## INTRODUCTION TO SHIMURA VARIETIES AND THEIR COHOMOLOGY

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Plan:

- I) Siegel modular varieties
- II) General Shimura varieties
- III) (Étale) Cohomology: Kottwitz conjecture

(The cohomology gives a realization of the global Langlands correspondence for certain number fields.)

The main reference are Sophie Morel's notes from the IHES '22 summer school.

## §1. SIEGEL MODULAR VARIETIES

Analytically: we want to parametrize complex tori. This is fairly simple. Let V be a **C**-vector space of dimension  $m \ge 1$ ,  $\Lambda \subset V$  a lattice (a discrete subgroup such that  $V/\Lambda$  is compact), then  $X = V/\Lambda$  is a complex Lie group, which is a complex torus.

**Exercise.** — A morphism  $f: X = V/\Lambda \to X' = V'/\Lambda'$  of complex Lie groups is given by a **C**-linear map  $V \to V'$  mapping  $\Lambda$  to  $\Lambda'$ .

*Question:* Which complex tori are algebraizable, i.e.  $X \hookrightarrow \mathbb{P}^n(\mathbb{C})$  (equivalent to  $X \simeq \underline{X}^{\mathrm{an}}$  for some projective  $\underline{X}$  by Chow). Can we find a parametrization?

**Example 1.0.1.** — Let n=1 complex tori are always algebraic. There is the Weierstrass  $\wp$ -function

$$\wp: V/\Lambda \longrightarrow \mathbb{P}^1(\mathbf{C})$$

$$v \longmapsto \wp(v) = \frac{1}{v^2} + \sum_{\lambda \in \Lambda = 0} \frac{1}{(\lambda + v)^2} - \frac{1}{\lambda^2}.$$

It embeds  $V/\Lambda$  in  $\mathbb{P}^2(\mathbb{C})$  via  $[\wp : \wp' : 1]$  with image  $y^2 = P_\Lambda(x)$  where  $P_\Lambda \in \mathbb{C}[X]$  has degree 3. The coefficients of  $P_\Lambda$  are Eisenstein series (modular forms).

For n > 1, X is "almost never" algebraic.

Recall that X is algebraizable if and only if there exists  $\mathscr{L} \in \operatorname{Pic}(X)$  which is ample (see Mumford's Abelian Varieties). Recall that  $\operatorname{Pic}(X) \simeq H^1(X, \mathscr{O}_X^{\times})$ . There is a short exact sequence

$$0 \longrightarrow \underline{\mathbb{Z}}_X \longrightarrow \mathscr{O}_X \xrightarrow{\exp(2\pi i -)} \mathscr{O}_X^{\times} \longrightarrow 0$$

so we get a long exact sequence. The map

$$H^0(X, \mathscr{O}_X) \simeq \mathbf{C} \longrightarrow \mathbf{C}^{\times} \simeq H^0(X, \mathscr{O}_X^{\times})$$

is surjective so we get

$$H^{1}(X,\mathbb{Z}) \hookrightarrow H^{1}(X,\mathscr{O}_{X}) \longrightarrow H^{1}(X,\mathscr{O}_{X}) \xrightarrow{\delta} \ker(H^{2}(X,\mathbb{Z}) \to H^{2}(X,\mathscr{O}_{X}))$$

$$\downarrow^{\simeq} \qquad \qquad \downarrow^{\square} \qquad \qquad \downarrow^{\simeq} \qquad \qquad \downarrow^{\simeq}$$

$$H^{1}(\Lambda,\mathbb{Z}) \qquad \overline{T} \qquad \qquad H^{1}(\Lambda,\mathscr{O}(X)^{\times}) \qquad \text{Hom}\left(\bigwedge^{2}\Lambda,\mathbb{Z}\right)$$

$$\downarrow^{pr_{2}} \qquad \qquad \qquad \downarrow^{pr_{2}} \qquad \qquad \qquad \downarrow^{pr_{2}}$$

$$Hom(\Lambda,\mathbb{Z}) \qquad T \oplus \overline{T} \qquad \qquad \qquad \downarrow^{pr_{2}} \qquad \qquad \downarrow^{pr_{2}}$$

$$\downarrow^{pr_{2}} \qquad \qquad \downarrow^{pr_{2}} \qquad \downarrow^{pr_{2}} \qquad \qquad \downarrow^{pr_{2}$$

We have  $H^i(V, \mathbb{Z}) = 0$  for all i > 0 and  $H^i(V, \mathcal{O}_V) = 0$  for all i > 0 so Pic(V) = 0.  $\overline{T}$  are the antilinear maps  $V \to \mathbb{C}$  and  $T = \text{Hom}_{\mathbb{C}}(V, \mathbb{C})$ . Observe that

$$\operatorname{Pic}^0(X) = \ker \delta \simeq \frac{\overline{T}}{\operatorname{pr}_2(\operatorname{Hom}(\Lambda, \mathbb{Z}))}$$

is also a complex torus. The last term is the Neron-Severi group

$$NS(X) \simeq \{E : \Lambda \times \Lambda \longrightarrow \mathbb{Z} \text{ alt.} : E(iv_1, iv_2) = E(v_1, v_2), \forall v_1, v_2 \in V\}$$
  
=  $\{\Im H : H : V \times V \longrightarrow \mathbb{C} \text{ Hermitian such that } (\Im H)(\Lambda \times \Lambda) \subset \mathbb{Z}\}.$ 

The Appel-Humbert theorem completely describes Pic(X) as  $\{L(H, \alpha)\}$  with H as above and  $\alpha$  an extra datum.

**Theorem 1.0.1 (Lefschetz).** — *The following are equivalent:* 

- 1) H is positive definite.
- 2)  $L(H,\alpha)$  is ample (in fact,  $L(H,\alpha)^{\otimes 3}$  is enough to embed X).

Let  $L \in Pic(X)$  then

$$\phi_L: X \longrightarrow \operatorname{Pic}^0(X) = \widehat{X}$$
$$x \longmapsto T_x^* L \otimes L^{-1}$$

is a morphism of Lie groups (here  $T_x$  is translation by x).

**Theorem 1.0.2.** — *The following are equivalent:* 

- *L* is ample.
- $\ker \phi_L$  is finite.
- $\phi_L$  is surjective (i.e. an isogeny).

**Exercise.** — Check that  $phi_L$  is an isomorphism if and only if  $E(\cdot, \cdot)$  is perfect  $(\Lambda \simeq \text{Hom}(\Lambda, \mathbb{Z}))$ .

**Definition.** — Say that such  $\phi_L$  is a **polarization**. If  $\phi_L$  is an isomorphism, then it is called a **principal polarization**.

**Remark.** — Not every algebraic *X* admits a principal polarization, but is isogenous to one that does.

We can define the moduli space

$$\mathscr{A}_n(\mathbf{C}) = \left\{ (X, \phi) : X = V/\Lambda \text{ of dimension } n, \phi : X \longrightarrow \widehat{X} \text{ a principal polarization} \right\}$$

Let  $(V, \Lambda, H)$  be a principally polarized complex torus. Choose a symplectic basis  $(e_1, \dots, e_{2n})$  of  $\Lambda$ , i.e.

$$(E(e_i,e_j))_{i,j}=J_n=\begin{pmatrix}0&I_n\\-I_n&0\end{pmatrix}.$$

**Exercise.** —  $L = L(H, \alpha)$  is ample if and only if  $e_{n+1}, \ldots, e_{2n}$  is a basis of V over  $\mathbb{C}$  such that

$$\tau = \operatorname{Mat}_{e_{n+1}, \dots, e_{2n}}(e_1, \dots, e_n)$$

satisfies  $\tau = t$  and  $\Im(\tau)$  is positive definite.

**Definition.** —  $\mathcal{H}_n^+$  is the set of such  $\tau \in M_n(\mathbf{C})$ . There is an algebraic group

$$\mathbf{Sp}_{2n,\mathbb{Z}}: R \longmapsto \left\{g \in M_{2n}(R): {}^{t}gJ_{n}g = J_{n}\right\}.$$

There is an action of  $\mathbf{Sp}_{2n}(\mathbb{Z})$  on  $\mathscr{H}_n^+$  such that given

$$\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

we have

$$\tau \gamma = (\tau b + d)^{-1} (\tau a + c)$$

(this corresponds to replacing  $\underline{e} = (e_1, \dots, e_{2n})$  by  $\underline{e}\gamma$ ).

We prefer left actions: let  ${}^t\gamma$  act so that  $\gamma\tau = \tau * {}^t\gamma$ , i.e.

$$(\tau^t c + d)^{-1} (\tau^t a + t^t b) = (a\tau + b)(c\tau + d).$$

This extends to an action of  $\mathbf{Sp}_{2n}(\mathbf{R})$  on  $\mathcal{H}_n^+$ . This action is transitive and

$$\operatorname{Stab}_{\operatorname{\mathbf{Sp}}_{2n}(\mathbf{R})}(iI_n) \longrightarrow U(n)$$

$$\begin{pmatrix} a & b \\ -b & a \end{pmatrix} \longmapsto a + ib$$

is an isomorphism (this is a maximal compact subgroup).

So 
$$\mathscr{A}_n(\mathbf{C}) \simeq \Gamma_n \setminus \mathscr{H}_n^+$$
 where  $\Gamma_n \simeq \mathbf{Sp}_{2n}(\mathbb{Z})$ .

**Remark.** — There exists  $\gamma \in \Gamma_n \setminus \{\pm 1\}$  and  $\tau \in \mathscr{H}_n^+$  such that  $\gamma \tau = \tau$ .

There is a universal object

$$\mathscr{X}_n(\mathbf{C}) = \mathbb{Z}^{2n} \rtimes \Gamma_n \setminus \mathbf{C}^n \times \mathscr{H}_n^+$$

where

$$\gamma(v,\tau) = \begin{pmatrix} a & b \\ c & d \end{pmatrix} (v,\tau) = ((\tau^t c + t^t d)^{-1} v, \gamma \tau)$$

and

$$(\lambda_1, \lambda_2)(v, \tau) = (v + \lambda_1 + \tau \lambda_2, \tau)$$

for  $\lambda_i \in \mathbb{Z}^n$ .

There is a morphism  $\pi: \mathscr{X}(\mathbf{C}) \to \mathscr{A}_n(\mathbf{C})$  which admits a section e. The fiber of  $\tau$  is  $[\tau] \simeq \mathbf{C}^n / \Lambda_{\tau}$  where  $\Lambda_{\tau} = \mathbb{Z}^n \oplus \tau \mathbb{Z}^n$ . We get the **Hodge bundle**: take  $\Omega^1(V/\Lambda)$  which are translaton invariant 1-forms, which is isomorphic to  $V^*$  via  $e^*$ , then the Hodge bundle is

$$\mathscr{E}_n = e^* \Omega^1_{\mathscr{X}(\mathbf{C})/\mathscr{A}_n(\mathbf{C})} \simeq \Gamma_n \setminus \mathbf{C}^n \times \mathscr{H}_n^+$$

for action (??).

We can apply Schur functors (use the action of  $\mathfrak{S}_k$  on on  $\mathscr{E}_n^{\otimes k}$  to act on subbundles, e.g.  $\bigwedge^k \mathscr{E}_n$  for  $0 \leq k \leq n$ ). (Equivalently see  $\mathscr{E}_n$  as a  $\mathbf{GL}_n(\mathbf{C})$ -bundle on  $\mathscr{A}_n(\mathbf{C})$  and apply a holomorphic representation  $\rho: (\mathbf{GL}_n(\mathbf{C}) \to \mathbf{GL}(W).)$  Sections of such vector bundles on  $\mathscr{A}_k(\mathbf{C})$  are (level  $\Gamma_n$ , weight  $\rho$ ) Siegel modular forms on  $\mathscr{A}_n(\mathbf{C})$ .

Notation: Write

$$M_{\rho}(\Gamma_n) = \{ f \in \Gamma(A_n(\mathbf{C}), \rho(\mathscr{E}_n) : f \text{ is holomorphic at } \infty \}$$

(the last condition is automatic if n > 1). We write

$$S_{\rho}(\Gamma_n) = \{ f : \text{vanish at } \infty \} \subset M_{\rho}(\Gamma_n)$$

for the set of **cusp forms**.

We want a group theoretic description of the complex structure on  $\mathscr{A}_n(\mathbf{C})$  and these vector bundles on  $\mathscr{A}_m(\mathbf{C})$ .

We have  $Z(U(n)) \simeq U(1)$  and its centralizer in  $\mathbf{Sp}_{2n}(\mathbf{R})$  is  $U(n) = K(\mathbf{R})$  where  $K \hookrightarrow \mathbf{Sp}_{2n,\mathbf{R}}$  is an algebraic subgroup.

Over C we have

$$Z(K_{\mathbf{C}}) \simeq \mathbf{GL}_{1,\mathbf{C}} \hookrightarrow \mathbf{Sp}_{2n,\mathbf{C}}.$$

This determines two opposite parabolic subgroups  $Q_+ = K_{\mathbb{C}}N_+$ ,  $Q_-K_{\mathbb{C}}N_-$ .

**1.1. Siegel modular forms as automorphic forms** Let  $\rho : \mathbf{GL}_n(\mathbf{C}) \to \mathbf{GL}(W)$  be a holomorphic (equivalently algebraic) representation. **Siegel modular forms** are

$$M_{\rho}(\Gamma_{n}) = \left\{ \begin{array}{l} f: \mathscr{H}_{n}^{+} \to W \\ \text{holomorphic} \end{array} \middle| \begin{array}{l} \forall \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_{n}, \forall \tau \in \mathscr{H}_{n}^{+}, f(\gamma \tau) = \rho(c\tau + d) f(\tau) \\ \text{and } f \text{ holomorphic at } \infty \end{array} \right\}$$
$$\subset H^{0}(\mathscr{A}_{n}(\mathbf{C}), {}^{\rho}\mathscr{E}_{n}).$$

 ${}^{
ho}\mathscr{E}_{\it{m}}$  comes from a  $\mathbf{Sp}_{2\it{n}}(\mathbf{R})$ -equivariant vector bundle on

$$\mathcal{H}_n^+ \longleftarrow \mathbf{Sp}_{2n}(\mathbf{C})/Q_-(\mathbf{C})$$

$$\cong \uparrow \\ \mathbf{Sp}_{2n}(\mathbf{R}) \longrightarrow \mathbf{Sp}_{2n}(\mathbf{R})/U(n)$$

Define

$$j: \mathbf{Sp}_{2n}(\mathbf{R}) \times \mathscr{H}_n^+ \longrightarrow \mathbf{GL}_n(\mathbf{C})$$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}, \tau \longmapsto c\tau + d.$$

This is a cocycle

$$j(gg',\tau)=j(g,g'\tau)j(g',\tau)$$

(so  $j(-,i)|_{U(n)}:U(n)\to \mathbf{GL}_n(\mathbf{C})$  is a morphism). To  $f\in M_\rho(\Gamma_n)$  associate

$$\phi_f : \Gamma_n \setminus \mathbf{Sp}_{2n}(\mathbf{R}) \longrightarrow W$$

$$g \longmapsto \phi_f(g) = \rho(j(g,i))^{-1} f(gi)$$

a smooth function. Let  $g \in \mathbf{Sp}_2 n(\mathbf{R})$  and  $k \in U(n)$ , then

$$\phi_f(gk) = \rho(j(k,i))^{-1} f(gi).$$

Assume  $W = \mathbf{C}$  for simplicity, e.g.  ${}^{\rho}\mathscr{E}_n = \left(\bigwedge^n \mathscr{E}_n\right)^{\otimes k}$ . Then

$$\phi_f \in \mathscr{A}(\Gamma_n \setminus \mathbf{Sp}_{2n}(\mathbf{R})) \subset C^{\infty}(\Gamma_n \setminus \mathbf{Sp}_{2n}(\mathbf{R}, \mathbf{C}))$$

(? details). This space has actions by  $\mathfrak g$  and U(n). By the Cauchy-Riemann equations f is holomorphic if and only if  $\phi_f$  is killed by Lie  $N_- \subset \mathfrak g = \mathbf C \otimes_{\mathbf R} \operatorname{Lie} \mathbf S \mathbf p_{2n}(\mathbf R)$ . Note that  $\operatorname{Lie}(\mathbf S \mathbf p_{2n}(\mathbf R))$  acts on  $C^\infty(\Gamma_n \setminus \mathbf S \mathbf p_{2n}(\mathbf R))$  by

$$(X \cdot \phi)(g) = \frac{d}{dt}\Big|_{t=0} \phi(ge^{tX}).$$

 $\phi_f$  lies in some generalized Verma module in  $\mathscr{A}(\Gamma_n \setminus \mathbf{Sp}_{2n}(\mathbf{R}))$ .

If  $f \in S_o(\Gamma_m)$  (vanishes at  $\infty$ ) then

$$\phi_f \in \mathscr{A}_{\mathrm{cusp}}(\Gamma_n \setminus \mathbf{Sp}_{2n}(\mathbf{R})) \subset \mathscr{A}^2(-) \subset \mathscr{A}(-)$$

and  $\mathscr{A}^2(-)$  decomposes inside  $L^2(\Gamma_n \setminus \mathbf{Sp}_{2n}(\mathbf{R}))$  with the action of  $\mathbf{Sp}_{2n}(\mathbf{R})$ . This means that cusp forms have fast decay at cusps.

As a  $(\mathfrak{g}, U(n))$ -module,

$$\mathscr{A}_{cusp} \subset \mathscr{A}^2(\Gamma_n \setminus \mathbf{Sp}_{2n}(\mathbf{R})) \simeq \bigoplus_{\substack{\pi \text{ irr} \\ (\mathfrak{g},U(n))\text{-mod}}} \pi^{\oplus m(\pi)}.$$

Siegel cusp forms correpond to special vectors in some of these  $\pi$ s (U(n)-equivariant and killed by Lie N).

**1.2. Level structures** Let  $X = V/\Lambda$  be a complex torus with a principal polarization  $phi: X \xrightarrow{\sim} \widehat{X}$ . For M > 1

$$X[M] := \ker \left( X \xrightarrow{\times M} X \right) = \frac{1}{M} \Lambda / \Lambda \simeq (\mathbb{Z}/M)^{2n}.$$

The map  $[M]_X: X \to X$  is an isogeny (i.e. surjective with finite kernel). For all isogenenies  $f: X \to Y$  inducing  $\widehat{f} = f^*: \widehat{Y} \to \widehat{X}$ , also an isogeny. We get the Weil pairing

$$\ker f \times \ker \widehat{f} \longrightarrow \mathbf{C}^{\times}$$
$$(x, [L]) \longmapsto \langle x, [L] \rangle.$$

Choose  $t: f^*L \xrightarrow{\sim} \mathscr{O}_X$  we have

$$T_{x}^{*}f^{*}L \xrightarrow{T_{x}^{*}(t)} T_{x}^{*}\mathscr{O}_{X}$$

$$\downarrow \simeq \qquad \qquad \downarrow \simeq$$

$$f^{*}L \xrightarrow{t \times \langle x, [L] \rangle} \mathscr{O}_{X}.$$

 $f = [M]_X$  is a special case, then we get  $X[M] \times \widehat{X}[M] \to \mu_M(\mathbf{C})$  and usaing a polarization we get  $\langle \cdot, \cdot \rangle_{\phi} : X[M] \times X[M] \longrightarrow \mu_M(\mathbf{C}).$ 

**Proposition 1.2.1.** —  $\langle \cdot, \cdot \rangle_{\phi}$  *is alternating and non-degenerate.* 

*Proof.* Recall that  $\phi$  is  $\phi_L$  for some  $L = L(H, \alpha)$ , let  $E = \Im H : \Lambda \times \Lambda \to \mathbb{Z}$ . Then

$$\begin{array}{ccc} X[M] \times X[M] & \xrightarrow{\langle \cdot, \cdot \rangle_{\phi}} & \mu_{M}(\mathbf{C}) \\ & & \downarrow \simeq & \uparrow \exp(2\pi i -) \\ & \left(\frac{1}{M}\Lambda/\Lambda\right)^{2} & \xrightarrow{ME(\cdot, \cdot)} & \frac{1}{M}\mathbb{Z}/\mathbb{Z} \end{array}$$

**Definition.** — Temporarily we define a level structure on  $(X, \phi)$  to be

$$(\mathbb{Z}/M)^{2n} \xrightarrow{\sim}_{\eta} X[M]$$

such that  $\eta^* \langle \cdot, \cdot \rangle_{\phi}$  is the standard pairing for metric  $J_n$ .

**Fact.** — By strong approximation  $\mathbf{Sp}_{2n}(\mathbb{Z}) \twoheadrightarrow \mathbf{Sp}_{2n}(\mathbb{Z}/M\mathbb{Z})$ . Define  $\Gamma_m(M)$  to be the kernel.

**Corollary 1.2.1.** — There is a bijection

$$\{(X,\phi,\eta)|PPAV \text{ with a level }M \text{ structure}\}\ / \sim \simeq \Gamma_n(M) \setminus \mathscr{H}_n^+ = \mathscr{A}_n'(M)(\mathbf{C}).$$

**Exercise.** — For  $M \ge 3$ , for all  $\tau \in \mathscr{H}_n^+$  show that  $\operatorname{Stab}_{\Gamma_n(M)}(\tau) = \{1\}$ . (?)

We get a tower  $(\mathscr{A}'_n(M)(\mathbf{C}))_{M\geq 1}$  ordered by divisibility. For  $M\mid M'$  we get  $\mathscr{A}'_n(M')(\mathbf{C})\to \mathscr{A}'_n(M)(\mathbf{C})$ .

Given  $(X, \phi)$ 

{level 
$$M$$
 structures on  $(X, \phi)$ }

is a right  $\mathbf{Sp}_{2n}(\mathbb{Z}/M\mathbb{Z})$ -torsor which gives us an action of

$$\mathbf{Sp}_{2n}(\widehat{\mathbb{Z}}) = \varprojlim_{M} \mathbf{Sp}_{2n}(\mathbb{Z}/M)$$

on this tower.

Also

$$\mathscr{A}'_n(M)(\mathbf{C}) \simeq \mathscr{A}'_n(M')(\mathbf{C}) / (K(M)/K(M'))$$

where

$$K(M) = \ker \left( \mathbf{Sp}_{2n}(\widehat{Z}) \longrightarrow \mathbf{Sp}_{2n}(\mathbb{Z}/M) \right).$$

The quotient K(M)/K(M') is a finite group.

**1.3.** Hecke operators (adelically) The goal is to define more natural maps between  $\mathscr{A}'_n(M)(\mathbb{C})$ . The basic idea is that given  $(X, \phi, \eta)$ , we should also consider isogeneus complex tori (i.e. quotients of X by finite subgroups). But there are some problems: this is not strictly compatible with principal polarizations. Let  $f: X \to Y$  be an isogeny,  $\phi$  be a principal polarization for Y, then  $f^*\phi := \widehat{f} \circ \phi \circ f$  has degree  $(\deg f)^2$ , so it is not principal unless f is an isomorphism.

There are two solutions:

- 1) Rescale polarizations.
- 2) Consider quasi-isogenies

$$f \in \mathbb{Q} \otimes \operatorname{Hom}(X,Y)$$
 such that  $\exists M \geq 1$  with  $Mf \in \operatorname{Hom}(X,Y)$  an isogeny.

Let's do both.

Recall the ring of adeles  $\mathbb{A} = \mathbf{R} \times \mathbb{A}_f$  where

$$\mathbb{A}_f = \prod_p' (\mathbb{Q}_p, \mathbb{Z}_p) = \left\{ (x_p)_{p \text{ prime}} \middle| \begin{array}{c} x_p \in \mathbb{Q}_p \\ \exists \text{ finite } S \text{ such that} \forall p \notin S, x_p \in \mathbb{Z}_p \end{array} \right\}.$$

Recall that

lattices in 
$$\mathbb{Q}\Lambda \leftrightarrow \left\{ (\Lambda'_p)_p \middle| \begin{array}{l} \Lambda'_p \subset \mathbb{Q}_p \otimes_{\mathbb{Z}} \Lambda \text{ is a } \mathbb{Z}_p\text{-lattice} \\ \exists \text{ finite } S \text{ such that } \forall p \notin S, \Lambda'_p = \mathbb{Z}_p \Lambda \end{array} \right\} \\ \leftrightarrow \mathbf{GL}(\mathbb{A}_f \otimes \Lambda)/\mathbf{GL}(\widehat{Z} \otimes \Lambda).$$

Proof. Reduce to the case where

$$M\Lambda \subset \Lambda' \subset \frac{1}{M}\Lambda$$

and use the chinese remainder theorem.

**Proposition 1.3.1.** — *Let*  $(X, \phi)$  *be a principally polarized abelian variety.* 

- (a) Let L be the set of principally polarized abelian varieties  $(X', \phi')$  quasi-isogeneous to  $(X, /\phi)$ , i.e. there exists a quasi-isogeny  $f: X' \dashrightarrow X$  such that  $f^*\phi = c\phi'$ , where  $c \in \mathbb{Q}_{>0}$ .
- (b) Let R be the set of  $(\Lambda'_p)_p$  such that

$$\Lambda'_p \subset V_p X := \mathbb{Q}_p \otimes_{\mathbb{Z}_p} T_p X$$

is a  $\mathbb{Z}_p$ -lattice such that there exists  $k_p$  making  $p^{k_p} \langle \cdot, \cdot \rangle |_{\Lambda'_p \times \Lambda'_p}$  take values in  $\mathbb{Z}_p(1) :== \varprojlim_k \mu_{p^k}(?)$  and is perfect, as well as there is a finite S such that for all  $p \notin S$ 

$$\Lambda'_p = T_p X := \varprojlim_k X[p^k].$$

Then

$$L/\sim \simeq R$$
.

This is also isomorphic to the set of  $\mathbf{GSp}_{2n}(\widehat{\mathbb{Z}})$ -orbits of symplectic trivializations

$$(\mathbb{A}^{2n}_f, standard\ \langle \cdot, \cdot \rangle) \xrightarrow{\sim} \left( \mathbb{Q} \otimes \prod_p T_p X, \langle \cdot, \cdot \rangle_{\phi} \right).$$

Here  $GSp_{2n}$  is the  $\mathbb{Z}$ -group scheme

$$\mathbf{GSp}_{2n}(R) = \{(g,c)|g \in M_{2n}(R), c \in R^{\times}, {}^{t}gJ_{n}g = cJ_{n}\}.$$

**Definition.** — A **level structure** for  $(X,\phi)$  is an isomorphism  $(\mathbb{Z}/M)^{2n} \xrightarrow{\sim} X[M]$ .  $\mathbb{Z}/M \xrightarrow{\sim} \mu_M(\mathbb{C})$  such that the obvious diagram commutes.

We have

$$\mathscr{A}_n(M)(\mathbf{C}) \simeq \{(X, \phi, \eta) | \text{PPAV with level } M \text{ structure} \} / \sim$$

$$\simeq \left\{ (X', \phi') \middle| K(M) \text{-orbit of trivalization of } \mathbb{Q} \otimes \prod_p T_p X' \right\} / \text{quasi-isogeny}$$

$$\simeq \mathbf{GSp}_{2n}(\mathbb{Q}) \setminus \left( \mathscr{H}_n^{\pm} \times \mathbf{GSp}_{2n}(\mathbb{A}_f) / K(M) \right)$$

where

$$\mathscr{H}_n^{\pm} = \mathscr{H}_n^+ \coprod \mathscr{H}_n^-$$

has an action of  $\mathbf{GSp}_{2n}(\mathbf{R})$  and

$$K(M):=\ker\left(\mathbf{GSp}_{2n}(\widehat{\mathbb{Z}})\longrightarrow\mathbf{GSp}_{2n}(\mathbb{Z}/M)
ight).$$