Implicit handling of multilayered material substrates in full-wave SCUFF-EM calculations

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$$\epsilon_1, \mu_1$$
 $z=z_1$
 ϵ_2, μ_2
 ϵ_3, μ_3
 $z=z_{N-1}$
 ϵ_{N}, μ_{N}
 ϵ_{N}, μ_{N}
 ϵ_{N}, μ_{N}
 ϵ_{N}, μ_{N}
 ϵ_{N}, μ_{N}

Figure 1: Geometry of the layered substrate. The *n*th layer has relative permittivity and permeability ϵ_n, μ_n , and its lower surface lies at $z = z_n$. The ground plane, if present, lies at $z = z_{\text{GP}}$.

1 Overview

In a previous memo¹ I considered SCUFF-STATIC electrostatics calculations in the presence of a multilayered dielectric substrate. In this memo I extend that discussion to the case of *full-wave* (i.e. nonzero frequencies beyond the quasistatic regime) scattering calculations in the SCUFF-EM core library.

Substrate geometry

As shown in Figure 1, I consider a multilayered substrate consisting of N material layers possibly terminated by a perfectly-conducting ground plane. The uppermost layer (layer 1) is the infinite half-space above the substrate. The nth layer has relative permittivity and permeability ϵ_n , μ_n , and its lower surface lies at $z=z_n$. The ground plane, if present, lies at $z\equiv z_{\rm N}\equiv z_{\rm GP}$. If the ground plane is absent, layer N is an infinite half-space² ($z_{\rm N}=-\infty$).

Mechanics of implementation in Scuff-em

The mechanics of implementing support for multilayer substrates in SCUFF-EM boils down to a two-step process:

• Devise a numerical scheme for computing the substrate contribution $\mathcal{G}^{\text{subs}}$ to the full dyadic Green's function (DGF) $\mathcal{G} \equiv \mathcal{G}^0 + \mathcal{G}^{\text{subs}}$. The quantity $\mathcal{G}(\mathbf{x}, \mathbf{x}')$ describes the fields at \mathbf{x} due to point sources at \mathbf{x}' , including both the direct contributions that are present in the absence of the substrate (\mathcal{G}^0) and the contributions due to scattering from the substrate $(\mathcal{G}^{\text{subs}})$.

¹ "Implicit handling of multilayered dielectric substrates in SCUFF-STATIC"

 $^{^2}$ As in the electrostatic case, this means that a finite-thickness substrate consisting of N material layers is described as a stack of N+1 layers in which the bottommost layer is an infinite vacuum half-space.

• Incorporate the substrate DGF correction $\mathcal{G}^{\text{subs}}$ into the existing SCUFF-EM framework for evaluating 4-dimensional integrals of the form $\langle \mathbf{b}_{\alpha} | \mathcal{G} | \mathbf{b}_{\beta} \rangle$ where $\mathbf{b}_{\alpha,\beta}$ are RWG basis functions. To achieve reasonable efficiency, this will require combining a variety of numerical computational techiques, including look-up tables, interpolation schemes, and asymptotic expansions.

In this memo I will discuss methods for attacking both of these challenges.

2 Evaluation of substrate Green's-function correction

My calculation of $\boldsymbol{\mathcal{G}}^{\mathrm{subs}}$ proceeds in two stages:

- Obtain expressions for the 2D Fourier transform of the substrate GF correction, $\widetilde{\mathcal{G}}^{\text{subs}}(\mathbf{q})$. I consider two separate ways of doing this:
 - By resolving the fields radiated by point sources into superpositions of plane waves, then considering the reflection of each individual plane wave from the multilayer substrate (Section 2.1).
 - By using surface-integral-equation methods to solve a scattering problem to determine effective surface-current densities induced on material interfaces by radiating point sources (Section 2.3).
- Evaluate the 2D integrals over the \mathbf{q} variable to effect the inverse Fourier transform from momentum space to real space, $\widetilde{\mathcal{G}}^{\mathrm{subs}}(\mathbf{q}) \to \mathcal{G}^{\mathrm{subs}}(\rho)$. This calculation proceeds in two stages:
 - Use the known structure of $\widetilde{\mathcal{G}}(\mathbf{q})$ to reduce 2D integrals over \mathbf{q} to 1D integrals over $q = |\mathbf{q}|$ (Section ??).
 - Devise numerical quadrature methods for evaluating the q integrals, including efficient and accurate handling of integrable singularities and undamped oscillatory integrands (Section ??).

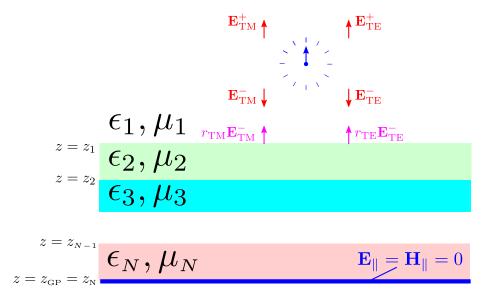


Figure 2: Plane-wave-decomposition strategy for handling multilayer substrate. The fields radiated by a point source (blue) above the substrate are decomposed as a superposition of upward- and downward-traveling plane waves, including both TE- and TM-polarized waves. The downward-traveling waves are reflected at the substrate surface with the usual polarization-dependent Fresnel reflection coefficients $r_{\rm TE,TM}$, and the fields contributed by these reflected waves yield the correction to the free-space Green's function substrate.

2.1 Derivation of momentum-space DGF, take 1: Planewave (Fresnel) scattering picture)

One way of computing the substrate DGF correction, cartooned in Figure 2, is to think of the fields radiated by a point source above the substrate (blue) as a superposition of plane waves, including both TE- and TM-polarized plane waves (red). The upward-traveling waves radiated by the source ($\mathbf{E}_{\text{TE,TM}}^+$) simply radiate away to infinity and do not interact with the substrate, but the downward-traveling waves ($\mathbf{E}_{\text{TE,TM}}^-$) reflect from the substrate with polarization-dependent reflection coefficients $r_{\text{TE,TM}}$ to yield upward-traveling waves (purple) which constitute the substrate correction to the DGF.

An advantage of this approach is that it subsumes all details of the substrate into the reflection coefficients $r_{\text{TE,TM}}$. For simple substrates consisting of one or more homogeneous isotropic material layers these are easily computed in closed form, but the DGF approach here is more general and extends easily to cases involving anisotropic, birefringent, and/or chiral media (in which case the usual TE \rightarrow TE and TM \rightarrow TM reflections may be augmented by polarization-mixing terms) and even inhomogeneous substrates of arbitrary complexity for which

the reflection coefficients are known empirically.

2.1.1 Plane-wave decomposition of point-source fields

Note: In what follows, $\mathbf{q} = (q_x, q_y)$ is a two-dimensional vector and we have³

$$q_z \equiv \sqrt{k^2 - |\mathbf{q}|^2}, \qquad \mathbf{q}^{\scriptscriptstyle \mathrm{3D}} \equiv \left(egin{array}{c} q_x \\ q_y \\ \pm q_z \end{array}
ight), \qquad \pm \equiv \left\{ egin{array}{c} +, & z \geq 0 \\ -, & z < 0 \end{array}
ight.$$

For arbitrary \mathbf{q} I define generalized⁴ transverse-electric and transverse-magnetic plane waves propagating with wavevector $\mathbf{q}^{_{^{3D}}}$:

$$\begin{split} \mathbf{E}_{\mathrm{TE}}^{\pm}(\mathbf{x};k;\mathbf{q}) &\equiv E_0 \mathbf{P}(\mathbf{q}) e^{i\mathbf{q}\cdot\boldsymbol{\rho} \pm iq_z z}, \qquad \mathbf{H}_{\mathrm{TE}}^{\pm}(\mathbf{x};k;\mathbf{q}) &\equiv H_0 \overline{\mathbf{P}}(k,\mathbf{q}) e^{i\mathbf{q}\cdot\boldsymbol{\rho} \pm iq_z z} \\ \mathbf{E}_{\mathrm{TM}}^{\pm}(\mathbf{x};k;\mathbf{q}) &\equiv -E_0 \overline{\mathbf{P}}(k,\mathbf{q}) e^{i\mathbf{q}\cdot\boldsymbol{\rho} \pm iq_z z}, \qquad \mathbf{H}_{\mathrm{TM}}^{\pm}(\mathbf{x};k;\mathbf{q}) &\equiv H_0 \mathbf{P}(\mathbf{q}) e^{i\mathbf{q}\cdot\boldsymbol{\rho} \pm iq_z z}, \\ \mathbf{x} &= (\boldsymbol{\rho},z), \qquad E_0 \equiv 1 \text{ volt}/\mu \text{m}, \qquad H_0 \equiv \frac{E_0}{Z_0} \end{split}$$

where \mathbf{P} and $\overline{\mathbf{P}}$ are unit-magnitude polarization vectors with the properties that (1) both \mathbf{P} and $\overline{\mathbf{P}}$ are orthogonal to \mathbf{k}^{3D} , and (2) \mathbf{P} is orthogonal to $\hat{\mathbf{z}}$ (i.e. "transverse").

$$\mathbf{P}(k,\mathbf{q}) \equiv \frac{1}{|\mathbf{q}|} \begin{pmatrix} -q_y \\ q_x \\ 0 \end{pmatrix}, \qquad \overline{\mathbf{P}}(k,\mathbf{q}) \equiv \frac{1}{k} \Big[\mathbf{q}^{\text{\tiny 3D}} \times \mathbf{P}(\mathbf{q}) \Big] = \frac{1}{k|\mathbf{q}|} \begin{pmatrix} \mp q_x q_z \\ \mp q_y q_z \\ q_x^2 + q_y^2 \end{pmatrix}$$

The trick is now to notice that the columns of the 3×3 matrices in equation (24c,d) may be written as linear combinations of \mathbf{P} and $\overline{\mathbf{P}}$. For example, the leftmost column of $\widetilde{\mathbf{G}}\pm$ is

$$\begin{pmatrix} \widetilde{G}_{xx} \\ \widetilde{G}_{yx} \\ \widetilde{G}_{zx} \end{pmatrix} = \frac{1}{k^2} \begin{pmatrix} k^2 - q_x^2 \\ -q_y q_x \\ \mp q_x q_z \end{pmatrix} = -\left(\frac{q_y}{|\mathbf{q}|}\right) \mathbf{P}(\mathbf{q}) \mp \left(\frac{q_x q_z}{k|\mathbf{q}|}\right) \overline{\mathbf{P}}(\mathbf{q}). \tag{1}$$

The fields of an $\hat{\mathbf{x}}$ -directed point electric dipole $\mathbf{p}_0 = p_0 \hat{\mathbf{x}}$ living at \mathbf{x}_S (for "source"), evaluated at point \mathbf{x}_D (for "destination"), then read

$$\mathbf{E}^{\mathrm{E}}\Big(\mathbf{x}_{\mathrm{D}}; \{p_{0}\hat{\mathbf{x}}, \mathbf{x}_{\mathrm{S}}\}\Big) = ikZ \left(\begin{array}{c} G_{xx} \\ G_{yx} \\ G_{zx} \end{array} \right) (-i\omega p_{0})$$

 $^{^3}$ More generally, the symbol \pm here should be understood as sign $(z_{\rm D}-z_{\rm S})$, i.e. it is positive (negative) when the evaluation point lies above (below) the source point.

⁴These are "generalized" plane waves in the sense that q_z is imaginary for sufficiently large \mathbf{q} , in which case the waves are evanescent.

Insert (24b):

$$= (-i\omega p_0)(ikZ) \int \frac{d\mathbf{q}}{(2\pi)^2} \frac{i}{2q_z} \begin{pmatrix} \widetilde{G}_{xx}^{\pm} \\ \widetilde{G}_{yx}^{\pm} \\ \widetilde{G}_{zx}^{\pm} \end{pmatrix} e^{i\mathbf{q}\cdot(\boldsymbol{\rho}_{\mathrm{D}} - \boldsymbol{\rho}_{\mathrm{S}}) + iq_z(z_{\mathrm{D}} + z_{\mathrm{S}})}$$

Insert (1):

$$=\frac{k^2p_0}{\epsilon E_0}\int\frac{d\mathbf{q}}{(2\pi)^2}\bigg\{\underbrace{\left(-\frac{iq_y}{2q_z|\mathbf{q}|}\right)}_{C_{\mathrm{TE}}^{\pm}}\mathbf{E}_{^{\mathrm{TE}}}^{\pm}(\mathbf{x}_{\mathrm{D}}-\mathbf{x}_{\mathrm{S}};k;\mathbf{q}) + \underbrace{\left(\mp\frac{iq_x}{2k|\mathbf{q}|}\right)}_{C_{\mathrm{TM}}^{\mathrm{x};\pm}}\mathbf{E}_{^{\mathrm{TM}}}^{\pm}(\mathbf{x}_{\mathrm{D}}-\mathbf{x}_{\mathrm{S}};k;\mathbf{q})\bigg\}$$

Continuing to play this game for point sources of all possible orientations and expressing the results in terms of the generalized plane waves we defined earlier then yields a full plane-wave decomposition of the fields of point electric dipoles oriented in all three Cartesian directions:

$$\mathbf{E}^{\mathrm{E}}\left(\mathbf{x}_{\mathrm{D}};\left\{p_{0}\hat{\mathbf{x}},\mathbf{x}_{\mathrm{S}}\right\}\right) = \left(\frac{k^{2}p_{0}}{\epsilon_{0}E_{0}}\right) \int \frac{d\mathbf{q}}{(2\pi)^{2}} \left\{C_{x}^{\mathrm{TE}}(\mathbf{q})\mathbf{E}_{\mathrm{TE}}^{\pm}\left(\mathbf{x}_{\mathrm{D}}-\mathbf{x}_{\mathrm{S}};k;\mathbf{q}\right) + C_{x;\pm}^{\mathrm{TM}}(\mathbf{q})\mathbf{E}_{\mathrm{TM}}^{\pm}\left(\mathbf{x}_{\mathrm{D}}-\mathbf{x}_{\mathrm{S}};k;\mathbf{q}\right)\right\}$$
(2a)

$$\mathbf{E}^{\mathrm{E}}\left(\mathbf{x}_{\mathrm{D}};\left\{p_{0}\hat{\mathbf{y}},\mathbf{x}_{\mathrm{S}}\right\}\right) = \left(\frac{k^{2}p_{0}}{\epsilon_{0}E_{0}}\right)\int\frac{d\mathbf{q}}{(2\pi)^{2}}\left\{C_{y}^{\mathrm{TE}}(\mathbf{q})\mathbf{E}_{\mathrm{TE}}^{\pm}\left(\mathbf{x}_{\mathrm{D}}-\mathbf{x}_{\mathrm{S}};k;\mathbf{q}\right) + C_{y;\pm}^{\mathrm{TM}}(\mathbf{q})\mathbf{E}_{\mathrm{TM}}^{\pm}\left(\mathbf{x}_{\mathrm{D}}-\mathbf{x}_{\mathrm{S}};k;\mathbf{q}\right)\right\}$$
(2b)

$$\mathbf{E}^{\mathrm{E}}\left(\mathbf{x}_{\mathrm{D}};\left\{p_{0}\hat{\mathbf{z}},\mathbf{x}_{\mathrm{S}}\right\}\right) = \left(\frac{k^{2}p_{0}}{\epsilon_{0}E_{0}}\right)\int\frac{d\mathbf{q}}{(2\pi)^{2}}\left\{C_{z}^{\mathrm{TE}}(\mathbf{q})\mathbf{E}_{\mathrm{TE}}^{\pm}\left(\mathbf{x}_{\mathrm{D}}-\mathbf{x}_{\mathrm{S}};k;\mathbf{q}\right) + C_{z}^{\mathrm{TM}}(\mathbf{q})\mathbf{E}_{\mathrm{TM}}^{\pm}\left(\mathbf{x}_{\mathrm{D}}-\mathbf{x}_{\mathrm{S}};k;\mathbf{q}\right)\right\}$$
(2c)

where the scalar coefficients are

$$\begin{array}{lclcl} C_x^{\scriptscriptstyle \mathrm{TE}} & = & -\frac{iq_y}{2|\mathbf{q}|q_z} & & C_{x;\pm}^{\scriptscriptstyle \mathrm{TM}} & = & \mp\frac{iq_x}{2k|\mathbf{q}|} \\ C_y^{\scriptscriptstyle \mathrm{TE}} & = & \frac{iq_x}{2|\mathbf{q}|q_z} & & C_{y;\pm}^{\scriptscriptstyle \mathrm{TM}} & = & \mp\frac{iq_y}{2k|\mathbf{q}|} \\ C_z^{\scriptscriptstyle \mathrm{TE}} & = & 0 & & C_z^{\scriptscriptstyle \mathrm{TM}} & = & \frac{i|\mathbf{q}|}{2kq_z}. \end{array}$$

or, in vector form,

$$\mathbf{C}^{\text{\tiny TE}}(k,\mathbf{q}) = -\frac{i}{2a_z} \mathbf{P}(k,\mathbf{q}), \qquad \mathbf{C}^{\text{\tiny TM}}(k,\mathbf{q}) = -\frac{i}{2a_z} \overline{\mathbf{P}}(k,\mathbf{q}). \tag{3}$$

In these equations, the \pm sign is + for evaluation points located above the source $(z > z_0)$ and - for evaluation points located below the source. In particular, assuming the source lies in the *upper* half-space $(z_0 > 0)$, the fields impinging on a dielectric interface at z = 0 involve the - sign.

The units of the source-strength prefactor are (C=charge, V=voltage, L=length)

$$\left[\left(\frac{k^2 p_0}{\epsilon_0 E_0} \right) \right] = \left[k^2 p_0 \right] \left[\epsilon_0 \right]^{-1} \left[E_0 \right]^{-1}$$

$$= \frac{L^{-2} C \cdot L}{C V^{-1} L^{-1} V L^{-1}}$$

$$= \text{length.}$$

2.1.2 Plane-wave decomposition of DGFs

The point of the above decomposition is that each downward-traveling plane wave radiated by a point source above a substrate is reflected from the substrate with the polarization-dependent reflection coefficients $r_{\text{TE,TM}}(k, \mathbf{q})$ characteristic of the substrate, and thus the scattered fields are

$$\mathbf{E}^{\text{scat},x}(\boldsymbol{\rho},z) = \left(\frac{k^{2}p_{0}}{\epsilon_{0}}\right) \int \frac{d\mathbf{q}}{(2\pi)^{2}} \left\{ r_{\text{TE}}(\mathbf{q}) C_{x}^{\text{TE}}(\mathbf{q}) \mathbf{P}(\mathbf{q}) + r_{\text{TM}}(\mathbf{q}) C_{x;-}^{\text{TM}}(\mathbf{q}) \overline{\mathbf{P}}(\mathbf{q}) \right\} e^{i\mathbf{q}\cdot\boldsymbol{\rho} + iq_{z}(z_{\text{D}} + z_{\text{S}})}$$

$$(4a)$$

$$\mathbf{E}^{\text{scat},y}(\boldsymbol{\rho},z) = \left(\frac{k^{2}p_{0}}{\epsilon_{0}}\right) \int \frac{d\mathbf{q}}{(2\pi)^{2}} \left\{ r_{\text{TE}}(\mathbf{q}) C_{y}^{\text{TE}}(\mathbf{q}) \mathbf{P}(\mathbf{q}) + r_{\text{TM}}(\mathbf{q}) C_{y;-}^{\text{TM}}(\mathbf{q}) \overline{\mathbf{P}}(\mathbf{q}) \right\} e^{i\mathbf{q}\cdot\boldsymbol{\rho} + iq_{z}(z_{\text{D}} + z_{\text{S}})}$$

$$(4b)$$

$$\mathbf{E}^{\mathrm{scat},\mathbf{z}}(\boldsymbol{\rho},z) = \left(\frac{k^2 p_0}{\epsilon_0}\right) \int \frac{d\mathbf{q}}{(2\pi)^2} \left\{ r_{\mathrm{TE}}(\mathbf{q}) C_z^{\mathrm{TE}}(\mathbf{q}) \mathbf{P}(\mathbf{q}) + r_{\mathrm{TM}}(\mathbf{q}) C_{z;-}^{\mathrm{TM}}(\mathbf{q}) \overline{\mathbf{P}}(\mathbf{q}) \right\} e^{i\mathbf{q}\cdot\boldsymbol{\rho} + iq_z(z_{\mathrm{D}} + z_{\mathrm{S}})}$$

$$(4c)$$

The substrate contribution to the electric-electric DGF is related to the scattered **E**-field according to $\mathbf{\mathcal{G}}^{\text{EE}} = \frac{1}{-i\omega p_0}\mathbf{E}^{\text{scat}}$, or

$$\mathcal{G}_{ij}^{\text{EE}}(\boldsymbol{\rho}, z_{\text{D}}, z_{\text{S}}) = ikZ \int \frac{d\mathbf{q}}{(2\pi)^2} \bigg\{ r_{\text{TE}}(\mathbf{q}) C_{\text{TE}}^{j}(\mathbf{q}) P_i(\mathbf{q}) + r_{\text{TM}}(\mathbf{q}) C_{\text{TM}}^{j;-}(\mathbf{q}) \overline{P}_i(\mathbf{q}) \bigg\} e^{i\mathbf{q}\cdot\boldsymbol{\rho} + iq_z(z_{\text{D}} + z_{\text{S}})}$$
(5a)

or, using (3),

$$= \frac{kZ}{2} \int \frac{d\mathbf{q}}{(2\pi)^2 q_z} \left\{ r_{\text{TE}}(\mathbf{q}) P_i(\mathbf{q}) P_j(\mathbf{q}) + r_{\text{TM}}(\mathbf{q}) \overline{P}_i(\mathbf{q}) \overline{P}_j(\mathbf{q}) \right\} e^{i\mathbf{q} \cdot \boldsymbol{\rho} + iq_z(z_D + z_S)}. \tag{5b}$$

We get $G^{\text{\tiny ME}}$ by making the replacements $\{P, \overline{P}\} \to \frac{1}{Z} \{\overline{P}, -P\}$:

$$\mathcal{G}_{ij}^{\text{ME}}(\boldsymbol{\rho}, z) = \frac{k}{2} \int \frac{d\mathbf{q}}{(2\pi)^2 q_z} \left\{ r_{\text{TE}}(\mathbf{q}) \overline{P}_i(\mathbf{q}) P_j(\mathbf{q}) - r_{\text{TM}}(\mathbf{q}) P_i(\mathbf{q}) \overline{P}_j(\mathbf{q}) \right\} e^{i\mathbf{q} \cdot \boldsymbol{\rho} + iq_z(z_D + z_S)}$$
(5c)

Evaluation of q_{θ} integrals

$$\mathcal{G}_{ij}^{\text{EE}}(\boldsymbol{\rho}, z_{\text{D}}, z_{\text{S}}) = \mathcal{G}_{ij}^{\text{EE},\text{TE}}(\boldsymbol{\rho}, z_{\text{D}}, z_{\text{S}}) + \mathcal{G}_{ij}^{\text{EE},\text{TM}}(\boldsymbol{\rho}, z_{\text{D}}, z_{\text{S}})$$

$$\mathcal{G}_{ij}^{\text{EE},\text{TE}}(\boldsymbol{\rho}, z_{\text{D}}, z_{\text{S}}) = \frac{kZ}{2} \int \frac{d\mathbf{q}}{(2\pi)^{2} |\mathbf{q}|^{2} q_{z}} r_{\text{TE}}(q) \begin{pmatrix} q_{y}^{2} & -q_{x}q_{y} & 0\\ -q_{x}q_{y} & q_{x}^{2} & 0\\ 0 & 0 & 0 \end{pmatrix} e^{i\mathbf{q}\cdot\boldsymbol{\rho} + iq_{z}(z_{\text{D}} + z_{\text{S}})}$$

Evaluate the integral in polar coordinates $\mathbf{q} = (q_x, q_y) = q(\cos \theta_{\mathbf{q}}, \sin \theta_{\mathbf{q}})$:

$$\mathcal{G}_{ij}^{\text{EE,TE}} = \frac{kZ}{2} \int_0^\infty \frac{q \, dq}{2\pi q_z} r_{\text{TE}}(q) e^{iq_z(z_{\text{D}} + z_{\text{S}})} \int_0^{2\pi} \frac{d\theta_{\mathbf{q}}}{2\pi} \left(\begin{array}{ccc} \sin^2\theta_{\mathbf{q}} & -\cos\theta_{\mathbf{q}}\sin\theta_{\mathbf{q}} & 0 \\ -\cos\theta_{\mathbf{q}}\sin\theta_{\mathbf{q}} & \cos^2\theta_{\mathbf{q}} & 0 \\ 0 & 0 & 0 \end{array} \right) e^{iqr\cos(\theta_{\mathbf{q}} - \theta_{\boldsymbol{\rho}})}$$

Do the $\theta_{\mathbf{q}}$ integrals to yield Bessel functions:

$$= \frac{kZ}{2} \int_0^\infty \frac{q \, dq}{2\pi q_z} r_{\text{\tiny TE}}(q) e^{iq_z(z_{\text{\tiny D}} + z_{\text{\tiny S}})} \begin{bmatrix} J_1(q\rho) \\ q\rho \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} - J_2(q\rho) \begin{pmatrix} \sin^2\theta_{\rho} & \cos\theta_{\rho}\sin\theta_{\rho} & 0 \\ \cos\theta_{\rho}\sin\theta_{\rho} & \cos^2\theta_{\rho} & 0 \\ 0 & 0 & 0 \end{pmatrix} \end{bmatrix}$$

Similarly, the second term in (6) reads

$$\mathcal{G}_{ij}^{\text{EE,TM}} = \frac{Z}{2k} \int_{0}^{\infty} \frac{q \, dq}{2\pi q_{z}} r_{\text{TM}}(q) e^{iq_{z}(z_{\text{D}} + z_{\text{S}})} \begin{bmatrix} \frac{q_{z}^{2} J_{1}(q\rho)}{q\rho} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} - q_{z}^{2} J_{2}(q\rho) \begin{pmatrix} \cos^{2}\theta_{\rho} & \cos\theta_{\rho}\sin\theta_{\rho} & 0 \\ \cos\theta_{\rho}\sin\theta_{\rho} & \sin^{2}\theta_{\rho} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \\ \mp iqq_{z} J_{1}(q\rho) \begin{pmatrix} 0 & 0 & \cos\theta_{\rho} \\ 0 & 0 & \sin\theta_{\rho} \\ \cos\theta_{\rho} & \sin\theta_{\rho} & 0 \end{pmatrix} + q^{2} J_{0}(q\rho) \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{bmatrix}$$

Next,

$$\mathcal{G}_{ij}^{\text{ME}} = \frac{1}{2} \int_{0}^{\infty} \frac{d\mathbf{q}}{(2\pi)^{2} q_{z}} e^{iq_{z}(z_{\text{D}} + z_{\text{S}})} \left\{ r_{\text{TE}}(q) \begin{pmatrix} \pm q_{z} \cos\theta_{\mathbf{q}} \sin\theta_{\mathbf{q}} & \mp q_{z} \cos^{2}\theta_{\mathbf{q}} & 0 \\ \pm q_{z} \sin^{2}\theta_{\mathbf{q}} & \mp q_{z} \cos\theta_{\mathbf{q}} \sin\theta_{\mathbf{q}} & 0 \\ -q \sin\theta_{\mathbf{q}} & +q \cos\theta_{\mathbf{q}} & 0 \end{pmatrix} \right. \\ \left. + r_{\text{TM}}(q) \begin{pmatrix} \pm q_{z} \cos\theta_{\mathbf{q}} \sin\theta_{\mathbf{q}} & \pm q_{z} \sin^{2}\theta_{\mathbf{q}} & -q \sin\theta_{\mathbf{q}} \\ \mp q_{z} \cos^{2}\theta_{\mathbf{q}} & \mp q_{z} \cos\theta_{\mathbf{q}} \sin\theta_{\mathbf{q}} & +q \cos\theta_{\mathbf{q}} \\ 0 & 0 & 0 \end{pmatrix} \right. \\ \left. \right\}$$

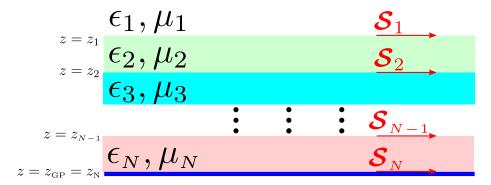


Figure 3: Effective surface-current approach to treatment of multilayer substrate. External field sources induce a distribution of electric and magnetic surface currents $\mathcal{S}_n = \binom{\mathbf{K}_n}{\mathbf{N}_n}$ on the *n*th material interface, and the fields radiated by these effective currents account for the disturbance presented by the substrate.

2.2 Derivation of momentum-space DGF, take 2: Effective surface-current picture

An alternative way to account for the disturbance produced by the substrate is to consider the effective tangential electric and magnetic surface currents ${\bf K}$ and ${\bf N}$ induced on the interfacial layers by the external field sources (Figure 4). This is the direct extension to full-wave problems of the formalism I used in the electrostatic case, and it comports well with the spirit of surface-integral-equation methods.

More specifically, on the material interface layer at $z=z_n$ I have a four-vector surface-current density $\mathcal{S}_n(\rho)$, where $\rho=(x,y)$ and the components of \mathcal{S} are

$$S_n(\boldsymbol{\rho}) = \begin{pmatrix} K_x(\boldsymbol{\rho}) \\ K_y(\boldsymbol{\rho}) \\ N_x(\boldsymbol{\rho}) \\ N_y(\boldsymbol{\rho}) \end{pmatrix}. \tag{7}$$

Fields in layer interiors. I will adopt the convention that the lower (upper) bounding surface for each region is the positive (negative) bounding surface for that region in the usual sense of SCUFF-EM regions and surfaces (in which the sign of a {surface,region} pair $\{S, \mathcal{R}\}$ is the sign with which surface currents on S contribute to fields in R). Thus, at a point $\mathbf{x} = (\boldsymbol{\rho}, z)$ in the interior of layer $n \ (z_{n-1} > z > z_n)$, the six-vector of total fields $\mathcal{F} = \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix}$ reads

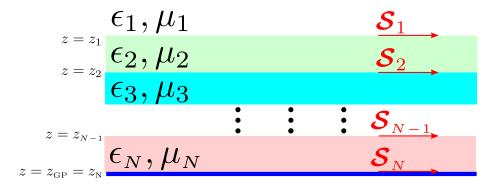


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$$S_n(\boldsymbol{\rho}) = \begin{pmatrix} K_x(\boldsymbol{\rho}) \\ K_y(\boldsymbol{\rho}) \\ N_x(\boldsymbol{\rho}) \\ N_y(\boldsymbol{\rho}) \end{pmatrix}. \tag{8}$$

Fields in layer interiors. I will adopt the convention that the lower (upper) bounding surface for each region is the positive (negative) bounding surface for that region in the usual sense of SCUFF-EM regions and surfaces (in which the sign of a {surface,region} pair $\{S, \mathcal{R}\}$ is the sign with which surface currents on S contribute to fields in R). Thus, at a point $\mathbf{x} = (\boldsymbol{\rho}, z)$ in the interior of layer n $(z_{n-1} > z > z_n)$, the six-vector of total fields $\mathcal{F} = \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix}$ reads

$$\mathcal{F}_n(\boldsymbol{\rho}, z) = -\mathcal{G}^n(z_{n-1}) \star \mathcal{S}_{n-1} + \mathcal{G}^n(z_n) \star \mathcal{S}_n + \mathcal{F}_n^{\text{ext}}(\boldsymbol{\rho}, z)$$
(9)

where $\mathcal{F}_n^{\text{ext}}$ are the externally-sourced (incident) fields due to sources in layer n, \mathcal{G}^n is the homogeneous dyadic Green's function for material layer n, and \star is shorthand for the convolution operation

"
$$\mathcal{F}(\boldsymbol{\rho}, z) \equiv \mathcal{G}(z') \star \mathcal{S}'' \implies \mathcal{F}(\boldsymbol{\rho}, z) = \int \mathcal{G}(\boldsymbol{\rho} - \boldsymbol{\rho}', z - z') \cdot \mathcal{S}(\boldsymbol{\rho}') d\boldsymbol{\rho}'$$
 (10)

where the integral extends over the entire interfacial plane. I will evaluate convolutions of this form using the 2D Fourier representation of \mathcal{G} :

$$\mathbf{\mathcal{G}}^{n}(\boldsymbol{\rho}, z) = \int \frac{d^{2}\mathbf{q}}{(2\pi)^{2}} \widetilde{\mathbf{\mathcal{G}}}^{n}(\mathbf{q}, z) e^{i\mathbf{q}\cdot\boldsymbol{\rho}}$$
(11a)

$$\widetilde{\mathbf{G}}^{n}(\mathbf{q},z) = \frac{1}{2} \begin{pmatrix} -\frac{\omega\mu_{0}\mu_{n}}{q_{zn}}\widetilde{\mathbf{G}}^{\pm} & +\widetilde{\mathbf{C}}^{\pm} \\ -\widetilde{\mathbf{C}}^{\pm} & -\frac{\omega\epsilon_{0}\epsilon_{n}}{q_{zn}}\widetilde{\mathbf{G}}^{\pm} \end{pmatrix} e^{iq_{z}|z|}$$
(11b)

$$\widetilde{\mathbf{G}}^{\pm}(\mathbf{q},k) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} - \frac{1}{k^2} \begin{pmatrix} q_x^2 & q_x q_y & \pm q_x q_z \\ q_y q_x & q_y^2 & \pm q_y q_z \\ \pm q_z q_x & \pm q_z q_y & q_z^2 \end{pmatrix}$$
(11c)

$$\widetilde{\mathbf{C}}^{\pm}(\mathbf{q},k) = \begin{pmatrix}
0 & \mp 1 & +q_y/q_z \\
\pm 1 & 0 & -q_x/q_z \\
-q_y/q_z & +q_x/q_z & 0
\end{pmatrix}$$
(11d)

$$k_n \equiv \sqrt{\epsilon_0 \epsilon_n \mu_0 \mu_n} \cdot \omega, \qquad q_z \equiv \sqrt{k^2 - |\mathbf{q}|^2}, \qquad \pm = \text{sign } z.$$
 (11e)

With this representation, convolutions like (23) become products in Fourier space:

$$\mathcal{G}(z') \star \mathcal{S} = \mathcal{F}(\boldsymbol{\rho}, z) = \int \frac{d^2 \mathbf{q}}{(2\pi)^2} \widetilde{\mathcal{F}}(\mathbf{q}, z) e^{i\mathbf{q}\cdot\boldsymbol{\rho}}, \text{ with } \widetilde{\mathcal{F}}(\mathbf{q}, z) = \widetilde{\mathcal{G}}(\mathbf{q}, z - z') \widetilde{\mathcal{S}}(\mathbf{q})$$

Surface currents from incident fields. To determine the surface currents induced by given incident-field sources, I apply boundary conditions. The boundary condition at $z = z_n$ is that the tangential **E**, **H** fields be continuous: in Fourier space, we have

$$\widetilde{\mathcal{F}}_{\parallel}(\mathbf{q}, z = z_n^+) = \widetilde{\mathcal{F}}_{\parallel}(\mathbf{q}, z = z_n^-)$$
 (12)

The fields just **above** the interface $(z \to z_n^+)$ receive contributions from three sources:

- Surface currents at $z = z_{n-1}$, which contribute with a minus sign and via the Green's function for region n;
- Surface currents at $z = z_n$, which contribute with a plus sign and via the Green's function for region n; and

• external field sources in region n.

The fields just **below** the interface $(z=z_n^-)$ receive contributions from three sources:

- Surface currents at $z = z_n$, which contribute with a minus sign and via the Green's function for region n + 1;
- Surface currents at $z = z_{n+1}$, which contribute with a plus sign and via the Green's function for region n+1; and
- external field sources in region n+1.

Then equation (12) reads

$$\begin{split} & -\widetilde{\boldsymbol{\mathcal{G}}^{n}}_{\parallel}(z_{n}-z_{n-1})\cdot\widetilde{\boldsymbol{\mathcal{S}}}_{n-1}+\widetilde{\boldsymbol{\mathcal{G}}^{n}}_{\parallel}(0^{+})\cdot\widetilde{\boldsymbol{\mathcal{S}}}_{n}+\widetilde{\boldsymbol{\mathcal{F}}}_{n\parallel}^{\mathrm{ext}}(z_{n})\\ & = -\widetilde{\boldsymbol{\mathcal{G}}^{n+1}}\|(0^{-})\cdot\widetilde{\boldsymbol{\mathcal{S}}}_{n}+\widetilde{\boldsymbol{\mathcal{G}}^{n+1}}\|(z_{n}-z_{n+1})\cdot\widetilde{\boldsymbol{\mathcal{S}}}_{n+1}+\widetilde{\boldsymbol{\mathcal{F}}}_{n+1\parallel}^{\mathrm{ext}}(z_{n}) \end{split}$$

Of

$$\mathbf{M}_{n,n-1} \cdot \widetilde{\boldsymbol{\mathcal{S}}}_{n-1} + \mathbf{M}_{n,n} \cdot \widetilde{\boldsymbol{\mathcal{S}}}_n + \mathbf{M}_{n,n+1} \cdot \widetilde{\boldsymbol{\mathcal{S}}}_{n+1} = \widetilde{\boldsymbol{\mathcal{F}}}_{n+1\parallel}^{\mathrm{ext}}(z_n) - \widetilde{\boldsymbol{\mathcal{F}}}_{n\parallel}^{\mathrm{ext}}(z_n) \quad (13)$$

with the 4×4 matrix blocks⁵

$$\mathbf{M}_{n,n-1} = -\widetilde{\mathbf{G}}^n_{\parallel}(z_n - z_{n-1}) \tag{16a}$$

$$\mathbf{M}_{n,n} = +\widetilde{\boldsymbol{\mathcal{G}}^{n}}_{\parallel}(0^{+}) + \widetilde{\boldsymbol{\mathcal{G}}^{n+1}}_{\parallel}(0^{-})$$
 (16b)

$$\mathbf{M}_{n,n+1} = -\widetilde{\mathbf{g}^{n+1}}_{\parallel}(z_n - z_{n+1})$$
 (16c)

Writing down equation (13) equation for all N dielectric interfaces yields a $4N\times 4N$ system of linear equations, with triadiagonal 4×4 block form, relating the surface currents on all layers to the external fields due to sources in all regions:

$$\mathbf{M} \cdot \mathbf{s} = \mathbf{f} \tag{17}$$

$$\mathbf{M}_{n,n} = \sum_{r \in \{n,n+1\}} \frac{1}{2} \begin{pmatrix} -\frac{\omega \epsilon_r}{Z_0 q_{zr}} \mathbf{g}(k_r, \mathbf{q}) & 0\\ 0 & -\frac{\omega \mu_r Z_0}{q_{zr}} \mathbf{g}(k_r, \mathbf{q}) \end{pmatrix}$$
(14)

$$\mathbf{M}_{n,n\pm 1} = \frac{1}{2} \begin{pmatrix} -\frac{\omega \epsilon_r}{Z_0 q_{zr}} \mathbf{g}(k_r, \mathbf{q}) & \mathbf{c}^{\pm} \\ -\mathbf{c}^{\pm} & -\frac{\omega \mu_r Z_0}{q_{zn}^*} \mathbf{g}(k_r, \mathbf{q}) \end{pmatrix} e^{iq_{zr}|z_n - z_{n\pm 1}|}$$
(15)

where I put $r \equiv \begin{cases} n, & \text{for } \mathbf{M}_{n,n-1} \\ n+1, & \text{for } \mathbf{M}_{n,n+1} \end{cases}$. and

$$\mathbf{g}(k;\mathbf{q}) = \mathbf{1} - \frac{\mathbf{q}\mathbf{q}^{\dagger}}{k^2}, \qquad \mathbf{c}^{\pm} = \left(\begin{array}{cc} 0 & \mp 1 \\ \pm 1 & 0 \end{array} \right)$$

⁵The 4×4 M blocks here have 2×2 block structure:

where **M** is the $4N \times 4N$ block-tridiagonal matrix (16) and where the 4N-vectors **s**, **f** read

$$\mathbf{s} = \left(\begin{array}{c} \widetilde{\boldsymbol{\mathcal{S}}}_1 \\ \widetilde{\boldsymbol{\mathcal{S}}}_2 \\ \widetilde{\boldsymbol{\mathcal{S}}}_3 \\ \vdots \\ \widetilde{\boldsymbol{\mathcal{S}}}_N \end{array} \right), \qquad \mathbf{f} = \left(\begin{array}{c} -\widetilde{\boldsymbol{\mathcal{F}}}_{1\parallel}(z_1) + \widetilde{\boldsymbol{\mathcal{F}}}_{2\parallel}(z_1) \\ -\widetilde{\boldsymbol{\mathcal{F}}}_{2\parallel}(z_2) + \widetilde{\boldsymbol{\mathcal{F}}}_{3\parallel}(z_2) \\ -\widetilde{\boldsymbol{\mathcal{F}}}_{3\parallel}(z_3) + \widetilde{\boldsymbol{\mathcal{F}}}_{3\parallel}(z_4) \\ \vdots \\ -\widetilde{\boldsymbol{\mathcal{F}}}_{N-1,\parallel}(z_{N-1}) + \widetilde{\boldsymbol{\mathcal{F}}}_{N\parallel}(z_{N-1}) \end{array} \right).$$

Solving (17) yields the induced surface currents on all layers in terms of the incident fields:

$$\mathbf{s} = \mathbf{W} \cdot \mathbf{f}$$
 where $\mathbf{W} \equiv \mathbf{M}^{-1}$

or, more explicitly,

$$\widetilde{\boldsymbol{\mathcal{S}}}_n = \sum_m W_{nm} \mathbf{f}_m \tag{18}$$

Surface currents induced by point sources

For DGF computations the incident fields arise from a single point source—say, a *j*-directed source in region s. Then the only nonzero length-4 blocks of the RHS vector in (17) are \mathbf{f}_{s-1} , \mathbf{f}_{s} with components ($\ell = \{1, 2, 4, 5\}$)

$$\left(\mathbf{f}_{s-1}\right)_{\ell} = -\widetilde{\mathcal{G}_{\ell j}^{s}}\|(z_{s-1} - z_{s}), \qquad \left(\mathbf{f}_{s}\right)_{\ell} = +\widetilde{\mathcal{G}_{\ell j}^{s}}\|(z_{s} - z_{s})$$
 (19)

and the surface currents on interface layer n are obtained by solving (18):

$$\widetilde{\boldsymbol{\mathcal{S}}}_{n} = \mathbf{W}_{n,s-1} \, \mathbf{f}_{s-1} + \mathbf{W}_{n,s} \, \mathbf{f}_{s}$$

$$= \sum_{n=0}^{1} (-1)^{p+1} \mathbf{W}_{n,s-1+p} \cdot \widetilde{\boldsymbol{\mathcal{G}}}^{s}_{\parallel,j} (z_{s} - z_{s-1+p})$$
(20)

Fields due to surface currents

Given the surface currents induced by a j-directed point source at \mathbf{x}_{S} , I evaluate the fields due to these currents to get DGF components. If the evaluation point \mathbf{x}_{D} lies in region d, then the fields receive contributions from the surface currents at z_{d-1} and z_{D} , propagated by the homogeneous DGF for region d:

$$\begin{split} \widetilde{\mathcal{F}}(z_{\scriptscriptstyle \mathrm{D}}) &= -\widetilde{\mathcal{G}}^d(z_{\scriptscriptstyle \mathrm{D}} - z_{d-1}) \cdot \widetilde{\mathcal{S}}_{d-1} + \widetilde{\mathcal{G}}^d(z_{\scriptscriptstyle \mathrm{D}} - z_{\scriptscriptstyle \mathrm{D}}) \cdot \widetilde{\mathcal{S}}_{d} \\ &= \sum_{q=0}^1 (-1)^{q+1} \widetilde{\mathcal{G}}^d(z_{\scriptscriptstyle \mathrm{D}} - z_{d+q-1}) \cdot \widetilde{\mathcal{S}}_{d+q-1} \end{split}$$

$$\frac{1}{2\pi} \int_0^{2\pi} e^{iq\rho\cos(\theta_q - \theta_\rho)} \left\{ \begin{array}{c} 1 \\ \cos\theta_q \\ \sin\theta_q \\ \cos^2\theta_q \\ \cos\theta_q\sin\theta_q \\ \sin^2\theta_q \end{array} \right\} d\theta_q = \left\{ \begin{array}{c} J_0(q\rho) \\ iJ_1(q\rho)\cos\theta_\rho \\ iJ_1(q\rho)\sin\theta_\rho \\ -J_2(q\rho)\cos^2\theta_\rho + \frac{J_1(q\rho)}{q\rho} \\ -J_2(q\rho)\sin\theta_\rho \\ -J_2(q\rho)\sin^2\theta_\rho + \frac{J_1(q\rho)}{q\rho} \end{array} \right\},$$

Figure 5: Table of integrals used to reduce 2D integrals over \mathbf{q} to 1D integrals over |q|.

(The minus sign in the first term arises because, in my convention, surface currents on the upper surface of a region contribute to the fields in that region with a minus sign). Inserting (20), the i component here—which is the ij component of the substrate DGF—is

$$\widetilde{\mathcal{G}^{\mathrm{subs}}}_{ij}(z_{\mathrm{D}}, z_{\mathrm{S}}) \equiv \widetilde{\mathcal{F}}_{i}(z_{\mathrm{D}}) = \sum_{p, q=0}^{1} (-1)^{p+q} \widetilde{\boldsymbol{\mathcal{G}}^{d}}_{i, \parallel}(z_{\mathrm{D}} - z_{d-1+q}) \mathbf{W}_{d-1+q, s-1+p} \widetilde{\boldsymbol{\mathcal{G}}^{s}}_{\parallel, j}(z_{s-1+p} - z_{\mathrm{S}})$$

Inverse-Fourier-transforming back to real space yields

$$\mathcal{G}^{\text{subs}}(\boldsymbol{\rho}_{\text{D}}, z_{\text{D}}; \boldsymbol{\rho}_{\text{S}}, z_{\text{S}}) = \int \frac{d^2\mathbf{q}}{(2\pi)^2} \widetilde{\mathcal{G}^{\text{subs}}}(\mathbf{q}; z_{\text{D}}; z_{\text{S}}) e^{i\mathbf{q}\cdot(\boldsymbol{\rho}_{\text{D}} - \boldsymbol{\rho}_{\text{S}})}. \tag{21}$$

Special case: DGF for sources above single layer

The simplest case is that in which we have only a single material interface, i.e. the geometry consists of two semi-infinite half-spaces that meet at $z = z_1$. Then the first of Equation (12) decouples into separate 2×2 systems for $\widetilde{\mathbf{K}}$ and $\widetilde{\mathbf{N}}$:

$$\underbrace{\left[-\frac{k_{\mathrm{A}}Z_{0}Z_{\mathrm{A}}}{2q_{z\mathrm{A}}}\mathbf{g}(k_{\mathrm{A}},\mathbf{q}) - \frac{k_{1}Z_{0}Z_{1}}{2q_{z1}}\mathbf{g}(k_{1},\mathbf{q})\right]}_{\mathbf{M}_{\mathrm{K}}}\widetilde{\mathbf{K}} = -\widetilde{\mathbf{E}}_{\parallel 0}^{\mathrm{ext}}(z_{1})$$

$$\underbrace{\left[-\frac{k_{\mathrm{A}}}{2Z_{0}Z_{\mathrm{A}}q_{z\mathrm{A}}}\mathbf{g}(k_{\mathrm{A}},\mathbf{q}) - \frac{k_{1}}{2Z_{0}Z_{1}q_{z1}}\mathbf{g}(k_{1},\mathbf{q})\right]}_{\mathbf{M}_{\mathrm{N}}}\widetilde{\mathbf{N}} = -\widetilde{\mathbf{H}}_{\parallel 0}^{\mathrm{ext}}(z_{1})$$

If the externally-sourced fields are produced by point electric and magnetic sources \mathbf{p}, \mathbf{m} at a point $\mathbf{x}_{\mathrm{S}} = (\boldsymbol{\rho}_{\mathrm{S}}, z_{\mathrm{S}})$ (where "S" stands for "source") above the interface at z_1 , we may insert equation (26) for the RHS here and solve for

 $\widetilde{\mathbf{K}},\widetilde{\mathbf{N}}$:

$$\begin{split} \widetilde{\mathbf{K}} &= + \frac{e^{-i\mathbf{q}\cdot\boldsymbol{\rho}_{\mathrm{S}} + iq_{zA}|z_{1} - z_{\mathrm{S}}|}}{2\omega q_{z}} \Big[ik_{\mathrm{A}}Z_{0}Z_{\mathrm{A}}\mathbf{M}_{\mathrm{K}}^{-1}\widetilde{\mathbf{G}}_{\parallel}^{-}(\mathbf{k}_{\mathrm{A}}, \mathbf{q}) \cdot \mathbf{p} + ik_{\mathrm{A}}\mathbf{M}_{\mathrm{K}}^{-1}\widetilde{\mathbf{C}}_{\parallel}^{-}(\mathbf{k}_{\mathrm{A}}, \mathbf{q}) \cdot \mathbf{m} \Big] \\ \widetilde{\mathbf{N}} &= + \frac{e^{-i\mathbf{q}\cdot\boldsymbol{\rho}_{\mathrm{S}} + iq_{zA}|z_{1} - z_{\mathrm{S}}|}}{2\omega q_{z}} \Big[-ik_{\mathrm{A}}\mathbf{M}_{\mathrm{N}}^{-1}\widetilde{\mathbf{C}}_{\parallel}^{-}(\mathbf{k}_{\mathrm{A}}, \mathbf{q}) \cdot \mathbf{p} + \frac{ik_{\mathrm{A}}}{Z_{0}Z_{\mathrm{A}}}\mathbf{M}_{\mathrm{N}}^{-1}\widetilde{\mathbf{G}}_{\parallel}^{-}(\mathbf{k}_{\mathrm{A}}, \mathbf{q}) \cdot \mathbf{m} \Big] \end{split}$$

${\bf 2.4} \quad {\bf Relation\ between\ plane-wave\ and\ surface-current\ pictures}$

$$\begin{split} r_{\text{\tiny TE}}(q) &= + \frac{2i\omega\mu_0\mu^r}{|q|^2} \frac{\partial}{\partial z_{\text{\tiny D}}} \widetilde{G_{zz}^{\text{\tiny MM}}}(q, z_{\text{\tiny D}}, z_{\text{\tiny S}}) \\ r_{\text{\tiny TM}}(q) &= - \frac{2i\omega}{\epsilon_0\epsilon^r |q|^2} \frac{\partial}{\partial z_{\text{\tiny D}}} \widetilde{G_{zz}^{\text{\tiny EE}}}(q, z_{\text{\tiny D}}, z_{\text{\tiny S}}). \end{split}$$

3 Practice: Running SCUFF-EM calculations with implicit substrates

Mechanically, the process of running full-wave calculations with substrates is the same as for the electrostatic case:

- You write a simple text file, conventionally given file extension .substrate, describing the substrate. (The format, which is the same as that used in SCUFF-STATIC, is described in the following section).
- Then you pass the --SubstrateFile option to scuff-em command-line codes to run calculations in the presence of your multilayered substrate.

3.1 Substrate definition file

The format of the substrate definition file is the same as in the electrostatic case and is repeated here for convenience. Referring to Figure 1, a substrate geometry is specified by

- the z-coordinate of the upper surface of each material layer
- the material properties of each layer
- the z-coordinate of the optional ground plane.

This data is specified to SCUFF-EM in the form of a simple text file (conventionally given file extension .substrate) consisting of one line for each layer plus an optional line specifying the ground plane, of the form

```
z1 Material1
z2 Material2
...
zN MaterialN
zGP GROUNDPLANE
```

where e.g.

- z1 is the z-coordinate of the upper surface of layer 1
- Material1 is a SCUFF-EM material designation describing the material of layer 1
- The optional final line, which invokes the fixed keyword GROUNDPLANE, specifies that a perfectly-conducting ground plane lives at coordinate z=zGP.

If the medium above the uppermost material layer is not vacuum, its material properties may be specified by including a line of the form MEDIUM UpperMaterial.

One difference from the electrostatic case is that for full-wave electromagnetism problems with frequency-dependent materials there is no scale invariance, so the length units are no longer arbitrary. In practice, the default length

units are determined by the particular SCUFF-EM application code you are running: millimeters for SCUFF-RF and microns for all other codes.

Here are some examples of .substrate files:

• An infinite-thickness dielectric slab with $\epsilon_r = 4$ filling the lower half-space:

```
0 CONST_EPS_4
```

 \bullet A silicon slab of thickness 1 length unit whose upper surface is the xy plane:

```
0 SILICON
-1 VACUUM
```

• The same finite-thickness slab as before, but now lying atop a ground plane:

```
O SILICON
-1 GROUNDPLANE
```

 \bullet A unit-thickness layer of SiO₂ atop an infinite-thickness slab of silicon:

```
0 SIO2
-1 SILICON
```

 \bullet A unit-thickness layer of SiO $_2$ at op a thickness-2 layer of silicon:

```
0 SIO2
-1 SILICON
-3 VACUUM
```

• Same as previous item, but now with a ground plane beneath the silicon layer:

```
O SIO2
-1 SILICON
-3 GROUNDPLANE
```

A 2D Fourier (plane-wave) representations of homogeneous dyadic Green's functions

Dyadic Green's functions

In an infinite homogeneous medium with relative permittivity and permeability $\{\epsilon_r(\omega), \mu_r(\omega)\}$, a distribution of electric and magnetic currents described by $\mathcal{S}(\mathbf{x}) = \begin{pmatrix} \mathbf{J}(\mathbf{x}) \\ \mathbf{M}(\mathbf{x}) \end{pmatrix}$ produces electric and magnetic fields $\mathcal{F} = \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix}$ according to

$$\mathcal{F} = \mathcal{G} \star \mathcal{S} \tag{22}$$

where \mathcal{G} is the 6x6 dyadic Green's function for the homogeneous medium and

"
$$\mathcal{F} \equiv \mathcal{G} \star \mathcal{S}'' \implies \mathcal{F}(\mathbf{x}) = \int \mathcal{G}(\mathbf{x} - \mathbf{x}') \cdot \mathcal{S}(\mathbf{x}') d\mathbf{x}'.$$
 (23)

I will evaluate convolutions of this form in 2D Fourier space (plane-wave decomposition): representing the fields and sources in Fourier-synthesized form according to

$$\mathcal{F}(\boldsymbol{\rho},z) = \int \frac{d^2\mathbf{q}}{(2\pi)^2} \widetilde{\mathcal{F}}(\mathbf{q},z) e^{i\mathbf{q}\cdot\boldsymbol{\rho}}, \qquad \mathcal{S}(\boldsymbol{\rho},z) = \int \frac{d^2\mathbf{q}}{(2\pi)^2} \widetilde{\mathcal{S}}(\mathbf{q},z) e^{i\mathbf{q}\cdot\boldsymbol{\rho}},$$

the Fourier components of fields and sources are related by

$$\widetilde{\mathcal{F}}(\mathbf{q},z) = \int dz' \, \widetilde{\mathcal{G}}(\mathbf{q},z-z') \widetilde{\mathcal{S}}(\mathbf{q},z')$$

where

$$\mathcal{G}(\boldsymbol{\rho}, z) = \int \frac{d^2 \mathbf{q}}{(2\pi)^2} \widetilde{\mathcal{G}}(\mathbf{q}, z) e^{i\mathbf{q}\cdot\boldsymbol{\rho}}$$
 (24a)

$$\widetilde{\mathcal{G}}(\mathbf{q}, z) = \frac{i}{2q_z} \begin{pmatrix} ikZ\widetilde{\mathbf{G}}^{\pm} & ik\widetilde{\mathbf{C}}^{\pm} \\ -ik\widetilde{\mathbf{C}}^{\pm} & \frac{ik}{Z}\widetilde{\mathbf{G}}^{\pm} \end{pmatrix} e^{iq_z|z|}$$
(24b)

$$\widetilde{\mathbf{G}}^{\pm}(k,\mathbf{q}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} - \frac{1}{k^2} \begin{pmatrix} q_x^2 & q_x q_y & \pm q_x q_z \\ q_y q_x & q_y^2 & \pm q_y q_z \\ \pm q_z q_x & \pm q_z q_y & q_z^2 \end{pmatrix}$$
(24c)

$$\widetilde{\mathbf{C}}^{\pm}(k,\mathbf{q}) = \frac{1}{k} \begin{pmatrix} 0 & \pm q_z & -q_y \\ \mp iq_z & 0 & q_x \\ q_y & -q_x & 0 \end{pmatrix}$$
(24d)

$$q_z \equiv \sqrt{k^2 - |\mathbf{q}|^2}, \qquad \pm = \text{sign } z.$$
 (24e)

Fields of point-source currents

A point electric dipole of strength \mathbf{p}_0 at a point \mathbf{x}_0 corresponds to a volume electric current distribution of the form

$$\mathbf{J}(\mathbf{x}) = -\frac{\mathbf{p}_0}{i\omega}\delta(\mathbf{x} - \mathbf{x}_0),$$

or, in Fourier space,

$$\mathbf{J}(\mathbf{x}) = \int \frac{d\mathbf{q}}{(2\pi)^2} \widetilde{\mathbf{J}}(\mathbf{q}) e^{i\mathbf{q}\cdot\mathbf{x}}$$

with

$$\widetilde{\mathbf{J}}(\mathbf{q}) = \int d\mathbf{x} \, \mathbf{J}(\mathbf{x}) \, e^{-i\mathbf{q} \cdot \mathbf{x}}$$
$$= -\frac{\mathbf{p}_0}{i\omega} e^{-i\mathbf{q} \cdot \mathbf{x}_0}.$$

Similarly, a point magnetic source of strength \mathbf{m}_0 corresponds to a magnetic current distribution with Fourier components

$$\widetilde{\mathbf{M}}(\mathbf{q}) = -\frac{\mathbf{m}_0}{i\omega} e^{-i\mathbf{q}\cdot\mathbf{x}_0}.$$

The Fourier components of the fields $\mathcal{F} = {E \choose H}$ at height z due to the sources $\mathcal{S} = {K \choose M}$ at height z_0 read

$$\widetilde{\mathcal{F}}(\mathbf{q};z) = \widetilde{\mathcal{G}}(\mathbf{q},z-z_0) \cdot \widetilde{\mathcal{S}}(\mathbf{q},z_0)$$
 (25)

or, from (24b)

$$\begin{pmatrix}
\widetilde{\mathbf{E}}(\mathbf{q}, z) \\
\widetilde{\mathbf{H}}(\mathbf{q}, z)
\end{pmatrix} = \frac{i}{2q_z} \begin{pmatrix}
ikZ\widetilde{\mathbf{G}}^{\pm} & ik\widetilde{\mathbf{C}}^{\pm} \\
-ik\widetilde{\mathbf{C}}^{\pm} & \frac{ik}{Z}\widetilde{\mathbf{G}}^{\pm}
\end{pmatrix} \cdot \begin{pmatrix}
-\frac{1}{i\omega}
\end{pmatrix} \begin{pmatrix}
\mathbf{p}_0 \\
\mathbf{m}_0
\end{pmatrix} e^{-i\mathbf{q}\cdot\mathbf{x}_0 + iq_z|z - z_0|}$$

$$= -\frac{1}{2\omega q_z} \begin{pmatrix}
ikZ\widetilde{\mathbf{G}}^{\pm} & ik\widetilde{\mathbf{C}}^{\pm} \\
-ik\widetilde{\mathbf{C}}^{\pm} & \frac{ik}{Z}\widetilde{\mathbf{G}}^{\pm}
\end{pmatrix} \begin{pmatrix}
\mathbf{p}_0 \\
\mathbf{m}_0
\end{pmatrix} e^{-i\mathbf{q}\cdot\mathbf{x}_0 + iq_z|z - z_0|}. (26)$$

B Plane-wave reflection coefficients for layered material substrates

B.1 Reflection coefficients for a single material interface

If the substrate consists of just a single semi-infinite material layer with relative material properties $\{\epsilon_r, \mu_r\}$ (and vacuum above), then the reflection coefficients in (4) are just the usual Fresnel coefficients:

$$r_{\text{TE}}(\omega, \mathbf{q}) \equiv \frac{\mu_r q_z - q_z'}{\mu q_z + q_z'}, \qquad r_{\text{TM}}(\omega, \mathbf{q}) \equiv \frac{\epsilon_r q_z - q_z'}{\epsilon q_z + q_z'}$$
$$q_z = \sqrt{k_0^2 - |\mathbf{q}|^2}, \qquad q_z' = \sqrt{\epsilon_r \mu_r k_0^2 - \mathbf{q}^2}, \qquad k_0 \equiv \frac{\omega}{c}.$$

B.2 Reflection coefficients for multilayer substrates

C Alternative approach to evaluating RWG integrals

$$\left\langle \mathbf{b}_{\alpha} \middle| \mathcal{G}^{\text{subs}} \middle| \mathbf{b}_{\beta} \right\rangle
= \iint d\mathbf{x}_{\alpha} d\mathbf{x}_{\beta} \, b_{\alpha i}(\mathbf{x}_{\alpha}) \mathcal{G}^{\text{subs}}_{ij}(\mathbf{x}_{\alpha}, \mathbf{x}_{\beta}) b_{\beta j}(\mathbf{x}_{\beta})
= \iint d\mathbf{x}_{\alpha} d\mathbf{x}_{\beta} \, b_{\alpha i}(\mathbf{x}_{\alpha}) \left\{ \int \frac{d\mathbf{q}}{(2\pi)^{2}} \widetilde{\mathcal{G}}^{\text{subs}}_{ij}(\mathbf{q}, z_{\alpha}, z_{\beta}) e^{i\mathbf{q}\cdot(\boldsymbol{\rho}_{\alpha} - \boldsymbol{\rho}_{\beta})} \right\} b_{\beta j}(\mathbf{x}_{\beta}) \quad (27)$$

If both basis functions lie in the uppermost region, I have

$$\begin{split} \widetilde{\mathcal{G}}_{ij}^{\mathrm{subs}}(\mathbf{q}, z_{\alpha}, z_{\beta}) &= \widetilde{\boldsymbol{\mathcal{G}}}_{i, \parallel}^{1}(\mathbf{q}, z_{\alpha} - z_{1}) \cdot \mathbf{W}_{1, 1}(\mathbf{q}) \cdot \widetilde{\boldsymbol{\mathcal{G}}}_{\parallel, j}^{1}(\mathbf{q}, z_{1} - z_{\beta}) \\ &= \widetilde{\boldsymbol{\mathcal{G}}}_{i, \parallel}^{1}(\mathbf{q}) \cdot \mathbf{W}_{1, 1}(\mathbf{q}) \cdot \widetilde{\boldsymbol{\mathcal{G}}}_{\parallel, j}^{1}(\mathbf{q}) e^{iq_{z}(z_{\alpha} + z_{\beta} - 2z_{1})} \end{split}$$

$$\left\langle \mathbf{b}_{\alpha} \middle| \mathcal{G}^{\text{subs}} \middle| \mathbf{b}_{\beta} \right\rangle$$
 (28)

$$= \int \frac{d\mathbf{q}}{(2\pi)^2} \left[\widetilde{\boldsymbol{\mathcal{G}}}_{i\parallel}^1 \cdot \mathbf{W}_{1,1} \cdot \widetilde{\boldsymbol{\mathcal{G}}}_{\parallel j}^1 e^{-2iq_z z_1} \right]$$
 (29)

$$\times \underbrace{\left\{ \int d\mathbf{x}_{\alpha} b_{\alpha i}(\mathbf{x}_{\alpha}) e^{i(\mathbf{q} \cdot \mathbf{x}_{\alpha} + q_{z} z_{\alpha})} \right\}}_{\widetilde{b}_{\alpha i}(\mathbf{q} + q_{z} \hat{\mathbf{z}})} \times \underbrace{\left\{ \int d\mathbf{x}_{\beta} b_{\beta j}(\mathbf{x}_{\beta}) e^{i(-\mathbf{q} \cdot \mathbf{x}_{\beta} + q_{z} z_{\beta})} \right\}}_{\widetilde{b}_{\beta j}(-\mathbf{q} + q_{z} \hat{\mathbf{z}})}$$
(30)

The Fourier transforms of RWG source distributions can be evaluated in closed form, as I worked out previously in my memo on SCUFF-TRANSMISSION. 6 The result is

$$\widetilde{b}(\mathbf{q}) \equiv \int_{\sup \mathbf{b}} \mathbf{b}_{\alpha}(\mathbf{x}) e^{i\mathbf{q} \cdot \mathbf{x}} d\mathbf{x}$$

$$= \ell \sum_{\sigma \in \pm} \sigma e^{i\mathbf{q} \cdot \mathbf{Q}^{\sigma}} \left[f_{u}(\mathbf{q} \cdot \mathbf{A}^{\sigma}, \mathbf{q} \cdot \mathbf{B}) \mathbf{A}^{\sigma} + f_{v}(\mathbf{q} \cdot \mathbf{A}^{\sigma}, \mathbf{q} \cdot \mathbf{B}) \mathbf{B} \right]$$

where \mathbf{Q}^{\pm} are the source/sink vertices of the RWG function, $\mathbf{A}^{\pm} \equiv \mathbf{V}_1 - \mathbf{Q}^{\pm}$ and $\mathbf{B} = \mathbf{V}_2 - \mathbf{V}_1$ where $\mathbf{V}_{1,2}$ are the endpoints of the interior edge of the RWG function, ℓ is its length, and

$$\left\{ \begin{array}{c} f_u(x,y) \\ f_v(x,y) \end{array} \right\} \equiv \int_0^1 du \, \int_0^u dv \, \left\{ \begin{array}{c} u \\ v \end{array} \right\} e^{i(ux+vy)}.$$

 $^{^6 \}verb|http://homerreid.github.io/scuff-em-documentation/tex/scuff-transmission.pdf|$