

# [2024-1 Digital Control]

## Chapter 8. Digital Controller Design

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# Introduction

## 8.2. Control System Specifications

We have learned about  $\left\{ \begin{array}{l} \text{discrete-time} \\ \text{sampled-data} \end{array} \right.$  system, modeled by

### Transfer function

$$G^d(z) = \frac{b_{n-1}z^{n-1} + \cdots + b_0}{z^n + a_{n-1}z^{n-1} + \cdots + a_0}$$

### State-variable model

$$\begin{aligned} \mathbf{x}^d(k+1) &= \mathbf{A}^d \mathbf{x}^d(k) + \mathbf{B}^d u^d(k), \\ y^d(k) &= \mathbf{C}^d \mathbf{x}^d(k) \end{aligned}$$

and the related concepts, such as [stability](#).

In Chapters 8–9, 11, we will study

- ▶ (Chapter 8) [controller design with transfer function models](#), including phase-lag, phase-lead, lag-lead compensators, and PID controllers.
- ▶ (Chapter 9) [controller design with state-variable model](#), including state-feedback control, state observer.
- ▶ (Chapter 11) [optimal controller design](#) for state-feedback control (i.e., best among candidates)

# Types of spec.: Steady-state accuracy

## 8.2. Control System Specifications

**Remind** that for the unit-step input whose  $z$ -transform is computed by

$$R^d(z) = \frac{z}{z-1} = \frac{1}{1-z^{-1}}$$

one has the steady-state error of the closed-loop system as

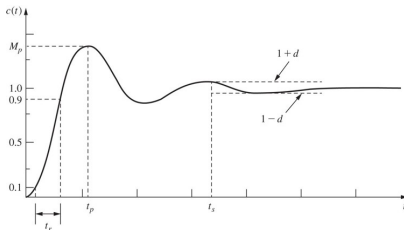
$$\begin{aligned} e_{ss}^d &= \lim_{k \rightarrow \infty} e^d(k) \\ &= \lim_{z \rightarrow 1} (z-1)E^d(z) = \lim_{z \rightarrow 1} \frac{(z-1)R^d(z)}{1+G^d(z)} = \frac{1}{1+\lim_{z \rightarrow 1} G^d(z)} \\ &= \begin{cases} \text{constant} \neq 0, & \text{if } N = 0 \\ 0, & \text{if } N \geq 1 \end{cases} \end{aligned}$$

**Control objective:** Make the steady-state error  $e_{ss}^d$  as small as possible.

# Types of spec.: Transient response

## 8.2. Control System Specifications

**Control objective:** Make the transient response **fast enough** and **have little oscillation**.



Parameters that specify the transient response:

- **Rise time**  $t_r$ : The time required for the step response to rise from 10 percent to 90 percent of the final value.
- **Settling time**  $t_s$ : The time required for the response to settle to within a certain percent of the final value.
- **Time-to-peak overshoot**  $t_p$  and **peak overshoot**  $M_p$

# Types of spec.: Transient response

## 8.2. Control System Specifications

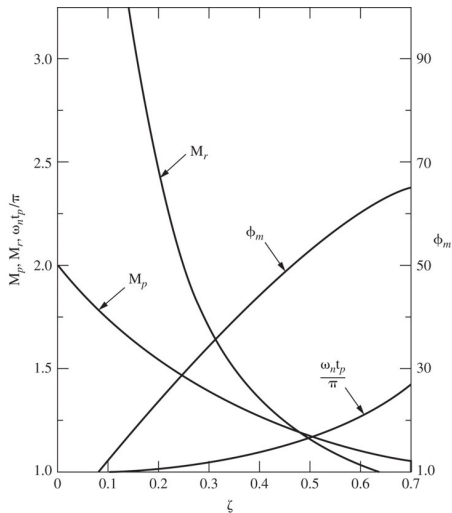
The figure represents the relation between

- ▶ rise time  $t_r$
- ▶ settling time  $t_s$
- ▶ time-to-peak overshoot  $t_p$
- ▶ peak overshoot  $M_p$

of the step response of

$$G_p(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

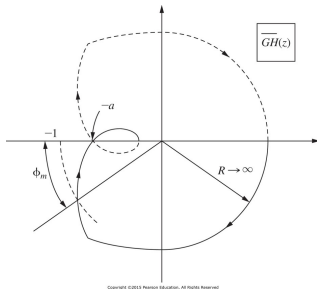
- ▶  $\omega_n$ : natural frequency
- ▶  $\zeta$ : damping ratio.



# Types of spec.: Gain and phase margins

## 8.2. Control System Specifications

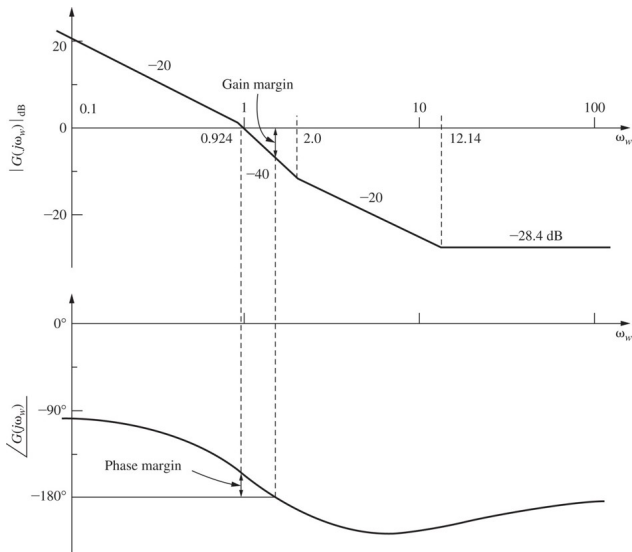
- ▶ **Phase-crossover point** = The point of  $\angle G^d(e^{j\omega T}) = -\pi$ .
- ▶ **Gain-crossover point** = The point of  $|G^d(e^{j\omega T})| = 1$
- ▶ **Gain margin**  $a = -20 \log |G^d(e^{j\omega T})|$  dB at the phase-crossover point
- ▶ **Phase margin**  $\phi_m = \angle G^d(e^{j\omega T}) - (-\pi)$  at the gain-crossover point



**Control objective:** Make the gain and phase margins of the system as large as possible.

# Types of spec.: Gain and phase margins

## 8.2. Control System Specifications



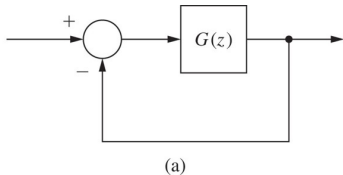
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# Types of spec.: Sensitivity function

## 8.2. Control System Specifications

Consider the closed-loop transfer function given by

$$T^d(z) = \frac{G^d(z)}{1 + G^d(z)}.$$



The sensitivity function of  $T^d$  with respect to  $G^d$  is given by

$$\begin{aligned} S^d(z) &= \frac{\Delta T^d / T^d}{\Delta G^d / G^d} = \frac{\partial T^d}{\partial G^d} \frac{G^d}{T^d} \\ &= \frac{1 + G^d - G^d}{(1 + G^d)^2} \frac{G^d}{G^d / (1 + G^d)} = \frac{1}{1 + G^d(z)}. \end{aligned}$$

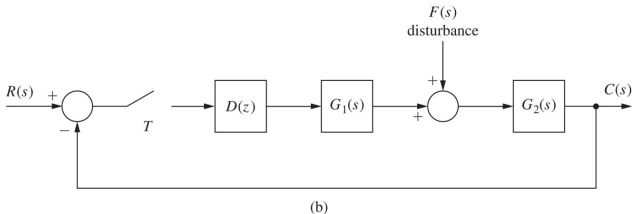
**Control objective:** Make  $|S^d(e^{j\omega T})|$  as small as possible (at least in the frequency range of interest).



# Types of spec.: Disturbance rejection

## 8.2. Control System Specifications

**Disturbance?** A signal generated from an external source that needs to be compensated by a controller.



(b)  
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In the system above, if  $r^d(k) \equiv 0$ , then the output  $C^d(z)$  is computed by

$$C^d(z) = \frac{\overline{G_2 F^d}(z)}{1 + D^d(z) \overline{G_1 G_2^d}(z)}$$

**Control objective:** Make  $|C^d(e^{j\omega T})|$  small enough in the frequency range where the disturbance  $f(t)$  is dominant.

# Types of spec.: Control effort

## 8.2. Control System Specifications

The **control effort** is the input  $u^d(k)$  of the plant used for control of a system.

**Control objective:** Minimize the (squared) **energy** of the control effort

$$J = \int_0^{t_f} |u(t)|^2 dt, \quad \text{in continuous time,}$$

$$\text{or } J = \sum_{i=0}^{N_f} |u^d(i)|^2, \quad \text{in discrete time.}$$

**Note:** A generalization of  $J$  has the form

$$J = \sum_{i=0}^{N_f} \mathbf{x}^d(i)^\top \mathbf{Q} \mathbf{x}^d(i) + u^d(i)^\top \mathbf{R} u^d(i)$$

where  $\mathbf{Q} \geq 0$  and  $\mathbf{R} > 0$ . (We will go further in Chapter 11.)

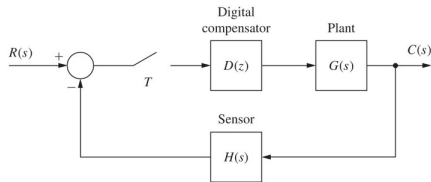
# Two types of compensation

## 8.3. Compensation

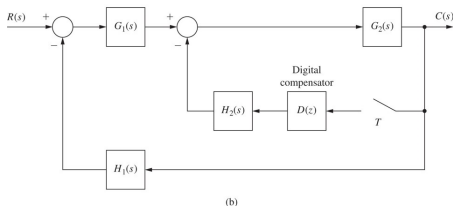
We will design a **digital** controller  $D^d(z)$  (or equivalently, compensator)

- ▶ for single-input single-output systems, and
- ▶ with the **control objectives** above taken into account.

1. **Cascade** (or series) **compensation**:



2. **Feedback** (or parallel) **compensation**:



We for now consider the **first-order compensator**

$$D^d(z) = K_C \frac{z - z_0}{z - z_p} \quad \text{where } K_C, z_0 \text{ and } z_p \text{ are needed to be selected.}$$

# Frequency response of the first-order compensator

## 8.3. Compensation

Since  $D^d(z)$  is of first order, its **frequency response** will have the form

$$D^w(w) = a_0 \frac{1 + w/\omega_{w0}}{1 + w/\omega_{wp}}$$

where  $a_0$  is DC gain,  $\omega_{w0}$  and  $\omega_{wp}$  are design parameters.

- ▶ IF  $\omega_{w0} < \omega_{wp}$ , THEN the compensation is called **phase lead**;
- ▶ IF  $\omega_{w0} > \omega_{wp}$ , THEN the compensation is called **phase lag**.

**Note:** Once  $a_0$ ,  $\omega_{w0}$  and  $\omega_{wp}$  are determined, then

$$D^d(z) \Big|_{z=\frac{1+(T/2)w}{1-(T/2)w}} = a_0 \frac{1 + w/\omega_{w0}}{1 + w/\omega_{wp}}$$

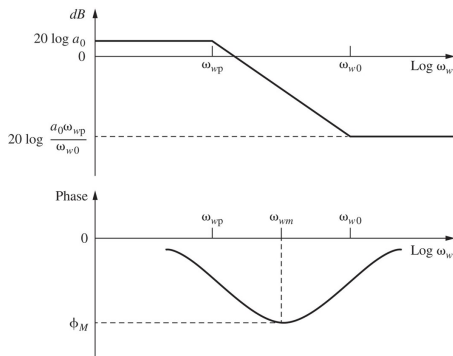
with

$$K_C = a_0 \left( \frac{\omega_{wp}(\omega_{w0} + 2/T)}{\omega_{w0}(\omega_{wp} + 2/T)} \right), \quad z_0 = \frac{2/T - \omega_{w0}}{2/T + \omega_{w0}}, \quad z_p = \frac{2/T - \omega_{wp}}{2/T + \omega_{wp}}.$$

# Frequency response of phase-lag filter

## 8.4. Phase-lag Compensation

- ▶ DC gain =  $a_0$ ;
- ▶ High-frequency gain =  $a_0 \frac{\omega_{WP}}{\omega_{W0}}$ ;
- ▶ Maximum phase shift =  $\phi_M$   
(satisfying  $-90^\circ < \phi_M < 0^\circ$ )



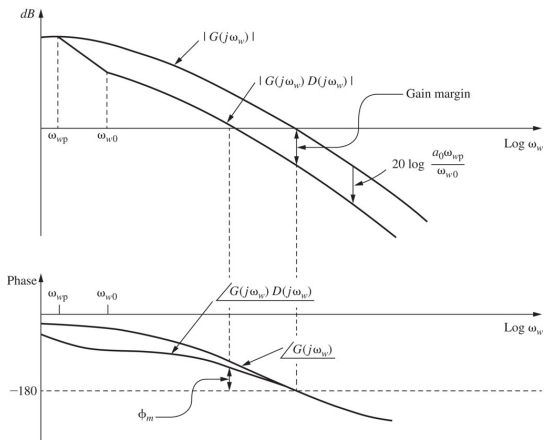
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# Design objective for phase-lag compensation

## 8.4. Phase-lag Compensation

**Design objective:** Set the phase margin as a given value  $\phi_m$ .

**Idea:** Decrease the magnitude  $|G^w(j\omega_w)D^w(j\omega_w)|$  of the resulting open-loop transfer function.



# Design steps for phase-lag compensator

## 8.4. Phase-lag Compensation

**Design objective:** Set the phase margin as a given value  $\phi_m$ .

- **Step 1:** Determine  $\omega_{w1}$  at which  $\angle G(j\omega_{w1}) \approx -180^\circ + \phi_m + 5^\circ$ .

**Note:** This  $\omega_{w1}$  will be the **gain-crossover frequency** of the controlled system.

- **Step 2:** Choose  $\omega_{w0} = 0.1\omega_{w1}$ .

- **Step 3:** Set  $\omega_{wp}$  as

$$\omega_{wp} = \frac{\omega_{w0}}{a_0|G(j\omega_{w1})|} = \frac{0.1\omega_{w1}}{a_0|G(j\omega_{w1})|}$$

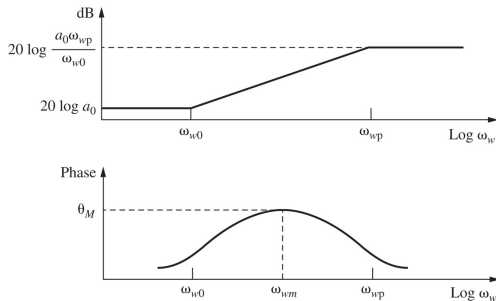
(so that  $|G^w(j\omega_{w1})D^w(j\omega_{w1})| = 1$ ).

**Example:** See Example 8.1.

# Frequency response of phase-lead filter

## 8.5. Phase-lead Compensation

- ▶ DC gain =  $a_0$ ;
- ▶ High-frequency gain =  $a_0 \frac{\omega_{wp}}{\omega_{w0}}$ ;
- ▶ Maximum phase shift =  $\theta_M$



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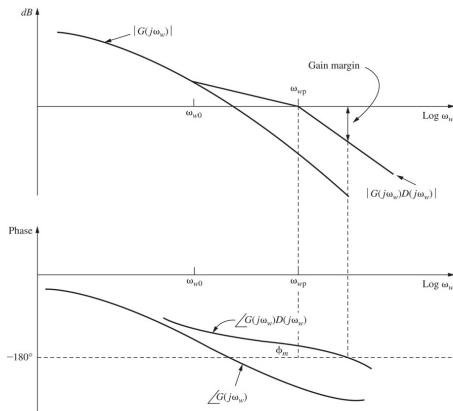
# Design objective for phase-lead compensation

## 8.5. Phase-lead Compensation

**Design objective:** Set the phase margin as a given value  $\phi_m$ .

**Idea:** Increase the angle  $\angle G^w(j\omega_w)D^w(j\omega_w)$  of the resulting open-loop transfer function.

(We skip the detailed guideline for controller design...)

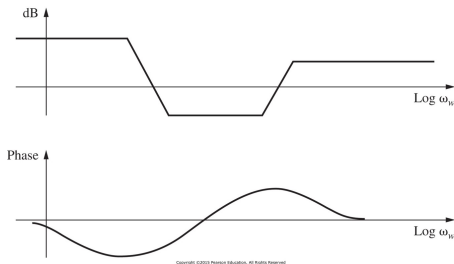


# Lag-lead compensator

## 8.7. Lag-lead Compensation

A lag-lead compensator

= A phase-lag compensator  $\times$  A phase-lead compensator



# Overview of PID control

## 8.8. Integration and Differentiation Filters

A PID controller in  $s$ -domain has the form

$$D_{\text{PID}}(s) = K_P + \frac{K_I}{s} + K_D s \quad (= \text{Ideal version})$$

$$\text{or } D_{\text{PID}}(s) = K_P + \frac{K_I}{s} + K_D \frac{s}{T_F s + 1} \quad (= \text{Modified version with LPF}).$$

In the time-domain, the resulting control input is given by (e.g., ideal version):

$$m_{\text{PID}}(t) = K_P \cdot e(t) + K_I \cdot \int_0^t e(\tau) d\tau + K_D \dot{e}(t).$$

**Note:** Each terms play the role of:

- ▶ **P term**  $K_P$ : The present control effort for reducing the tracking error
- ▶ **I term**  $\frac{K_I}{s}$ : The accumulated control effort with the history of the tracking error taken into account
- ▶ **D term**  $K_D s$ : The predictive control effort to improve the tendency of the future trajectory.

# Numerical integration of a continuous-time signal

## 8.8. Integration and Differentiation Filters

Let  $p_I^d(k)$  be a **numerical integral** of a continuous-time signal  $e(t)$ .

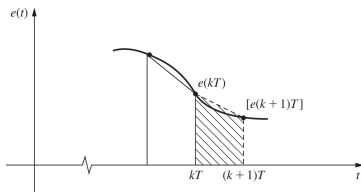
► **Forward Euler method:**

$$p_I^d(k+1) = p_I^d(k) + T \cdot e^d(k) \quad \text{where } e^d(k) := e(kT)$$

► **Backward method**

► **Trapezoidal rule** (= Tustin's method, bilinear transformation):

$$p_I^d(k+1) = p_I^d(k) + \frac{T}{2} (e^d(k+1) + e^d(k))$$



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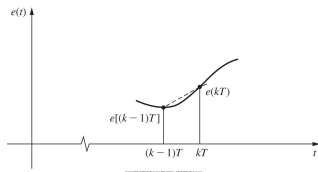
# Numerical differentiation of a continuous-time signal

## 8.8. Integration and Differentiation Filters

Let  $p_D^d(k)$  be a **numerical derivative** of a continuous-time signal  $e(t)$ .

- ▶ Forward Euler method
- ▶ Backward Euler method:

$$p_D^d(k) = \frac{e^d(k) - e^d(k-1)}{T}$$

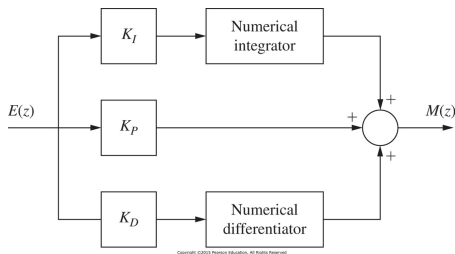


- ▶ Tustin's method:

$$\frac{p_D^d(k) + p_D^d(k-1)}{2} = \frac{e^d(k) - e^d(k-1)}{T}$$

# Discrete-time PID control law

## 8.9. PID Controllers



A discretized version of the PID controller above is

$$m_{\text{PID}}^{\text{d}}(k) = \begin{cases} + \text{ P term} \\ + \text{ I term} \\ + \text{ D term} \end{cases} = \begin{cases} + K_{\text{P}} \cdot e^{\text{d}}(k) \\ + K_{\text{I}} \cdot (\text{numerical integration of } e(t)) \\ + K_{\text{D}} \cdot (\text{numerical differentiation of } e(t)) \end{cases}$$

where  $K_{\text{P}} > 0$ ,  $K_{\text{I}} > 0$ , and  $K_{\text{D}} > 0$  are design parameters.

# Frequency response of numerical integration via B.T.

## 8.9. PID Controllers

From the previous slides, we have

$$\begin{aligned} \text{(Numerical integration of } e(t)) \quad p_I^d(k+1) &= p_I^d(k) + \frac{T}{2}(e^d(k+1) + e^d(k)) \\ \xrightarrow{\text{Z-transform}} \quad zP_I^d(z) &= P_I^d(z) + \frac{T}{2}(zE^d(z) + E^d(z)). \end{aligned}$$

The transfer function from  $e^d$  to  $p_I^d$  is computed by

$$\frac{P_I^d(z)}{E^d(z)} = \frac{T}{2} \frac{z+1}{z-1}.$$

The frequency response in  $w$ -domain:

$$\left. \frac{P_I^d(z)}{E^d(z)} \right|_{z=\frac{1+(T/2)w}{1-(T/2)w}} = \frac{1}{w}.$$

# Frequency response of numerical differentiation via B.T.

## 8.9. PID Controllers

In a similar way, one can have

$$\begin{aligned} \text{(Numerical differentiation of } e(t)) \quad p_D^d(k) &= -p_D^d(k-1) + \frac{2}{T}(e^d(k) - e^d(k-1)) \\ \xrightarrow{\text{Z-transform}} P_D^d(z) &= -z^{-1}P_D^d(z) + \frac{2}{T}(E^d(z) - z^{-1}E^d(z)). \end{aligned}$$

The transfer function from  $e^d(k)$  to  $m_D^d(k)$  is computed by

$$\frac{P_D^d(z)}{E^d(z)} = \frac{2}{T} \frac{1 - z^{-1}}{1 + z^{-1}} = \frac{2}{T} \frac{z - 1}{z + 1}.$$

The frequency response in w-domain:

$$\left. \frac{P_D^d(z)}{E^d(z)} \right|_{z=\frac{1+(T/2)w}{1-(T/2)w}} = \frac{1}{w}.$$



# Frequency response of discrete-time PID control

## 8.9. PID Controllers

Thus, the discrete-time PID controller

$$D_{\text{PID}}^{\text{d}}(z) = K_P + K_I \cdot \frac{T}{2} \frac{z+1}{z-1} + K_D \cdot \frac{2}{T} \frac{z-1}{z+1}$$

has the frequency response in  $w$ -domain as follows:

$$D_{\text{PID}}^{\text{d}}(w) = K_P + K_I \frac{1}{w} + K_D w.$$

**Note:** If we use different methods for numerical differentiation and/or integration, then the frequency response should be slightly modified.

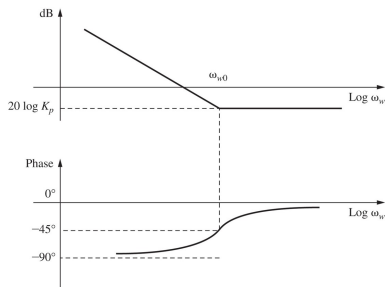
# Frequency-domain design of PID control

## 8.9. PID Controllers

A PI controller has the frequency response

$$D_{PI}^w(w) = K_P + K_I \frac{1}{w} = K_P \frac{1 + (w/\omega_{w0})}{w} \quad \text{where } \omega_{w0} := K_I/K_P > 0.$$

Bode plot of  $D_{PI}^w(w)$ :



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Lesson:

- ▶ Negative phase angle
- ▶ Increase gain margin
- ▶  $\therefore$  PI controller works as a phase-lag controller.

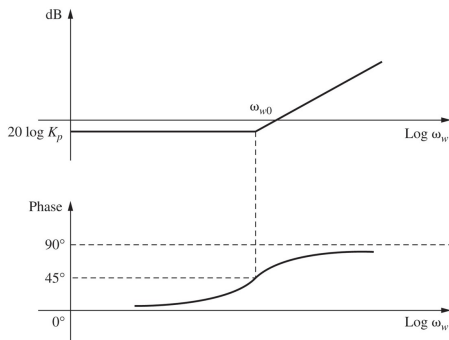
# Frequency-domain design of PID control

## 8.9. PID Controllers

A PD controller has the frequency response

$$D_{PD}^w(w) = K_P + K_D w = K_P \left( 1 + \frac{w}{\omega_{w0}} \right) \quad \text{where } \omega_{w0} := \frac{K_D}{K_P} > 0.$$

Bode plot of  $D_{PD}^w(w)$ :

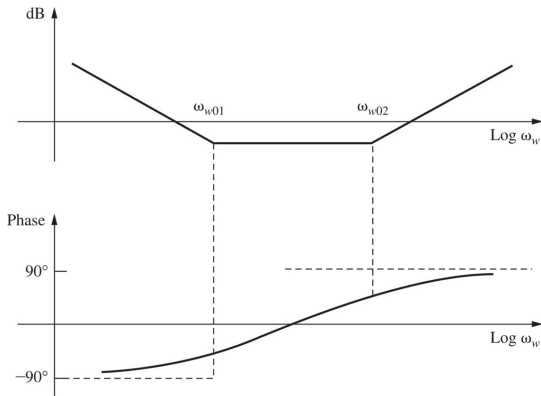


Lesson:

- ▶ Positive phase angle
- ▶ Increase phase margin
- ▶  $\therefore$  PD controller works as a phase-lead controller.

# Summarizing so far, PID $\approx$ Lead-lag compensator

## 8.9. PID Controllers



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$\therefore$  The PID controller can be constructed in a similar way of lead-lag compensator (in Sections 8.4 – 8.6).

# Heuristic design of PID control: Ziegler-Nichols methods

## 8.9. PID Controllers

- ▶ A widely-used heuristic approach to selecting  $K_P$ ,  $K_I$ ,  $K_D$ .
- ▶ Useful particularly when we do not have system model.
- ▶ Basic idea: Find the control gains via trial-and-error approach.
- ▶ Two methods are available (while the second one is usually employed...)

In the following, we will discuss the ZN method for a continuous-time version

$$\begin{aligned} D_{\text{PID}}(s) &= K_P + K_I \frac{1}{s} + K_D \frac{s}{T_F s + 1} \\ &= K_P \left( 1 + \frac{1}{T_I s} + \frac{T_D s}{T_F s + 1} \right), \quad \text{where } T_I = \frac{K_P}{K_I} \text{ and } T_D = \frac{K_D}{K_P} \end{aligned}$$

(while its discrete-time counterpart can be derived in the same way.)

For more details, refer to other references, including

- ▶ K. Aström, T. Häggglund, *PID Controllers: Theory, Design, and Tuning* (2nd ed.), ISA

# Heuristic design of PID control: First ZN method

## 8.9. PID Controllers

Suppose that the plant to be controlled

- ▶ has **overshoot-free** step response, and
- ▶ has neither integrator nor complex-conjugate poles (in continuous time).

Then the first method suggests the PID gain as

$$K_P = 1.2 \frac{t_r}{t_i \cdot c_{ss}}, \quad T_I = \frac{K_P}{K_I} = 2t_i, \quad T_D = \frac{K_D}{K_P} = 0.5t_i.$$

where

- ▶  $t_i$ : The time required for the response to rise from the initial value to 10 % of the final response
- ▶  $t_r$ : The rise time
- ▶  $c_{ss}$ : The steady-state step response

# Heuristic design of PID control: Second ZN method

## 8.9. PID Controllers

The second method suggests to follow the steps below.

- ▶ **Step 1:** Increase  $K_P$  from 0 to  $\infty$  and apply the P control with no I and D terms.
- ▶ **Step 2:** Find a critical value  $K_P = K_P^*$ , with which the output  $c^d(k)$  exhibits oscillation.
- ▶ **Step 3:** Let  $T^*$  be the period of oscillation that takes place with  $K_P = K_P^*$ .
- ▶ **Step 4:** Set the PID gains as

$$K_P = 0.6K_P^*, \quad T_I = 0.5T^*, \quad T_D = 0.125T^*.$$

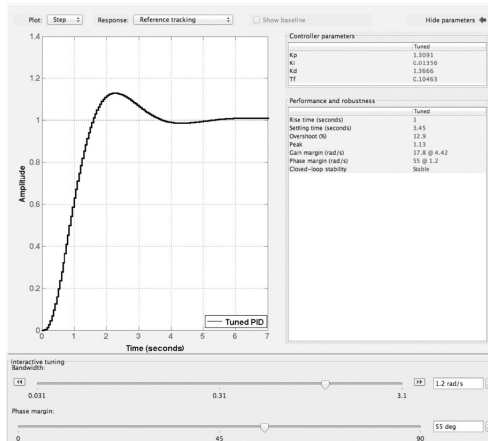
**Note:** The core of the second method is,

- ▶ **determine  $K_P$  first** only with P control, and
- ▶ add I and D terms if needed.

# Computer-aided design: MATLAB PID Toolbox

## 8.9. PID Controllers

- ▶ MATLAB provides a toolbox for frequency and/or time-domain designs.
- ▶ To run the toolbox, enter `pidtool` in Command Window



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# Root locus-based design of first-order compensator

## 8.11. Design by Root Locus

The **root locus technique** provides yet another method for constructing a first-order compensator

$$D^d(z) = K_C \frac{z - z_0}{z - z_p}.$$

For ease of explanation, we assume that the plant to be controlled has a discrete-time transfer function

$$G^d(z) = K_G \frac{z - z_1}{(z - z_2)(z - 1)}.$$

**Design objective** is to

- ▶ place the closed-loop pole to a desired location  $z_a$ , and
- ▶ set the control gain  $K_C K_G$  as the desired value  $K^*$ .

# Key idea of root locus-based design

## 8.11. Design by Root Locus

- ▶ First, find  $K_u$  such that the poles of  $\frac{K_u G^d(z)}{1 + K_u G^d(z)}$  are located at the desired values  $z_a$ . (Fig. (a))
- ▶ Second, add 1 zero  $z = z_0$  and 1 pole  $z = z_p < 1$  to the open-loop transfer function. (Fig. (b))  
(Roughly speaking, this leads to a virtual shift of the open-loop pole  $z = 1$  inside the unit circle.)
- ▶ Adjust the design parameters properly so that the design objectives are satisfied.

