

New results and trade-offs for Lattice-based Hash-and-sign signatures

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based on joint works with T.Espitau, P.A. Fouque, F.Gerard,
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CHARM Seminar, online — 21/01/2022

Lattice-based signatures in NIST's call

As of Round 3, two among three finalists are lattice-based.

FALCON

“Hash-and-sign” in lattices [GPV'08]
+ NTRU trapdoors [DLP'14]

CRYSTALS-DILITHIUM

Fiat-Shamir “with abort” [Lyu12]
+ module lattices

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- ✓ best bandwidth, fast
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- ✗ difficult implementation
- ✗ expensive masking

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Today: Mitaka

**mitigate *all* these
shortcomings!**

Other features and results

Specific to Mitaka¹:

- Simple, cheap masking
- Fixed-point arithmetic friendly (over 2-powers cyclotomic rings) (not today)

For Falcon & Mitaka²:

- shorter signatures with elliptic sampling
- trade-off between bandwidth and verification speed
- a generic compression technique for gaussian vectors (not today)

Overall: up to 40% smaller signatures for minimal security loss.

¹Mitaka: a simpler, parallelizable, maskable variant of Falcon, EUROCRYPT 2022

²Shorter hash-and-sign lattice-based signatures, CRYPTO 2022

Roadmap

Quickview of the GPV framework, and Falcon's design

Sampling over (structured) lattices

NTRU lattices and their bases

Masking Mitaka

In practice

Making signatures even shorter

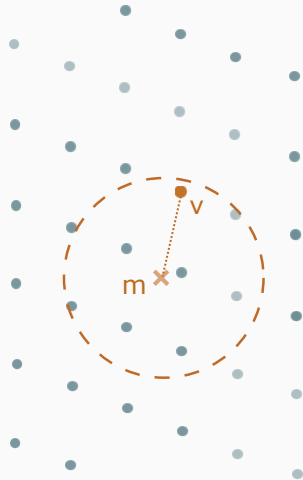
Hash-and-Sign over lattices (in a nutshell)

1) Hash msg as a random point \mathbf{m} in the space



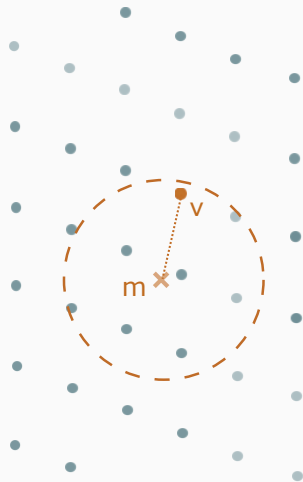
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- 2) Sample a **random** point \mathbf{v} in the lattice, close to \mathbf{m}



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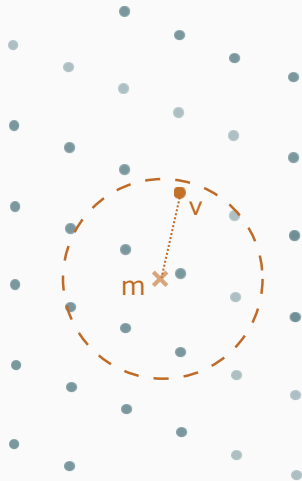
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- 3) Signature: $\mathbf{s} = \mathbf{m} - \mathbf{v}$



Hash-and-Sign over lattices (in a nutshell)

- 1) Hash `msg` as a random point \mathbf{m} in the space
- 2) Sample a **random** point \mathbf{v} in the lattice, close to \mathbf{m}
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- Enough lattice points close to any target
- Forgery $\sim \mathbf{CVP}_\gamma$: should be **hard**
- **Public** lattice
- **Efficient** sampling procedure for **signer**
- The sampler should **not leak** signer's secrets



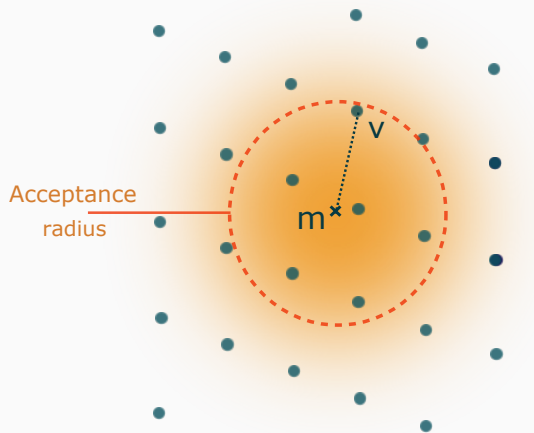
The GPV Framework

Simplified $\text{Sign}_{\text{sk}, \sigma}(\text{msg}) :$

1. $\mathbf{m} = \mathcal{H}(\text{msg})$
2. $\mathbf{v} \leftarrow \text{GaussianSampler}(\text{sk}, \mathbf{m}, \sigma)$
3. Signature: $\mathbf{s} = \mathbf{m} - \mathbf{v}$.

Simplified $\text{Verif}_{\mathcal{L}=\text{pk}}(\text{msg}, \mathbf{s}) :$

1. If $\|\mathbf{s}\|$ too big, reject.
2. If $\mathbf{m} - \mathbf{s} \notin \mathcal{L}$, reject.
3. Accept.



the GPV Framework, explicitly

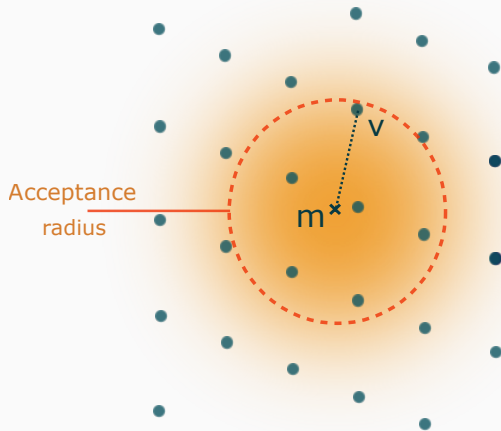
Take $\mathcal{L} = \Lambda_q^\perp(\mathbf{A})$ q -ary lattice with basis \mathbf{B} , then $\mathbf{A}\mathbf{B} = \mathbf{0} \bmod q$

Simplified $\text{Sign}_{\mathbf{B}, \sigma}(\text{msg})$:

1. \mathbf{c} such that $\mathbf{A}\mathbf{c} = \mathcal{H}(\text{msg})$
2. $\mathbf{v} \leftarrow \text{GaussianSampler}(\mathbf{B}, \mathbf{c}, \sigma)$
3. Signature: $\mathbf{s} = \mathbf{c} - \mathbf{v}$.

Simplified $\text{Verif}_{\mathbf{A}}(\text{msg}, \mathbf{s})$:

1. If $\|\mathbf{s}\|$ too big, refuse.
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the GPV Framework, explicitly

Take $\mathcal{L} = \Lambda_q^\perp(\mathbf{A})$ q -ary lattice with basis \mathbf{B} , then $\mathbf{AB} = \mathbf{0} \bmod q$

Simplified $\text{Sign}_{\mathbf{B}, \sigma}(\text{msg}) :$

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2. $\mathbf{v} \leftarrow \text{GaussianSampler}(\mathbf{B}, \mathbf{c}, \sigma)$
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Simplified $\text{Verif}_{\mathbf{A}}(\text{msg}, \mathbf{s}) :$

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Requirements:

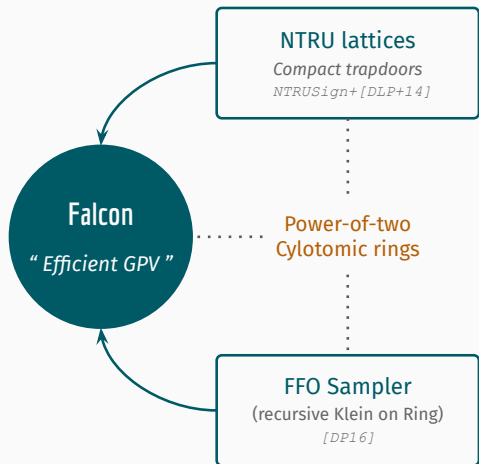
CVP_γ hard $\Rightarrow \sigma$ small $\Rightarrow \mathbf{B}$ has short vectors

Hard to compute \mathbf{B}
just from \mathbf{A}

Easy to generate \mathbf{A}
just from \mathbf{B}

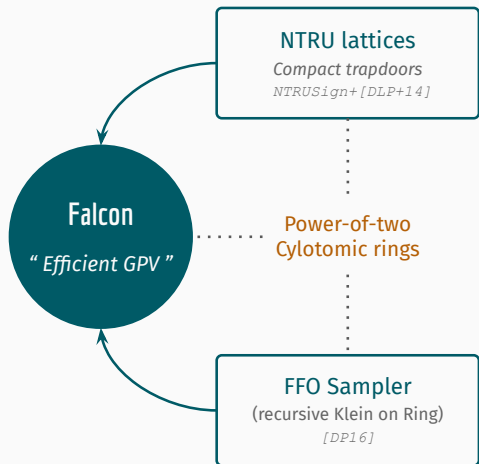
\mathbf{B} is called “a *trapdoor*”

“Falcon: a quest for compactness”



NTRU lattices: free rank 2 modules over (polynomial, cyclotomic) rings

“Falcon: a quest for compactness”



NTRU lattices: free rank 2 modules over (polynomial, cyclotomic) rings

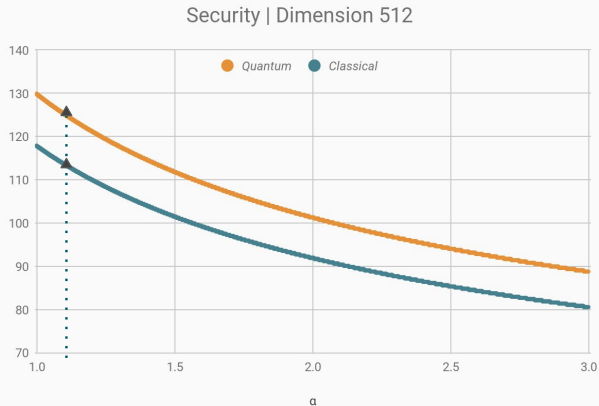
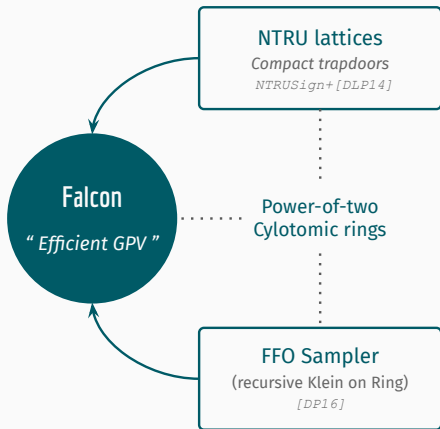
Pros:

- ✓ Best bandwidth of NIST signatures
- ✓ Fast signing, fast verification
- ✓ Quasi-linear **thank to the ring**

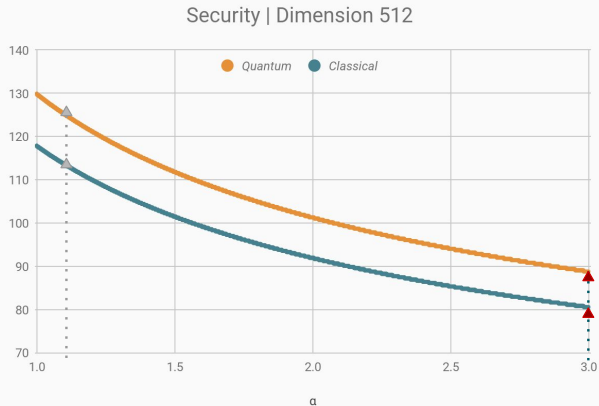
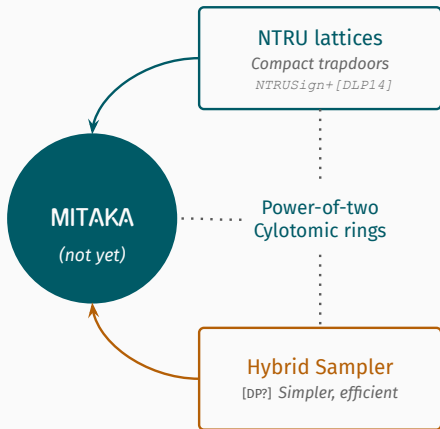
Cons:

- ✗ Few parameter sets
- ✗ Complicated implementation
- ✗ Expensive protections

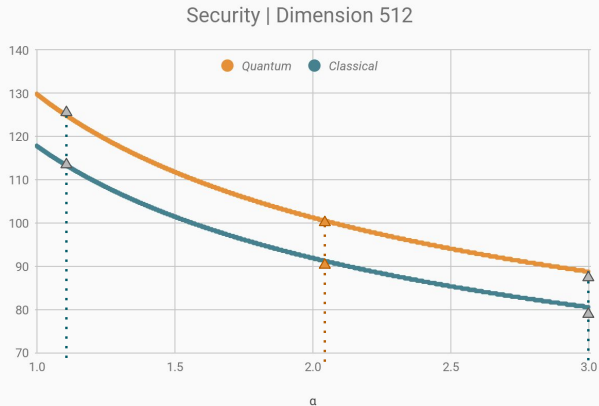
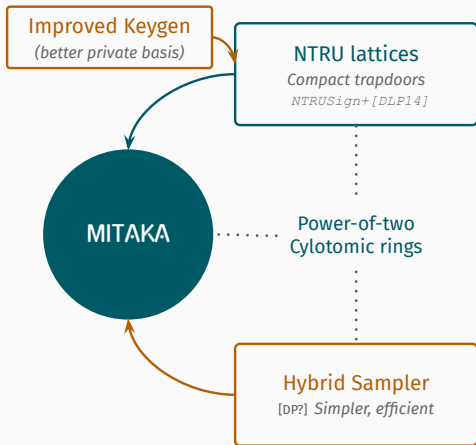
Toward Mitaka



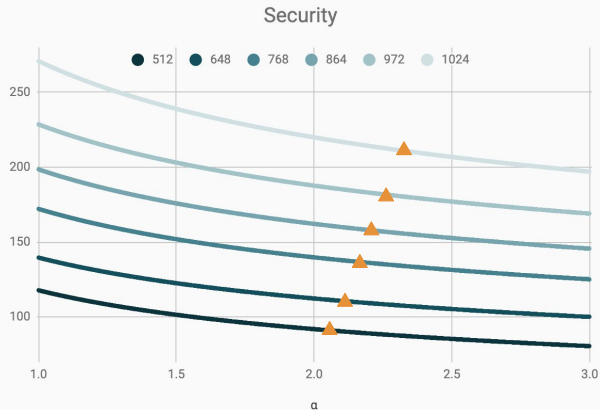
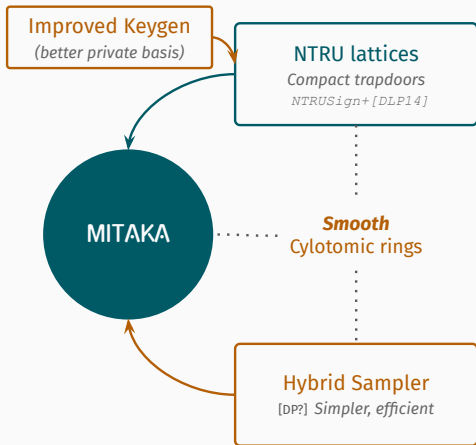
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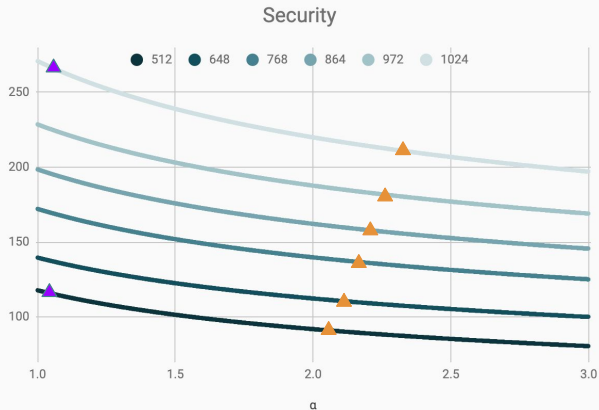
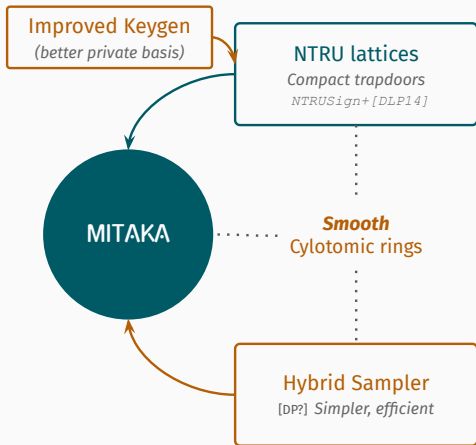
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Some Gaussian samplers

Lattice Gaussian samplers = decoding + randomization

Famous lattice decoders

made into Gaussian samplers:

Babai's Round-off:

Round target's coords in the lattice basis.

Randomize the roundings

Babai's Nearest Plane:

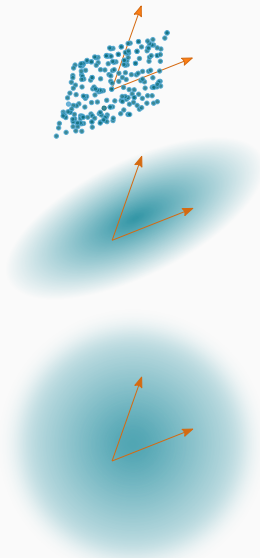
Adaptively round target's coords in the
Gram-Schmidt basis.

Randomize adaptively

There are also “in-betweens”, e.g. *Ducas-Prest hybrid sampler* (We'll cover that soon)

Randomized Round-off

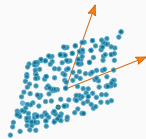
Without randomization



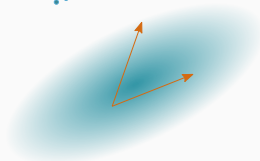
Outputs $\mathbf{z} = \mathbf{B}[\mathbf{B}^{-1}\mathbf{t}]$

Randomized Round-off

Without randomization



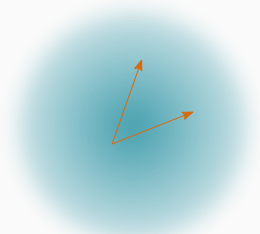
Randomize rounding
w/ discrete Gaussians
Leaks the lattice basis!



$$\mathbf{y} \leftarrow \lceil \mathbf{B}^{-1} \mathbf{t} \rceil_r$$

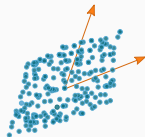
means $\mathbf{y} \leftarrow D_{\mathbb{Z}^n - \mathbf{B}^{-1} \mathbf{t}, r}$

Outputs $\mathbf{z} = \mathbf{B} \mathbf{y}$



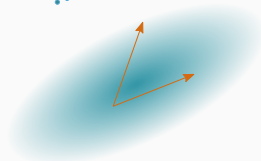
Randomized Round-off

Without randomization

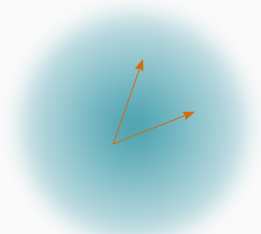


Randomize rounding
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Leaks the lattice basis!



Add Gaussian perturbation to
“smooth out” the lattice
(C. Peikert, CRYPTO 2010)



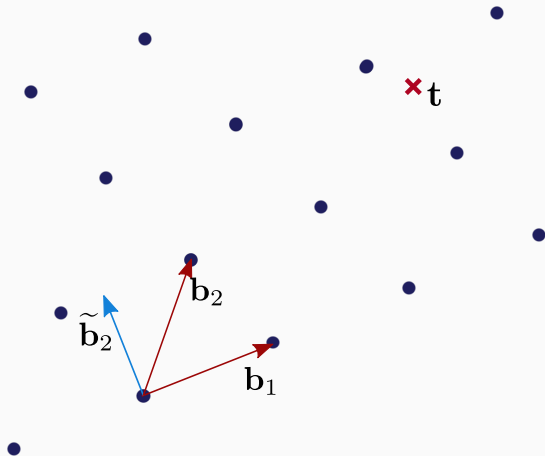
Peikert(\mathbf{B} , \mathbf{t} , σ , r):

$\mathbf{x} \leftarrow \sigma \cdot \mathcal{N}(0, 1)$

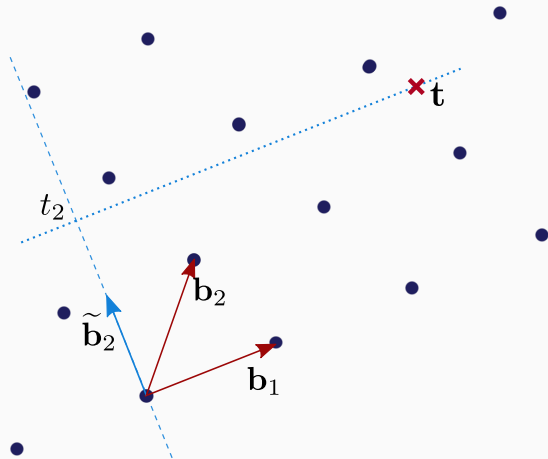
$\mathbf{y} \leftarrow \lceil \mathbf{B}^{-1} \mathbf{t} - \mathbf{x} \rceil_r$

Outputs $\mathbf{z} = \mathbf{B} \mathbf{y}$.

NearestPlane in pictures



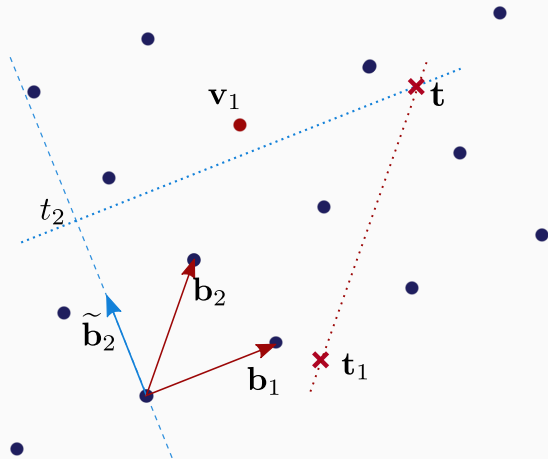
NearestPlane in pictures



Step 1:

$$t_2 := \frac{\langle t, \tilde{b}_2 \rangle}{\|\tilde{b}_2\|}, \text{ and } \lceil t_2 \rceil = 2$$

NearestPlane in pictures

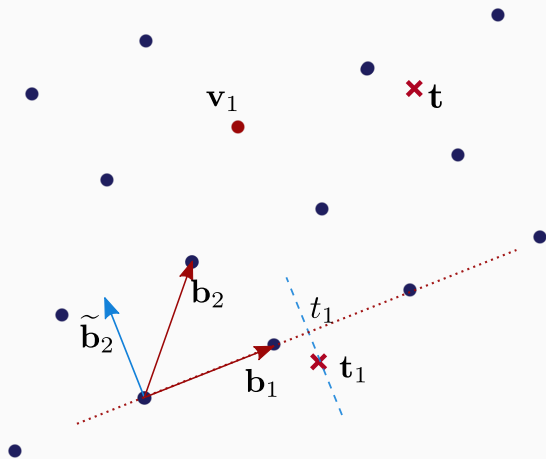


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$$\mathbf{t}_1 := \mathbf{t} - \lceil t_2 \rceil \mathbf{b}_2, \text{ and } \mathbf{v}_1 := \lceil t_2 \rceil \mathbf{b}_2.$$

NearestPlane in pictures



Step 1:

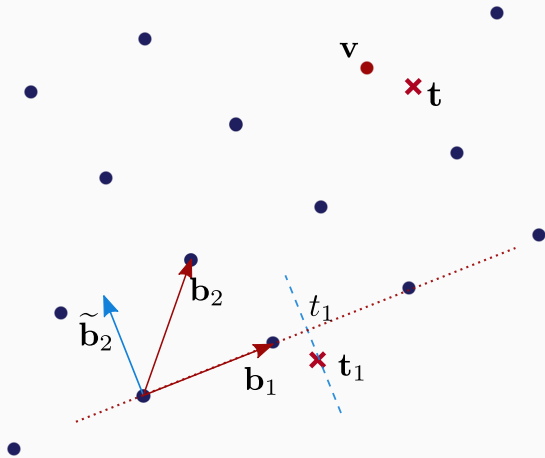
$$t_2 := \frac{\langle t, \tilde{b}_2 \rangle}{\|\tilde{b}_2\|}, \text{ and } \lceil t_2 \rceil = 2$$

$$t_1 := t - \lceil t_2 \rceil b_2, \text{ and } v_1 := \lceil t_2 \rceil b_2.$$

Step 2:

$$t_1 := \frac{\langle t_1, b_1 \rangle}{\|b_1\|}, \text{ and } \lceil t_1 \rceil = 1$$

NearestPlane in pictures



Step 1:

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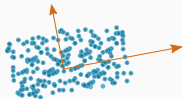
Step 2:

$$t_1 := \frac{\langle \mathbf{t}_1, \mathbf{b}_1 \rangle}{\|\mathbf{b}_1\|}, \text{ and } \lceil t_1 \rceil = 1$$

$$\mathbf{v} := \mathbf{v}_1 + \lceil t_1 \rceil \mathbf{b}_1.$$

Randomized NearestPlane: Klein's sampler

Without randomization



Randomize the rounding
of each $t_i \in \mathbb{R}$

Leaks Gram-Schmidt basis!

On each $\mathbb{R}\tilde{\mathbf{b}}_i$, rescale
adaptively: $s_i := \frac{s}{\|\tilde{\mathbf{b}}_i\|}$



Klein($\mathbf{B}, \tilde{\mathbf{B}}, \mathbf{t}, s_i, r$):

$\mathbf{v} = 0, \mathbf{c} = \mathbf{t}$

for $i = n$ to 1:

$$t_i = \left\lceil \frac{\langle \mathbf{t}, \tilde{\mathbf{b}}_i \rangle}{\|\tilde{\mathbf{b}}_i\|^2} \right\rceil_{s_i}$$

$$\mathbf{v} = \mathbf{v} + t_i \mathbf{b}_i$$

$$\mathbf{c} = \mathbf{c} - t_i \mathbf{b}_i$$

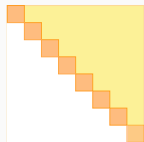
Outputs \mathbf{v}

Short interlude: module lattices in 1 min

Euclidean lattices

base ring is \mathbb{Z}

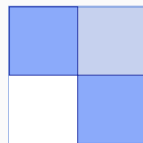
$$\begin{aligned}\mathcal{L} &= \mathbf{b}_1\mathbb{Z} \oplus \cdots \oplus \mathbf{b}_m\mathbb{Z} \\ &= \mathbf{B}\mathbb{Z}^m\end{aligned}$$


 \supsetneq

Module lattices

base ring is $R = \mathbb{Z}[x]/(f(x))$
 $\sim \mathbb{Z}^d$

$$\begin{aligned}\mathcal{M} &= \mathbf{b}_1R \oplus \cdots \oplus \mathbf{b}_kR \\ &\sim [\mathbf{b}_1]\mathbb{Z}^d \oplus \cdots \oplus [\mathbf{b}_k]\mathbb{Z}^d \\ &\sim [\mathbf{B}]\mathbb{Z}^{kd}\end{aligned}$$

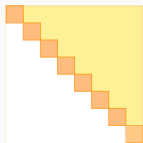


Crypto: $k \leq 5$, $d \geq 256$.

Hybrid sampling

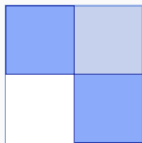
Hybrid = Klein decoding over \mathbb{R}^2
+ (Peikert) randomization in \mathcal{R} .

Klein



decoding in $2d$
randomization in \mathbb{Z}

Hybrid



decoding in rank 2
randomization in \mathcal{R}

Ex: \mathcal{R} power-of-2 cyclotomic
and $k = 2$

Hybrid($\mathbf{B}, \tilde{\mathbf{B}}_{\mathcal{R}}, \mathbf{t}, s_1, s_2$):

$\mathbf{v} = 0, \mathbf{c} = \mathbf{t}$

for $i = 2$ to 1 :

$t_i = \text{Peikert}(\mathbf{I}, \frac{\langle \mathbf{t}, \tilde{\mathbf{b}}_i \rangle_{\mathcal{R}}}{\langle \tilde{\mathbf{b}}_i, \tilde{\mathbf{b}}_i \rangle_{\mathcal{R}}}, s_i, r)$

$\mathbf{v} = \mathbf{v} + t_i \mathbf{b}_i$

$\mathbf{c} = \mathbf{c} - t_i \mathbf{b}_i$

Outputs \mathbf{v}

operations in \mathcal{R}

\Rightarrow need “good FFT domain”

Comparisons of samplers

	Pros	Cons	Quality $\mathcal{Q}(\mathbf{B})$
Peikert	fast simple	worst quality (<i>lower security</i>)	$s_1(\mathbf{B})$ (largest sing. value)
Hybrid	Good tradeoffs when \mathcal{R} has a <i>good basis</i>		$s_1(\tilde{\mathbf{B}})$
Klein	best quality (<i>higher security</i>)	slower more involved	$\max_i \ \tilde{\mathbf{b}}_i\ $

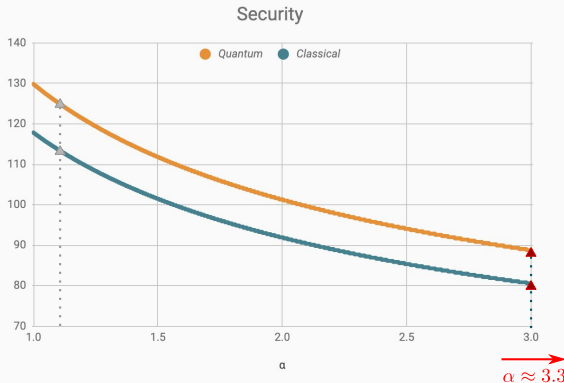
From quality to security

For NTRU-like q -ary lattices, the **quality factor** is $\alpha := \frac{\mathcal{Q}(\mathbf{B})}{\sqrt{q}}$

Concrete bitsecurity as a function of α
over 2-powers cyclotomics

$$\alpha_{\text{Falcon}} = 1.17$$

$$\alpha_{\text{Hybrid}} \geq 3.3 \text{ (naively)}$$



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NTRU Lattices

Improvements of the Key generation algorithm

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NTRU Lattices

\mathcal{R} some ring in a number field
(say, $\mathcal{R} = \mathbb{Z}[x]/(x^d + 1)$ with $d = 512$)

$$a = \sum_i a_i X^i$$

$[a]$ matrix of multiplication by f

NTRU lattice: let $f, g \in \mathcal{R}$, $a := g/f \bmod q$.

$$\mathcal{L}_{\text{NTRU}}(a) := \Lambda_q^\perp((a, -1))$$

$$\begin{bmatrix} u & v \end{bmatrix} \begin{bmatrix} a \\ -1 \end{bmatrix} = 0 \bmod q$$

public basis

$$\begin{pmatrix} 1 & a \\ 0 & q \end{pmatrix} \leftrightarrow \left[\begin{array}{c|c} \text{Id}_d & [a] \\ \hline [0] & q\text{Id}_d \end{array} \right]$$

$\mathcal{L}_{\text{NTRU}}(a)$ has rank $2d$ and volume q^d

Expect fundamental quantities to be $\approx \sqrt{q}$

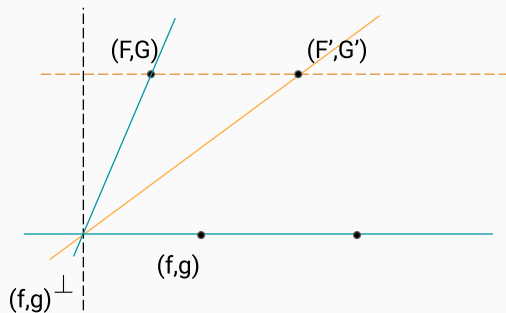
NTRU Trapdoors for signatures

A **trapdoor** is a **short** basis **B** of $\mathcal{L}_{\text{NTRU}}(\alpha)$ with **good quality** wrt. a sampler.

$$\mathbf{B} \begin{bmatrix} \alpha \\ -1 \end{bmatrix} = 0 \bmod q$$

Computing B

- Take f, g so that $\|(f, g)\| \approx \sqrt{q}$
- Complete the basis with a short (F, G) :
“Reverse” Nearest Plane
(or Euclid algorithm + geometry)



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- Take f, g so that $\|(f, g)\| \approx \sqrt{q}$
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Achieve good quality

Sample **Gaussians** (f, g) 's until:

- Falcon: $\max(\|f, g\|, \|\tilde{F}, \tilde{G}\|) \approx 1.17\sqrt{q}$
- Mitaka: $s_1(\tilde{\mathbf{B}})$ as close as possible to \sqrt{q}

Both metrics can be computed **just with** f, g

Into the key generation algorithm

(naive) **KeyGen**:

- 1) **Do** $f, g \leftarrow D_{\mathbb{Z}^d, \sqrt{\frac{q}{2d}}}$
Until $f \text{ inv. mod } q$ **And** $\|f, g\| \leq 1.17\sqrt{q}$;
- 2) *(F) quality check*: $\|\tilde{F}, \tilde{G}\| \leq 1.17\sqrt{q}$?
else restart;
- 4) $(F, G) \leftarrow \text{BasisCompletion}(f, g, q)$;
Compute all needed data;
Output (pk, sk) .

Into the key generation algorithm

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Until $f \text{ inv. mod } q$ **And** $\|f, g\| \leq 1.17\sqrt{q}$;

2) *(F) quality check*: $\|\tilde{F}, \tilde{G}\| \leq 1.17\sqrt{q}$?
 else restart;

2-bis) *(M) quality check*: $s_1(\tilde{\mathbf{B}}) \leq 2.05\sqrt{q}$?
 else restart;

4) $(F, G) \leftarrow \text{BasisCompletion}(f, g, q)$;
 Compute all needed data;
 Output (pk, sk) .

Into the key generation algorithm

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- 1) **Do** $f, g \leftarrow D_{\mathbb{Z}^d, \sqrt{\frac{q}{2d}}}$
Until $f \text{ inv. mod } q$ **And** $\|f, g\| \leq 1.17\sqrt{q}$;
- 2) *(F) quality check*: $\|\tilde{F}, \tilde{G}\| \leq 1.17\sqrt{q}$?
 else restart;
- 2-bis) *(M) quality check*: $s_1(\tilde{\mathbf{B}}) \leq 2.05\sqrt{q}$?
 else restart;
- 4) $(F, G) \leftarrow \text{BasisCompletion}(f, g, q)$;
 Compute all needed data;
 Output (pk, sk) .

Randomness is expensive, yet:

- This already happens often in Falcon
- Need ***a lot*** of tries to reach 2.05

Into the key generation algorithm

(naive) **KeyGen**:

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Our solution: amortize!

- + Reuse randomness
- + Galois automorphisms
- = “Free” blow-up of search-space
 (say, quartic)
- \Rightarrow good trapdoors in reasonable time

Into the key generation algorithm

KeyGen (σ^2 target variance; m, n number of samples; set S of Galois automorphisms)

1) [Sampling]

- $\mathcal{F}', \mathcal{F}'' \leftarrow m$ Gaussian vectors of variance $\sigma^2/2$
- $\mathcal{G} \leftarrow n$ Gaussian vectors of variance σ^2

2) [Blowing up]

- Pair two lists $\mathcal{F} \leftarrow \mathcal{F}' + \mathcal{F}''$
- Let S act on \mathcal{G} : $\mathcal{G} \leftarrow \bigcup_{\tau \in S} \tau(\mathcal{G})$

For the generation cost of $2m + n$ Gaussians, search a space of size

$$\text{Card}(S) \cdot m^2 n$$

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3) [Testing] **For** $f \in \mathcal{F}, g \in \mathcal{G}$ **do**

If quality-testing(f, g)

Output ($\text{pk}(f, g), \text{sk}(f, g)$).

For the generation cost of $2m + n$ Gaussians, search a space of size

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Faster with additional tricks
(filtering, early aborts, ...)

Quickview of the GPV framework, and Falcon's design

Sampling over (structured) lattices

NTRU lattices and their bases

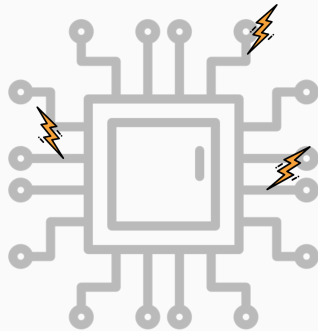
Masking Mitaka

In practice

Making signatures even shorter

Modeling side-channel adversaries

- Adversary obtains t intermediate values of the computation
 - Successfully models practical **noisy side-channel leakage** [DDF14]
-
- Any set of at most t intermediate variables is independent of the secret.



Protecting Mitaka from t-probing adversary: an overview

Arithmetic masking of $x \in \mathcal{R}$

- $(x_0, \dots, x_{t-1}) \leftarrow \text{rand}(\mathcal{R})$.
- $x_t = x - (x_0 + \dots + x_{t-1})$.
- Secret-share x : $[x] := (x_0, \dots, x_t)$.
- Masked $a \in \mathbb{R}$ can be approximated by $\frac{[a']}{C}$ with some $a', C \in \mathbb{Z}$

Computation on secret-shares

- **Linear operation** is easy! $z_i = x_i + y_i$
- **Non-linear operation** with masked polynomial multiplication gadget [PolyMult](#)

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Precomputed values:

$$[\beta_i] := \left[\frac{\tilde{b}_i^*}{\langle \tilde{b}_i, \tilde{b}_i \rangle_{\mathcal{R}}} \right]$$

MaskHybrid($[B], [\beta_1], [\beta_2], [s_1], [s_2], [c]$):

$$[v_2] := [0], [c_2] := [c]$$

for $i = 2$ to 1 :

$$[d_i] = \sum_{j=1}^2 \text{PolyMult}([c_{i,j}], [\beta_{i,j}])$$

$$[t_i] = \text{MaskPeikert}(\mathbf{I}, [d_i], [s_i], r)$$

$$[v_{i-1}] = [v_i] + \text{PolyMult}([t_i], [b_i])$$

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Outputs **Unmask**($[v_0]$)

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**Signing operations outside the sampler
are not sensitive!**

New gadgets for masking

1) [Offline]

- Outputs **continuous Gaussian** samples in *arithmetically* masked form

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Warning: security loss!

ShareByShareGauss_r([c]):

for $i = 0$ to t :

$$z_i \leftarrow D_{\mathbb{Z}, c_i, r/\sqrt{t+1}}$$

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ShareByShareGauss_r([c]):

for $i = 0$ **to** t :

$$z_i \leftarrow D_{\frac{1}{B}\mathbb{Z}, c_i, r/\sqrt{t+1}}$$

restart if $\sum \{z_i\} \neq 0$

Outputs (z_0, \dots, z_t)

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PolyMult([a], [b]):

$[\hat{a}] = \text{NTT}([a])$

$[\hat{b}] = \text{NTT}([b])$

for $j = 0$ to $d - 1$:

$[\hat{c}_j] = \text{Mult}([\hat{a}_j], [\hat{b}_j])$

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About performances

Table 1: Signature by seconds

	Falcon	Mitaka	Ratio
$d = 512$	2800	6300	2.25
$d = 1024$	1400	3100	2.21

experiments done with a **non-masked & non constant-time** implementation^(*)
and reusing Falcon's C reference code (as submitted to NIST round 3)

(*): both schemes can be made constant-time, see e.g. Howe et al., PQCrypto 2020

Practical perspectives and open problems

Mitaka can use **fixed-point arithmetic only** over cyclotomic 2-smooth

- actually implement it (and target “almost” hardware constraints!)

Challenge: the keygen is then (even more) involved since we need to avoid *continuous* perturbations in Peikert's.

- Can we extend the technique to other cyclotomic rings?
- How efficient can we complete the basis when there is no tower?
- How to maximize the efficiency of the “micro-sieving” without FFT?
- Are there other techniques/approaches to avoid FPA?

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A peek in the verification algorithm

A message is as $\mathbf{c} = (0, \mathcal{H}(\text{msg}))$. Signature $\mathbf{s} = (s_1, s_2) \in \mathcal{L}_{\text{NTRU}}$, close to \mathbf{c} .

Verif_a(msg, $s_1 \in \mathbb{R}^2$) :

We send only s_1 , so let's **reduce its length**.

Fast thanks to NTT (so, q is **well-chosen**)

1. $s_2 \leftarrow as_1 - \mathcal{H}(\text{msg}) \bmod q$
2. If $\|(s_1, s_2) - \mathbf{c}\|$ too big, **reject**.
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High-level ideas:

- Unbalance (s_1, s_2) using **elliptic sampling**
- Trade a few bits of q for efficiency

Challenge and dangers:

- we need yet another keygen
- How to keep the security level?

Elliptic sampling?

Elliptic Gaussian = a spherical Gaussian for another (euclidean) norm.

Any euclidean norm is $\|\mathbf{x}\|_Q^2 = \mathbf{x}^t Q \mathbf{x}$, with Q positive definite.

(then $\text{Vol}_Q(\mathcal{L})^2 = \det(\mathbf{B}^t Q \mathbf{B})$)

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Any euclidean norm is $\|\mathbf{x}\|_Q^2 = \mathbf{x}^t \mathbf{Q} \mathbf{x}$, with \mathbf{Q} positive definite.

(then $\text{Vol}_Q(\mathcal{L})^2 = \det(\mathbf{B}^t \mathbf{Q} \mathbf{B})$)

- Forgery hardness \sim volume of the decoding cell \Rightarrow keep volume by taking $\mathbf{Q} = \begin{bmatrix} \gamma & 0 \\ 0 & \gamma^{-1} \end{bmatrix}$,

where $\gamma \in \mathbb{R}_+^*$ or with all positive embeddings.

- Adapting Klein/Hybrid sampler straightforward: orthogonalize for the form \mathbf{Q}

Expected length of s_1 is now shorter by a factor $\sqrt{\gamma}$ compared to the previous case.

the total length stays the same (but for the other norm)

Distribution of secret keys

- the larger γ is, the smaller f is.
- the smaller q is, the smaller f, g , are.

Impact: there are regimes where

- f, g may be sampled below the smoothing parameter of \mathbb{Z}^d
- (f, g) may be very short, maybe even close to sparse ternary

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when this happens, sample it/them directly sparse ternary.

three regimes: small q , pure “twisted” gaussians, and mixed.

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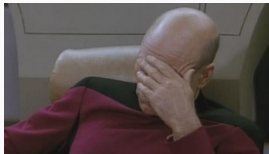
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three regimes: small q , pure “twisted” gaussians, and mixed.

But now, key recovery attacks may be more powerful...



(me two nights before the deadline)

Quick sum-up of the concrete security analysis

On key-recovery:

- 1) we prove that the best case for the attacker is to find vectors short for $\|\cdot\|_Q$.
- 2) we adapt hybrid attacks using a geometric argument when f only is sparse
this attack is (mildly) better than the regular hybrid

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On key-recovery:

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- 2) we adapt hybrid attacks using a geometric argument when f only is sparse
- 3) we identify a new attack by (pure) lattice reduction
if $k = (af - g)/q$, there are ranges for f, g where lattice reduction over $(a, -1, q)^\perp$ to recover (f, g, k) performs better than directly over $\mathcal{L}_{\text{NTRU}}$ to recover (f, g) .

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On forgery:

- 1) Smaller q or larger γ are somewhat equivalent for forgery
Because q/γ^2 drives the security against forgery.

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On forgery:

- 1) Smaller q or larger γ are somewhat equivalent for forgery
- 2) we highlight that smaller q makes some known attacks performing better
“forgetting vectors” is useful against ModFalcon, not against Falcon, but it becomes useful again when q decreases.

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Also, experimental confirmations of our heuristics, scripts to compute security levels.

Examples of new parameter sets

Parameters for and with $q = 257$ and ellipsoidal Gaussians with $\gamma = 8$. Classical security, size in bytes.

	Falcon-512			Mitaka-512		
	Security	sig	pk	Security	sig	pk
Original	123	666	896	102	710	896
Small $q = 257$	118	425	576	94	475	576
Ellipsoidal $\gamma = 8$	116	410	896	92	460	896

	Falcon-1024			Mitaka-1024		
	Security	sig	pk	Security	sig	pk
Original	272	1280	1792	233	1405	1792
Small $q = 257$	264	805	1152	209	935	1152
Ellipsoidal $\gamma = 8$	261	780	1792	204	905	1792

NB: these sizes take our generic compression technique into account (7 – 15% smaller |sig|).

Let's conclude

Further works for optimization:

- Generic Gaussian samplers and application to hash-and-sign (and more?)
- Improved/optimal keygens for lattice trapdoor sampling

Thank you!

Mitaka: a simpler, parallelizable, maskable variant of Falcon, eprint 2021/1486

Shorter hash-and-sign lattice-based signatures, eprint 2022/785