

CS 325 - Homework 7 - Solutions

1. (6 points 1 pt each) Let X and Y be two decision problems. Suppose we know that X reduces to Y in polynomial time. Which of the following can we infer? Explain

- a. If Y is NP-complete then so is X. False cannot be inferred
- b. If X is NP-complete then so is Y. False cannot be inferred
- c. If Y is NP-complete and X is in NP then X is NP-complete. False cannot be inferred
- d. If X is NP-complete and Y is in NP then Y is NP-complete. TRUE
- e. If X is in P, then Y is in P. False cannot be inferred
- f. If Y is in P, then X is in P. TRUE

2. (4 points 1 pt each) Clearly explain whether or not each of the following statements follows from that fact that COMPOSITE is in NP and SUBSET-SUM is NP-complete:

- a. $\text{SUBSET-SUM} \leq_p \text{COMPOSITE}$.

No. SUBSET-SUM is NP-complete and so may be reduced to any other NP-complete problem. However, we don't know that COMPOSITE is NP-complete, only that it is in NP.

- b. If there is an $O(n^3)$ algorithm for SUBSET-SUM, then there is a polynomial time algorithm for COMPOSITE.

Yes. The given running time is polynomial. Since SUBSET-SUM is NP-complete, this implies $P = NP$. Hence, every algorithm in NP, including COMPOSITE, would have a polynomial-time algorithm.

- c. If there is a polynomial algorithm for COMPOSITE, then $P = NP$.

No. COMPOSITE is in NP, but it is not known if it is in NP-complete.

- d. If $P \neq NP$, then **no** problem in NP can be solved in polynomial time.

No. All problems in P are also in NP and can be solved in polynomial time. Proving $P \neq NP$ would show only that NP-complete problems cannot be solved in polynomial time.

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3. **(8 points)** A Hamiltonian path in a graph is a simple path that visits every vertex exactly once. Show that $\text{HAM-PATH} = \{ (G, u, v) : \text{there is a Hamiltonian path from } u \text{ to } v \text{ in } G \}$ is NP-complete. You may use the fact that HAM-CYCLE is NP-complete

3 points

1) show $\text{HAM-PATH} \in \text{NP}$

Given a graph G with n vertices, and a path from u to v , we can verify in polynomial time that path is a simple path with n vertices, by checking the adjacency list to verify the vertices are adjacent, and that there are n vertices.

2) Show that $R \leq_p \text{HAM-PATH}$ for some $R \in \text{NP-Complete}$

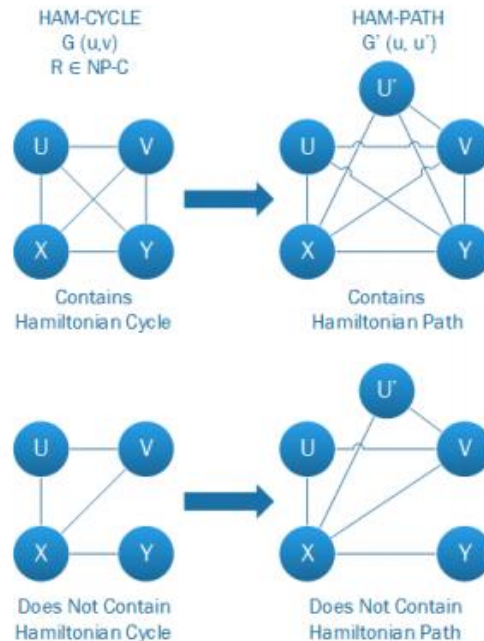
5 points

a) Select $R = \text{HAM-CYCLE}$ because it has a similar structure to HAM-PATH and we know HAM-CYCLE is NP-Complete, and therefore in NP.

b) Show that HAM-CYCLE reduces to HAM-PATH

Let $\text{HC} = \text{HAM-CYCLE}$ and $\text{HP} = \text{HAM-PATH}$

$\text{HC}(u-v)$ reduces to $\text{HP}(u-u')$. Given a graph $G(u-v)$ having a Hamiltonian Cycle, where $(u-v)$ is a set of vertices, we produce a new graph $G'(u-u')$ by duplicating arbitrary vertex u along with all of its connecting edges and naming it u' . This new graph, $G'(u, u')$ now has a Hamiltonian Path from u to u' . This reduction occurs in polynomial time simply by adding the list of edges for u' to the edge list of G . See image below:



c) If G' has a Hamiltonian Path from u to u' , then G has a Hamiltonian Cycle and conversely if G has a Hamiltonian Cycle, then G' has a Hamiltonian Path. Also IF G does not have a Hamiltonian Cycle, then G' does not have a Hamiltonian Path.

d) Since HC is NP-Complete, HP must be in NP-Hard.

Since 1 and 2 are true, HAM-PATH is NP-Complete.

Alternative proof.

HAM-PATH $\in NP$ **2 points**

Let $p = \{u, \dots, v\}$ be a certificate path.

Traverse p , and mark the number of times a vertex is visited (initially zero).

Confirm that every vertex $i \in V$ is visited exactly once, and each traversed edge $(i, j) \in E$.

HAM-PATH $\in NP$ -hard **3 points**

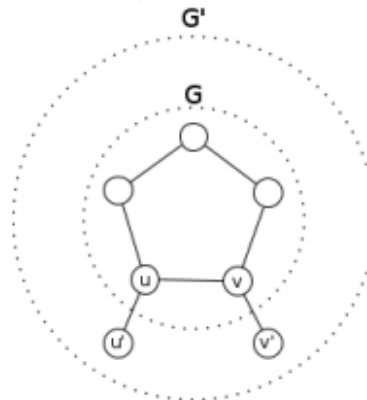
Let $G = (V, E)$ be an instance of the HAM-CYCLE problem (HAM-CYCLE $\in NP$ -complete).

Let $G' = (V', E')$ be an instance of the HAM-PATH problem.

$V' = V \cup \{u', v'\}$

$E' = E \cup \{(u', u), (v, v')\}$ for an edge $(u, v) \in E$.

Adding two vertices and two edges transforms $\langle G \rangle$ to $\langle G', u', v' \rangle$ in polynomial time.



Suppose that G has a Hamiltonian cycle.

A simple path $p = \{u, \dots, v\}$ visits each vertex in V exactly once, and $(u, v) \in E$.

A simple path $p' = \{u', u, \dots, v, v'\}$ visits each vertex in V' exactly once.

Therefore, G' has a Hamiltonian path.

Suppose that G' has a Hamiltonian path from u' to v' .

A simple path $p' = \{u', u, \dots, v, v'\}$ visits each vertex in V' exactly once.

A simple path $p = \{u, \dots, v\}$ is a subpath of p' .

p visits each vertex in V exactly once, and $(u, v) \in E$.

Therefore, G has a Hamiltonian cycle.

Having shown that HAM-PATH $\in NP$ and HAM-PATH $\in NP$ -hard, this completes the proof that HAM-PATH $\in NP$ -complete.

4. Graph-Coloring. (12 points)

Give an efficient algorithm to determine a 2-coloring of a graph, if one exists.

Several correct solutions. . (4 points) 3 for algorithm + 1 running time $O(E+V)$

Modify BFS or DFS

a. Give an efficient algorithm to determine a 2-coloring of a graph, if one exists.

While there exist uncolored vertices:

 Choose the next uncolored vertex in graph G , and color it black.

 While neighbors exist:

 Examine neighbors:

 if neighbor is colored the same as it's parent, stop and return false.

 else set color opposite to parent Then Examine its neighbors

 Return True

Let $G = (V, E)$ be the graph to which a 2-coloring is applied.
 Let C be an array indexed as $C[0] \leftarrow \text{color1}$ and $C[1] \leftarrow \text{color2}$.

```
2-COLOR( $G, C$ )
  for  $v \in V$ 
     $v.\text{visited} \leftarrow \text{false}$ 
     $v.\text{color} \leftarrow \text{none}$ 
  for  $v \in V$ 
    if  $v.\text{visited} == \text{false}$ 
      TWO-COLOR-VISIT( $v, 0, C$ )
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2-COLOR-VISIT( $v, i, C$ )
   $v.\text{visited} \leftarrow \text{true}$ 
   $v.\text{color} \leftarrow C[i]$ 
  Let  $N$  be the set of vertices adjacent to  $v$ .
  for  $n \in N$ 
    if  $v.\text{visited} == \text{true}$ 
      if  $v.\text{color} == n.\text{color}$ 
        return false
    else
      2-COLOR-VISIT( $v, 1 - i, C$ )
  return true
```

A return value of *true* indicates that a 2-coloring was assigned successfully. Like DFS, the above algorithm runs in $O(V + E)$ time.

b. It has been proven that 3-COLOR is NP-complete by using a reduction from SAT. Use the fact that 3-COLOR is NP-complete to prove that 4-COLOR is NP-complete. (8 points)

Step 1: (3 points) Show that 4-COLOR is in NP. Give a polynomial time algorithm to verify solution.

Given a Graph $G=(V,E)$ and a 4-coloring certificate function $c: V \rightarrow \{1, 2, 3, 4\}$ we can verify if c is a "legal" coloring function in polynomial time. To verify the solution, for each vertex u in V we must check the colors of the adjacent vertices. All colors of adjacent vertices must be different. If for any $(u, w) \in E$, $c(u) = c(w)$ then c is not a 4-COLORING of G . The verification of the 4-coloring is polynomial in n (the number of vertices) since $4 \leq n$ and the time required to look at all edges in G is $O(n^2)$.

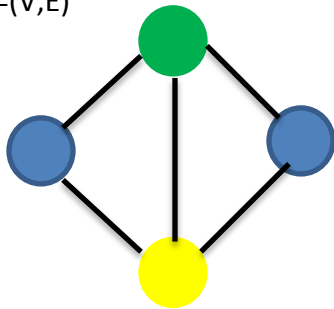
Step 2: (5 points) Show that there is a polynomial reduction from 3-COLOR to 4-COLOR.

Reduce an instance G of 3-COLOR to an instance G' of 4-COLOR in polynomial time, and show that there is a 3-COLOR in G iff there is a 4-COLOR in G' . Let $G=(V,E)$ be an instance of 3-COLOR transform G into G' by adding a new vertex w' that is connect to every other vertex. That is

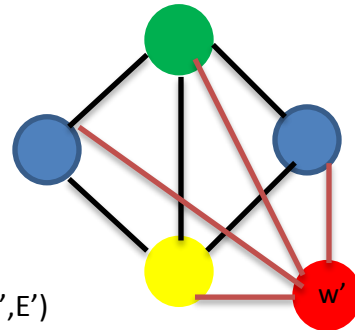
$$G'=(V', E') \text{ where } V' = V \cup \{w'\} \text{ and } E' = E \cup \{(w', u) \text{ for all } u \in V\}$$

This reduction can be done in polynomial time since we are adding one vertex and at most n edges

$G=(V,E)$



$G'=(V',E')$



blue = 1, yellow = 2, green = 3, red = 4.

If G has a 3-COLORing then G' has a 4-COLORing. Assume G has a 3-COLORing then there exists a function $c: V \rightarrow \{1, 2, 3\}$ such that for all $u, w \in V$ if $(u,w) \in E$ then $c(u) \neq c(w)$. Now define the 4-coloring function c' for G'

$$c'(u) = \begin{cases} c(u), & \text{if } u \in V \\ 4, & \text{if } u \notin V \text{ (} u = w' \text{)} \end{cases}$$

Therefore, if there is a 3-COLORing in G then there is a 4-COLORing in G'

If G' has a 4-COLORing then G has a 3-COLORing. Assume G' has a 4-COLORing, since w' is adjacent to all other vertices in G' then w' must be a different color. Let c' be the coloring function for G' , without loss of generality we can say that $c'(w') = 4$ and $c(u) \neq 4$ for all $u \in (V' - \{w'\})$. However, $(V' - \{w'\}) = (V \cup \{w'\}) - \{w'\} = V$. So we have colored all of the original vertices in

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V using only colors 1, 2 and 3 proving that G is 3-COLORable. Thus, the 4-Color problem is NP-Hard

Since it was shown in Part 1 that 4-COLOR is in NP, and by Step 2 NP-Hard, 4-COLOR is NP-Complete.