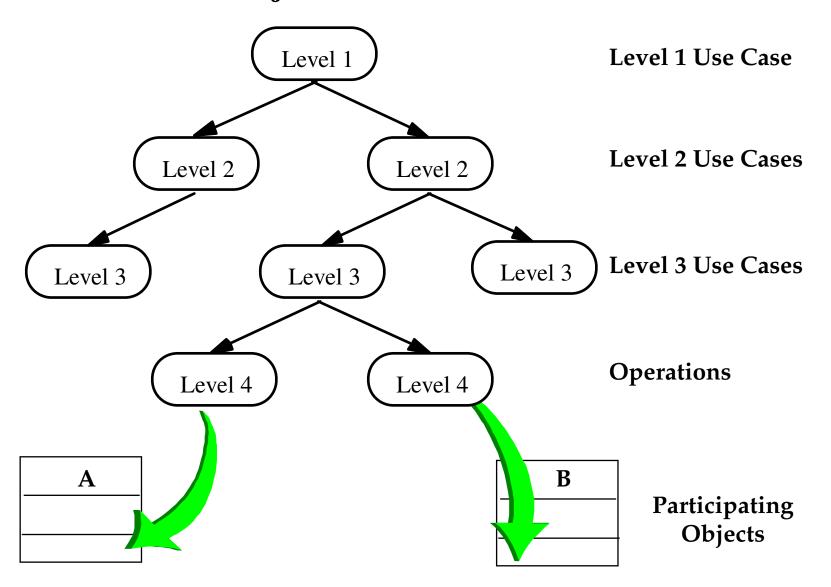


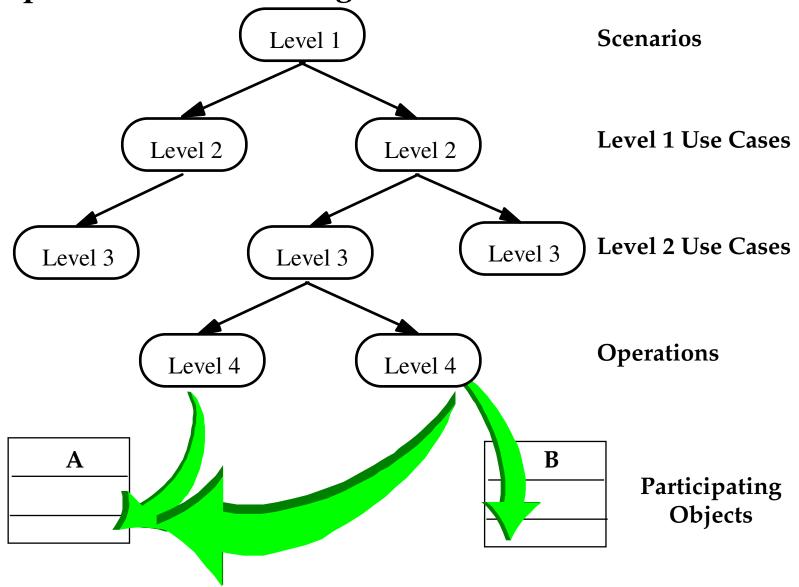
#### **Outline**

- From use cases to class diagrams
- Model and reality
- A little discourse into philosophy
- Activities during object modeling
- Object identification
- Object types
  - entity, boundary and control objects
- Object naming
- Abbott's technique helps in object identification
- Users of class diagrams

#### From Use Cases to Objects



From Use Cases to Objects: Why Functional Decomposition is not Enough



#### Reality and Model

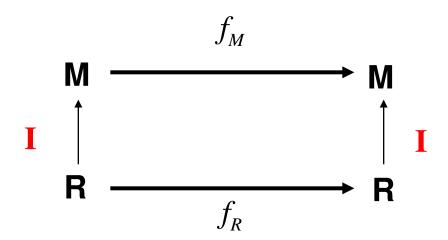
- Reality R: Real Things, People, Processes happening during some time, Relationship between things
- Model M: Abstractions from (really existing or only thought of ) things, people, processes and relationships between these abstractions.

#### Why models?

- We use models
  - \* To abstract away from details in the reality, so we can draw complicated conclusions in the reality with simple steps in the model
  - To get insights into the past or presence
  - To make predictions about the future

#### What is a "good" model?

- Relationships, which are valid in reality R, are also valid in model M.
  - ◆ I : Mapping of real things in reality R to abstractions in the model M abbildet (Interpretation)
  - $f_M$ : relationship between abstractions in M
  - $f_R$ : relationship between real things in R
- In a good model the following diagram is commutative:

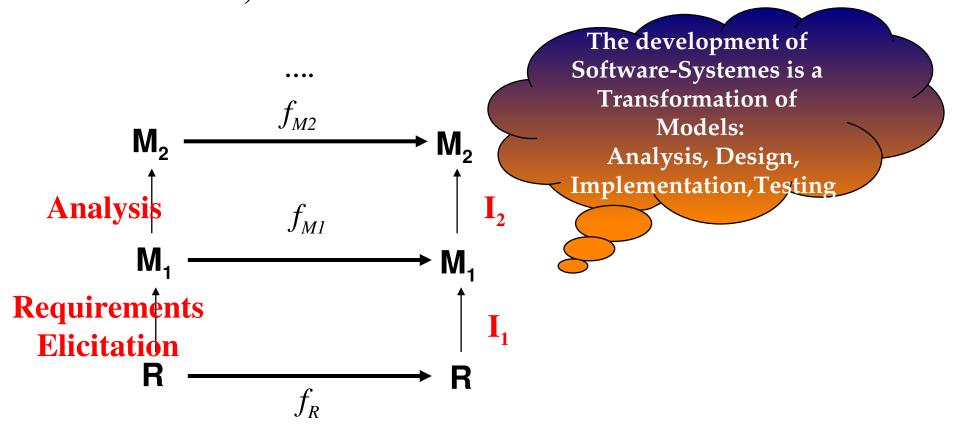


#### Models are falsifiable

- In the middle age people believed in truth
- Models of reality cannot be true
- A model is always an approximation
  - We must say "according to our knowledge", or "with today's knowledge"
- Popper ("Objective Knowledge):
  - \* We can only build models from reality, which are "true" until, we have found a counter example (Principle of Falsification)
    - **◆** And even then we might stick with the model ("because it works quite well in most settings")
- ◆ The falsification principle is the basis of software development
  - The goal of prototypes, reviews and system testing is to falsify the software system

#### Models of models of models...

 Modeling is relative. We can think of a model as reality and can build another model from it (with additional abstractions).



#### A small discourse into Philosophy

- Philosophy works on 3 major problems
  - Metaphysics: What is reality?
  - \* Epistemology: What is knowledge? How can we store knowledge in our brain? How far can I describe reality with knowledge?
  - Ethics: What is good, what is bad?
- Metaphysics and epistemology depend on each other:
  - \* Assertions about reality depend on closely on assertions about knowledge and vice versa.
- Relationship to software engineering
  - Metaphysics <=> Modeling
  - Epistemology <=> Acquisition of knowledge, knowledge management
  - Ethics: <=> Good and bad practices during software development

#### The four basic questions in metaphysics

1. Is reality real or not real?

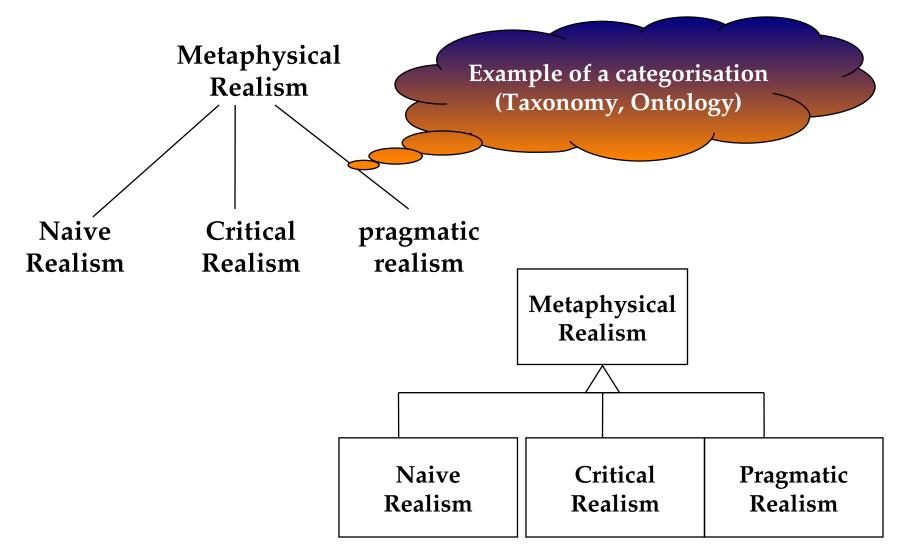
Does reality exist only in our brain or does it exist independently from our existence?

- 2. What is reality made out of?
- 3. How many realities are there (1,2, many)?
- 4. Is reality constant or does it change?

#### 1. Reality: Real or ideal?

- ◆ The metaphysical realism assumes, that reality is real
  - \* Reality exists outside our brain. It is "really" real. Subtypes of Realism:
    - ◆ Naïve realism: Things are real, that is a fact!
    - Critical realism (transcendental realism): Things are real, but I see only what I want to see
    - ◆ Pragmatic realism: **Realism works**, that's why reality is real
- The metaphysical idealism assumes that reality is an illusion.

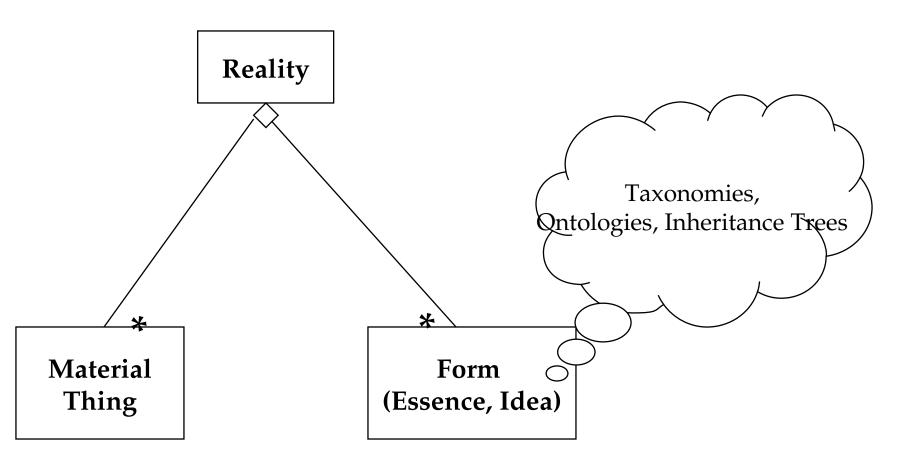
## Categorization of the various types of realism



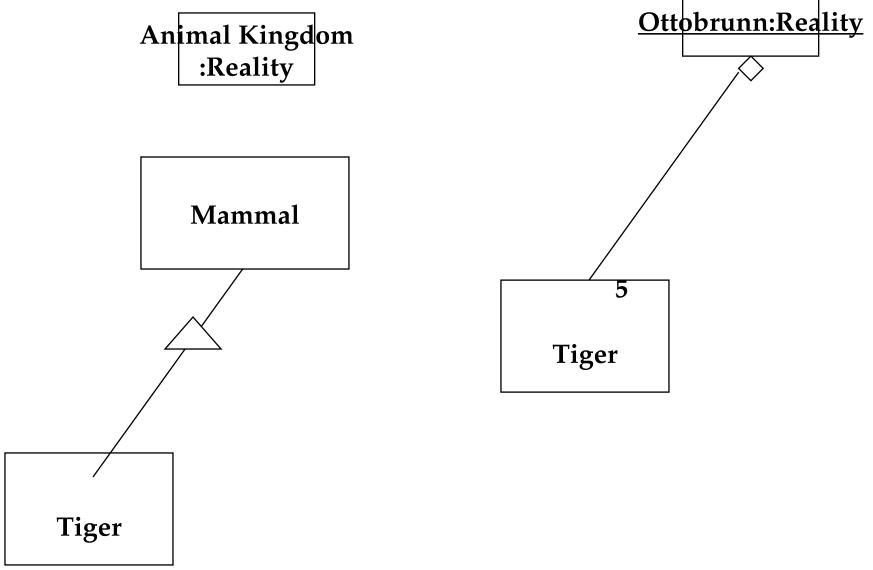
#### 2. What is reality made out of?

- Materialism:
  - Reality consists of real things
  - Socrates: Everything is made out of water
- Antimaterialism:
  - Reality consists of real things as well as of ideas
  - Plato: A form,e.g beauty, is as real as real things, e.g. This little train(actually forms are more real, because they are permanent, real things live only for a short time)
- Scientific materialism:
  - Reality consists only of things that have energy and/or mass
  - Modern science: mind-reading capability is not real

## Model of Plato's Antimaterialism



## **Modeling Animals**



#### 3. How many realities are there?

#### • Monism:

• There is only one thing, which is simultaneously the source and essence of reality (*Thales von Milet*: Everything is made out of water)

#### • Dualism:

- There are 2 different sources for things in Reality
- Plato: Forms and Material Things are 2 types of Reality
- Descartes: The mind and the body are separate things
- \* Tao: Each thing consists of two complementary principles: Ying und Yang

#### • Pluralism:

• Software Engineering: There are many realities, the customer requirements are reality

#### 4. Is reality constant or does it change?

- Parmenides (600 A.D):
  - There is a difference between appearance and underlying reality.
     Change is an illusion, reality is constant
- Heraklit (540-475 A.D.):
  - Everything flows, there is no solid substance
    - "Jupiter's eye" is actually a hurricane
    - Modern physics: Reality is a field of vibrations
- Software Engineering:
  - \* The graphical user interface ("GUI") changes, but the underlying business process is constant.
  - \* WIMP: Windows, Icons, Mouse and Pointing Device
  - \* The business process changes as result of technology enablers: "Change is the only constant" (Hammer&Champy, Reengineering)

#### The 4 basic questions in epistemology

- ◆ 1. How do we acquire knowledge, through our senses or through our intelligence?
- ◆ 2. How far can we describe or create reality with knowledge?
- ◆ 3. What is knowledge made out of?
- ◆ 4. What are the activities during knowledge acquisition?

#### 1. How do we acquire knowledge?

- Empirism: Knowledge is acquired by experimentation and through our senses
  - Our brain is initially empty ("tabula rasa")
- ◆ Rationalism: Knowledge is acquired by our mind
  - The brain is already at birth equipped with ideas ("a priori")
- Voluntarism: Knowledge is only acquired if you want to achieve something
- ◆ Intuitionism: Knowledge is acquired by intuition

## Taxonomy of knowledge acquistion methods

## **Knowledge Acquisition**

#### **Realism:**

- Concepts fact as well as a priori concepts- are not simply copies or extensions of the sensual experience
- Concepts are built into our mind:
  - Concepts are "remembrance" of forms. They can be triggered by senses, but they are already in our mind, they are only woken up. (Plato)
  - Concepts are categories of our mind. They are structures which allow us mentally to keep track of sensual objects. Concepts are not derived from sensor data, but are used to make sense from sensor data (Kant)

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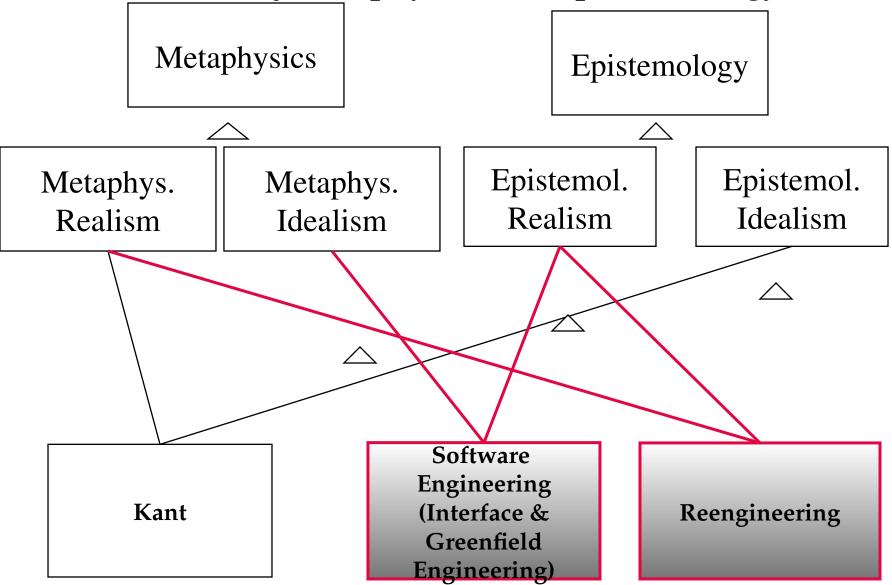
#### Can we describe reality with knowledge?

- Epistemological idealism:
  - \* What you know about an object, exists only in your mind. Models can only describe parts of reality, never reality.
- Epistemological realism:
  - \* The knowledge about an object is independent from our mind. Models can describe reality.
- ◆ Epistemological idealists are pessimists:
  - \* There are always conclusions, that you cannot draw in the model, because they depend on components in reality which are not described in the model.
- ◆ Epistemological realists are optimists:
  - \* All conclusions in the model describe things in reality.

#### Combining metaphysics and epistemology

- Metaphysical realist, epistemological realist:
  - \* There is a reality outside of my mind, I can acquire knowledge about this reality and I can represent reality with my model. (Software Engineering: Reengineering)
- Metaphysical realist, epistemological idealist:
  - \* There is a reality outside of my mind, the knowledge about this reality is limited by the structures and activities of my mind (Kant)
- Metaphysical idealist, epistemological idealist:
  - \* Reality depends on a (another) mind, my knowledge about this reality is limited by my mind.
- Metaphysical idealist, epistemological realist:
  - \* Reality depends on a (another) mind, my mind can understand the concepts of this other mind, and I can represent this externally with models (Software Engineering: Customer specifies the system)

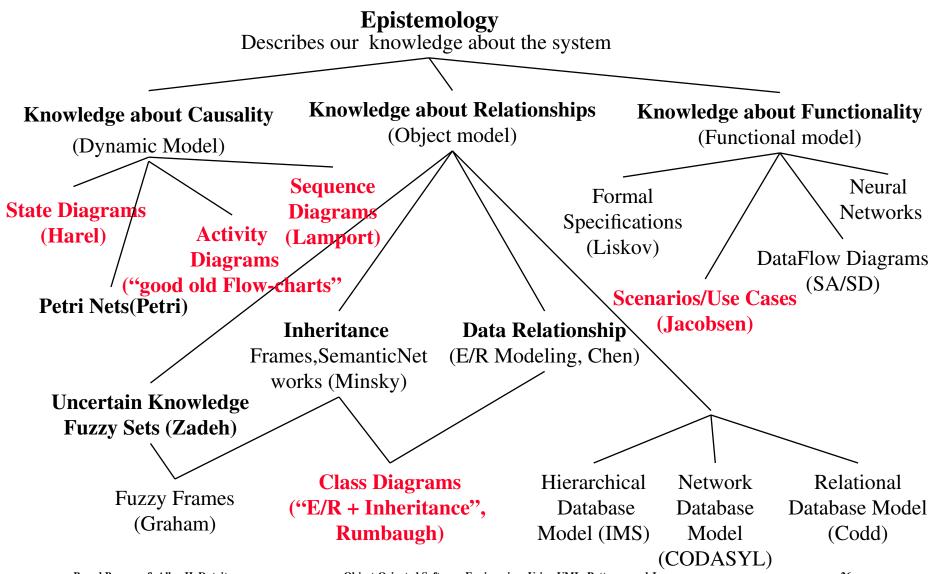
## Combination of metaphysics and ephistemology



#### Realities for software engineers

- Some people say: "The computer scientist can play god, because they can create realities". Nonsense.
- But: The computer scientist can model different kinds of realities and build them:
  - An existing system (physical system, technical system, social system, software system)
    - ◆ An important special case is here when the existing system is a software system. We then call it "Legacy System"
  - An idea without counterpart in reality:
    - A visionary scenario or a customer requirement.
- The constructed reality might actually only be part of the ideas, namely those that were realizable in software
  - Example: A visionary scenario turns out to be a dream, a customer requirement turns out to be too expensive to realize.

# How do we model complex systems (Natural Systems, Social Systems, Artificial Systems)?



#### Activities during Object Modeling

- Main goal: Find the important abstractions
- What happens if we find the wrong abstractions?
  - Iterate and correct the model
- Steps during object modeling
  - 1. Class identification
    - Based on the fundamental assumption that we can find abstractions
  - 2. Find the attributes
  - 3. Find the methods
  - 4. Find the associations between classes
- Order of steps
  - Goal: get the desired abstractions
  - Order of steps secondary, only a heuristic
  - Iteration is important

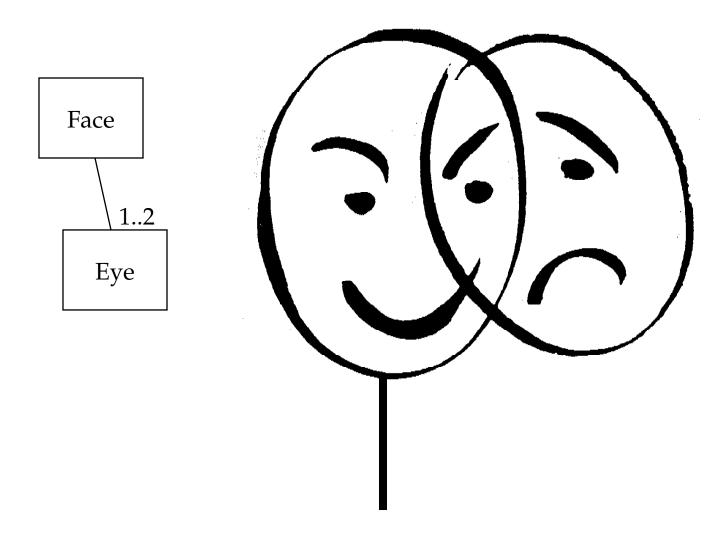
#### Class Identification

- ◆ Identify the boundaries of the system
- ◆ Identify the important entities in the system
- Class identification is crucial to object-oriented modeling
- Basic assumption:
  - 1. We can find the classes for a new software system (Forward Engineering)
  - \* 2. We can identify the classes in an existing system (Reverse Engineering)
- Why can we do this?
  - Philosophy, science, experimental evidence

#### Class identification is an ancient problem

- Objects are not just found by taking a picture of a scene or domain
- ◆ The application domain has to be analyzed.
- Depending on the purpose of the system different objects might be found
  - How can we identify the purpose of a system?
  - Scenarios and use cases
- Another important problem: Define system boundary.
  - What object is inside, what object is outside?

#### What is This?



#### Modeling in Action

- Face
- Mask
- Sad
- Happy
- Is it one Face or two?
- Who is using it?
  - Person at Carneval?
  - Bankrobber?
  - Painting collector
- How is it used?

#### Pieces of an Object Model

- Classes
- Associations (Relations)
  - Generic associations
  - Canonical associations
    - Part of- Hierarchy (Aggregation)
    - Kind of-Hierarchy (Generalization)
- Attributes
  - Detection of attributes
  - Application specific
  - Attributes in one system can be classes in another system
  - Turning attributes to classes
- Operations
  - Detection of operations
  - Generic operations: Get/Set, General world knowledge, design patterns
  - Domain operations: Dynamic model, Functional model

#### Object vs Class

- Object (instance): Exactly one thing
  - This lecture on Software Engineering on November 15 from 14:30 -16:00
- A class describes a group of objects with similar properties
  - Game, Tournament, mechanic, car, database
- *Object diagram:* A graphic notation for modeling objects, classes and their relationships ("associations"):
  - *Class diagram:* Template for describing many instances of data. Useful for taxonomies, patters, schemata...
  - *Instance diagram:* A particular set of objects relating to each other. Useful for discussing scenarios, test cases and examples
- ◆ Together-J: CASE (Computer-Aided Software Engineering)
  Tool for building object diagrams, in particular class diagrams
  - Lecture tomorrow (November 16)

#### How do you find classes?

- Finding objects is the central piece in object modeling
  - **+** Learn about problem domain: Observe your client
  - Apply general world knowledge and intuition
  - **\*** Take the flow of events and find participating objects in use cases
  - Try to establish a taxonomy
  - Do a syntactic analysis of *problem statement*, *scenario* or *flow of* events
  - Abbott Textual Analysis, 1983, also called noun-verb analysis
    - Nouns are good candidates for classes
    - Verbs are good candidates for opeations
  - Apply design knowledge:
    - Distinguish different types of objects
    - Apply design patterns (Lecture on design patterns)

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#### Finding Participating Objects in Use Cases

- ◆ Pick a *use case* and look at its *flow of events* 
  - Find terms that developers or users need to clarify in order to understand the flow of events
  - Look for recurring nouns (e.g., Incident),
  - \* Identify real world entities that the system needs to keep track of (e.g., FieldOfficer, Dispatcher, Resource),
  - \* Identify real world procedures that the system needs to keep track of (e.g., EmergencyOperationsPlan),
  - Identify data sources or sinks (e.g., Printer)
  - Identify interface artifacts (e.g., PoliceStation)
- Be prepared that some objects are still missing and need to be found:
  - Model the flow of events with a sequence diagram
- Always use the user's terms

### Object Types

- Entity Objects
  - \* Represent the persistent information tracked by the system (Application domain objects, "Business objects")
- Boundary Objects
  - Represent the interaction between the user and the system
- Control Objects:
  - Represent the control tasks performed by the system
- Having three types of objects leads to models that are more resilient to change.
  - The interface of a system changes more likely than the control
  - The control of the system change more likely than the application domain
- Object types originated in Smalltalk:
  - Model, View, Controller (MVC)

#### Example: 2BWatch Objects

Year ChangeDate Button

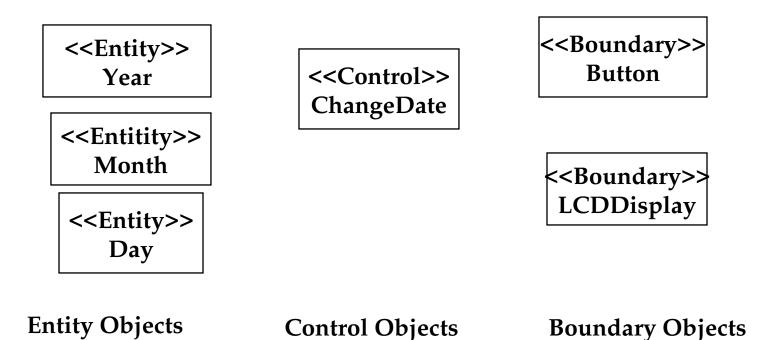
Month LCDDisplay

Day

**Entity Objects Control Objects Interface Objects** 

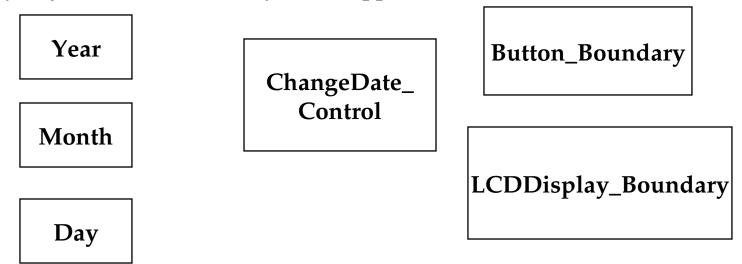
### Naming of Object Types in UML

- UML provides several mechanisms to extend the language
- UML provides the stereotype mechanism to present new modeling elements



### Recommended Naming Convention for Object Types

- ◆ To distinguish the different object tpyes on a syntactical basis, we recommend suffixes:
- Objects ending with the "\_Boundary" suffix are boundary objects
- Objects ending with the "\_Control" suffix are control objects
- Entity objects do not have any suffix appended to their name.



### Example: Flow of events

- ◆ The customer enters a store with the intention of buying a toy for his child with the age of n.
- ◆ Help must be available within less than one minute.
- ◆ The store owner gives advice to the customer. The advice depends on the age range of the child and the attributes of the toy.
- ◆ The customer selects a dangerous toy which is kind of unsuitable for the child.
- ◆ The store owner recommends a more yellow doll.

# Mapping parts of speech to object model components [Abbott, 1983]

Part of speech	Model component	Example
Proper noun	object	Jim Smith
Improper noun	class	Toy, doll
Doing verb	method	Buy, recommend
being verb	inheritance	is-a (kind-of)
having verb	aggregation	has an
modal verb	constraint	must be
adjective	attribute	3 years old
transitive verb	method	enter
intransitive verb	method (event)	depends on

#### Another Example

#### Flow of events:

- ◆ The customer enters the store to buy a toy.
- ◆ It has to be a toy that his daughter likes and it must cost less than 50 Euro.
- ♦ He tries a videogame, which uses a data glove and a head-mounted display. He likes it.

Is this a good use Case?

Not quite!

An assistant helps him.

The suitability of the game depends on the age of the child.

His daughter is only 3 years old.

The assistant recommends another type of toy, namely the boardgame

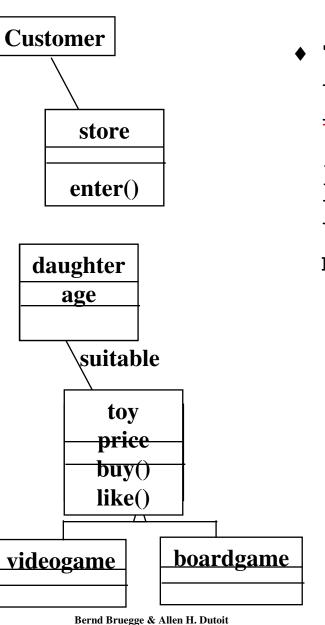
"Monopoly".

"Monopoly" is probably a left over from the scenario The use case should terminate with the customer leaving the store

## Textual Analysis using Abbot's technique

Example	Grammatical construct	UML Component
"Monopoly"	Concrete Person, Thing	Object
"toy"	noun	class
"3 years old"	Adjective	Attribute
"enters"	verb	Operation
"depends on"	Intransitive verb	Operation (Event)
"is a" ,"eitheror", "kind of"	Classifying verb	Inheritance
"Has a ", "consists of"	Possessive Verb	Aggregation
"must be", "less than"	modal Verb	Constraint

### Generation of a class diagram from flow of events



Flow of events:

◆ The gustomer enters the store to buy a toy. It has to be a toy that his daughter likes and it must cost less than 50 Euro. He tries a videogame, which uses a data glove and a headmounted display. He likes it.

An assistant helps him. The suitability of the game depends on the age of the child. His daughter is only 3 years old. The assistant recommends another type of toy, namely a boardgame. The customer buy the game and

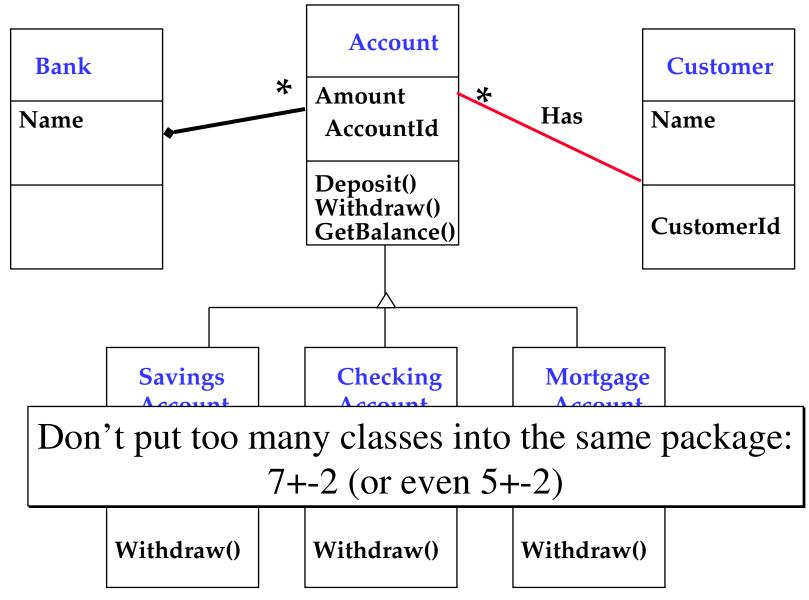
### Order of activities in modeling

- 1. Formulate a few scenarios with help from the end user and/or application domain expert.
- 2. Extract the use cases from the scenarios, with the help of application domain expert.
- 3. Analyse the flow of events, for example with Abbot's textual analysis.
- 4. Generate the class diagrams, which includes the following steps:
  - 1. Class identification (textual analysis, domain experts).
  - 2. Identification of attributes and operations (sometimes before the classes are found!)
  - 3. Identification of associations between classes
  - 4. Identification of multiplicities
  - 5. Identification of roles
  - 6. Identification of constraints

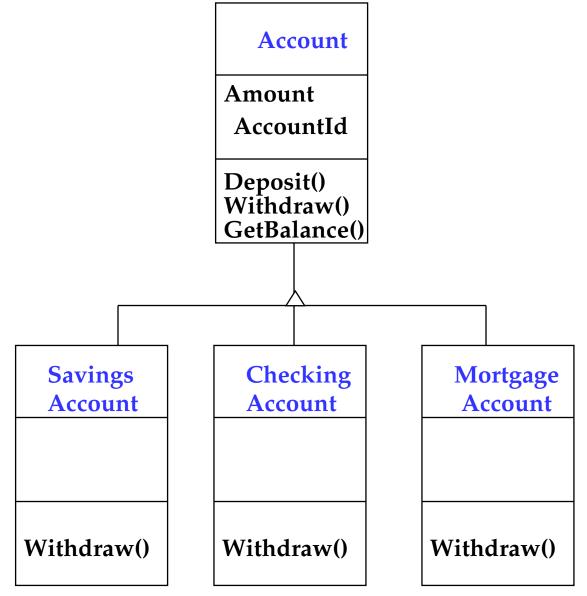
### Some issues in object modeling

- Improving the readability of class diagrams
- Managing object modeling
- Different users of class diagrams

#### Avoid Ravioli Models



### Put Taxonomies on a separate Diagram



#### Project Management Heuristics

- Explicitly schedule meetings for object identification
- First just find objects
- Then try to differentiate them between entity, interface and control objects
- Find associations and their multiplicity
  - **•** Unusual multiplicities usually lead to new objects or categories
- ◆ Identify Inheritance: Look for a Taxonomy, Categorize
- Identify Aggregation
- ◆ Allow time for brainstorming, Iterate, iterate

#### Who uses class diagrams?

- Purpose of Class diagrams :
  - The description of the static properties of a system (main purpose)
- Who uses class diagrams?
- ◆ The **customer** and the **end user** are often not interested in class diagrams. They usually focus more on the functionality of the system.
- ◆ The application domain expert uses class diagrams to model the application domain
- The **developer** uses class diagrams during the development of a system, that is, during analysis, system design, object design and implementation.

### Class-diagrams have different types of "users"

- According to the development activity, the developer plays different roles.
  - Analyst
  - System-Designer,
  - DetailedDesigner
  - Implementor.
- In small systems some of the roles do not exist or are played by the same person.
- Each of these roles has a different view about the models.
- Before I describe these different views, I want to distinguish the types of classes that appear in class diagrams.
  - Application domain classes
  - Solution domain classes

#### Application domain vs solution domain

- Application domain:
  - \* The problem domain (financial services, meteorology, accident management, architecture, ...).
- Application domain class:
  - \* An abstraction in the application domain. If we model business applications, these classes are also called business objects.
  - Example: Board game, Tournament
- Solution domain:
  - \* Domains that help in the solution of problems (tele communication, data bases, compiler construction, operting systems, ....)
- Solution domain class:
- An abstraction, that is introduced for technical reasons, because it helps in the solution of a problem.
  - Examples: Tree, Hashtable, Scheduler

#### The Role of the Analyst

- The analyst is interested
  - in application classes: The associations between classes are relationships between abstractions in the application domain.
  - whether the use of inheritance in the model reflect the taxonomies in the application domain.
    - Definition Taxonomy: A hierarchy of abstractions
- The analyst is not interested
  - in the exact signature of operations.
  - in solution classes.

### Designer

- ◆ The designer focuses on the solution of the problem, that is the solution domain.
- Design consists of many tasks (subsystem decomposition, selection of the hardware platform, data management system, etc.).
- An important design problem is the specification of interfaces:
  - The designer describes the interface of classes (object design) and subsystems (system design).
  - The goal of the designer is usability and reusability of interface
    - ◆ Design-Usability: the interfaces are usable from as many classes as possible within in the system.
    - ◆ Design-Reusability: Definition of interfaces, such that they can also be used in other (future) software systems. => Class libraries.

### Three Types of Implementors

- Class implementor:
  - \* Implements the class. The implementor chooses appropriate data structures (for the attributes) and algorithms (for the operations), and realizes the interface of the class ina programming language.
- Class extender:
  - Extends the class by a subclass, which is needed for a new problem or a new application domain.
- ◆ Class-user (client):
  - \* The programmer, who wants to use an existing class (e.g. a clas from a class library or a class from another subsystem).
  - The class user is only interested in the Signatures of the class operations and the preconditions, under which they can be invoked. The class user is not so much interested in the implementation of the class.

# Why do we distinguish these different users of class diagrams?

- Models often don't distinguish between application classes ("address book") and solution class ("array", "tree").
  - Reason: Modelling languages like UML allow the use of both types of classes in the same model.
  - Preferred: No solution classes in the analysis model.
- Many systems don't distinguish between specification and implementation of a class.
  - \* Reason: Object-oriented programming languages allow the simultaneous use of specification and implementation of a class.
  - Preferred: The object design model does not contain implementations.
- ◆ The key for creating high quality software systems is the exact distinction between
  - Application and solution domain classes
  - Interface specification and implementation specification

◆ The goal of these software engineering lectures that you are able to distinguish roles and can execute some of them yourself.

#### Examples from TRAMP, ARENA:

- Who is the application domain expert?
- Who is the class users?
- Who is the class implementor?
- Who is the end user?
- Do we need a class extender?

#### Class diagrams are always part of models

- Analysis model: Application domain model
- System Design and Object design models: Solution domain model
- ◆ Depending on our role, we look at objects and models from a different perspective. Often we are only interested in limited aspects of a model:
  - => 3 kinds of interfaces in the object design model
- Depending on our role and the model we have different interpretations for different UML constructs:
  - Different interpretations of associations
  - Different interpretations of attributes
  - Different interpretation of inheritance
- Let's take a look at these different interpretations.

#### Analysis model

- ◆ The Analysis modell is constructure during the analyse phase.
  - \* Main stake holders: End user, Customer, Analyst.
  - \* The diagram contains only application domain classes.
- ◆ The analysis model is the base for communication between analysists, experts in the application domain and end users of the system.

### Object design model

- The object design model (sometimes also called specification model) is created during the object design phase
  - Main stake holders are class specificiers, class implementors and class users
  - The class diagrams contain applikation and solution domain classes.
- ◆ The object design model is the basis of communikation between designers and implementors.

#### Summary

- Modeling vs reality
- System modeling
  - Object model
  - Dynamic model
  - Functional model
- Object modeling is the central activity
  - Class identification is a major activity of object modeling
  - **◆** There are some easy syntactic rules to find classes/objects
- Different roles during software development
- ◆ Requirements Analysis Document Structure

#### Ways to find objects

- Syntactical investigation with Abbott's techniqe:
  - In the problem statement (originally proposed, but rarely works if the problem statement is large (more than 5 pages)
  - In the flow of events of use cases
  - => Textual Analysis with Abbott
- Use of various knowledge sources:
  - Application knowledge: Interviews of end users and experts, to determine the abstractions of the application domain.
  - Design knowledge: Reusable abstractions in the solution domain.
  - General world knowledge: Also use your generic knowledge and intution.
- Formulation of scenarios (in natural language):
  - Description of the concrete usage of the system.
- Formulation of use cases (natural language and UML):
  - Description of functions with actors and flow of events