\mathbb{Z}_p$ .

**Example 5.7.** Let  $n \geq 2$  be an integer. Let the prime factorization of  $n$  be  $p_1^{k_1} p_2^{k_2} \cdots p_\ell^{k_\ell}$ . Then the following

$$\mathbb{Z}_n > \langle q_1 \rangle > \langle q_1 q_2 \rangle > \cdots > \langle q_1 q_2 \cdots q_m \rangle = \langle n \rangle = \{0\}.$$

is a composition series, where  $q_1, \dots, q_m$  is any ordering of prime factors of  $n$  and  $m = k_1 + \cdots + k_\ell$ . In fact, the number of composition series for  $\mathbb{Z}_n$  is given by  $\frac{m!}{k_1! k_2! \cdots k_\ell!}$ . For example, let  $n = 2025$ . Then

$$\mathbb{Z}_{2025} > \langle 3 \rangle > \langle 9 \rangle > \langle 45 \rangle > \langle 135 \rangle > \langle 675 \rangle > \{0\}$$

is a composition series.

**Example 5.8.** As discussed in Section 1, we obtain composition series for  $S_n$ , where  $n \geq 2$ .

$$\begin{aligned} S_2 &> \{e\}, \\ S_3 &> A_3 > \{e\}, \\ S_4 &> A_4 > \langle (1, 2), (3, 4), (1, 3), (2, 4) \rangle > \langle (1, 2), (3, 4) \rangle > \{e\}, \\ S_n &> A_n > \{e\}, \quad n \geq 5. \end{aligned}$$

**Example 5.9.** In view of Theorem 2.4, we can determine composition series for  $D_4$  as follows.

$$\begin{aligned} D_4 &> \langle r \rangle > \langle r^2 \rangle > \{1\}, \\ D_4 &> \langle s, r^2 \rangle > \langle s \rangle > \{1\}, \\ D_4 &> \langle s, r^2 \rangle > \langle r^2 \rangle > \{1\}, \\ D_4 &> \langle s, r^2 \rangle > \langle sr^2 \rangle > \{1\}, \\ D_4 &> \langle r^2, rs \rangle > \langle r^3 s \rangle > \{1\}, \\ D_4 &> \langle r^2, rs \rangle > \langle rs \rangle > \{1\}, \\ D_4 &> \langle r^2, rs \rangle > \langle r^2 \rangle > \{1\}. \end{aligned}$$

**Example 5.10.** In view of Theorem 2.7, we can determine composition series for  $Q_8$  as follows.

$$\begin{aligned} Q_8 &> \langle i \rangle > \langle -1 \rangle > \{1\}, \\ Q_8 &> \langle j \rangle > \langle -1 \rangle > \{1\}, \\ Q_8 &> \langle ij \rangle > \langle -1 \rangle > \{1\}. \end{aligned}$$

## 5.2 Basic Properties

Before we begin to study the properties of composition series, let us recall some definitions and their basic results that will be used later.

**Definition 5.11.** Let  $M$  be a proper subgroup of a group  $G$  is said to be **maximal** (resp. **maximal normal**) if  $M \leq H \leq G$  (resp.  $M \triangleleft H \triangleleft G$ ) implies  $H = M$  or  $H = G$ .

**Proposition 5.12.** *Let  $G$  be a finite group. Then a maximal (resp. maximal normal) subgroup of  $G$  exists.*

**Proof.** Let  $H$  be a proper subgroup of  $G$ . If  $H$  is a maximal normal subgroup, then we are done. If not, there exists a subgroup  $H_1$  with  $H < H_1 < G$ . Continuing this process, we can obtain a chain of subgroups  $H < H_1 < H_2 < \cdots$ . Since  $G$  is finite, the chain must terminate at  $H_k$ , that is,  $H_k$  is maximal. ■

**Proposition 5.13.** *Let  $G$  be a group. Then  $H$  is a maximal normal subgroup of  $G$  if and only if  $G/H$  is simple.*

**Proof.** Suppose  $H$  is a maximal normal subgroup of  $G$ . Let  $K' = K/H$  be a normal subgroup of  $G/H$ . Then  $H \triangleleft K \triangleleft G$ . This implies  $K = H$  or  $K = G$ , equivalently,  $K' = H/H = \{H\}$  or  $K' = G/H$ .

Conversely, suppose that  $G/H$  is simple. Let  $K$  be such that  $H \triangleleft K \triangleleft G$ . Then  $\{H\} \triangleleft K/H \triangleleft G/H$ . Therefore  $K/H = \{H\}$  or  $K/H = G/H$ , equivalently,  $K = H$  or  $K = G$ . ■

**Proposition 5.14.** *Every finite group has a composition series.*

**Proof.** Let  $G$  be a finite group. We prove by contradiction. If  $G$  is a group having the smallest order that does not have a composition series, then  $G$  is not simple by Example 5.5. Since  $G$  is finite, we can find a maximal normal subgroup  $H$  of  $G$ . Since  $|H| < |G|$  and  $G$  was assumed to be a counterexample with the smallest order,  $H$  must have a composition series. Let this series be  $H > H_1 > \cdots > H_k > \{e\}$ . However,  $G/H$  is simple by Proposition 5.13. This implies  $G > H > H_1 > \cdots > H_k > \{e\}$  is a composition series of  $G$ , a contradiction. ■

Proposition 5.14 is not true for infinite group. For example,  $\mathbb{Z}$  has no composition series because every nontrivial proper subgroup of  $\mathbb{Z}$  (which is of the form  $m\mathbb{Z}$ ,  $m > 1$ ) is not simple.

**Proposition 5.15.** *Every composition series has no proper refinement.*

**Proof.** If it does, then there is a subgroup  $H$  such that  $G_{i+1} \triangleleft_{\neq} H \triangleleft_{\neq} G_i$  for some  $i$ . By Correspondence Theorem,  $H/G_{i+1}$  is a proper normal subgroup of  $G_i/G_{i+1}$ , which contradicts the fact that  $G_i/G_{i+1}$  is simple. ■

### 5.3 Schreier Refinement Theorem and Jordan–Hölder Theorem

**Definition 5.16.** Two subnormal series of a group  $G$

$$H_0 = G \geq H_1 \geq \cdots \geq H_s = \{e\},$$

$$K_0 = G \geq K_1 \geq \cdots \geq K_t = \{e\}$$

are said to be **isomorphic** if there exists a one-to-one correspondence between the set of factors  $\{H_0/H_1, H_1/H_2, \dots, H_{s-1}/H_s\}$  and  $\{K_0/K_1, K_1/K_2, \dots, K_{t-1}/K_t\}$  such that the corresponding factors are isomorphic.

**Remark.** Some authors consider only nontrivial factors to be isomorphic, and they simply say that the series are equivalence (Definition 5.20). It is important to note that our definition allows for trivial factors.

**Lemma 5.17** (Dedekind Law). *Let  $U$  and  $V$  be two subsets of a group  $G$  and let  $L$  be a subgroup of  $G$ . If  $U$  is a subset of  $L$ , then*

$$U(V \cap L) = UV \cap L.$$

**Proof.** We want to show that  $U(V \cap L) \subseteq UV \cap L$  and  $U(V \cap L) \supseteq UV \cap L$ .

Let  $x \in U(V \cap L)$ . Then  $x = uv$  where  $u \in U$  and  $v \in V \cap L$ . Clearly  $x \in UV$ . Since  $U \subset L$ , we have  $x = uv \in L$ . Hence  $U(V \cap L) \subseteq UV \cap L$ .

Let  $x \in UV \cap L$ . Then  $x \in L$  and  $x = uv$  where  $u \in U$  and  $v \in V$ . We show that  $v \in L$ . To see this, note that  $u \in L$  and thus  $v = u^{-1}x \in L$ . Hence  $UV \cap L \subseteq U(V \cap L)$ . ■

**Lemma 5.18** (Zassenhaus Lemma / Butterfly Lemma). *Let  $U, V$  be subgroups of a group. Let  $u, v$  be normal subgroups of  $U$  and  $V$ , respectively. Then*

$$u(U \cap v) \triangleleft u(U \cap V) \quad \text{and} \quad (u \cap V)v \triangleleft (U \cap V)v.$$

Moreover,

$$\frac{u(U \cap V)}{u(U \cap v)} \cong \frac{(U \cap V)v}{(u \cap V)v}.$$

**Proof.** Since  $u \triangleleft U$ , the sets  $u(U \cap v)$  and  $u(U \cap V)$  are subgroups. Since  $v \triangleleft V$ , we have  $U \cap v = (U \cap V) \cap v \triangleleft U \cap V$ . Hence  $u(U \cap v) \triangleleft u(U \cap V)$ . Similarly, we obtain  $(u \cap V)v \triangleleft (U \cap V)v$ .

Set  $H = u(U \cap v)$  and  $K = U \cap V$ . By the Second Isomorphism Theorem, we have  $HK/H \cong K/(H \cap K)$ . Now we simply  $HK$  and  $H \cap K$ . By Lemma 5.17, we see that

$$\begin{aligned} HK &= u(U \cap v)(U \cap V) \\ &= u((U \cap v)U \cap V) \\ &= u(U \cap V), \\ H \cap K &= u(U \cap v) \cap (U \cap V) \end{aligned}$$

$$\begin{aligned}
&= (U \cap v)u \cap (U \cap V) \\
&= (U \cap v)(u \cap (U \cap V)) \\
&= (U \cap v)(u \cap V).
\end{aligned}$$

Hence we obtain

$$\frac{u(U \cap V)}{u(U \cap v)} \cong \frac{U \cap V}{(U \cap v)(u \cap V)}.$$

A similar isomorphism involving the terms in the right hand side of the formula above can be obtained by exchanging  $U$  and  $V$ . This completes the proof.  $\blacksquare$

**Theorem 5.19** (Schreier Refinement Theorem). *Let  $G$  be a group. Then any two subnormal series of  $G$  have isomorphic subnormal refinements.*

**Proof.** Let

$$\begin{aligned}
S: \quad & H_0 = G \geq H_1 \geq \cdots \geq H_s = \{e\}, \\
T: \quad & K_0 = G \geq K_1 \geq \cdots \geq K_t = \{e\}
\end{aligned}$$

be two subnormal series. Let  $H_{ij} = H_i(H_{i-1} \cap K_j)$  for  $i \in \{1, 2, \dots, s\}$  and  $j \in \{0, 1, \dots, t\}$ . We first claim that  $\{H_{ij}\}$  is a refinement of  $S$ . Let  $i \in \{1, \dots, s\}$  be a fixed integer. Since  $H_i \triangleleft H_{i-1}$  and  $K_j \triangleleft K_{j-1}$ , it follows from Lemma 5.18 that  $H_i(H_{i-1} \cap K_j) \triangleleft H_i(H_{i-1} \cap K_{j-1})$  for  $j \in \{1, \dots, t\}$ . This implies

$$H_{it} \triangleleft H_{i,t-1} \triangleleft \cdots \triangleleft H_{i1} \triangleleft H_{i0}.$$

Recall that  $K_t = \{e\}$  and  $K_0 = G$ . So we have  $H_{it} = H_i(H_{i-1} \cap K_t) = H_i(H_{i-1} \cap \{e\}) = H_i$  and  $H_{i0} = H_i(H_{i-1} \cap K_0) = H_i(H_{i-1} \cap G) = H_i H_{i-1} = H_{i-1}$ . This proves the first claim.

Let  $K_{ji} = K_j(K_{j-1} \cap H_i)$  for  $j \in \{1, 2, \dots, t\}$  and  $i \in \{0, 1, \dots, s\}$ . By using a similar argument, one can immediately see that  $\{K_{ji}\}$  is a refinement of  $T$ .

There are  $st$  factors (not necessarily distinct) in both refinements when we regard  $H_{i0} = H_{i-1} = H_{i-1,t}$  (resp.  $K_{j0} = K_{j-1} = K_{j,s}$ ) as a single term. Also, Lemma 5.18 shows that

$$\frac{H_{i,j-1}}{H_{ij}} \cong \frac{K_{j,i-1}}{K_{ji}}$$

for all  $i \in \{1, \dots, s\}$  and  $j \in \{1, \dots, t\}$ . Thus two refinements are isomorphic.  $\blacksquare$

**Definition 5.20.** Let  $S$  and  $T$  be subnormal series of a group  $G$ . We say that  $S$  and  $T$  are **equivalent** if there is a one-to-one correspondence between the nontrivial



factors of  $S$  and the nontrivial factors of  $T$  such that the corresponding factors are isomorphic.

**Theorem 5.21** (Jordan–Hölder Theorem). *Any two composition series of a group  $G$  are equivalent.*

**Proof.** Let

$$\begin{aligned} S : \quad H_0 = G > H_1 > \cdots > H_s = \{e\}, \\ T : \quad K_0 = G > K_1 > \cdots > K_t = \{e\} \end{aligned}$$

be two composition series. By Proposition 5.15, any composition series has no proper refinement. Consequently, there is exactly one nontrivial factor in a refinement between  $H_{i-1}$  and  $H_i$  (resp.  $K_{i-1}$  and  $K_i$ ), and this factor is isomorphic to  $H_{i-1}/H_i$  (resp.  $K_{i-1}/K_i$ ). Clearly there are exactly  $s$  nontrivial factors in  $S$  and  $t$  nontrivial factors in  $T$ . By Theorem 5.19,  $S$  and  $T$  have isomorphic refinements. In particular, they have the same number of nontrivial factor groups, since the nontrivial factors of the two series can be paired up such that the factors in each pair are isomorphic. This proves the theorem. ■

By Theorem 5.21, we can conclude that composition factors of a group are unique determined up to isomorphism.

**Corollary 5.22.** *If a group  $G$  possesses a composition series, then any subnormal series of  $G$  can be refined to a composition series.*

**Proof.** Let  $S$  be a composition series and let  $T$  be a subnormal series. By Theorem 5.19,  $S$  has a refinement  $S'$  which is isomorphic to a refinement  $T'$  of  $T$ . Since  $T$  and  $T'$  are equivalent,  $S'$  becomes a composition series after removing repeated terms. ■

**Corollary 5.23.** *Let  $H$  be a normal subgroup of a group  $G$  which has a composition series. Then both  $H$  and  $G/H$  have a composition series.*

**Proof.** In view of Corollary 5.22, the subnormal series  $G \geq H \geq \{e\}$  can be refined to a composition series  $G > G_1 > \cdots > G_k = H > H_1 > \cdots > H_n = \{e\}$ . Omitting terms before  $H$  giving a composition series of  $H$ . Omitting terms after  $H$  and consider the series  $G/H > G_1/H > \cdots > G_k/H$ . By the Third Isomorphism Theorem, it can be verified that this is a composition series of  $G/H$ . ■

We end the section by giving a new fancy proof of Fundamental Theorem of Arithmetic.

**Corollary 5.24** (Fundamental Theorem of Arithmetic). *Every integer  $n \geq 2$  can be represented uniquely as a product of prime numbers, up to the order of the factors.*

**Proof.** Since the group  $\mathbb{Z}_n$  is finite, it follows from Proposition 5.14 that  $\mathbb{Z}_n$  has a composition series. Let  $S_1, \dots, S_t$  be the factor groups. Moreover, since  $|\mathbb{Z}_n| = |S_1||S_2| \cdots |S_t|$ , together with Proposition 5.4 we see that  $n$  is a product of primes. Then Theorem 5.21 gives the uniqueness of the prime orders of the factor groups and their multiplicities. ■

**Main References.** [Lan02; Suz82; DF04; Li25; Rot15]

## 6 Direct Products

### 6.1 Direct Products of Finitely Many Groups

**Definition 6.1.** Let  $H_1, H_2, \dots, H_n$  be groups. The **direct product** of these groups is the Cartesian product set  $H_1 \times H_2 \times \dots \times H_n$ , equipped with the following binary operation

$$(x_1, x_2, \dots, x_n)(y_1, y_2, \dots, y_n) = (x_1y_1, x_2y_2, \dots, x_ny_n).$$

Sometimes we write  $\prod_{i=1}^n H_i = H_1 \times H_2 \times \dots \times H_n$ .

**Proposition 6.2.** Let  $H_1, H_2, \dots, H_n$  be groups.

- (i) The direct product  $\prod_{i=1}^n H_i$  is a group. If  $1_i$  denotes the identity of the group  $H_i$ , then the element  $(1_1, 1_2, \dots, 1_n)$  is the identity of  $\prod_{i=1}^n H_i$ . The inverse of  $(x_1, x_2, \dots, x_n)$  is  $(x_1^{-1}, x_2^{-1}, \dots, x_n^{-1})$ . For each  $(x_1, x_2, \dots, x_n) \in \prod_{i=1}^n H_i$ ,  $|(x_1, x_2, \dots, x_n)| = \text{lcm}(|x_1|, |x_2|, \dots, |x_n|)$ .
- (ii)  $H_1 \times H_2 \times \dots \times H_n \cong (H_1 \times \dots \times H_m) \times (H_{m+1} \times \dots \times H_n)$ .
- (iii)  $H_1 \times H_2 \cong H_2 \times H_1$ .

**Proof.** (i) Trivial. (ii) and (iii) Construct appropriate isomorphisms. ■

**Definition 6.3.** The direct product above is called an **external direct product**. If the operations in  $H_i$  are written additively, then we call  $\prod_{i=1}^n H_i$  the **external direct sum** of these groups and write  $H_1 \oplus H_2 \oplus \dots \oplus H_n$  or  $\bigoplus_{i=1}^n H_i$ .

**Proposition 6.4.** Let  $G$  be the direct product of the groups  $H_1, \dots, H_n$ . For each  $i = 1, \dots, n$ , let

$$\overline{H}_i = \{(1_1, 1_2, \dots, 1_{i-1}, x_i, 1_{i+1}, \dots, 1_n) \mid x_i \in H_i\}.$$

In other words,  $\overline{H}_i$  is the image of  $H_i$  under canonical injection. Then the following propositions hold.

- (i) The subgroup  $\overline{H}_i$  is isomorphic to  $H_i$ .
- (ii) The subgroup  $\overline{H}_i$  is normal in  $G$ .
- (iii) Each element in  $\overline{H}_i$  commutes with each element in  $\overline{H}_j$  for  $i \neq j$ . In this case, we say that  $\overline{H}_i$  and  $\overline{H}_j$  **commute elementwise**.
- (iv)  $G = \overline{H}_1 \overline{H}_2 \dots \overline{H}_n$  and every element of  $G$  can be written uniquely as  $x_1 x_2 \dots x_n$  with  $x_i \in \overline{H}_i$  for all  $i$ .

(v) For each  $k = 1, \dots, n$ , we have  $\overline{H}_k \cap (\overline{H}_1 \cdots \overline{H}_{k-1} \overline{H}_{k+1} \cdots \overline{H}_n) = \{1\}$ .

**Proof.** Routine. ■

**Corollary 6.5.** Let  $H_1, H_2, \dots, H_n$  be groups. Then

$$|H_1 \times H_2 \times \cdots \times H_n| = |H_1| \cdot |H_2| \cdots |H_n|.$$

**Proof.** Note that  $|XY| = |X| \cdot |Y| / |X \cap Y|$ . Use induction. ■

Let  $G$  be an arbitrary group. We wish to know the characterization of  $G$  if we have normal subgroups satisfying the properties in Proposition 6.4. This leads to the definition of internal direct product.

**Lemma 6.6.** Let  $H$  and  $K$  be normal subgroups of a group  $G$  such that  $H \cap K = \{1\}$ . Then  $hk = kh$  for every  $h \in H$ ,  $k \in K$ .

**Proof.** Let  $h \in H$  and  $k \in K$ . Note that

$$[h, k] = h(kh^{-1}k^{-1}) = (hkh^{-1})k.$$

Since  $H, K \triangleleft G$ , we get  $[h, k] \in H \cap K = \{1\}$ . So we obtain  $[h, k] = 1$  and thus  $hk = kh$ . ■

**Theorem 6.7.** Let  $H_1, H_2, \dots, H_n$  be normal subgroups of a group  $G$  such that  $G = H_1 H_2 \cdots H_n$ . Then the following are equivalent.

- (1) The subgroups  $H_i$  and  $H_j$  commute elementwise for  $i \neq j$ , and every element of  $G$  can be written uniquely as  $x_1 x_2 \cdots x_n$  with  $x_i \in H_i$  for all  $i$ .
- (2) For each  $k = 1, \dots, n$ , we have  $H_k \cap (H_1 \cdots H_{k-1} H_{k+1} \cdots H_n) = \{1\}$ .
- (3) For each  $k = 2, \dots, n$ , we have  $H_k \cap (H_1 \cdots H_{k-1}) = \{1\}$ .
- (4) There is an isomorphism  $G \cong \prod_{i=1}^n H_i$  such that the subgroup  $H_i$  of  $G$  corresponds to the subgroup  $\overline{H}_i$  of the direct product.

**Definition 6.8.** The group  $G = H_1 H_2 \cdots H_n$  is called the **internal direct product** of the normal subgroups  $H_i$  if the conditions in Theorem 6.7 are satisfied. The normal subgroups  $H_1, \dots, H_n$  of  $G$  are said to be **independent** if they satisfy condition (2) in Theorem 6.7.

**Proof.** (1)  $\Rightarrow$  (2) Fix  $k$  and suppose  $g \in H_k \cap (H_1 \cdots H_{k-1} H_{k+1} \cdots H_n)$ . Since  $g \in H_1 \cdots H_{k-1} H_{k+1} \cdots H_n$ , we can write  $g = x_1 x_2 \cdots x_n$  where  $x_i \in H_i$  for all  $i$  and  $x_k = 1$ . Since  $g \in H_k$ , we can also write  $g = y_1 y_2 \cdots y_n$ , with  $y_i \in H_i$ ,  $y_k = g$  and  $y_i = 1$  for  $i \neq k$ . The uniqueness of expression implies that  $x_i = y_i$  for all  $i$ . In particular,  $g = y_k = x_k = 1$ .

(2)  $\Rightarrow$  (3) Since  $H_k \cap (H_1 \cdots H_{k-1}) \subseteq H_k \cap (H_1 \cdots H_{k-1} H_{k+1} \cdots H_n)$ , the result follows immediately.

(3)  $\Rightarrow$  (1) The first assertion follows from Lemma 6.6. Since  $G = H_1 H_2 \cdots H_n$ , every element of  $G$  can be written as  $x_1 x_2 \cdots x_n$  with  $x_i \in H_i$  for all  $i$ . Suppose that  $x_1 x_2 \cdots x_n = y_1 y_2 \cdots y_n$  where  $x_i, y_i \in H_i$  for all  $i$ . Hence

$$x_n y_n^{-1} = (x_1 x_2 \cdots x_{n-1})^{-1} y_1 y_2 \cdots y_{n-1} = (x_1^{-1} y_1) (x_2^{-1} y_2) \cdots (x_{n-1}^{-1} y_{n-1}).$$

Let  $w = x_n y_n^{-1}$ . Then we see that  $w \in H_n \cap H_1 H_2 \cdots H_{n-1}$ . Since  $H_n \cap H_1 \cdots H_{n-1} = \{1\}$ , we have  $w = 1$  and thus  $x_n = y_n$ . By repeating this argument, we prove the second assertion.

(1)  $\Rightarrow$  (4) Let  $g$  be an element of  $G$ . We can write the element  $g$  uniquely as  $g = x_1 x_2 \cdots x_n$ , where  $x_i \in H_i$  for all  $i$ . Let the function  $\psi : G \rightarrow \prod_{i=1}^n H_i$  be defined by

$$\psi(g) = (x_1, x_2, \dots, x_n).$$

Let  $h = y_1 \cdots y_n$  be another element of  $G$  where  $y_i \in H_i$  for all  $i$ . Since  $H_i$  and  $H_j$  commutes elementwise for  $i \neq j$ , we get

$$gh = (x_1 y_1) (x_2 y_2) \cdots (x_n y_n).$$

Thus

$$\psi(gh) = (x_1 y_1, x_2 y_2, \dots, x_n y_n) = \psi(g) \psi(h)$$

and this proves that  $\psi$  is a homomorphism. It is clear that  $\psi$  is bijective and  $\psi(H_i) = \overline{H}_i$  for all  $i$ .

(4)  $\Rightarrow$  (3) We can verify that  $\overline{H}_k \cap (\overline{H}_1 \cdots \overline{H}_{k-1}) = \{1\}$  and then apply the isomorphism to obtain (3). ■

**Remark.** In view of Theorem 6.7.(4), we can omit the terms “internal” and “external” and simply write direct product when the context is clear. However, we need to be careful when dealing with some properties that are not stated in the discussion above. For example, let  $G = HK = HL$  be two internal direct products. Then

$$G/H \cong HK/H \cong K/(H \cap K) \cong K$$

by the Second Isomorphism Theorem. Similarly, we obtain  $G/H \cong L$ . Hence we get  $H \cong L$ . This result is not true for external direct product. When we view the set of real numbers  $\mathbb{R}$  as an additive group, we have

$$\mathbb{R} \oplus \{0\} \cong \mathbb{R} \cong \mathbb{R} \oplus \mathbb{R}.$$

This provides a counterexample. Note that  $\mathbb{R} \cong \mathbb{R} \oplus \mathbb{R}$  is a vector space isomorphism. It can be established by looking at the dimension of both vector spaces over  $\mathbb{Q}$ .

**Corollary 6.9.** *Let  $H_1, \dots, H_n$  be normal subgroups of a group such that  $|H_i|$  is relatively prime to  $|H_j|$  for  $i \neq j$ . Then*

$$H_1 H_2 \cdots H_n \cong H_1 \times H_2 \times \cdots \times H_n.$$

**Proof.** Note that  $|H_1 \cap H_2|$  divides both  $|H_1|$  and  $|H_2|$ . So, we have  $H_1 \cap H_2 = \{1\}$  because  $|H_1 \cap H_2| = \gcd(|H_1|, |H_2|) = 1$ . Therefore  $|H_1 H_2| = |H_1| \cdot |H_2| / |H_1 \cap H_2| = |H_1| \cdot |H_2|$ . Suppose that  $|H_1 \cdots H_k| = |H_1| \cdots |H_k|$  for some  $1 \leq k < n$ . Then we see that

$$\begin{aligned} |H_1 \cdots H_k \cap H_{k+1}| &= \gcd(|H_1 \cdots H_k|, |H_{k+1}|) \\ &= \gcd(|H_1| \cdots |H_k|, |H_{k+1}|) \\ &= 1. \end{aligned}$$

This implies that

$$H_1 \cdots H_k \cap H_{k+1} = \{1\}$$

for all  $1 \leq k \leq n-1$ . This satisfies Theorem 6.7.(2). Therefore  $H_1 \cdots H_n$  is isomorphic to the direct product by Theorem 6.7.(4). ■

**Corollary 6.10.** *Let  $G$  be the direct product of the subgroups  $H_1, H_2, \dots, H_n$ . Then*

$$Z(G) = Z(H_1) \times Z(H_2) \times \cdots \times Z(H_n).$$

**Proof.** Write  $Z_i = Z(H_i)$ . If  $i \neq j$ , then  $H_i$  and  $H_j$  commute elementwise by Lemma 6.6. Let  $k$  be fixed. Then we see that  $H_i \subseteq C_G(H_k) \subseteq C_G(Z_k)$  for all  $i \neq k$ . Clearly  $H_k \subseteq C_G(Z_k)$ . Thus  $G = H_1 H_2 \cdots H_n \subseteq C_G(Z_k)$  and so  $Z_k \subseteq Z(G)$  for each  $k$ . Thus  $Z_1 Z_2 \cdots Z_n \subseteq Z(G)$ .

Now let  $z \in Z(G)$  and write  $z = z_1 z_2 \cdots z_n$  with  $z_i \in H_i$  for all  $i$ . Let  $g \in G$ , then

$$z = g z g^{-1} = (g z_1 g^{-1})(g z_2 g^{-1}) \cdots (g z_n g^{-1}).$$

Since  $H_i \triangleleft G$ , we have  $gz_i g^{-1} \in H_i$  for all  $i$ . By the uniqueness part of Theorem 6.7.(1), we get  $z_i = gz_i g^{-1}$  for all  $i$ , and thus  $z_i \in Z(G)$ . In particular,  $z_i \in Z_i$ . Therefore  $z \in Z_1 Z_2 \cdots Z_n$  and we have  $Z(G) = Z_1 Z_2 \cdots Z_n$ . This product is direct because uniqueness is inherited from the fact that  $G = \prod H_i$ . ■

**Proposition 6.11.** *Suppose that a group  $G$  is the direct product of the subgroups  $H_1, \dots, H_n$ . Let  $N_i$  be a normal subgroup of  $H_i$  for each  $i$ . Let  $N = N_1 N_2 \cdots N_n$ . Then the following propositions hold.*

(i) *Each  $N_i$  is a normal subgroup of  $G$ .*

(ii) *The group  $N$  is the direct product of the subgroups  $N_1, N_2, \dots, N_n$ , i.e.,*

$$N_1 N_2 \cdots N_n \cong N_1 \times N_2 \times \cdots \times N_n.$$

(iii) *The group  $G/N$  is isomorphic to the direct product of the groups  $H_1/N_1, \dots, H_n/N_n$ , i.e.,*

$$\frac{H_1 \times H_2 \times \cdots \times H_n}{N_1 N_2 \cdots N_n} \cong H_1/N_1 \times H_2/N_2 \times \cdots \times H_n/N_n.$$

**Proof.** (i) If  $i \neq j$ , then  $H_i$  and  $H_j$  commute elementwise by Lemma 6.6. Thus  $N_k$  commutes elementwise with  $H_i$  for  $i \neq k$ . Hence  $N_G(N_k)$  contains all  $H_i$  and so  $N_G(N_k) = H_1 H_2 \cdots H_n = G$ . Therefore we have  $N_i \triangleleft G$ .

(ii) It follows from  $N_k \cap (N_1 \cdots N_{k-1}) = \{1\}$  for each  $k = 2, \dots, n$  and Theorem 6.7.

(iii) Let the function  $\psi : G \rightarrow H_1/N_1 \times H_2/N_2 \times \cdots \times H_n/N_n$  be defined by

$$\psi(g) = (x_1 N_1, \dots, x_n N_n)$$

where  $g = x_1 \cdots x_n$  and  $x_i \in H_i$  for all  $i$ . Then  $\psi$  is a surjective homomorphism. Clearly  $\ker \psi = N$ . ■

## 6.2 Direct Products of Infinitely Many Groups

We have considered the direct product of a finite number of groups. The direct product of infinitely many groups may be defined similarly.

**Definition 6.12.** Let  $\{H_\lambda \mid \lambda \in \Lambda\}$  be a family of groups indexed by a set  $\Lambda$ . Consider the set  $\prod_{\lambda \in \Lambda} H_\lambda$  of functions  $f : \Lambda \rightarrow \bigcup_{\lambda \in \Lambda} H_\lambda$  defined on  $\Lambda$  such that  $f(\lambda) \in H_\lambda$

for all  $\lambda \in \Lambda$ , and define the product of two such functions  $f$  and  $g$  by the formula

$$(fg)(\lambda) = f(\lambda)g(\lambda).$$

Then  $\prod_{\lambda \in \Lambda} H_\lambda$  equipped with the operation defined above forms a group, and is called the **unrestricted direct product** (or **complete direct product**) of the groups  $H_\lambda$  ( $\lambda \in \Lambda$ ). The subgroup  $\prod_{\lambda \in \Lambda}^w H_\lambda$  of  $\prod_{\lambda \in \Lambda} H_\lambda$  consisting of functions such that  $f(\lambda)$  is the identity of  $H_\lambda$  for all but a finite number of  $\lambda$ 's is called the **restricted direct product** (or **weak direct product**) of the groups  $H_\lambda$  ( $\lambda \in \Lambda$ ).

**Remark.** If we only mention direct product, it means the restricted direct product. If  $\Lambda = \{1, \dots, n\}$ , then  $\prod_{\lambda \in \Lambda}^w H_\lambda = H_1 \times \dots \times H_n$ .

**Theorem 6.13.** *Let  $\prod_{\lambda \in \Lambda} H_\lambda$  be the unrestricted direct product of the groups  $H_\lambda$  ( $\lambda \in \Lambda$ ). Then  $(\prod_{\lambda \in \Lambda} H_\lambda, \{\pi_\lambda \mid \lambda \in \Lambda\})$  is a product in the category of groups, where each  $\pi_\mu : \prod_{\lambda \in \Lambda} H_\lambda \rightarrow H_\mu$  is the canonical projection.*

**Proof.** Let  $(G, \{\varphi_\lambda : G \rightarrow H_\lambda\})$  be a pair of a group and homomorphisms. Then verify that the function

$$\begin{aligned} \varphi : G &\rightarrow \prod_{\lambda \in \Lambda} H_\lambda \\ g &\mapsto (\varphi_\lambda(g))_{\lambda \in \Lambda} \end{aligned}$$

is a unique homomorphism such that  $\pi_\lambda \circ \varphi = \varphi_\lambda$  for all  $\lambda \in \Lambda$ . ■

**Proposition 6.14.** *Let  $G$  be the restricted direct product of a family of groups  $\{H_\lambda \mid \lambda \in \Lambda\}$ . For each  $\lambda \in \Lambda$ , let  $\overline{H}_\lambda$  be the image of  $H_\lambda$  under canonical injection. Then the following propositions hold.*

- (i) *The subgroup  $\overline{H}_\lambda$  is isomorphic to  $H_\lambda$ .*
- (ii) *The subgroup  $\overline{H}_\lambda$  is normal in  $G$ .*
- (iii) *The subgroups  $\overline{H}_\lambda$  and  $\overline{H}_\mu$  commute elementwise for  $\lambda \neq \mu$ .*
- (iv)  *$G = \langle \overline{H}_\lambda \mid \lambda \in \Lambda \rangle$ , and for every nonidentity element  $g$  of  $G$ , there exists a unique finite subset  $\{\lambda_1, \dots, \lambda_n\}$  of  $\Lambda$  such that  $g$  can be written uniquely as  $x_1 x_2 \dots x_n$  with  $x_i \in \overline{H}_{\lambda_i} \setminus \{1\}$  for all  $i$ .*

**Proof.** Routine. ■

We also have **internal direct product** of a family of normal subgroups as follows.



**Theorem 6.15.** *Let  $\{H_\lambda \mid \lambda \in \Lambda\}$  be a family of normal subgroups of a group  $G$  such that  $G = \langle H_\lambda \mid \lambda \in \Lambda \rangle$ . Then the following are equivalent.*

- (1) *The subgroups  $H_\lambda$  and  $H_\mu$  commute elementwise for  $\lambda \neq \mu$ , and for every non-identity element  $g$  of  $G$ , there exists a unique finite subset  $\{\lambda_1, \dots, \lambda_n\}$  of  $\Lambda$  such that  $g$  can be written uniquely as  $x_1 x_2 \cdots x_n$  with  $x_i \in H_{\lambda_i} \setminus \{1\}$  for all  $i$ .*
- (2) *For each  $\lambda \in \Lambda$ , we have  $H_\lambda \cap \langle H_\mu \mid \mu \neq \lambda \rangle = \{1\}$ .*
- (3) *There is an isomorphism  $G \cong \prod_{\lambda \in \Lambda}^w H_\lambda$  such that the subgroup  $H_\lambda$  of  $G$  corresponds to the subgroup  $\overline{H}_\lambda$  of the direct product.*

**Proof.** (1)  $\Rightarrow$  (2) We first show that for all finite subset  $\{\lambda_1, \dots, \lambda_k\}$  ( $k \geq 2$ ), if  $n_{\lambda_1} n_{\lambda_2} \cdots n_{\lambda_k} = 1$  where  $n_{\lambda_i} \in H_{\lambda_i}$ , then  $n_{\lambda_i} = 1$  for all  $i$ . Assume that  $n_{\lambda_1} \neq 1$ . Then some  $n_{\lambda_i} \neq 1$ . But the expression  $n_{\lambda_1} = n_{\lambda_k}^{-1} \cdots n_{\lambda_2}^{-1}$  is not unique.

Fix  $\lambda$  and suppose  $g \in H_\lambda \cap \langle H_\mu \mid \mu \neq \lambda \rangle$ . Since  $g \in \langle H_\mu \mid \mu \neq \lambda \rangle$ , we can write  $g = x_\lambda = x_{\mu_1} x_{\mu_2} \cdots x_{\mu_n}$  where  $x_\lambda \in H_\lambda$  and  $x_{\mu_i} \in H_{\mu_i}$  for all  $i$ . So  $1 = x_\lambda^{-1} x_{\mu_1} x_{\mu_2} \cdots x_{\mu_n}$ . The first paragraph implies that  $g = x_\lambda = 1$ .

(2)  $\Rightarrow$  (1) The first assertion follows from Lemma 6.6. Since  $G = \langle H_\lambda \mid \lambda \in \Lambda \rangle$ , we can write any element  $x$  of  $G$  as  $x_{\lambda_1} x_{\lambda_2} \cdots x_{\lambda_n}$  where  $\{\lambda_1, \dots, \lambda_n\}$  is a finite subset of  $\Lambda$  and  $x_{\lambda_i} \in H_{\lambda_i} \setminus \{1\}$  for all  $i$ . Let  $x_{\mu_1} x_{\mu_2} \cdots x_{\mu_\ell}$  be another expression for  $x$ . If  $\mu_i \notin \{\lambda_1, \dots, \lambda_n\}$ , then we apply the first assertion to obtain

$$x_{\mu_i} = (x_{\mu_1} x_{\mu_2} \cdots x_{\mu_{i-1}} x_{\mu_{i+1}} \cdots x_{\mu_\ell})^{-1} x_{\lambda_1} x_{\lambda_2} \cdots x_{\lambda_n} \in H_{\mu_i} \cap \langle H_\lambda \mid \lambda \neq \mu_i \rangle = \{1\}.$$

Hence we have  $\{\lambda_1, \dots, \lambda_n\} = \{\mu_1, \dots, \mu_\ell\}$ . The first assertion allows us to reindex  $\mu_i$  so that  $x_{\lambda_i}, x_{\mu_i} \in H_{\lambda_i}$  for all  $i$ . Then we can use the same argument as in the proof of Theorem 6.7 to get (1).

(1)  $\Rightarrow$  (3) Let  $g$  be an element of  $G$ . We can write the element  $g$  uniquely as  $g = x_{\lambda_1} x_{\lambda_2} \cdots x_{\lambda_n}$ , where  $x_i \in H_{\lambda_i} \setminus \{1\}$  for all  $i$ . Let the function  $\psi : G \rightarrow \prod_{\lambda \in \Lambda} H_\lambda$  be defined by  $\psi(g) = \psi_g$ , where

$$\psi_g(\lambda) = \begin{cases} x_i & \text{if } \lambda = \lambda_i, \\ 1 & \text{otherwise.} \end{cases}$$

Then we can check that  $\psi$  is a bijective homomorphism.

(3)  $\Rightarrow$  (2) We can verify that  $\overline{H}_\lambda \cap \langle \overline{H}_\mu \mid \mu \neq \lambda \rangle = \{1\}$  and then apply the isomorphism to obtain (2). ■

**Main References.** [Suz82; Hun80; Isa09; Rob82]

# 7 Structure Theorem for Finitely Generated Abelian Groups

Throughout this section, we write abelian groups additively.

## 7.1 Free Abelian Groups

**Definition 7.1.** A **basis** of an abelian group  $F$  is a nonempty subset  $X$  of  $F$  such that  $F = \langle X \rangle$  and  $X$  is **linearly independent**, i.e., for distinct  $x_1, x_2, \dots, x_k \in X$  and  $n_i \in \mathbb{Z}$ ,

$$n_1x_1 + n_2x_2 + \dots + n_kx_k = 0 \implies n_i = 0 \text{ for every } i.$$

The abelian group  $F$  is said to be **free** on the set  $X$  if  $X$  is a basis of  $F$ .

**Remark.** Depend on the context, the trivial group is considered as a free abelian group on the empty set.

**Lemma 7.2.** Let  $I$  be an index set. For each  $j \in I$ , let  $\theta_j$  be the element  $(u_i)_{i \in I}$  of  $\bigoplus_{i \in I} \mathbb{Z}$ , where

$$u_i = \begin{cases} 1 & \text{if } i = j, \\ 0 & \text{if } i \neq j. \end{cases}$$

Then  $\{\theta_i \mid i \in I\}$  is a basis of  $\bigoplus_{i \in I} \mathbb{Z}$ . Furthermore,  $\bigoplus_{i \in I} \mathbb{Z}$  is a free object on  $\{\theta_i \mid i \in I\}$ .

**Proof.** Routine. ■

**Proposition 7.3.** The following conditions on an abelian group  $F$  are equivalent.

- (1)  $F$  has a basis  $X$ .
- (2)  $F$  is the direct sum of a family of infinite cyclic subgroups.
- (3)  $F$  is isomorphic to a direct sum of copies of  $\mathbb{Z}$ .
- (4)  $F$  is a free object on  $X$  in the category of abelian groups.

**Proof.** (1)  $\Rightarrow$  (2) Let  $X = \{x_i \mid i \in I\}$  be a basis of  $F$ . Then for each  $x \in X$ ,  $nx = 0$  if and only if  $n = 0$ . Hence the subgroup generated by  $x_i$  is infinite cyclic. We show that

$$F = \bigoplus_{i \in I} \langle x_i \rangle.$$

Clearly  $F = \sum_{i \in I} \langle x_i \rangle$ . If there exists  $x_i \in X$  such that

$$\langle x_i \rangle \cap \sum_{\substack{j \in I \\ j \neq i}} \langle x_j \rangle \neq \{0\},$$

then for some nonzero  $n_i \in \mathbb{Z}$  and  $n_1, \dots, n_k \in \mathbb{Z}$  not all of which are zero such that  $n_i x_i = n_1 x_{i_1} + \dots + n_k x_{i_k}$  where  $x_{i_1}, \dots, x_{i_k}$  are distinct elements of  $X$ . This contradicts the fact that  $X$  is a basis.

(2)  $\Rightarrow$  (3) Clearly each infinite cyclic group is isomorphic to  $\mathbb{Z}$ .

(3)  $\Rightarrow$  (1) Suppose  $F \cong \bigoplus_{i \in I} \mathbb{Z}$  where the copies of  $\mathbb{Z}$  are indexed by a set  $I$ . By Lemma 7.2,  $\{\theta_i \mid i \in I\}$  is a basis of  $\bigoplus_{i \in I} \mathbb{Z}$ . Then we use the isomorphism  $F \cong \bigoplus_{i \in I} \mathbb{Z}$  to obtain a basis of  $F$ .

(1)  $\Rightarrow$  (4) Let  $X$  be a basis of  $F$  and let  $\iota : X \rightarrow F$  be the inclusion map. Suppose we are given a map  $f : X \rightarrow G$ . If  $u \in F = \langle X \rangle$ , then

$$u = n_1 x_1 + \dots + n_k x_k$$

where  $n_i \in \mathbb{Z}$  and  $x_i \in X$ . If

$$u = m_1 x_1 + \dots + m_k x_k$$

where  $m_i \in \mathbb{Z}$ , then

$$\sum_{i=1}^k (n_i - m_i) x_i = 0$$

Since  $X$  is a basis,  $n_i = m_i$  for all  $i$ . Consequently the map

$$\bar{f} : F \rightarrow G; \quad \sum_{i=1}^k n_i x_i \mapsto \sum_{i=1}^k n_i f(x_i)$$

is a well-defined function such that  $\bar{f} \circ \iota = f$ . In fact,  $\bar{f}$  is a group homomorphism. For the uniqueness, if  $g : F \rightarrow G$  is a homomorphism such that  $g \circ \iota = f$ , then for any  $x \in X$ ,

$$g(x) = g(\iota(x)) = f(x) = \bar{f}(x),$$

whence  $g(u) = \bar{f}(u)$  for all  $u \in F$ . Therefore  $F$  is a free object on the set  $X$  in the category of abelian groups.

(4)  $\Rightarrow$  (3) Suppose that  $F$  is free on  $X$ . Construct the direct sum  $\bigoplus_{x \in X} \mathbb{Z}$  with the copies of  $\mathbb{Z}$  indexed by  $X$ . Lemma 7.2 shows that  $\bigoplus_{x \in X} \mathbb{Z}$  is a free object on the set  $Y = \{\theta_x \mid x \in X\}$ . Since we have  $|X| = |Y|$ , it follows that  $F \cong \bigoplus_{x \in X} \mathbb{Z}$  by

Proposition 0.3. ■

**Remark.** From the categorical point of view, one should define a free abelian group using (4).

**Theorem 7.4.** *Any two bases of a free abelian group  $F$  have the same cardinality.*

**Proof.** First suppose  $F$  has a basis  $X$  of finite cardinality  $n$ . By Proposition 7.3, we get

$$F \cong \bigoplus_{i=1}^n \mathbb{Z}.$$

Let  $p$  be a prime. For any additive group  $G$ , the set  $pG = \{pg \mid g \in G\}$  is a subgroup of  $G$ . Clearly

$$pF \cong \bigoplus_{i=1}^n p\mathbb{Z}.$$

By Proposition 6.11, we have

$$F/pF \cong \bigoplus_{i=1}^n \mathbb{Z}/p\mathbb{Z} \cong \bigoplus_{i=1}^n \mathbb{Z}_p.$$

Therefore  $|F/pF| = p^n$ . Let  $Y$  be another basis of  $F$  containing at least  $r$  elements, then a similar argument shows that  $F/pF$  contains a subgroup isomorphic to  $\bigoplus_{i=1}^r \mathbb{Z}_p$ . Hence we have  $|F/pF| \geq p^r$ , whence  $p^r \leq p^n$  and  $r \leq n$ . It follows that  $Y$  contains at most  $n$  elements. Let  $|Y| = m \leq n$ . Then  $|F/pF| = p^m$ . Therefore  $p^m = p^n$  and  $|X| = n = m = |Y|$ .

By the previous paragraph, the existence of a finite basis would imply every basis is finite. So if one basis of  $F$  is infinite, then all bases are infinite. Let  $X$  be an infinite basis of  $F$ . It suffices to show that  $|X| = |F|$ . Clearly  $|X| \leq |F|$ . Let  $\mathcal{P}_0(X)$  be the set of all finite subsets of  $X$ . For each  $S = \{x_1, \dots, x_n\} \in \mathcal{P}_0(X)$ , let  $G_S$  be the subgroup of  $F$  generated by  $x_1, \dots, x_n$ . Every element in  $F$  can be expressed as a linear combination of a finite subset of the basis  $X$ , and hence belongs to some  $G_S$ . So

$$F = \bigcup_{S \in \mathcal{P}_0(X)} G_S.$$

Also, we have

$$G_S \cong \langle x_1 \rangle \oplus \dots \oplus \langle x_n \rangle$$

for each  $S = \{x_1, \dots, x_n\}$ . Therefore

$$|G_S| = |\langle x_1 \rangle \oplus \dots \oplus \langle x_n \rangle| = \left| \bigoplus_{i=1}^n \mathbb{Z} \right| = |\mathbb{Z}|^n = |\mathbb{Z}| = \aleph_0$$

by Theorem 0.17.(ii). Hence we obtain

$$|F| = \left| \bigcup_{S \in \mathcal{P}_0(X)} G_S \right| \leq |\mathcal{P}_0(X)| \aleph_0.$$

by Theorem 0.15. By Theorem 0.11, we have  $|\mathcal{P}_0(X)| = |X|$ . By Theorems 0.14 and 0.16.(iii), we get

$$|F| \leq \max\{|X|, \aleph_0\} = |X|.$$

Therefore  $|F| = |X|$  by Theorem 0.9. ■

**Remark.** The proof was adapted from Hungerford's Algebra. I feel that  $S$  in the original proof is a bit redundant. Prove me wrong.

**Definition 7.5.** The number of elements in a basis of  $G$  will be called the **rank** of  $G$ .

**Theorem 7.6.** If  $G$  is a subgroup of a free abelian group  $F$ , then  $G$  is a free abelian group. Moreover,  $\text{rank } G \leq \text{rank } F$ .

**Proof using Zorn's Lemma.** Let  $\{x_i\}_{i \in I}$  be a basis for  $F$ . Consider the set  $\mathcal{S}$  of all linearly independent sets  $Y_J$  in  $G$ , where  $J$  is a subset of the index set  $I$ , and the subgroup generated by  $Y_J$  is equal to  $G \cap F(Y_J)$ , where  $F(Y_J)$  is the subgroup of  $F$  generated by those  $x_i$ 's that appear in the expansions of the  $y_j$ 's.

Consider the partial order on  $\mathcal{S}$  by usual set inclusion. Every chain in  $\mathcal{S}$  has an upper bound (given by the union of the sets in the chain). By Zorn's Lemma (Lemma 0.6), there exists a maximal element  $Y_{J_0}$ . We claim that  $Y_{J_0}$  is a basis for  $G$ . Since  $Y_{J_0}$  is linearly independent by definition, it suffices to show that  $G = \langle Y_{J_0} \rangle$ .

Suppose on the contrary that  $G \neq \langle Y_{J_0} \rangle$ . This means  $\langle Y_{J_0} \rangle$  is a proper subgroup of  $G$ . Let  $z \in G \setminus \langle Y_{J_0} \rangle$ . The expansion of  $z$  in terms of the basis  $\{x_i\}_{i \in I}$  must have some elements  $x_k$ 's such that they not involved in the expansions of the  $y_j$ 's. For convenience, we call such  $x_k$  a "new" element in  $z$ . For each element  $z \in G \setminus \langle Y_{J_0} \rangle$ , there is a non-empty set of new  $x_k$ 's. We can choose an element  $z$  that involves the smallest number of new  $x_k$ 's. Let these new elements be  $x_{k_1}, \dots, x_{k_\ell}$ . The set of all

integers  $n \in \mathbb{Z}$  such that there exists  $y \in F(Y_{J_0} \cup \{x_{k_2}, \dots, x_{k_\ell}\})$  for which

$$nx_{k_1} + y \in G$$

is a nonzero ideal of  $\mathbb{Z}$ . Since  $\mathbb{Z}$  is a principal ideal domain, we can find a generator  $\alpha$  for this ideal. Hence we pick an element  $z$  so that the coefficient of  $x_{k_1}$  is  $\alpha$ . More precisely, we write

$$z = \alpha x_{k_1} + d_2 x_{k_2} + \dots + d_\ell x_{k_\ell} + y$$

for some  $d_2, \dots, d_\ell \in \mathbb{Z}$  and  $y \in F(Y_{J_0})$ .

Let  $Y' = Y_{J_0} \cup \{z\}$ . Now we show that  $Y'$  would contradict the maximality of  $Y_{J_0}$ . First we show that  $Y'$  is linearly independent. Suppose that

$$\sum_{j=1}^k n_j y_j + nz = 0.$$

where  $y_1, \dots, y_k$  are distinct elements in  $Y_{J_0}$  and  $n_1, \dots, n_k \in \mathbb{Z}$ . Remark that the case where linear combinations of elements without  $z$  is trivial, and so we omit the argument. If  $n \neq 0$ , then we have  $nz \in \langle Y_{J_0} \rangle$ . Note that the coefficient of  $x_{k_1}$  in the expansion of  $nz$  is  $n\alpha$ . Since  $nz$  is an element of  $\langle Y_{J_0} \rangle$ . By definition of new elements, the coefficient of  $x_{k_1}$  must be zero. Thus we get  $n\alpha = 0$ , a contradiction since  $\alpha$  is also nonzero. This implies  $n = 0$ . Then  $\sum_{j \in J_0} n_j y_j = 0$ . Since  $Y_{J_0}$  is independent,  $n_j = 0$  for all  $j$ .

Clearly  $\langle Y' \rangle \subseteq G \cap F(Y')$ . For the reverse direction, let  $w \in G \cap F(Y')$ . Then

$$w = c_1 x_{k_1} + \dots + c_\ell x_{k_\ell} + y'$$

for some  $c_1, \dots, c_\ell \in \mathbb{Z}$  and  $y' \in F(Y_{J_0})$ . By definition of  $\alpha$ , we get  $c_1 = \lambda\alpha$  for some  $\lambda$ . Therefore we have

$$w - \lambda z = (c_2 - \lambda d_2)x_{k_2} + \dots + (c_\ell - \lambda d_\ell)x_{k_\ell} + y' - \lambda y.$$

The coefficient of  $x_{k_1}$  in  $w - \lambda z$  is zero. So the number of new elements in  $w - \lambda z$  is strictly less than that in  $z$ . Since  $z$  has the smallest number of new elements, it follows that  $w - \lambda z$  contains no new element, and so  $w - \lambda z \in \langle Y_{J_0} \rangle$ . This implies that  $w \in \langle Y_{J_0} \cup \{z\} \rangle = \langle Y' \rangle$ . Clearly  $Y' \supset Y_{J_0}$ , which arrives at a contradiction. Hence  $G = \langle Y_{J_0} \rangle$ . Therefore  $G$  is a free abelian group.

By the argument above, we have found a basis of  $G$  indexed by the subset  $J_0$  of the index set  $I$ . Hence  $\text{rank } G = |J_0| \leq |I| \leq \text{rank } F$ . This completes the proof.  $\blacksquare$

**Proof using Well-Ordering Theorem.** Let  $\{x_i\}_{i \in I}$  be a basis of  $F$ . In view of Well Ordering Theorem (Theorem 0.20), we may assume that  $I$  is well-ordered. Let  $g$  be any nonzero element in  $F$ . Then  $g$  has a unique expression of the form

$$g = c_1 x_{\alpha_1} + c_2 x_{\alpha_2} + \cdots + c_r x_{\alpha_r}$$

where  $c_1, \dots, c_r \in \mathbb{Z}$  and  $\alpha_1, \dots, \alpha_r$  are members of  $I$ . Note that  $\{\alpha_1, \dots, \alpha_r\}$  is a finite set, and thus contains a largest element according to the ordering of  $I$ . We call this largest element the **index** of  $g$ .

We proceed to the proof that  $G$  is free. For each  $\beta \in I$ , let  $\mathbb{Z}_\beta$  be the subset of  $\mathbb{Z}$  consisting of 0 and all coefficients of  $x_\beta$  that occur in elements of index  $\beta$  in  $G$ . We claim that  $\mathbb{Z}_\beta$  is a subgroup of  $\mathbb{Z}$ . If  $m, n \in \mathbb{Z}_\beta$ , then we have

$$\begin{aligned} g_1 &= mx_\beta + \cdots, \\ g_2 &= nx_\beta + \cdots \end{aligned}$$

for suitable elements  $g_1, g_2$  in  $G$ . If  $m = n$ , then  $m - n = 0 \in \mathbb{Z}_\beta$ . For  $m \neq n$ , the equation

$$g_1 - g_2 = (m - n)x_\beta + \cdots$$

proves that  $m - n \in \mathbb{Z}_\beta$ , because the remaining terms only involve elements  $x_\gamma$  with  $\gamma < \beta$  (this is where we use the definition of index elements). This proves our claim.

Note that  $\mathbb{Z}_\beta$  is cyclic. If  $\mathbb{Z}_\beta = \{0\}$ , we can ignore the index  $\beta$ . If  $\mathbb{Z}_\beta \neq 0$ , then we pick a generator  $c_\beta$ . By the definition of  $\mathbb{Z}_\beta$ , there exists an element  $y_\beta$  of index  $\beta$  in  $G$  such that the coefficient of  $x_\beta$  in  $y_\beta$  is the integer  $c_\beta$ .

Finally, we claim that  $Y = \{y_\beta \in G \mid \mathbb{Z}_\beta \neq 0\}$  is a basis of  $G$ . Suppose that

$$\sum_{i=1}^k n_i y_{\alpha_i} = 0$$

where  $y_{\alpha_1}, \dots, y_{\alpha_k} \in Y$  and  $n_1, \dots, n_k \in \mathbb{Z}$ . Without loss of generality, assume that  $\alpha_1 < \alpha_2 < \cdots < \alpha_k$ . By the construction of  $Y$ , we see that  $y_{\alpha_i}$  and  $y_{\alpha_j}$  have different index whenever  $i \neq j$ . We express each  $y_{\alpha_i}$  in terms of  $x_i$ 's. Then the coefficient of  $x_{\alpha_k}$  in the equation above is  $n_k c_{\alpha_k}$ . Since  $x_i$ 's are linearly independent, we get  $n_k c_{\alpha_k} = 0$  and thus  $n_k = 0$ . Now the equation becomes  $\sum_{i=1}^{k-1} n_i y_{\alpha_i} = 0$ . Repeating this argument, we obtain  $n_i = 0$  for all  $i$ . Hence  $Y$  is linearly independent. Suppose that  $\langle Y \rangle \neq G$ . Among all the elements of  $G$  that are not in  $\langle Y \rangle$  we can pick one of minimal index (since  $I$  is well-ordered), let say  $g$  is that element and that  $\gamma$  is its

index. Write

$$g = dx_\gamma + \cdots.$$

Now  $d$  is an element of  $\mathbb{Z}_\gamma$  and therefore is a multiple of the generator  $c_\gamma$  of  $\mathbb{Z}_\gamma$ . Let

$$g' = g - \frac{d}{c_\gamma} y_\gamma.$$

Since  $y_\gamma \in \langle Y \rangle$  and  $g \notin \langle Y \rangle$ , we have  $g' \notin \langle Y \rangle$ . The coefficient of  $x_\gamma$  in the right hand side is 0, and thus the index of  $g'$  is smaller than  $\gamma$ , a contradiction. Hence  $G$  is a free abelian group.

The second assertion follows immediately since the basis  $Y$  constructed above is indexed by a subset of  $I$ . ■

**Proof using Transfinite Induction.** Let  $X = \{x_\alpha \mid \alpha < \beta\}$  be a basis of  $F$  where the index set is assumed to be well-ordered and order-isomorphic to  $\beta$  according to Counting Theorem (Theorem 0.27). Thus  $F = \bigoplus_{\gamma < \beta} \langle x_\gamma \rangle$ . Let  $G$  be a given subgroup. For each ordinal  $\alpha$ , define

$$F_\alpha = \begin{cases} \bigoplus_{\gamma < \alpha} \langle x_\gamma \rangle & \text{if } \alpha < \beta, \\ F & \text{otherwise.} \end{cases}$$

$$G_\alpha = G \cap F_\alpha.$$

We use transfinite induction (Theorem 0.28) to show that  $G_\alpha$  is free for all ordinals  $\alpha$ . With this result, we can take an ordinal  $\alpha \geq \beta$  to obtain that  $G = G_\alpha$  is free.

For  $\alpha = 0$ , the set  $\{\gamma \mid \gamma < \alpha\}$  is empty. The direct sum over an empty set is the trivial group. So  $G_0 = \{0\}$  is a free abelian group. (Let's assume in this case the trivial group is free, see the remark below Definition 7.1).

Assume that the result holds for a given ordinal  $\alpha$ . The case  $\alpha \geq \beta$  is trivial since  $G_{\alpha+1} = G \cap F_{\alpha+1} = G \cap F = G \cap F_\alpha = G_\alpha$ . Suppose that  $\alpha < \beta$ . Clearly,  $G_\alpha = G_{\alpha+1} \cap F_\alpha$ . By the Second Isomorphism Theorem, we have

$$\frac{G_{\alpha+1}}{G_\alpha} = \frac{G_{\alpha+1}}{G_{\alpha+1} \cap F_\alpha} \cong \frac{G_{\alpha+1} + F_\alpha}{F_\alpha}.$$

The last quotient group is a subgroup of  $F_{\alpha+1}/F_\alpha \cong \langle x_\alpha \rangle$ , thus either  $G_{\alpha+1} = G_\alpha$  or  $G_{\alpha+1}/G_\alpha$  is an infinite cyclic group (which is free). If  $G_{\alpha+1} = G_\alpha$ , then we are done. If  $G_{\alpha+1}/G_\alpha$  is free, then we conclude from Lemma 7.12 that  $G_{\alpha+1} = G_\alpha \oplus \langle g_\alpha \rangle$  for some nonzero  $g_\alpha \in G_{\alpha+1}$ . By inductive hypothesis,  $G_\alpha$  is free. So the union of a basis of  $G_\alpha$  and  $\{g_\alpha\}$  is a basis of  $G_{\alpha+1}$ . Hence  $G_{\alpha+1}$  is free.



Suppose the result holds all  $\alpha < \lambda$ , where  $\lambda$  is a nonzero limit ordinal. Note that

$$F_\lambda = \bigcup_{\alpha < \lambda} F_\alpha.$$

Thus

$$G_\lambda = G \cap F_\lambda = G \cap \bigcup_{\alpha < \lambda} F_\alpha = \bigcup_{\alpha < \lambda} G_\alpha.$$

For each  $\alpha < \lambda$ , let  $Y_\alpha$  be the basis for  $G_\alpha$  constructed in the successor step, i.e.,  $Y_\alpha = \{g_\gamma \mid \gamma < \alpha, g_\gamma \neq 0\}$ . Let  $Y = \bigcup_{\alpha < \lambda} Y_\alpha$ . We claim  $Y$  is a basis for  $G_\lambda$ . Clearly  $G_\lambda = \langle Y \rangle$  since every  $g$  in  $G_\lambda$  belongs in some  $G_\alpha$ , so  $g$  is a finite linear combination of elements in  $Y_\alpha$ . Suppose that  $\sum_{i=1}^k n_i y_i = 0$  where  $y_i \in Y$  are distinct elements and  $n_i \in \mathbb{Z}$ . By the assumption on  $Y_\alpha$ , each  $y_i$  can be identified as  $g_{\alpha_i}$  for some index  $\alpha_i < \lambda$  (Note that  $\alpha_i$  is a successor ordinal because  $g_\mu$  does not make sense in the construction above). Assume that  $\alpha_1 < \dots < \alpha_k$ . Then the elements  $y_1, \dots, y_k$  belong to  $Y_{\alpha_k}$ . Since  $Y_{\alpha_k}$  is a basis, we get  $n_i = 0$  for all  $i$ . Therefore  $G_\lambda$  is a free abelian group.

In the successor step, we construct the basis for  $G_\alpha$  by at most adding one new basis element  $g_\alpha$  corresponding to the basis element  $x_\alpha$  of  $F$ . In the limit ordinal step, we do not add new basis element. Hence  $\text{rank } G_\alpha \leq \text{rank } F$  for all ordinals  $\alpha$ . ■

**Corollary 7.7.** *Let  $F_1$  be the free abelian group on the set  $X_1$  and  $F_2$  the free abelian group on the set  $X_2$ . Then  $F_1 \cong F_2$  if and only if  $F_1$  and  $F_2$  have the same rank.*

**Proof.** Let  $\alpha : F_1 \rightarrow F_2$  be an isomorphism. Then  $\alpha(X_1)$  is a basis of  $F_2$ . By Theorem 7.4, we have  $|X_1| = |\alpha(X_1)| = |X_2|$ . The converse follows from Proposition 0.3. ■

**Corollary 7.8.** *Every abelian group  $G$  is the homomorphic image of a free abelian group of rank  $|X|$ , where  $X$  is a set of generators of  $G$ .*

**Proof.** Let  $F$  be the free abelian group on the set  $X$ . Then  $F$  is of rank  $|X|$ . The inclusion map  $f : X \rightarrow G$  induces a homomorphism  $\bar{f} : F \rightarrow G$  such that  $\bar{f} \circ \iota = f$ . In particular,  $\bar{f}(x) = f(x) = x$  for all  $x \in X$ , whence  $X \subseteq \text{Im } \bar{f}$ . Since  $X$  generates  $G$  we must have  $\text{Im } \bar{f} = G$ . ■

**Corollary 7.9.** *Every subgroup of finitely generated abelian group is finitely generated.*

**Proof.** Let  $G$  be finitely generated by  $n$  elements. By Corollary 7.8, we can find a free abelian group  $F$  on  $n$  generators and a surjective homomorphism  $\varphi : F \rightarrow G$ .

Let  $H$  be a subgroup of  $G$ . The subgroup  $\varphi^{-1}(H)$  of  $F$  is finitely generated by Theorem 7.6. Hence  $H$  itself is finitely generated. ■

## 7.2 Structure Theorem

**Definition 7.10.** Let  $G$  be an abelian group. An element  $x \in G$  is said to be **torsion** if it has finite order. The subset  $G_t$  of all torsion elements of  $G$  is a subgroup of  $G$  called the **torsion subgroup** of  $G$ . An abelian group is said to be **torsion free** if the only torsion element is the identity.

**Lemma 7.11.** *Let  $G$  be a finitely generated torsion-free abelian group. Then  $G$  is a free abelian group of finite rank.*

**Proof.** Assume  $G$  is not a trivial group. Since  $G$  is finitely generated, there exists a finite subset  $S$  of  $G$  for which  $G = \langle S \rangle$ . Let  $X = \{x_1, \dots, x_n\}$  be a maximal subset of  $S$  having the property that  $X$  is linearly independent. Note that  $n \geq 1$  since  $G \neq 0$ . Let  $F$  be the subgroup generated by  $x_1, \dots, x_n$ . Then  $F$  is free. Given  $y \in G$  there exist integers  $m_1, \dots, m_n, m$  not all zero such that

$$my + m_1x_1 + \dots + m_nx_n = 0,$$

by the assumption of maximality on  $X$ . Furthermore,  $m \neq 0$ ; otherwise all  $m_i = 0$ . Hence  $my$  lies in  $F$ . This is true for every  $y \in S$ , whence there exists an integer  $m \neq 0$  such that  $mG = m\langle S \rangle \subseteq F$  (by considering the least common multiple). The map  $x \mapsto mx$  of  $G$  into itself is a homomorphism, having trivial kernel since  $G$  is torsion free. Hence it is an isomorphism of  $G$  onto  $mG$ . Since  $mG$  is a subgroup of  $F$ , it follows from Theorem 7.6 that  $mG$  is a free abelian group of finite rank. This completes the proof. ■

**Lemma 7.12.** *Let  $\varphi : G \rightarrow F$  be a surjective homomorphism of abelian groups, where  $F$  is free. Then there exists a subgroup  $H$  of  $G$  such that the restriction of  $\varphi$  to  $H$  induces an isomorphism of  $H$  with  $F$ , i.e.,  $H \cong F$ , and*

$$G = \text{Ker } \varphi \oplus H.$$

**Proof.** Let  $\{x'_i\}_{i \in I}$  be a basis of  $F$ , and for each  $i \in I$ , let  $x_i$  be an element of  $G$  such that  $\varphi(x_i) = x'_i$ . Let  $H$  be the subgroup of  $G$  generated by all elements  $x_i, i \in I$ , i.e.,

$$H = \langle x_i \mid i \in I \rangle.$$

We claim that  $\{x_i\}_{i \in I}$  is a basis of  $H$ . Suppose that

$$\sum_{i \in I} n_i x_i = 0$$

with  $n_i \in \mathbb{Z}$  and  $n_i = 0$  for almost all  $i$ . Applying  $\varphi$  yields

$$0 = \sum_{i \in I} n_i \varphi(x_i) = \sum_{i \in I} n_i x'_i.$$

Since  $\{x'_i\}_{i \in I}$  is a basis for  $F$ , we get  $n_i = 0$  for all  $i$ . Hence  $\{x_i\}_{i \in I}$  is a basis of  $H$ .

Now we show that  $G$  is an internal direct sum of  $\text{Ker } \varphi$  and  $H$ . Let  $z \in \text{Ker } \varphi \cap H$ . Then  $z = \sum_{i \in I} n_i x_i$  and  $\varphi(z) = 0$  for some appropriate  $n_i \in \mathbb{Z}$ . Similar argument as above shows that  $\text{Ker } \varphi \cap H = 0$ . Let  $x \in G$ . Since  $\varphi(x) \in F$  we can find some appropriate integers  $n_i$  such that

$$\varphi(x) = \sum_{i \in I} n_i x'_i.$$

From this, we obtain

$$0 = \varphi(x) - \sum_{i \in I} n_i x'_i = \varphi(x) - \sum_{i \in I} n_i \varphi(x_i) = \varphi \left( x - \sum_{i \in I} n_i x_i \right).$$

Hence  $x - \sum_{i \in I} n_i x_i \in \text{Ker } \varphi$ , whence

$$x = x - \sum_{i \in I} n_i x_i + \sum_{i \in I} n_i x_i \in \text{Ker } \varphi + H.$$

So the lemma follows. ■

**Theorem 7.13.** *If  $G$  is a finitely generated abelian group, then  $G_t$  is finite and  $G = G_t \oplus F$ , where  $F$  is a free abelian group of finite rank and  $F \cong G/G_t$ .*

**Proof.** By Corollary 7.9, the subgroup  $G_t$  is finitely generated, and thus finite because each element of  $G_t$  has finite order.

Next, we prove that  $G/G_t$  is torsion free. Let  $x + G_t$  be an element of  $G/G_t$  such that  $m(x + G_t) = 0$  for some integer  $m \neq 0$ . Then  $mx \in G_t$ , whence  $qmx = 0$  for some integer  $q \neq 0$ . Then  $x \in G_t$ , so  $x + G_t = G_t$ , and  $G/G_t$  is torsion free. By Lemma 7.11,  $G/G_t$  is free and has finite rank. By applying Lemma 7.12 to the canonical projection  $\pi : G \rightarrow G/G_t$ , we obtain  $G = G_t \oplus F$  where  $F$  is a subgroup of  $G$  such that  $F \cong G/G_t$ . By Corollary 7.7,  $F$  has finite rank. ■

**Lemma 7.14.** For each positive integer  $m$ , let  $G_m$  be the subgroup of a group  $G$  consisting of elements  $x \in G$  such that  $mx = 0$ . Then for any positive coprime integers  $r$  and  $s$ ,

$$G_{rs} = G_r \oplus G_s.$$

**Proof.** Clearly  $G_r + G_s \subseteq G_{rs}$ . For the reverse direction, there exist integers  $u, v$  such that  $ur + vs = 1$ . Let  $x \in G_{rs}$ . Then  $x = urx + vsx$ , and  $urx \in G_s$  while  $vsx \in G_r$ , and  $G_{rs} = G_r + G_s$ .

Let  $x \in G_r \cap G_s$ . Then  $rx = 0 = sx$ . So  $dx = 0$  where  $d = \gcd(r, s)$ . Since  $\gcd(r, s) = 1$ , we get  $x = 0$ . This proves the assertion. ■

**Remark.** Let  $m$  and  $n$  be coprime positive integers. If we choose  $G = \mathbb{Z}_{mn}$ , then  $G_{mn} = \mathbb{Z}_{mn}$ . To show that  $G_m \cong \mathbb{Z}_m$ , we note that  $G_m$  can be generated by the element  $n$  in  $\mathbb{Z}_{mn}$ , which is of order  $m$ . Similarly we obtain  $G_n \cong \mathbb{Z}_n$  and thus

$$\mathbb{Z}_{mn} \cong \mathbb{Z}_m \oplus \mathbb{Z}_n.$$

**Theorem 7.15.** Let  $G$  be a torsion abelian group. For each prime  $p$ , let  $G(p)$  be the set of elements of  $G$  whose order is a power of  $p$ , i.e.,  $G(p) = \{x \in G \mid |x| = p^n \text{ for some } n \geq 0\}$ .

- (i)  $G(p)$  is a subgroup of  $G$  for each prime  $p$ . If  $G(p)$  is finite, then it is a  $p$ -group.
- (ii) (Primary Decomposition)  $G = \bigoplus_{p \text{ is prime}} G(p)$ . If  $G$  is finitely generated, then only finitely many of the  $G(p)$  are nonzero.

**Proof.** (i) Trivial.

(ii) We have a homomorphism

$$\begin{aligned} \varphi : \bigoplus_p G(p) &\rightarrow G \\ (x_p) &\mapsto \sum_p x_p. \end{aligned}$$

We prove that this homomorphism is bijective. Let  $x = (x_p) \in \text{Ker } \varphi$ . Then  $\sum_p x_p = 0$ . Let  $q$  be a prime. Then

$$x_q = \sum_{p \neq q} (-x_p).$$

Let  $m$  be the least common multiple of the orders of elements  $x_p$  on the right-hand side. Then  $mx_q = 0$ . By definition of  $G(q)$ , we also have  $q^r x_q = 0$  for some integer  $r \geq 0$ . Let  $d$  be the greatest common divisor of  $m$  and  $q^r$ . Then  $dx_q = 0$ . But  $q$

does not divide  $m$ , so  $d = 1$  and thus  $x_q = 0$ . Hence  $x_q = 0$  for every prime  $q$ , which means that the kernel is trivial, and  $\varphi$  is injective.

As for the surjectivity, for each positive integer  $m \geq 2$ , we let  $m = p_1^{\ell_1} \cdots p_k^{\ell_k}$  be the prime factorization of  $m$ . Repeating Lemma 7.14 inductively, we conclude that every element in  $G_m$  can be expressed as a sum of elements in  $G_{p_i^{\ell_i}}$  (which is a subgroup of  $G(p_i)$ ), i.e.,

$$G_m = G_{p_1^{\ell_1}} \oplus \cdots \oplus G_{p_k^{\ell_k}} = \bigoplus_{i=1}^k G_{p_i^{\ell_i}}.$$

Since  $G = \bigcup_{m \geq 1} G_m$ , the map  $\varphi$  is surjective.

If  $G$  is finitely generated, then  $G$  is finite by Theorem 7.13. Hence  $G$  must be a direct sum of a finitely many groups  $G(p)$ . ■

**Lemma 7.16.** *Let  $G$  be an abelian  $p$ -group. Let  $g$  be a nonzero element of  $G$ . If  $p^k g$  is nonzero and has order  $p^\ell$ , then  $g$  has order  $p^{k+\ell}$ .*

**Proof.** From  $p^\ell p^k g$ , we have  $|g| \leq p^{k+\ell}$ .

Now let  $|g| = p^n$  for some integer  $n \geq 1$ . Then  $p^k g \neq 0$  implies that  $k < n$ . So  $n - k > 0$ . Since

$$p^{n-k} p^k g = 0,$$

we have  $p^\ell \leq p^{n-k}$ . Hence  $|g| = p^n \geq p^{k+\ell}$ . Therefore  $|g| = p^{k+\ell}$ . ■

**Lemma 7.17.** *Let  $G$  be a  $p$ -group and let  $x$  be an element of maximal order in  $G$ . Let  $\bar{g}$  be an element of  $G/\langle x \rangle$ , of order  $p^r$ . Then there exists a representative  $g$  of  $\bar{g}$  in  $G$  which also has order  $p^r$ .*

**Proof.** Let  $g$  be any representative of  $\bar{g}$ . Assume that the order of  $x$  is  $p^\ell$ . Then  $p^r g + \langle x \rangle = \langle x \rangle$  and so  $p^r g \in \langle x \rangle$ . So

$$p^r g = nx$$

for some integer  $0 \leq n < p^\ell$ . Note that the order of  $\bar{g}$  is at most the order of  $g$ . If  $n = 0$ , then  $g$  has order  $p^r$  and we are done. Otherwise write  $n = p^k m$  where  $m$  is coprime to  $p$  and  $k \geq 0$ . Then  $mx$  is also a generator of  $\langle x \rangle$ , and hence has order  $|x| = p^\ell$ . Now we have  $k < \ell$  since  $n < p^\ell$ . Then  $p^k mx$  has order  $p^{\ell-k}$  (recall that  $|\langle a^m \rangle| = |a|/\gcd(|a|, m)$ ). By Lemma 7.16, the element  $g$  has order  $p^{r+\ell-k}$ . Since  $x$  has maximal order we have  $|x| \geq |g|$ , whence  $r+\ell-k \leq \ell$  and  $r \leq k$ . Let  $g' = p^{k-r} mx$ . Then  $g' \in \langle x \rangle$  and  $p^r g = p^r g'$ . Let  $g'' = g - g'$ . Then  $g'' + \langle x \rangle = g + \langle x \rangle = \bar{g}$  because

$g'' - g = -g' \in \langle x \rangle$ . It follows that

$$|g''| \geq |g'' + \langle x \rangle| = |\bar{g}| = p^r.$$

Since  $|g''| \leq p^r$  by  $p^r g'' = 0$ , we conclude that  $g''$  has order  $p^r$ . ■

**Lemma 7.18.** *Let  $m$  and  $n$  be integers such that  $1 \leq m < n$ . Let  $p$  be a prime number. Then*

$$p^m \mathbb{Z}_{p^n} \cong \mathbb{Z}_{p^{n-m}}.$$

**Proof.** Note that  $p^m$  has order  $p^{n-m}$ . So we have  $p^m \mathbb{Z}_{p^n} = \langle p^m \rangle \cong \mathbb{Z}_{p^{n-m}}$ . ■

**Lemma 7.19.** *Let  $G$  an abelian group and let  $m$  be an integer. If  $G$  is the direct sum of subgroups  $G_i$  ( $i \in I$ ) then*

$$mG = \bigoplus_{i \in I} mG_i.$$

**Proof.** There is an isomorphism  $\varphi : G \rightarrow \bigoplus_{i \in I} G_i$ . Let  $\psi : mG \rightarrow \bigoplus_{i \in I} G_i$  be defined by  $\psi(x) = \varphi(x)$ . Clearly  $\text{Ker } \psi = \{0\}$  and  $\text{Im } \psi = \bigoplus_{i \in I} mG_i$ . ■

**Theorem 7.20.** *Let  $G$  be a finite abelian  $p$ -group. Then  $G$  is an (internal) direct sum of cyclic groups of orders  $p^{n_1}, \dots, p^{n_k}$  respectively, with  $n_1 \geq n_2 \geq \dots \geq n_k \geq 1$ . In particular,*

$$G \cong \mathbb{Z}_{p^{n_1}} \oplus \mathbb{Z}_{p^{n_2}} \oplus \dots \oplus \mathbb{Z}_{p^{n_k}}.$$

*In this case, we say that  $G$  is of type  $(p^{n_1}, \dots, p^{n_k})$ . The integers  $n_1, \dots, n_k$  are uniquely determined.*

**Proof.** We prove by induction on order of  $G$ . If  $G$  is cyclic, then we are done. Assume that  $G$  is not cyclic. Let  $x_1 \in G$  be an element of maximal order. Let  $G_1$  be the cyclic subgroup generated by  $x_1$ , of order  $p^{r_1}$ .

By inductive hypothesis, the quotient group  $G/G_1$  is an internal direct sum of cyclic subgroups  $\bar{G}_2, \dots, \bar{G}_k$  of orders  $p^{n_2}, \dots, p^{n_k}$  respectively with  $n_2 \geq \dots \geq n_k$ . More precisely,

$$G/G_1 = \bar{G}_2 \oplus \dots \oplus \bar{G}_k \cong \mathbb{Z}_{p^{n_2}} \oplus \dots \oplus \mathbb{Z}_{p^{n_k}}.$$

Let  $\bar{x}_i$  be a generator of  $\bar{G}_i$  for  $i = 2, \dots, k$ . Since  $\bar{x}_i \in G/G_1$ , Lemma 7.17 guarantees that we can choose a representative  $x_i$  in  $G$  such that  $|x_i| = |\bar{x}_i|$ . Let  $G_i$  be the cyclic subgroup generated by  $x_i$ . We claim that  $G = G_1 \oplus G_2 \oplus \dots \oplus G_k$ .

Given  $x \in G$ , let  $\bar{x} = x + G_1 \in G/G_1$ . There exist integers  $m_2, \dots, m_k$  such that

$$\bar{x} = m_2 \bar{x}_2 + \dots + m_k \bar{x}_k.$$

Hence

$$x - m_2x_2 - \cdots - m_kx_k \in G_1.$$

So there exists an integer  $m_1$  such that

$$x = m_1x_1 + m_2x_2 + \cdots + m_kx_k.$$

Hence  $G_1 + \cdots + G_k = G$ .

To verify the sum is an internal direct sum, it suffices to show that  $x_1, \dots, x_k$  are linearly independent, because it would imply that

$$(G_1 + \cdots + G_i) \cap G_{i+1} = 0$$

for each  $i = 1, \dots, k-1$ . Suppose that

$$m_1x_1 + \cdots + m_kx_k = 0$$

where  $m_1, \dots, m_k$  are integers. Then we have

$$m_2\bar{x}_2 + \cdots + m_k\bar{x}_k = G_1.$$

Since  $G/G_1$  is a direct sum of  $\bar{G}_2, \dots, \bar{G}_k$ , we conclude from Theorem 6.7 that each  $m_i = 0$  for  $i = 2, \dots, k$ . Hence  $m_1x_1 = 0$  and so  $m_1 = 0$ . Therefore  $G$  is the direct sum of  $G_1, \dots, G_k$ .

We prove uniqueness by induction. Suppose that  $G$  is written in two ways as a direct sum of cyclic groups, say of type

$$(p^{n_1}, \dots, p^{n_k}) \quad \text{and} \quad (p^{m_1}, \dots, p^{m_s})$$

with  $r_1 \geq \cdots \geq r_k \geq 1$  and  $m_1 \geq \cdots \geq m_s \geq 1$ . In view of Lemmas 7.18 and 7.19, the subgroup  $pG$  is of type

$$(p^{r_1-1}, \dots, p^{r_k-1}) \quad \text{and} \quad (p^{m_1-1}, \dots, p^{m_s-1}).$$

By induction, the subsequence of

$$(r_1 - 1, \dots, r_k - 1)$$

consisting of those integers at least 1 is uniquely determined (those with 0 correspond

to the trivial subgroup), and is the same as the corresponding subsequence of

$$(m_1 - 1, \dots, m_s - 1).$$

In other words, there exists an integer  $\ell \geq 1$  such that  $r_i - 1 = m_i - 1 \geq 1$  for all  $i = 1, \dots, \ell$ . Hence  $r_i = m_i$  for all  $i = 1, \dots, \ell$ , and the two sequences

$$(p^{r_1}, \dots, p^{r_k}) \quad \text{and} \quad (p^{m_1}, \dots, p^{m_s})$$

can differ only in their last components which can be equal to  $p$ . Hence  $G$  is of type

$$(p^{r_1}, \dots, p^{r_\ell}, \underbrace{p, \dots, p}_{k-\ell \text{ times}}) \quad \text{and} \quad (p^{r_1}, \dots, p^{r_\ell}, \underbrace{p, \dots, p}_{s-\ell \text{ times}}).$$

Thus the order of  $G$  is equal to

$$p^{r_1 + \dots + r_\ell} p^{k-\ell} = p^{r_1 + \dots + r_\ell} p^{s-\ell},$$

whence  $k = s$ , and our theorem is proved. ■

By Theorems 7.13, 7.15 and 7.20, we have the following decomposition of finitely generated abelian groups.

**Theorem 7.21.** *A finitely generated abelian group  $G$  is the direct sum of a free abelian group  $F$  of finite rank and a finite number of cyclic groups. The cyclic summands (if any) are of orders  $p_1^{s_1}, \dots, p_k^{s_k}$  where  $p_1, \dots, p_k$  are (not necessarily distinct) prime numbers and  $s_1, \dots, s_k$  are (not necessarily distinct) positive integers. The rank of  $F$  and the prime powers  $p_1^{s_1}, \dots, p_k^{s_k}$  are uniquely determined by  $G$ , up to their order). In particular,*

$$\begin{aligned} G &= \langle x_1 \rangle \oplus \dots \oplus \langle x_k \rangle \oplus F \\ &\cong \mathbb{Z}_{p_1^{s_1}} \oplus \dots \oplus \mathbb{Z}_{p_k^{s_k}} \oplus \underbrace{\mathbb{Z} \oplus \dots \oplus \mathbb{Z}}_{\text{rank } F \text{ summands}} \end{aligned}$$

where  $x_1, \dots, x_k \in G$  with  $|x_i| = p_i^{s_i}$  for all  $i = 1, \dots, k$ .

**Remark.** To emphasize the ordering of powers of primes, we can express  $G$  as

$$\bigoplus_{j=1}^{\ell} \bigoplus_{i=1}^{k_j} \mathbb{Z}_{p_j^{n_{ij}}}$$

where  $p_1, p_2, \dots, p_\ell$  are distinct primes and  $n_{1j} \geq n_{2j} \geq \dots \geq n_{k_j j} \geq 1$  for all  $j$ .



**Definition 7.22.** The prime powers  $p_1^{s_1}, \dots, p_k^{s_k}$  in Theorem 7.21 are called the **elementary divisors** of  $G$ .

Since the order of the primary cyclic factors may vary, the decomposition is not entirely unique. To resolve this issue, there is another way to decompose a group without introducing elementary divisors.

**Theorem 7.23.** *A finitely generated abelian group  $G$  is the direct sum of a free abelian group  $F$  of finite rank and a finite number of cyclic groups. The cyclic summands (if any) are of orders  $m_1, \dots, m_r$  where  $m_1, \dots, m_r$  are integers greater than 1 such that  $m_1 | m_2 | \dots | m_r$ . The rank of  $F$  and the integers  $m_1, \dots, m_r$  are uniquely determined by  $G$ . In particular,*

$$G = \langle x_1 \rangle \oplus \dots \oplus \langle x_r \rangle \oplus F$$

$$\cong \mathbb{Z}_{m_1} \oplus \dots \oplus \mathbb{Z}_{m_r} \oplus \underbrace{\mathbb{Z} \oplus \dots \oplus \mathbb{Z}}_{\text{rank } F \text{ summands}}$$

where  $x_1, \dots, x_r \in G$  with  $|x_i| = m_i$  for all  $i = 1, \dots, r$ .

**Proof.** We arrange the elementary divisors of  $G$  and insert  $p_i^0 = 1$  as follows.

$$\begin{array}{cccc} p_1^{n_{11}} & p_2^{n_{12}} & \dots & p_\ell^{n_{1\ell}} \\ p_1^{n_{21}} & p_2^{n_{22}} & \dots & p_\ell^{n_{2\ell}} \\ \vdots & \vdots & & \vdots \\ p_1^{n_{k1}} & p_2^{n_{k2}} & \dots & p_\ell^{n_{k\ell}} \end{array}$$

where  $p_1, \dots, p_\ell$  are distinct primes,  $0 \leq n_{1j} \leq n_{2j} \leq \dots \leq n_{tj}$  for each  $j = 1, 2, \dots, \ell$  and  $n_{1j} \neq 0$  for some  $j$  (the last condition is to ensure that we do not insert excessive  $p_i^0$ ). By Theorem 7.21, we get (after adding trivial groups in the decomposition)

$$G \cong \bigoplus_{j=1}^{\ell} \bigoplus_{i=1}^k \mathbb{Z}_{p_j^{n_{ij}}} \oplus F$$

where  $F$  is a free abelian group of finite rank. For each  $i = 1, 2, \dots, k$ , let  $m_i$  be the product of elements in the  $i$ th row in the array above, i.e.,

$$m_i = p_1^{n_{i1}} p_2^{n_{i2}} \dots p_\ell^{n_{i\ell}}.$$

Since some  $n_{1j} \neq 0$  for some  $j$ , we have  $m_1 > 1$ . Clearly  $m_1 | m_2 | \dots | m_t$ . Since

$p_1, \dots, p_\ell$  are distinct primes, by Lemma 7.14, we have

$$\bigoplus_{j=1}^{\ell} \mathbb{Z}_{p_j^{n_{ij}}} \cong \mathbb{Z}_{m_i}$$

for each  $i = 1, \dots, k$ . Hence we have

$$G \cong \bigoplus_{j=1}^{\ell} \bigoplus_{i=1}^k \mathbb{Z}_{p_j^{n_{ij}}} \oplus F \cong \bigoplus_{i=1}^k \bigoplus_{j=1}^{\ell} \mathbb{Z}_{p_j^{n_{ij}}} \oplus F \cong \bigoplus_{i=1}^k \mathbb{Z}_{m_i} \oplus F.$$

Now we show the uniqueness. Suppose that

$$G \cong \bigoplus_{i=1}^k \mathbb{Z}_{m_i} \oplus F_1 \cong \bigoplus_{i=1}^t \mathbb{Z}_{r_i} \oplus F_2$$

where  $F_1$  and  $F_2$  are free abelian,  $m_i, r_i$  are integers such that  $m_1, r_1 > 1$ ,  $m_1 | m_2 | \dots | m_k$  and  $r_1 | r_2 | \dots | r_t$ . Clearly  $F_1 \cong F_2$ . Now we write

$$\begin{aligned} m_i &= p_1^{c_{i1}} p_2^{c_{i2}} \dots p_\ell^{c_{i\ell}} & \text{for } i = 1, \dots, k, \\ r_i &= p_1^{d_{i1}} p_2^{d_{i2}} \dots p_\ell^{d_{i\ell}} & \text{for } i = 1, \dots, t \end{aligned}$$

where  $p_1, \dots, p_\ell$  are distinct primes and  $c_{1j} \neq 0$  for some  $j$  and  $d_{1j'} \neq 0$  for some  $j'$  since  $m_1, r_1 > 1$ . Also, we have  $0 \leq c_{1j} \leq c_{2j} \leq \dots \leq c_{kj}$  and  $0 \leq d_{1j} \leq d_{2j} \leq \dots \leq d_{tj}$  for all  $j$ . Note that the direct sums of cyclic groups are isomorphic to  $G_t$ . By Lemma 7.14, we get

$$\bigoplus_{i=1}^k \bigoplus_{j=1}^{\ell} \mathbb{Z}_{p_j^{c_{ij}}} \cong \bigoplus_{i=1}^k \mathbb{Z}_{m_i} \cong G_t \cong \bigoplus_{i=1}^t \mathbb{Z}_{r_i} \cong \bigoplus_{i=1}^t \bigoplus_{j=1}^{\ell} \mathbb{Z}_{p_j^{d_{ij}}}.$$

By Theorem 7.21, both direct sums must have same number of nonzero summands (elementary divisors), so  $k = t$  and  $c_{ij} = d_{ij}$  for all  $i, j$ . Therefore  $m_i = r_i$  for all  $i = 1, \dots, k$ . ■

**Definition 7.24.** The integers  $m_1, \dots, m_r$  in Theorem 7.23 are called the **invariant factors** of  $G$ .

The isomorphism between finitely generated abelian groups can be studied using invariant factors (resp. elementary divisors).

**Corollary 7.25.** *Let  $G$  and  $H$  be finitely generated abelian groups. Then  $G \cong H$  if*

and only if  $\text{rank}(G/G_t) = \text{rank}(H/H_t)$  and  $G$  and  $H$  have the same invariant factors (resp. elementary divisors).

**Proof.** The result follows from the uniqueness. ■

**Remark.** To count the number of nonisomorphic abelian groups of order  $n$ , it suffices to count the number of nonisomorphic abelian groups of order the highest prime power  $p^k$  appeared in the prime factorization of  $n$ . It is the number of partitions of  $k$ , denoted  $a(k)$ . If  $n = p_1^{k_1} \cdots p_\ell^{k_\ell}$ , then the number of nonisomorphic abelian groups is given by  $\prod_{i=1}^\ell a(k_i)$ . There is no explicit formula for  $a(k)$ , making it difficult to count the groups of large order.

**Main References.** [Lan02; Hun80; Kap54; Kap77; Rob82]

## 8 Semidirect Products

**Important Note.** Throughout this section, we will use **right action**  $X \times G \rightarrow X$  where the image of  $x \in X$  under  $g \in G$  is denoted by  $x^g$ . Following the axioms of right action, we have  $(x^g)^{g'} = x^{gg'}$  for all  $g, g' \in G$ . In accordance with this, function composition will be written as  $fg$  to mean that  $f$  is applied first, followed by  $g$ , i.e.,  $(fg)(x) = g(f(x))$ . In conjugation by  $g$  on  $x$ , we define  $x \mapsto g^{-1}xg$  to make it consistent with the right actions. To summarize, we can just “switch” the side from the corresponding left actions and replace the symbols  $s$  with  $s^{-1}$ .

### 8.1 Definitions and Properties

**Definition 8.1.** Let  $G$  and  $H$  be two groups. If a homomorphism  $\varphi : G \rightarrow \text{Aut } H$  is given, then we say that  $G$  acts on  $H$  via  $\varphi$  and  $G$  is an **operator group** on  $H$ . The homomorphism  $\varphi$  is called an **action** of  $G$  on  $H$ . We denote the image  $\varphi(g)(h)$  of an element  $h$  of  $H$  simply by  $h^g$ .

**Proposition 8.2.** Let  $\varphi$  be a function from a group  $G$  into the set of all functions on the subgroup  $H$ . Then  $\varphi$  is an action of  $G$  on  $H$  if and only if for all  $u, v \in H$  and  $x, y \in G$ ,

$$(uv)^x = u^x v^x, \quad u^{xy} = (u^x)^y, \quad u^1 = u$$

where  $1$  is the identity of  $G$ .

**Proposition 8.3.** Let  $\varphi$  be an action of a group  $G$  on another group  $H$ . Let  $L$  be the cartesian product set of  $H$  and  $G$ . Define the product of two elements of  $L$  by

$$(h_1, g_1)(h_2, g_2) = (h_1 h_2^{g_1^{-1}}, g_1 g_2).$$

Then  $L$  forms a group with respect to this operation.

**Proof.** Let  $g, u, x \in H$  and  $h, v, y \in G$  (The symbols are so misleading!). Then,

$$\begin{aligned} [(g, h)(u, v)](x, y) &= (gu^{h^{-1}}, hv)(x, y) \\ &= (gu^{h^{-1}}x^{(hv)^{-1}}, hvy) \\ &= (gu^{h^{-1}}x^{v^{-1}h^{-1}}, hvy) \\ &= (g(ux^{v^{-1}})^{h^{-1}}, hvy) \\ &= (g, h)(ux^{v^{-1}}, vy) \\ &= (g, h)[(u, v)(x, y)]. \end{aligned}$$

This proves the associative law. Clearly  $1 = (1, 1)$  is the identity and the inverse of  $(h, g)$  is given by  $((h^{-1})^g, g^{-1})$ . So  $L$  forms a group with respect to the operation defined above. ■

**Definition 8.4.** The group  $L$  in Proposition 8.3 is called the **semidirect product**  $H$  by  $G$  with respect to the action  $\varphi$  and is denoted by  $H \rtimes_{\varphi} G$ .

**Remark.** If there is no confusion, we simply write  $H \rtimes G$ . It is important to note that semidirect products with respect to different actions might not be isomorphic.

We set

$$\overline{H} = \{(h, 1) \mid h \in H\}, \quad \overline{G} = \{(1, g) \mid g \in G\}.$$

Obviously they are just image sets under canonical injections. We summarize all the properties in a proposition.

**Proposition 8.5.** *Let  $H \rtimes G$  be the semidirect product. Then the following propositions hold.*

- (i)  $\overline{H} \cong H$  and  $\overline{G} \cong G$ .
- (ii)  $\overline{H} \triangleleft H \rtimes G$ .
- (iii)  $H \rtimes G = \overline{H} \overline{G}$ .
- (iv)  $\overline{H} \cap \overline{G} = \{(1, 1)\}$ .
- (v)  $|H \rtimes G| = |H||G|$  if  $G$  and  $H$  are finite.
- (vi) For any  $h \in H$  and  $g \in G$ , we have  $(1, g)^{-1}(h, 1)(1, g) = (h^g, 1)$ .

**Proof.** Routine. ■

**Remark.** We may consider  $G$  and  $H$  as subgroups of  $H \rtimes G$  by identifying  $G$  and  $H$  with  $\overline{G}$  and  $\overline{H}$  respectively.

**Corollary 8.6.** *Every element of  $H \rtimes G$  can be written uniquely as  $hg$  with  $h \in H$  and  $g \in G$ .*

**Proof.** By Proposition 8.5.(iii) & (iv). ■

**Proposition 8.7.** *Let  $G$  act on another group  $H$  via  $\varphi$ . Let  $X$  and  $Y$  be two groups such that  $X \cong G$  and  $Y \cong H$ . Let  $\psi_{X,G} : X \rightarrow G$  and  $\psi_{Y,H} : Y \rightarrow H$  be isomorphisms. Then*

$$H \rtimes_{\varphi} G \cong Y \rtimes_{\theta} X$$

where  $\theta$  is an action of  $X$  on  $Y$  defined by

$$y^x = \psi_{Y,H}^{-1}(\psi_{Y,H}(y)^{\psi_{X,G}(x)}).$$

**Proof.** Let  $\phi : Y \rtimes_{\theta} X \rightarrow H \rtimes_{\varphi} G$  be defined by

$$\phi(y, x) = (\psi_{Y,H}(y), \psi_{X,G}(x)).$$

Now we show that  $\phi$  is a homomorphism. Let  $y_1, y_2 \in Y$  and  $x_1, x_2 \in X$ . Then

$$\begin{aligned} \phi[(y_1, x_1)(y_2, x_2)] &= \phi(y_1 y_2^{x_1^{-1}}, x_1 x_2) \\ &= \phi\left(y_1 \psi_{Y,H}^{-1}(\psi_{Y,H}(y_2)^{\psi_{X,G}(x_1^{-1})}), x_1 x_2\right) \\ &= \left(\psi_{Y,H}(y_1) \psi_{Y,H}(y_2)^{\psi_{X,G}(x_1^{-1})}, \psi_{X,G}(x_1) \psi_{X,G}(x_2)\right), \\ \phi(y_1, x_1) \phi(y_2, x_2) &= (\psi_{Y,H}(y_1), \psi_{X,G}(x_1)) (\psi_{Y,H}(y_2), \psi_{X,G}(x_2)) \\ &= \left(\psi_{Y,H}(y_1) \psi_{Y,H}(y_2)^{\psi_{X,G}(x_1)^{-1}}, \psi_{X,G}(x_1) \psi_{X,G}(x_2)\right) \\ &= \left(\psi_{Y,H}(y_1) \psi_{Y,H}(y_2)^{\psi_{X,G}(x_1^{-1})}, \psi_{X,G}(x_1) \psi_{X,G}(x_2)\right). \end{aligned}$$

So  $\phi[(y_1, x_1)(y_2, x_2)] = \phi(y_1, x_1) \phi(y_2, x_2)$  for all  $y_1, y_2 \in Y$  and  $x_1, x_2 \in X$  and  $\phi$  is a homomorphism. Clearly  $\phi$  is bijective. This completes the proof.  $\blacksquare$

**Remark.** Alternatively, the action defined above can be written as

$$\theta(x) = \psi_{Y,H} \varphi(\psi_{X,G}(x)) \psi_{Y,H}^{-1}.$$

**Definition 8.8.** A group  $G$  is called an **internal semidirect product** of  $H$  by  $K$  (where  $H, K$  are subgroups of  $G$ ), if

$$G = HK, \quad H \triangleleft G, \quad H \cap K = \{1\}.$$

**Remark.** Any internal semidirect product is isomorphic to the semidirect product with respect to some action. For this reason, “internal” is often omitted. In some cases, we shall also write  $H \rtimes K$  for an internal semidirect product.

**Proposition 8.9.** Let  $G$  be an internal semidirect product of two subgroups  $H$  and  $K$  such that  $H \triangleleft G = HK$  and  $H \cap K = \{1\}$ . Let  $\varphi(k)$  be the automorphism of  $H$  induced by the conjugation of  $k \in K$ , i.e.,  $h^k = k^{-1} h k$ . Then  $\varphi$  is an action of  $K$  on  $H$ , and  $H \rtimes K \cong G$ .

**Proof.** It can be checked that  $\varphi$  is an action of  $K$  on  $H$ . Let  $f : H \rtimes K \rightarrow G$  be the function defined by

$$f(h, k) = hk.$$

By assumption,  $G = HK = KH$ . So the function  $f$  is surjective. Now we show that  $f$  is a homomorphism. For any  $h, v \in H$  and  $k, u \in K$ , we have

$$f[(h, k)(u, v)] = f(hu^{k^{-1}}, kv) = hu^{k^{-1}}kv = hkuk^{-1}kv = hkuv = f(h, k)f(u, v).$$

Hence the function  $f$  is a homomorphism. Let  $(k, h) \in \text{Ker } f$ . Then we have  $kh = 1$ , or  $k = h^{-1} \in K \cap H = \{1\}$ . Thus the kernel of  $f$  is  $\{1\}$ . Therefore  $f$  is an isomorphism. ■

The definition of an internal semidirect product is not symmetric with respect to  $H$  and  $K$ . So it should be stated clearly which subgroup is normal in  $G$  when it is important to distinguish between them. In fact, if both subgroups are normal, then we recover direct product. More specifically, we have the following result.

**Proposition 8.10.** *Let  $\varphi$  be an action of a group  $G$  on a group  $H$ . Then the following are equivalent.*

- (1) *The identity map between  $H \rtimes G$  and  $H \times G$  is a group isomorphism.*
- (2)  *$\varphi$  is the trivial homomorphism from  $G$  into  $\text{Aut } H$ .*
- (3) *The subgroup  $G$  is normal in  $H \rtimes G$ .*

**Proof.** (1)  $\Rightarrow$  (2) Let  $\text{Id} : H \rtimes G \rightarrow H \times G$  be the identity map. Let  $h_1, h_2 \in H$  and  $g_1, g_2 \in G$ . Then we have

$$\begin{aligned} (h_1 h_2^{g_1^{-1}}, g_1 g_2) &= \text{Id}(h_1 h_2^{g_1^{-1}}, g_1 g_2) \\ &= \text{Id}[(h_1, g_1)(h_2, g_2)] \\ &= (h_1, g_1)(h_2, g_2) \\ &= (h_1 h_2, g_1 g_2). \end{aligned}$$

So we obtain  $h_2^{g_1^{-1}} = h_2$  for all  $h_2 \in H$  and  $g_1 \in G$ , i.e.,  $G$  acts trivially on  $H$ .

(2)  $\Rightarrow$  (1) The operation in the semidirect product is then the same as that in the direct product.

(1)  $\Rightarrow$  (3) Trivial.

(3)  $\Rightarrow$  (2) Let  $h \in H$  and  $g \in G$ . We have  $[(h, 1), (1, g)] \in H \cap G = \{1\}$ . Thus  $(1, g)^{-1}(h, 1)(1, g) = (h, 1)$ . By Proposition 8.5.(vi), we have  $(h^g, 1) = (h, 1)$ . So  $h^g = h$  for all  $h \in H$  and  $g \in G$ . ■

## 8.2 Examples

**Example 8.11.** By Proposition 4.14, a group  $G$  of order  $p^2q$  ( $p$  and  $q$  are distinct prime) has a normal Sylow subgroup. Let  $P \in \text{Syl}_p(G)$  and  $Q \in \text{Syl}_q(G)$ . Then we can check that  $|PQ| = |G|$  and  $P \cap Q = \{1\}$ . If  $P \triangleleft G$ , then  $G \cong P \rtimes Q$ . If  $Q \triangleleft G$ , then  $G \cong Q \rtimes P$ .

**Example 8.12.** Let  $H$  be any abelian group and let  $K = \langle k \rangle \cong \mathbb{Z}_2$  be the group of order 2. Define  $\varphi : K \rightarrow \text{Aut } H$  by mapping  $k$  to the automorphism of inversion on  $H$ , i.e.,  $h^k = h^{-1}$  for all  $h \in H$ . Then  $H \rtimes K$  contains the subgroup  $H$  of index 2, since every element  $g \in H \rtimes K$  is either in  $H$  or in  $kH$ . Let  $H = \langle h \rangle$ . Then we have

$$k^{-1}hk = h^{-1}.$$

If  $H = \mathbb{Z}_n$ , one recognizes  $H \rtimes K$  as the dihedral group  $D_n$ . Since  $D_n$  has presentation

$$\langle r, s \mid r^n = s^2 = 1, s^{-1}rs = r^{-1} \rangle,$$

by von Dyck's Theorem (Theorem 13.13), we get

$$D_n \cong \mathbb{Z}_n \rtimes \mathbb{Z}_2$$

since both groups have the same order.

If  $H = \mathbb{Z}$ , then we get a group  $D_\infty$  having the presentation

$$D_\infty = \langle r, s \mid s^2 = 1, s^{-1}rs = r^{-1} \rangle = \langle x, y \mid x^2 = y^2 = 1 \rangle.$$

The group  $D_\infty$  is called the **infinite dihedral group**. By von Dyck's Theorem, there is an epimorphism  $\theta : D_\infty \rightarrow H \rtimes K$  in which  $\theta(r) = h$  and  $\theta(s) = k$ . Every element of  $D_\infty$  is the form  $s^m r^\ell$  where  $m = 0, 1$ . Suppose that  $s^m r^\ell \in \text{Ker } \theta$ . Then we have  $k^m h^\ell = \theta(s^m r^\ell) = 1$ , which implies that  $k = 0 = \ell$ . Thus

$$D_\infty \cong \mathbb{Z} \rtimes \mathbb{Z}_2.$$

**Example 8.13.** Let  $H$  be any abelian group and to let  $K = \langle k \rangle \cong \mathbb{Z}_{2n}$  be cyclic of order  $2n$ . Define  $\varphi$  again by mapping  $k$  to inversion, i.e.,  $h^k = h^{-1}$ , so that  $k^2$  acts as the identity on  $H$ . In  $H \rtimes K$ , we have  $k^{-1}hk = h^{-1}$  and  $k^{-2}hk^2 = h$  for all  $h \in H$ . Thus  $k^2 \in Z(H \rtimes K)$ . For instances, set  $H = \mathbb{Z}_3$  and  $K = \mathbb{Z}_4$ . Then  $\mathbb{Z}_3 \rtimes \mathbb{Z}_4$  is a nonabelian group of order 12 which is not isomorphic to  $A_4$  or  $D_6$ , since its Sylow 2-subgroup, is cyclic of order 4.

**Example 8.14.** Let  $H = \langle h \rangle \cong \mathbb{Z}_{2^n}$  and let  $K = \langle k \rangle \cong \mathbb{Z}_4$  with  $k^{-1}hk = h^{-1}$  in



$H \rtimes K$ . As noted above,  $k^2 \in Z(H \rtimes K)$ . Since  $k$  inverts  $h$  (i.e., inverts  $H$ ),  $k$  inverts the unique subgroup  $\langle z \rangle$  of order 2 in  $H$ , where  $z = h^{2^{n-1}}$ . Thus  $k^{-1}zk = z^{-1} = z$ , so  $k$  centralizes  $z$ . It follows that  $z \in Z(H \rtimes K)$ . Thus  $k^2z \in Z(H \rtimes K)$  and hence  $\langle k^2z \rangle \triangleleft H \rtimes K$ . Let  $G = (H \rtimes K)/\langle k^2z \rangle$ . Note that

$$|k^2z| = \text{lcm}(|k^2|, |z|) = \text{lcm}(2, 2) = 2.$$

So

$$|G| = \frac{|H \rtimes K|}{|\langle k^2z \rangle|} = \frac{2^{n+2}}{2} = 2^{n+1}.$$

Let  $\bar{k}$  and  $\bar{h}$  be images of  $k$  and  $h$  under canonical projections, respectively. Then we see that

$$\bar{k}^4 = 1, \quad \bar{h}^{2^n} = 1, \quad \bar{k}^{-1}\bar{h}\bar{k} = \bar{h}^{-1}, \quad \bar{h}^{2^{n-1}} = \bar{k}^2.$$

The last equality follows from  $\bar{k}^2\bar{z} = 1$ . Therefore, by von Dyck's Theorem, we have

$$Q_{2^{n+1}} \cong \frac{H \rtimes K}{\langle k^2z \rangle} \cong \frac{\mathbb{Z}_{2^n} \rtimes \mathbb{Z}_4}{\langle (2^{n-1}, 2) \rangle}$$

where the group  $Q_{2^{n+1}}$  is called the **generalized quaternion group** of order  $2^{n+1}$  which have the presentation

$$Q_{2^{n+1}} = \langle x, y \mid x^4 = 1, y^{2^n} = 1, x^{-1}yx = y^{-1}, y^{2^{n-1}} = x^2 \rangle.$$

In particular, when  $n = 2$ , we obtain the quaternion group  $Q_8$ .

**Example 8.15.** Let  $\text{GL}(n, \mathbb{F})$  be the group of  $n \times n$  invertible matrices over a field  $\mathbb{F}$ . Let  $\text{SL}(n, \mathbb{F})$  be the subgroup of  $\text{GL}(n, \mathbb{F})$  consisting of matrices with determinant 1. Let  $\mathbb{F}^* = \mathbb{F} \setminus \{0\}$  and let

$$K = \{\text{diag}(a, 1, 1, \dots, 1) \in \text{GL}(n, \mathbb{F}) \mid a \in \mathbb{F}^*\}.$$

We now claim that  $\text{GL}(n, \mathbb{F})$  is an internal semidirect product of  $\text{SL}(n, \mathbb{F})$  and  $K$ . Clearly  $\text{SL}(n, \mathbb{F}) \cap K = \{I_n\}$  and  $\text{SL}(n, \mathbb{F}) \triangleleft \text{GL}(n, \mathbb{F})$  (consider the kernel of determinant function). It remains to show that  $\text{GL}(n, \mathbb{F}) = \text{SL}(n, \mathbb{F})K$ . Let  $A \in \text{GL}(n, \mathbb{F})$  and  $\det A = a$ . Then we have

$$A = A \text{diag}(a^{-1}, 1, \dots, 1) \text{diag}(a, 1, \dots, 1) \in \text{SL}(n, \mathbb{F})K$$

since  $\det(A \text{diag}(a^{-1}, 1, \dots, 1)) = 1$ . This proves the claim. By  $K \cong \mathbb{F}^*$ , Propositions

8.7 and 8.9, we obtain

$$\mathrm{GL}(n, \mathbb{F}) \cong \mathrm{SL}(n, \mathbb{F}) \rtimes K \cong \mathrm{SL}(n, \mathbb{F}) \rtimes \mathbb{F}^*.$$

Note that  $\mathrm{GL}(n, \mathbb{F}) \not\cong \mathrm{SL}(n, \mathbb{F}) \times \mathbb{F}^*$  in general. Let  $n = 2$  and  $\mathbb{F} = \mathbb{R}$ . Then  $\mathrm{GL}(2, \mathbb{R})$  has infinitely many elements of order 2, for example, each matrix of the form

$$\begin{pmatrix} 0 & x \\ x^{-1} & 0 \end{pmatrix}, \quad x \neq 0$$

is of order 2. However,  $\mathrm{SL}(2, \mathbb{R}) \times \mathbb{R}^*$  contains only three elements of order 2, namely  $(-I_2, 1)$ ,  $(I_2, -1)$  and  $(-I_2, -1)$ .

### 8.3 Some Classifications of Groups

**Proposition 8.16.** *Let  $C$  be a cyclic group and let  $H$  be an arbitrary group. Let  $\varphi_1$  and  $\varphi_2$  be homomorphisms from  $C$  into  $\mathrm{Aut}(H)$  such that  $\mathrm{Im} \varphi_1$  and  $\mathrm{Im} \varphi_2$  are conjugate subgroups of  $\mathrm{Aut}(H)$ . Then the following propositions hold.*

(i) *If  $C$  is finite, then  $H \rtimes_{\varphi_1} C \cong H \rtimes_{\varphi_2} C$ .*

(ii) *If  $C$  is infinite,  $\varphi_1$  and  $\varphi_2$  are injective, then  $H \rtimes_{\varphi_1} C \cong H \rtimes_{\varphi_2} C$ .*

**Proof.** There is an automorphism  $\sigma \in \mathrm{Aut}(H)$  such that  $\sigma^{-1} \mathrm{Im} \varphi_1 \sigma = \mathrm{Im} \varphi_2$ . Let  $x$  be a generator for  $C$ . Then there is some  $k \in \mathbb{Z}$  such that  $\sigma^{-1} \varphi_1(x) \sigma = \varphi_2(x^k)$ . For every  $x^\ell \in C$ , we see that  $\sigma^{-1} \varphi_1(x^\ell) \sigma = \varphi_2(x^{\ell k})$ . Hence  $\sigma^{-1} \varphi_1(y) \sigma = \varphi_2(y)^k$  for all  $y \in C$ . Hence we obtain

$$\begin{aligned} \mathrm{Im} \varphi_2 &= \sigma^{-1} \mathrm{Im} \varphi_1 \sigma \\ &= \langle \sigma^{-1} \varphi_1(x) \sigma \rangle \\ &= \langle \varphi_2(x)^k \rangle. \end{aligned} \tag{*}$$

Let  $\psi : H \rtimes_{\varphi_1} C \rightarrow H \rtimes_{\varphi_2} C$  be defined by

$$\psi(h, y) = (\sigma(h), y^k).$$

Let  $h_1, h_2 \in H$  and  $y_1, y_2 \in C$ . Then

$$\begin{aligned} \psi[(h_1, y_1)(h_2, y_2)] &= \psi(h_1 \varphi_1(y_1^{-1})(h_2), y_1 y_2) \\ &= (\sigma(h_1)(\varphi_1(y_1^{-1})\sigma)(h_2), y_1^k y_2^k), \\ \psi(h_1, y_1) \psi(h_2, y_2) &= (\sigma(h_1), y_1^k) (\sigma(h_2), y_2^k) \end{aligned}$$

$$\begin{aligned}
&= (\sigma(h_1)\varphi_2(y_1^{-k})(\sigma(h_2)), y_1^k y_2^k) \\
&= (\sigma(h_1)(\sigma\varphi_2(y_1^{-k}))(h_2), y_1^k y_2^k) \\
&= (\sigma(h_1)(\varphi_1(y_1^{-1})\sigma)(h_2), y_1^k y_2^k).
\end{aligned}$$

Hence  $\psi$  is a homomorphism. To show that it is bijective, we consider two cases:

(i) If  $C$  is of order  $n$ , then we have  $|\varphi_1(x)| = |\operatorname{Im} \varphi_1| = |\operatorname{Im} \varphi_2| = |\varphi_2(x)|$ . Write  $m = |\varphi_2(x)|$ . Hence  $k$  is coprime to  $m$ , because  $|\varphi_2(x)^k| = |\sigma^{-1}\varphi_1(x)\sigma| = |\varphi_1(x)| = |\varphi_2(x)| = m$ . If  $k$  is not coprime to  $n$ , we let  $k' = k + am$ , where  $a$  is the product of all primes dividing  $n$  but not  $k$ . Then for every prime  $p$  dividing  $n$ ,  $p \nmid k'$ . Therefore  $\gcd(k', n) = 1$ . Note that  $\varphi_2(x)^{k'} = \varphi_2(x)^k$ . So we can without loss of generality assume that  $\gcd(k, n) = 1$ . Then there are integers  $r, s$  for which  $rk + sn = 1$ . Then  $\psi$  is bijective because we have a two-sided inverse

$$\psi^{-1}(h, y) = (\sigma^{-1}(h), y^r).$$

(ii) Since  $C$  is infinite cyclic and  $\varphi_2$  is injective, the image  $\operatorname{Im} \varphi_2$  is infinite cyclic. By (\*),  $\varphi_2(x)^k$  is also a generator for  $\operatorname{Im} \varphi_2$ . Thus we must have  $k = \pm 1$ . Hence we have a two-sided inverse

$$\psi^{-1}(h, y) = (\sigma^{-1}(h), y^k). \quad \blacksquare$$

**Remark.** In the case of finite cyclic groups, if  $\operatorname{Im} \varphi_1 = \operatorname{Im} \varphi_2$ , then the resulting semidirect products are isomorphic.

**Example 8.17.** There are only two isomorphism types of groups of order  $pq$ , where  $p > q$  are primes.

Let  $G$  be any group of order  $pq$ , let  $P \in \operatorname{Syl}_p(G)$  and  $Q \in \operatorname{Syl}_q(G)$ . By Proposition 4.13, we have  $G \cong P \rtimes_{\varphi} Q$  with respect to some action  $\varphi$ . Clearly  $P \cong \mathbb{Z}_p$  and  $Q \cong \mathbb{Z}_q$  are cyclic. The group  $\operatorname{Aut}(P) \cong \mathbb{Z}_{p-1}$  is also cyclic.

If  $q$  does not divide  $p - 1$ , then  $G$  is abelian by Proposition 4.13. By Proposition 8.10, the only homomorphism from  $Q$  to  $\operatorname{Aut}(P)$  is the trivial homomorphism, hence the only semidirect product in this case the direct product, i.e.,  $G \cong \mathbb{Z}_p \times \mathbb{Z}_q \cong \mathbb{Z}_{pq}$ .

Consider now the case when  $q$  divides  $p - 1$ . Let  $Q = \langle y \rangle$ . Since  $\operatorname{Aut}(P)$  is cyclic it contains a unique subgroup of order  $q$ , say  $\langle \gamma \rangle$ . Hence any homomorphism  $\varphi : Q \rightarrow \operatorname{Aut}(P)$  must map  $y$  to a power of  $\gamma$ . Therefore there are  $q$  homomorphisms  $\varphi_i : Q \rightarrow \operatorname{Aut}(P)$  given by  $\varphi_i(y) = \gamma^i$  where  $0 \leq i \leq q - 1$ . Since  $\varphi_0$  is the trivial homomorphism,  $P \rtimes_{\varphi_0} Q \cong P \times Q$  as before. Each nontrivial homomorphism  $\varphi_i$  ( $i \neq 0$ ) gives rise to a semidirect product of order  $pq$ , which is a nonabelian group. It is straightforward to check that  $\varphi_i(Q) = \langle \gamma \rangle$  for each  $i > 0$ . So these groups are all isomorphic by Proposition 8.16.

**Lemma 8.18.** *Let  $G = PQ$  where  $p$  and  $q$  are distinct primes,  $P \in \text{Syl}_p(G)$  is a normal abelian subgroup in  $G$  and  $Q \in \text{Syl}_q(G)$ . Let  $\varphi_1$  and  $\varphi_2$  be homomorphisms from  $Q$  into  $\text{Aut}(P)$ . If  $P \rtimes_{\varphi_1} Q \cong P \rtimes_{\varphi_2} Q$ , then  $\text{Ker } \varphi_1 \cong \text{Ker } \varphi_2$ .*

**Proof.** Let  $\theta : P \rtimes_{\varphi_1} Q \rightarrow P \rtimes_{\varphi_2} Q$  be an isomorphism. Let  $G_1 = P \rtimes_{\varphi_1} Q$  and  $G_2 = P \rtimes_{\varphi_2} Q$ . Note that  $P$  is normal in  $G_1$  and  $G_2$ , and hence unique in both semidirect products. The uniqueness implies that  $\theta(P) = P$ . Since  $\theta$  is an isomorphism, we have  $C_{G_1}(P) \cong C_{G_2}(\theta(P)) = C_{G_2}(P)$ . Now we verify that  $C_{G_1}(P) = P \text{Ker } \varphi_1$  and  $C_{G_2}(P) = P \text{Ker } \varphi_2$ . Clearly  $P \text{Ker } \varphi_i \subseteq C_{G_i}(P)$ . Let  $g \in C_{G_i}(P)$ . Since  $g \in G_i = P \rtimes_{\varphi_i} Q$ , it can be written uniquely as  $g = pq$  where  $p \in P$  and  $q \in Q$ . Hence  $(pq)^{-1}xpq = x$  for all  $x \in P$ . Since  $P$  is abelian, we get  $q^{-1}xq = x$ . Since  $x^q = q^{-1}xq$ , we obtain  $x^q = x$  for all  $x \in P$ , and thus  $q \in \text{Ker } \varphi_i$ . This proves our claim. Note that  $P \cap \text{Ker } \varphi_i = \{1\}$  for  $i = 1, 2$ . Hence we have

$$P \times \text{Ker } \varphi_1 \cong C_{G_1}(P) \cong C_{G_2}(P) \cong P \times \text{Ker } \varphi_2.$$

Since  $\text{Ker } \varphi_i$  is normal Sylow  $q$ -subgroup in  $P \times \text{Ker } \varphi_i$ , it is unique. Let  $\psi : P \times \text{Ker } \varphi_1 \rightarrow P \times \text{Ker } \varphi_2$  be an isomorphism. Then the restriction of  $\psi$  to  $\text{Ker } \varphi_1$  induces an isomorphism  $\text{Ker } \varphi_1 \cong \text{Ker } \varphi_2$ . ■

**Example 8.19.** There are thirteen isomorphism types of groups of order  $56 = 2^3 \cdot 7$ . Let  $G$  be a group of order 56.

Before proceed to the classification, we shall show that  $G$  must contain a normal Sylow subgroup. If there is a normal Sylow 7-subgroup, then we are done. If all Sylow 7-subgroups are not normal, then Theorem 4.10 and Corollary 4.11 show that there are eight Sylow 7-subgroups. Then we count the number of elements of order 7 in  $G$ . There are  $6 \cdot 8 = 48$  of them, leaving  $56 - 48 = 8$  elements that are not of order 7. This eight elements must form a unique Sylow 2-subgroup, which is normal in  $G$ . Remark that the argument was modified from Proposition 4.14. For now, we let  $P$  and  $Q$  be a Sylow 7-subgroup and a Sylow 2-subgroup respectively.

If  $P$  is normal in  $G$ , then we want to construct nonisomorphic semidirect products  $P \rtimes Q$ . To do this, we consider all homomorphisms  $\varphi : Q \rightarrow \text{Aut } P$  and identify which isomorphic types the corresponding semidirect products belong to. By the First Isomorphism Theorem, we have  $Q/\text{Ker } \varphi \cong \text{Im } \varphi$ . Since  $\text{Aut } P \cong \mathbb{Z}_6$  and the order of  $Q/\text{Ker } \varphi$  must be a power of 2, we get  $Q/\text{Ker } \varphi \cong \mathbb{Z}_1$  or  $Q/\text{Ker } \varphi \cong \mathbb{Z}_2$ . Then the order of  $\text{Ker } \varphi$  is either 4 or 8. Now we can find homomorphisms by determining all nonisomorphic normal subgroups of  $Q$  with order 4 or 8. In view of Lemma 8.18, the corresponding semidirect products are not isomorphic.

By Theorems 2.8 and 7.21, there are only five nonisomorphic groups of order 8:

$\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ ,  $\mathbb{Z}_4 \times \mathbb{Z}_2$ ,  $\mathbb{Z}_8$ ,  $D_4$  and  $Q_8$ . So we obtain the following isomorphism types.

- $\varphi$  is trivial (the kernel has order 8):

(1)  $\mathbb{Z}_7 \times \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ ;

(2)  $\mathbb{Z}_7 \times \mathbb{Z}_4 \times \mathbb{Z}_2$ ;

(3)  $\mathbb{Z}_7 \times \mathbb{Z}_8$ ;

(4)  $\mathbb{Z}_7 \times D_4$ ;

(5)  $\mathbb{Z}_7 \times Q_8$ .

- $\varphi$  is nontrivial (the kernel has order 4):

(6)  $\mathbb{Z}_7 \rtimes_{\varphi} (\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2)$ ,  $\text{Ker } \varphi \cong \mathbb{Z}_2 \times \mathbb{Z}_2$ ;

(7)  $\mathbb{Z}_7 \rtimes_{\varphi} (\mathbb{Z}_4 \times \mathbb{Z}_2)$ ,  $\text{Ker } \varphi \cong \mathbb{Z}_4$ ;

(8)  $\mathbb{Z}_7 \rtimes_{\varphi} (\mathbb{Z}_4 \times \mathbb{Z}_2)$ ,  $\text{Ker } \varphi \cong \mathbb{Z}_2 \times \mathbb{Z}_2$ ;

(9)  $\mathbb{Z}_7 \rtimes_{\varphi} \mathbb{Z}_8$ ,  $\text{Ker } \varphi \cong \mathbb{Z}_4$ ;

(10)  $\mathbb{Z}_7 \rtimes_{\varphi} D_4$ ,  $\text{Ker } \varphi \cong \langle r \mid r^4 = 1 \rangle \cong \mathbb{Z}_4$ ;

(11)  $\mathbb{Z}_7 \rtimes_{\varphi} D_4$ ,  $\text{Ker } \varphi \cong \langle r^2, s \mid r^4 = s^2 = 1, s^{-1}rs = r^{-1} \rangle \cong \mathbb{Z}_2 \times \mathbb{Z}_2$ ;

(12)  $\mathbb{Z}_7 \rtimes_{\varphi} Q_8$ ,  $\text{Ker } \varphi \cong \langle i \mid i^4 = 1 \rangle \cong \mathbb{Z}_4$ .

If  $P$  is not normal, then  $Q$  is normal. By Proposition 8.9, we have a semidirect product  $Q \rtimes_{\theta} P$  where  $\theta$  is the conjugation of  $P$  on  $Q$ . We claim that  $Q \cong \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ . By Proposition 8.10, the homomorphism  $\theta$  must be nontrivial, whence  $\text{Ker } \theta < P$ . Let  $P = \langle x \rangle \cong \mathbb{Z}_7$ . Since  $P$  is cyclic, we have  $\text{Ker } \theta = \{1\}$ . Note that  $S_P(y) = \{1\}$  or  $P$  for all  $y \in Q$ . If  $S_P(y) = P$  for all  $y \in Q$ , then  $x^{-1}yx = y$  for all  $x \in P$  and  $y \in Q$ . This implies  $\text{Ker } \theta = P$ , a contradiction. Hence there exists  $y \in Q$  with  $S_P(y) = \{1\}$ . By Orbit-Stabilizer Theorem (Theorem 3.9),  $|O_P(y)| = |P| = 7$ . This means that every other nonidentity element in  $Q$  can be expressed as a conjugate of  $y$ . These all have the same order as  $y$ . Since  $Q$  is a 2-subgroup, by Cauchy's theorem (Theorem 4.4), there exists an element of order 2 in  $Q$ . Therefore the argument above shows that  $Q$  must be isomorphic to  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ . By Proposition 8.7, we can conclude that

$$Q \rtimes_{\theta} P \cong (\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2) \rtimes_{\varphi} \mathbb{Z}_7$$

for some action  $\varphi$ .

Finally, we show that every semidirect product with respect to a nontrivial action  $\varphi$  is isomorphic to  $Q \rtimes_{\theta} P$ . Note that Lemma 12.9 gives

$$|\text{Aut } Q| = |\text{Aut}(\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2)|$$

$$\begin{aligned}
&= |\mathrm{GL}_3(\mathbb{Z}_2)| \\
&= (2^3 - 1)(2^3 - 2)(2^3 - 2^2) \\
&= 168 = 2^3 \cdot 3 \cdot 7.
\end{aligned}$$

Since  $P$  is cyclic of order 7 and both  $\varphi$  and  $\theta$  are nontrivial, the images  $\mathrm{Im} \varphi$  and  $\mathrm{Im} \theta$  are of order 7, whence they are Sylow 7-subgroup of  $\mathrm{Aut} Q$ . By the Second Sylow Theorem (Theorem 4.9), there exists  $\sigma \in \mathrm{Aut} Q$  such that  $\sigma^{-1} \mathrm{Im} \varphi \sigma = \mathrm{Im} \theta$ . By Proposition 8.16, we have  $Q \times_{\varphi} P \cong Q \times_{\theta} P$ . Therefore we have established the last isomorphism type.

$$(13) \quad (\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2) \rtimes_{\varphi} \mathbb{Z}_7, \mathrm{Ker} \varphi = \{1\}.$$

**Remark.** In Case (11), we can deduce from given relations that the generators  $r^2$  and  $s$  commute, i.e,  $s^{-1}r^2s = r^2$ . Hence  $\mathrm{Ker} \varphi$  is an abelian group of order 4 isomorphic to the Klein-four group  $\mathbb{Z}_2 \times \mathbb{Z}_2$ . Alternatively, one can view  $\mathrm{Ker} \varphi$  as a semidirect product of  $\mathbb{Z}_2$  by  $\mathbb{Z}_2$ , but it is necessarily isomorphic to  $\mathbb{Z}_2 \times \mathbb{Z}_2$ , as the automorphism group  $\mathrm{Aut}(\mathbb{Z}_2) \cong (\mathbb{Z}_2)^{\times}$  contains only the identity map.

## 8.4 Sylow Theorems for Groups with Operator Groups

As an application of semidirect product, we generalize Sylow's Theorem to cover groups with operator groups. Throughout the section, unless otherwise stated, let  $Q$  be an operator group on a group  $H$ . Let  $L$  be the semidirect product of  $Q$  and  $H$  with respect to the given action  $\varphi$ .

**Definition 8.20.** A subgroup  $U$  of  $H$  is said to be  **$Q$ -invariant** if  $\varphi(x)U = U$  for all  $x \in Q$ .

**Proposition 8.21.** *Let  $U$  be a  $Q$ -invariant subgroup of  $H$ .*

- (i) *The group  $Q$  act on  $U$  via the restriction  $\varphi(x)|_U$  of  $\varphi(x)$  to  $U$ .*
- (ii) *If  $U$  is normal, then  $Q$  acts on the quotient group  $H/U$  via the action defined by  $(Uh)^x = Uh^x$ .*

**Proof.** (i) Trivial.

(ii) First we show that the action is well defined. If  $Uh_1 = Uh_2$ , then  $h_1h_2^{-1} \in U$ . Since  $U$  is  $Q$ -invariant,

$$(h_1h_2^{-1})^x = h_1^x(h_2^{-1})^x = h_1^x(h_2^x)^{-1} \in U,$$

and we have  $Uh_1^x = Uh_2^x$ . The rest is trivial. ■

**Lemma 8.22.** *A subgroup  $U$  of  $H$  is  $Q$ -invariant if and only if  $Q \subseteq N_L(U)$ .*

**Proof.** Note that for any  $x \in Q$ , we have

$$\begin{aligned} \varphi(x)U = U &\iff u^x \in U \text{ for all } u \in U \\ &\iff x^{-1}ux \in U \text{ for all } u \in U \\ &\iff x \in N_L(U) \end{aligned}$$

which is the desired conclusion. ■

**Lemma 8.23.** *If  $U$  is a  $Q$ -invariant subgroup of  $H$ , then  $N_H(U)$  is a  $Q$ -invariant subgroup of  $H$ .*

**Proof.** We want to show that for all  $q \in Q$ ,  $N_H(U)^q = N_H(U)$ . Let  $x \in N_H(U)$ . Then  $x^{-1}Ux = U$ . We write  $x = (x^{q^{-1}})^q$ . To prove that  $x \in N_H(U)^q$ , it suffices to show that  $x^{q^{-1}} \in N_H(U)$ . Since  $U$  is  $Q$ -invariant, we have  $U^{q^{-1}} = U$ . From  $x^{-1}Ux = U$ , we have

$$(x^{-1})^{q^{-1}}U^{q^{-1}}x^{q^{-1}} = U^{q^{-1}}.$$

Since  $U^{q^{-1}} = U$  and  $(x^{-1})^{q^{-1}} = (x^{q^{-1}})^{-1}$ , we get

$$(x^{q^{-1}})^{-1}Ux^{q^{-1}} = U.$$

Hence  $x^{q^{-1}} \in N_H(U)$  and  $N_H(U) \subseteq N_H(U)^q$ .

Now let  $x \in N_H(U)^q$ . Then we have  $x = y^q$  for some  $y \in N_H(U)$ . Since  $U^q = U$ , it follows that

$$x^{-1}Ux = (y^q)^{-1}U^qy^q = (y^{-1})^qU^qy^q = (y^{-1}Uy)^q = U^q = U.$$

So  $N_H(U)^q \subseteq N_H(U)$ . This completes the proof. ■

**Lemma 8.24** (Frattini's Argument). *Let  $H$  be a normal subgroup of a group  $G$ . If  $S$  is a Sylow  $p$ -subgroup of  $H$ , then we have  $G = N_G(S)H$ .*

**Proof.** Let  $g \in G$ . Consider the conjugate  $g^{-1}Sg$  by  $g$ . Since  $S \subseteq H$  and  $H \triangleleft G$ , we have  $g^{-1}Sg \leq g^{-1}Hg = H$ . So  $g^{-1}Sg$  is contained in  $H$  and  $g^{-1}Sg$  is a Sylow  $p$ -subgroup of  $H$ . By the Second Sylow's Theorem (Theorem 4.9),  $g^{-1}Sg$  is conjugate to  $S$  in  $H$ . Therefore there is an element  $h$  of  $G$  such that  $g^{-1}Sg = h^{-1}Sh$ . Let  $n = gh^{-1}$ . Then  $n \in N_G(S)$ . Since  $g = nh$ , we get  $g \in N_G(S)H$ . So we have  $G = N_G(S)H$ . ■

**Lemma 8.25.** *Let  $P$  be a  $p$ -subgroup of a group  $G$ . If  $P$  is a Sylow  $p$ -subgroup of  $N_G(P)$ , then  $P$  is a Sylow  $p$ -subgroup of  $G$ .*

**Proof.** Suppose on the contrary that  $P$  is not a Sylow  $p$ -subgroup of  $G$ . By the First Sylow Theorem (Theorem 4.8), there is a Sylow  $p$ -subgroup  $S$  of  $G$  which would contain  $P$  as a proper subgroup. Hence  $p$  divides  $[S : P]$ . By Proposition 4.6.(ii), we have  $N_S(P) \neq P$ . Since  $N_S(P)$  is a  $p$ -subgroup of  $N_G(P)$  with order larger than  $|P|$ , this contradicts to the fact that  $P$  is a Sylow  $p$ -subgroup of  $N_G(P)$ . ■

**Lemma 8.26.** *Let  $G$  be an internal semidirect product of  $H$  by  $K$  (so that  $H$  is normal in  $G$ ). Then  $N_G(K) \cap H$  commutes elementwise with  $K$ , and we have*

$$N_G(K) \cap H = C_H(K), \quad N_G(K) = KC_H(K).$$

**Proof.** Since  $H \cap K = \{1\}$ , we can use a commutator to show that  $K$  and  $N_G(K) \cap H$  commute elementwise. So,  $N_G(K) \cap H \subseteq C_H(K)$ . Clearly we have  $C_H(K) \subseteq N_G(K) \cap H$ , so the first formula holds.

By Dedekind Law (Lemma 5.17), we get

$$N_G(K) = G \cap N_G(K) = HK \cap N_G(K) = K(H \cap N_G(K)).$$

So the first formula implies the second. ■

**Lemma 8.27.** *Let  $G = HK$  be a product of the subgroups  $H$  and  $K$ . Then for any conjugate subgroup  $x^{-1}Hx$  ( $x \in G$ ), there exists an element  $k$  of  $K$  such that  $x^{-1}Hx = k^{-1}Hk$ .*

**Proof.** Since  $G = HK$ , the element  $x$  of  $G$  can be written as a product  $x = hk$  with  $h \in H$  and  $k \in K$ . So we have  $x^{-1}Hx = k^{-1}h^{-1}Hhk = k^{-1}Hk$ . ■

**Theorem 8.28.** *Let  $q$  be a prime number. Assume that the operator group  $Q$  is a  $q$ -group and that  $q$  does not divide  $|H|$ . Then the following hold.*

- (i) *There exists a  $Q$ -invariant Sylow  $p$ -subgroup of  $H$ .*
- (ii) *Any  $Q$ -invariant  $p$ -subgroup is contained in a  $Q$ -invariant Sylow  $p$ -subgroup of  $H$ .*
- (iii) *Two  $Q$ -invariant Sylow  $p$ -subgroups are conjugate by an element of  $C_H(Q)$ .*

**Proof.** We note that, under the assumptions, the group  $Q$  is a Sylow  $q$ -subgroup of  $L$ . Assume that  $|Q| = q^k$ .



(i) Let  $S$  be a Sylow  $p$ -subgroup of  $H$ . Since  $H \triangleleft L$ , it follows from Lemma 8.24 that  $L = N_L(S)H$ . By the Second Isomorphism Theorem, we have

$$\frac{L}{H} = \frac{N_L(S)H}{H} \cong \frac{N_L(S)}{N_L(S) \cap H} = \frac{N_L(S)}{N_H(S)}$$

and so  $[N_L(S) : N_H(S)] = [L : H]$ . Since  $q$  does not divide  $|H|$ , it follows that  $q^k$  must divide  $[L : H]$  and hence divides  $|N_L(S)|$ . By the First Sylow Theorem (Theorem 4.8), the subgroup  $N_L(S)$  contains a Sylow  $q$ -subgroup  $Q_1$  of  $L$ . By the Second Sylow Theorem (Theorem 4.9), we can find an element  $x \in L$  such that  $Q = x^{-1}Q_1x$ . Then

$$Q = x^{-1}Q_1x \subseteq x^{-1}N_L(S)x = N_L(x^{-1}Sx).$$

By Lemma 8.22,  $x^{-1}Sx$  is  $Q$ -invariant. Note that  $x^{-1}Sx \subseteq x^{-1}Hx \subseteq H$  since  $H \triangleleft L$ . So  $x^{-1}Sx$  is our desired Sylow  $p$ -subgroup of  $H$ .

(ii) Let  $P$  be a  $p$ -subgroup of  $H$  which is maximal among  $Q$ -invariant  $p$ -subgroups of  $H$ . We want to show that  $P$  is Sylow  $p$ -subgroup of  $H$ . By definition, the subgroup  $P$  is  $Q$ -invariant. So  $N_H(P)$  is  $Q$ -invariant by Lemma 8.23. Hence  $Q$  acts on  $N_H(P)/P$  by Proposition 8.21. By (i),  $N_H(P)/P$  contains a  $Q$ -invariant Sylow  $p$ -subgroup  $\bar{S}$ . In view of the Correspondence Theorem, we let  $S$  be the subgroup of  $N_H(P)$  corresponding to  $\bar{S}$ . Then, for any  $s \in S$  and  $x \in Q$ , we have  $\bar{s}^x \in \bar{S}^x = \bar{S}$ , whence  $s^x \in S$ . Hence  $S$  is a  $Q$ -invariant  $p$ -subgroup of  $H$  which contains  $P$ . By the maximality, we get  $P = S$ . This means that  $P$  is a Sylow  $p$ -subgroup of  $N_H(P)$ . By Lemma 8.25,  $P$  is a Sylow  $p$ -subgroup of  $H$ .

(iii) Let  $S_1$  and  $S_2$  be two  $Q$ -invariant  $S_p$ -subgroups of  $H$ . By the Second Sylow Theorem (Theorem 4.9), there exists an element  $x$  of  $H$  such that  $S_2 = x^{-1}S_1x$ . Since both  $S_1$  and  $S_2$  are  $Q$ -invariant, we have  $Q \subseteq N_L(S_i)$  for  $i = 1, 2$  by Lemma 8.22. Since

$$x^{-1}Qx \subseteq x^{-1}N_L(S_1)x = N_L(x^{-1}S_1x) = N_L(S_2),$$

both  $Q$  and  $x^{-1}Qx$  are  $S_q$ -subgroups of  $N_L(S_2)$ . On the other hand,  $L = QH$  and Dedekind Law (Lemma 5.17) imply that

$$N_L(S_2) = L \cap N_L(S_2) = QH \cap N_L(S_2) = Q(H \cap N_L(S_2)).$$

By Lemma 8.27, there is an element  $y$  in  $H \cap N_L(S_2)$  such that  $Q = y^{-1}x^{-1}Qxy$ . Let  $z = xy$ . We verify that  $z$  is an element of  $C_H(Q)$ . Since  $z$  normalizes  $Q$  and  $x, y \in H$ , we obtain  $z \in N_L(Q) \cap H$ . Since  $N_L(Q) \cap H = C_H(Q)$  by Lemma 8.26, we

have  $z \in C_H(Q)$ . Therefore we get

$$z^{-1}S_1z = y^{-1}x^{-1}S_1xy = y^{-1}S_2y = S_2.$$

Thus  $S_1$  and  $S_2$  are conjugate by an element of  $C_H(Q)$ . ■

**Main References.** [DF04; Suz82; Rot95; AB95; DM96]

# 9 Introduction to Permutation Group Theory

## 9.1 Notations

A **permutation group** of a set  $\Omega$  is a subgroup of  $\text{Sym}(\Omega)$ . If  $G$  is a permutation group on  $\Omega$ , then  $G$  acts on  $\Omega$  via the inclusion map, i.e.  $\omega^g = g(\omega)$ , and this is a faithful action. The **degree** of such an action is  $|\Omega|$ . Conversely, if  $G$  is a faithful action on  $\Omega$ , then  $G$  can be identified as a permutation group of  $\Omega$ . For simplicity, we reintroduce notations for notions in group actions. Let  $G$  act on  $\Omega$ .

$$\omega^G := O_G(\omega) = \{\omega^g \mid g \in G\}, \quad (\text{Orbit of } \omega \in \Omega)$$

$$G_\omega := S_G(\omega) = \{g \in G \mid \omega^g = \omega\}, \quad (\text{Point stabilizer of } \omega \in \Omega)$$

$$G_X := \{g \in G \mid X^g = X\}, \quad (\text{Setwise stabilizer of } X \subseteq \Omega)$$

$$G_{(X)} := \{g \in G \mid x^g = x \text{ for all } x \in X\}. \quad (\text{Elementwise stabilizer of } X \subseteq \Omega)$$

## 9.2 Isomorphic Actions

**Definition 9.1.** Let  $G$  and  $H$  be groups acting on the sets  $\Omega$  and  $\Delta$ , respectively. The two actions (or the pairs  $(G, \Omega)$  and  $(H, \Delta)$ ) are said to be **permutationally isomorphic** if there exists a bijection  $\vartheta : \Omega \rightarrow \Delta$  and an isomorphism  $\chi : G \rightarrow H$  such that

$$\vartheta(\omega^g) = \vartheta(\omega)^{\chi(g)}$$

for all  $\omega \in \Omega, g \in G$ . In other words, for every  $g \in G$  the following diagram commutes.

$$\begin{array}{ccc} \Omega & \xrightarrow{g} & \Omega \\ \vartheta \downarrow & & \downarrow \vartheta \\ \Delta & \xrightarrow{\chi(g)} & \Delta \end{array}$$

If such conditions hold, the pair  $(\vartheta, \chi)$  is said to be a **permutational isomorphism**. Similarly, the pair  $(\vartheta, \chi)$  is a **permutational embedding** of the permutation group  $G$  on  $\Omega$  into the permutation group  $H$  on  $\Delta$ , if  $\chi : G \rightarrow H$  is a monomorphism and  $(\vartheta, \hat{\chi})$  is a permutational isomorphism, where  $\hat{\chi} : G \rightarrow \text{Im } \chi$  is obtained from  $\chi$  by simply restricting the range of  $\chi$ .

**Proposition 9.2.** Let  $G$  act on a set  $\Omega$ . Let  $\Delta$  be a set and let  $\vartheta : \Omega \rightarrow \Delta$  be a bijection. Define a  $G$ -action on  $\Delta$  by  $\delta^g = \vartheta((\vartheta^{-1}(\delta))^g)$ . Then  $(\vartheta, \text{Id}_G)$  is a permutational isomorphism from the  $G$ -action on  $\Omega$  to the  $G$ -action on  $\Delta$ .

**Proof.** It is easy to see that the  $G$ -action on  $\Delta$  is well-defined and is permutationally isomorphic to the  $G$ -action on  $\Omega$ . ■

**Proposition 9.3.** *Let  $G$  and  $H$  be groups acting transitively on  $\Omega$  and  $\Delta$ , respectively. Then the following are equivalent.*

- (1) *The actions of  $G$  and  $H$  on  $\Omega$  and  $\Delta$ , respectively, are permutationally isomorphic.*
- (2) *There exist  $\omega \in \Omega$  and  $\delta \in \Delta$  and an isomorphism  $\varphi : G \rightarrow H$  such that  $\varphi(G_\omega) = H_\delta$ .*
- (3) *For all  $\omega \in \Omega$  and  $\delta \in \Delta$ , there exists an isomorphism  $\varphi : G \rightarrow H$  such that  $\varphi(G_\omega) = H_\delta$ .*

**Proof.** (1)  $\Rightarrow$  (2) Let  $(\vartheta : \Omega \rightarrow \Delta, \varphi : G \rightarrow H)$  be a permutational isomorphism. Let  $\omega \in \Omega$  and  $g \in G_\omega$ . Then  $\vartheta(\omega)^{\varphi(g)} = \vartheta(\omega^g) = \vartheta(\omega)$ , and so  $\varphi(g) \in H_{\vartheta(\omega)}$ . Therefore  $\varphi(G_\omega) \leq H_{\vartheta(\omega)}$ .

On the other hand if  $h \in H_{\vartheta(\omega)}$  then there is some  $g \in G$  such that  $\varphi(g) = h$ . Then  $\vartheta(\omega) = \vartheta(\omega)^h = \vartheta(\omega)^{\varphi(g)} = \vartheta(\omega^g)$ . Since  $\vartheta$  is injective, we obtain  $\omega = \omega^g$ . Therefore  $g \in G_\omega$ , and hence  $h = \varphi(g) \in \varphi(G_\omega)$ . This shows that  $\varphi(G_\omega) = H_{\vartheta(\omega)}$ .

(2)  $\Rightarrow$  (3) Since both  $G$  and  $H$  are transitive,  $\{G_{\omega'} \mid \omega' \in \Omega\}$  and  $\{H_{\delta'} \mid \delta' \in \Delta\}$  are conjugacy classes in  $G$  and  $H$ , respectively, by Proposition 3.7.(ii). Let  $\varphi : G \rightarrow H$  be an isomorphism, and  $\omega \in \Omega, \delta \in \Delta$  such that  $\varphi(G_\omega) = H_\delta$ , and let  $\omega' \in \Omega$  and  $\delta' \in \Delta$ . Then there are  $\sigma_1 \in \text{Inn } G$  and  $\sigma_2 \in \text{Inn } H$  such that  $\sigma_1(G_{\omega'}) = G_\omega$  and  $\sigma_2(H_\delta) = H_{\delta'}$ . If  $\phi = \sigma_1 \varphi \sigma_2$  then clearly  $\phi(G_{\omega'}) = H_{\delta'}$ .

(3)  $\Rightarrow$  (1) Let  $\omega \in \Omega, \delta \in \Delta$ , and  $\varphi : G \rightarrow H$  be an isomorphism such that  $\varphi(G_\omega) = H_\delta$ . Then define  $\vartheta : \Omega \rightarrow \Delta$  by  $\vartheta(\omega^g) = \delta^{\varphi(g)}$  for  $g \in G$ . We show that  $\vartheta$  is well-defined. Since  $G$  is transitive, we have  $\Omega = \{\omega^g \mid g \in G\}$ . If  $\omega^{g_1} = \omega^{g_2}$  for some  $g_1, g_2 \in G$ , then,  $g_1 g_2^{-1} \in G_\omega$ , and so  $\varphi(g_1 g_2^{-1}) = \varphi(g_1) \varphi(g_2)^{-1} \in H_\delta$ . Hence  $\delta^{\varphi(g_1)} = \delta^{\varphi(g_2)}$ , and so  $\vartheta$  is well-defined. Since  $H = \varphi(G)$  is transitive,  $\vartheta$  is surjective. For injectivity, suppose that  $\vartheta(\omega^{g_1}) = \vartheta(\omega^{g_2})$  for some  $g_1, g_2 \in G$ , then, by the definition of  $\vartheta$ , we have  $\delta^{\varphi(g_1)} = \delta^{\varphi(g_2)}$  and so  $\varphi(g_1) \varphi(g_2)^{-1} = \varphi(g_1 g_2^{-1}) \in H_\delta$ . Thus  $g_1 g_2^{-1} \in G_\omega$ , and so  $\omega^{g_1} = \omega^{g_2}$ . Therefore  $\vartheta$  is injective, and hence  $\vartheta$  is a bijection.

If  $\omega' \in \Omega$  and  $g \in G$  then there exists  $g' \in G$  such that  $\omega' = \omega^{g'}$ . Then

$$\vartheta(\omega'^g) = \vartheta((\omega^{g'})^g) = \delta^{\varphi(g'g)} = \delta^{\varphi(g')\varphi(g)} = \vartheta(\omega^{g'})^{\varphi(g)} = \vartheta(\omega')^{\varphi(g)}.$$

Thus  $(\vartheta, \varphi)$  is a permutational isomorphism. ■

**Proposition 9.4.** *Let  $\Omega$  be a set and let  $G_1, G_2$  be permutation groups on  $\text{Sym}(\Omega)$ . Then  $G_1$  and  $G_2$  are permutationally isomorphic if and only if they are conjugate in  $\text{Sym}(\Omega)$ . Moreover, if  $(\vartheta, \varphi)$  is a permutational isomorphism, then  $\vartheta \in \text{Sym}(\Omega)$  and  $\varphi(g) = \vartheta^{-1}g\vartheta$ , for all  $g \in G_1$ .*

**Proof.** Assume that  $G_1$  and  $G_2$  are permutationally isomorphic, and let  $(\vartheta : \Omega \rightarrow \Omega, \varphi : G_1 \rightarrow G_2)$  be a permutational isomorphism. Then we have  $\vartheta(\omega^g) = \vartheta(\omega)^{\varphi(g)}$  for all  $g \in G_1$  and  $\omega \in \Omega$ , i.e.,  $\omega^g = \vartheta^{-1}(\vartheta(\omega)^{\varphi(g)})$ . This shows that  $\vartheta^{-1}g\vartheta = \varphi(g)$ , and so  $G_1$  is conjugate to  $\varphi(G_1) = G_2$ .

Conversely, suppose that  $\vartheta \in \text{Sym} \Omega$  with  $\vartheta^{-1}G_1\vartheta = G_2$  and let  $\varphi : G_1 \rightarrow G_2$  be the isomorphism defined by  $\varphi(g) = \vartheta^{-1}g\vartheta$ . Then  $\vartheta(\omega)^{\varphi(g)} = \vartheta(\omega)^{\vartheta^{-1}g\vartheta} = \vartheta((\vartheta^{-1}(\vartheta(\omega)))^g) = \vartheta(\omega^g)$  for  $g \in G$  and  $\omega \in \Omega$ . Hence  $(\vartheta, \varphi)$  is a permutational isomorphism. ■

Recall that if  $H$  is a subgroup of a group  $G$ , then the right coset action of  $G$  on the set  $\Gamma_H$  of right cosets of  $H$  is defined by  $(Hx)^g = Hxg$  for  $x, g \in G$ . In view of Theorem 3.28, this action is transitive. In fact, every transitive action is permutationally isomorphic to a coset action.

**Proposition 9.5.** *Let  $G$  act transitively on  $\Omega$  and let  $\omega \in \Omega$ . Then the  $G$ -action on  $\Omega$  is permutationally isomorphic to the  $G$ -action on  $\Gamma_{G_\omega}$ .*

**Proof.** Since  $G$  is transitive, we have  $\omega^G = \Omega$ . Let  $\vartheta : \Omega \rightarrow \Gamma_{G_\omega}$  be the bijective function as defined in Lemma 3.8.(ii). Then  $\vartheta((\omega^g)^h) = \vartheta(\omega^{gh}) = G_\omega gh = (G_\omega g)^h = \vartheta(\omega^g)^h$ . This shows that  $(\vartheta, \text{Id}_G)$  is a permutational isomorphism. ■

**Remark.** In case of a permutation group, or more generally, a faithful action of  $G$  on  $\Omega$ , we can verify that this action is faithful on  $\Gamma_{G_\omega}$ . Let  $\rho$  be the associated homomorphism. Then  $\rho(G) \cong G$  and so we can establish a permutational isomorphism between  $(G, \Omega)$  and  $(\rho(G), \Gamma_{G_\omega})$ .

**Proposition 9.6** (Frattini's Argument). *Let  $G$  act transitively on  $\Omega$  and let  $\omega \in \Omega$ . Then a subgroup  $H$  of  $G$  is transitive if and only if  $G = G_\omega H$ .*

**Proof.** If  $H$  is transitive, then for every  $g \in G$ , there exists  $h \in H$  such that  $\omega^g = \omega^h$ . So we get  $gh^{-1} = g' \in G_\omega$  and thus  $g = g'h \in G_\omega H$ .

For the converse, reverse the argument in the previous paragraph. ■

### 9.3 Blocks

**Definition 9.7.** Let  $G$  act transitively on  $\Omega$ . The nonempty subset  $\Delta$  of  $\Omega$  is called a **block** if for every  $g \in G$ , either  $\Delta^g = \Delta$  or  $\Delta^g \cap \Delta = \emptyset$ . All the singletons of  $\Omega$  and the set  $\Omega$  itself are blocks, and so they are said to be **trivial**.

**Proposition 9.8.** *Let  $G$  act transitively on  $\Omega$ . Then the following propositions hold.*

- (i) *If  $\Delta$  is a block of  $\Omega$ , then  $G_\Delta$  acts transitively on  $\Delta$ .*
- (ii) *If  $\Delta$  is a block of  $\Omega$ , then  $|\Delta^g| = |\Delta|$  and  $\Delta^g$  is a block for each  $g \in G$ .*
- (iii) *If  $\Delta$  is a subset of  $\Omega$ , then  $\Delta$  is a block if and only if  $\{\Delta^g \mid g \in G\}$  forms a partition of  $\Omega$ .*

**Proof.** (i) Clearly  $G_\Delta$  acts on  $\Delta$ . Let  $\delta_1, \delta_2 \in \Delta$ . Since  $G$  is transitive,  $\delta_1^g = \delta_2$  for some  $g \in G$ . Hence  $\delta_2 \in \Delta^g \cap \Delta$ . Since  $\Delta$  is a block, we get  $\Delta^g = \Delta$ . Thus  $g \in G_\Delta$ .

(ii) Clearly  $|\Delta^g| = |\Delta|$ . Let  $h \in G$  and assume that  $\Delta^g \cap (\Delta^g)^h \neq \emptyset$ . Then  $\Delta \cap \Delta^{ghg^{-1}} = (\Delta^g \cap \Delta^{gh})^{g^{-1}} \neq \emptyset$ . Since  $\Delta$  is a block, we have  $\Delta = \Delta^{ghg^{-1}}$ , whence  $\Delta^g = \Delta^h$ . Thus  $\Delta^g$  is a block.

(iii) Assume that  $\Delta$  is a block. Let  $\mathcal{P} = \{\Delta^g \mid g \in G\}$ . We claim that  $\mathcal{P}$  is a partition of  $\Omega$ . Let  $\omega \in \Omega$ . Since  $G$  is transitive and  $\Delta \neq \emptyset$ , there is some  $g \in G$  such that  $\omega^g \in \Delta$ . Thus  $\omega \in \Delta^{g^{-1}}$  which shows that  $\bigcup_{g \in G} \Delta^g = \Omega$ . Let  $\Delta^g, \Delta^h \in \mathcal{P}$  and suppose that  $\Delta^g \cap \Delta^h \neq \emptyset$ . Then  $\Delta^g \cap (\Delta^g)^{g^{-1}h} \neq \emptyset$ . By (ii),  $\Delta^g$  is a block. So  $\Delta^g = (\Delta^g)^{g^{-1}h} = \Delta^h$ . Therefore  $\mathcal{P}$  is a partition of  $\Omega$ .

For the converse, note that the definition of partition gives  $\Delta^g = \Delta$  or  $\Delta^g \cap \Delta = \emptyset$  for all  $g \in G$ . ■

**Definition 9.9.** Let  $G$  act on  $\Omega$ . An equivalence relation  $\sim$  on  $\Omega$  is called a  $G$ -congruence if

$$\omega_1 \sim \omega_2 \iff \omega_1^g \sim \omega_2^g$$

for all  $\omega_1, \omega_2 \in \Omega$  and  $g \in G$ . We also say that  $G$  preserves the relation.

**Proposition 9.10.** *Let  $G$  act transitively on  $\Omega$ .*

- (i) *If  $\sim$  is a  $G$ -congruence on  $\Omega$ , then each equivalence class is a block of  $\Omega$ .*
- (ii) *Let  $\Sigma$  be the set of equivalence classes of a  $G$ -congruence. Then  $G$  acts transitively on  $\Sigma$ .*
- (iii) *If  $\Delta$  is a block, then  $\Sigma = \{\Delta^g \mid g \in G\}$  is the set of equivalence classes of a  $G$ -congruence on  $\Omega$ .*

**Proof.** (i) let  $[\omega]$  be an equivalence class of  $\sim$ . It suffices to show that  $[\omega]^g$  is an equivalence class for each  $g \in G$ . Let  $g \in G$ . If  $\alpha^g \in [\omega]^g$ , then  $\alpha \sim \omega$  implies  $\alpha^g \sim \omega^g$  and so  $[\omega]^g \subseteq [\omega^g]$ . If  $\alpha \in [\omega^g]$ , then  $\alpha \sim \omega^g$ . Hence  $\alpha^{g^{-1}} \sim \omega$  and  $\alpha^{g^{-1}} \in [\omega]$ . This implies  $\alpha = (\alpha^{g^{-1}})^g \in [\omega]^g$  and thus  $[\omega^g] \subseteq [\omega]^g$ . Consequently,  $[\omega]^g$  is an equivalence class (namely  $[\omega^g]$ ) which proves that  $[\omega]$  is a block.

(ii) In view of (i), the action of  $G$  on  $\Sigma$  is well-defined. Let  $[\omega_1], [\omega_2] \in \Sigma$ . Then we have  $\omega_1 = \omega_2^g$  for some  $g \in G$ . From (i), we know that  $[\omega_2] = [\omega_2]^g$ . Hence  $[\omega_1] = [\omega_2]^g$ .

(iii) Since  $\Delta$  is a block, the set  $\Sigma$  partitions  $\Omega$  by Proposition 9.8.(iii). Now we verify that the equivalence relation  $\sim$  on  $\Omega$  induced by  $\Sigma$  (where  $\omega_1 \sim \omega_2$  if and only if  $\omega_1, \omega_2$  belong to the same set in  $\Sigma$ ) is a  $G$ -congruence. Let  $g \in G$ . Assume that  $\omega_1 \sim \omega_2$ . Then  $\omega_1, \omega_2 \in \Delta^h$  for some  $h \in G$ . Then we get  $\omega_1^g, \omega_2^g \in (\Delta^h)^g = \Delta^{hg}$  and so  $\omega_1^g \sim \omega_2^g$ . Assume that  $\omega_1^g \sim \omega_2^g$ . Then  $\omega_1^g, \omega_2^g \in \Delta^h$  for some  $h \in G$ . So  $\omega_1, \omega_2 \in \Delta^{hg^{-1}}$  and  $\omega_1 \sim \omega_2$ . Therefore, the relation  $\sim$  is a  $G$ -congruence. ■

**Definition 9.11.** Let  $G$  act transitively on  $\Omega$ . The set  $\Sigma$  of equivalence classes associated to a  $G$ -congruence on  $\Omega$  is called a **system of blocks** (or a **system of imprimitivity**). Such a system is said to be **trivial** if it only contains trivial blocks.

**Remark.** Let  $\Sigma$  be a system of blocks. By Proposition 9.10 (i) and (ii), we can choose an equivalence class  $\Delta$  from  $\Sigma$  so that  $\Sigma = \{\Delta^g \mid g \in G\}$ .

## 9.4 Primitive Actions

**Definition 9.12.** Let  $G$  act transitively on  $\Omega$ . The action (or  $G$ -set) is said to be **primitive** (or  $G$  is **primitive** on  $\Omega$ ) if  $G$  has no nontrivial blocks; otherwise, it is **imprimitive**.

**Remark.** Some authors define primitive actions without the assumption of transitivity. In this setting, we see that every nontrivial intransitive group has a nontrivial block (can be obtained by excluding an orbit). So every primitive group is transitive.

**Proposition 9.13.** *Let  $G$  acts transitively on  $\Omega$ . Let  $\omega \in \Omega$  be fixed. Then there is a one-to-one correspondence between the set of blocks of  $\Omega$  containing  $\omega$  and the set of subgroups which contains the stabilizer  $G_\omega$  of  $\omega$ .*

**Proof.** Let  $\Delta$  be a block containing  $\omega \in \Omega$ , and consider the set  $H_\Delta = \{g \in G \mid \omega^g \in \Delta\}$ . We claim that  $H_\Delta$  is a subgroup of  $G$ . Clearly,  $1 \in H_\Delta$ . Let  $g, g' \in H_\Delta$ . Since  $\omega$  and  $\omega^g$  both lie in  $\Delta$ , we see that  $\Delta^g \cap \Delta \neq \emptyset$  and hence that  $\Delta^g = \Delta$ . Now we have  $\omega^{gg'} = (\omega^g)^{g'} \in \Delta^{g'} = \Delta$  and hence  $gg' \in H_\Delta$ . Also, for  $g \in H_\Delta$  we have  $\omega^g \in \Delta$ . Since  $\omega$  is also in  $\Delta$ , this means  $\Delta \cap \Delta^g \neq \emptyset$ , which implies  $\Delta^g = \Delta$ . Acting on both sides by  $g^{-1}$ , we get  $\Delta^{g^{-1}} = (\Delta^g)^{g^{-1}} = \Delta$ . Since  $\omega \in \Delta$ , it follows that  $\omega^{g^{-1}} \in \Delta^{g^{-1}} = \Delta$ , and hence  $g^{-1} \in H_\Delta$ . Therefore  $H_\Delta$  is a subgroup of  $G$ .

Observe that  $G_\omega \leq H_\Delta$  since for any  $s \in G_\omega$ , we have  $\omega^s = \omega \in \Delta$ . Let  $\Sigma$  be the set of blocks of  $\Omega$  containing  $\omega$  and let  $\mathcal{H}$  be the set of subgroups containing  $G_\omega$ . Let  $\theta : \Sigma \rightarrow \mathcal{H}$  be the function defined by  $\theta(\Delta) = H_\Delta$ . We claim that  $\theta$  is bijective.

Let  $\Delta$  and  $\Delta'$  be distinct blocks in  $\Sigma$ . Without loss of generality assume that  $\Delta' \not\subseteq \Delta$ . Then there exists some  $\delta \in \Delta'$  with  $\delta \notin \Delta$ . Since  $G$  acts transitively on  $\Omega$ , there exists some  $g \in G$  such that  $\omega^g = \delta$ . So  $g \in H_{\Delta'}$ . Since  $\delta \notin \Delta$ , we have  $g \notin H_{\Delta}$ , and hence  $H_{\Delta} \neq H_{\Delta'}$ . This shows that  $\theta$  is injective.

Let  $H \in \mathcal{H}$ . Consider the subset  $C = \{\omega^h \mid h \in H\}$  of  $\Omega$ . We show that  $C$  is a block. Clearly  $C$  is non-empty and  $C^g = C$  for each  $g \in H$ . Let  $g \in G$  be such that  $C^g \cap C \neq \emptyset$ . Then there exist  $h_1, h_2 \in H$  such that  $(\omega^{h_1})^g = \omega^{h_2}$ . This gives  $\omega^{h_1 g} = \omega^{h_2}$ , so  $\omega^{h_1 g h_2^{-1}} = \omega$ . Hence  $h_1 g h_2^{-1} \in G_{\omega} \leq H$ , and thus  $g \in H$ . Consequently  $C^g = C$ . Therefore  $C$  is a block. Note that  $\theta(C) = H_C = \{g \in G \mid \omega^g \in C\}$ . Clearly  $H \leq H_C$ . Let  $g \in H_C$ . Then  $\omega^g = \omega^h$  for some  $h \in H$ . Hence  $\omega^{g h^{-1}} = \omega$  and thus  $g h^{-1} \in G_{\omega} \leq H$ , giving  $g \in H$ . So  $\theta(C) = H$ , which shows that  $\theta$  is surjective. ■

**Remark.** This correspondence is order-preserving, i.e., if  $\Delta_1, \Delta_2$  are blocks of  $\Omega$  containing  $\omega$ , then  $\Delta_1 \subseteq \Delta_2$  if and only if  $\theta(\Delta_1) \subseteq \theta(\Delta_2)$ . Indeed  $\Delta_1 \subseteq \Delta_2$  implies that  $\omega^g \in \Delta_1 \subseteq \Delta_2$  for all  $g \in H_{\Delta_1}$ . Conversely, suppose that  $H_{\Delta_1} \leq H_{\Delta_2}$ . Let  $\delta \in \Delta_1$ . So there exists  $g \in G$  such that  $\delta = \omega^g$ . This implies  $g \in H_{\Delta_1} \subseteq H_{\Delta_2}$ . So  $\delta = \omega^g \in \Delta_2$ . This shows that  $\theta$  is order-preserving.

**Corollary 9.14.** *Let  $G$  act transitively on  $\Omega$ . Then  $G$  is primitive if and only if the point stabilizers are maximal subgroups.*

**Proof.** Suppose  $G$  is primitive on  $\Omega$ . Let  $\omega \in \Omega$ . Since  $G$  is primitive, there are two blocks containing  $\omega$ , namely  $\{\omega\}$  and  $\Omega$ . By Proposition 9.13, there are only two subgroups of  $G$  containing  $G_{\omega}$ , namely  $G_{\omega}$  and  $G$ . So there is no proper subgroup of  $G$  which properly contains  $G_{\omega}$ . Therefore  $G_{\omega}$  is maximal in  $G$ .

Conversely, suppose that every stabilizer is a maximal subgroup. Fix  $\omega \in \Omega$ . Then there are only two subgroups of  $G$  containing  $G_{\omega}$ , namely  $G_{\omega}$  and  $G$ . By Proposition 9.13, we only have two such blocks  $\{\omega\}$  and  $\Omega$ . Consequently,  $\Omega$  can have no other blocks besides itself and its singletons, and so  $\Omega$  is primitive. ■

**Corollary 9.15.** *A normal subgroup of a primitive group  $G$  on a set  $\Omega$  is either transitive on  $\Omega$  or it lies in the kernel of the action.*

**Proof.** Let  $N$  be a normal subgroup of  $G$ . Suppose that  $N$  does not lie in the kernel of the action. Assume that there is a point stabilizer that contains  $N$ . Since  $G$  is transitive, it follows from Proposition 3.7.(ii) that the point stabilizers of  $G$  are conjugate, and the normality of  $N$  implies that  $N$  lies in every point stabilizer. By Proposition 3.14,  $N$  is contained in the kernel, a contradiction. So no point stabilizer contains  $N$ . Let  $G_{\omega}$  be the stabilizer of  $\omega \in \Omega$ . Note that  $G_{\omega} < G_{\omega}N$ . By Corollary 9.14, we get  $G = G_{\omega}N$ . Finally Frattini's argument (Proposition 9.6) shows that  $N$  is transitive. ■



## 9.5 Centralizers and Normalizers of Transitive Permutation Groups

**Definition 9.16.** Let  $G$  act on a set  $\Omega$ . We say that  $G$  acts **semiregularly** on  $\Omega$  ( $G$  or the  $G$ -set  $\Omega$  is **semiregular**) if nonidentity elements fix no point, i.e.,  $G_\omega = 1$  for all  $\omega \in \Omega$ . We say that  $G$  acts **regularly** on  $\Omega$  if  $G$  is transitive and semiregular.

Let  $H$  be a subgroup of a group  $G$  and let  $\Gamma_H$  be the set of right cosets of  $H$  in  $G$ . We have the right coset action of  $G$  on  $\Gamma_H$ , i.e.,  $(Hx)^g = Hxg$ . In fact, we can also define another right coset action of  $N_G(H)$  on  $\Gamma_H$  by left multiplication:  $(Hx)^g = Hg^{-1}x$ . Note that  $g^{-1}$  is required and  $G$  is restricted to  $N_G(H)$  to ensure that this action is a well-defined right action.

**Lemma 9.17.** *Let  $G$  be a group with a subgroup  $H$ , and put  $K := N_G(H)$ . Let  $\Gamma_H$  denote the set of right cosets of  $H$  in  $G$ , and let  $\rho$  and  $\lambda$  denote the right and left multiplication of  $G$  and  $K$ , respectively, on  $\Gamma_H$  as defined above. Then the following hold.*

- (i)  $\text{Ker } \lambda = H$  and  $\lambda(K)$  is semiregular.
- (ii) The centralizer  $C$  of  $\rho(G)$  in  $\text{Sym}(\Gamma_H)$  equals  $\lambda(K)$ .
- (iii)  $H \in \Gamma_H$  has the same orbit under  $\lambda(K)$  as under  $\rho(K)$ .
- (iv) If  $\lambda(K)$  is transitive, then  $K = G$ , and  $\lambda(G)$  and  $\rho(G)$  are conjugate in  $\text{Sym}(\Gamma_H)$ .

**Proof.** (i) Clearly  $(Ha)^{\lambda(x)} = Ha$  for all  $a \in G \Leftrightarrow x \in H \Leftrightarrow (Ha)^{\lambda(x)} = Ha$  for some  $a \in G$ . Thus  $\text{Ker } \lambda = H$ , and the point stabilizer of each point  $Ha \in \Gamma_H$  under the action  $\lambda$  is  $H$ .

(ii) Let  $\lambda(y) \in \lambda(K)$  and let  $\rho(x) \in \rho(G)$ . Then for each  $a \in G$ ,

$$(Ha)^{\rho(x)\lambda(y)} = Hy^{-1}ax = (Ha)^{\lambda(y)\rho(x)}$$

and so  $\rho(x)\lambda(y) = \lambda(y)\rho(x)$ . Thus  $\lambda(K) \subseteq C$ .

Conversely, suppose that  $z \in C$  and write  $Hc = H^z$  for some  $c \in G$ . Then for each  $a \in G$ ,

$$(Ha)^z = (H^{\rho(a)})^z = H^{\rho(a)z} = H^{z\rho(a)} = Hca.$$

In particular, for each  $a \in H$ , we have

$$Hc = H^z = (Ha)^z = Hca.$$

Thus  $c \in N_G(H) = K$ , and  $z = \lambda(c^{-1}) \in \lambda(K)$ . This shows that  $C \subseteq \lambda(K)$ .

(iii) Note that

$$\begin{aligned}
H^{\lambda(K)} &= \{H^{\lambda(x)} \mid x \in K\} \\
&= \{Hx^{-1} \mid x \in K\} \\
&= \{Hx \mid x \in K\} \\
&= \{H^{\rho(x)} \mid x \in K\} \\
&= H^{\rho(K)}.
\end{aligned}$$

(iv) If  $\lambda(K)$  is transitive, then (iii) shows that each coset  $Hx$  ( $x \in G$ ) has the form  $H^{\rho(y)} = Hy$  for some  $y \in K$ . So  $xy^{-1} \in H \subseteq K$  and thus  $x \in K$ . Hence  $G = K = N_G(H)$ . Now we can define a permutation  $t \in \text{Sym}(\Gamma_H)$  by  $(Ha)^t := Ha^{-1}$ . Note that  $t$  is well-defined because  $H$  is normal in  $G$ . Finally, the calculation shows that  $t^{-1}\lambda(x)t = \rho(x)$  for all  $x \in G$ . ■

**Theorem 9.18.** *Let  $G$  be a transitive subgroup of  $\text{Sym}(\Omega)$  and let  $\omega \in \Omega$ . Let  $C$  be the centralizer of  $G$  in  $\text{Sym}(\Omega)$ . Then the following hold.*

- (i)  $C$  is semiregular, and  $C \cong N_G(G_\omega)/G_\omega$ .
- (ii)  $C$  is transitive if and only if  $G$  is regular.
- (iii) If  $C$  is transitive, then it is conjugate to  $G$  in  $\text{Sym}(\Omega)$  and hence  $C$  is regular.
- (iv)  $C = 1$  if and only if  $G_\omega$  is self-normalizing in  $G$ , i.e.,  $N_G(G_\omega) = G_\omega$ .
- (v) If  $G$  is abelian, then  $C = G$ .
- (vi) If  $G$  is primitive and nonabelian, then  $C = 1$ .

**Proof.** (i) Since  $G$  is transitive, it follows from Proposition 9.5 that  $(G, \Omega)$  and  $(\rho(G), \Gamma_{G_\omega})$  are permutationally isomorphic. By Lemma 9.17.(ii),  $C$  is permutationally isomorphic to  $C_{\text{Sym}(\Gamma_{G_\omega})}(\rho(G)) = \lambda(K)$ , where  $K = N_G(G_\omega)$ . So  $C$  is semiregular by Lemma 9.17.(i). Also, Lemma 9.17.(i) and the First Isomorphism Theorem show that  $C \cong \lambda(K) \cong K/\text{Ker } \lambda = N_G(G_\omega)/G_\omega$ .

(ii) If  $C$  is transitive, then  $\lambda(K)$  is transitive. By Lemma 9.17.(iv),  $N_G(G_\omega) = G$ . Then  $G_\omega = G_{\omega^g}$  for all  $g \in G$  by Proposition 3.7.(ii). Since  $G$  is transitive and faithful, it follows from Proposition 3.16 that  $G_\omega = 1$ . Hence  $G$  is regular.

Conversely, if  $G$  is regular, then  $K = G$ . By Theorem 3.28.(i), we know that  $\lambda(G)$  is transitive. Hence  $C$  is transitive.

(iii) If  $C$  is transitive, we see that  $K = G$  and both  $\lambda(G)$  and  $\rho(G)$  are conjugate in  $\text{Sym}(\Gamma_{G_\omega})$  by Lemma 9.17.(iv). Since  $G$  and  $\rho(G)$  are permutationally isomorphic,

$C$  and  $G$  are conjugate in  $\text{Sym}(\Omega)$ . By Proposition 9.4,  $C$  and  $G$  are permutationally isomorphic. From (ii), we know that  $G$  is regular and thus  $C$  is regular.

(iv) The result follows from (i).

(v) Since  $G$  is abelian, it follows that  $G \subseteq C$ . Let  $\omega \in \Omega$ . Since  $C$  is semiregular by (i), we have  $C_\omega = 1$ . By Frattini's argument (Proposition 9.6), we now have  $C = GC_\omega = G$ .

(vi) Since  $G$  is primitive, it follows from Corollary 9.14 that  $G_\omega$  is a maximal subgroup. By the argument in (ii),  $N_G(G_\omega)$  is a proper subgroup of  $G$ . So we obtain  $N_G(G_\omega) = G_\omega$ . Hence the result follows from (iv). ■

**Theorem 9.19.** *Let  $G$  be a transitive subgroup of  $\text{Sym}(\Omega)$ , let  $N$  be the normalizer of  $G$  in  $\text{Sym}(\Omega)$  and let  $\alpha \in \Omega$ . If  $\Psi : N \rightarrow \text{Aut } G$  is the homomorphism defined by conjugation, and  $\sigma \in \text{Aut}(G)$ , then  $\sigma \in \text{Im } \Psi$  if and only if  $(G_\alpha)^\sigma$  is a point stabilizer for  $G$ , i.e.,  $(G_\alpha)^\sigma = G_\beta$  for some  $\beta \in \Omega$ .*

**Proof.** Let  $\sigma \in \text{Im } \Psi$ , so  $\sigma = \Psi(x)$  for some  $x \in N$ . Then

$$(G_\alpha)^\sigma = (G_\alpha)^{\Psi(x)} = x^{-1}G_\alpha x = G_{\alpha^x}$$

by Proposition 3.7.(ii). Write  $\beta = \alpha^x$  and we are done.

Conversely, suppose that  $(G_\alpha)^\sigma = G_\beta$  for some  $\beta \in \Omega$ . By Proposition 9.3, the two permutation groups on  $\Omega$  (which are  $G$  and  $G^\sigma$ ) are permutationally isomorphic. By Proposition 9.4, there exists  $t \in \text{Sym } \Omega$  such that  $x^\sigma = t^{-1}xt$  for all  $x \in G$ . Clearly  $t \in N$ . Hence  $\sigma = \Psi(t) \in \text{Im } \Psi$  as required. ■

**Definition 9.20.** Let  $G$  be a group. The **holomorph** of  $G$ , denoted by  $\text{Hol}(G)$ , is the semidirect product  $G \rtimes \text{Aut}(G)$  with respect to the natural action of  $\text{Aut}(G)$  on  $G$ .

In the case where  $G$  is regular, the normalizer of  $G$  in the symmetric group is the holomorph of  $G$ .

**Corollary 9.21.** *Let  $G$  be a transitive subgroup of  $\text{Sym}(\Omega)$  and let  $N$  be the normalizer of  $G$  in  $\text{Sym}(\Omega)$ . If  $G$  is regular, then  $\text{Im } \Psi = \text{Aut}(G)$ , where  $\Psi$  is the homomorphism defined in Theorem 9.19. Let  $\alpha \in \Omega$ . In this case  $N_\alpha \cong \text{Aut}(G)$  and  $N \cong \text{Hol}(G)$ .*

**Proof.** Since  $G$  is regular, therefore  $G_\alpha = 1$ , and so  $\text{Im } \Psi = \text{Aut}(G)$  by Theorem 9.19 since  $(G_\alpha)^\sigma = 1$  is a point stabilizer for  $G$ . The centralizer  $C$  of  $G$  in  $\text{Sym}(\Omega)$  is transitive by Theorem 9.18.(ii). By Frattini's argument (Proposition 9.6), we get

$N = CN_\alpha$ . Next we show that  $C \cap N_\alpha = 1$ . Let  $x \in C \cap N_\alpha$ . Then  $\alpha^x = \alpha$ . Since  $G$  is transitive, for every  $\omega \in \Omega$ , we have  $\omega = \alpha^g$  for some  $g \in G$ . This implies

$$\omega^x = (\alpha^g)^x = \alpha^{gx} = \alpha^{xg} = (\alpha^x)^g = \alpha^g = \omega.$$

This proves  $C \cap N_\alpha = 1$ . Clearly  $\text{Ker } \Psi = C$ . By the First and Second Isomorphism Theorems,

$$\text{Aut}(G) = \text{Im } \Psi \cong N / \text{Ker } \Psi = N / C = CN_\alpha / C \cong N_\alpha.$$

In fact, the isomorphism  $N_\alpha \xrightarrow{\sim} \text{Aut}(G)$  maps  $x$  to the conjugation on  $G$  by  $x$ . Note that  $G \cap N_\alpha = G_\alpha = 1$  and  $G$  is normal in  $N$ . By Propositions 8.7 and 8.9, we have

$$N = GN_\alpha \cong G \rtimes \text{Aut}(G) = \text{Hol}(G). \quad \blacksquare$$

**Remark.** It seems to be true that permutational isomorphisms preserve transitivity, primitivity, regularity and faithfulness. More specifically, let  $(G, \Omega)$  and  $(H, \Delta)$  be permutational isomorphic group actions, then the following holds.

- (i)  $(G, \Omega)$  is transitive if and only if  $(H, \Delta)$  is transitive.
- (ii)  $(G, \Omega)$  is primitive if and only if  $(H, \Delta)$  is primitive.
- (iii)  $(G, \Omega)$  is regular if and only if  $(H, \Delta)$  is regular.
- (iv)  $(G, \Omega)$  is faithful if and only if  $(H, \Delta)$  is faithful.

These might be too trivial until they are not mentioned anywhere, but it is important to know. Siapa boleh tolong provekan?

**Main References.** [PS18; DM96; Cam99; Jac85]

# 10 Wreath Products

## 10.1 Construction

Let  $G$  be an arbitrary group and let  $H$  be a group acting on a set  $\Delta$ . Let  $G^\Delta$  be the group of all functions from  $\Delta$  into  $G$  with pointwise multiplication. In other words,  $G^\Delta$  is isomorphic to a direct product of copies of  $G$  indexed by  $\Delta$ . Now we define an action of  $H$  on  $G^\Delta$  by

$$f^h(\delta) = f(\delta^{h^{-1}}).$$

**Definition 10.1.** The semidirect product  $W = G^\Delta \rtimes H$  with respect to the action defined above is called the **(complete) wreath product** of  $G$  by  $H$ . This  $W$  will be denoted by  $G \text{Wr}_\Delta H$ . We can define the **restricted wreath product**  $G \text{wr}_\Delta H$  of  $G$  by  $H$ , by using the same setting, but with  $f \in G^{(\Delta)}$ , where  $G^{(\Delta)}$  is the set of all functions such that  $f(y) = e$  for all but finitely many  $y \in \Delta$ . The wreath product is said to be **trivial** if either  $G$  or  $H$  is the trivial group. In  $G \text{Wr}_\Delta H$  (resp.  $G \text{wr}_\Delta H$ ), the subgroup  $G^\Delta$  (resp.  $G^{(\Delta)}$ ) is called the **base group**,  $G$  is called the **bottom group** and  $H$  is called the **top group**.

**Remark.** Let us restrict to the case where  $\Delta$  is a finite set. If  $\Delta = \{1, \dots, n\}$ , then we can think of the base group as

$$G^\Delta = \underbrace{G \times \dots \times G}_{n \text{ times}}.$$

So the elements of the base group are just an  $n$ -tuples of elements in  $G$ . Now the action of  $H$  on the base group corresponds to permuting coordinates of elements in  $G$  as follows.

$$(g_1, g_2, \dots, g_n)^h = (g_{1^{h^{-1}}}, g_{2^{h^{-1}}}, \dots, g_{n^{h^{-1}}}).$$

Note that it is necessary to introduce  $h^{-1}$  rather than  $h$  since we are considering right action.

**Definition 10.2.** The **standard wreath product**  $G \text{Wr} H$  (or  $G \text{wr} H$ ) of  $G$  by  $H$  is the wreath product  $G \text{Wr}_H H$  (or  $G \text{wr}_H H$ ) where  $G$  and  $H$  act on themselves by right regular action.

## 10.2 Imprimitive Action

**Proposition 10.3.** *Let  $G$  and  $H$  act on  $\Omega$  and  $\Delta$  respectively. Then  $G \text{Wr}_\Delta H$  acts on  $\Omega \times \Delta$  via*

$$(\omega, \delta)^{(f, h)} = (\omega^{f(\delta)}, \delta^h).$$

**Proof.** Let  $(\omega, \delta) \in \Omega \times \Delta$ . Clearly  $(\omega, \delta)^{(1,1)} = (\omega^{1(\delta)}, \delta^1) = (\omega^1, \delta) = (\omega, \delta)$ . Let  $f_1, f_2 \in G^\Delta$  and  $h_1, h_2 \in H$ . Then

$$\begin{aligned}
((\omega, \delta)^{(f_1, h_1)})^{(f_2, h_2)} &= (\omega^{f_1(\delta)}, \delta^{h_1})^{(f_2, h_2)} \\
&= ((\omega^{f_1(\delta)})^{f_2(\delta^{h_1})}, (\delta^{h_1})^{h_2}) \\
&= (\omega^{f_1(\delta)f_2(\delta^{h_1})}, \delta^{h_1 h_2}), \\
(\omega, \delta)^{(f_1, h_1)(f_2, h_2)} &= (\omega, \delta)^{(f_1 f_2^{\frac{h_1^{-1}}{1}}, h_1 h_2)} \\
&= (\omega^{(f_1 f_2^{\frac{h_1^{-1}}{1}})(\delta)}, \delta^{h_1 h_2}) \\
&= (\omega^{f_1(\delta)f_2^{\frac{h_1^{-1}}{1}}(\delta)}, \delta^{h_1 h_2}) \\
&= (\omega^{f_1(\delta)f_2(\delta^{h_1})}, \delta^{h_1 h_2}).
\end{aligned}$$

So  $((\omega, \delta)^{(f_1, h_1)})^{(f_2, h_2)} = (\omega, \delta)^{(f_1, h_1)(f_2, h_2)}$ . This shows that  $G \text{Wr}_\Delta H$  acts on  $\Omega \times \Delta$  with respect to this action. ■

**Definition 10.4.** The action defined in Proposition 10.3 is called the **imprimitive action** of  $G \text{Wr}_\Delta H$ .

**Proposition 10.5.** *Let  $G$  and  $H$  act on  $\Omega$  and  $\Delta$  respectively.*

- (i)  *$G \text{Wr}_\Delta H$  acts transitively on  $\Omega \times \Delta$  if and only if both  $G$  and  $H$  are transitive.*
- (ii)  *$G \text{Wr}_\Delta H$  acts faithfully on  $\Omega \times \Delta$  if and only if both actions are faithful.*
- (iii) *If  $|\Omega|, |\Delta| \geq 2$  and  $G \text{Wr}_\Delta H$  acts transitively on  $\Omega \times \Delta$ , then  $G \text{Wr}_\Delta H$  is imprimitive on  $\Omega \times \Delta$ .*
- (iv) *In this form the wreath product is associative in the sense that, if  $K$  acts on  $\Gamma$ , then the action of  $(G \text{Wr}_\Delta H) \text{Wr}_\Gamma K$  on  $(\Omega \times \Delta) \times \Gamma$  is permutationally isomorphic to the action of  $G \text{Wr}_{\Delta \times \Gamma}(H \text{Wr}_\Gamma K)$  on  $\Omega \times (\Delta \times \Gamma)$ .*

**Proof.** (i) Suppose that  $G \text{Wr}_\Delta H$  is transitive on  $\Omega \times \Delta$ . Then for any  $\omega_1, \omega_2 \in \Omega$  and  $\delta_1, \delta_2 \in \Delta$ , consider the pairs  $(\omega_1, \delta_1), (\omega_2, \delta_2) \in \Omega \times \Delta$ .

Conversely, let  $(\omega_1, \delta_1), (\omega_2, \delta_2) \in \Omega \times \Delta$ . Then we take a function  $f : \Delta \rightarrow G$  such that  $f(\delta_1) = g$  where  $\omega_1^g = \omega_2$ .

(ii) Suppose that the action of  $G \text{Wr}_\Delta H$  on  $\Omega \times \Delta$  is faithful. Let  $g \in G$  be such that  $\omega^g = \omega$  for all  $\omega \in \Omega$ . There is a function  $f : \Delta \rightarrow G$  such that  $f(\delta) = g$  for all  $\delta \in \Delta$ . So  $\omega^{f(\delta)} = \omega$  for all  $\delta \in \Delta$ . Note that

$$(\omega, \delta)^{(f,1)} = (\omega^{f(\delta)}, \delta^1) = (\omega, \delta).$$

This implies  $g = 1$ . We use a similar argument to show that  $H$  is faithful on  $\Delta$ .

Conversely, let  $(f, h) \in G \text{Wr}_\Delta H$  be such that  $(\omega, \delta)^{(f, h)} = (\omega, \delta)$  for all  $(\omega, \delta) \in \Omega \times \Delta$ . In particular,  $\delta^h = \delta$  for all  $\delta \in \Delta$ . Since the action of  $H$  on  $\Delta$  is faithful, we get  $h = 1$ . Next, note that  $\omega^{f(\delta)} = \omega$  for all  $\omega \in \Omega$ ,  $\delta \in \Delta$ . Since the action of  $G$  on  $\Omega$  is faithful, we get  $f(\delta) = 1$  for all  $\delta \in \Delta$ .

(iii) Let  $\delta \in \Delta$  and consider the subset  $\Omega \times \{\delta\}$  of  $\Omega \times \Delta$ . We show that it is a nontrivial block. Let  $(f, h) \in G \text{Wr}_\Delta H$  be such that  $(\Omega \times \{\delta\})^{(f, h)} \cap (\Omega \times \{\delta\}) \neq \emptyset$ . Then  $\delta^h = \delta$  and  $\Omega^{f(\delta)} \cap \Omega \neq \emptyset$ . Since  $\Omega$  itself is a block, it follows that  $\Omega^{f(\delta)} = \Omega$ . Hence  $(\Omega \times \{\delta\})^{(f, h)} = \Omega \times \{\delta\}$ .

(iv) The elements of  $(G \text{Wr}_\Delta H) \text{Wr}_\Gamma K$  are of the form  $(f, k)$  where  $f : \Gamma \rightarrow G \text{Wr}_\Delta H$  is a function such that  $f(\gamma) = (g_\gamma, h_\gamma)$  with a function  $g_\gamma : \Delta \rightarrow G$  and an element  $h_\gamma \in H$ . On the other hand, the elements of  $G \text{Wr}_{\Delta \times \Gamma}(H \text{Wr}_\Gamma K)$  are of the form  $(g, (h, k))$  where  $g : \Delta \times \Gamma \rightarrow G$  and  $h : \Gamma \rightarrow H$  are functions.

Let  $\varphi : (G \text{Wr}_\Delta H) \text{Wr}_\Gamma K \rightarrow G \text{Wr}_{\Delta \times \Gamma}(H \text{Wr}_\Gamma K)$  be defined by

$$\varphi(f, k) = (g_\varphi, (h_\varphi, k))$$

where  $f$  is as described above,  $g_\varphi : \Delta \times \Gamma \rightarrow G$  and  $h_\varphi : \Gamma \rightarrow H$  are functions respectively defined by

$$g_\varphi(\delta, \gamma) = g_\gamma(\delta), \quad h_\varphi(\gamma) = h_\gamma.$$

Now we show that  $\varphi$  is bijective. Let  $(f^{(1)}, k_1), (f^{(2)}, k_2) \in (G \text{Wr}_\Delta H) \text{Wr}_\Gamma K$  with  $f^{(i)}(\gamma) = (g_\gamma^{(i)}, h_\gamma^{(i)})$  for  $i = 1, 2$ . Assume  $\varphi(f^{(1)}, k_1) = \varphi(f^{(2)}, k_2)$ , or equivalently,

$$(g_\varphi^{(1)}, (h_\varphi^{(1)}, k_1)) = (g_\varphi^{(2)}, (h_\varphi^{(2)}, k_2)).$$

So  $k_1 = k_2$ ,  $h_\varphi^{(1)} = h_\varphi^{(2)}$  and  $g_\varphi^{(1)} = g_\varphi^{(2)}$ . Since  $h_\varphi^{(1)} = h_\varphi^{(2)}$ , we get

$$h_\gamma^{(1)} = h_\varphi^{(1)}(\gamma) = h_\varphi^{(2)}(\gamma) = h_\gamma^{(2)}$$

for all  $\gamma \in \Gamma$ . Since  $g_\varphi^{(1)} = g_\varphi^{(2)}$ , we get

$$g_\gamma^{(1)}(\delta) = g_\varphi^{(1)}(\delta, \gamma) = g_\varphi^{(2)}(\delta, \gamma) = g_\gamma^{(2)}(\delta)$$

for all  $\delta \in \Delta$  and  $\gamma \in \Gamma$ . This implies that  $g_\gamma^{(1)} = g_\gamma^{(2)}$  for all  $\gamma \in \Gamma$ . Hence  $f^{(1)}(\gamma) = f^{(2)}(\gamma)$  for all  $\gamma \in \Gamma$ . Therefore  $f^{(1)} = f^{(2)}$ . Consequently,  $(f^{(1)}, k_1) = (f^{(2)}, k_2)$ . Thus  $\varphi$  is injective.

Let  $(g, (h, k))$  be an arbitrary element of  $G \text{Wr}_{\Delta \times \Gamma}(H \text{Wr}_\Gamma K)$ . Recall that  $g : \Delta \times \Gamma \rightarrow G$  is a function,  $h : \Gamma \rightarrow H$  is a function, and  $k \in K$ . Define the function

$f : \Gamma \rightarrow G \text{Wr}_\Delta H$  by  $f(\gamma) = (g_\gamma, h(\gamma))$ , where  $g_\gamma : \Delta \rightarrow G$  is a function defined by  $g_\gamma(\delta) = g(\delta, \gamma)$ . Now we have  $\varphi(f, k) = (g_\varphi, (h_\varphi, k))$ . Clearly  $h_\varphi(\gamma) = h(\gamma)$  for all  $\gamma \in \Gamma$  and  $g_\varphi(\delta, \gamma) = g_\gamma(\delta) = g(\delta, \gamma)$  for all  $(\delta, \gamma) \in \Delta \times \Gamma$ . Therefore,  $\varphi$  is surjective.

We verify that  $\varphi$  is a homomorphism. Let  $(f^{(1)}, k_1), (f^{(2)}, k_2) \in (G \text{Wr}_\Delta H) \text{Wr}_\Gamma K$  with  $f^{(i)}(\gamma) = (g_\gamma^{(i)}, h_\gamma^{(i)})$  for  $i = 1, 2$ . Then

$$\varphi((f^{(1)}, k_1)(f^{(2)}, k_2)) = \varphi((f^{(1)}(f^{(2)})^{k_1^{-1}}, k_1 k_2)).$$

Note that

$$\begin{aligned} f^{(1)}(f^{(2)})^{k_1^{-1}}(\gamma) &= f^{(1)}(\gamma)(f^{(2)})^{k_1^{-1}}(\gamma) \\ &= f^{(1)}(\gamma)f^{(2)}(\gamma^{k_1}) \\ &= (g_\gamma^{(1)}, h_\gamma^{(1)})(g_{\gamma^{k_1}}^{(2)}, h_{\gamma^{k_1}}^{(2)}) \\ &= \left(g_\gamma^{(1)}(g_{\gamma^{k_1}}^{(2)})^{(h_\gamma^{(1)})^{-1}}, h_\gamma^{(1)}h_{\gamma^{k_1}}^{(2)}\right) \end{aligned}$$

for  $\gamma \in \Gamma$ . So we have  $\varphi((f^{(1)}, k_1)(f^{(2)}, k_2)) = (g', (h', k_1 k_2))$  where  $g' : \Delta \times \Gamma \rightarrow G$  and  $h' : \Gamma \rightarrow H$  are functions respectively given by

$$g'(\delta, \gamma) = g_\gamma^{(1)}(\delta)g_{\gamma^{k_1}}^{(2)}(\delta^{h_\gamma^{(1)}}), \quad h'(\gamma) = h_\gamma^{(1)}h_{\gamma^{k_1}}^{(2)}.$$

Next, we have

$$\begin{aligned} \varphi(f^{(1)}, k_1)\varphi(f^{(2)}, k_2) &= \left(g_\varphi^{(1)}, (h_\varphi^{(1)}, k_1)\right) \left(g_\varphi^{(2)}, (h_\varphi^{(2)}, k_2)\right) \\ &= \left(g_\varphi^{(1)}(g_\varphi^{(2)})^{(h_\varphi^{(1)}, k_1)^{-1}}, (h_\varphi^{(1)}, k_1)(h_\varphi^{(2)}, k_2)\right) \\ &= \left(g_\varphi^{(1)}(g_\varphi^{(2)})^{(h_\varphi^{(1)}, k_1)^{-1}}, (h_\varphi^{(1)}(h_\varphi^{(2)})^{k_1^{-1}}, k_1 k_2)\right) \\ &= (g', (h', k_1 k_2)) \end{aligned}$$

because

$$\begin{aligned} \left[g_\varphi^{(1)}(g_\varphi^{(2)})^{(h_\varphi^{(1)}, k_1)^{-1}}\right](\delta, \gamma) &= g_\varphi^{(1)}(\delta, \gamma)(g_\varphi^{(2)})^{(h_\varphi^{(1)}, k_1)^{-1}}(\delta, \gamma) \\ &= g_\gamma^{(1)}(\delta)g_\varphi^{(2)}((\delta, \gamma)^{(h_\varphi^{(1)}, k_1)}) \\ &= g_\gamma^{(1)}(\delta)g_\varphi^{(2)}(\delta^{h_\gamma^{(1)}}, \gamma^{k_1}) \\ &= g_\gamma^{(1)}(\delta)g_{\gamma^{k_1}}^{(2)}(\delta^{h_\gamma^{(1)}}), \\ \left[h_\varphi^{(1)}(h_\varphi^{(2)})^{k_1^{-1}}\right](\gamma) &= h_\varphi^{(1)}(\gamma)(h_\varphi^{(2)})^{k_1^{-1}}(\gamma) \end{aligned}$$



$$\begin{aligned}
&= h_\varphi^{(1)}(\gamma)(h_\varphi^{(2)})(\gamma^{k_1}) \\
&= h_\gamma^{(1)} h_{\gamma^{k_1}}^{(2)}.
\end{aligned}$$

Finally, let  $\vartheta : (\Omega \times \Delta) \times \Gamma \rightarrow \Omega \times (\Delta \times \Gamma)$  be defined by  $\vartheta((\omega, \delta), \gamma) = (\omega, (\delta, \gamma))$ . We claim that  $(\vartheta, \varphi)$  is a permutational isomorphism. Let  $((\omega, \delta), \gamma) \in (\Omega \times \Delta) \times \Gamma$  and  $(f, k) \in (G \text{ Wr}_\Delta H) \text{ Wr}_\Gamma K$  be such that  $f(\gamma) = (g_\lambda, h_\lambda)$  and  $\varphi(f, k) = (g_\varphi, (h_\varphi, k))$ . Then

$$\begin{aligned}
\vartheta\left((\omega, \delta), \gamma\right)^{(f, k)} &= \vartheta\left((\omega, \delta)^{f(\gamma)}, \gamma^k\right) = \vartheta\left((\omega, \delta)^{(g_\gamma, h_\gamma)}, \gamma^k\right) \\
&= \vartheta\left((\omega^{g_\gamma(\delta)}, \delta^{h_\gamma}), \gamma^k\right) = \left(\omega^{g_\gamma(\delta)}, (\delta^{h_\gamma}, \gamma^k)\right) \\
&= \left(\omega^{g_\varphi(\delta, \gamma)}, (\delta^{h_\varphi(\gamma)}, \gamma^k)\right) = \left(\omega^{g_\varphi(\delta, \gamma)}, (\delta, \gamma)^{(h_\varphi, k)}\right) \\
&= (\omega, (\delta, \gamma))^{(g_\varphi, (h_\varphi, k))} = (\omega, (\delta, \gamma))^{\varphi(f, k)}.
\end{aligned}$$

This completes the proof. ■

**Remark.** (i) Proposition 10.5.(iii) is the reason why we call the action imprimitive.

(ii) The standard wreath product is not associative in general. Let  $H, K$  and  $L$  be three groups. Then

$$\begin{aligned}
|H \text{ Wr } K| &= |H|^{|K|} |K| = |H|^{|K|} |K|, \\
|(H \text{ Wr } K) \text{ Wr } L| &= |H \text{ Wr } K|^{|L|} |L| = |H|^{|K||L|} |K|^{|L|} |L|.
\end{aligned}$$

But  $|K \text{ Wr } L| = |K|^{|L|} |L|$  and so

$$|H \text{ Wr } (K \text{ Wr } L)| = |H|^{|K \text{ Wr } L|} |K \text{ Wr } L| = |H|^{|K||L|} |K|^{|L|} |L|.$$

Thus  $(H \text{ Wr } K) \text{ Wr } L$  does not necessarily have the same order as  $H \text{ Wr } (K \text{ Wr } L)$ .

**Theorem 10.6** (Embedding Theorem). *Let  $G$  act transitively on  $\Omega$ . Let  $\mathcal{B} = \{\Omega_\lambda \mid \lambda \in \Lambda\}$  be a system of imprimitivity of  $\Omega$ . Fix a block  $\Omega_\iota \in \mathcal{B}$  and let  $\phi : G \rightarrow \text{Sym } \mathcal{B}$  be the induced representation of  $G$  on  $\mathcal{B}$ . Then  $(G, \Omega)$  is permutationally embedded into  $(G_{\Omega_\iota} \text{ Wr}_{\mathcal{B}} \phi(G), \Omega_\iota \times \mathcal{B})$ .*

**Proof.** Since  $G$  acts transitively on  $\mathcal{B}$ , for each  $\Omega_\lambda \in \mathcal{B}$  we choose an element  $g_\lambda \in G$  such that  $\Omega_\lambda = \Omega_\iota^{g_\lambda}$ . Define  $\vartheta : \Omega \rightarrow \Omega_\iota \times \mathcal{B}$  by

$$\vartheta(\omega) = (\omega^{g_\lambda^{-1}}, \Omega_\lambda)$$

where  $\omega \in \Omega_\lambda$ . Clearly  $\vartheta$  is well-defined. We claim that  $\vartheta$  is a bijection. Suppose that  $\vartheta(\omega_1) = \vartheta(\omega_2)$  for some  $\omega_1, \omega_2 \in \Omega$ . Then  $\omega_1, \omega_2 \in \Omega_\lambda$  for some  $\Omega_\lambda \in \mathcal{B}$  and  $\omega_1^{g_\lambda^{-1}} = \omega_2^{g_\lambda^{-1}}$ . Thus we get  $\omega_1 = \omega_2$  and  $\vartheta$  is injective. Let  $(\omega, \Omega_\lambda) \in \Omega_\ell \times \mathcal{B}$ . Take the unique element  $\delta \in \Omega$  such that  $\delta = \omega^{g_\lambda}$ . Then, by definition,  $\vartheta$  is surjective.

Let  $\psi : G \rightarrow G_{\Omega_\ell} \text{Wr}_{\mathcal{B}} \phi(G)$  be defined by

$$\psi(x) = (f_x, \phi(x))$$

where  $f_x$  is a function from  $\mathcal{B}$  into  $G_{\Omega_\ell}$  with  $f_x(\Omega_\lambda) = g_\lambda x g_{\lambda^x}^{-1}$  where  $g_{\lambda^x}$  is the element corresponding to  $\Omega_\lambda^x$ . It can be checked that  $\Omega_\ell^{g_\lambda x g_{\lambda^x}^{-1}} = \Omega_\ell$  and so  $g_\lambda x g_{\lambda^x}^{-1} \in G_{\Omega_\ell}$ . We claim that  $\psi$  is a monomorphism. Clearly  $\psi$  is injective, because  $x \in \ker \psi$  implies that  $x = g_\ell^{-1} 1 g_\ell = g_\ell^{-1} 1 g_\ell = 1$ . Now we show that  $\psi$  is a homomorphism. Let  $x, y \in G$ . Then  $\psi(x)\psi(y) = (f_x, x)(f_y, y) = (f_x f_y^{x^{-1}}, xy)$ . Note that for all  $\Omega_\lambda \in \mathcal{B}$ ,

$$\begin{aligned} (f_x f_y^{x^{-1}})(\Omega_\lambda) &= f_x(\Omega_\lambda) f_y^{x^{-1}}(\Omega_\lambda) \\ &= f_x(\Omega_\lambda) f_y(\Omega_\lambda^x) \\ &= g_\lambda x g_{\lambda^x}^{-1} g_{\lambda^x} y g_{(\lambda^x)^y}^{-1} \\ &= g_\lambda x y g_{\lambda^{xy}}^{-1} \\ &= f_{xy}(\Omega_\lambda). \end{aligned}$$

So  $\psi(x)\psi(y) = \psi(xy)$ . Next, we want to prove

$$\vartheta(\omega^x) = \vartheta(\omega)^{\psi(x)}$$

for all  $\omega \in \Omega$  and  $x \in G$ .

$$\begin{aligned} \vartheta(\omega)^{\psi(x)} &= (\omega^{g_\lambda^{-1}}, \Omega_\lambda)^{(f_x, x)} \\ &= ((\omega^{g_\lambda^{-1}})^{f_x(\Omega_\lambda)}, \Omega_\lambda^x) \\ &= ((\omega^{g_\lambda^{-1}})^{g_\lambda x g_{\lambda^x}^{-1}}, \Omega_\lambda^x) \\ &= ((\omega^x)^{g_{\lambda^x}^{-1}}, \Omega_\lambda^x) \\ &= \vartheta(\omega^x). \end{aligned}$$

This shows that  $(\vartheta, \psi)$  is a permutational embedding. ■

**Corollary 10.7** (Kaluzhnin–Krasner Embedding Theorem). *Let  $G$  be a group and let  $N$  be a subgroup of  $G$ . Let  $H$  be the permutation group induced by  $G$  on the set of right cosets of  $N$  in  $G$ . Then  $G$  is embedded into  $N \text{Wr} H$ . If  $N$  is normal in  $G$ , then*

$G$  is embedded into  $N \text{Wr} G/N$ .

**Proof.** Apply Theorem 10.6 using the right regular action. ■

### 10.3 Product Action

**Proposition 10.8.** *Let  $G$  and  $H$  act on  $\Omega$  and  $\Delta$  respectively. Then  $G \text{Wr}_\Delta H$  acts on  $\Omega^\Delta$  via*

$$\varphi^{(f,h)}(\delta) = \varphi(\delta^{h^{-1}})^{f(\delta^{h^{-1}})}.$$

**Proof.** Let  $\varphi \in \Omega^\Delta$  and let  $\delta \in \Delta$ . Clearly  $\varphi^{(1,1)}(\delta) = \varphi(\delta^1)^{1(\delta^1)} = \varphi(\delta)^1 = \varphi(\delta)$ . Let  $f_1, f_2 \in G^\Delta$  and  $h_1, h_2 \in H$ . Then

$$\begin{aligned} (\varphi^{(f_1, h_1)})(f_2, h_2)(\delta) &= \varphi^{(f_1, h_1)}(\delta^{h_2^{-1}})^{f_2(\delta^{h_2^{-1}})} \\ &= (\varphi((\delta^{h_2^{-1}})^{h_1^{-1}})^{f_1((\delta^{h_2^{-1}})^{h_1^{-1}})})^{f_2(\delta^{h_2^{-1}})} \\ &= (\varphi(\delta^{(h_1 h_2)^{-1}})^{f_1(\delta^{(h_1 h_2)^{-1}})})^{f_2(\delta^{h_2^{-1}})} \\ &= \varphi(\delta^{(h_1 h_2)^{-1}})^{f_1(\delta^{(h_1 h_2)^{-1}})^{f_2(\delta^{h_2^{-1}})}}, \\ (\varphi^{(f_1, h_1)})(f_2, h_2)(\delta) &= \varphi^{(f_1 f_2^{h_1^{-1}}, h_1 h_2)}(\delta) \\ &= \varphi(\delta^{(h_1 h_2)^{-1}})^{(f_1 f_2^{h_1^{-1}})(\delta^{(h_1 h_2)^{-1}})} \\ &= \varphi(\delta^{(h_1 h_2)^{-1}})^{f_1(\delta^{(h_1 h_2)^{-1}})^{f_2^{h_1^{-1}}(\delta^{(h_1 h_2)^{-1}})}} \\ &= \varphi(\delta^{(h_1 h_2)^{-1}})^{f_1(\delta^{(h_1 h_2)^{-1}})^{f_2((\delta^{(h_1 h_2)^{-1}})^{h_1})}} \\ &= \varphi(\delta^{(h_1 h_2)^{-1}})^{f_1(\delta^{(h_1 h_2)^{-1}})^{f_2((\delta^{h_2^{-1}})^{h_1})}}. \end{aligned}$$

So  $(\varphi^{(f_1, h_1)})(f_2, h_2) = \varphi^{(f_1, h_1)(f_2, h_2)}$ . This shows that  $G \text{Wr}_\Delta H$  acts on  $\Omega^\Delta$  with respect to this action. ■

**Definition 10.9.** The action defined in Proposition 10.8 is called the **product action** of  $G \text{Wr}_\Delta H$ .

**Proposition 10.10.** *Let  $G$  and  $H$  act on  $\Omega$  and  $\Delta$  respectively. Then  $G \text{Wr}_\Delta H$  acts transitively on  $\Omega^\Delta$  if and only if  $G$  is transitive on  $\Omega$ .*

**Proof.** Suppose that  $G \text{Wr}_\Delta H$  acts transitively on  $\Omega^\Delta$ . Let  $\omega_1, \omega_2 \in G$ . Then take two constant functions  $\varphi_1, \varphi_2 \in \Omega^\Delta$  whose images are  $\omega_1$  and  $\omega_2$  respectively. So there is  $(f, h) \in G \text{Wr}_\Delta H$  such that  $\varphi_1^{(f, h)} = \varphi_2$ . In particular,  $\omega_1^{f(\delta^{h^{-1}})} = \omega_2$  for some  $\delta \in \Delta$ .

Conversely, suppose that  $G$  is transitive on  $\Omega$ . Let  $\varphi_1, \varphi_2 \in \Omega^\Delta$ . For each  $\delta \in \Delta$ , there exists  $g_\delta \in G$  such that  $\varphi_1(\delta)^{g_\delta} = \varphi_2(\delta)$ . Let  $f : \Delta \rightarrow G$  be defined by  $f(\delta) = g_\delta$ . Then we see that  $\varphi_1^{(f,1)}(\delta) = \varphi_1(\delta)^{f(\delta)} = \varphi_1(\delta)^{g_\delta} = \varphi_2(\delta)$  for all  $\delta \in \Delta$ . Hence  $G \text{Wr}_\Delta H$  is transitive on  $\Omega^\Delta$ . ■

**Proposition 10.11.** *Let  $G$  and  $H$  act on  $\Omega$  and  $\Delta$  respectively. Assume that  $|\Omega| \geq 2$ . Then  $G \text{Wr}_\Delta H$  acts faithfully on  $\Omega^\Delta$  if and only if both actions are faithful.*

**Proof.** Suppose that  $G \text{Wr}_\Delta H$  acts faithfully on  $\Omega^\Delta$ . Let  $g \in G$  be such that  $\omega^g = \omega$  for all  $\omega \in \Omega$ . There is a function  $f : \Delta \rightarrow G$  such that  $f(\delta) = g$  for all  $\delta \in \Delta$ . Note that for any  $\varphi \in \Omega^\Delta$  and  $\delta \in \Delta$ , we have

$$\varphi^{(f,1)}(\delta) = \varphi(\delta)^{f(\delta)} = \varphi(\delta)^g = \varphi(\delta).$$

Thus  $f(\delta) = 1$  for all  $\delta \in \Delta$  and so  $g = 1$ . Now let  $h \in H$  be such that  $\delta^h = \delta$  for all  $\delta \in \Delta$ . Then for any  $\varphi \in \Omega^\Delta$  and  $\delta \in \Delta$ ,

$$\varphi^{(1,h)}(\delta) = \varphi(\delta^{h^{-1}})^{1(\delta^{h^{-1}})} = \varphi(\delta)^1 = \varphi(\delta)$$

where  $1 : \Delta \rightarrow G$  is the trivial map. Hence  $h = 1$ . Therefore  $G$  and  $H$  are faithful on  $\Omega$  and  $\Delta$  respectively.

Conversely, let  $(f, h) \in G \text{Wr}_\Delta H$  such that  $\varphi^{(f,h)}(\delta) = \varphi(\delta)$  for all  $\varphi : \Delta \rightarrow \Omega$  and  $\delta \in \Delta$ . Then  $\varphi(\delta^{h^{-1}})^{f(\delta^{h^{-1}})} = \varphi(\delta)$  for all  $\delta \in \Delta$ . Let  $\delta \in \Delta$  be fixed. For each  $\omega \in \Omega$ , we can find a function  $\varphi_\omega \in \Omega^\Delta$  such that  $\varphi_\omega(\delta^{h^{-1}}) = \varphi_\omega(\delta) = \omega$ . Hence we get  $\omega^{f(\delta^{h^{-1}})} = \omega$  for all  $\omega \in \Omega$ . Since  $G$  is faithful on  $\Omega$ , we get  $f(\delta^{h^{-1}}) = 1$  for each  $\delta \in \Delta$ , which means that  $f$  is the trivial map. So  $\varphi(\delta^{h^{-1}}) = \varphi(\delta)$  for all  $\delta \in \Delta$ . Suppose for the sake of contradiction that  $\delta^{h^{-1}} \neq \delta$  for some  $\delta \in \Delta$ . Let  $\omega_1, \omega_2 \in \Omega$  be two distinct elements. We can consider a function  $\phi : \Delta \rightarrow \Omega$  such that  $\phi(\delta) = \omega_1$ ,  $\phi(\delta^{h^{-1}}) = \omega_2$ . This contradicts to the fact that  $\phi(\delta) = \phi(\delta^{h^{-1}})$ . Hence  $H$  is faithful on  $\Delta$ . ■

**Remark.** The result is not true if  $|\Omega| = 1$ . The groups  $G = \{1\}$  and  $H = S_2$  provide a counterexample.

**Lemma 10.12.** *Let  $G$  be a primitive group on a set  $\Omega$  with  $|\Omega| \geq 2$ . Then either*

- (i) *all the point stabilizers are self-normalizing; or*
- (ii) *the point stabilizers  $G_\omega$  are all equal and  $G_\omega$  is normal in  $G$ . Moreover,  $|G/G_\omega|$  is a prime.*

**Proof.** By Corollary 9.14, all point stabilizers are maximal. Note that  $N_G(G_\omega) \supseteq G_\omega$  for all  $\omega \in \Omega$ . So for each  $\omega \in \Omega$ , we have either  $N_G(G_\omega) = G_\omega$  or  $N_G(G_\omega) = G$ . Now we argue in two cases.

*Case 1.*  $N_G(G_\omega) = G_\omega$  for some  $\omega \in \Omega$ . Then for each  $x \in G$ , we get

$$N_G(G_{\omega^x}) = N_G(x^{-1}G_\omega x) = x^{-1}N_G(G_\omega)x = x^{-1}G_\omega x = G_{\omega^x}.$$

Since  $G$  is transitive, we obtain (i).

*Case 2.*  $N_G(G_\omega) = G$  for some  $\omega \in G$ . By definition,  $G_\omega$  is normal in  $G$ . Note that  $G_{\omega^x} = x^{-1}G_\omega x = G_\omega$  for all  $x \in G$ . Since  $G$  is transitive, the point stabilizers are all equal. Now we prove the last assertion. Since  $G_\omega$  is maximal, by Correspondence Theorem, the only subgroups of the quotient group  $G/G_\omega$  are 1 and  $G/G_\omega$  itself. Since  $G$  is transitive, we know that  $G \neq G_\omega$ . So  $G/G_\omega$  is a nontrivial group which has no proper nontrivial subgroup. It only happens when  $G/G_\omega$  is of prime order. This proves (ii). ■

**Proposition 10.13.** *Let  $G$  and  $H$  be nontrivial groups acting faithfully on  $\Omega$  and  $\Delta$  respectively. Then  $G \text{Wr}_\Delta H$  is primitive on  $\Omega^\Delta$  if and only if the following hold.*

(i)  $G$  is primitive and the point stabilizers are not normal in  $G$ .

(ii)  $\Delta$  is finite and  $H$  is transitive on  $\Delta$ .

**Proof.** Let  $W := G \text{Wr}_\Delta H$ . Fix an element  $\omega \in \Omega$ . Define  $\phi_\omega \in \Omega^\Delta$  by  $\phi_\omega(\delta) := \omega$  for all  $\delta \in \Delta$ . Then we can verify that the stabilizer of  $\phi_\omega$  is

$$W_{\phi_\omega} = \{(f, h) \in W \mid f(\delta) \in G_\omega \text{ for all } \delta \in \Delta\}.$$

Suppose that  $W$  is primitive on  $\Omega^\Delta$ . In view of Corollary 9.14,  $W_{\phi_\omega}$  is a maximal subgroup. Now we show (i) and (ii). The main idea of the proof is to construct a subgroup  $S$  so that  $W_{\phi_\omega} < S < W$ . Such a construction contradicts the maximality of  $W_{\phi_\omega}$ . Suppose that  $G$  is not primitive on  $\Omega$ . We argue in two cases:

(1) If  $G$  is transitive, then, by Corollary 9.14, there exists a subgroup  $R$  with  $G_\omega < R < G$  for some  $\omega \in \Omega$ . Note that

$$W_{\phi_\omega} < \{(f, h) \in W \mid f(\delta) \in R \text{ for all } \delta \in \Delta\} < W.$$

(2) If  $G$  is not transitive, then take an orbit  $\Omega' \subsetneq \Omega$ . We claim that  $W$  is intransitive on  $\Omega^\Delta$ . Consider a function  $f \in \Omega^\Delta$  such that  $f(\Delta) \subseteq \Omega'$ . Then  $f$  cannot be acted by  $W$  to a function whose values lie in  $\Omega \setminus \Omega'$ . Therefore  $W$  is intransitive on  $\Omega^\Delta$ , and hence imprimitive, a contradiction.

Thus  $G$  is primitive on  $\Omega$ . Assume that  $G_\omega$  is normal in  $G$ , then  $G_\omega = 1$  by Corollary 9.15. Hence the set

$$D := \{(f, 1) \in W \mid f \text{ is a constant function}\}$$

is normalized by  $\overline{H} := \{(1, h) \in W \mid h \in H\}$  and  $W_{\phi_\omega} < D\overline{H} < W$ . This completes the proof of (i).

For (ii), if  $\Delta$  is infinite, then the set

$$B_0 := \{(f, 1) \in W \mid f \text{ has finite support}\}$$

is a normal subgroup of  $W$  and satisfies  $W_{\phi_\omega} < W_{\phi_\omega} B_0 < W$ . If  $H$  is intransitive on  $\Delta$ . Let  $\Delta' \subseteq \Delta$  be an orbit of  $H$ . Note that

$$W_{\phi_\omega} < \{(f, h) \in W \mid f(\delta) \in G_\omega \text{ for all } \delta \in \Delta'\} < W.$$

Conversely, suppose that (i) and (ii) hold. Since  $G$  is transitive,  $W$  is transitive by Proposition 10.10. In view of Corollary 9.14, it suffices to show that if  $S$  properly contains  $W_{\phi_\omega}$ , then  $S = W$ . Let  $B = G^\Delta$ . Note that  $W = \overline{B}\overline{H} = \overline{B}W_{\phi_\omega}$ , where  $\overline{B} := \{(f, 1) \mid f \in B\}$ . Then we can use Dedekind Law to show that  $S = SL \cap W = (S \cap B)L$ . So we must have  $S \cap B > L \cap B$ , otherwise  $S = (L \cap B)L = L$ . Let  $(f_0, 1) \in S \cap B$  be such that  $f_0(\delta_0) \notin G_\omega$  for some  $\delta_0 \in \Delta$ . By Lemma 10.12,  $G_\omega$  is self-normalizing. Since  $f_0(\delta_0) \notin G_\omega = N_G(G_\omega)$ , the element  $f_0(\delta_0)$  does not normalize  $G_\omega$ , and so  $f_0(\delta_0)^{-1}g_0f_0(\delta_0) \notin G_\omega$  for some  $g_0 \in G_\omega$ . Let  $\phi : \Delta \rightarrow G$  be defined by  $\phi(\delta_0) = g_0$  and  $\phi(\delta) = 1$  for all  $\delta \in \Delta \setminus \{\delta_0\}$ . Let the function  $\psi : \Delta \rightarrow G$  be defined by  $\psi(\delta) = [\phi(\delta), f_0(\delta)] = \phi(\delta)^{-1}f_0(\delta)^{-1}\phi(\delta)f_0(\delta)$ . Then  $\psi(\delta_0) \notin G_\omega$  and  $\psi(\delta) = 1$  for all  $\delta \in \Delta \setminus \{\delta_0\}$ . Since  $G$  is primitive,  $G_\omega$  is maximal by Corollary 9.14. So  $G = \langle G_\omega, \psi(\delta_0) \rangle$ . For each  $\delta' \in \Delta$ , let

$$B_{\delta'} := \{(f, 1) \in W \mid f(\delta) = 1 \text{ for all } \delta \in \Delta \setminus \{\delta'\}\}.$$

Note that  $(\psi, 1) \in S \cap B$ . We show that  $S$  contains  $B_{\delta_0}$ . Let  $(f, 1) \in B_{\delta_0}$  and let  $g := f(\delta_0)$ . Since  $g \in \langle G_\omega, \psi(\delta_0) \rangle$ , it can be written as a product of  $g_1, \dots, g_n$  and  $\psi(\delta_0)$ . So  $(f, 1)$  is a product of  $(f_{g_1}, 1), \dots, (f_{g_n}, 1)$  and  $(\psi, 1)$  in  $S \cap B$ , where  $f_{g_i}(\delta_0) = g_i$  for all  $i$ . Hence  $B_{\delta_0}$  is contained in  $S$ .

Next, we can check that

$$(1, x)^{-1}B_{\delta'}(1, x) = B_{\delta'^x}$$

for all  $(1, x) \in W$ . To see this, recall that  $(1, x)^{-1}(f, 1)(1, x) = (f^x, 1)$  and  $f^x(\delta) =$

$f(\delta^{x^{-1}})$ . Since  $\overline{H} \leq S$  and  $H$  is transitive on  $\Delta$ , we obtain  $B_\delta \subseteq S$  for all  $\delta \in \Delta$ . Since  $\Delta$  is finite, we have

$$B = \prod_{\delta \in \Delta} B_\delta.$$

Hence  $B \leq S$ . Therefore  $S \geq B\overline{H} = W$ . This completes the proof. ■

**Main References.** [Mel95; PS18; DM96]

# 11 Solvable and Nilpotent Groups

## 11.1 Solvable Groups

**Definition 11.1.** A group  $G$  is said to be **solvable** if there exists a subnormal series

$$\{e\} = G_0 \leq G_1 \leq \cdots \leq G_n = G$$

such that  $G_{i+1}/G_i$  is abelian for  $0 \leq i < n$ .

We give a characterization of solvability in terms of the “derived series”.

Recall that the commutator subgroup  $[G, G]$  of  $G$  is the subgroup generated by all commutators  $[x, y] = xyx^{-1}y^{-1}$  for  $x, y \in G$ . It is the unique smallest normal subgroup of  $G$  such that the corresponding quotient group is abelian.

Let  $G^{(0)} = G$  and general,  $G^{(n)} = [G^{(n-1)}, G^{(n-1)}]$  for  $n > 0$ . Clearly  $G^{(i)} \geq G^{(i+1)}$  and we can use induction to show that  $G^{(i)} \triangleleft G$  for all  $i$ . Hence  $G^{(0)} \geq G^{(1)} \geq G^{(2)} \geq \cdots$  constitutes the **derived series** of  $G$ .

**Theorem 11.2.** A group  $G$  is solvable if and only if  $G^{(n)} = \{e\}$  for some integer  $n$ .

**Proof.** If  $G^{(n)} = \{e\}$  for some integer  $n$ , then the derived series

$$\{e\} = G^{(n)} \leq G^{(n-1)} \leq \cdots \leq G^{(1)} \leq G^{(0)} = G$$

shows that  $G$  is solvable.

Conversely, suppose  $G$  is solvable. Then we have subgroups  $G_i \triangleleft G$  with

$$\{e\} = G_0 \leq G_1 \leq \cdots \leq G_n = G$$

and such that  $G_{i+1}/G_i$  is abelian for  $0 \leq i < n$ . Thus  $[G_{i+1}, G_{i+1}] \subseteq G_i$  for all  $i$  by the definition of commutator subgroups. In particular,  $G^{(1)} = [G_n, G_n] \subseteq G_{n-1}$ . Inductively, we obtain  $G^{(i)} \subseteq G_{n-i}$  for  $0 \leq i \leq n$ . Consequently,  $G^{(n)} \subseteq G_0 = \{e\}$ . ■

**Corollary 11.3.** Subgroups and quotient groups of solvable groups are solvable.

**Proof.** Let  $G$  be a solvable group. Then for some  $n$  we have  $G^{(n)} = \{e\}$ .

Let  $H$  be a subgroup of  $G$ . Then we have  $H^{(k)} \subseteq G^{(k)}$  for all  $k$  (using induction), and hence  $H$  is solvable.

If  $N \triangleleft G$ , then the canonical projection  $\rho : G \rightarrow G/N$  yields that  $(G/N)^{(k)} = \varphi(G^{(k)})$  for all  $k$ , and so  $(G/N)^{(n)} = \varphi(G^{(n)}) = \{e\}$ . ■



**Definition 11.4.** Let  $G$  be a solvable group. The **derived length**  $dl(G)$  of  $G$  is the smallest integer  $n$  such that  $G^{(n)} = \{e\}$ .

**Proposition 11.5.** *Let  $N$  be a normal subgroup of a group  $G$ . Then  $G$  is solvable if and only if both  $N$  and  $G/N$  are solvable. Moreover,*

$$dl(G) \leq dl(N) + dl(G/N).$$

**Proof.** The group  $G$  is solvable implies that  $N$  and  $G/N$  are solvable by Corollary 11.3.

Conversely, assume that both  $N$  and  $G/N$  are solvable. Let  $dl(N) = n$  and  $dl(G/N) = m$ . Let  $\rho : G \rightarrow G/N$  be the canonical projection. Since  $\rho(G^{(m)}) = (G/N)^{(m)} = \{e\}$ , we get  $G^{(m)} \subseteq \text{Ker } \rho = N$ . Thus  $G^{(m+n)} = (G^{(m)})^{(n)} \subseteq N^{(n)} = 1$ , and the result follows. ■

**Corollary 11.6.** *The groups  $G$  and  $H$  are solvable if and only if  $G \rtimes H$  is solvable.*

**Proof.** It follows from the fact that  $\overline{G}$  is a normal subgroup of  $G \rtimes H$ . ■

**Theorem 11.7.** *Let*

$$\{e\} = H_0 < H_1 < \cdots < H_n = G$$

*be a composition series for  $G$ . Then  $G$  is solvable if and only if each composition factor  $H_{i+1}/H_i$  has prime order.*

**Proof.** Assume that  $G$  is solvable. Then each composition factor  $H_{i+1}/H_i$  is solvable by Corollary 11.3. Since these factors are simple, it suffices to show that a solvable simple group must have prime order. If  $G$  is a solvable simple group, then  $[G, G] = \{e\}$  (we cannot have  $[G, G] = G$  by Theorem 11.2) and  $G$  is abelian. It follows from Proposition 5.4 that simple abelian groups have prime order.

Conversely, assume that the composition factors have prime order. These factors  $H_{i+1}/H_i$  are abelian since every group of prime order is cyclic. Therefore  $G^{(i)} \subseteq H_{n-i}$  for all  $i$  by induction. It follows that  $G^{(n)} = \{e\}$  and  $G$  is solvable. ■

## 11.2 Nilpotent Groups

**Definition 11.8.** A group  $G$  is said to be **nilpotent** if there exists a subnormal series

$$\{e\} = G_0 \leq G_1 \leq \cdots \leq G_n = G$$

such that

$$G_{i+1}/G_i \subseteq Z(G/G_i)$$

for  $0 \leq i < n$ .

The series above is also called a **central series** for a group  $G$ . In general, how can we generate a central series for  $G$ ? One way to do this is by using some of the existing tools:

- (1) The center of a group is always a normal subgroup of the group, and hence we can always find a quotient group.
- (2) The Correspondence Theorem helps us to find a normal subgroup of a group corresponding to a subgroup of its quotient group.

Let  $G$  be a group. The center  $Z_1(G) = Z(G)$  of  $G$  is a normal subgroup. Let  $Z_2(G)$  be the subgroup of  $G$  corresponding to  $Z(G/Z_1(G))$ , i.e.,  $Z_2(G)/Z_1(G) = Z(G/Z_1(G))$ . The Correspondence Theorem shows that  $Z_1(G) \triangleleft Z_2(G) \triangleleft G$ . Continue this process by defining inductively:  $Z_i(G)$  is the subgroup of  $G$  corresponding to  $Z(G/Z_{i-1}(G))$ , i.e.,  $Z_i(G)/Z_{i-1}(G) = Z(G/Z_{i-1}(G))$ . This leads to the following definition.

**Definition 11.9.** The **ascending central series** of a group  $G$  is a sequence of normal subgroups of  $G$  given by

$$\langle e \rangle \leq Z_1(G) \leq Z_2(G) \leq \cdots .$$

Clearly if  $Z_n(G) = G$  for some integer  $n$ , then  $G$  is nilpotent. The converse of this statement is also true. Before proving the converse, we shall introduce the descending central series. Let  $G^0 = G$  and  $G^i = [G^{i-1}, G]$  for  $i \geq 1$ . Note that  $G_i$  is a subgroup of  $G_{i-1}$ . This is proved by using the fact that if  $H \subseteq K \subseteq G$ , then  $[H, G] \subseteq [K, G]$ . Also, we can induction to show that  $G^i \triangleleft G$  for all  $i$ . It remains to show that  $G_{i-1}/G_i \subseteq Z(G/G^i)$  for all  $i$ . We show it by using a lemma below.

**Lemma 11.10.** *Let  $G$  be a group. Let  $X$  be a subgroup of  $G$  and let  $Y$  be a normal subgroup of  $G$ . Then  $[X, G] \subseteq Y$  if and only if  $XY/Y \subseteq Z(G/Y)$ .*

**Proof.** Consider the canonical projection  $G \rightarrow G/Y$ . ■

Set  $X = G^{i-1}$  and  $Y = G^i$ . Then we are done. So the following definition makes sense.

**Definition 11.11.** The **descending central series** of a group  $G$  is a sequence of normal subgroups of  $G$  given by

$$G = G^0 \geq G^1 \geq G^2 \geq \cdots .$$

**Theorem 11.12.** *Let  $G$  be a group. Then  $G$  is nilpotent if and only if  $Z_n(G) = G$  for some integer  $n$ .*

**Proof.** If  $Z_n(G) = G$ , then  $G$  is nilpotent.

Conversely, suppose that  $G$  is nilpotent. Let  $\{e\} = N_0 \leq \dots \leq N_k = G$  be a central series for  $G$ . We write  $Z_i = Z_i(G)$  and show that  $N_i \subseteq Z_i$  for all  $i$ . This certainly holds for  $i = 0$ . We prove by induction. Assume that  $N_i \subseteq Z_i$  for some  $i \geq 0$ . Since  $N_{i+1}/N_i \subseteq Z(G/N_i)$ , by Lemma 11.10, we have  $[N_{i+1}, G] \subseteq N_i \subseteq Z_i$ . So applying Lemma 11.10 again gives

$$N_{i+1}Z_i/Z_i \subseteq Z(G/Z_i) = Z_{i+1}/Z_i.$$

Hence  $N_{i+1} \subseteq Z_{i+1}$ . It follows that  $Z_k(G) = G$ . ■

**Corollary 11.13.** *Let  $G$  be any group and suppose  $n \geq 1$ . Then  $G^n = \{e\}$  if and only if  $Z_n(G) = G$ .*

**Proof.** Suppose that  $G^n = \{e\}$ . Let  $G = G^0 \geq G^1 \geq \dots \geq G^{n-1} \geq G^n = \{e\}$  be the descending central series of  $G$ . If we let  $N_i = G^{n-i}$  in the proof of Theorem 11.12, then we see that  $G^{n-i} \subseteq Z_i(G)$  for all  $i$ . In particular,  $G = G^0 = Z_n(G)$ .

Suppose that  $Z_n(G) = G$ . Let  $\{e\} = Z_0(G) \leq Z_1(G) \leq \dots \leq Z_n(G) = G$  be the ascending central series for  $G$ . Write  $Z_i = Z_i(G)$ . Since  $Z_{i+1}/Z_i = Z(G/Z_i)$ , it follows from Lemma 11.10 that  $[Z_{i+1}, G] \subseteq Z_i$  for all  $i$ . Note that  $G^i \subseteq Z_{k-i}$  and so  $G^n = Z_0 = \{e\}$ . ■

**Corollary 11.14.** *Subgroups and quotient groups of nilpotent groups are nilpotent.*

**Proof.** Since  $G$  is nilpotent, we have  $G^n = \{e\}$  for some integer  $n$  by Theorem 11.12 and Corollary 11.13.

If  $H \subseteq G$ , then  $H^n \subseteq G^n$  and so  $H^n = \{e\}$ .

To prove the result for quotient groups, let  $N \triangleleft G$  and let  $\rho : G \rightarrow G/N$  be the canonical projection. Then  $\rho(G^n) = (G/N)^n$ . Therefore we have  $(G/N)^n = \rho(\{e\}) = \{e\}$ . ■

**Lemma 11.15.** *Let  $G$  be a group. Then  $G^{(k)} \subseteq G^k$  for all  $k \geq 1$ .*

**Proof.** Use induction and note that  $G^{(k+1)} = [G^{(k)}, G^{(k)}] \subseteq [G^{(k)}, G] = G^{k+1}$ . ■

This immediately yields the following consequence.

**Proposition 11.16.** *Every nilpotent group is solvable.*

**Proof.** Trivial. ■

**Definition 11.17.** Let  $G$  be a nilpotent group. The smallest integer  $n$  such that  $Z_n(G) = G$  is called the **class** of  $G$ .

**Proposition 11.18.** Let  $G$  be a nilpotent group of class  $n$ . Then the following propositions hold.

- (i) We have  $G^n = \{e\}$ . If  $n \neq 0$ , then  $G^{n-1} \neq \{e\}$ .
- (ii) Any central series of  $G$  has at least  $n + 1$  terms, and there is a central series with exactly  $n + 1$  terms.
- (iii) The quotient group  $G/Z(G)$  is a nilpotent group of class  $n - 1$ . The converse is also true.

**Remark.** We can remember the proposition above using the following diagram:

$$\begin{array}{ccccccc}
 G & = & Z_n(G) & \geq & Z_{n-1}(G) & \geq & \dots \geq Z_0(G) = \{e\} \\
 & & \parallel & & \cup & & \parallel \\
 G & = & G_n & \geq & G_{n-1} & \geq & \dots \geq G_0 = \{e\} \\
 & & \parallel & & \cup & & \parallel \\
 G & = & G^0 & \geq & G^1 & \geq & \dots \geq G^n = \{e\}
 \end{array}$$

**Proposition 11.19.** Let  $H$  be a nilpotent group of class  $c$ , and let  $K$  be nilpotent of class  $d$ . Then the direct product  $H \times K$  is a nilpotent group of class  $e$ , where  $e = \max\{c, d\}$ .

**Proof.** If any one of the groups is  $\{e\}$ , then it is trivial. So we may assume that  $H \neq \{e\}$ ,  $K \neq \{e\}$ , and  $c \geq d > 0$ .

We prove by induction on  $c$ . If  $c = 1$ , then  $Z(H) = Z_1(H) = H$ . This implies that both  $H$  and  $K$  are abelian. So the direct product  $H \times K$  is also abelian and hence is a nilpotent group of class 1. Suppose that  $c > 1$ . Let  $G = H \times K$ . By Corollary 6.10, we have  $Z(G) = Z(H) \times Z(K)$ . By Proposition 6.11, we obtain

$$G/Z(G) = (H/Z(H)) \times (K/Z(K)).$$

In view of Proposition 11.18.(iii), the class of the nilpotent group  $H/Z(H)$  is  $c - 1$ . By the inductive hypothesis,  $G/Z(G)$  is a nilpotent group of class  $c - 1$ . By Proposition 11.18.(iii), we conclude that  $G$  is a nilpotent group of class  $c$ . ■

**Corollary 11.20.** The direct product of a finite number of nilpotent groups is nilpotent.

**Proof.** Use induction on the number of groups and apply Proposition 11.19. ■

## 11.3 Examples

### 11.3.1 Triangular Matrices

**Example 11.21.** Let  $\text{GL}(n, \mathbb{F})$  be the group of  $n \times n$  invertible matrices over a field  $\mathbb{F}$  under matrix multiplication. Consider the following subgroups of  $\text{GL}(n, \mathbb{F})$ .

$$T = \{(a_{ij}) \in \text{GL}(n, \mathbb{F}) \mid a_{ij} = 0 \text{ for } j - i < 0 \text{ and } a_{ii} \neq 0 \text{ for } 1 \leq i \leq n\},$$

$$U = \{(a_{ij}) \in T \mid a_{ii} \neq 0 \text{ for } 1 \leq i \leq n\}.$$

Then  $T$  is a solvable group, and  $U$  is a nilpotent group.

**Example 11.22.** Let  $V$  be an  $n$ -dimensional vector space over a field  $\mathbb{F}$ . Let

$$V = V_0 \geq V_1 \geq \cdots \geq V_n = \{0\}$$

be a chain of subspaces such that  $\dim V_i/V_{i+1} = 1$  for  $0 \leq i < n$ . For convenience, if  $m > n$ , then we set  $V_m = \{0\}$ . Let  $\text{GL}(V)$  be the group of vector space automorphisms of  $V$ . For each integer  $r \geq 1$ , we define

$$U_r = \{x \in \text{GL}(V) \mid (x - 1)(V_i) \subseteq V_{i+r} \text{ for all } i\}.$$

where  $1 \in \text{GL}(V)$  is the identity map. It can be checked that:

- (i) each  $U_r$  is a subgroup;
- (ii)  $U_1 \geq U_2 \geq \cdots \geq U_n = \{1\}$ ;
- (iii)  $U_r$  is closed under multiplication;
- (iv)  $U_r$  is closed under inverses.

Let  $x, y \in U_r$ . Then

$$(xy - 1)(V_i) = ((x - 1)y)(V_i) + (y - 1)(V_i) \subseteq V_{i+r}$$

and so  $U_r$  is closed under multiplication. Assume that  $x = 1 - X \in U_r$ , where  $X \in \text{End}_{\mathbb{F}}(V)$  is a vector space endomorphism with  $X^n = 0$ . Then we have

$$x^{-1} = (1 - X)^{-1} = \sum_{k=0}^{n-1} X^k = 1 + X \sum_{k=1}^{n-1} X^{k-1} \in U_r,$$

which shows that  $U_r$  is closed under inverses.

Now we claim that for all  $r, s$ , we have  $[U_r, U_s] \subseteq U_{r+s}$ . Let  $x = 1 - X \in U_r$  and  $y = 1 - Y \in U_s$ . Expanding  $[x, y] = xyx^{-1}y^{-1}$  as in  $\text{End}_{\mathbb{F}}(V)$ . To collect terms according to  $X$  and  $Y$ , we get

$$\begin{aligned} (1 - X)(1 - Y)(1 - X)^{-1}(1 - Y)^{-1} &= (1 - X)(1 - Y) \sum_{k=0}^{n-1} X^k \sum_{h=0}^{n-1} Y^h \\ &= c + p(X) + q(Y) + r(X, Y) \end{aligned}$$

where  $c$  is the constant term,  $P(X)$  is a sum of terms containing  $X$ ,  $q(Y)$  is a sum of terms containing  $Y$ , and  $r(X, Y)$  is a sum of terms containing  $X, Y$ . It can be verified that  $c = 1$ ,  $p(X) = q(Y) = 0$ . Hence we have  $r(X, Y) = [x, y] - 1$ . Since  $r(X, Y)$  have  $X$  and  $Y$ , such terms must map  $V_i$  to  $V_{i+r+s}$ . Therefore  $[x, y] \in U_{r+s}$ , and thus we have proved that  $[U_r, U_s] \subseteq U_{r+s}$ . As a corollary, we have  $U_r \triangleleft U_s$  whenever  $r \geq s$ . To see this, note that  $xyx^{-1} = [x, y]y \in U_r$  for all  $x \in U_s$ ,  $y \in U_r$ .

Set  $U = U^1 = U_1$  and  $U^i = U_i$  for  $2 \leq i \leq n$ . It follows that  $U_r \triangleleft U$  and  $[U_r, U] \subseteq U_{r+1}$ . Hence the series

$$U = U^1 \geq U^2 \geq \dots \geq U^n = \{1\}$$

implies that  $U$  is a nilpotent group.

Let

$$T = \{x \in \text{GL}(V) \mid x(V_i) \subseteq V_i \text{ for all } i\}.$$

We have a surjective group homomorphism

$$\begin{aligned} \Phi : T &\rightarrow \bigoplus_{i=0}^{n-1} \text{GL}(V_i/V_{i+1}) \cong (\mathbb{F}^\times)^n, \\ x &\mapsto (x|_{V_i/V_{i+1}})_{i=0}^{n-1}, \end{aligned}$$

where  $x|_{V_i/V_{i+1}}$  is the induced automorphism on each quotient  $V_i/V_{i+1}$  defined by  $x|_{V_i/V_{i+1}}(v + V_{i+1}) = x(v) + V_{i+1}$ . We see that  $\ker(\Phi) = U$ , so  $U \triangleleft T$  and  $T/U \cong \text{im}(\Phi)$  is abelian (recall that the direct product of abelian groups is abelian). Since  $T/U$  is abelian (and hence solvable) and  $U$  is solvable (by Proposition 11.16), it follows from Corollary 11.3 that  $T$  is solvable.

Take an ordered basis  $\mathcal{B} = \{e_1, \dots, e_n\}$  for  $V$  such that

$$V_i = \bigoplus_{j=1}^{n-i} \mathbb{F}e_j$$

and write elements of  $\text{GL}(V)$  as matrices with respect to  $\mathcal{B}$ . Then we recover Example 11.21, where each  $x|_{V_i/V_{i+1}}$  is represented by the  $i$ th diagonal element.

### 11.3.2 $p$ -groups

**Proposition 11.23.** *Let  $G$  be a  $p$ -group and let  $H$  be a nontrivial normal subgroup of  $G$ . Then  $H \cap Z(G) \neq \{e\}$ . In particular,  $Z(G) \neq \{e\}$ .*

**Proof.** Clearly  $H$  is a  $p$ -group. Since  $H \triangleleft G$ , the  $p$ -group  $G$  acts on  $H$  by conjugation. By Fixed point lemma (Lemma 4.3),  $H_G$  contains an element  $x \in H$  other than  $e$ . By the definition of  $H_G$ , we have  $x = g^{-1}xg$  for all  $g \in G$ . Thus  $x \in Z(G)$  and so  $H \cap Z(G) \neq \{e\}$ . The last assertion is obtained by setting  $G = H$ . ■

**Proposition 11.24.** *Let  $p$  be a prime. Then any  $p$ -group is nilpotent.*

**Proof.** Any  $p$ -group  $G$  and all its nontrivial quotient groups are  $p$ -groups and thus have nontrivial centers by Proposition 11.23. If  $G \neq Z_i(G)$ , then  $Z_i(G)$  is strictly contained in  $Z_{i+1}(G)$ . Since  $G$  is finite,  $Z_m(G)$  must be  $G$  for some  $m$ . ■

### 11.3.3 Symmetric Groups

**Proposition 11.25.** *The symmetric group  $S_n$  is solvable for  $n = 1, 2, 3, 4$ .*

**Proof.** It is trivial for  $S_1$ .

The group  $S_2$  is solvable because it is an abelian group.

The group  $S_3$  is solvable because we have the following series

$$1 \triangleleft A_3 \triangleleft S_3.$$

Clearly  $S_3/A_3 \cong \mathbb{Z}_2$  and  $A_3/\{e\} \cong \mathbb{Z}_3$ . Thus they are abelian.

The group  $S_4$  is solvable because we have the following subnormal series

$$\{e\} \triangleleft V_4 \triangleleft A_4 \triangleleft S_4$$

where  $V_4$  is the Klein 4-group. ■

**Proposition 11.26.** *The symmetric group  $S_n$  is not solvable for  $n \geq 5$ .*

**Proof.** Note that  $A_n$  is a nonabelian and simple group. Hence  $[A_n, A_n] = A_n$  and so  $A_n$  is not solvable by Theorem 11.2. Then we use Corollary 11.3 to obtain the conclusion. ■

## 11.4 Characterization of Finite Nilpotent Groups

**Lemma 11.27.** *Let  $S$  be a Sylow  $p$ -subgroup of a group  $G$ . If a subgroup  $H$  of  $G$  contains  $N_G(S)$ , then we have  $H = N_G(H)$ .*

**Proof.** By assumption,  $S \subseteq N_G(S) \subseteq H$ . Note that  $H$  is a normal subgroup of  $N_G(H)$ . By applying Frattini's argument (Lemma 8.24) to  $N_G(H)$ , we get

$$N_G(H) = N_{N_G(H)}(S)H \subseteq \langle N_G(S), H \rangle = H.$$

Clearly,  $H \subseteq N_G(H)$ , and so we have  $H = N_G(H)$ . ■

The following theorem gives some of the main properties which characterize finite nilpotent groups. We include Theorem 11.12 and Corollary 11.13 for convenience.

**Theorem 11.28.** *Let  $G$  be a finite group. Then the following are equivalent.*

- (1) *There exists an integer  $n$  such that  $Z_n(G) = G$ .*
- (2) *There is at least one central series of  $G$ .*
- (3) *There exists an integer  $n$  such that  $G^n = \{e\}$ .*
- (4) *The normalizer of any proper subgroup  $H$  is strictly larger than  $H$ , i.e.,  $N_G(H) \neq H$ .*
- (5) *Any maximal subgroup is normal.*
- (6) *For any prime number  $p$ , every Sylow  $p$ -subgroup is normal.*
- (7) *The group  $G$  is a direct product of Sylow subgroups.*
- (8) *The group  $G$  is a direct product of groups of prime power order.*

**Proof.** We have already established that (1), (2) and (3) are equivalent.

(2)  $\Rightarrow$  (4) Let

$$\{e\} = G_0 \leq G_1 \leq \cdots \leq G_n = G$$

be a central series for  $G$ . Let  $H$  be a proper subgroup of  $G$ . Let  $k$  be the integer such that  $G_{k+1} \not\subseteq H$  and  $G_k \subseteq H$ . Such integer must exist since  $H$  contains  $G_0$ . Then

$$[G_{k+1}, H] \subseteq [G_{k+1}, G] \subseteq G_k \subseteq H.$$

So  $G_{k+1} \subseteq N_G(H)$  and hence we have  $N_G(H) \neq H$ .



(4)  $\Rightarrow$  (5) Let  $M$  be a maximal subgroup of  $G$ . Since  $M$  is proper subgroup, we have  $M < N_G(M)$ . By the definition of maximal subgroups, we get  $N_G(M) = G$ . Hence  $M \triangleleft G$ .

(5)  $\Rightarrow$  (6) Suppose on the contrary that a Sylow  $p$ -subgroup  $P$  of  $G$  is not normal. Then  $N_G(P) < G$ . In view of Proposition 5.12, we can take a maximal subgroup  $M$  which contains  $N_G(P)$ . By Lemma 11.27, we have  $N_G(M) = M$ . Hence  $M$  is not normal, since  $N_G(M) < G$ . This is a contradiction.

(6)  $\Rightarrow$  (7) By Corollary 6.9.

(7)  $\Rightarrow$  (8) Trivial.

(8)  $\Rightarrow$  (1) Any group of prime power order is nilpotent by Proposition 11.24. The result follows from Corollary 11.20. ■

## 12 O’Nan-Scott Theorem: The Classification of Subgroups of Symmetric Groups

### 12.1 The Socle

**Definition 12.1.** Let  $G$  be a group. A normal subgroup  $N$  of  $G$  is said to be **minimal** if  $N \neq 1$  and there is no normal subgroup  $M$  of  $G$  such that  $1 < M < N$ .

**Remark.** In a finite group, every nontrivial normal subgroup contains a minimal normal subgroup, but it is not necessarily true for infinite group ( $\mathbb{Z}$  is a counterexample). The argument is similar to Proposition 5.12.

**Definition 12.2.** Let  $G$  be a group. The subgroup generated by all minimal normal subgroups of  $G$  is called the **socle** of  $G$  and is denoted by  $\text{soc}(G)$ . In the case where  $G$  does not have minimal normal subgroups, we define  $\text{soc}(G)$  to be the trivial subgroup.

**Theorem 12.3.** *Let  $G$  be a nontrivial finite group.*

- (i) *If  $K$  is a minimal normal subgroup of  $G$ , and  $L$  is any normal subgroup of  $G$ , then either  $K \leq L$  or  $\langle K, L \rangle = K \times L$ .*
- (ii) *There exist minimal normal subgroups  $K_1, \dots, K_m$  of  $G$  such that  $\text{soc}(G) = K_1 \times \dots \times K_m$ .*
- (iii) *Every minimal normal subgroup  $K$  of  $G$  is a direct product  $K = T_1 \times \dots \times T_k$  where the  $T_i$  are simple normal subgroups of  $K$  which are conjugate under  $G$ .*
- (iv) *If the subgroups  $K_i$  in (ii) are all nonabelian, then  $K_1, \dots, K_m$  are the only minimal normal subgroups of  $G$ . Similarly, if the  $T_i$  in (iii) are nonabelian, then these are the only minimal normal subgroups of  $K$ .*

**Proof.** Dixon Theorem 4.3A ■

**Definition 12.4.** A group isomorphic to  $\mathbb{Z}_p^n$  for some prime  $p$  and integer  $n \geq 1$  is called an **elementary abelian  $p$ -group**.

**Corollary 12.5.** *Every minimal normal subgroup of a finite group is either an elementary abelian  $p$ -group for some prime  $p$ , or its center is equal to 1.*

**Proof.** Dixon Corollary 4.3A ■

**Theorem 12.6.** *If  $G$  is a finite primitive subgroup of  $\text{Sym}(\Omega)$ , and  $K$  is a minimal normal subgroup of  $G$ , then exactly one of the following holds.*

- (i) for some prime  $p$  and some integer  $d$ ,  $K$  is a regular elementary abelian group of order  $p^d$ , and  $\text{soc}(G) = K = C_G(K)$ .
- (ii)  $K$  is a regular nonabelian group,  $C_G(K)$  is a minimal normal subgroup of  $G$  which is permutation isomorphic to  $K$ , and  $\text{soc}(G) = K \times C_G(G)$ .
- (iii)  $K$  is nonabelian,  $C_G(K) = 1$  and  $\text{soc}(G) = K$ .

**Corollary 12.7.** *If  $G$  is a finite primitive group on  $\Omega$ , then  $\text{soc}(G)$  is a direct product of isomorphic simple groups. If  $N$  denotes the normalizer of  $\text{soc}(G)$  in the symmetric group, i.e.,  $N := N_{\text{Sym}(\Omega)}(\text{soc}(G))$ , then  $\text{soc}(G)$  is a minimal normal subgroup of  $N$ . Moreover, if  $\text{soc}(G)$  is not regular, then it is the only minimal normal subgroup of  $N$ .*

## 12.2 Classes of Finite Primitive Groups

### 12.2.1 Groups of Affine Type

Let  $V$  be a vector space over a field  $\mathbb{F}$ . A bijective function  $f : V \rightarrow V$  is called an **affine transformation** if there exists  $a \in \text{GL}(V)$  and  $b \in V$  such that  $f(x) = a(x) + b$  for all  $x \in V$ .

**Definition 12.8.** The subgroup of  $\text{Sym}(V)$  consisting of all affine transformations is called the **affine group** of  $V$  and is denoted by  $\text{Aff}(V)$ .

An important subgroup of  $\text{Aff}(V)$  is the set  $\mathcal{T}(V)$  consisting of all **translations**, i.e., functions of the form  $f_v(x) = x + v$  where  $v \in V$ . Clearly the map  $v \mapsto f_v$  yields an isomorphism  $V \cong \mathcal{T}(V)$ . Note that  $\text{Aff}(V)$  acts on  $V$  naturally. The action is transitive, since  $f_v(0) = v$  for every  $v \in V$ . The stabilizer of 0 in  $\text{Aff}(V)$  is  $\text{GL}(V)$ . We can verify that

$$\mathcal{T}(V) \cap \text{GL}(V) = \{1\}, \quad \text{Aff}(V) = \mathcal{T}(V) \text{GL}(V), \quad \mathcal{T}(V) \triangleleft \text{GL}(V)$$

where the last statement follows from  $g^{-1}f_v g = f_{(g(v))}$  for all  $v \in V$  and  $g \in \text{GL}(V)$ . By Propositions 8.7 and 8.9, one can show that

$$\text{Aff}(V) \cong V \rtimes_{\alpha} \text{GL}(V)$$

where  $\alpha$  is the natural action of  $\text{GL}(V)$  on  $V$ . If  $V$  is  $n$ -dimensional and  $\mathbb{F}$  is of prime power  $q$ , then we write

$$\text{Aff}(n, q) \cong \mathbb{F}_q^n \rtimes_{\alpha} \text{GL}(n, q).$$

Now  $\text{Aff}(V)$  acts on  $V$  by

$$x^{(y, f)} = f(x) + y.$$

**Remark.** Depend on the context, sometimes it is convenient to define an affine group as the external semidirect product.

Now let the socle  $B$  of  $G$  be isomorphic to  $\mathbb{Z}_p^k$  with  $k \geq 1$ . Recall that the affine group  $\text{Aff}(k, p) \cong \text{Aff}(B)$  acts on  $\Omega = \mathbb{Z}_k^p$ . We say that  $G$  is of **type (A)** if  $G$  is a subgroup of  $\text{Aff}(k, p)$ .

**Lemma 12.9.** *If  $G$  is an elementary abelian  $p$ -group, then  $G$  can be regarded as a vector space over  $\mathbb{Z}_p$ . Hence every group automorphism of  $G$  is a linear transformation over  $\mathbb{Z}_p$ , i.e.,*

$$\text{Aut}(G) = \text{GL}(G).$$

**Proof.** Define the following operations by

$$\begin{aligned} x + y &:= xy \quad \text{for } x, y \in G, \\ k \cdot x &:= x^k \quad \text{for } k \in \mathbb{Z}_p, x \in G. \end{aligned}$$

The rest is just routine. ■

**Lemma 12.10.** *Let  $G$  be an abelian transitive permutation group on  $\Omega$ . Then  $G$  is regular.*

**Proof.** Let  $\omega \in \Omega$ . Since  $G$  is abelian, we can write

$$G_\omega = \bigcap_{g \in G} G_\omega = \bigcap_{g \in G} g^{-1} G_\omega g.$$

By Proposition 3.16, the kernel of this action is  $G_\omega$ . Since this action is faithful (we are considering permutation group), it follows that  $G_\omega = 1$ . ■

**Proposition 12.11.** *Let  $G$  be a primitive permutation group on  $\Omega$  of degree  $n$  with an abelian normal subgroup  $N \neq 1$ . Then  $N = C_G(N)$  is the unique minimal normal subgroup of  $G$  and  $n = |\Omega| = p^m$  for some prime number  $p$ . Furthermore,  $G$  is of type (A).*

**Proof.** Since  $G$  is primitive,  $N$  acts transitively on  $\Omega$ . Lemma 12.10 shows that  $N$  is regular, and thus  $|N| = |\Omega| = n$  by Orbit-Stabilizer Theorem (Theorem 3.9). Now we show that  $N$  is minimal normal subgroup of  $G$ . Let  $H$  be a normal subgroup of  $G$  such that  $1 < H < N$ . Then we can apply the argument above on  $H$  to obtain that  $H$  is regular, i.e.,  $|H| = n$ . This contradicts the fact that  $H < N$ . Hence  $N$  is minimal. By Corollary 12.5,  $N$  is an elementary abelian  $p$ -group for some prime  $p$  and hence  $|N| = p^m$  for some  $m \geq 1$ .

Since  $N$  is abelian, we see that  $N = C_{\text{Sym}(\Omega)}(N) \geq C_G(N) =: C$  by Theorem 9.18.(v). Hence  $N = C$ . We claim that  $N$  is the unique minimal normal subgroup of  $G$ . Let  $N'$  be a minimal normal subgroup of  $G$ . Then  $[N, N']$  is a normal subgroup of  $G$  contained both in  $N$  and  $N'$ . If  $[N, N'] = 1$ , then  $N' \subseteq C = N$  and so  $N' = N$ . Without loss of generality assume that  $[N, N'] = N$ , then we get  $N \subseteq N'$  and thus  $N = N'$ . Therefore  $N$  is unique.

Also, we have  $G = G_\omega N$  with  $N \cap G_\omega = N_\omega = 1$ . Hence every element can be expressed uniquely in the form  $gn$  where  $g \in G_\omega$  and  $n \in N$ . Note that  $G = G_\omega N$  acts faithfully on  $N$  by

$$x^{gn} = g^{-1}xgn$$

for  $n, x \in N$  and  $g \in G_\omega$ . Define a monomorphism  $\phi : G \rightarrow \text{Aff}(N)$  by  $\phi(gn) = (n, \pi_g)$  where  $\pi_g$  is the conjugation on  $N$  by  $g$ . In view of Lemma 12.9,  $\pi_g$  is a vector space automorphism of  $N$  and hence  $\phi(G) \subseteq \text{Aff}(N)$ . Thus  $(G, N)$  is permutationally isomorphic to a subgroup of  $(\text{Aff}(N), N)$  (and hence a subgroup of  $(\text{Aff}(m, p), \mathbb{Z}_p^m)$ ).

We now consider the bijection  $\vartheta : N \rightarrow \Omega$  defined by  $\vartheta(x) = \omega^x$ . It suffices to show that  $(G, N)$  is permutationally isomorphic to  $(G, \Omega)$ . Let  $n, x \in N$  and  $g \in G_\omega$ . Then

$$\vartheta(x^{gn}) = \vartheta(g^{-1}xgn) = \omega^{g^{-1}xgn} = (\omega^g)^{g^{-1}xgn} = \vartheta(x)^{ga}.$$

This completes the proof. ■

### 12.2.2 Groups of Almost Simple Type

Let  $T$  be a nonabelian and nonregular simple group such that  $\text{soc}(G) \cong T$ . We say that a group  $G$  is of **type (S)** if  $T \leq G \leq \text{Aut}(T)$ . In fact  $T \leq G$  is redundant, but we write it down so that it is closely related to the following definition.

**Definition 12.12.** A group  $G$  is said to be **almost simple** if there exists a nonabelian simple group  $S$  such that  $S \leq G \leq \text{Aut } S$ .

**Proposition 12.13.** *Let  $G$  be a finite primitive group with a nonabelian simple socle. Then  $G$  is of type (S).*

### 12.2.3 Groups of Diagonal Type

In this case  $\text{soc}(G) \cong T^k$ , where  $k \geq 2$  and  $T$  is a nonabelian simple group. Define

$$W = \{(a_1, \dots, a_k)\pi \in \text{Aut}(T) \text{ wr}_k S_k \mid a_i \equiv a_j \pmod{\text{Inn } T} \text{ for all } i, j\}.$$

**Proposition 12.14.** *Let  $B$  be the socle of  $W$ . Then the following hold.*

(i)  $W$  is a subgroup of  $\text{Aut}(T) \text{ wr}_k S_k$ .

(ii)  $B \cong T^k$ .

(iii)  $W$  is the extension of  $B$  by  $\text{Out}(T) \times S_k$ , i.e.,  $W = B.(\text{Out}(T) \times S_k)$ .

Define

$$D = \{(a, \dots, a)\pi \in \text{Aut}(T) \text{ wr}_k S_k\}.$$

Note that  $D$  is a subgroup of  $W$ . Now  $W$  acts on the set  $\Omega$  of right cosets of  $D$  in  $W$ . For  $1 \leq i \leq k$ , let  $T_i$  be the subgroup of  $B$  consisting of the  $k$ -tuples with 1 in all but the  $i$ th component. Then  $T_i \cong T$  and  $B = T_1 \times \dots \times T_k$ . So  $W$  acts on  $\mathcal{T} = \{T_1, \dots, T_k\}$ .

Let  $P$  be the permutation group induced by  $W$  on  $\mathcal{T}$ . We say that a group  $G$  is of **type (D)** if  $B \leq G \leq W = B.(\text{Out}(T) \times S_k)$  and one of the following holds:

(i)  $P$  is primitive on  $\mathcal{T}$ .

(ii)  $k = 2$  and  $P = 1$ .

#### 12.2.4 Groups of Product Type

Let  $H$  be a primitive permutation group on a set  $\Gamma$  of type (S) or (D). Let  $\ell > 1$  and set  $W = H \text{ wr}_\ell S_\ell$ . Consider the product action of  $W$  on  $\Omega = \Gamma^\ell$ . If  $K$  is the socle of  $H$ , then  $B = K^\ell$  is the socle of  $W$ . Note that  $W$  acts naturally on the set of  $\ell$  factors in  $B$ . We say that a group  $G$  is of **type (P)** if  $B \leq G \leq W$  and  $G$  acts transitively on these  $\ell$  factors.

#### 12.2.5 Twisted Wreath Products

Let  $G$  and  $H$  be groups, and let  $K$  be a subgroup of  $H$  acting on  $G$  with respect to an action  $\varphi : K \rightarrow \text{Aut}(G)$ . Let

$$B = \{b \in G^H \mid b(hk) = b(h)^k \text{ for all } h \in H, k \in K\}.$$

Recall that  $G^H$  is a group under pointwise multiplication. If  $b_1, b_2 \in B$ , it is easy to verify that  $b_1 b_2 \in B$  and hence  $B$  is a subgroup of  $G^H$ . Now we define an action  $\psi$  of  $H$  on  $B$  by

$$b^x(h) = b(xh)$$

for  $x, h \in H$  and  $b \in B$ .

**Definition 12.15.** The semidirect product  $B \rtimes_{\psi} H$  with respect to the action  $\psi$  defined above is called the **twisted wreath product**  $G \text{twr}_{\varphi} H$  of  $G$  by  $H$ . The groups  $B$  and  $H$  are called the **base group** and **top group**, respectively. The subgroup  $H$  is called the **twisting subgroup**.

- Remark.** (i) If  $H = \{1\}$ , then we get the standard wreath product of  $G$  by  $H$  where  $H$  acts on itself by left regular action.
- (ii) The following table outlines some differences between wreath products and twisted wreath products.

	Wreath Products	Twisted Wreath Products
Ingredients	Two groups $G, H$ , a set $\Delta$ , an action $H \rightarrow \text{Sym}(\Delta)$	Two groups $G, H$ , a subgroup $K$ of $H$ , an action $K \rightarrow \text{Aut}(G)$
Group action of $H$ on $\Delta$	Any action of $H$ on $\Delta$	$H$ acts on itself by left multiplication (so in fact the set $\Delta$ considered here is $H$ itself)
Base group	$G^{\Delta}$	$B$ as above (which is a subgroup of $G^H$ )
Action of $H$ on the base group	$f^h(\delta) = f(\delta^{h^{-1}})$	$b^x(h) = b(h^{x^{-1}}) = b((x^{-1})^{-1}h) = b(xh)$

Let  $T$  be a nonabelian simple group. Let  $P \leq S_n$  be a primitive permutation group and let  $Q$  be the point stabilizer  $P_i$ . Let  $\varphi : Q \rightarrow \text{Aut } T$  be an action such that  $\varphi(Q) \supseteq \text{Inn } T$ . So we have the twisted wreath product  $W = T \text{twr}_{\varphi} P$  of  $T$  by  $P$ . Now  $W$  acts naturally on the set  $\Omega$  of right cosets of  $P$  in  $W$ .

We say that a group  $G$  is of **type (T)** if  $G = T \text{twr}_{\varphi} P$  and  $G$  is primitive on  $\Omega$ .

## 12.3 Main Result

**Proposition 12.16.** *Let  $G$  be an intransitive permutation group on  $\Omega$  of degree  $n$ . Then  $G$  is a subgroup of  $S_k \times S_{n-k}$  for some  $1 \leq k \leq n/2$ .*

**Proof.** Since  $G$  is intransitive, we have an orbit  $\Delta$  of size  $1 \leq k \leq n/2$ . Hence  $G \leq \text{Sym}(\Delta) \times \text{Sym}(\Omega \setminus \Delta) \cong S_k \times S_{n-k}$ . ■

**Proposition 12.17.** *Let  $G$  be a transitive and imprimitive permutation group on  $\Omega$  of degree  $n$ . Then  $G$  is a subgroup of  $S_k \text{wr } S_m$ , where  $1 < k, m < n$  and  $n = km$ .*

**Proof.** Since  $G$  is imprimitive, we have a nontrivial system  $\Sigma = \{\Omega_1, \dots, \Omega_m\}$  of imprimitivity of  $\Omega$ . Set  $|\Omega| = k$ . So we have  $1 < k, m < n$ . By Theorem 10.6,  $G$  can be embedded in  $G_{\Omega_1} \text{ wr } \phi(G)$  (where  $\phi$  is the induced action of  $G$  on  $\Sigma$ ), which is isomorphic to  $S_k \text{ wr}_m S_m$ . ■

**Theorem 12.18** (Aschbacher-O’Nan-Scott Theorem). *Let  $H$  be a subgroup of  $S_n$ . Then one of the following holds.*

- (i)  $H$  is a subgroup of  $S_k \times S_{n-k}$ , where  $1 < k < n$ ;
- (ii)  $H$  is a subgroup of  $S_k \text{ wr } S_m$ , where  $n = km$ ;
- (iii)  $H$  is one of the types (A), (S), (D), (P) and (T) described in Section 12.2.

## 12.4 Kilang

Let  $G$  be a primitive permutation group on a finite set  $\Omega$  of size  $n$ , let  $\alpha \in \Omega$ , and let  $M = \text{soc } G$ , the socle of  $G$ .

Let  $J$  be a minimal normal subgroup of  $G$ . Then  $J$  is transitive on  $\Omega$ . The centralizer  $C_G(J)$  is also a normal subgroup of  $G$ . If  $C_G(J) \neq 1$  then  $C_G(J)$  is transitive on  $\Omega$ , whence  $J$  and  $C_G(J)$  are both regular on  $\Omega$ ; and  $J$  and  $C_G(J)$  are equal if and only if  $J$  is abelian. Here  $J$  and  $C_G(J)$  are minimal normal subgroups of  $G$  and there are no further minimal normal subgroups as such subgroups would centralize  $J$ . Moreover  $J$  and  $C_G(J)$  are isomorphic as they are right and left regular representations of the same group. If on the other hand  $C_G(J) = 1$  then  $J$  is the unique minimal normal subgroup of  $G$ . Thus in either case  $M = JC_G(J) = T_1 \times \dots \times T_k$  with  $k \geq 1$  and  $T_i \cong T$  for each  $i$ , where  $T$  is a simple group.

If  $M$  is abelian then  $G$  is of type I, so assume that  $M$  is nonabelian. If  $k = 1$  then  $G$  is of type II; the fact that  $M_\alpha \neq 1$  here will be shown at the end of the proof. Assume then that  $k \geq 2$ . In this case  $G$  permutes the set  $\{T_1, \dots, T_k\}$  and since  $G_\alpha$  is maximal in  $G$ ,

$$M_\alpha \text{ is a maximal proper } G_\alpha\text{-invariant subgroup of } M. \quad (1)$$

For  $1 \leq i \leq k$  let  $p_i$  be the projection of  $M$  onto  $T_i$ .

**Case 1.** First suppose that  $p_i(M_\alpha) = T_i$  for some  $i$ . Then it follows from (1) that  $p_j(M_\alpha) = T_j$  for all  $j = 1, \dots, k$  and so  $M_\alpha$  is a direct product  $D_1 \times \dots \times D_l$  of full diagonal subgroups  $D_i$  of subproducts  $\prod_{j \in I_i} T_j$  where the  $I_i$  partition  $\{1, \dots, k\}$ . Choose notation so that  $I_1 = \{1, \dots, m\}$  (so  $m \geq 2$ ). By (1)  $G_\alpha$  is transitive on  $\{D_1, \dots, D_l\}$  and hence each  $D_i$  involves precisely  $m$  of the factors  $T_i$ , so  $k = lm$ . Let  $P$  be the permutation group induced by  $G$  on the set  $\mathcal{T} = \{T_1, \dots, T_k\}$ . Assume



first that  $l = 1$ . If  $P$  preserved a nontrivial partition of  $\mathcal{T}$  then the subgroup  $Y$  of all elements of  $M$  constant on each block of the partition would be  $G_\alpha$ -invariant with  $M_\alpha < Y < M$ , contradicting (1). Thus  $P$  leaves invariant no nontrivial partition of  $\mathcal{T}$ , and so either  $P$  is primitive on  $\mathcal{T}$ , or  $P = 1, k = 2$  and  $G$  has two minimal normal subgroups. In either case we show that  $G$  is of type III(a) as follows. First we claim that up to permutation equivalence we can identify  $M$  with  $(\text{Inn } T)^k$  so that

$$M_\alpha = D_1 = \{((i, \dots, i) | i \in \text{Inn } T)\}.$$

For let  $M = (\text{Inn } T)^k$  and let  $D = \{((i, \dots, i) | i \in \text{Inn } T)\} \leq M$ . Let  $E$  be another diagonal subgroup of  $M$ ; thus

$$E = \{(i^{\varphi_1}, \dots, i^{\varphi_{k-1}}, i) | i \in \text{Inn } T\}$$

for some  $\varphi_1, \dots, \varphi_{k-1} \in \text{Aut } T$ . Define  $\varphi : (M : D) \rightarrow (M : E)$  (where  $(M : L)$  denotes the set of right cosets of a subgroup  $L$  in  $M$ ), and  $\psi \in \text{Aut } M$  by

$$\varphi : D(i_1, \dots, i_{k-1}, 1) \rightarrow E(i_1^{\varphi_1}, \dots, i_{k-1}^{\varphi_{k-1}}, 1),$$

$$\psi : (i_1, \dots, i_k) \mapsto (i_1^{\varphi_1}, \dots, i_{k-1}^{\varphi_{k-1}}, i_k),$$

where  $i_j \in \text{Inn } T$  for  $1 \leq j \leq k$ . Then for  $\omega \in (M : D)$  and  $m \in M$ , we have  $(\omega m)\varphi = (\omega\varphi)(m\psi)$ . Thus the actions of  $M$  on  $(M : D)$  and on  $(M : E)$  are permutation equivalent, as claimed. Now the full normalizer of  $M$  in  $\text{Sym}(\Omega)$  is  $M \cdot (\text{Out } T \times S_k)$ , and hence  $G^\Omega$  is permutation equivalent to a subgroup of  $W^\Omega$ , where  $W$  is as described in III(a).

Now let  $l > 1$  and set  $K = T_1 \times \dots \times T_m$  and  $N = N_G(K)$ . It follows from (1) that  $D_1$  is a maximal  $N_\alpha$ -invariant subgroup of  $K$ . For  $L \leq N$  denote by  $L^*$  the group of automorphisms of  $K$  induced by  $L$  by conjugation, so that  $L^* = LC_G(K)/C_G(K)$ . Since  $N$  contains  $M$ , we see that  $N$  is transitive on  $\Omega$  and so  $N = MN_\alpha$ . Hence  $N^* = K^*N_\alpha^*$ . Let  $Y$  be a maximal subgroup of  $N$  containing  $N_\alpha C_G(K)$ . Then  $Y \cap K$  is an  $N_\alpha$ -invariant subgroup of  $K$  containing  $D_1$ , and by maximality of  $D_1$  we have  $Y \cap K = D_1$ . Thus  $Y \cap M = D_1 \times T_{m+1} \times \dots \times T_k$ . Also  $Y = (Y \cap M)N_\alpha$  so that  $Y^* = D_1^*N_\alpha^* = N_\alpha^*$ , and hence  $Y = N_\alpha C_G(K)$ , that is,  $N_\alpha C_G(K)$  is a maximal subgroup of  $N$ . Set  $H = N^*$  and let  $\Gamma$  be the coset space  $(H : N_\alpha^*)$ . Then  $H$  has socle  $K^* \cong K$  and  $H$  is a primitive permutation group on  $\Gamma$  of type III(a). Also  $|\Omega| = |\Gamma|^l$ .

We now claim that  $G^\Omega$  is permutation equivalent to a subgroup of  $H$  wr  $S_l$  in its natural product action on  $\Gamma^l$ , hence to a group of type III(b)(ii) (the transitivity of  $G$  on the  $l$  factors in  $K^l$  follows from primitivity). To see this, let  $\mathcal{R} = \{g_1, \dots, g_l\}$

be a right transversal for  $N_\alpha$  in  $G_\alpha$  and for  $N$  in  $G$ , such that  $D_1^{g_i} = D_i$  for  $1 \leq i \leq l$ . Write  $K_i = K^{g_i}$  ( $1 \leq i \leq l$ ), so that  $G$  permutes the set  $\{K_1, \dots, K_l\}$ . For  $g \in G$ , write  $g = n\bar{g}$  with  $\bar{g} \in \mathcal{R}$  and  $n \in N$ . Writing elements of  $H$  wr  $S_l$  in the form  $(h_1, \dots, h_l)\pi$  with  $h_i \in H, \pi \in S_l$  and  $\pi$  permuting the components  $h_i$  naturally, we define a map  $\rho : G \rightarrow H$  wr  $S_l$  by

$$\rho : g \mapsto (a_1^*, \dots, a_l^*)\pi \quad (g \in G),$$

where  $\pi$  is the permutation induced by  $g$  on  $\{K_1, \dots, K_l\}$ , for  $1 \leq i \leq l$  we have  $a_i = g_i g(\bar{g}_i \bar{g})^{-1}$ , and  $a_i^*$  denotes the automorphism of  $K$  induced by conjugation by  $a_i$ . Then  $\rho$  is a monomorphism; moreover, since  $g_i \in G_\alpha$  for all  $i$ , we have  $G_\alpha \rho \leq N_\alpha^*$  wr  $S_l$ , the point stabilizer in the natural action of  $H$  wr  $S_l$  on  $\Gamma^l$ . Since  $|\Omega| = |\Gamma|^l$ , identification of  $G$  with its image  $G\rho$  gives the required embedding of  $G$  in  $H$  wr  $S_l$ , acting naturally on  $\Gamma^l$ . This proves our claim.

**Case 2.** Now suppose that  $R_i = p_i(M_\alpha)$  is a proper subgroup of  $T_i$  for each  $i = 1, \dots, k$ . Since each  $R_i$  is an  $N_G(T_i)$ -invariant subgroup of  $T_i$ , it follows from (1) that  $G_\alpha$  is transitive on  $\{T_1, \dots, T_k\}$  and hence for  $i = 1, \dots, k$ ,  $R_i$  is the image of  $R_1$  under an isomorphism  $T_1 \rightarrow T_i$ . Since  $R_1 \times \dots \times R_k$  is  $G_\alpha$ -invariant we have  $M_\alpha = R_1 \times \dots \times R_k$ . Also  $R_1$  must be a maximal  $N_G(T_1)$ -invariant proper subgroup of  $T_1$ . Set  $N = N_G(T_1)$  and for  $L \leq N$  denote by  $L^*$  the group of automorphisms of  $T_1$  induced by  $L$  by conjugation, so that  $L^* = LC_G(T_1)/C_G(T_1)$ . Since  $N$  contains the transitive subgroup  $M$ , we have  $N = MN_\alpha$ . Hence  $N^* = T_1^* N_\alpha^*$ .

**Case 2(a).** Suppose that  $T_1^* \leq N_\alpha^*$ . Thus  $N^* = T_1^* N_\alpha^* = N_\alpha^*$ . If  $R_1 \neq 1$  then

$$T_1 = (R_1^{T_1^*}) \leq (R_1^{C_G(T_1)N_\alpha}) = (R_1^{N_\alpha}) \leq G_\alpha$$

which is not so. Hence  $R_1 = 1$  and so  $M_\alpha = 1$ . Define  $\varphi : N_\alpha \rightarrow \text{Aut } T_1$  to be the natural homomorphism (that is, for  $n \in N_\alpha$  and  $t \in T_1, \varphi(n) : t \mapsto t^n$ ), so that  $\ker \varphi = C_G(T_1) \cap G_\alpha$  and  $\text{Im } \varphi = N_\alpha^*$  contains  $\text{Inn } T_1 = T_1^*$ . Write  $Z = \varphi^{-1}(\text{Inn } T_1)$ . Also let  $Y$  be the kernel of the action of  $G$  on  $\{T_1, \dots, T_k\}$ . We show first that  $Y = M$ . Now  $Y_\alpha \cong Y_\alpha M/M$  is isomorphic to a subgroup of  $(\text{Out } T_1)^k$  and hence is soluble by the Schreier ‘‘Conjecture’’. Also  $Z/C \cong T_1$  is simple, where we write  $C = \ker \varphi$ . Since  $Y_\alpha C$  and  $Z$  are both normal subgroups of  $N_\alpha$  we therefore have  $[Z/C, Y_\alpha C/C] = 1$  in  $N_\alpha/C$ . Thus  $Y_\alpha \leq C$ , that is,  $Y_\alpha$  centralizes  $T_1$ . Similarly  $Y_\alpha$  centralizes  $T_i$  for all  $i$  and hence  $Y_\alpha = 1$ . Thus  $Y = M$ . Set  $P = G_\alpha$  and  $Q = N_\alpha$  so that  $P$  acts faithfully and transitively on  $\{T_1, \dots, T_k\}$  and  $G = MP$ . Abusing notation slightly, take  $P$  to act on  $I = \{1, \dots, k\}$  by  $T_i^p = T_{ip}$  ( $i \in I, p \in P$ ). We show finally that there is an isomorphism of  $G$  onto the twisted wreath product  $T_1 \text{ twr}_\varphi P^I$  (defined in Section 1) which maps  $M$  onto the base group  $B$  and  $G_\alpha = P$  onto the top group  $P^I$ , so that  $G$

is of type III(c). For  $1 \leq i \leq k$  choose  $c_i \in P$  such that  $T_i^{c_i} = T_1$  (so that  $\{c_1, \dots, c_k\}$  is a transversal for  $Q$  in  $P$ ). Now each  $m \in M$  is of the form  $m = \prod_{i=1}^k a_i$  with  $a_i \in T_i$  and hence  $a_i^{c_i} \in T_1$  for  $1 \leq i \leq k$ . We define a map  $\vartheta$  from  $G$  into  $T_1 \text{ twr}_\varphi P$  by

$$\vartheta : mu \mapsto \vartheta_m u$$

for  $m = \prod a_i \in M$  and  $u \in P$ , where  $\vartheta_m : P \rightarrow T_1$  is the map given by  $\vartheta_m(c_i q) = a_i^{c_i q}$  for  $1 \leq i \leq k$  and  $q \in Q$ . Clearly  $\vartheta_m$  belongs to the base group  $B$  of  $T_1 \text{ twr}_\varphi P^I$ , and  $\vartheta$  is 1-1 and hence bijective. To see that  $\vartheta$  is a homomorphism we need to show that

$$\vartheta_m^u = (\vartheta_m)^u \quad \text{for } m = \prod a_i \in M, u \in P.$$

Write  $b = \vartheta_m$ . By the definition of  $b^u$  in Section 1,  $b^u(c_i q) = b(uc_i q)$ , which equals  $b(c_{iu^{-1}} y_i q)$  where  $y_i = c_{iu^{-1}}^{-1} u c_i \in Q$ . Since  $b \in B$ , this equals  $b(c_{iu^{-1}} q)^{y_i}$ , and therefore

$$b^u(c_i q) = a_{iu^{-1}}^{c_{iu^{-1}} q y_i}.$$

But this is clearly the same as  $\vartheta_m^u(c_i q)$ , and hence  $\vartheta_m^u = (\vartheta_m)^u$ , as required. Thus  $\vartheta$  is an isomorphism, and since  $\vartheta(M) = B$  and  $\vartheta(G_\alpha) = P$  it follows that  $G$  is of type III(c).

**Case 2(b).** Thus we may assume that  $T_1^* \not\leq N_\alpha^*$ . If  $Y$  is a maximal subgroup of  $N$  containing  $N_\alpha C_G(T_1)$  then  $Y \cap T_1$  is an  $N_\alpha$ -invariant subgroup of  $T_1$  containing  $R_1$ . By the maximality of  $R_1$  and since  $Y \neq N$  we have  $Y \cap T_1 = R_1$ . Thus  $Y \cap M = R_1 \times T_2 \times \dots \times T_k$ . Also  $Y = (Y \cap M) N_\alpha$  so that  $Y^* = R_1^* N_\alpha^* = N_\alpha^*$ , and hence  $Y = N_\alpha C_G(T_1)$ , that is,  $N_\alpha C_G(T_1)$  is a maximal subgroup of  $N$ . Let  $H = N^*$  and let  $\Gamma$  be the coset space  $(H : N_\alpha^*)$ . Then  $H$  has socle  $T_1^* \cong T_1$  and  $H$  is primitive on  $\Gamma$ . Also  $|\Gamma| = |T_1 : R_1|$  and so  $|\Omega| = |\Gamma|^k$ . A calculation along the lines of the case  $l > 1$  of Case 1 shows that  $G^\Omega$  is permutation equivalent to a subgroup of  $H$  wr  $S_k$  in its product action on  $\Gamma^k$ . Then  $G$  is of type III(b)(i); for this it remains to show that  $M_\alpha \neq 1$ . This will follow from the corresponding assertion in the simple socle case II.

Thus suppose that  $T < G \leq \text{Aut } T$  and  $T_\alpha = 1$ . Then  $G_\alpha$  is soluble by the Schreier ‘‘Conjecture’’. Let  $Q$  be a minimal normal subgroup of  $G_\alpha$ . Then  $Q$  is an elementary abelian  $q$ -group for some prime  $q$ . Now  $C_T(Q) = 1$ , since both  $T$  and  $C_G(Q)$  are normalized by  $G_\alpha$ , and  $G_\alpha$  is maximal in  $G$ . It follows that  $q$  does not divide  $|T|$ . Hence  $Q$  normalizes a Sylow 2-subgroup  $S$  of  $T$ . We assert that  $S$  is the unique such Sylow 2-subgroup. For suppose that  $Q$  normalizes  $S_1$ , where  $S_1^x = S$  and

$x \in T$ . Then  $Q$  and  $Q^x$  are Sylow  $q$ -subgroups of  $N_{TQ}(S)$ , so

$Q = Q^{xy}$  for some  $y \in N_T(S)$ . We have  $[Q, xy] \leq Q \cap T = 1$ , so  $xy \in C_T(Q) = 1$ .

Hence  $x \in N_T(S)$  and so  $S_1 = S$ , as asserted. Thus  $G_\alpha = N_G(Q) \leq N_G(S)$  and so  $G_\alpha < G_\alpha S < G$ , contradicting the maximality of  $G_\alpha$ . This completes the proof of the theorem.

## 12.5 Tempat Untuk Edit

Let  $G$  be a primitive permutation group on a finite set  $\Omega$  of size  $n$ , let  $\alpha \in \Omega$ , and let  $M = \text{soc } G$ , the socle of  $G$ . By Corollary 12.7, we have

$$M = T_1 \times \cdots \times T_k$$

where  $T$  is a simple group,  $k \geq 1$  and  $T_i \cong T$  for each  $i$ .

If  $M$  is abelian, then  $G$  is of type (A) by Proposition 12.11. So assume that  $M$  is nonabelian. If  $k = 1$  then  $G$  is of type (S) by Proposition 12.13. Assume then that  $k \geq 2$ . In view of Theorem 12.3.(iii),  $G$  acts on the set  $\{T_1, \dots, T_k\}$  by conjugation.

For  $1 \leq i \leq k$ , let  $\pi_i : M \rightarrow T_i$  be the projection of  $M$  onto  $T_i$ .

**Case 1.** First suppose that  $\pi_i(M_\alpha) = T_i$  for some  $i$ . Then it follows from **LEMMA** 2 that  $\pi_j(M_\alpha) = T_j$  for all  $j = 1, \dots, k$ . By **LEMMA** 2, there is a partition  $\{I_1, \dots, I_l\}$  for which

$$M_\alpha = D_1 \times \cdots \times D_l$$

where each  $D_i$  is a subgroup of  $\prod_{j \in I_i} T_j$ .

Choose notation so that  $I_1 = \{1, \dots, m\}$  (so  $m \geq 2$  **WHYYYY**). By **LEMMA** 3,  $G_\alpha$  is transitive on  $\{D_1, \dots, D_l\}$  and hence each  $D_i$  involves precisely  $m$  of the factors  $T_i$ , so  $k = lm$ . Let  $P$  be the permutation group induced by  $G$  on the set  $\mathcal{T} = \{T_1, \dots, T_k\}$ .

Assume first that  $l = 1$ . By **LEMMA** 4, we have the following two cases:

- $P$  is primitive on  $\mathcal{T}$ ;
- $P = 1$ ,  $k = 2$  and  $G$  has two minimal normal subgroups.

In either case we show that  $G$  is of type (D) as follows. Using **LEMMA** 5 we can identify  $M$  with  $(\text{Inn } T)^k$  so that

$$M_\alpha = D_1 = \{((i, \dots, i) | i \in \text{Inn } T)\}.$$

Now the full normalizer of  $M$  in  $\text{Sym}(\Omega)$  is  $M \cdot (\text{Out}(T) \times S_k)$ , and hence  $(G, \Omega)$  is permutation isomorphic to a subgroup of  $(W, \Omega)$ , where  $W$  is as described in Section 12.2.3.

Now let  $l > 1$  and set  $K = T_1 \times \cdots \times T_m$  and  $N = N_G(K)$ . It follows from (1) that  $D_1$  is a maximal  $N_\alpha$ -invariant subgroup of  $K$ . For  $L \leq N$  denote by  $L^*$  the group of automorphisms of  $K$  induced by  $L$  by conjugation, so that  $L^* = LC_G(K)/C_G(K)$ . Since  $N$  contains  $M$ , we see that  $N$  is transitive on  $\Omega$  and so  $N = MN_\alpha$ . Hence  $N^* = K^*N_\alpha^*$ . Let  $Y$  be a maximal subgroup of  $N$  containing  $N_\alpha C_G(K)$ . Then  $Y \cap K$

is an  $N_\alpha$ -invariant subgroup of  $K$  containing  $D_1$ , and by maximality of  $D_1$  we have  $Y \cap K = D_1$ . Thus  $Y \cap M = D_1 \times T_{m+1} \times \cdots \times T_k$ . Also  $Y = (Y \cap M)N_\alpha$  so that  $Y^* = D_1^* N_\alpha^* = N_\alpha^*$ , and hence  $Y = N_\alpha C_G(K)$ , that is,  $N_\alpha C_G(K)$  is a maximal subgroup of  $N$ . Set  $H = N^*$  and let  $\Gamma$  be the coset space  $(H : N_\alpha^*)$ . Then  $H$  has socle  $K^* \cong K$  and  $H$  is a primitive permutation group on  $\Gamma$  of type III(a). Also  $|\Omega| = |\Gamma|^l$ .

We now claim that  $G^\Omega$  is permutation equivalent to a subgroup of  $H$  wr  $S_l$  in its natural product action on  $\Gamma^l$ , hence to a group of type III(b)(ii) (the transitivity of  $G$  on the  $l$  factors in  $K^l$  follows from primitivity). To see this, let  $\mathcal{R} = \{g_1, \dots, g_l\}$  be a right transversal for  $N_\alpha$  in  $G_\alpha$  and for  $N$  in  $G$ , such that  $D_1^{g_i} = D_i$  for  $1 \leq i \leq l$ . Write  $K_i = K^{g_i}$  ( $1 \leq i \leq l$ ), so that  $G$  permutes the set  $\{K_1, \dots, K_l\}$ . For  $g \in G$ , write  $g = n\bar{g}$  with  $\bar{g} \in \mathcal{R}$  and  $n \in N$ . Writing elements of  $H$  wr  $S_l$  in the form  $(h_1, \dots, h_l)\pi$  with  $h_i \in H, \pi \in S_l$  and  $\pi$  permuting the components  $h_i$  naturally, we define a map  $\rho : G \rightarrow H$  wr  $S_l$  by

$$\rho : g \mapsto (a_1^*, \dots, a_l^*)\pi \quad (g \in G),$$

where  $\pi$  is the permutation induced by  $g$  on  $\{K_1, \dots, K_l\}$ , for  $1 \leq i \leq l$  we have  $a_i = g_i g(\bar{g}_i \bar{g})^{-1}$ , and  $a_i^*$  denotes the automorphism of  $K$  induced by conjugation by  $a_i$ . Then  $\rho$  is a monomorphism; moreover, since  $g_i \in G_\alpha$  for all  $i$ , we have  $G_\alpha \rho \leq N_\alpha^*$  wr  $S_l$ , the point stabilizer in the natural action of  $H$  wr  $S_l$  on  $\Gamma^l$ . Since  $|\Omega| = |\Gamma|^l$ , identification of  $G$  with its image  $G\rho$  gives the required embedding of  $G$  in  $H$  wr  $S_l$ , acting naturally on  $\Gamma^l$ . This proves our claim.

**Case 2.** Now suppose that  $R_i = \pi_i(M_\alpha)$  is a proper subgroup of  $T_i$  for each  $i = 1, \dots, k$ . Since each  $R_i$  is an  $N_G(T_i)$ -invariant subgroup of  $T_i$ , it follows from (1) that  $G_\alpha$  is transitive on  $\{T_1, \dots, T_k\}$  and hence for  $i = 1, \dots, k$ ,  $R_i$  is the image of  $R_1$  under an isomorphism  $T_1 \rightarrow T_i$ . Since  $R_1 \times \cdots \times R_k$  is  $G_\alpha$ -invariant we have  $M_\alpha = R_1 \times \cdots \times R_k$ . Also  $R_1$  must be a maximal  $N_G(T_1)$ -invariant proper subgroup of  $T_1$ . Set  $N = N_G(T_1)$  and for  $L \leq N$  denote by  $L^*$  the group of automorphisms of  $T_1$  induced by  $L$  by conjugation, so that  $L^* = LC_G(T_1)/C_G(T_1)$ . Since  $N$  contains the transitive subgroup  $M$ , we have  $N = MN_\alpha$ . Hence  $N^* = T_1^* N_\alpha^*$ .

**Case 2(a).** Suppose that  $T_1^* \leq N_\alpha^*$ . Thus  $N^* = T_1^* N_\alpha^* = N_\alpha^*$ . If  $R_1 \neq 1$  then

$$T_1 = (R_1^{T_1^*}) \leq (R_1^{C_G(T_1)N_\alpha}) = (R_1^{N_\alpha}) \leq G_\alpha$$

which is not so. Hence  $R_1 = 1$  and so  $M_\alpha = 1$ . Define  $\varphi : N_\alpha \rightarrow \text{Aut } T_1$  to be the natural homomorphism (that is, for  $n \in N_\alpha$  and  $t \in T_1, \varphi(n) : t \mapsto t^n$ ), so that  $\ker \varphi = C_G(T_1) \cap G_\alpha$  and  $\text{Im } \varphi = N_\alpha^*$  contains  $\text{Inn } T_1 = T_1^*$ . Write  $Z = \varphi^{-1}(\text{Inn } T_1)$ . Also let  $Y$  be the kernel of the action of  $G$  on  $\{T_1, \dots, T_k\}$ . We show first that  $Y = M$ . Now  $Y_\alpha \cong Y_\alpha M/M$  is isomorphic to a subgroup of  $(\text{Out } T_1)^k$  and hence is soluble by

the Schreier “Conjecture”. Also  $Z/C \cong T_1$  is simple, where we write  $C = \ker \varphi$ . Since  $Y_\alpha C$  and  $Z$  are both normal subgroups of  $N_\alpha$  we therefore have  $[Z/C, Y_\alpha C/C] = 1$  in  $N_\alpha/C$ . Thus  $Y_\alpha \leq C$ , that is,  $Y_\alpha$  centralizes  $T_1$ . Similarly  $Y_\alpha$  centralizes  $T_i$  for all  $i$  and hence  $Y_\alpha = 1$ . Thus  $Y = M$ . Set  $P = G_\alpha$  and  $Q = N_\alpha$  so that  $P$  acts faithfully and transitively on  $\{T_1, \dots, T_k\}$  and  $G = MP$ . Abusing notation slightly, take  $P$  to act on  $I = \{1, \dots, k\}$  by  $T_i^p = T_{ip}$  ( $i \in I, p \in P$ ). We show finally that there is an isomorphism of  $G$  onto the twisted wreath product  $T_1 \operatorname{twr}_\varphi P^I$  (defined in Section 1) which maps  $M$  onto the base group  $B$  and  $G_\alpha = P$  onto the top group  $P^I$ , so that  $G$  is of type III(c). For  $1 \leq i \leq k$  choose  $c_i \in P$  such that  $T_i^{c_i} = T_1$  (so that  $\{c_1, \dots, c_k\}$  is a transversal for  $Q$  in  $P$ ). Now each  $m \in M$  is of the form  $m = \prod_{i=1}^k a_i$  with  $a_i \in T_i$  and hence  $a_i^{c_i} \in T_1$  for  $1 \leq i \leq k$ . We define a map  $\vartheta$  from  $G$  into  $T_1 \operatorname{twr}_\varphi P$  by

$$\vartheta : mu \mapsto \vartheta_m u$$

for  $m = \prod a_i \in M$  and  $u \in P$ , where  $\vartheta_m : P \rightarrow T_1$  is the map given by  $\vartheta_m(c_i q) = a_i^{c_i q}$  for  $1 \leq i \leq k$  and  $q \in Q$ . Clearly  $\vartheta_m$  belongs to the base group  $B$  of  $T_1 \operatorname{twr}_\varphi P^I$ , and  $\vartheta$  is 1-1 and hence bijective. To see that  $\vartheta$  is a homomorphism we need to show that

$$\vartheta_m^u = (\vartheta_m)^u \quad \text{for } m = \prod a_i \in M, u \in P.$$

Write  $b = \vartheta_m$ . By the definition of  $b^u$  in Section 1,  $b^u(c_i q) = b(uc_i q)$ , which equals  $b(c_{iu^{-1}} y_i q)$  where  $y_i = c_{iu^{-1}}^{-1} u c_i \in Q$ . Since  $b \in B$ , this equals  $b(c_{iu^{-1}} q)^{y_i}$ , and therefore

$$b^u(c_i q) = a_{iu^{-1}}^{c_{iu^{-1}} q y_i}.$$

But this is clearly the same as  $\vartheta_m^u(c_i q)$ , and hence  $\vartheta_m^u = (\vartheta_m)^u$ , as required. Thus  $\vartheta$  is an isomorphism, and since  $\vartheta(M) = B$  and  $\vartheta(G_\alpha) = P$  it follows that  $G$  is of type III(c).

**Case 2(b).** Thus we may assume that  $T_1^* \not\leq N_\alpha^*$ . If  $Y$  is a maximal subgroup of  $N$  containing  $N_\alpha C_G(T_1)$  then  $Y \cap T_1$  is an  $N_\alpha$ -invariant subgroup of  $T_1$  containing  $R_1$ . By the maximality of  $R_1$  and since  $Y \neq N$  we have  $Y \cap T_1 = R_1$ . Thus  $Y \cap M = R_1 \times T_2 \times \dots \times T_k$ . Also  $Y = (Y \cap M)N_\alpha$  so that  $Y^* = R_1^* N_\alpha^* = N_\alpha^*$ , and hence  $Y = N_\alpha C_G(T_1)$ , that is,  $N_\alpha C_G(T_1)$  is a maximal subgroup of  $N$ . Let  $H = N^*$  and let  $\Gamma$  be the coset space  $(H : N_\alpha^*)$ . Then  $H$  has socle  $T_1^* \cong T_1$  and  $H$  is primitive on  $\Gamma$ . Also  $|\Gamma| = |T_1 : R_1|$  and so  $|\Omega| = |\Gamma|^k$ . A calculation along the lines of the case  $l > 1$  of Case 1 shows that  $G^\Omega$  is permutation equivalent to a subgroup of  $H \operatorname{wr} S_k$  in its product action on  $\Gamma^k$ . Then  $G$  is of type III(b)(i); for this it remains to show that  $M_\alpha \neq 1$ . This will follow from the corresponding assertion in the simple socle case II.

Thus suppose that  $T < G \leq \text{Aut } T$  and  $T_\alpha = 1$ . Then  $G_\alpha$  is soluble by the Schreier “Conjecture”. Let  $Q$  be a minimal normal subgroup of  $G_\alpha$ . Then  $Q$  is an elementary abelian  $q$ -group for some prime  $q$ . Now  $C_T(Q) = 1$ , since both  $T$  and  $C_G(Q)$  are normalized by  $G_\alpha$ , and  $G_\alpha$  is maximal in  $G$ . It follows that  $q$  does not divide  $|T|$ . Hence  $Q$  normalizes a Sylow 2-subgroup  $S$  of  $T$ . We assert that  $S$  is the unique such Sylow 2-subgroup. For suppose that  $Q$  normalizes  $S_1$ , where  $S_1^x = S$  and  $x \in T$ . Then  $Q$  and  $Q^x$  are Sylow  $q$ -subgroups of  $N_{TQ}(S)$ , so

$$Q = Q^{xy} \text{ for some } y \in N_T(S). \text{ We have } [Q, xy] \leq Q \cap T = 1, \text{ so } xy \in C_T(Q) = 1.$$

Hence  $x \in N_T(S)$  and so  $S_1 = S$ , as asserted. Thus  $G_\alpha = N_G(Q) \leq N_G(S)$  and so  $G_\alpha < G_\alpha S < G$ , contradicting the maximality of  $G_\alpha$ . This completes the proof of the theorem.

**Main References.** [Wil09; Cam99; Smi18; LPS88; AS85]



# 13 Free Groups

## 13.1 Definition

**Definition 13.1.** Let  $X$  be a set and let  $F$  be a group. We call  $F$  a **free group** on  $X$  if  $F$  is a free object on  $X$  in the category of groups, i.e., there is a set function  $u : X \rightarrow F$  such that the pair  $(F, u)$  satisfies the following universal property: for every group  $G$  and a set function  $f : X \rightarrow G$ , there is a unique group homomorphism  $f' : F \rightarrow G$  such that  $f' \circ u = f$ , as shown in the following commutative diagram.

$$\begin{array}{ccc}
 X & \xrightarrow{u} & F \\
 & \searrow f & \downarrow f' \\
 & & G
 \end{array}
 \qquad
 \begin{array}{c}
 F \\
 \downarrow f' \\
 G
 \end{array}$$

**Remark.** We observe that if  $(F, u : X \rightarrow F)$  satisfies the universal property and  $\phi : F \rightarrow H$  is a group isomorphism, then  $(H, \phi \circ u : X \rightarrow H)$  also satisfies the universal property. So  $H$  is free on  $X$ .

**Proposition 13.2.** Let  $u : X \rightarrow F$  be the function defined in Definition 13.1. Then the following propositions hold.

- (i) The elements  $u(x)$  ( $x \in X$ ) generate  $F$ .
- (ii) The function  $u$  is injective.

**Proof.** (i) Let  $G$  be the subgroup of  $F$  generated by the elements  $u(x)$  ( $x \in X$ ). Let  $f : X \rightarrow G$  be the function defined by  $f(x) = u(x)$ . Then there exists a unique group homomorphism  $f' : F \rightarrow G$  such that  $f' \circ u = f$ . Let  $\iota : G \rightarrow F$  be the inclusion mapping. Consider the function  $\iota \circ f$ . By the universal property, there exists a unique group homomorphism  $\varphi : F \rightarrow F$  such that  $\varphi \circ u = \iota \circ f$ . Note that left multiplication of  $\iota$  on the equality  $f' \circ u = f$  yields  $(\iota \circ f') \circ u = \iota \circ f$ . By the uniqueness, we obtain  $\varphi = \iota \circ f'$ . On the other hand, we see that  $1 \circ u = \iota \circ f$ , where  $1 : F \rightarrow F$  is the identity mapping. Hence we get  $\varphi = 1$ . Thus  $\iota \circ f' = 1$ . So  $\iota$  has a right inverse. This implies that  $\iota$  is surjective (recall that surjectivity  $\Leftrightarrow$  having right inverse), whence  $F = \iota(G) = G = \langle u(x) \mid x \in X \rangle$ .

(ii) Let  $x, y \in X$  be distinct elements, i.e.,  $x \neq y$ . Let  $f : X \rightarrow \mathbb{Z}_2$  be defined by  $f(x) = 0$ ,  $f(z) = 1$  for all  $z \in X \setminus \{x\}$ . By the universal property, there is a unique  $f' : F \rightarrow \mathbb{Z}_2$  such that  $f' \circ u = f$ . In particular,  $f'(u(x)) = (f' \circ u)(x) = f(x) = 0$  and  $f'(u(y)) = f(y) = 1$ . Hence  $f'(u(x)) \neq f'(u(y))$ . Since  $f'$  is well-defined, we get  $u(x) \neq u(y)$ . Therefore  $u$  is injective. ■

**Remark.** Since  $u$  is an injective function, we shall identify  $X$  as a subset of  $F$ . Simply speaking, one usually omits  $u$  and writes  $x$  for its image  $u(x)$ .

## 13.2 Three Ways of Constructing Free Groups

**Theorem 13.3.** *For any set  $X$ , there exists a unique (up to isomorphism) free group on  $X$ .*

The uniqueness follows from Proposition 0.3. Now we prove the existence in three different ways.

### 13.2.1 Groups of Words: a Beginner's Favourite

Let  $X$  be a set. We introduce the set of “words” on  $X$  as

$$W(X) := \bigcup_{n \geq 0} X^n.$$

By convention, we assume that  $X^0 := \{1\}$ . Here 1 is called the **empty word**. An element of  $X^n \subseteq W(X)$  is called a **word of length  $n$**  over  $X$ . To simplify the notation, we write  $x_1 \cdots x_n$  for the  $n$ -tuple  $(x_1, \dots, x_n)$  in  $X^n$ . Now we define a binary operation (called the **concatenation of words**) on  $W(X)$  as follows.

$$\begin{aligned} W(X) \times W(X) &\rightarrow W(X); \\ (x_1 \cdots x_n, y_1 \cdots y_m) &\mapsto (x_1 \cdots x_n y_1 \cdots y_m) \end{aligned}$$

for  $n, m \geq 0$ . Clearly this operation is associative. To make  $W(X)$  a monoid, we assume that the empty word 1 is the identity element.

**Proposition 13.4.** *Let  $X$  be a set and let  $M$  be a monoid. Then any set function  $f : X \rightarrow M$  can be extended uniquely to a monoid homomorphism  $\hat{f} : W(X) \rightarrow M$ .*

**Proof.** Let  $f : X \rightarrow M$  be a function. To prove the existence, we define  $g : W(X) \rightarrow M$  by  $g(1) = 1$  and  $g(x_1 \cdots x_n) = f(x_1) \cdots f(x_n)$  for  $n \geq 1$ . Clearly  $g$  is a monoid homomorphism. Suppose that there is a monoid homomorphism  $g' : W(X) \rightarrow M$  such that  $g'(x) = f(x)$  for all  $x \in X$ . Then we have  $g'(1) = 1$  by the definition of monoid homomorphism. For  $n \geq 1$ , we have

$$g'(x_1 \cdots x_n) = g'(x_1) \cdots g'(x_n) = f(x_1) \cdots f(x_n) = g(x_1 \cdots x_n)$$

Hence  $g' = g$ . ■

We now set  $X^\pm = X \cup \hat{X}$ , where  $X \rightarrow \hat{X}$  is a bijection and  $X \cap \hat{X} = \emptyset$ . We have two natural inclusions  $X \rightarrow X^\pm$ . Every element  $x$  in  $X$  (resp.  $\hat{X}$ ) has a corresponding element in  $\hat{X}$  (resp.  $X$ ), denoted by  $x^{-1}$ . Hence we have an involution  $X^\pm \rightarrow X^\pm; x \mapsto x^{-1}$ .

Let  $m$  and  $m'$  be two words on  $X^\pm$ , we say that  $m$  is an **elementary contraction** of  $m'$ , and we write  $mCm'$ , if there exist  $n_1, n_2 \in W(X^\pm)$  and  $x \in X^\pm$  such that  $m = n_1n_2$  and  $m' = n_1xx^{-1}n_2$ . Let  $R$  be the equivalence relation on  $W(X^\pm)$  generated by  $C$ . We denote the set of equivalence classes with respect to  $R$  by

$$F(X) := W(X^\pm)/R.$$

Note that the concatenation induces a composition  $F(X) \times F(X) \rightarrow F(X)$  via  $[m][n] := [mn]$ . To see that this composition is well-defined. Let  $m, m', n, n' \in M$  be such that  $mRm'$  and  $nRn'$ . Since  $R$  is generated by  $C$ , there is a sequence of elements  $m_1, \dots, m_r \in M$  for which  $m_1 = m$ ,  $m_r = m'$  and either  $m_iCm_{i+1}$  or  $m_{i+1}Cm_i$  for all  $1 \leq i < r$ . In either case, we get  $m_inRm_{i+1}n$  for all  $1 \leq i < r$ . By the transitivity of  $R$ , we have  $mnRm'n$ . By a similar argument, we can show that  $m'nRm'n'$ . So we get  $mnRm'n'$ , proving that the composition is well-defined.

The associativity of this composition is inherited from the associativity of the operation on  $W(X^\pm)$ . Clearly  $[1]$  is the identity element. By definition of  $C$ , we have  $[x][x^{-1}] = [xx^{-1}] = [1]$  for all  $x \in X^\pm$ . Since  $X^\pm$  generates  $W(X^\pm)$ , the elements  $[x]$  ( $x \in X^\pm$ ) generate  $F(X)$ . Hence every element in  $F(X)$  has an inverse, and thus making  $F(X)$  a group.

**Proposition 13.5.** *The group  $F(X)$  defined above is free on the set  $X$ .*

**Proof.** Let  $u : X \rightarrow F(X)$  be defined by  $u(x) = [x]$ . We show that the pair  $(F(X), u)$  satisfies the universal property. Let  $G$  be a group and let  $f : X \rightarrow G$  be a set function. First we extend  $f$  to a function  $f^\pm : X^\pm \rightarrow G$  by setting  $f^\pm(x^{-1}) = f(x)^{-1}$  for  $x \in X$ . By Proposition 13.4,  $f^\pm$  can be extended uniquely to a monoid homomorphism  $\hat{f} : W(X^\pm) \rightarrow G$ . Now we define  $f' : F(X) \rightarrow G$  by

$$f'([m]) = \hat{f}(m)$$

for all  $m \in W(X^\pm)$ . Clearly  $f' \circ u = f$ . We now verify that  $f'$  is well-defined. Let  $m, m' \in F(X)$  be such that  $mRm'$ . It suffices to show that if  $m, m' \in F(X)$  satisfy  $mCm'$ , then  $\hat{f}(m) = \hat{f}(m')$ . Since  $mCm'$ , we have  $m' = n_1xx^{-1}n_2$  and  $m = n_1n_2$  for some  $x \in X^\pm$  and  $n_1, n_2 \in W(X^\pm)$ . Hence

$$f'([m']) = \hat{f}(m') = \hat{f}(n_1xx^{-1}n_2) = \hat{f}(n_1)\hat{f}(x)\hat{f}(x^{-1})\hat{f}(n_2)$$

$$= \hat{f}(n_1)f(x)f(x)^{-1}\hat{f}(n_2) = \hat{f}(n_1)\hat{f}(n_2) = \hat{f}(n_1n_2) = \hat{f}(m) = f'([m]).$$

Since  $F(X)$  is a group, for  $[m], [m'] \in F(X)$ , we have

$$f'([m][m']) = f'([mm']) = \hat{f}(mm') = \hat{f}(m)\hat{f}(m') = f'([m])f'([m']).$$

Thus  $f'$  is a group homomorphism.

The homomorphism  $f'$  is unique because the elements  $[x]$  with  $x \in X$  generate the group  $F(X)$ . ■

**Definition 13.6.** A word in  $W(X^\pm)$  is said to be **reduced** if it is the empty word or of the form  $x_1 \cdots x_n$  with  $x_i \in X^\pm$  for  $1 \leq i \leq n$  and  $x_{i+1} \neq x_i^{-1}$  for  $1 \leq i < n$ .

Note that a word of length 1 is trivially reduced. It is clear that every word in  $W(X^\pm)$  is equivalent to a reduced word. Now we establish the following result which gives us a natural representative for each equivalence class on  $W(X^\pm)$ .

**Theorem 13.7.** *The function*

$$\begin{aligned} f : W(X^\pm) &\rightarrow F_X, \\ m &\mapsto [m] \end{aligned}$$

*induces a bijection between the set of reduced words in  $W(X^\pm)$  and  $F(X)$ .*

**Proof.** Clearly  $f$  is surjective. To prove injectivity, for each  $x \in X^\pm$ , we define  $L_x : W(X^\pm) \rightarrow W(X^\pm)$  by

$$L_x(m) = \begin{cases} xm & \text{if } m \text{ does not start with } x^{-1}, \\ n & \text{if } m = x^{-1}n \text{ for a unique word } n. \end{cases}$$

Let  $\Omega \subseteq \text{Words}(X^\pm)$  denote the set of reduced words. Observe that:

- if  $m \in \Omega$ , then  $L_x(m) \in \Omega$ .
- $L_{x^{-1}}(L_x(m)) = m$  for any  $m \in \Omega$ . Indeed, if  $m = x^{-1}n$  we have  $L_{x^{-1}}(L_x(m)) = L_{x^{-1}}(n) = x^{-1}n = m$  since  $n$  does not start with  $x$ ; if  $m$  does not start with  $x^{-1}$  we have  $L_{x^{-1}}(L_x(m)) = L_{x^{-1}}(xm) = m$ .

By the observations above, the set  $S_\Omega := \{L_x : \Omega \rightarrow \Omega \mid x \in X^\pm\}$  forms a group under function composition. This induces a set function

$$g : X^\pm \rightarrow S_\Omega,$$

$$x \mapsto L_x.$$

By the universal property, there exists a group homomorphism  $g' : F(X) \rightarrow S_\Omega$  such that  $g'([x]) = L_x$  for all  $x \in X$ . Since  $[x]^{-1} = [x^{-1}]$  and  $(L_x)^{-1} = L_{x^{-1}}$  for all  $x \in X$ , we get  $g'([x]) = L_x$  for all  $x \in X^\pm$ .

Let  $m = x_1 \cdots x_r \in \Omega$ . We have  $[m] = [x_1] \cdots [x_r]$  in  $F(X)$ , and thus  $g'([m]) = L_{x_1} \circ \cdots \circ L_{x_r}$ . Since  $x_i \neq x_{i-1}^{-1}$  for  $1 < i \leq r$ , we obtain

$$g'([m])(1) = (L_{x_1} \circ \cdots \circ L_{x_r})(1) = (L_{x_1} \circ \cdots \circ L_{x_{r-1}})(x_r) = \cdots = x_1 \cdots x_r = m.$$

Suppose that  $f(m) = f(m')$  where  $m, m' \in \Omega$ . Then  $[m] = [m']$ . Applying  $g'$  on this equation gives  $g'([m]) = g'([m'])$ . In particular, we have  $m = g'([m])(1) = g'([m'])(1) = m'$ . ■

**Remark.** One can also define  $F(X)$  as the set of all reduced words. The operation on  $F(X)$  is roughly defined as concatenation followed by discarding the pair  $xx^{-1}$  in words if any.

**Example 13.8.** If  $X = \emptyset$ , we have  $F(X) = \{1\}$ .

If  $X = \{x\}$ , then the set of reduced words on  $X^\pm$  is  $\{x^n \mid n \in \mathbb{Z}\}$ . By Theorem 13.7, we have an isomorphism  $\mathbb{Z} \rightarrow F(X)$ ,  $n \mapsto [x^n]$ .

If  $|X| \geq 2$ , the group  $F(X)$  is nonabelian because for any distinct elements  $a, b \in X$ , the reduced words  $ab$  and  $ba$  are distinct.

### 13.2.2 Construction from Term Algebras: a Viewpoint from Universal Algebra

**Definition 13.9.** Let  $X$  be a set. A **group-theoretic term** is a finite string of symbols from  $X$  using formal group operations  $\cdot$ ,  $e$  and  $\iota$ , where parentheses are introduced among the symbols.

**Definition 13.10.** The set of all **group-theoretic terms** in the elements of  $X$  under the formal group operations  $\cdot$ ,  $e$ ,  $\iota$  is a set  $T$  satisfying the following: There are some functions

$$\text{symb}_T : X \rightarrow T, \quad \cdot_T : T \times T \rightarrow T, \quad e_T : T^0 \rightarrow T, \quad \text{and} \quad \iota_T : T \rightarrow T,$$

where  $T^0$  is a set of one distinguished element, such that

- (i) each of these maps is one-to-one;
- (ii) their images are disjoint and  $T$  is the union of those images;

- (iii)  $T$  is generated by  $\text{symb}_T(X)$  under the operations  $\cdot_T$ ,  $e_T$ , and  $\iota_T$ ;
- (iv)  $T$  has no proper subset which contains  $\text{symb}_T(X)$  and is closed under those operations.

Now we construct a free group on a given set  $X$ . Let  $T$  be the set of all group-theoretic terms in the elements of  $X$  under  $\cdot$ ,  $\iota$ ,  $e$ . Let  $\sim$  be the **smallest** relation on  $T$  that satisfy:

(Group axioms)

$$(\forall p, q, r \in T) \quad (p \cdot q) \cdot r \sim p \cdot (q \cdot r), \quad (\text{G1})$$

$$(\forall p \in T) \quad (p \cdot e \sim p) \wedge (e \cdot p \sim p), \quad (\text{G2})$$

$$(\forall p \in T) \quad (p \cdot p^{-1} \sim e) \wedge (p^{-1} \cdot p \sim e). \quad (\text{G3})$$

(Compatibility)

$$(\forall p, p', q \in T) \quad (p \sim p') \implies ((p \cdot q \sim p' \cdot q) \wedge (q \cdot p \sim q \cdot p')), \quad (\text{C1})$$

$$(\forall p, p' \in T) \quad (p \sim p') \implies (p^{-1} \sim p'^{-1}). \quad (\text{C2})$$

(Equivalence relations)

$$(\forall p \in T) \quad p \sim p, \quad (\text{R1})$$

$$(\forall p, q \in T) \quad (p \sim q) \implies (q \sim p), \quad (\text{R2})$$

$$(\forall p, q, r \in T) \quad ((p \sim q) \wedge (q \sim r)) \implies (p \sim r). \quad (\text{R3})$$

This smallest relation on  $T$  can be constructed by forming the intersection of all relations on  $T$  satisfying the conditions above. By (R1)–(R3), the relation  $\sim$  is an equivalence relation. Let  $F$  be the equivalence classes of  $\sim$ , i.e.,

$$F = \frac{T}{\sim} = \{[p] \mid p \in T\}.$$

Let  $u : X \rightarrow F$  be the function defined by

$$u(x) = [x].$$

Define operation  $\cdot$ ,  $^{-1}$  and  $e$  on  $F$  by

$$[p] \cdot [q] = [p \cdot q].$$

Then the operation is well-defined by (??). It can be verified that  $[e]$  is the identity

and  $[p]^{-1} = [p^{-1}]$  for all  $p \in T$ . It follows that  $F$  is a group by (G1)–(G3). Now we claim that  $(F, u)$  satisfies the universal property.

### 13.2.3 Crazy Construction from Direct Products: Only Serge Lang's Favourite

## 13.3 Group Presentations

Let  $X$  be a set and let  $F(X)$  be the free group on  $X$ . First we note that for any subset  $R$  of  $F(X)$ , there is a smallest normal subgroup of  $F(X)$  containing  $R$ , namely  $\bigcup_{x \in F(X)} xRx^{-1}$ . We denote it by  $\langle\langle R \rangle\rangle$ .

**Definition 13.11.** Let  $X$  be a set and let  $R$  be a subset of  $F(X)$ . The quotient group

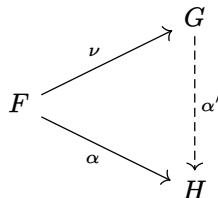
$$\langle X \mid R \rangle := F(X) / \langle\langle R \rangle\rangle$$

is called the **group generated by  $X$  and the relations  $R$** . Let  $G$  be a group. Then  $\langle X \mid R \rangle$  is called a presentation of  $G$  if  $G \cong \langle X \mid R \rangle$ . The elements in  $X$  are called **generators** and the elements of  $R$  are called **relators** of the presentation.

**Remark.** (1) If  $G$  is generated by  $X$  and  $R$ , then we write  $G = \langle X \mid R \rangle$ . Some authors may abuse the notation by referring  $X$  and  $R$  as sets of elements in  $G$  (and hence use the equality).

- (2) It is sometimes convenient to replace  $R$  in  $\langle X \mid R \rangle$  by the set of equations  $\{r = 1 \mid r \in R\}$ , called **defining relations** for  $G$ . A defining relation may even take the form  $u = v$  where  $u, v \in F(X)$ , corresponding to the defining relator  $uv^{-1}$ .
- (3) When  $X = \{x_1, \dots, x_n\}$  and  $R = \{r_1, \dots, r_m\}$ , we write  $\langle X \mid R \rangle = \langle x_1, \dots, x_n \mid r_1 = \dots = r_m = 1 \rangle$ .

**Lemma 13.12.** Let  $F, G$  and  $H$  be groups. Let  $\nu : F \rightarrow G$  and  $\alpha : F \rightarrow H$  be homomorphisms such that  $\text{Im } \nu = G$  and  $\text{Ker } \nu \subseteq \text{Ker } \alpha$ . Then there exists a homomorphism  $\alpha' : G \rightarrow H$  such that  $\alpha' \circ \nu = \alpha$ , i.e., the following diagram commutes.



**Proof.** Define  $\alpha' : G \rightarrow H$  by

$$\alpha'(g) = \alpha(f)$$

where  $f \in F$  such that  $\nu(f) = g$ . Such an  $f$  exists since  $\text{Im } \nu = G$ . We show that  $\alpha'$  is well-defined. Let  $g_1, g_2 \in G$  with  $g_1 = g_2$ . Then there is  $f_1, f_2 \in F$  such that  $\nu(f_1) = g_1$  and  $\nu(f_2) = g_2$ . Hence  $\nu(f_1) = \nu(f_2)$  and  $f_1 f_2^{-1} \in \text{Ker } \nu \subseteq \text{Ker } \alpha$ . Thus we have  $\alpha(f_1) = \alpha(f_2)$ , i.e.,  $\alpha'(g_1) = \alpha'(g_2)$ .

Now let  $g_1, g_2 \in G$  and  $f_1, f_2 \in F$  such that  $\nu(f_1) = g_1$  and  $\nu(f_2) = g_2$ . Since  $\nu$  is a homomorphism, we have  $\nu(f_1 f_2) = \nu(f_1) \nu(f_2) = g_1 g_2$ , and

$$\alpha'(g_1 g_2) = \alpha(f_1 f_2) = \alpha(f_1) \alpha(f_2) = \alpha'(g_1) \alpha'(g_2).$$

This shows that  $\alpha'$  is a homomorphism.

For any  $f \in F$ , we get  $(\alpha' \circ \nu)(f) = \alpha'(\nu(f)) = \alpha(f)$  and hence  $\alpha' \circ \nu = \alpha$ . ■

**Theorem 13.13** (von Dyck's Theorem). *Let  $G$  and  $H$  be groups with presentations  $\langle X \mid R \rangle$  and  $\langle X \mid S \rangle$  respectively such that  $R \subseteq S$ . Then there is an epimorphism  $G \rightarrow H$*

**Proof.** Without loss of generality, assume that  $G = \langle X \mid R \rangle$  and  $H = \langle X \mid S \rangle$ . Let  $\nu : F(X) \rightarrow G$  and  $\alpha : F(X) \rightarrow H$  be the canonical projections. Since  $\text{Im } \nu = G$  and  $\text{Ker } \nu = \langle\langle R \rangle\rangle \subseteq \langle\langle S \rangle\rangle = \text{Ker } \alpha$ , it follows from Lemma 13.12 that there is a homomorphism  $\alpha' : G \rightarrow H$  with  $\alpha' \circ \nu = \alpha$ . To prove the surjectivity of  $\alpha'$ , note that

$$H = \text{Im } \alpha = \text{Im}(\alpha' \nu) = \alpha'(\text{Im } \nu) = \alpha'(G) = \text{Im } \alpha'. \quad \blacksquare$$

**Remark.** By abusing notations we can write  $x$  for  $\nu(x)$  in  $G$  (resp.  $\alpha(x)$  in  $H$ ). Then we see that  $\alpha'(x) = x$  for all  $x \in X$ .

## 13.4 Nielsen-Schreier Theorem

**Theorem 13.14** (Nielsen-Schreier Theorem). *If  $H$  is a subgroup of a free group  $G$ , then  $H$  is free.*

**Main References.** [Lan02; Ber15; Joh97; RS10]



## Part II

# Commutative Ring Theory

## 14 Ideals

**Definition 14.1.** Let  $R$  be a ring. An ideal  $I$  of  $R$  is a subgroup of  $(R, +)$  such that  $AI \subseteq I$ .

## Part III

# Miscellaneous

## 0 Zabalang

This is a collection of all results used in the previous section, but we have not yet learned them in any undergraduate studies.

### 0.1 Freeeee

**Definition 0.1.** Let  $(\mathcal{C}, U)$  be a concrete category, where  $U : \mathcal{C} \rightarrow \mathbf{Set}$  is a forgetful functor. If  $\iota : X \rightarrow UF$  a function from a set  $X$  to the underlying set of an object  $F$  in  $\mathcal{C}$ , then  $F$  is called **free on  $X$**  if for every  $A \in \text{obj } \mathcal{C}$  and map  $f : X \rightarrow UA$ , there exists a unique morphism  $f' : F \rightarrow A$  such that  $Uf' \circ \iota = f$ .

**Remark.** In fact, we call  $\iota$  a **universal arrow** from  $X$  to  $U$ . Note that  $\iota$  is often omitted when introducing a free object, but it must exist. In this case, we shall say the pair  $(F, \iota)$  satisfies the **universal property**. We present the definition of this general concept below.

**Definition 0.2.** If  $S : \mathcal{D} \rightarrow \mathcal{C}$  is a functor and  $c$  an object of  $\mathcal{C}$ , a **universal arrow** from  $c$  to  $S$  is a pair  $(r, u)$  consisting of an object  $r$  of  $\mathcal{D}$  and an arrow  $u : c \rightarrow Sr$  of  $\mathcal{C}$ , such that to every pair  $(d, f)$  with  $d$  an object of  $\mathcal{C}$  and  $f : c \rightarrow Sd$  an arrow of  $\mathcal{C}$ , there is a unique arrow  $f' : r \rightarrow d$  of  $\mathcal{D}$  with  $Sf' \circ u = f$ . In other words, every arrow  $f$  to  $S$  factors uniquely through the universal arrow  $u$ , as in the commutative diagram

$$\begin{array}{ccc}
 c & \xrightarrow{u} & Sr \\
 & \searrow f & \downarrow Sf' \\
 & & Sd
 \end{array}
 \qquad
 \begin{array}{ccc}
 r & & \\
 & \downarrow f' & \\
 d & &
 \end{array}$$

**Proposition 0.3.** Let  $\mathbb{C}$  be a concrete category. If  $F$  and  $F'$  are free objects on sets  $X$  and  $X'$ , respectively with  $|X| = |X'|$ , then  $F \cong F'$ .

### 0.2 ZORN'S LEMMA!!!!

**Definition 0.4.** Let  $(A, \leq)$  be a partially ordered set. An element  $a \in A$  is **maximal** in  $A$  if for every  $c \in A$  which is comparable to  $a$ ,  $c \leq a$ ; in other words, for all  $c \in A$ ,  $a \leq c \Rightarrow a = c$

**Definition 0.5.** An **upper bound** of a nonempty subset  $B$  of  $A$  is an element  $d \in A$  such that  $b \leq d$  for every  $b \in B$ . A nonempty subset  $B$  of  $A$  that is linearly ordered by  $\leq$  is called a **chain** in  $A$ .

**Remark.** Note that if  $a$  is maximal, it need not be the case that  $c \leq a$  for all  $c \in A$  (there may exist  $c \in A$  that are not comparable to  $a$ ). Furthermore, a given set may have many maximal elements or none at all (for example,  $\mathbb{Z}$  with its usual ordering).

**Lemma 0.6** (Zorn's Lemma). *If  $A$  is a nonempty partially ordered set such that every chain in  $A$  has an upper bound in  $A$ , then  $A$  contains a maximal element.*

## 0.3 BILA NAK COUNTABLE OR UNCOUNTABLE, PENANG LIH

### 0.3.1 How We Actually Define the “Size” of a Set

**Definition 0.7.** Let  $S$  and  $T$  be sets.

- (i) Two sets  $S$  and  $T$  have the same **cardinality**, written

$$|S| = |T|$$

if there is a bijective function (a **one-to-one correspondence**) between the sets.

- (ii) If  $S$  is in one-to-one correspondence with a **subset** of  $T$ , then we write

$$|S| \leq |T|.$$

- (iii) If  $S$  is in one-to-one correspondence with a **proper subset** of  $T$  but **not all** of  $T$ , then we write

$$|S| < |T|.$$

The second condition is necessary, since, for instance,  $\mathbb{N}$  is in one-to-one correspondence with a proper subset of  $\mathbb{Z}$  and yet  $\mathbb{N}$  is also in one-to-one correspondence with  $\mathbb{Z}$  itself. Hence  $|\mathbb{N}| = |\mathbb{Z}|$ .

**Definition 0.8.** (i) A set is **finite** if it can be put in one-to-one correspondence with a set of the form  $\mathbb{Z}_n = \{0, 1, \dots, n-1\}$ , for some nonnegative integer  $n$ . A set that is not finite is **infinite**. The **cardinal number** (or **cardinality**) of a finite set is just the number of elements in the set.

- (ii) The **cardinal number** of the set  $\mathbb{N}$  of natural numbers is  $\aleph_0$ .

- (iii) Any set with cardinality  $\aleph_0$  is called a **countably infinite** set and any finite or countably infinite set is called a **countable** set. An infinite set that is not countable is said to be **uncountable**.

### 0.3.2 Tools to “Compare the Size”

**Theorem 0.9** (Schröder–Bernstein theorem). *For any sets  $S$  and  $T$ ,*

$$|S| \leq |T| \text{ and } |T| \leq |S| \Rightarrow |S| = |T|.$$

**Theorem 0.10** (Cantor’s Theorem). *If  $\mathcal{P}(S)$  denotes the power set of  $S$ , then*

$$|S| < |\mathcal{P}(S)|.$$

**Theorem 0.11.** *If  $\mathcal{P}_0(S)$  denotes the set of all finite subsets of  $S$  and if  $S$  is an infinite set, then*

$$|S| = |\mathcal{P}_0(S)|.$$

### 0.3.3 Cardinal Arithmetic Is Strange but Not Weirdo

**Definition 0.12.** Let  $\kappa$  and  $\lambda$  denote cardinal numbers. Let  $S$  and  $T$  be disjoint sets for which  $|S| = \kappa$  and  $|T| = \lambda$ .

- (i) The **sum**  $\kappa + \lambda$  is the cardinal number of  $S \cup T$ .
- (ii) The **product**  $\kappa\lambda$  is the cardinal number of  $S \times T$ .
- (iii) The **power**  $\kappa^\lambda$  is the cardinal number of  $S^T$ , where  $S^T$  is the set of all functions from  $T$  to  $S$ .

**Theorem 0.13.** *Let  $\kappa$ ,  $\lambda$  and  $\mu$  be cardinal numbers. Then the following properties hold.*

(i) (*Associativity*)

$$\begin{aligned}\kappa + (\lambda + \mu) &= (\kappa + \lambda) + \mu, \\ \kappa(\lambda\mu) &= (\kappa\lambda)\mu.\end{aligned}$$

(ii) (*Commutativity*)

$$\begin{aligned}\kappa + \lambda &= \lambda + \kappa, \\ \kappa\lambda &= \lambda\kappa.\end{aligned}$$

(iii) (*Distributivity*)

$$\kappa(\lambda + \mu) = \kappa\lambda + \kappa\mu.$$

(iv) (*Properties of Exponents*)

$$\kappa^{\lambda+\mu} = \kappa^\lambda \kappa^\mu,$$

$$(\kappa^\lambda)^\mu = \kappa^{\lambda\mu},$$

$$(\kappa\lambda)^\mu = \kappa^\mu \lambda^\mu.$$

**Theorem 0.14.** *Let  $\kappa$  and  $\lambda$  be cardinal numbers, at least one of which is infinite. Then*

$$\kappa + \lambda = \kappa\lambda = \max\{\kappa, \lambda\}.$$

**Theorem 0.15.** *Let  $\{A_k \mid k \in K\}$  be a collection of sets, indexed by the set  $K$ , with  $|K| = \kappa$ . If  $|A_k| \leq \lambda$  for all  $k \in K$ , then*

$$\left| \bigcup_{k \in K} A_k \right| \leq \lambda\kappa.$$

**Theorem 0.16.** *Let  $\kappa$  be any cardinal number.*

(i) *If  $|S| = \kappa$ , then  $|\mathcal{P}(S)| = 2^\kappa$ .*

(ii)  $\kappa < 2^\kappa$ .

(iii) *If  $\kappa < \aleph_0$ , then  $\kappa$  is a natural number.*

**Remark.** There is a reason why we use  $2^\kappa$ . In fact  $|\mathbb{R}|$ , is equal to  $2^{\aleph_0}$ . This means we can use  $\aleph_0$  for the size of countable sets and  $2^{\aleph_0}$  for the size of uncountable sets.

**Theorem 0.17.** *The following results hold for  $\aleph_0$ .*

(i) *Addition applied a countable number of times to the cardinal number  $\aleph_0$  does not yield anything more than  $\aleph_0$ , i.e.,*

$$\aleph_0 \cdot \aleph_0 = \aleph_0.$$

(ii) *Multiplication applied a **finite number** of times to the cardinal number  $\aleph_0$  does not yield anything more than  $\aleph_0$ , i.e., for any positive integer  $n \in \mathbb{N}$ ,*

$$\aleph_0^n = \aleph_0.$$

*The result is not true when we apply a countable number of times. More precisely,*

$$\aleph_0^{\aleph_0} = 2^{\aleph_0}.$$

(iii) *Addition and multiplication applied a countable number of times to the cardinal number  $2^{\aleph_0}$  does not yield more than  $2^{\aleph_0}$ , i.e.,*

$$\begin{aligned}\aleph_0 \cdot 2^{\aleph_0} &= 2^{\aleph_0}, \\ (2^{\aleph_0})^{\aleph_0} &= 2^{\aleph_0}.\end{aligned}$$

### 0.3.4 All the Facts That Make You a Weirdo

The following results hold.

- (1)  $|\mathbb{N}| = |\mathbb{Z}| = |\mathbb{Q}| = \aleph_0$ .
- (2)  $|\mathbb{R}| = 2^{\aleph_0}$ .
- (3) We can “translate” everything from theorems above to some statements. The following are some examples.
  - (i) The power set of a countable set is uncountable.
  - (ii) The power set of an uncountable set is uncountable.
  - (iii) The set of all finite subsets of an uncountable set is uncountable.
  - (iv) A countable union of countable sets is countable.
  - (v) The set of functions from a countable set to a countable set is uncountable.
  - (vi) The set of functions from a countable set to an uncountable set is uncountable.

## 0.4 Ordinal was not invented by ordinary people

It wasn't ordinary people, and it wasn't OVALTINE.

### 0.4.1 How we actually “order” elements

**Definition 0.18.** A binary relation  $<$  on a set  $P$  is a **partial ordering** of  $P$  if:

- (i)  $p \not< p$  for any  $p \in P$ ;
  - (ii) if  $p < q$  and  $q < r$ , then  $p < r$ .
- $(P, <)$  is called a **partially ordered set**. A partial ordering  $<$  of  $P$  is a **linear ordering** if moreover
- (iii)  $p < q$  or  $p = q$  or  $q < p$  for all  $p, q \in P$ .

## 0.4.2 Elements are always ordered nicely, not nicely, whatever

**Definition 0.19.** A linear ordering  $<$  of a set  $P$  is a **well-ordering** if every nonempty subset of  $P$  has a least element.

**Theorem 0.20** (Well-Ordering Theorem). *Every set can be well-ordered.*

## 0.4.3 One, two, three, ... OMEGA!

**Definition 0.21.** A set  $T$  is **transitive** if every element of  $T$  is a subset of  $T$ .

**Definition 0.22.** A set is an **ordinal number** (an **ordinal**) if it is transitive and well-ordered by  $\in$ .

We shall denote ordinals by lowercase Greek letters  $\alpha, \beta, \gamma, \dots$ . The class of all ordinals is denoted by  $\text{Ord}$ .

**Proposition 0.23.** *Define*

$$\alpha < \beta \quad \text{if and only if} \quad \alpha \in \beta.$$

*Then we have the following results.*

- (i)  $<$  is a linear ordering of the class  $\text{Ord}$ .
- (ii) For each  $\alpha$ ,  $\alpha = \{\beta \mid \beta < \alpha\}$ .
- (iii) If  $C$  is a nonempty class of ordinals, then  $\bigcap C$  is an ordinal,  $\bigcap C \in C$  and  $\bigcap C = \inf C$ .
- (iv) If  $X$  is a nonempty set of ordinals, then  $\bigcup X$  is an ordinal, and  $\bigcup X = \sup X$ .
- (v) For every  $\alpha$ ,  $\alpha \cup \{\alpha\}$  is an ordinal and  $\alpha \cup \{\alpha\} = \inf\{\beta \mid \beta > \alpha\}$ .

We thus define  $\alpha + 1 := \alpha \cup \{\alpha\}$  (the **successor** of  $\alpha$ ) and denote  $\alpha + (n + 1) := (\alpha + n) + 1$  for all  $n \geq 1$ .

**Definition 0.24.** Let  $\alpha$  be an ordinal. If  $\alpha = \beta + 1$  for some ordinal  $\beta$ , then  $\alpha$  is a **successor ordinal**. If  $\alpha$  is not a successor ordinal, then  $\alpha = \sup\{\beta \mid \beta < \alpha\} = \bigcup \alpha$  is called a **limit ordinal**. We also consider  $0$  a limit ordinal and define  $\sup \emptyset = 0$ .

In this fashion, we can generate greater and greater “numbers”:  $\omega, \omega + 1, \omega + 2, \dots, \omega + n, \dots$  for all  $n \in \mathbb{N}$ . A number following all  $\omega + n$  can again be conceived of as a set of all smaller numbers:

$$\omega 2 := \omega + \omega = \{0, 1, 2, \dots, \omega, \omega + 1, \omega + 2, \dots\}.$$

Then we can still generate greater numbers:

$$\begin{aligned}
\omega 2 + 1 &= \omega + \omega + 1 = \{0, 1, 2, \dots, \omega, \omega + 1, \omega + 2, \dots, \omega + \omega\}, \\
\omega 3 &= \omega + \omega + \omega \\
&= \{0, 1, 2, \dots, \omega, \omega + 1, \omega + 2, \dots, \omega + \omega, \omega + \omega + 1, \dots\}, \\
\omega^2 &:= \omega \omega = \{0, 1, 2, \dots, \omega, \omega + 1, \dots, \omega 2, \omega \cdot 2 + 1, \dots, \omega 3, \dots, \omega 4, \dots\}.
\end{aligned}$$

After that the whole thing starts over again:  $\omega^2 + 1, \omega^2 + 2, \dots, \omega^2 + \omega, \omega^2 + \omega + 1, \omega^2 + \omega + 2, \dots, \omega^2 + \omega 2, \omega^2 + \omega 2 + 1, \dots, \omega^2 + \omega 3, \dots, \omega^2 + \omega 4, \dots, \omega^2 2, \dots, \omega^2 3, \dots, \omega^3, \dots, \omega^4, \dots, \omega^\omega, \dots, \omega^{\omega^\omega}, \dots, \omega^{\omega^{\omega^\omega}}, \dots$ . The next one after all that is  $\varepsilon_0$ . Then come  $\varepsilon_0 + 1, \varepsilon_0 + 2, \dots, \varepsilon_0 + \omega, \dots, \varepsilon_0 + \omega 2, \dots, \varepsilon_0 + \omega^2, \dots, \varepsilon_0 + \omega^\omega, \dots, \varepsilon_0 2, \dots, \varepsilon_0 \omega, \dots, \varepsilon_0 \omega^\omega, \dots, \varepsilon_0^2, \dots$ .

#### 0.4.4 Each well ordered set has a unique order type

**Definition 0.25.** If  $(P, <)$  and  $(Q, <)$  are partially ordered sets and  $f : P \rightarrow Q$ , then  $f$  is **order-preserving** if  $x < y$  implies  $f(x) < f(y)$ .

**Definition 0.26.** A bijective function  $f : P \rightarrow Q$  is an **isomorphism** of  $P$  and  $Q$  if both  $f$  and  $f^{-1}$  are order-preserving. In other words, we say that  $(P, <)$  is **isomorphic** to  $(Q, <)$ .

**Theorem 0.27** (Counting Theorem). *Every well-ordered set is isomorphic to a unique ordinal number.*

#### 0.4.5 Playing Dominoes at The Edge of Universe

**Theorem 0.28** (Transfinite Induction). *Let  $P(x)$  be a property. Assume that*

- (i)  $P(0)$  holds.
- (ii)  $P(\alpha)$  implies  $P(\alpha + 1)$  for all ordinals  $\alpha$ .
- (iii) For all limit ordinals  $\alpha \neq 0$ , if  $P(\beta)$  holds for all  $\beta < \alpha$ , then  $P(\alpha)$  holds.

*Then  $P(\alpha)$  holds for all ordinals  $\alpha$ .*

**Main References.** [Rom08; Hal74; Jec03; Osb00; Hun80]



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