

# MOTIVIC SHEAVES

LECTURER: SIMON PEPIN LEHALLEUR, TYPESETTER: MICHAŁ MRUGAŁA

## Plan:

- L1: Motivation, construction, examples.
- L2: Six-functor formalism.
- L3: Motivic  $t$ -structures and weight structures.
- L4:  $\infty$ -categorical methods.

## §1. MOTIVATION FROM GRT AND COHOMOLOGY

### 1.1. Cohomology and sheaves for representation theory

Lecture 1

*Question:* How do you construct interesting representations?

*Answer:*

- 1) Find interesting actions.
- 2) Linearize them.

**Example 1.1.1.** — Let  $K$  be a compact Lie group. The action of  $K$  on itself gives us an action of  $K$  on  $L^2(K, \text{Haar})$  with respect to a Haar measure. The Peter-Weyl theorem says that

$$L^2(K, \text{Haar}) \simeq \bigoplus_{\pi \text{ unitary}} \pi^{\oplus \dim(\pi)}.$$

“Lie theory  $\subset$  algebraic geometry”. Reductive groups are algebraic groups with many associated varieties with group actions: flag varieties...

The linearizations we consider in this course are the many types of cohomology theories.

**Example 1.1.2 (Borel-Weil-Bott).** — Let  $T \subset B \subset G$  be a reductive group over  $\mathbf{C}$ . Let  $\lambda \in X^\vee(T)$  such that there exists  $w \in W$  with  $w * \lambda = w(\lambda + \rho) - \rho > 0$  (where  $\rho = (1/2) \sum_{\alpha \in \Phi^+} \alpha$ ). Then

$$R\Gamma(G/B, L_\lambda) \simeq \pi_{w*\lambda}[-\ell(w)]$$

where  $\ell(w)$  is the length of  $w$ .

Cohomology fits in the wider context of sheaf theory. If  $T$  is a locally contractible topological space, then

$$H_{\text{sing}}^n(T, \mathbb{Z}) \simeq H^n(T, \mathbb{Z}_T) \simeq R^n(\pi_T)_*(\mathbb{Z}_T)$$

where  $\pi_T$  is the morphism  $\pi_T : T \rightarrow \text{pt}$  with

$$R\pi_{T*} : D(T, \mathbb{Z}) \longrightarrow D(\mathbb{Z}) \simeq D(*, \mathbb{Z}).$$

Cohomology (singular with  $\mathbb{Q}$ -coefficients) of algebraic varieties over  $\mathbf{C}$  is *very* special.

- There is a weight filtration.
- There is a mixed hodge structure.

Sheaves on complex algebraic varieties are also very special:

- Perverse sheaves;
- Decomposition theorem;
- Mixed Hodge modules.

This leads to great success stories in GRT:

- Springer theory;
- Kazhdan-Lustig theory;
- geometric Satake...

**1.2. From sheaves to motivic sheaves** There are situations which can't be directly studied using these tools:

- Representation theory of reductive groups over other fields/rings/schemes.
- Modular/integral representation theory.
- $q$ -deformations, quantum groups, canonical bases.

These can be attacked using:

- $l$ -adic sheaves,
- sheaves cohomology with  $\mathbb{Z}$ -coefficients,
- $K$ -theory.

Motivic sheaves will give us a unified perspective.

*Motivic dream:* There should exist universal cohomology/sheaf theories such that

- 1) they unify and “explain” the special structure in cohomology/sheaves;
- 2) they are of algebro-geometric nature;
- 3) they “explain” the realization of algebraic cycles and algebraic  $K$ -theory.

## §2. CONSTRUCTION OF $DA^{\text{ét}}$ AND $SH$ (MOREL-VOEVODSKY)

### 2.1. Triangulated categories and localization

**Definition.** — A **triangulated category** is the data of:

- an additive category  $C$ ,
- an automorphism  $\Sigma = (-)[1] : C \xrightarrow{\sim} C$ ,
- a collection of distinguished triangles

$$A \longrightarrow B \longrightarrow C \longrightarrow A[1].$$

The data satisfies the following conditions:

- shifted distinguished triangles are distinguished up to isomorphism,
- for all  $f : A \rightarrow B$  there exists

$$A \xrightarrow{f} B \longrightarrow \text{Cone}(f) \xrightarrow{+}$$

unique up to isomorphism and functorial,

- 

$$\begin{array}{ccccccc} A & \longrightarrow & B & \longrightarrow & C & \longrightarrow & A[1] \\ \simeq \downarrow f & & \simeq \downarrow g & & \downarrow & & \simeq \downarrow f[1] \\ A' & \longrightarrow & B' & \longrightarrow & C' & \longrightarrow & A'[1] \end{array}$$

- (??)

**Remark.** — In modern language triangulated categories are replaced by stable  $\infty$ -categories. If  $C$  is a stable  $\infty$ -category, the homotopy category  $hC$  has a canonical structure of triangulated category. The reader who is familiar with this language can assume all triangulated categories to be stable  $\infty$ -categories with minimal changes.

**Example 2.1.1.** — Let  $A$  be an abelian category,  $\text{Ch}(A)$  be the abelian category of chain complexes in  $A$ . We define  $(A[1])_n = A_{n-1}$ . Given  $f : A_{\bullet} \rightarrow B_{\bullet}$  the mapping cone is given by

$$\text{Cone}(f)_n = A_{n-1} \oplus B_n, \quad d_n = \begin{pmatrix} -d_{n-1}^A & 0 \\ f & d_n^B \end{pmatrix}.$$

**Definition.** —  $f : A_{\bullet} \rightarrow B_{\bullet}$  is a **quasi-isomorphism** if for all  $n \in \mathbb{Z}$ , the map  $H_n(A_{\bullet}) \simeq H_n(B_{\bullet})$  is an isomorphism.

**Definition.** —  $D(A)$  is defined as the localization of  $\text{Ch}(A)$  by quasi-isomorphisms.

Now we consider reflexive localizations<sup>1</sup> (1-categorical ones lead to triangulated and  $\infty$ -categorical ones).

<sup>1</sup>In [Lur09] these localizations are simply called **localizations**.

**Definition.** — Let  $\mathcal{C}$  be a category (1 or  $\infty$ ).

- 1) A full subcategory  $\mathcal{C}' \subset \mathcal{C}$  is **reflexive** if  $\iota : \mathcal{C}' \rightarrow \mathcal{C}$  has a left adjoint.
- 2)  $L_W : \mathcal{C} \rightarrow \mathcal{C}[W^{-1}]$  is **reflexive** if  $L_W$  has a right adjoint.

**Lemma 2.1.1 (Reflexive subcategories are the same thing as reflexive localizations).** —

- a) Let  $\mathcal{C}' \subset \mathcal{C}$  be reflexive,  $L : \mathcal{C} \rightarrow \mathcal{C}'$  be the left adjoint to  $\iota$ . Define

$$W_L = \{f : L(f) \text{ is an isomorphism}\}.$$

Then  $\mathcal{C}' \simeq \mathcal{C}[W_L^{-1}]$  and  $L \simeq L_{W_L}$ .

- b) If  $L$  is a reflexive localization, then its right adjoint  $\iota$  is fully faithful and  $\iota : \mathcal{C}[W^{-1}] \xrightarrow{\sim} \text{EssIm}(\iota) \subset \mathcal{C}$ .

**Definition.** — Let  $S \subset \mathcal{C}$  be a collection of morphisms.

- a)  $A \in \mathcal{C}$  is  **$S$ -local** if for all  $f : B \rightarrow C$  in  $S$

$$\text{Hom}_{\mathcal{C}}(C, A) \xrightarrow{\sim} \text{Hom}_{\mathcal{C}}(B, A).$$

- b)  $f : B \rightarrow C$  is an  **$S$ -equivalence** if for all  $S$ -local  $A$

$$\text{Hom}_{\mathcal{C}}(C, A) \xrightarrow{\sim} \text{Hom}_{\mathcal{C}}(B, A).$$

**Lemma 2.1.2.** — If  $L : \mathcal{C} \rightleftarrows \mathcal{C}' : \iota$  is a reflexive localization,  $W_L$  as before, then

- $\iota$  gives an isomorphism between  $\mathcal{C}'$  and  $W_L$ -local objects.
- $W_L$  are the  $W_L$ -equivalences.

**Definition.** — Let  $\mathcal{D}$  be a triangulated category with all small coproducts.

- Let  $\kappa$  be a regular cardinal (for example  $\kappa = \aleph_0$ ). Then  $A \in \mathcal{D}$  is  **$\kappa$ -small/ $\kappa$ -compact** if and only if

$$\text{colim}_{\substack{I' \subset I \\ |I'| < \kappa}} \text{Hom} \left( A, \bigoplus_{I'} B_i \right) \xrightarrow{\sim} \text{Hom} \left( A, \bigoplus_I B_i \right).$$

- **Compact** means  $\aleph_0$ -small.  $A$  is compact if and only if

$$\bigoplus_I \text{Hom}(A, B_i) \xrightarrow{\sim} \text{Hom} \left( A, \bigoplus_I B_i \right).$$

- $\mathcal{D}$  is **presentable/well-generated** if and only if there exist  $\kappa$  and a set  $S \subset \mathcal{D}$  of  $\kappa$ -small objects which generate  $\mathcal{D}$ :

$$\forall B \in \mathcal{D}, (\forall A \in S, \text{Hom}(A, B) = 0) \implies B = 0.$$

- $\mathcal{D}$  is **compactly generated** if it is  $\aleph_0$ -presentable.

**Remark.** — More generally, one defines compact objects as those whose covariant hom-functor commutes with filtered colimits. When  $\mathcal{C}$  is triangulated or stable, it is equivalent to the definition given above.

The  $\infty$ -category of presentable  $\infty$ -categories, with colimit-preserving (and hence left-adjoint) functors, is denoted  $\text{Pr}^L$ ; it admits a closed symmetric monoidal structure. See [Lur09, §5.5].

**Definition.** —  $\mathcal{E} \subset \mathcal{D}$  is **localizing** if it is

- triangulated,
- stable under coproducts,
- thick (stable under subobjects and subquotients).

**Theorem 2.1.1 (Adjoint Functor Theorem).** — Let  $\mathcal{D}, \mathcal{D}'$  be triangulated categories with all coproducts,  $F : \mathcal{D} \rightarrow \mathcal{D}'$  be a triangulated functor and  $\mathcal{D}$  be presentable. Then  $F$  admits a right adjoint if and only if  $F$  preserves all coproducts.

**Corollary 2.1.1 (Verdier Localization).** — Let  $\mathcal{D}$  be a presentable category and  $\mathcal{E}$  be a localizing subcategory. Define

$$\mathcal{D}/\mathcal{E} = \mathcal{D}[W_{\mathcal{E}}^{-1}], \quad W_{\mathcal{E}} = \{f : \text{Cone}(f) \in \mathcal{E}\}.$$

Then  $\mathcal{D} \rightarrow \mathcal{D}/\mathcal{E}$  is a reflexive localization.

Let  $S \subset D$  be a subset of objects, then  $\langle\langle S \rangle\rangle$  is the smallest subcategory containing  $S$  such that  $D / \langle\langle S \rangle\rangle$  is a reflexive localization.

Let's get some inspiration from Betti homology, i.e. singular homology on complex algebraic varieties. Let  $X \in \text{Var}_{\mathbb{C}}^{(f,t)}$ , then we get

$$C_*^{\text{sing}}(X(\mathbb{C}), \mathbb{Z}) \in D(\mathbb{Z}).$$

This comes with some data:

- (a) •  $D(\mathbb{Z})$  has a symmetric monoidal structure:  $\otimes^{\mathbb{Z}}$ ,
- (Künneth)  $C_*(X \times Y) \simeq C_*(X) \otimes^{\mathbb{Z}} C_*(Y)$ .

which satisfies sproperties:

- (b) ( $\mathbb{A}^1$ -homotopy invariance)  $C_*(X \times \mathbb{A}^1) \xrightarrow{\sim} C_*(X)$  ( $(\mathbb{A}^1)^{\text{an}} = \mathbb{C}$  is contractible).
- (c') (Mayer-Vietoris sequence) Let  $X = U \cup V$  be a Zariski cover, then

$$C_*(U \cap V) \longrightarrow C_*(U) \oplus C_*(V) \longrightarrow C_*(X) \xrightarrow{\partial} C_*(U \cap V)[1].$$

- (c) (Étale descent) Let  $U \rightarrow X$  be étale surjective. The Čech nerve  $\check{C}_{\bullet}(U/X)$  of  $U \rightarrow X$  is a simplicial scheme  $\Delta^{\text{op}} \rightarrow \text{Sch}$  whose simplices are given by

$$\check{C}_n(U/X) = U^{\times_{X^{n+1}}}$$

and whose morphisms are induced by the universal property of fibre product.

Composition with  $C_*$  yields a simplicial complex of abelian groups

$$C_*(\check{C}_{\bullet}(U/X)) : \Delta^{\text{op}} \longrightarrow \text{Sch} \xrightarrow{C_*} \text{Ch}(\mathbb{Z}),$$

and we consider the **homotopy colimit**

$$\text{hocolim } C_*(\check{C}_{\bullet}(U/X)).$$

It can be explicitly constructed as follows: to the simplicial complex we can naturally associate a double complex of abelian groups  $C(C_*(\check{C}_{\bullet}(U/X)))$ , and then we have

$$\text{hocolim } C_*(\check{C}_{\bullet}(U/X)) \simeq \text{Tot}^{\oplus} C(C_*(\check{C}_{\bullet}(U/X))).$$

Then the canonical map

$$\text{hocolim } C_*(\check{C}_{\bullet}(U/X)) \longrightarrow C_*(U/X)$$

is a quasi-isomorphism of chain complexes.

Concretely we have a descent spectral sequence which gives us ( $U = U \cup V$ ) Mayer Vietoris.

- (d) ( $\mathbb{P}^1$ -stabilization) We have

$$\begin{aligned} C_*(\mathbb{P}_{\mathbb{C}}^1) &\simeq C_*(\text{pt}) \oplus \tilde{C}_*(\mathbb{P}_{\mathbb{C}}^1) \\ &\simeq \mathbb{Z}[0] \oplus \mathbb{Z}(1)[2], \end{aligned}$$

and  $\mathbb{Z}(1) \simeq \mathbb{Z}$  is  $\oplus$ -invertible.

Smooth varieties play a special role:

- Poincaré duality
- Gysin sequences
- $C_*(-)$  also satisfies “ $h$ -descent”, so  $C_*(-)$  is “determined” by  $C_*(-)|_{\text{Sm}}$ .

There is an associated sheaf theory:

$$D_B(-) : \text{Var}_{\mathbb{C}} \longrightarrow \text{TriCat}^{\otimes}, \quad X \longmapsto D_B(X) = D(\text{Sh}(X^{\text{an}}, \mathbb{Z})).$$

*Sketch of  $\text{DA}^{\text{ét}}$ :* Let  $S$  be a base scheme.

- Start with

$$\begin{cases} D(\text{PSh}(\text{Sm}_S, \mathbb{Z})) = D_{\text{PSh}}(S) \\ \mathbb{Z}[-] : \text{Sm}_S \rightarrow D_{\text{PSh}}(S) \end{cases}.$$

- Impose  $\mathbb{A}^1$ -invariance, étale descent, and  $\mathbb{P}^1$ -stability. This will give us  $\text{DA}^{\text{ét}}(S, \mathbb{Z})$  and  $M_S(-) : \text{Sm}_S \rightarrow \text{DA}^{\text{ét}}(S, \mathbb{Z})$ .

The surprise is that the result satisfies many *other* properties of singular (co)homology and derived categories of sheaves on complex varieties which can largely be packaged into the six-functor formalism. The result is closely related to algebraic cycles/higher Chow groups and algebraic  $K$ -theory.

Lecture 2

(Fill in  $H_*$  from the recall part)

Let  $S$  be a qcqs scheme,  $\Lambda$  be a coefficient ring. Define

$$\begin{cases} D_{\text{PSh}}(S) := D(\text{PSh}(\text{Sm}_S, \Lambda)) \text{ a presentable, symmetric monoidal, triangulated category} \\ \Lambda[\cdot] : \text{Sm}_S \rightarrow D_{\text{PSh}}(S) \end{cases}$$

*Étale descent:*

$$\begin{aligned} D_{\text{ét}}(S) &:= D(\text{Sh}_{\text{ét}}(\text{Sm}_S, \Lambda)) \\ &= D_{\text{PSh}}(S)[W_{\text{ét}}^{-1}] \end{aligned}$$

where  $W_{\text{ét}}$  are étale-local weak equivalences, i.e.  $(f : K_{\bullet} \rightarrow L_{\bullet}) \in W_{\text{ét}}$  if for all  $n$  we have

$$a_{\text{ét}} H_n(K_{\bullet}) \xrightarrow{\sim} a_{\text{ét}} H_n(L_{\bullet}),$$

and where  $a_{\text{ét}}$  is the étale sheafification functor.

$\mathbb{A}^1$ -invariance Let

$$I_{\mathbb{A}^1, (\text{ét})} = \left\{ \cdots \longrightarrow 0 \longrightarrow \Lambda_{(\text{ét})}[X \times \mathbb{A}^1] \longrightarrow \Lambda_{(\text{ét})}[X] \longrightarrow 0 \longrightarrow \cdots \mid X \in \text{Sm}_S \right\}.$$

Define

$$D_{\mathbb{A}^1}(S) := D_{\text{PSh}}(S) / \langle\langle I_{\mathbb{A}^1} \rangle\rangle = D_{\text{PSh}}(S)[W_{\mathbb{A}^1}^{-1}].$$

We have

$$L_{\mathbb{A}^1} : D_{\text{PSh}}(S) \longrightarrow D_{\text{PSh}}(S)^{\mathbb{A}^1\text{-loc}} \hookrightarrow D_{\text{PSh}}(S).$$

with the middle term isomorphic to  $D_{\mathbb{A}^1}(S)$ .

**Definition.** — Define

$$\Delta_{\text{alg}, S}^n := \text{Spec}_S(\mathcal{O}_S[X_0, \dots, X_n] / (\sum x_i - 1)) \simeq \mathbb{A}_S^n$$

then  $\Delta_{\text{alg}, S}^{\bullet}$  is a cosimplicial scheme over  $S$ .

**Definition (Suslin-Voevodsky).** — Let  $K_{\bullet} \in \text{Ch}(\text{PSh}(\text{Sm}_S, \Lambda))$ , we define

$$\text{Sing}^{\mathbb{A}^1}(K_{\bullet}) = \text{hocolim}_{\Delta^{\text{op}}} K_{\bullet}(\Delta_{\text{alg}, S}^{\bullet} \times_S X)$$

**Example 2.1.2.** — Let  $F \in \text{PSh}$  then

$$\text{Sing}^{\mathbb{A}^1}(F)(U) = \left[ \cdots \longrightarrow F(\Delta^2 \times U) \longrightarrow F(\mathbb{A}^1 \times U) \xrightarrow{i_0^* - i_1^*} F(U) \longrightarrow 0 \right].$$

**Proposition 2.1.1.** —  $L_{\mathbb{A}^1} \simeq \text{Sing}^{\mathbb{A}^1}$ .

*Proof.* The idea is to use

$$\begin{aligned} m : \mathbb{A}^1 \times \mathbb{A}^1 &\longrightarrow \mathbb{A}^1 \\ (x, y) &\longmapsto xy \end{aligned}$$

to prove

- a)  $\text{Sing}^{\mathbb{A}^1}(K_{\bullet})$  is  $\mathbb{A}^1$ -local.
- b)  $\text{Sing}^{\mathbb{A}^1}(K_{\bullet}) \rightarrow K_{\bullet}$  is  $\mathbb{A}^1$ -weak equivalence.

□

**Definition.** — The category of **effective étale motivic sheaves** on  $S$  is

$$\text{DA}^{\text{ét, eff}}(S, \Lambda) := D_{\text{ét}}(S) / \langle\langle I_{\mathbb{A}^1, \text{ét}} \rangle\rangle.$$

Write  $L_{\text{mot}}^{\text{eff}}$  for the associated localization functor.

**Lemma 2.1.3.** — *We have*

$$L_{\text{mot}}^{\text{eff}} = \underbrace{\cdots \text{Sing}^{\mathbb{A}^1} L_{\text{ét}} \text{Sing}^{\mathbb{A}^1}}_{\text{transfinite composition}}$$

**Definition.** — Let  $X \in \text{Sm}_S$ . Define

$$M_S^{\text{ét,eff}}(X) := L_{\text{mot}}^{\text{eff}} \Lambda_{\text{ét}}[X] \in \text{DA}^{\text{eff,ét}}(S, \Lambda)$$

(effective étale (relative homological) motive/motivic sheaf of  $X/S$ ).

Here  $\Lambda_{\text{ét}}$  is given by the composition

$$\text{Sm}_S \xrightarrow{\Lambda[-]} \text{PSh}(\text{Sm}_S, \Lambda) \xrightarrow{a_{\text{ét}}} \text{Sh}(\text{Sm}_S, \Lambda).$$

We have

$$M_S^{\text{ét,eff}}(X \times_S Y) \simeq M_S^{\text{ét,eff}}(X) \otimes M_S^{\text{ét,eff}}(Y).$$

**Proposition 2.1.2 (Artin-Shreier +  $\Lambda \left[ \frac{1}{p} \right]$ ).** — *Let  $S$  be a  $\mathbf{F}_p$ -scheme, then*

$$\text{DA}^{\text{ét,eff}}(S, \Lambda) \xrightarrow{\sim} \text{DA}^{\text{ét,eff}}\left(S, \Lambda \left[ \frac{1}{p} \right]\right).$$

*Proof.* We have the short-exact sequence

$$0 \longrightarrow \Lambda/p\Lambda \longrightarrow \mathbf{G}_a \otimes \Lambda \xrightarrow{\text{Fr} - \text{id}} \mathbf{G}_a \longrightarrow 0$$

and hence an exact sequence

$$\Lambda_{\text{ét}}[\mathbf{G}_a] \otimes (\mathbf{G}_a \otimes \Lambda) \xrightarrow{a_{\mathbf{G}_a} \otimes \text{id}} \mathbf{G}_a \otimes \mathbf{G}_a \otimes \Lambda \xrightarrow{m} \mathbf{G}_a \otimes \Lambda.$$

(Some remark??) Thus

$$L_{\mathbb{A}^1}(\mathbf{G}_a \otimes \Lambda) \simeq \Lambda(0)$$

and so

$$L_{\text{mot}}^{\text{eff}}(\Lambda/p\Lambda) = 0.$$

□

$\mathbb{P}^1$ -stabilization: Let  $x \in X(S)$ , we have

$$M_S^{\text{eff}}(X) = \Lambda_S(0) \oplus M_S^{\text{eff}}(X, x).$$

**Definition.** — We define

$$T := M_S^{\text{eff}}(\mathbb{P}^1, 1)[-2]$$

which is equal to  $\Lambda(1)$ .

**Exercise.** — Any  $x \in \mathbb{P}_S^1(S)$  gives the same decomposition.

We have a problem:  $T$  is not  $\otimes$ -invertible.

**Definition.** — The category of étale motivic sheaves over  $S$  is

$$\text{DA}^{\text{ét}}(S, \Lambda) := \text{DA}^{\text{ét,eff}}(S, \Lambda)[T^{\otimes -1}].$$

This is not a proper definition since we have not explained the construction. It's not clear what this means!

*Spectra:*

**Definition.** — Let  $\mathbf{C}$  be a closed, symmetric monoidal 1-category and  $T$  be an object of  $\mathbf{C}$ . A  **$T$ -prespectrum**  $A$  is given by the following datum:

$$A = \{(A_n, \sigma_n)_{n \in \mathbb{N}} \mid A_n \in \mathbf{C}, \sigma_n : T \otimes A_n \longrightarrow A_{n+1}\}.$$

By the adjunction  $\otimes \dashv \underline{\text{Hom}}$  and the fact that for each object  $A \in \mathbf{C}$  we have  $A \simeq \underline{\text{Hom}}(\mathbb{1}, A)$ , the datum of the maps  $\sigma_n$  is equivalent to the datum of maps

$$A_n \longrightarrow \underline{\text{Hom}}(T, A_{n+1}).$$

$A$  is a  **$T$ -spectrum** if for all  $n \in \mathbb{N}$  these maps are isomorphisms:

$$A_n \xrightarrow{\sim} \underline{\text{Hom}}(T, A_{n+1}).$$

We write  $\mathrm{PSp}_T(\mathbf{C})$  and  $\mathrm{Sp}_T(\mathbf{C})$  for the  $T$ -prespectrum and  $T$ -spectrum respectively.

The evaluation map

$$\mathrm{Ev}_n(A) = A_n$$

has a left adjoint  $\Sigma_T^\infty$ . To define it, put

$$\mathrm{Sus}^n(A)_m = \begin{cases} \emptyset & \text{if } m < n \\ T^{\otimes(m-n)} \otimes A & \text{if } m > n \end{cases}$$

and  $\Sigma_T^\infty := \mathrm{Sus}^0$  is the  $\infty$ -suspension functor.

**Proposition 2.1.3.** — Assume  $\mathbf{C}$  is presentably symmetrical monoidal<sup>2</sup>. Then  $\mathrm{Sp}_T(\mathbf{C}) \subset \mathrm{PSp}_T(\mathbf{C})$  is a reflexive subcategory.  $W_{st}$  is generated by

$$\left\{ \mathrm{Sus}^{n+1}(T \otimes A) \longrightarrow \mathrm{Sus}^n(A) : n \in \mathbb{N}, A \in \mathbf{C} \right\}.$$

**Definition.** — We define

$$\mathrm{DA}^{\mathrm{ét}}(S, \Lambda) := \mathrm{Sp}_T \mathrm{DA}^{\mathrm{eff}, \mathrm{ét}}(S, \Lambda).$$

(This definition is correct “with  $\infty$ -categories”.) We have

$$\begin{aligned} M_S : \mathrm{Sm}_S &\longrightarrow \mathrm{DA}^{\mathrm{ét}}(S, \Lambda) \\ X &\longmapsto L_{(\mathbb{A}^1, \mathrm{ét}, ?)} \Sigma_T^\infty M_S^{\mathrm{ét}, \mathrm{eff}}(X). \end{aligned}$$

**Remark.** —  $M \in \mathrm{DA}^{\mathrm{ét}}(S, \Lambda)$  is isomorphic to a stable  $(\mathbb{A}^1, \mathrm{ét})$ -local  $\mathbb{P}^1$ -prespectrum

$$\left\{ K_n \in \mathrm{Ch}(\mathrm{Sh}_{\mathrm{ét}}(\mathrm{Sm}_S, \Lambda)) ; \sigma_n = \Lambda_{\mathrm{ét}}[\mathbb{P}^1, 1] \otimes K_n \longrightarrow K_{n+1} \right\}$$

such that for all  $X \in \mathrm{Sm}_S, i \in \mathbb{Z}$

- $H_{\mathrm{ét}}^i(X, K_n) \xrightarrow{\sim} H_{\mathrm{ét}}^i(X \times_S \mathbb{A}^1, K_n)$
- $H_{\mathrm{ét}}^i(X, K_n) \xrightarrow{\sim} H_{\mathrm{ét}}^{i+2}(X \times_S (\mathbb{P}^1, 1), K_{n+1}).$

## 2.2. Constructible motivic sheaves

**Definition.** — We define **constructible motivic sheaves**

$$\begin{aligned} \mathrm{DA}_{\mathrm{ct}}^{\mathrm{ét}} &= \langle M_S(X)(-n) | X \in \mathrm{Sm}_S, n \in \mathbb{N} \rangle^{\mathrm{d.f.}} \\ &\subset \mathrm{DA}^{\mathrm{ét}}(S, \Lambda). \end{aligned}$$

and **locally constructible motivic sheaves**

$$\mathrm{DA}_{\mathrm{lct}}^{\mathrm{ét}}(S, \Lambda) := \{ M | \exists e : U \rightarrow S, e^* M \in \mathrm{DA}_{\mathrm{ct}} \}.$$

There is a Betti realization for  $S$  finite type over  $\mathbf{C}$

$$R_B : \mathrm{DA}^{\mathrm{ét}}(S, \Lambda) \longrightarrow D(S^{\mathrm{an}}, \Lambda)$$

by the existence of relative homology and the universal property. If  $X \in \mathrm{Sm}_S$

$$R_B(M_S(X)) \simeq H_*^{\mathrm{sing}}(X/S)$$

and

$$R_B(\mathrm{DA}_{\mathrm{lct}}^{\mathrm{ét}}(S, \Lambda)) \subset D_{\mathrm{ct}}^b(S^{\mathrm{an}}, \Lambda).$$

Another deep property is the *rigidity theorem*. Define

$$D_{\mathrm{ét}}(S, \Lambda) = D(\mathrm{Sh}_{\mathrm{ét}}(S, \Lambda))$$

and write

$$\iota : (\mathrm{Et}_S, \mathrm{ét}) \hookrightarrow (\mathrm{Sm}_S, \mathrm{ét})$$

for the inclusion, then we get

$$\iota_S^* : D_{\mathrm{ét}}(S, \Lambda) \longrightarrow \mathrm{DA}^{\mathrm{ét}}(S, \Lambda).$$

<sup>2</sup>i.e., it is presentable symmetric monoidal and the tensor product bifunctor  $- \otimes - : \mathbf{C} \times \mathbf{C} \rightarrow \mathbf{C}$  preserves colimits separately in each variable.

**Theorem 2.2.1 (Ayoub).** — *Let  $S$  be an excellent, Noetherian, finite dimensional,  $\Lambda$ -finite scheme, with any prime invertible in  $\Lambda$  or  $\mathcal{O}_S$ . Then  $\iota_S^*$  is an equivalence.*

This procedure is very flexible and admits many *variants*.

- We can change the input. Instead of complexes we can work with presheaves of simplicial sets or  $\infty$ -groupoids.
- We can change the topology. Instead of étale use Nisnevich or use presheaves with transfers.
- Change the geometric context. For example, to rigid analytic motivic sheaves.

**Definition.** — The **stable motivic homotopy category** over  $S$  is

$$\mathrm{SH}(S) := \mathrm{PSP}_T(\mathrm{PSh}(\mathrm{Sm}_S, \mathrm{sSet})) \left[ W_{(\mathbb{A}^1, \mathrm{Nis}, \mathbb{P}^1)}^{-1} \right].$$

Recall that the Nisnevich topology is between the Zariski and étale topologies.  $\mathrm{DA}^{\mathrm{ét}}(S)$  is the motivic version of  $D(S^{\mathrm{an}}, \mathbb{Z})$  and  $\mathrm{SH}(S)$  is the motivic version of sheaves of  $S^1$ -spectra on  $S^{\mathrm{an}}$ . There is also  $\mathrm{DM}(S, \Lambda)$  which are the Nisnevich-local motivic sheaves. Many important invariants of varieties only satisfy Nisnevich descent, but not étale descent; for example,  $K$ -theory or higher Chow groups.

### §3. MOTIVES OVER A FIELD

Let  $S = \mathrm{Spec}(k)$  and  $\Lambda = \mathbb{Q}$ . Define

$$\mathrm{DM}(k, \mathbb{Q}) := \mathrm{DA}^{\mathrm{ét}}(k, \mathbb{Q}).$$

The analogies you should have in mind are

- $D(\mathrm{Ind} \mathrm{MHS}_{\mathbb{Q}})$ ,
- $D(\mathrm{Ind} \mathrm{Rep}_{\mathbb{Q}_l}^{\mathrm{f.d.}} G_k)$ .

Even though the construction was very formal there are some surprises. Define

$$\mathbb{Q}\langle i \rangle := \mathbb{Q}(i)[2i]$$

be pure Tate twists and  $M\langle i \rangle := M \otimes \mathbb{Q}\langle i \rangle$ .

- *Projective bundle formula:* Let  $E \rightarrow X$  be a vector bundle, then

$$M(\mathbb{P}(E)) \simeq \bigoplus_{i=0}^{\mathrm{rank} E - 1} M(X)\langle i \rangle$$

$$M(\mathbb{P}_l^n) = \Lambda(0) \oplus \Lambda\langle 1 \rangle \oplus \cdots \oplus \Lambda\langle n \rangle.$$

- *Gysin triangle:* Let  $(c : Z \not\rightarrow X) \in \mathrm{Sm}_k$ , then we have

$$M(X \setminus Z) \longrightarrow M(X) \longrightarrow M(Z)\langle c \rangle \xrightarrow{+}$$

- *Smooth blow-up formula:*

$$M(\mathrm{Bl}_Z(X)) \simeq M(X) \oplus \bigoplus_{i=1}^{c-1} M(Z)\langle i \rangle.$$

- *Poincaré duality 1:* Let  $X$  be smooth and projective over  $k$ , then  $M(X)$  is *dualizable* with

$$M(X)^\vee \simeq M(X)\langle -\dim(X) \rangle.$$

We have  $\mathrm{DM}(k, \mathbb{Q}) \simeq \mathrm{Ind} \mathrm{DM}_{\mathrm{ct}}$ .

From here on out

$$\mathrm{DM}(k, \Lambda) = \begin{cases} \mathrm{DA}^{\mathrm{ét}}(k, \Lambda) & \Lambda \text{ a } \mathbb{Q}\text{-algebra} \\ \mathrm{DM}(k, \Lambda) & \Lambda \text{ a } \mathbb{Z}\left[\frac{1}{p}\right]\text{-algebra.} \end{cases}$$

For singular varieties  $X \in \mathrm{Sch}_R^{\mathrm{ft, sep}}$  we get  $M(X) \in \mathrm{DM}(k, \Lambda)$ . There are four theories

- $M(X)$ ,
- Borel-Moore cohomology  $M_{\mathrm{BM}}(X)$  (also denoted  $M^c(X)$  in the literature),
- $M^{\mathrm{coh}}(X)$ ,
- $M_c^{\mathrm{coh}}(X)$ .



*Localization:* Consider a closed immersion  $Z \hookrightarrow X$  and the open immersion  $X \setminus Z \hookrightarrow X$ . We have

$$M_{\text{BM}}(Z) \longrightarrow M_{\text{BM}}(X) \longrightarrow M_{\text{BM}}(?) \xrightarrow{+}$$

$$M_{\text{c}}^{\text{coh}}(X \setminus Z) \longrightarrow M_{\text{c}}^{\text{coh}}(X) \longrightarrow M_{\text{c}}^{\text{coh}}(Z) \xrightarrow{+}$$

*Poincaré duality 2:* For  $X \in \text{Sm}_k$

$$\begin{cases} M(X)^\vee \simeq M_{\text{BM}}(X) \langle -d \rangle \\ M^{\text{coh}}(X)^\vee \simeq M^{\text{coh}}(X) \langle d \rangle. \end{cases}$$

If  $M \in \text{DM}(k)$ , then  $M$  is dualizable if and only if it is constructible, if and only if it is compact.

### 3.1. Motivic cohomology and algebraic cycles

**Definition.** — Let  $X \in \text{Sm}_k$ , we define the **Motivic cohomology groups**

$$\begin{aligned} H_{\text{mot}}^{p,q}(X) &= H_{\text{mot}}^p(X, \Lambda(q)) := \text{Hom}_{\text{DM}(k, \Lambda)}(M(X), \Lambda(q)[p]) \\ &\simeq \text{Hom}_{\text{DM}(X, \Lambda)}(\Lambda_X(0), \Lambda_X(q)[p]). \end{aligned}$$

For  $X \in \text{Sch}_k^{\text{ft,sep}}$  define

$$H_{p,q}^{\text{BM}} := \text{Hom}(\Lambda(q)[p], M_{\text{BM}}(X)).$$

#### 3.1.1. Weight 1 motivic cohomology

**Lemma 3.1.1.** — *We have*

$$M_S^{\text{eff}}(\mathbb{G}_m) \simeq \Lambda(0) \oplus \Lambda(1)[1].$$

*Proof.*  $\mathbb{P}^1 = \mathbb{A}^1 \cup \mathbb{A}^1$ , so by Mayer-Vietoris we get

$$M(\mathbb{G}_m) \longrightarrow M(\mathbb{A})^{\oplus 2} \longrightarrow M(\mathbb{P}^1) \xrightarrow{+}$$

hence by  $\mathbb{A}^1$ -invariance

$$M(\mathbb{G}_m, 1) \simeq M(\mathbb{P}^1, 1)[-1].$$

□

The map  $\alpha_{\mathbb{G}_m} : \Lambda_{\text{ét}}[\mathbb{G}_m] \rightarrow \mathbb{G}_m \otimes \Lambda$  induces

$$\Lambda(1)[1] \xrightarrow{(*)} \Sigma_T^\infty(\mathbb{G}_m \otimes \Lambda).$$

**Theorem 3.1.1.** —

1)  $(*)$  is an isomorphism, so

$$\text{Pic}(s) \otimes \Lambda \xrightarrow{c_1} H_{\text{mot}}^{2,1}(S)$$

2) For  $S$  regular

$$H_{\text{mot}}^{n,1}(S) = \begin{cases} \mathcal{O}_S^\times \otimes \Lambda & n = 1 \\ \text{Pic}(S) \otimes \Lambda & n = 2 \\ 0 & \text{otherwise.} \end{cases}$$

3.1.2. *Higher Chow groups* Let  $\Delta_{\text{alg},k}^\bullet \in (\text{Sm}_k)^\Delta$ .

**Definition.** — Let  $X \in \text{Sch}_k^{\text{ft}}$  define

$$\mathfrak{z}_n(X, r) \subseteq Z_n(X \times \Delta_{\text{alg}}^r) \otimes \Lambda$$

generated by integral subvarieties of dimension  $n$  which intersect all faces properly.

(Picture) We get  $d : \mathfrak{z}_n(X, r) \rightarrow \mathfrak{z}_{n-1}(X, r-1)$  so  $\mathfrak{z}_n(X, \bullet)$  is a chain complex, called *Bloch cycle complex*. We define the higher Chow groups as the homology of this chain complex:

$$\text{CH}_n(X, r) := H_r(\mathfrak{z}_n(X, \bullet)).$$

**Theorem 3.1.2 (Voevodsky+...).** — Let  $k$  be perfect,  $X \in \text{Sch}_k^{\text{ft,sep}}$  then

$$H_{p,q}^{\text{BM}}(X) \simeq \text{CH}_q(X, p-2q, \Lambda).$$

If  $X \in \text{Sm}_k$  then

$$H_{\text{mot}}^{p,q}(X) \simeq \text{CH}^q(X, 2q-p, \Lambda)$$

$$H_{\text{mot}}^{2n,n}(X) \simeq \text{CH}^n(X, \Lambda).$$

**Corollary 3.1.1.** —

$$H_{\text{mot}}^{p,q} = 0 \quad \text{if} \quad \begin{cases} p > 2q \\ q > \min\{p, \dim X\} \end{cases}$$

### 3.2. Examples (Tate)

**Definition.** — Define

$$\text{DMT}(k, \Lambda) = \langle \Lambda(n) | n \in \mathbb{Z} \rangle^{\text{df}}$$

the **mixed Tate motives**. It contains  $\bigoplus \Lambda \langle i \rangle^{\oplus n_i}$  the **pure Tate motives**.

We have  $M(\mathbb{A}^n) = \Lambda(0)$  and  $M_{\text{BM}}(\mathbb{A}^n) = \Lambda \langle n \rangle$ .

**Exercise.** — Show that

$$M(\mathbb{A}^n \setminus \{0\}) \simeq \Lambda(0) \oplus \Lambda(n)[2n-1].$$

#### 3.2.1. Cellular varieties

**Definition.** —  $X \in \text{Sch}_k^{\text{ft}}$  is **cellular** if there exists a closed subscheme  $Z \hookrightarrow X$  such that  $X \setminus Z \xrightarrow{\sim} \mathbb{A}_k^i$  and  $Z$  is cellular.

**Proposition 3.2.1.** — Suppose  $X$  is cellular:

a) We have

$$M_{\text{BM}}(X) \simeq \bigoplus_{i=0}^d \Lambda \langle i \rangle^{n_i},$$

where  $n_i$  is the number of cells of dimension  $i$ .

b) If  $X$  is also smooth

$$M(X) \simeq \bigoplus_{j=0}^d \Lambda \langle j \rangle^{m_j},$$

where  $m_j$  is the number of cells of codimension  $j$ .

**Example 3.2.1.** —

1) Let  $G$  be split reductive,  $B \subset G$  be a Borel, then  $G/B$  is cellular (Bruhat decomposition) and

$$M(G/B) \simeq \bigoplus_{i \geq 0} \Lambda \langle i \rangle^{n_i}$$

where  $n_i$  is the number of  $w \in W$  of length  $i$ .

2) Let  $X$  be quasiprojective and smooth, with a (right?) action of  $G$  such that  $\forall x \in X, \lim_{t \rightarrow 0} tx$  exists. Then (??)

### 3.2.2. Reductive groups

**Theorem 3.2.1 (Biglami).** — *If  $G$  is split reductive, then*

$$M(G) \simeq \mathrm{Sym}^* \left( \bigoplus_{i \geq 1} \Lambda(i)[2? - i]^{\oplus n_i} \right)$$

so by Chevalley

$$R[\mathfrak{g}]^G = k[q_1, \dots, q_{\mathrm{rk} G}]$$

where  $\deg q_j = d_j$  and  $n_i$  is the number of  $j$  such that  $d_j = i$ .

**Example 3.2.2.** — We have

$$M(\mathbf{GL}_n) = \mathrm{Sym}^* (\Lambda(1)[1] \oplus \Lambda(2)[3] \oplus \dots \oplus \Lambda(n)[2n-1])$$

$$M(\mathbf{SL}_n) = \times(??)$$

**Exercise.** — What is  $M(\mathrm{Sp}_{2n})$ ?

### 3.3. Examples (non-Tate)

#### 3.3.1. Curves

**Proposition 3.3.1.** — *Let  $C$  be a smooth projective curve with a 0-cycle (with  $\Lambda$ -coefficients) of degree 1 (or if  $\Lambda$  is a  $\mathbb{Q}$ -algebra)*

$$M(C) \simeq \Lambda(0) \oplus M_1(C) \oplus \Lambda\langle 1 \rangle.$$

If  $g(C) > 0$  then  $M_n(C) \notin \mathrm{DMT}(k, \Lambda)$ .

#### 3.3.2. Commutative algebraic groups

**Theorem 3.3.1 (?).** — *We take  $\Lambda = \mathbb{Q}$  and  $G/k$  a smooth commutative group (e.g. a (semi-)abelian variety). Define*

$$M_1(G) := \Sigma_T^\infty(G \otimes \mathbb{Q}) \in \mathrm{DM}(k, G).$$

Then

$$M(G) \simeq \left( \bigoplus_{i=0}^? \mathrm{Sym}_i(M_1(G)) \right) \otimes M(\pi_0(G/R)).$$

**Example 3.3.1.** —

$$M_1(C) = M_1(\mathrm{Jac}(C))$$

## §4. SIX FUNCTOR FORMALISM

### 4.1. Betti sheaves

**Definition.** — Define

$$D_B(-) : \mathrm{Var}_{\mathbb{C}}^{\mathrm{op}} \longrightarrow \mathrm{TriCat}^{\otimes} \quad (\text{better } \mathrm{CAlg}(\mathrm{Pr}^L))$$

$$X \longmapsto D(\mathrm{Sh}(X^{\mathrm{an}}, \Lambda))$$

$$f \longmapsto f^* = Lf^* \quad \text{pullback}$$

$D_B$  is symmetric monoidal

$$f^*(F \otimes G) \simeq f^*(F) \otimes f^*(G)$$

(note that we write  $\otimes = \otimes^{\mathbb{L}}$ ).

**Proposition 4.1.1.** —  *$(f^*, f_* = Rf_*)$  is an adjoint pair. And  $D_B(X)$  is closed, i.e. there exists  $\underline{\mathrm{Hom}}(F, G)$ .*

**Definition.** — A **sheaf theory** is a symmetric monoidal functor

$$D(-) : (\mathrm{Sch}_S^{\mathrm{ft}})^{\mathrm{op}} \longrightarrow \mathrm{TriCat}^{\otimes} / \mathrm{CAlg}(\mathrm{Pr}^L)$$

So we have four functors  $(\otimes, \underline{\mathrm{Hom}})$  and  $(f^*, f_*)$  which form adjoint pairs.

**Example 4.1.1.** —

- Derived categories of étale/ $l$ -adic sheaves.
- Derived categories of (holomorphic)  $D$ -modules.

- Derived categories of mixed Hodge modules.
- $\mathrm{DA}^{\mathrm{ét}}(-)$ ,  $\mathrm{SH}(-)$ , ...
- $D(\mathrm{QCoh}(-))$ .

Let  $f : Y \rightarrow X$  be separated of finite type, then we have two functors  $f_! : D_B(Y) \rightleftarrows D_B(X) : f^!$  and  $f_!$  gives us relative cohomology with compact support.

These satisfy a bunch of natural transformations:

- *Base change:* Let

$$\begin{array}{ccc} Y' & \xrightarrow{\tilde{f}} & X' \\ \downarrow \tilde{g} & \lrcorner & \downarrow g \\ Y & \xrightarrow{f} & X \end{array}$$

be Cartesian, then we get a natural transformation

$$f_* g_*(-) \longrightarrow \tilde{g}_* \tilde{f}^*(-). \quad (\mathrm{BC})$$

- *Projection:* We have a natural transformation

$$f_*(-) \otimes - \longrightarrow f_*(- \otimes f^*(-)). \quad (\mathrm{Proj})$$

- *Künneth:* We have the natural transformation

$$f_*(-) \otimes g_*(-) \longrightarrow (f \times g)_*(- \boxtimes_X -) \quad (\mathrm{Kü})$$

where  $\boxtimes_X := \mathrm{pr}_1^*(-) \otimes \mathrm{pr}_2^*(-)$ .

In general none of these natural transformations is an isomorphism. One goal of the six functor formalism is to study how much they fail to be isomorphisms.

**Theorem 4.1.1.** — *Let  $D = D_B$ .*

- *If  $g$  is proper, then (BC) is an isomorphism.*
- *If  $f$  is proper, then (Proj) is an isomorphism.*
- *If  $f$  and  $g$  are both proper, then (Kü) is an isomorphism.*

**Proposition 4.1.2 (Open base change).** — *Assume  $f$  is an open immersion. Then (BC) is an isomorphism.*

**Definition.** — Let  $f : Y \rightarrow X$  be separated of finite type and  $F \in \mathrm{Sh}(X^{\mathrm{an}}, \Lambda)$ . Define

$$(f_! F)(U) := \left\{ s \in F(f^{-1}(U)) \mid f|_{\mathrm{Supp}(s)} \text{ is proper} \right\} \subset (f_* F)(U)$$

is the **pushforward with compact support**. We also write

$$f_! := \mathrm{R}f_! : D(Y) \longrightarrow D(X).$$

$f_! \rightarrow f_*$  is an isomorphism for  $f$  proper.

**Lemma 4.1.1.** — *Suppose  $j : U \hookrightarrow X$  is an open immersion.*

1)  $j_! : \mathrm{Sh}(U^{\mathrm{an}}) \rightarrow \mathrm{Sh}(X^{\mathrm{an}})$  is “extension by zero”

$$(j_! F)_x = \begin{cases} F_x & x \in U \\ 0 & \text{otherwise.} \end{cases}$$

2)  $j_!$  is left adjoint to  $j^*$ .

3) We have open BC:  $f^* j_! \simeq \tilde{j}_! \tilde{f}^*$  and open Proj

$$j_!(- \otimes j^*(-)) \simeq j_!(-) \otimes -.$$

Let  $f : Y \rightarrow X$  be a separated morphism of finite type, then there exists a *Nagata compactification*:  $f$  factors as

$$Y \xrightarrow{j} \bar{Y} \xrightarrow{p} X$$

where  $j$  is an open immersion and  $p$  is proper. Then

$$f_! \simeq p_! j_! \simeq p_* j_!.$$

**Theorem 4.1.2.** — (BC) We have  $g^* f_! \xrightarrow{\sim} \widetilde{f}_! \widetilde{g}^*$ .

(Proj)  $f_!(- \otimes f^*(-)) \xrightarrow{\sim} f_!(-) \otimes -$ .

(Kü)  $f_!(-) \otimes g_!(-) \xrightarrow{\sim} (f \times g)_!(- \boxtimes -)$ .

**Proposition 4.1.3.** — Let  $f$  be a separated morphism of finite type. The functor  $f_! : D_B(Y) \rightarrow D_B(X)$  commutes with all coproducts. In particular, by the Adjoint Functor Theorem  $f_!$  has a right adjoint  $f^! : D_B(X) \rightarrow D_B(Y)$  called the **exceptional pullback**.

**Example 4.1.2.** — If  $j$  is an open immersion (étale) then  $j^! \simeq j^*$ .

**Proposition 4.1.4 (Formal local duality).** — There is an isomorphism

$$\underline{\mathrm{Hom}}(f_! F, G) \xrightarrow{\sim} f_* \underline{\mathrm{Hom}}(F, f^! G).$$

**Exercise.** — Prove this!

**Example 4.1.3.** — Let  $\pi : X \rightarrow \mathrm{Spec}(\mathbb{C})$ , then

$$H_c^*(X, \mathbb{Q})^\vee \simeq H^*(X, \pi^! \mathbb{Q}).$$

To recover Poincaré duality, we need to compute  $\pi^! \mathbb{Q}$  for  $X$  smooth.

**Theorem 4.1.3 (Duality for smooth morphisms).** — Let  $q : Y \rightarrow X$  be a separated morphism of finite type.

1) There is a canonical natural transformation

$$\alpha_f : f^! \Lambda \otimes f^*(-) \longrightarrow f^!(-).$$

2) Let  $f$  be smooth separated of relative dimension  $d$ , then

- $\alpha_f$  is an isomorphism,
- $f^! \Lambda \simeq \Lambda \langle d \rangle$ .

(Better  $\Lambda(1) \simeq \Lambda$ .)

3) If  $f$  is smooth then  $f^*$  has a left adjoint

$$f_\# = f_! \langle d \rangle.$$

**Exercise (Zariski/étale separation).** — Let  $\{j_i : U_i \rightarrow X\}$  be a Zariski/étale covering then  $\{j_i^* = j_i^!\}$  is jointly conservative.

*Proof sketch.* Étale separation reduces 2) to  $f : \mathbb{A}^n \times X \rightarrow X$  (?).

and (?)  $\rightarrow H_c^*(\mathbb{C}^n)$

3) is a corollary of 2). □

**Proposition 4.1.5.** — Let  $\pi : X \rightarrow \mathrm{Spec}(\mathbb{C})$  be separated, then

$$\begin{aligned} H_{\mathrm{sing}}^*(X^{\mathrm{an}}, \Lambda) &\simeq H^*(\pi_* \overbrace{\pi^* \Lambda}^{\Lambda}) \\ H_c^*(X^{\mathrm{an}}, \Lambda) &\simeq H^*(\pi_! \pi^* \Lambda) \\ H_*(X^{\mathrm{an}}, \Lambda) &\simeq H_*(\pi_! \pi^! \Lambda) \\ H_*^{\mathrm{BM}}(X^{\mathrm{an}}, \Lambda) &\simeq H(\pi_* \pi^! \Lambda). \end{aligned}$$

**Remark.** — Let  $q$  be smooth, then  $q_\# \Lambda \simeq q_! q^! \Lambda$ .

For a quasiprojective morphism  $f$  we get two factorizations

$$\begin{cases} f = pj & f_! = p_! j_! & p \text{ proper, } j \text{ open immersion} \\ f = qi & f^! = i^! q^! & q \text{ smooth, } i \text{ closed immersion} \end{cases}$$

**Proposition 4.1.6 (Localization/gluing).** — Let  $i : Z \hookrightarrow X$  be a closed immersion and  $j : X \setminus Z = U \rightarrow X$

$$\begin{cases} j_* j^* \longrightarrow \mathrm{id} \longrightarrow i_! i^! \xrightarrow{+} \\ j_! j^! \longrightarrow \mathrm{id} \longrightarrow i_* i^* \xrightarrow{+} \end{cases}$$

(note that  $i_! = i_*$ ).

**Proposition 4.1.7 (Absolute purity).** — *Let  $i : Z \hookrightarrow X$  be a regular closed immersion of codimension  $c$  (for example, when both  $X$  and  $Z$  are smooth), then*

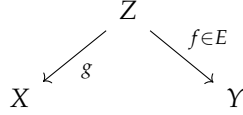
$$i^!(\Lambda_X) \simeq \Lambda_Z \langle -c \rangle.$$

So we get  $i^!\Lambda_X$  for  $i : D \hookrightarrow X$  a SNCD.

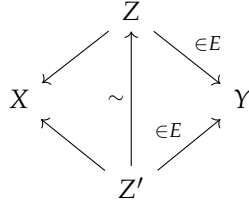
#### 4.2. What are six functor formalisms? (Lurie, Gaitsgory-Rozenblyum, Liu-Zhang, Mann, ...)

**Definition (Fake).** — Let  $\mathcal{C}$  be an  $\infty$ -category with finite limits and  $E$  be a class of morphisms stable under composition and pullbacks.  $\text{Span}(\mathcal{C}, E)$  is the  $\infty$ -category of **spans**, which can be informally described as follows:

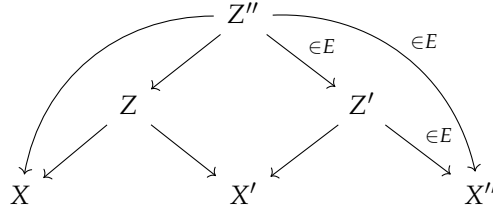
- Objects are the objects of  $\mathcal{C}$ .
- 1-morphisms are diagrams



- 2-morphisms are diagrams



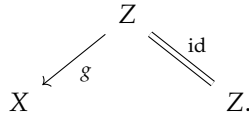
- composition is given by pullbacks



$\text{Span}(\mathcal{C}, E)$  has a symmetric monoidal structure, and there is a symmetric monoidal functor

$$(\mathcal{C}^{\text{op}}, \times) \longrightarrow (\text{Span}(\mathcal{C}, E), \otimes)$$

which maps  $g : Z \rightarrow X$  to the diagram



**Definition (Mann).** — A **3-functor formalism** is a lax symmetric monoidal<sup>3</sup> functor

$$\tilde{D} : \text{Span}(\mathcal{C}, E) \longrightarrow \text{Cat}_{\infty},$$

where  $\text{Cat}_{\infty}$  is equipped with the cartesian symmetric monoidal structure. A **6-functor formalism** is a 3-functor formalism where “right adjoints exist”.

**Fact.** —  $D_B(-)$  extends to a 3-functor formalism

$$\tilde{D}_B : \text{Span}(\text{Sch}_{\mathbb{C}}^?, \text{ft}, \text{sep}) \longrightarrow \text{Cat}_{\infty}.$$

<sup>3</sup>A symmetric monoidal functor  $D$  is required to strongly preserve the symmetric monoidal structure: it is equipped with a natural isomorphism  $D(- \otimes -) \simeq D(-) \otimes D(-)$ . A lax symmetric monoidal functor relaxes this condition, merely requiring a non-necessarily invertible natural transformation.

$\tilde{D}_B$  takes the diagram

$$\begin{array}{ccc} & Z & \\ g \swarrow & & \searrow f \\ X & & X \end{array}$$

to  $f_!g^*$ . It's lax symmetric monoidal, we have  $\boxtimes_X$  and we can apply  $\Delta_X^*$  to get  $\otimes_X$ . We have functoriality for composition of spans which gives us

$$BC : f_!g^* = \tilde{g}^*f_!$$

**Theorem 4.2.1 (Fake).** — *Let  $P, J \subseteq E$  such that  $E = P \circ J$  and consider*

$$D : C^{\text{op}} \longrightarrow \text{CAlg}(\text{Cat}_{\infty}),$$

*such that the following holds.*

- 1) *For all  $p \in P$  we have an adjoint pair  $(p^*, p_*)$ , and PBC and PProj hold.*
- 2) *For all  $j \in J$  we have an adjoint pair  $(j_!, j^*)$ , and OBC and OProj hold.*
- 3) *Let*

$$\begin{array}{ccc} \bullet & \xrightarrow{\tilde{p}} & \bullet \\ \downarrow \tilde{j} & \lrcorner & \downarrow j \\ \bullet & \xrightarrow{p} & \bullet \end{array}$$

*be cartesian, then*

$$j_!\tilde{p}_* \xrightarrow{\sim} p_*\tilde{j}_!$$

*(Supp).*

*Then  $D$  extends to a 3-functor formalism.*

**4.3. Six functor formalism for motivic sheaves** Let  $f : T \rightarrow S$  be a morphism, we have the functor

$$\begin{aligned} f^{-1} : \text{Sm}_S &\longrightarrow \text{Sm}_T \\ X &\longmapsto X \times_S T \end{aligned}$$

which gives us  $\text{DA}^{\text{ét}}(-, \Lambda)$  and  $\text{SH}(-)$  sheaf theories. We already have  $\otimes, f^*$  and  $\underline{\text{Hom}}, f_*$ .

**Theorem 4.3.1.** —  *$\text{DA}^{\text{ét}}(-, \Lambda), \text{SH}(-)$  extend to six-functor formalisms.*

This is a hard theorem, much harder than the Betti and étale cases. The main difficulty is that proper base change is hard!

**Remark.** —

- This also holds for other variants:  $\text{DM}(-, \Lambda)$ ,  $KGL$ -modules which are “ $KH$ -motives”,  $MGL$ -modules,...
- At the end of the day there are still major differences:
  - 1) Let  $q$  be smooth of relative dimension  $d$ . In  $\text{DA}^{\text{ét}}(-, \Lambda), \text{DM}(-, \Lambda), KGL, MGL$  we have  $q^!\mathbb{1}_X \simeq \mathbb{1}_Y \langle d \rangle$  (the  $GL$ -oriented theories/complex oriented cohomology theories in  $\text{SH}^{\text{top}}$  with Chern classes for vector bundles). In  $\text{SH}(-)$ ,  $q^!\mathbb{1}_X \simeq \text{Th}_Y(\Omega_q)$  which is the Thom space/spectrum.
  - 2)  $\text{DA}^{\text{ét}}(-, \Lambda)$  has much stronger descent properties, it satisfies  $h$ -descent. The  $h$ -topology is defined by étale coverings and proper surjective morphisms.

If  $q$  is smooth, then  $q^{-1}$  has a left adjoint given by a very silly formula

$$\begin{aligned} q_{\#} : \text{Sm}_T &\longrightarrow \text{Sm}_S \\ X &\longmapsto X. \end{aligned}$$

This induces a left adjoint to  $q^* : D(S) \rightarrow D(T)$  for  $D = \text{DA}^{\text{ét}}(-, \Lambda), \text{SH}(-)$ .

**Theorem 4.3.2 (Voevodsky, Ayoub).** — *A sheaf theory that satisfies:*

- *for  $q$ -smooth there is an adjoint pair  $(q_{\#}, q^*)$  with base change and the projection formula,*

- (Gluing) for all closed embeddings  $i : Z \hookrightarrow X$  and open embeddings  $j : X \setminus Z \hookrightarrow X$  the pair  $(i^*, j^*)$  is conservative and  $i_*$  is fully faithful,
- $\mathbb{A}^1$ -invariance and  $\mathbb{P}^1$ -stability

satisfies proper base change.

Note that the gluing axiom is a gluing theorem of Morel-Voevodsky, it uses smooth sites and at least Nisnevich descent. This type of sheaf theory is called a **motivic sheaf theory** or a **coefficient system**.

**Theorem 4.3.3 (Drew-Gallauer).** —  $\mathrm{SH}(-)$  is the initial motivic sheaf theory.  $\mathrm{DA}^{\mathrm{ét}}(-, \Lambda)$  is initial among those satisfying étale descent and is  $\Lambda$ -linear.

(Something about the Drew-Tubach mixed module realization?)

There are also good theories of:

- constructibility and Verdier duality,
- nearby and vanishing cycles.

**4.4. Motivic  $t$ -structure conjecture and algebraic cycles** Let  $D = \mathrm{DA}^{\mathrm{ét}}(-, \mathbb{Q}) = \mathrm{DM}(-, \mathbb{Q})$ .

**Definition.** — Let  $D$  be a triangulated category. A  **$t$ -structure** is a pair  $(D_{\geq 0}, D_{\leq 0})$  of full subcategories with

- 1)  $D_{\geq 0}, D_{\leq 0}$  are replete (stable under isomorphisms),
- 2)  $D_{\geq 0}[1] \subseteq D_{\geq 0}, D_{\leq 0}[-1] \subseteq D_{\leq 0}$ ,
- 3)  $\mathrm{Hom}(D_{\geq 0}, D_{\leq 0}[-1]) = 0$ ,
- 4) for all  $X \in D$ , there exists a distinguished triangle

$$\tau_{\geq 0}X \longrightarrow X \longrightarrow \tau_{< 0}X \xrightarrow{+}$$

where  $\tau_{\geq 0}X \in D_{\geq 0}$  and  $\tau_{< 0}X \in D_{\leq 0}[-1]$ .

Taking  $D^{\heartsuit} = D_{=0} = D_{\geq 0} \cap D_{\leq 0}$  gives us the **heart** which is an abelian category.

**Example 4.4.1.** — Let

$$D(A)_{\geq 0} = \{K_{\bullet} \mid \forall n < 0, H_n(K_{\bullet}) = 0\}$$

and similarly for  $\leq 0$ . The heart is  $A$ .

**Example 4.4.2.** — Let

$$\mathrm{hSptr}_{\geq 0} = \{K_{\bullet} \mid \forall n < 0, \pi_n(K_{\bullet}) = 0\}$$

similarly for  $\leq 0$  and the heart is  $\mathrm{Ab}$ .

**Conjecture 4.4.1 ( $T_k$ ).** — Let  $k$  be a field. There exists a  $t$ -structure on  $\mathrm{DM}(k, \mathbb{Q})$  such that

- 1) for all  $l \neq \mathrm{char}(k)$ ,  $R_l : \mathrm{DM}(k, \mathbb{Q}) \rightarrow D(\mathbb{Q}_l)$  is  $t$ -exact.
- 2) The  $t$ -structure restricts to  $\mathrm{DM}_{\mathrm{ct}}(k, \mathbb{Q})$ , define  $\mathrm{MM}_{(d)}(k, \mathbb{Q})$  to be the heart of  $\mathrm{DM}_{(d)}(k, \mathbb{Q})$ .
- 3)  $\mathrm{DM}_{\mathrm{ct}}(k, \mathbb{Q}) \simeq D^b(\mathrm{MM}_{\mathrm{ct}}(k, \mathbb{Q}))$ .

**Lemma 4.4.1.** — ( $T_k$ ) implies that  $\mathrm{MM}_{\mathrm{ct}}$  is a Tannakian category.

So  $\mathrm{MM}_{\mathrm{ct}}(k, \mathbb{Q})$  is approximately isomorphic to  $\mathrm{Rep}^{\mathrm{f.d.}}(G_{\mathrm{mot}}(k))$ , where  $G_{\mathrm{mot}}(k)$  is a pro-algebraic group over  $\mathbb{Q}$ , the “motivic Galois group”.

**Proposition 4.4.1.** — Let  $\sigma : k \hookrightarrow \mathbb{C}$  be a field embedding. Then ( $T_k$ ) is equivalent to the Nori realization functor

$$R_{\mathrm{Nori}} : \mathrm{DM}_{\mathrm{ct}}(k, \mathbb{Q}) \longrightarrow D(\mathrm{NM}(k, \mathbb{Q}))$$

being an equivalence. Here  $\mathrm{NM}(k, \mathbb{Q})$  is the category of Nori motives.

**Theorem 4.4.1.** — ( $T_k$ ) implies

- a) (Conservativity):  $R_l : \mathrm{DM}_{\mathrm{ct}}(k, \mathbb{Q}) \rightarrow D(\mathbb{Q}_l)$  is conservative.
- b) ( $\mathrm{char} k$ ) standard conjectures of Grothendieck on algebraic cycles up to homological equivalence on smooth projective varieties.
- c) Bloch-Beilinson-Murre conjecture on filtrations of Chow groups of smooth projective varieties.



d) Beilinson-Soulé conjecture: Fix  $X \in \mathbf{Sm}_k$ , then

$$H_{\text{mot}}^q(X, \mathbb{Q}(p)) = 0$$

for  $q < 0$ . Call this statement  $(BS_X)$ .

**Theorem 4.4.2 (Levine).** — If  $X \in \mathbf{Sm}_k$ , then  $(BS_X)$  implies the existence of a motivic  $t$ -structure on  $\text{DMT}_{\text{ct}}(X, k)$  (not satisfying property 3) in general.

**Theorem 4.4.3.** —  $(BS_X)$  is a known when

- 1)  $k$  is a number field, function field, finite field. The number field case is a difficult theorem of Borel, the function field case was proven by Harder, and the finite field case by Quillen.
- 2)  $M(X) \in \text{DMT}(k)$  for  $X = \mathbb{G}_m^m \times \mathbb{A}^n \times \mathbb{P}^n$ .

**Definition.** — Let  $i : Z \hookrightarrow X$  be a closed embedding and  $j : X \setminus Z \hookrightarrow X$  be the complementary open embedding. We say it is **Whitney-Tate** if  $i^* j_* \text{DMT}(X \setminus Z) \subset \text{DMT}(Z)$ .

## REFERENCES

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