

错误定位方法 / Fault Localization (FL)

感谢 张震宇等老师提供支持

错误定位

- 有错了，怎么办

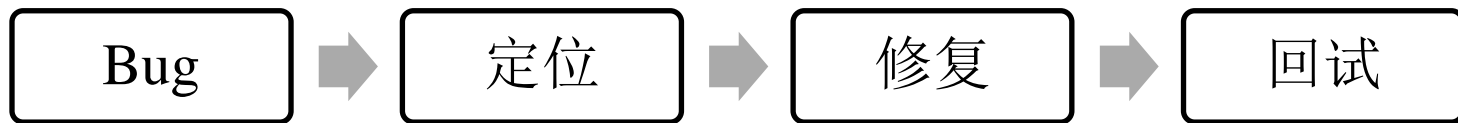
```
require 'rubygems'
require 'atkhoun'
class SneezyWeb < Atkhoun::Website
  def layout
    html do
      head do
        title 'I sneeze'
      end
      body do
        self << yield
      end
    end
  end
end
def poem_page
  h1 'The allergies season'
  p 'Flowers are red'
  p 'Buds open'
  p 'I sneeze a lot!'
end
end
```

Bug/Fault



错误定位

- 软件调试 (Debugging) 的一般流程



一般认为，软件测试开销巨大。其中，**错误定位**被认为是最难的一个环节。

错误定位

• 程序 “错误” 的描述语

- **Error**: An error is an internal state of a program that deviates from the expected behavior of the program.
- **Fault/Bug/Flaw**: Fault is set of statements which their execution may perturb the state of program to error state. In other words, it may infects the state of program.
- **Failure**: Failure is manifestation of error to an external entity (i.e. oracle) which discern the program failure. In this case we say the program fails.

❑ **Error** 程序运行时的内部状态

❑ **Fault** 或 **Bug** 是会引起 **Error** 的源码

❑ **Failure** 是 **Fault** 造成的观察到的结果

错误定位

- 查找错误相关的模块



```
head do  
  title 'I sneeze'  
end  
body do  
  self << yield  
end
```

- 准确查找错误相关的语句



```
body do  
  self << yield  
end
```

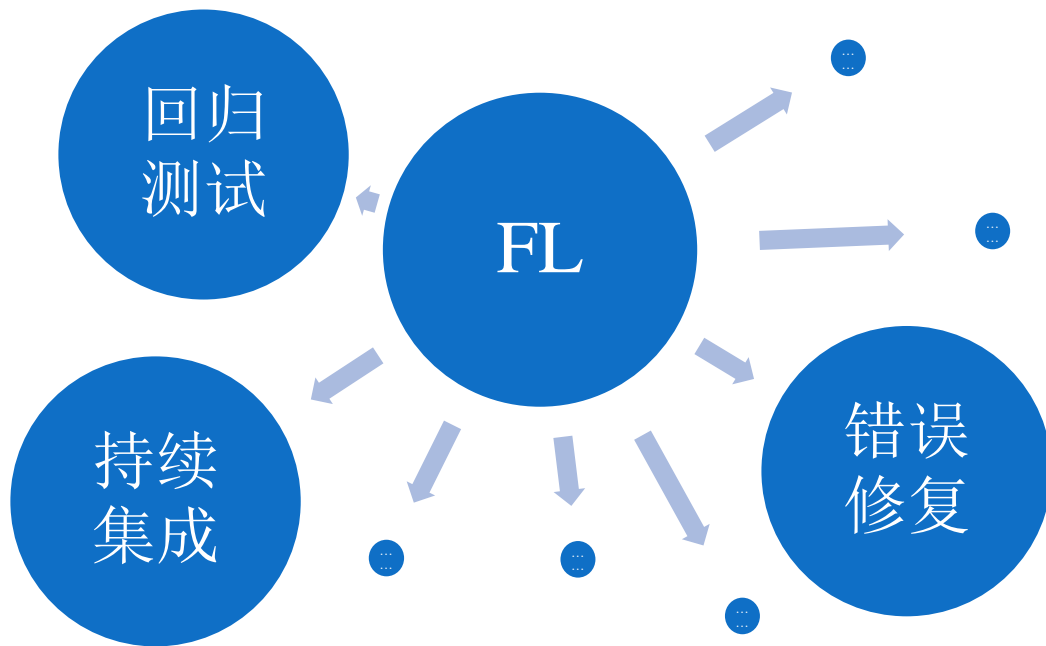
- 定位到错误的位置



```
self << yield
```

错误定位

- 关系



错误定位

• 相关的方法



相关研究分布

- 软件工程
- 编程语言
- 体系结构
- 人工智能

错误定位

- 错误定位方法
 - Slice-Based Techniques
 - **Program Spectrum-Based Techniques**
 - Statistics-Based Techniques
 - Program State-Based Techniques
 - Machine Learning-Based Techniques
 - Data Mining-Based Techniques
 - Model-Based Techniques
 - ...

错误定位

• Program Spectrum-Based Techniques (基于频谱) – 测试用例 + 疑似度公式

例子



		T15	T16	T17	T18
1	<pre>int count; int n; Ele *proc; List *src_queue, *dest_queue; if (prio >= MAXPRIO) /maxprio=3%</pre>	●	●	●	●
2	<pre>{return;}</pre>	●			
3	<pre>src_queue = prio_queue[prio]; dest_queue = prio_queue[prio+1]; count = src_queue->mem_count; if (count > 1) /* Bug!/* supposed : count>0 */ {</pre>		●	●	●
4	<pre> n = (int) (count*ratio + 1); proc = find_nth(src_queue, n); if (proc) {</pre>		●	●	
5	<pre> src_queue = del_ele(src_queue, proc); proc->priority = prio; dest_queue = append_ele(dest_queue, proc);</pre>		●	●	
Pass/Fail of Test Case Execution:		Pass	Pass	Pass	Fail

计算程序元素的怀疑度



- a_{ef} : 执行语句a的失败测试的数量, a_{nf} : 未执行语句a的失败测试的数量
- a_{ep} : 执行语句a的通过测试的数量, a_{np} : 未执行语句a的通过测试的数量

- Tarantula:
$$\frac{a_{ef}}{a_{ef}+a_{nf}} / \left(\frac{a_{ef}}{a_{ef}+a_{nf}} + \frac{a_{ep}}{a_{ep}+a_{np}} \right)$$

- Jaccard:
$$\frac{a_{ef}}{a_{ef}+a_{nf}+a_{ep}}$$

- Ochiai:
$$\frac{a_{ef}}{\sqrt{(a_{ef}+a_{nf})(a_{ef}+a_{ep})}}$$

- D*:
$$\frac{a_{ef}^2}{a_{nf}+a_{ep}}, \text{ *通常设置为2或者3}$$

- Naish1:
$$\begin{cases} -1 & a_{nf} > 0 \\ a_{np} & a_{nf} = 0 \end{cases}$$

错误定位

- 关键点

程序中的 Faults (root cause)一般并不和failure强相关

- 1、Fault 一般隐藏很深
- 2、统计定位中噪音大量存在
- 3、是否有最好的基于统计的比较方法？
- 4、Faults并非一定会导致failure

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- 2、统计定位中噪音大量存在
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错误定位

- 错误隐藏

A pointer assigned to
NULL

Another assignment

An **invalid** memory access



```
1. int foo (int a, int b)
2. {
3.     POINT pA = null; // fault
4.     ...
5.     for () {
6.         POINT pB = pA;
7.         ...
8.         if () {
9.             ✗ pB[3] = 0;
10.            ...
11.        } } }
```

错误定位

- 错误隐藏

Program states				
	Start	pA : N\A	pB : N\A	...
infect	Line 3	pA : null	pB : N\A	...
	↓			
infect	Line 6	pA : null	pB : null	...
	↓			
crash	Line 9	pA : null	pB : null	...

```
1. int foo (int a, int b)
2. {
3.     POINT pA = null; // fault
4.     ...
5.     for () {
6.         POINT pB = pA;
7.         ...
8.         if () {
9.             × pB[3] = 0;
10.            ...
11. } } }
```

错误定位

- 错误隐藏

Executing Line 3 **may not** cause
a crash



```
1. int foo (int a, int b)
2. {
3.     POINT pA = null; // fault
4.     ...
5.     for () {
6.         POINT pB = pA;
7.         ...
8.         if () {
9.             ✗ pB[3] = 0;
10.            ...
11. } } }
```

Executing Line 9 **always** cause
a crash

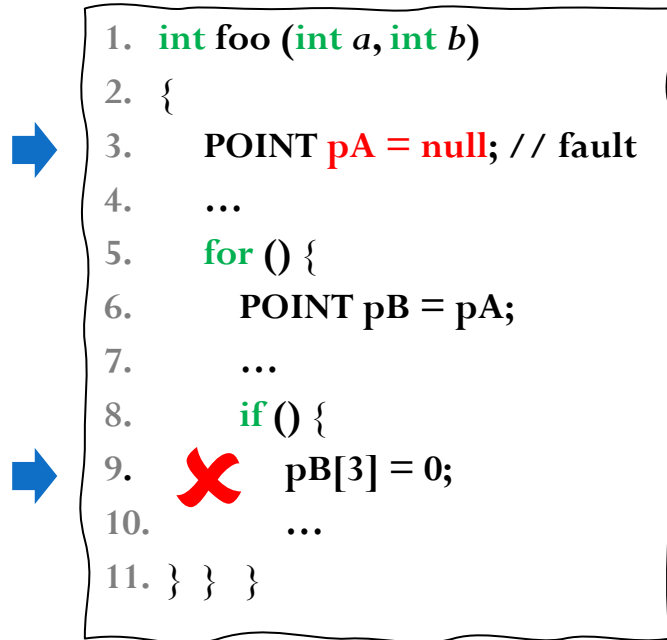


错误定位

- 错误隐藏

Line 3 **may weakly** correlate to
program failures

Line 9 **strongly** correlates to
program failures



```
1. int foo (int a, int b)
2. {
3.     POINT pA = null; // fault
4.     ...
5.     for () {
6.         POINT pB = pA;
7.         ...
8.         if () {
9.             pB[3] = 0;
10.            ...
11. } } }
```


错误定位

- 如何定位

Locating statements correlating to failures will mislead us to Line 9 and miss Line 3

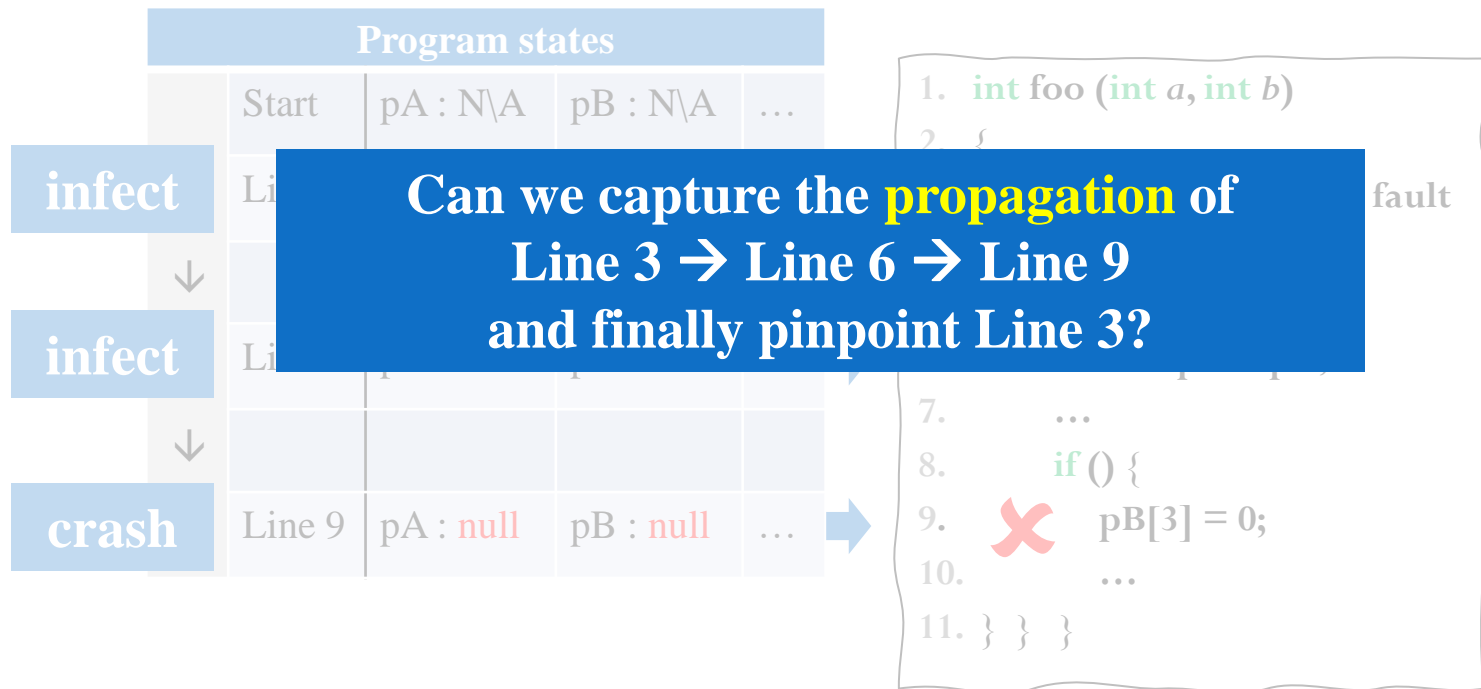
Strong correlation to failures \neq faulty statements

How to pinpoint Line 3?

```
1. int foo (int a, int b)
2. {
3.     POINT pA = null; // fault
4.     ...
5.     for () {
6.         POINT pB = pA;
7.         ...
8.         if () {
9.              pB[3] = 0;
10.            ...
11.        } } }
```

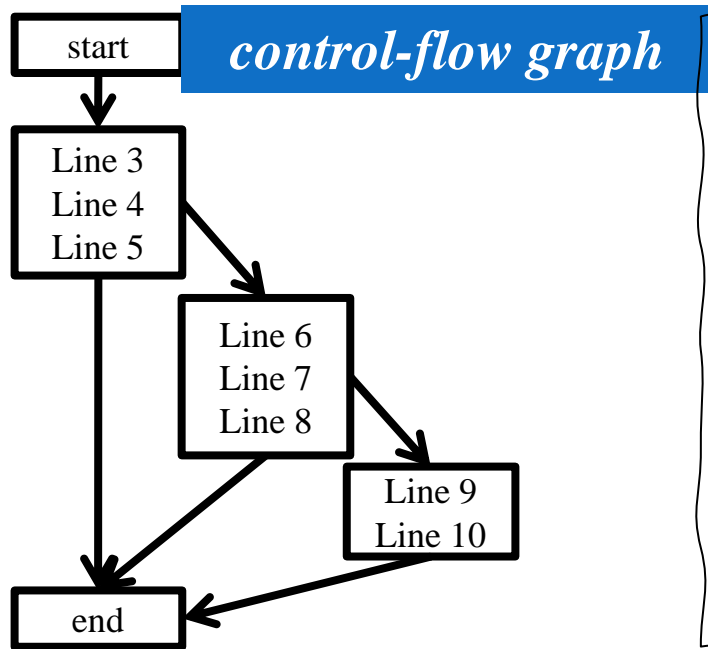

错误定位

- 如何定位



错误定位

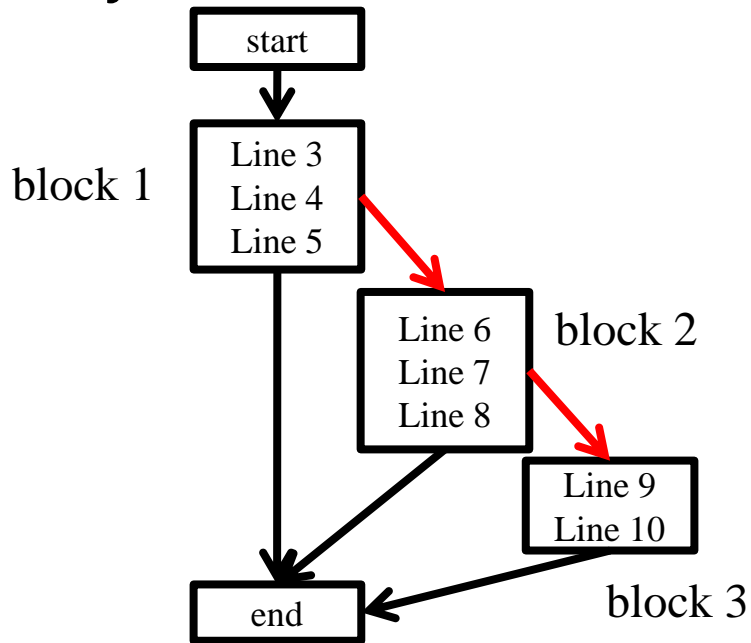
- 基于控制流图



```
1. int foo (int a, int b)
2. {
3.     POINT pA = null; // fault
4.     ...
5.     for () {
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8.         if () {
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```

错误定位

- 基于控制流图
 - Why use CFG



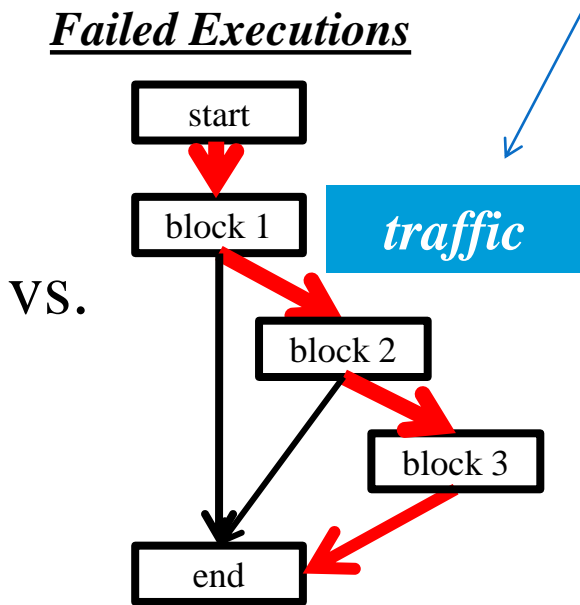
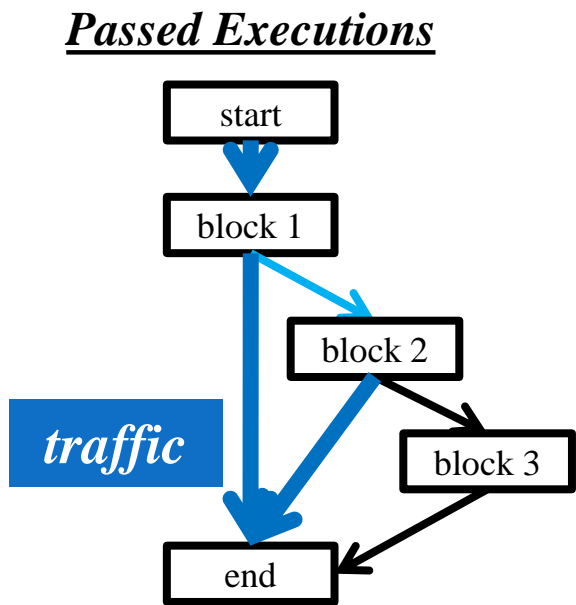
*The infected program states
are propagating via edges*

```
1. int foo (int a, int b)
2. {
3.     POINT pA = null; // fault
4.     ...
5.     for () {
6.         POINT pB = pA;
7.         ...
8.         if () {
9.             ✗ pB[3] = 0;
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11.        } } }
```

错误定位

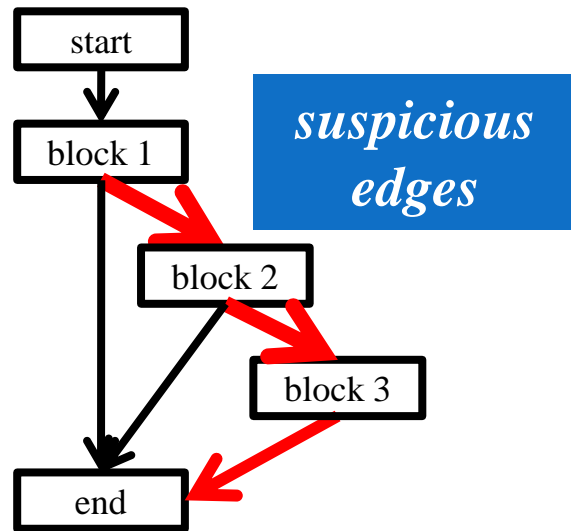
- 基于控制流图
 - Contrast and find hotspots

*Execution frequency of
every edge in program
execution*



错误定位

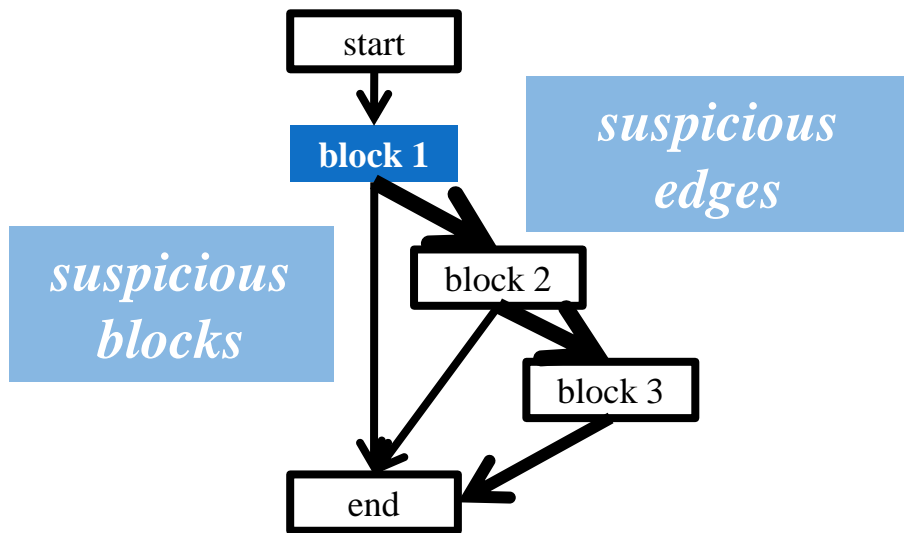
- 简单算法
 - We find the suspicious edges,



错误定位

- 简单算法

- We find the suspicious edges,
- Then, map to suspicious blocks



错误定位

- 简单算法

- Collect the traffic via each CFG edge

- For passed test case side

$\theta^{pass}(e)$: the mean traffic via edge e ,
by averaging all passed test cases

- E.g.,

- T1 executes edge e for 3 times
 - T2 executes edge e for 7 times
 - T3 executes edge e for 5 times

$$\theta^{pass}(e) = (3 + 7 + 5) / 3 = 5$$

错误定位

- 简单算法

- Collect the traffic via each CFG edge

- For passed test case side

- $\theta^{pass}(e)$: the mean traffic via edge e ,
by averaging all passed test cases

- For failed test case side

- $\theta^{fail}(e)$: the mean traffic via edge e ,
by averaging all failed test cases

错误定位

- 简单算法

- Compute suspiciousness for each CFG edge

- For each CFG edge

$$\theta^{\text{delta}}(e) = \frac{u \cdot \theta^{\text{fail}}(e)}{v \cdot \theta^{\text{fail}}(e) + u \cdot \theta^{\text{pass}}(e)}$$

of passed
test cases

of failed
test cases

- Physical meaning:

Maximum Likelihood of "passing through e causes failure"

错误定位

- 问题

- Since we need to map suspicious edges to suspicious blocks
 - What if one block has **two** edges?
- Since we use the edges and blocks of control-flow graph
 - What if **loops** exist in a control-flow graph?

错误定位

- 关键点

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- 4、Faults并非一定会导致failure

错误定位

- 举例

Program: sort.cpp

L1: void main(int argc, char** argv) {

L2: int i=atoi(argv[1]);

L3: int j=atoi(argv[2]);

L4: if(i<j)

L5: cout<<i<<" "<<j;

L6: if(i>j || **i=j**) /* faulty statement */

L7: cout<<j<<" "<<i;

L8: }

Should be
i==j

错误定位

- 举例 – short-circuiting rule

– Predicate: `i > j || i = j`

– Short-circuiting:

When `i > j` is evaluated as **True**, `i = j` will **not** be executed

错误定位

- Not all Boolean expressions will be executed

– Failed run T1: (input: 2 3 output: 2 3 3 3)

L6: if(i>j || i=j)

False	True	Both i>j and i=j are executed
-------	------	-------------------------------

– Passed run T3: (input: 3 2 output: 2 3)

L6: if(i>j || i=j)

True	---	Only i>j is executed
------	-----	----------------------

```
if (i<j)
    cout<<i<<" "<<j;
if (i>j || i=j)
    cout<<j<<" "<<i;
```

- We count execution for statement, while actually **some** of its Boolean expressions are executed
- We want to distinguish **executing i>j || i=j** from **executing i>j**

错误定位

- Not all Boolean expressions will be executed

– Failed run T1: (input: 2 3 output: 2 3 3 3)

L6:	if(i>j		i=j)
		False		True	Both i>j and i=j are executed

– Passed run T3: (input: 3 2 output: 2 3)

L6:	if(i>j		i=j)
		True		---	Only i>j is executed

```
if (i<j)
    cout<<i<<" "<<j;
if (i>j || i=j)
    cout<<j<<" "<<i;
```

- We count execution for statement, while actually **some** of its Boolean expressions are executed
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错误定位

- Not all Boolean expressions will be executed

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L6: if(i>j || i=j)

False	True	Both i>j and i=j are executed
-------	------	-------------------------------

– Passed run T3: (input: 3 2 output: 2 3)

L6: if(i>j || i=j)

True	---	Only i>j is executed
------	-----	----------------------

```
if (i<j)
    cout<<i<<" "<<j;
if (i>j || i=j)
    cout<<j<<" "<<i;
```

- We count execution for statement, while actually **some** of its Boolean expressions are executed
- We want to distinguish **executing i>j || i=j** from **executing i>j**

错误定位

- Motivation
 - fault-relevant statement is located

		Passed runs					Failed runs			
		T1	T2	T3	T4		T5	T6	T7	T8
L1	void main(...) {	0	0	0	0	←resemble→	0	0	0	0
L2	int i=atoi(argv[1]);	1	1	1	1	←resemble→	1	1	1	1
L3	int j=atoi(argv[2]);	1	1	1	1	←resemble→	1	1	1	1
L4	if(i<j)	1	1	1	1	←resemble→	1	1	1	1
L5	cout<<i<<“ ”<<j;	1	0	1	0	←resemble→	1	0	1	0
L6 (faulty)	if(i>j i=j)	1	1	1	1	←resemble→	1	1	1	1
L7 (fault-relevant)	cout<<j<<“ ”<<i;	0	1	0	1	←different→	1	1	1	1
L8	}	0	0	0	0	←resemble→	0	0	0	0

错误定位

- Motivation

			Passed runs				Failed runs				
			T1	T2	T3	T4		T5	T6	T7	T8
L1	void main(...) {		0	0	0	0	←resemble→	0	0	0	0
L2	int i=atoi(argv[1]);		1	1	1	1	←resemble→	1	1	1	1
L3	int j=atoi(argv[2]);		1	1	1	1	←resemble→	1	1	1	1
L4	if(i<j)		1	1	1	1	←resemble→	1	1	1	1
L5	cout<<i<<“ ”<<j;		1	0	1	0	←resemble→	1	0	1	0
L6	i>j i=j	if(i>j i=j)	0	0	0	0	←very different→	1	1	1	1
	i>j		1	1	1	1	←very different→	0	0	0	0
L7	cout<<j<<“ ”<<i;		0	1	0	1	←different→	1	1	1	1
L8	}		0	0	0	0	←resemble→	0	0	0	0

错误定位

- Evaluation sequence (求值序列)

Predicate: $i > j \ \ i = j$				
	Input:	$i > j$	$i = j$	Evaluation Sequence:
Case 1	Input = 2 3	Evaluated as False	Evaluated as True	<False, True>
Case 2	Input = 0 0	Evaluated as False	Evaluated as False	<False, False>
Case 3	Input = 3 2	Evaluated as True	Short-circuited	<True>

错误定位

- Evaluation sequence (求值序列)
 - Any evaluation on this predicate must fall into **one** of the three evaluation sequences
 - Use **evaluation sequence** as **unit** of investigation
 - Advantages
 - More scientific
 - Finer granularity, more precise
 - Reduce the chance of mislead

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- 3、是否有最好的基于统计的比较方法？
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错误定位

- 关于错误定位的一些思考

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Q & A

问答



Thank you