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## 1 Scientific, Technical, and Management Section

0.5 pg. executive summary goes here: the sky is sunny

#### 1.1 Science Objectives

5 pages for all science goals including the (temporary) two sections below.

#### 1.1.1 The Inflationary Gravitational Wave Background

Some text recycled from placeholder/old proposal text that was here. Some text below recycled from CMB-S4 science book. Figures currently from CMB-S4.

Inflation [1, 2, 3, 4, 5], a primordial era of accelerated expansion, provides a compelling dynamical origin for the observed statistical homogeneity of our universe on even the largest scales. Inflation dramatically smoothes away classical inhomogeneities, leaving the inevitable quantum fluctuations of the matter fields and the space-time metric during inflation as the source of structure today. A substantial array of measurements, including the cosmic microwave background temperature and E-mode polarization and many surveys of the large scale structure of the universe at later times, have significantly constrained the matter sector of inflation models while confirming the basic structure of the paradigm [6, 7, 8, 9]. But, inflation also predicts a spectrum of primordial gravitational waves sourced directly by quantum fluctuations of the tensor component of the metric. The gravitational waves in turn source B-mode polarization in the CMB fluctuations [10, 11] with an amplitude directly proportional to the Hubble parameter during inflation, and to the potential energy of the matter sector in Planck units. The predicted spectrum peaks near an angular scale of  $\ell=80$ , and contains a significant peak at much lower multipoles generated by scattering of CMB photons on the free electrons present at the time of reionization. Figure 1 shows the predicted spectrum and the status of current measurements.

Measurements of the CMB temperature fluctuations can be used to relate the inflationary potential energy V to r, the ratio of the temperature quadrupoles produced by gravitational waves to those from density perturbations, at the peak of the spectrum by  $V^{1/4}=3.7\times 10^{16}~r^{1/4}~{\rm GeV}$ . The observation of an all-sky, statistically homogeneous gravitational wave background would generate a revolution in our understanding the origin of our universe and the nature of particle physics, including gravity, at and above the Grand Unification scale of  $10^{16}~{\rm GeV}$ . In its recent report New Worlds New Horizons (NWNH), the decadal survey committee strongly endorsed suborbital searches for the B-mode signal from inflation saying that "The convincing detection of B-mode polarization in the CMB produced in the epoch of reionization would represent a watershed discovery." [12].

The predicted amplitude of the B-mode signal depends on the nature of the scalar sector driving inflation. Although many, many inflationary scenarios have been proposed, when the slow-roll expansion is valid ( $\epsilon \equiv -\dot{H}/H^2 \ll 1$ ) there are just two observationally viable classes of models that naturally explain the value of the spectral index  $n_s$  (by requiring  $n_s(\mathcal{N}) - 1 \propto -\frac{1}{\mathcal{N}}$ , where  $\mathcal{N}$  is the number of e-folds between the scale  $n_s$  where is observed and end of inflation) [13, 14, 15]. One class is the set of monomial potentials, which contains many of the canonical inflation models (eg, a quadratic potential) and is already under significant observatinoal pressure. If the error bars on the spectral index tighten by a factor of about 2, and the 95% C.L. upper limit on r is pushed down to about 0.01, all such models would be ruled out (see Figure 2.). The remaining class of natural models includes Starobinsky and Higgs inflation (which have  $r \sim 0.003$ ). A future mission capable of reaching  $\sigma_r \sim \mathcal{O}(10^{-4})$  would provide significant constraints on nearly every currently

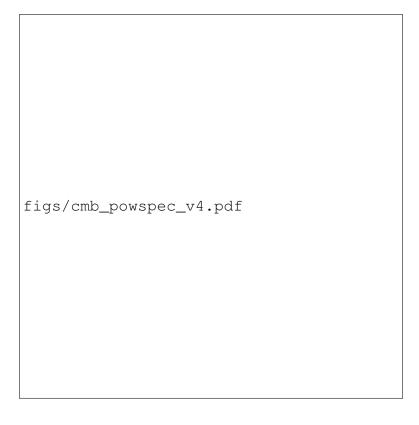


Figure 1: Theoretical predictions for the temperature (black), E-mode (red), and tensor B-mode (blue) power spectra. Primordial B-mode spectra are shown for two representative values of the tensor-to-scalar ratio: r=0.001 and r=0.05. The contribution to tensor B modes from scattering at recombination peaks at  $\ell \sim 80$  and from reionization at  $\ell < 10$ . Also shown are expected values for the contribution to B modes from gravitationally lensed E modes (green). Current measurements of the B-mode spectrum are shown for BICEP2/Keck Array (light orange), POLARBEAR (orange), and SPTPol (dark orange). The lensing contribution to the B-mode spectrum can be partially removed by measuring the E and exploiting the non-Gaussian statistics of the lensing.

#### favored inflation model.

Inflation generically predicts primordial gravitational waves just from the vacuum fluctuations of the metric during inflation, but of course does not preclude additional sources of B-mode polarization either during or after inflation. If B-modes are detected, it would be important to characterize the signal to rule out the possibility of a non-inflationary source. The vast majority of inflation scenarios predict a red spectrum for gravitational waves, and in the simplest cases the canonical single-field consistency relation fixes  $n_{\rm t}=-r/8$ . While confirming this relation is out of reach, a future mission could perhaps aim for  $\sigma(n_{\rm t})\sim 1$ ?? to at least rule out non-vacuum inflationary sources [16, 17]. Post-inflationary phase transitions have been proposed as a non-inflationary source of nearly scale-invariant gravitational waves [18, 19, 20, 21, 22], but can be distinguished by the absence of super-horizon correlations at the time of recombination. A framework to extract specifically this part of the signal was proposed in Ref. [23] and could be applied to robustly extract the component of any signal that must come from physics outside of the hot big bang paradigm. Existing forecasts in the literature [24] indicate that a ground-based survey alone will not be able to detect super-horizon correlations at high significance if r is much below 0.1; a satellite will be

Figure 2: **Perhaps a version of this figure?** Forecast of CMB-S4 constraints in the  $n_s$ -r plane for a fiducial model with r=0.01. Constraints on r are derived from the expected CMB-S4 sensitivity to the B-mode power spectrum as described in Section ??. Constraints on  $n_s$  are derived from expected CMB-S4 sensitivity to temperature and E-mode power spectra as described in Section ??. Also shown are the current best constraints from a combination of the BICEP2/Keck Array experiments and Planck [?]. Chaotic inflation with  $V(\phi) = \mu^{4-p}\phi^p$  for p=2/3,1,2 are shown as blue lines for  $47 < N_{\star} < 57$  (with smaller  $N_{\star}$  predicting lower values of  $n_s$ ). The Starobinsky model and Higgs inflation are shown as small and large filled orange circles, respectively. The lines show the classes of models discussed in Section ??. The green band shows the predictions for quartic hilltop models, and the gray band shows the prediction of a sub-class of  $\alpha$ -attractor models [?].

required.

A detection of B-modes consistent with a primordial spectrum of vacuum fluctuations would be the first observation of a phenomena directly related to quantum gravity. In addition, evidence for "large field" inflation would provide strong evidence that the complete theory of quantum gravity must accommodate a Planckian field range for the inflaton. The spectrum of tensor fluctuations depends only on the Hubble parameter H during inflation, while the scalar power depends on both H and the evolution of the homogeneous field sourcing inflation. As a consequence, the tensor-to-scalar ratio r determines the inflaton field range in Planck units (called the "Lyth bound" [25]) In many common inflationary models r is a monotonic function of  $\mathcal N$  so that

$$\frac{\Delta\phi}{M_{\rm P}} \gtrsim \left(\frac{r_*}{8}\right)^{1/2} \mathcal{N}_* \gtrsim \left(\frac{r}{0.01}\right)^{1/2} \,. \tag{1}$$

The value of  $\mathcal{N}_*$  is not well constrained and depends on unknown details of reheating, but  $\mathcal{N}_* \gtrsim 30$ provides a conservative lower limit, justifying the second inequality in Eq. (1). Thus, a tensor-toscalar ratio  $r > 10^{-2}$  typically corresponds to a trans-Planckian excursion in field space between the end of inflation and the epoch when the modes we observe in the CMB exit the horizon. The relationship in Eq. (1) is significant because it relates the observed amplitude of linearized metric fluctuations to a property of the full quantum field theory for gravity coupled to the inflaton. The action describing inflation, like the action for any other particle physics phenomena, in general will include terms that encode the effects from degrees of freedom that couple to the inflaton, but are too energetic to be probed directly by physics near the inflationary scale. The field range is a measure of the distance in field space over which the corrections from the unknown physics do not significantly affect the low energy dynamics, since otherwise slow-roll inflation would not persist. In theories of quantum gravity we expect degrees of freedom to enter at the Planck scale or below. A field range exceeding the Planck scale would imply that quantum gravity contributions do not have a significant effect over the naively expected scale. A detection of r would therefore provide very strong motivation to better understand how "large-field inflation" can be naturally incorporated in quantum gravity.

Although the B-mode polarization is the richest source of new information, deeper mapping of E-mode polarization will also contribute to testing inflationary models. On the largest scales (accessible only from space), E-modes will provide new tests of isotropy, while on sufficiently small scales they will allow tighter constraints on the shape of the scalar power spectrum, the amplitude of scalar non-Gaussianities, and isocurvature modes.

In summary, a detection of primordial gravitational waves consistent with the standard inflationary prediction would reveal the presence of a new fundamental energy scale for particle physics and would have far reaching implications for quantum gravity. Detecting correlations on the largest scales would confirm a primordial origin. Any departure from a nearly scale-invariant, nearly Gaussian spectrum would reveal new physics beyond the simplest inflationary model. In the absence of a detection, an improvement by a factor of xxx in the upper limit would qualitatively change how we think about the inflationary paradigm.

#### 1.1.2 Neutrinos and Light Relics

Much of the information about our thermal history and the particle content of the universe is encoded in the T and E power spectra. A high-precision measurement of these spectra over the full sky is expected to significantly improve our understanding of the post-inflationary universe. This is particularly true in E-mode polarization where, to date, far fewer modes have be measured at the level of cosmic variance than in temperature.

The spectra at high- $\ell$  contain important information about the components of the thermal plasma and their interactions around the time of recombination. One particular compelling target is the effective number of neutrino species,  $N_{\rm eff}$ , which parameterizes the total amount of energy density in radiation at the time of recombination. It is defined such that in the Standard model of particle physics with normal thermal evolution,  $N_{\rm eff}=3.046$  due to the energy density in the three species of neutrinos.  $N_{\rm eff}$  is also sensitive to any additional light relic particles as their gravitational influence is identical to the neutrinos. In fact, if there was an additional light particle in thermal equilibrium with the Standard model particles at any point in our history, it will contribute a change to  $N_{\rm eff}$  of at least  $\Delta N_{\rm eff} \geq g~0.027$  where  $g \geq 1$  is the number of degrees of freedom of the new particle. This defines a compelling target of  $\sigma(N_{\rm eff}) < 0.027$  for future CMB observations. New light particles are a common feature of many approaches to beyond the Standard model physics and can be directly tied to some of the most significant problems in the Standard model. Either a limit or detection of  $\Delta N_{\rm eff}$  at this level would provide a powerful insight into the laws of nature and our thermal history.

The presence of free-streaming radiation changes the detailed features of the TT, TE and EE spectra at all  $\ell$ . In particular, it changes the locations of the acoustic peaks and alters the damping tail at high- $\ell$ . Similar changes to the spectra arise from many other compelling targets including the helium fraction  $Y_p$  and more general dark sector physics. For this reason, constraints on  $N_{\rm eff}$  a useful proxy for the information available in the high- $\ell$  power spectra.

Preliminary forecasts for  $N_{\rm eff}$  are shown in the right hand panel of Figure 3. A space-based mission reaching an effective temperature noise of 1-2  $\mu$ K-arcmin over the full sky gives competitive constraining power when compared other proposals. The two most important quantities for improving constraints on  $N_{\rm eff}$  and other high- $\ell$  targets are  $f_{\rm sky}$  and the temperature noise. The full-sky nature of the proposed mission would allow for cosmic variance limited E-modes over most of the sky and a large range of  $\ell$ .

The main downside of a space-based mission is that we cannot reach the resolutions available from the ground. However, we see that at 5' resolution and 1  $\mu$ K-arcminute noise the forecasts are less sensitive to the resolution then one might naively expected. In particular we can reach  $\sigma(N_{\rm eff}) < 0.035$  for temperature noise from 1-2  $\mu$ K-arcmin and  $f_{\rm sky} = 0.6-0.8$ . These forecasts are competitive with CMB Stage IV. Specifically, the larger sky fraction and sensitivity available from space appears to compensate for the reduced resolution. In fact, the full sky measurement would provide complimentary information that could be combined with ground based surveys to

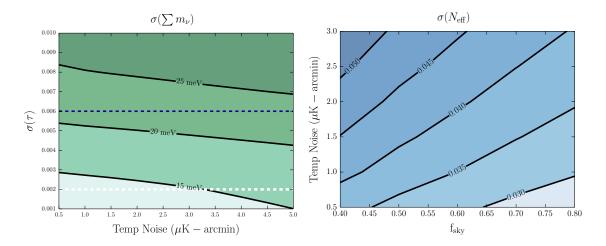


Figure 3: Left: Neutrino mass constraints as a function of the prior on  $\tau$  for a 5' beam and sky fraction of  $f_{\rm sky}=0.7$ . The blue dashed line is the Planck blue book expectation and the white dashed line a cosmic variance limit measurement of  $\tau$  form the CMB. Right:  $N_{\rm eff}$  Forecasts as a function temperature noise and sky fraction assuming 5' resolution.

further improve over the limits available from either experiment. This is particularly important for  $N_{\rm eff}$  which is tantalizingly close to the target of  $\sigma(N_{\rm eff})=0.027$  and therefore even an apparently modest improvement could have a major scientific impact.

The sum of neutrino masses,  $\sum m_{\nu}$ , is another theoretically compelling target that is accessible from Cosmology. The most distinctive feature of  $\sum m_{\nu}$  is that it suppresses the growth of structure on small scales. This suppressed can be measure in the CMB through amplitude of the lensing power spectra compared to the primary CMB. In principle, this relative difference can yield a measurement of the minimum value of  $\sum m_{\nu} = 58$  meV at 4-5  $\sigma$  for a number of future cosmological surveys. However, sensitivity to  $\sum m_{\nu}$  is ultimately limited by our knowledge of the primordial amplitude of fluctuations  $A_s$  which is strongly degenerate with the optical depth  $\tau$ .

The current limit on  $\tau$  from the Planck satellite of  $\tau=0.055\pm0.009$  ultimately limits  $\sigma(\sum m_{\nu})\gtrsim 25$  meV, as shown in the panel of Figure 3. While the figure shows the sensitivity of a space-based CMB mission to  $\sum m_{\nu}$ , this lower limit is common to any measurement that depends on the relative suppression. Therefore, a cosmological detection of  $\sum m_{\nu}=58$  meV at 3-5  $\sigma$  depends crucially on an improvement measurement of  $\tau$ . To date, the only proven method for such a measurement is from a space-based CMB observations. The best constraints on  $\tau$  come from E-modes with  $\ell < 20$  which requires control over the largest angular scales.

#### 1.1.3 CMB spectral distortion science

In addition to the CMB temperature and polarization anisotropies targeted by CMB imagers, unique new information about early-universe physics can be gained by studying the energy spectrum of the CMB [26, 27, 28, 29]. The measurements of COBE/FIRAS have shown that the average CMB spectrum is extremely close to that of a blackbody at a temperature  $T_0 = (2.726 \pm 0.001) \, \mathrm{K}$  [30, 31]. However, several standard processes are expected to distort the CMB spectrum [e.g., 32] at a level that is within reach of present-day technology [33, 34]. The classical distortion shapes are known as Compton-y and chemical potential ( $\mu$ -type) distortions [35, 36] and are caused by energy exchange of CMB photons with free electrons. A  $\mu$ -distortion can only be produced in a

hot and dense environment present at redshifts  $z \gtrsim 5 \times 10^4$ , while y-type distortions appear at lower redshifts. This makes  $\mu$ -distortions a unique messenger from the early Universe.

The largest guaranteed distortion is caused by the late-time energy release of forming structures and from reionization [37, 38, 39, 40, 41], imprinting a y-type distortion with  $y \simeq 2 \times 10^{-6}$  [e.g., 41, 42]. This distortion is only one order of magnitude below the current limit from COBE/FIRAS and, even with most pessimistic assumptions about foregrounds, should be clearly seen with next-generation spectrometers, telling us about the total energy output of first stars, AGN and galaxy clusters. In particular, group-size clusters ( $M \simeq 10^{13}\,M_\odot$ ) contribute significantly to the signal. These are still sufficiently hot (temperature  $kT_{\rm e} \simeq 1\,{\rm keV}$ ) to create a visible relativistic temperature correction to this large y-distortion, which could be used to constrain cluster feedback models [42]. These two inevitable signals probe the low-redshift Universe and provide clear targets for future spectral distortions measurements and their requirements in the presence of foregrounds.

Next generation CMB spectrometers are also expected to greatly improve the  $\mu$ -distortion limits of COBE/FIRAS [33]. This will allow us to place stringent bounds on the presence of long-lived decaying particles [43, 44, 45, 46] and other new physics [e.g., 47, 48, 49, 50, 51, 52], but a clear target is predicted by the dissipation of small-scale perturbation through Silk-damping [53, 54, 55, 56]. This process allows us to place stringent constraints on the amplitude of the small-scale curvature power spectrum, present at scales (wavelength  $0.1\,\mathrm{kpc} \lesssim \lambda \lesssim 1\,\mathrm{Mpc}$ ) and epochs  $(10^4 \lesssim z \lesssim 10^6)$  inaccessible through any other observation. This delivers a complementary test for the inflation paradigm [45, 57, 58, 59, 60], with  $\mu = (2.0 \pm 0.14) \times 10^{-8}$  expected in  $\Lambda\mathrm{CDM}$  [32]. Precise measurements of signals at this level will be extremely challenging and requires unprecedented control of systematics and modeling of foregrounds. It would also bring us to the sensitivity level required to detect the cosmological recombination radiation [61, 62] imprinted by the recombination of hydrogen and helium at redshift  $z \simeq 10^3 - 10^4$ . Optimizing next-generation CMB spectrometers for these purposes requires extensive studies.

Aside from the average CMB distortion signals, the CMB spectrum can also vary across the sky. One source of anisotropic distortions is related to clusters of galaxies and has already been measured [63]. A combination of precise CMB imaging and spectroscopic measurements might allow observing the relativistic temperature correction [64, 65, 66] of individual SZ clusters. This could allow us to calibrate cluster scaling relations and learn about the dynamical state of the cluster atmosphere. Anisotropies in the  $\mu$ -distortion can be created through ultra-squeezed limit non-Gaussianity [67, 68] and could be used to probe scale-dependent non-Gaussianity [69, 70]. Finally, resonant scattering signals in the recombination [71, 72, 73] and post-recombination eras [74, 75] can lead to spectral-spatial CMB signals that can be used to constrain the presence of metals in the dark ages and the physics of recombination. For all these applications, instrumental synergies between CMB imaging and spectroscopy need to be studied in detail.

In summary, future studies of the CMB spectrum will open a new *unexplored* window to early phases of the Universe ( $z > 10^3$ ), which cannot be probed in any other way. This will not only allow us to test the standard cosmological paradigm (e.g., inflation and reionization) but also opens up a huge discovery space to non-standard physics (e.g., decaying/annihilating particles). This immense potential and complementarity with CMB anisotropy studies makes CMB spectral distortions an important future target and identifying experimental routes towards extracting these tiny signals from the early Universe will be one main objective of the proposed mission study.

#### 1.1.4 The Cosmic Infrared Background

A significant contamination of CMB anisotropy maps is due to the foreground emission from infrared (IR) galaxies, responsible for the Cosmic Infrared background (CIB). The CIB is the second largest extragalactic background after the CMB, with an approximate brightness of 24 nW m<sup>-2</sup>sr<sup>-1</sup> [76]. Dust enshrouding star-forming galaxies at high redshift is heated by starlight at ultraviolet (UV) and optical wavelengths, and re-radiates at mid-IR to sub-millimetre wavelengths. Thus, the integrated emission from galaxies actively producing stars at the peak of star formation (z  $\sim 1-3$ ) reaches us in the far-infrared (FIR) regime, carrying a wealth of information about the the evolution of large-scale structures and the history of star formation. While the CIB is not our only handle on the evolution of the cosmic star formation rate (SFR), it is particularly important because FIR/sub-millimetre surveys are not subjected to some uncertain steps in the conversion from galaxy counts and luminosities to SFRs, affecting, e.g., optical surveys.

The drawback of working with a high density of faint, distant sources in the FIR regime is that individual objects are blended. While both the *Herschel* and *Planck* satellites recently performed ground-breaking measurements of the CIB [77, 78, 79, 80], only a negligible fraction of *Planck* sources have been individually identified, due to its poor angular resolution, while for *Herschel* maps, only 10% of the objects has been resolved into individual galaxies at 857 GHz [81]. However, the anisotropies detected in the unresolved background can be analyzed through statistical tools such as the angular power spectrum [82] and, since they trace the underlying dark matter field, they can be interpreted with phenomenological models linking dark matter halos to IR galaxies, such as the Halo Model [83, 84].

The Planck Collaboration, analyzing CIB anisotropies and their correlation with CMB lensing [79, 85], derived limits on the star formation rate density that, at redshift z > 2, are higher than what found from the analysis of different datasets (see for example [86]). Clearly, more accurate measurements of the CIB clustering at the angular scales probed by *Planck* will be extremely useful to gain more insight on the evolution of the star formation rate at high redshift. The new mission probe will measure CIB anisotropies with only one tenth of *Planck*'s instrumental noise at the critical scales where the clustering of galaxies in the same dark matter halo (the 1-halo term) has the same amplitude as the clustering due to galaxies in separated halos (the 2-halo term). Assuming a precise knowledge of the Poisson level of CIB galaxies, we will be able to firmly constrain our models of CIB clustering, and thus address three of the seven key questions identified in the Astro2010 report "New Worlds, New Horizons in Astronomy and Astrophysics" (NAS Decadal Survey, p. 47): What is the fossil record of galaxy assembly from the first stars to present? What are the connections between dark and luminous matter? How do cosmic structures form and evolve?

However, constraining the history of star formation is not the only reason for measuring CIB anisotropies with increased sensitivity from very large to very small scales. Below, we briefly discuss some others.

• The CIB is an important foreground for CMB studies. As shown in [87], a significant contribution to the astrophysical foreground in the 143x217 and 217x217 GHz channels is due to both the clustering and Poisson components of the CIB. The cross-correlation between IR galaxies and the thermal Sunyaev-Zeldovich (tSZ) effect provides an additional contamination. Thus, an accurate determination of both CIB and CIB-tSZ power spectra will be extremely useful when accounting for these foregrounds in the CMB parameter estimation.

- The CIB, being a tracer of the dark matter field over a broad redshift range, can be cross-correlatated with many other datasets to explore the interplay between CIB galaxies and dark matter. Beyond the cross-correlation with the lensing of the CMB [85, 88], some other examples include the cross-correlations with catalogs of quasars [89] and galaxies [90] to constrain the interplay between SFR and halo mass, and the cross-correlation with the Cosmic γ-ray background from *Fermi*-LAT [91] to constrain the dark matter annihilation cross-section [92].
- Since they are both tracers of the underlying mass distribution over a broad range of redshifts, the CIB and CMB lensing are highly correlated [85]. In particular, the CIB can be used as an ideal proxy for the CMB lensing field in delensing studies, aiming at reducing the confusion due to B-modes from lensing in the search of the signal from primordial gravitational waves. Recently [93, 94] showed that co-adding *Planck* CIB maps greatly improves the delensing performance. Upcoming CMB surveys will heavily rely on delensing methods for constraining the inflationary B-mode polarization signal, and CIB delensing of temperature and E-mode polarization might also help improving constraints on other parameters such as the effective number of neutrino species N<sub>eff</sub> [94].

To summarize, the CIB is a full sky, bright, high redshift extragalactic background that maps star formation at its peak, and carries a tremendous amount of information about the birth and evolution large scale structures in the Universe, and the interplay between light and matter.

### 1.2 The Challenges: Foregrounds, systematics

3 pages. Discuss the challenge of Foregrounds and Systematics.

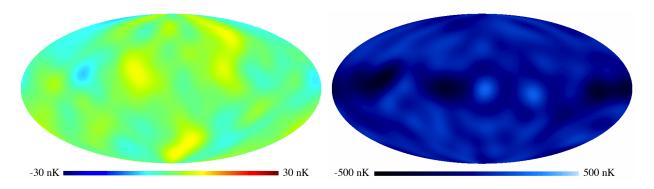


Figure 4:

## 1.3 Current and Forthcoming Efforts and the CMB Probe

2.5 pages. S3 experiments, forthcoming S4, Baselines CMB Probe options and their complementarity with S4.

## 1.4 State of Technologies

2 pages. Discuss the technologies, their TRL, and what will be studied

### 1.5 Mission Study, and Management Plan

1.5 pages; Describe what we want to do, who is doing what, what we are funding

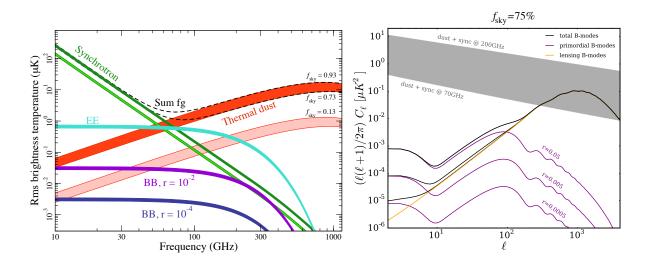


Figure 5:

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## 2 Curriculum Vitae

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# Letters of Support

## **6** Budget Details - Narrative

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**6.3.5** Facilities and Administrative Costs

# 7 Budget Sheets