

# The Probe of Inflation and Cosmic Origins

A Space Mission Study Report  
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## 1 Executive Summary

Recent theoretical developments and measurements of the cosmic microwave background (CMB) have uncovered tremendous potential for new exciting discoveries over the next 10 years. The new discoveries, to be delivered by the Probe of Inflation and Cosmic Origins (PICO), are promising to be revolutionary, affecting physics, astrophysics, and cosmology on the most fundamental levels.

PICO is an imaging polarimeter that will scan the sky for 5 years with 21 frequency bands spread between 21 and 800 GHz. It will produce 10 independent full sky surveys of intensity and polarization with a final combined-map noise level that make it equivalent to 3250 *Planck* missions for the baseline required specifications, and estimated to actually perform as 6400 *Planck* missions. It will produce the first ever full sky polarization maps at frequencies above 350 GHz and will have a diffraction limited resolution of 1' at 800 GHz.

With these unprecedented capabilities, which are unmatched by any other existing or proposed platform, PICO could detect the signature of an inflationary epoch near the big bang, thus determining the energy scale of inflation and giving a first direct probe of quantum gravity. If the signal is not detected it will constrain broad classes of inflationary models, and exclude at  $\sim 10\sigma$  models for which the characteristic scale of the potential is given by the Planck scale. The combination of data with LSST could rule out slow-roll single-field inflation, which will mark a landmark transition in studies of inflation.

The mission will have a deep impact on particle physics by measuring the expected sum of the neutrino masses in two independent ways, each with at least  $4\sigma$  confidence, rising to a  $7\sigma$  detection if the sum is near 0.1 eV. The measurements will either detect or strongly constrain deviations from the standard model of particle physics by counting the number of light particles in the early universe at an energy range that is up to 400 times higher than available today. The data will constrain dark matter candidates by pushing down *Planck* constraints on the dark matter annihilation cross section by a factor of 25, specifically at low energy scales that are not accessible to direct detection experiments. The data will probe the existence of cosmic fields that could give rise to cosmic birefringence.

PICO will transform our knowledge of the structure and evolution of the universe. It will measure the redshift at which the universe reionized, strongly constraining physical models describing when and how the first luminous objects formed. It will make a map of the projected matter throughout the volume of the universe with a signal-to-noise ratio exceeding 500. This map will give an unprecedented view on the distribution of matter, and will be used to weigh the mass of dark matter halos hosting galaxies, groups, and clusters, with redshifts extending to the formation of the very first such objects. The map will be cross-correlated with other next-decade galaxy surveys, such as LSST, to give strong, sub-percent accuracy constraints on structure growth parameters. An extraordinary amount of information about the role of ‘energetic feedback’ on structure formation will come from correlating PICO’s map of the thermal Sunyaev-Zel’dovich effect with WFIRST and LSST. The correlation – forecast to have a signal-to-noise of 3000 with LSST weak lensing – will enable breaking the analysis down to dozens of tomographic redshift bins, giving extraordinarily detailed information about the evolution of thermal pressure over cosmic time.

Magnetic fields thread galaxies and affect their structure and evolution, but the origins of these magnetic fields is a hotly debated question. PICO will resolve the question of whether galactic magnetic fields have been seeded by primordial magnetic fields of cosmic origin. It will map the entire Milky Way in polarization with unprecedented detail at many frequency bands. Such maps

are not planned by any other survey, and can not be produced other than in space. From these unique maps we will map the Galactic magnetic fields structure elucidating the relative roles of turbulence and magnetic fields in the observed low star formation efficiency, and we will strongly constrain the properties of the diffuse interstellar medium.

By discovering 50,000 proto-clusters with redshift up to 4.5, and 4500 strongly lensed galaxies with redshift up to 5, PICO will enable a unique view into early galaxy and cluster evolution. These counts are factors of 100 to 1000 larger than available with catalogs today because the observation window PICO provides is entirely unique and not available to any other experiment. By discovering 150,000 clusters distributed over cosmic time PICO data, together with future cluster redshift surveys will constrain the dark energy equation of state with constraints similar to other next decade surveys, and providing an independent constraint on the minimal neutrino mass.

This scientifically ground-breaking mission is based entirely on technologies that are being used actively today by ground- and balloon-based experiments. All the implementation aspects are mature, benefitting from thousands of person-year experience of studying the sky at these wavelengths. These span over more than 50 years of mapping the CMB and include three enormously successful space missions. This combined experience unambiguously shows that the unlimited frequency coverage and thermally benign environment aboard a space-based platform give unparalleled capability to separate the combination of galactic and cosmological signals and to control systematic uncertainties. These qualities, which are critical ingredients for any next-decade experiment, make PICO the optimal platform for a next generation CMB experiment.

## 2 Science

### 2.1 Introduction

The Probe of Inflation and Cosmic Origins (PICO) is an imaging polarimeter designed to survey the entire sky at 21 frequencies between 21 and 800 GHz with a polarization sensitivity that is 57 or 82 times that of the *Planck* mission for the PICO baseline and current best estimate (current best estimate (CBE)) configurations, respectively.

The mission requirements, which define our baseline design, flow down from a small set of key science objectives listed in Table 1. As outlined in this report, this baseline gives rise to a mission that will reach an extraordinarily broad set of science targets, ranging from inflation, to constraints on fundamental particles and fields, to cosmic structure formation and galactic science.

According to inflation, quantum fluctuations in the space-time metric created a background of gravitational waves that imprint a unique signature on the polarization of the CMB. A detection of this inflationary gravity wave (IGW) signal "would be a watershed discovery", a quote from the 2010 decadal panel report [1]. It would be the first observational evidence for quantum gravity. The signal would also give important clues about the nature of inflation, in particular the energy scale at which it occurred. The strength of the signal is commonly parameterized by  $r$ , the tensor-to-scalar ratio. The combination of data from *Planck* and the BICEP/Keck Array give the strongest constraint to date  $r < 0.06$  (95%) [2].

Emission within our own galaxy is a source of confusion that must be separated with high fidelity before definitive discovery, or stronger upper limits, can be claimed [3]. For the levels of  $r$  targeted in the next decade, PICO has both the frequency coverage and sensitivity to measure and separate sources of foreground confusion and is thus poised to detect or place unprecedented constraints on the physics of inflation. Its measurements of the spectral index of primordial fluctu-

ations will give the strongest constraints yet on specific models of inflation.

A few hundred million years after the Big Bang, the neutral hydrogen gas permeating the Universe was reionized by photons emitted by the first luminous sources to have formed. The nature of these sources (e.g., star-forming galaxies or high-redshift quasars) and the exact history of this epoch are key missing links in our understanding of structure formation. Various measurements, including *Planck*'s measurement of the optical depth to reionization  $\tau = 0.054 \pm 0.007$ , have indicated that reionization concluded by  $z \approx 6$ , but its onset at higher redshift is poorly constrained. PICO will yield a breakthrough in this context via a cosmic-variance-limited<sup>1</sup> measurement of  $\tau$ , with  $\sigma(\tau) = 0.002$ , which can only be directly measured in large-scale CMB polarization fluctuations (this is SO5). The only proven method to date for measuring this signal, which requires exquisite control of systematics and foreground contamination, is a space-based platform.

Lensing of the CMB photons by structures as they traverse the Universe provides a projected map of all the matter in the universe from the epoch of decoupling until today. The non-zero mass of neutrinos affects the clustering of matter and thus can be inferred from maps of the projected matter distribution. The quantity that can specifically be inferred is the sum of the neutrino masses. The current constraint from the combination of *Planck* and large-scale structure data is  $\sum m_\nu < 0.12$  eV (95%). This is approaching the minimum summed mass allowed in the inverted neutrino hierarchy of  $\approx 0.1$  eV and is within a factor of two of the minimal mass allowed in the normal hierarchy of  $\approx 0.06$  eV. A detection thus appears imminent. However, the precision of determining the neutrino mass scale, using the CMB or *any* other cosmological probe, is limited by knowledge of  $\tau$ , due to the strong degeneracy between  $\tau$  and the amplitude of matter fluctuations. PICO's map of the projected matter with signal to noise ratio (SNR) exceeding 500 – a result of its low noise and high angular resolution – *and* its own cosmic-variance-limited measurement of  $\tau$  will give a  $4\sigma$  detection of  $\sum m_\nu$  in the normal hierarchy, rising to  $\sim 7\sigma$  for the inverted hierarchy; see SO3.

The CMB offers a unique window into the thermal history of the universe, from the time of reheating through today. It is during these eras that the matter and radiation that fill the universe were produced and evolved to form the structures observed at low redshifts. Measurements of the CMB on small angular scales are sensitive to the many components that make up the universe including the baryons, cosmic neutrinos, dark matter, and a wide variety of particles motived by extensions of the Standard Model. The Standard Model of particle physics posits three neutrino families, but it also allows for additional light, relativistic particles, if they existed early enough during the evolution of the Universe. We count the total number light particles thermalized in the early universe using  $N_{\text{eff}}$ . Light particles thermalized in the early universe leave a universal contribution to  $N_{\text{eff}}$  that is sensitive to the freeze-out temperature and the spin of the particle. The current *Planck* measurement of  $N_{\text{eff}} = 2.99 \pm 0.17$  ( $1\sigma$ ) is sensitive to particles thermalized after the QCD phase transitions. PICO's measurement with  $\sigma(N_{\text{eff}}) = 0.03$  (SO4), enabled by low noise levels, high resolution, and full sky coverage, will reach back to times when the temperature of the universe was orders of magnitude hotter than we have probed today, and a period that is still largely unexplored.

These same experimental features are advantageous not only for  $N_{\text{eff}}$  but for any new physics with signatures on the CMB. Of particular interest is the nature of dark matter and its interactions. PICO will place constraints that are more than on order of magnitude stronger than *Planck* for a dark matter particle of MeV mass range, which can not be probed by direct detection experiments.

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<sup>1</sup>The cosmic variance limit is the statistical limit arising from observing a single universe.

PICO will thus reveal important clues to the nature of the fundamental laws and our cosmic origins.

Secondary anisotropy in the CMB<sup>2</sup> provides a wealth of information on the growth and evolution of structure in our universe. CMB lensing, the thermal and kinematic Sunyaev-Zel'dovich (SZ) effects, and extragalactic point sources all contribute significantly to the CMB intensity fluctuations on small angular scales (note that lensing is also present in polarization fluctuations). Immense progress in mapping these sources is enabled by PICO's depth, broad frequency coverage, and relatively high resolution. The all-sky, projected mass map reconstructed from CMB lensing that PICO will provide can be correlated with tracers of large-scale structure to tomographically probe the growth of structure at unprecedented SNR levels. The thermal SZ effect provides a map of the integrated free electron pressure along the line of sight, and the peaks of this map trace the locations of all galaxy clusters in the universe. PICO will find all the massive, virialized, galaxy clusters at any redshift. The epoch of reionization imprints information in the statistical moments of the kinematic SZ signal. The combination of these kSZ statistical moments with the cosmic variance limited  $\tau$  measurement from PICO will provide tight constraints on the global properties of the sources responsible for reionization of the universe.

Our understanding of magnetic fields is rooted in observations of the very local universe: the Milky Way and nearby galaxies. Magnetic fields are observed to be a foremost agent of the Milky Way's ecology. Understanding magnetic fields is crucial for making progress on some exciting issues in the astrophysics of galaxies: the dynamics and energetics of the multiphase interstellar medium, the efficiency of star formation, the acceleration and propagation of cosmic rays and the impact of feedback on galaxy evolution. Through its detailed high resolution polarization measurements of galactic dust emission PICO will produce an unprecedented data set mapping galactic magnetic fields and providing answers to these questions (SO6 and 8).

Learning about magnetic fields has impact beyond understanding the dynamics and evolution of galaxies. The very origin of magnetic fields in galaxies, and their possible evolution from primordial, early universe cosmic magnetic fields is a topic of intense debate. PICO is poised to provide definitive answer as to whether early universe magnetic fields provide the seeds for the magnetic fields observed in most current galaxies.

The magnetized ISM in the Solar Neighborhood presents a challenge for the investigation of cosmological signals. Cosmological signals of interest, such as CMB B-mode polarization, CMB spectral distortions, and 21cm line emission from the cosmic dawn and the reionization epoch are obscured by galactic dust and synchrotron emission that can be orders of magnitude brighter. PICO's detailed mapping of these signals will strongly constrain the physical properties of the ISM and thus models of dust grain composition, temperature, and emissivities (SO7).

The PICO deep and high resolution maps will yield a treasure trove of point source that will be mined for years. The mission will provide a full sky catalog of tens of thousands of extragalactic millimeter and sub-millimeter point sources, which are beacons for active galactic nuclei (in the radio) and dust emission from vigorously star-forming galaxies at  $z \sim 2$  and earlier (in the far-IR).

## 2.2 Science Objectives

### 2.2.1 Fundamental Physics

#### Inflation and Gravitational waves

Measurements of the CMB together with Einstein's theory of general relativity imply that the ob-

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<sup>2</sup>Secondary anisotropy arises from sources other than primordial density and IGW fluctuations

Table 1: Science Traceability Matrix (STM)

Science Goals from NASA Science Plan	Science Objectives	Scientific Measurement Requirements			Instrument (single instrument, single mode)		Mission Functional Requirements
		Model Parameters	Physical Parameters	Observables	Functional Requirements	Projected Performance	
<i>Explore how the universe began (Inflation)</i>	SO1. Probe the physics of the big bang by detecting the energy scale at which inflation occurred if it is above $4 \times 10^{15}$ GeV, or place an upper limit if it is below (§ 2.2.1)	Tensor-to-scalar ratio $r$ : $\sigma(r) = 1 \times 10^{-4}$ at $r = 0$ ; $r < 5 \times 10^{-4}$ at $5\sigma$ confidence level <sup>a</sup>	CMB polarization $BB$ power spectrum for modes $2 < l < 300$ to cosmic variance limit, and CMB lensing power spectrum for modes $2 < l < 1000$ to cosmic variance limit	Linear polarization across $60 < v < 300$ GHz over entire sky; Foreground separation requires $20 < v < 800$ GHz	Frequency coverage: $v_c$ from 20 to 800 GHz.	Sun-Earth L2 orbit with Sun-Probe-Earth $< 15^\circ$ . 5 yr survey with $\geq 95\%$ survey efficiency.	
	SO2. Probe the physics of the big bang by excluding classes of potentials as the driving force of inflation (§ 2.2.1, Figure 2)	Spectral index ( $n_s$ ) and its derivative ( $n_{\text{run}}$ ): $\sigma(n_s) < 0.0015$ ; $\sigma(n_{\text{run}}) < 0.002$	CMB polarization $BB$ power spectrum for modes $2 < l < 1000$ to cosmic variance limit	Intensity and linear polarization across $60 < v < 220$ GHz over the entire sky; Combined instrument weight of $< 0.87 \mu\text{K}_{\text{CMB}} \sqrt{\text{s}}$ .	Frequency resolution: $\Delta v/v_c = 25\%$ . Sensitivity: See Table 3.2. Combined instrument weight of $< 0.87 \mu\text{K}_{\text{CMB}} \sqrt{\text{s}}$ .	Frequency coverage: See Table 3.2. 21 bands with $v_c$ from 21 to 799 GHz.	
<i>Discover how the universe works (Neutrino mass and <math>N_{\text{eff}}</math>)</i>	SO3. Determine the sum of neutrino masses. (§ 2.2.1, Figure 4)	Sum of neutrino masses ( $\Sigma m_\nu$ ): $\Sigma m_\nu < 15$ meV with DESI or Euclid <sup>b</sup>	CMB polarization $BB$ power spectrum for modes $2 < l < 4000$ to cosmic variance limit; CMB intensity maps (to give Compton $Y$ map from which we extract clusters)	Intensity and linear polarization across $60 < v < 220$ GHz over the entire sky; Foreground separation enveloped by SO1 and less driving	Angular resolution [for delensing and foreground separation]: $\text{FWHM} = 6.2' \times (155 \text{ GHz}/v_c)$ . Sampling rate: $(3/\text{BeamFWHM}) \times (336'/\text{s})$ .	Frequency resolution: $\Delta v/v_c = 25\%$ . Sensitivity: See Table 3.2. Combined instrument weight of $0.43 \mu\text{K}_{\text{CMB}} \sqrt{\text{s}}$ . Angular resolution: See Table 3.2.	Full sky survey: Spin instrument at 1 rpm; Boresight $69^\circ$ off spin axis; Spin axis $26^\circ$ off anti-Sun line, precessing $360^\circ / 10\text{hr}$ . Pointing control: Spin axis $60'$ ( $3\sigma$ , radial). Spin $1 \pm 0.1$ rpm ( $3\sigma$ ) Pointing stability: Drift of spin axis $< 1'/1\text{min}$ ( $3\sigma$ , radial); Jitter $< 20''/20\text{ ms}$ ( $3\sigma$ , radial).
	SO4. Tightly constrain the thermalized fundamental particle content of the early Universe (§ 2.2.1, Figure 3)	Number of neutrino effective relativistic degrees of freedom ( $N_{\text{eff}}$ ): $\sigma(N_{\text{eff}}) < 0.03$	CMB temperature and $EE$ polarization power spectra $2 < l < 4000$ to cosmic variance limit	Intensity and linear polarization across $60 < v < 300$ GHz over entire sky; Foreground separation enveloped by SO1 and less driving	Frequency resolution: $\Delta v/v_c = 25\%$ . Sensitivity: See Table 3.2. Combined instrument weight of $0.43 \mu\text{K}_{\text{CMB}} \sqrt{\text{s}}$ . Angular resolution: See Table 3.2.	FWHM = $6.2' \times (155 \text{ GHz}/v_c)$ ; $1.1'$ for $v_c = 799 \text{ GHz}$ . Sampling rate: See Table 3.1. $(3/\text{BeamFWHM}) \times (336'/\text{s})$	
<i>Explore how the universe evolved (reionization)</i>	SO5. Distinguish between models that describe the formation of the earliest stars in the universe (§ 2.2.2, Figure 5)	Optical depth to reionization ( $\tau$ ): $\sigma(\tau) < 0.002$	CMB polarization $EE$ power spectrum for modes $2 < l < 20$ to cosmic variance limit	Intensity and linear polarization across $60 < v < 300$ GHz over entire sky; Foreground separation enveloped by SO1 and less driving	Sampling rate: See Table 3.1. $(3/\text{BeamFWHM}) \times (336'/\text{s})$	FWHM = $6.2' \times (155 \text{ GHz}/v_c)$ ; $1.1'$ for $v_c = 799 \text{ GHz}$ . Sampling rate: See Table 3.1. $(3/\text{BeamFWHM}) \times (336'/\text{s})$	Pointing knowledge (telescope boresight): $10''$ ( $3\sigma$ , each axis) from spacecraft attitude $1''$ ( $3\sigma$ , each axis) final reconstructed
<i>Explore how the universe evolved (Galactic structure and dynamics)</i>	SO6. Determine if magnetic fields are the dominant cause of low Galactic star formation efficiency (§ 2.2.3)	Ratio of cloud mass to maximum mass that can be supported by magnetic field ('Mass to flux ratio' $\mu$ ); Ratio of turbulent energy to magnetic energy (Alfvén Mach number $\mathcal{M}_A$ ) on scales 0.05–100 pc	The turbulence power spectrum on scales 0.05–100 pc; Magnetic field strength ( $B$ ) as a function of spatial scale and density; Hydrogen column density; Gas velocity dispersion	Intensity and linear polarization with $< 1$ pc resolution for thousands of molecular clouds and with $< 0.05$ pc for the 10 nearest molecular clouds; Maps of polarization with $1'$ resolution over the entire sky	Enveloped by SO1–4, except: Angular resolution: $\leq 1.1'$ (at highest frequency)	Return and process instrument data: 1.5 Tbits/day (after 4x compression) Thermally isolate instrument from solar radiation and from spacecraft bus	
	SO7. Constrain the temperatures and emissivities characterizing the Milky Way's interstellar diffuse dust (§ 2.2.3)	Intrinsic polarization fractions of the components of the diffuse interstellar medium to accuracy better than 3% when averaged over 10' pixels	Fractional polarization and intensity as a function of frequency	Intensity and linear polarization maps in 12 frequency bands between 108 and 800 GHz.	Sensitivity at 800 GHz: 27.4 kJy/sr		

<sup>a</sup> The values predicted include delensing and foreground subtraction; see text.

<sup>b</sup> Using the PICO  $\tau$  and  $BB$  lensing power spectrum, and BAO from DESI or Euclid. The same strength constraint can be derived independently using clusters detected by PICO, if their redshift becomes available, and using  $\sigma_8$  from LSST.

served density perturbations must have been created long before the CMB was released, and rather remarkably even before the universe became filled with a hot and dense plasma of fundamental particles. Understanding the mechanism generating these perturbations, which evolved to fill the universe with structures, is one of the most important open questions in cosmology.

PICO’s precision measurements of temperature and  $E$ -mode polarization anisotropy would provide additional information about the statistical properties of the primordial density perturbations generated during this epoch. In addition, PICO would be exquisitely sensitive to the faint imprint gravitational waves present during recombination leave on the polarization of the CMB. Unlike density perturbations, they not only generate primordial temperature and  $E$ -mode polarization but primordial  $B$ -mode polarization [4, 5]. Any detection of primordial  $B$ -mode polarization by PICO would constitute evidence for gravitational waves from the same primordial period that created the density perturbations and open a new window on this early epoch.

Because the dynamics of gravitational waves is essentially unaffected by the plasma physics, they would be a pristine relic left over from the earliest moments of our universe, and their properties would shed light on the mechanism that created the primordial perturbations. Knowledge of the strength of the signal and its statistical properties would transform our understanding of many areas of fundamental physics.

Inflation, a period of nearly exponential expansion of the early universe [6–9], is the leading paradigm explaining the origin of the primordial density perturbations [10–14]. It predicts a nearly scale invariant spectrum of primordial gravitational waves originating from quantum fluctuations [15]. In this sense a detection of primordial  $B$ -modes would be the first observation of a phenomenon associated with quantum gravity [16].

Because the spectrum is scale-invariant, one may hope to detect primordial gravitational waves over a wide range of frequencies including, for example, at LIGO or LISA frequencies. However, as a consequence of the expansion of the universe, the energy density in the gravitational waves rapidly dilutes with increasing frequency, and observations of the CMB provide the easiest, and for the foreseeable future only way to detect gravitational waves at this scale.

The strength of the signal, often quantified by the tensor-to-scalar ratio  $r$ , is a direct measure of the expansion rate of the universe during inflation. Together with the Friedmann equation, this reveals one of the most important characteristics of inflation, its energy scale. PICO’s goal is to detect primordial gravitational waves if inflation occurred at an energy scale of at least  $4 \times 10^{15}$  GeV, or equivalently a tensor-to-scalar ratio of  $r = 3 \times 10^{-4}$ . A detection would have profound implications for fundamental physics because it would provide evidence for a new energy scale tantalizingly close to the energy scale associated with grand unified theories, and would allow us to probe physics at energies far beyond the reach of terrestrial colliders.

Even in the absence of a detection PICO’s measurements would contain invaluable information about the early universe. There are only two classes of slow-roll inflation in agreement with current data that naturally explain the observed value of the spectral index of primordial fluctuations  $n_s$ . The first class is characterized by potentials of the form  $V(\phi) \propto \phi^p$ . This class includes many of the simplest models of inflation, some of which have already been strongly disfavored by existing observations; see the right panel of Figure 1. If the constraints on the spectral index tighten by about a factor 2 with the central value unchanged, and the upper limits on  $r$  improve by an order of magnitude, this class would be ruled out. Select models in this class are shown as blue lines in Figure 2

The second class is characterized by potentials that approach a constant as a function of field

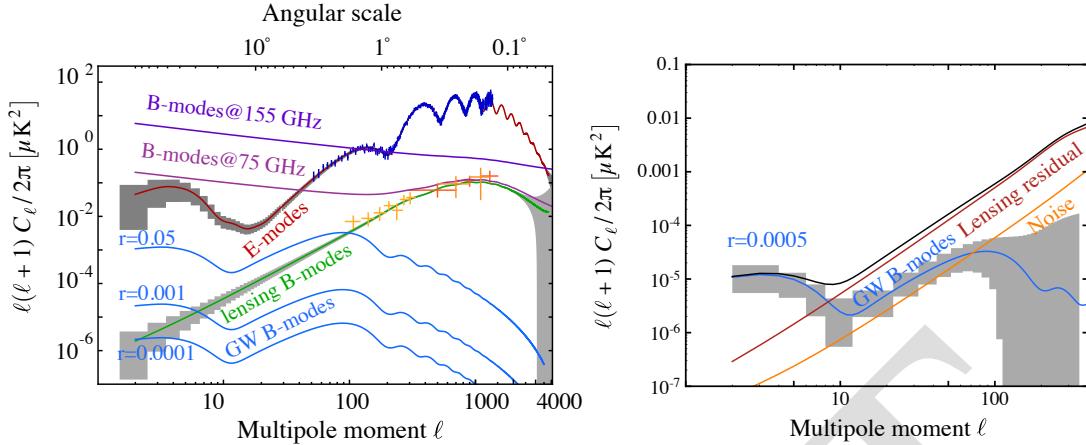


Figure 1: *Left panel:*  $EE$  (red) and lensing  $BB$  (green) angular power spectra and their measurement uncertainties predicted for PICO (gray), as well as the  $BB$  power spectrum produced by IGW with different values of  $r$ . Also shown are measurements of lensing from current experiments (orange) and *Planck* measurements of the  $E$  mode (dark blue) [17–21]. The  $BB$  spectra of Galactic emission on the cleanest 60% of the sky at 75 and 155 GHz (purple) dominate the cosmological signals except at  $\ell = 1000$  and over a narrow frequency band. *Right panel:* Predicted uncertainties for a detection of primordial gravitational waves with  $r = 0.0005$  for PICO (gray), together with the signal (blue), the instrumental noise (orange), and the lensing residual after internal delensing (red).

value, either like a power law or exponentially. Two representative examples in this class are shown as the green and gray bands in Figure 2. This class also includes  $R^2$  inflation, which predicts a tensor-to-scalar ratio of  $r \sim 0.004$ . All models in this class with a characteristic scale in the potential that is larger than the Planck scale predict a tensor-to-scalar ratio of  $r \gtrsim 0.001$ . Different values of characteristic scales are indicated by the darker lines in Figure 2. Many microphysical models in this class possess a characteristic scale that is super-Planckian, but there are models such as the Goncharov-Linde model with a somewhat smaller characteristic scale that predict a tensor-to-scalar ratio of  $r \sim 4 \times 10^{-4}$  [22].

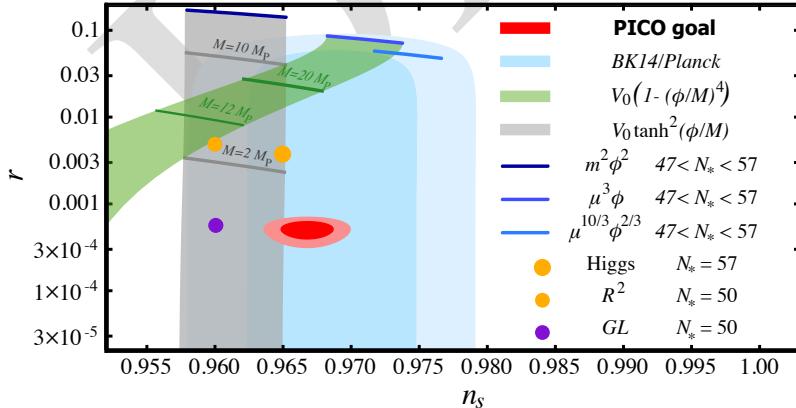


Figure 2: Current 1 and  $2\sigma$  limits on  $r$  and  $n_s$  (blue) and forecasted constraints for a fiducial model with  $r = 0.0005$  for PICO. Also shown are predictions for the selected models of inflation discussed in the text.

In the absence of a detection, PICO would limit the amount of gravitational waves to  $r < 10^{-4}$  at 95% CL and would exclude all these models.

Let us now take a closer look at the signal. As shown in Figure 1, it has two contributions, one

on degree angular scales or multipoles of  $\ell \sim 80$ , typically referred to as the recombination peak, and another contribution for multipoles of  $\ell \lesssim 10$  from the epoch of reionization.

No sub-orbital experiment has yet measured modes at  $\ell < 40$ . The temporal stability, absence of atmospheric noise, and full sky coverage offered by a satellite like PICO make it the most suitable instrument to reach these lowest multipoles.

The contribution from reionization is expected to be strongest relative to the contributions from instrumental noise and ‘lensing’  $B$ -modes created from  $E$ -modes by the deflection of photons by large scale structure on their way to us from the last scattering surface.

When the tensor-to-scalar ratio  $r \simeq 0.01$ , the  $BB$  lensing power spectrum and the primordial  $BB$  power spectrum are comparable around the recombination peak. For lower levels of  $r$ , the lensing  $B$ -mode dominates, but the  $B$ -mode maps can be ‘delensed’ [23, 24]. The effect of lensing on  $E$  and  $B$  maps can be determined and undone if these maps are measured with few arcmin resolution and sufficient depth. Forecasts for PICO show that at least 73% of the lensing  $B$ -mode power can be removed for the baseline configuration, after accounting for foreground subtraction. 80% will be removed if the foregrounds do not degrade the inherent SNR significantly, rising to 85% for the CBE configuration. Delensing will improve PICO’s determination of  $r$  by a factor 5 – 6. We emphasize that PICO will be relying on its own data to conduct the delensing and foreground cleaning, thus avoiding reduced efficacy arising from the need to cross-calibrate experiments, identify common observing areas on the sky, not having frequency band coverage at the appropriate resolution to remove foregrounds, or from other systematic uncertainties.

Models of the early universe differ in their predictions for the scalar spectral index  $n_s$  and its scale dependence, often referred to as the running of the spectral index  $n_{\text{run}}$ . With its high resolution and low noise levels, PICO will improve the constraints on  $n_s$  and  $n_{\text{run}}$  by a factor of about two. In addition, PICO will probe the statistical properties of the primordial fluctuations over a wide range of scales with exquisite precision and improve constraints on departures from Gaussianity by a factor 2 – 3. By cross-correlating the lensing map with large-scale structure data from LSST it may even be possible to reach a theoretically important threshold (see, e.g. [25] and references therein) and constrain local non-Gaussianity to better than  $\sigma(f_{NL}) = 1$ . This is discussed in more detail in section 2.2.2.

## Fundamental Particles: Light relics, Dark Matter, and Neutrinos

- **Light Relics** In the inflationary paradigm, the universe was reheated to temperatures of at least 10 MeV and perhaps as high as  $10^{12}$  GeV. At these high temperatures, even very weakly interacting or very massive particles, such as those arising in extensions of the Standard Model of particle physics, can be produced in large abundances [26, 27]. As the universe expands and cools, the particles fall out of equilibrium, leaving observable signatures in the CMB power spectra. Through these effects the CMB is a sensitive probe of neutrino and of other particles’ properties.

One particularly compelling target is the effective number of light relic particle species  $N_{\text{eff}}$ . The canonical value with three neutrino families is  $N_{\text{eff}} = 3.046$ . Additional light particles contribute a universal change to  $N_{\text{eff}}$  that is a function only of the decoupling temperature and the effective degrees of freedom of the particle,  $g$ . Furthermore, the range of  $\Delta N_{\text{eff}}$  is quite restricted even for widely varying decoupling temperatures  $T_F$  with the range  $0.027g \leq \Delta N_{\text{eff}} \leq 0.07g$  corresponding to decoupling at higher temperatures during post-inflation reheating ( $0.027g$ ) to lower temperatures shortly prior to the QCD phase transition ( $0.07g$ ).

Performance forecasts for  $N_{\text{eff}}$  are shown in Figure 3. For an experiment like PICO, which has



Figure 3: *Left:*  $N_{\text{eff}}$  uncertainty as a function of noise and sky fraction. The resolution assumed is  $5'$ . Vertical lines denote the expected performance of the baseline mission. *Right:* Reach in the freeze-out temperature for various species, given a measurement of  $\Delta N_{\text{eff}}$ . We see an exclusion of  $\Delta N_{\text{eff}} < 0.06$  is a nearly two order of magnitude improvement over Planck and SO. The vertical lines are normalized to the  $T_f$  for a single vector particle.

sufficient resolution to reach cosmic-variance-limited measurement of  $EE$  up to  $\ell = 2300$ , the two additional most important parameters for improving constraints are the fraction of sky observed  $f_{\text{sky}}$  and the noise. Achieving both larger  $f_{\text{sky}}$  and lower noise are strengths of PICO compared to other platforms. The PICO requirement is to constrain  $\Delta N_{\text{eff}} < 0.06$  at 95%. The corresponding improvement in reach in  $T_F$  is shown in the right panel of Figure 3. The large improvement over Planck ( $\Delta N_{\text{eff}} < 0.28$ , 95%) corresponds to a factor of 400 improvement in the limit on the decoupling temperature for any particle with spin.

Many light relics of the early universe are not stable. They decay, leaving faint evidence of their past existence on other tracers. The relics with sufficiently long lifetime to survive few minutes, past the epoch of light element synthesis, leave a signature on the helium fraction  $Y_p$ . If they decay by the time of recombination, their existence through this period is best measured through the ratio of  $N_{\text{eff}}$  to  $Y_p$ . At both CBE and Baseline sensitivity, PICO can simultaneously measure  $N_{\text{eff}}$  and  $Y_p$  with  $\sigma(N_{\text{eff}}) = 0.08$  and  $\sigma(Y_p) = 0.005$ . Alternatively, PICO can measure  $Y_p$  at fixed  $N_{\text{eff}}$  with  $\sigma(Y_p) = 0.002$  to independently determine the primordial helium abundance with the same precision as astrophysical measurements. The combination of these measurements is a sensitive test of physics between big bang nucleosynthesis and recombination.

• **Dark Matter** Cosmological measurements have already confirmed the existence of one relic that lies beyond the Standard Model: dark matter. For a conventional WIMP candidate, the CMB places very stringent constraints on its properties through the signature of its annihilation [28–30]. Most of this information is in the  $EE$  power spectrum at  $50 < \ell < 300$  and is well-measured by *Planck* and will approach the cosmic variance limit with existing ground based surveys [31, 32]. An entirely complementary way to probe dark matter is to search for evidence of its interactions with other species in cosmological data. Since a lower mass translates to a higher number density of scattering centers, CMB is particularly sensitive to the low-mass regime and is sensitive to large, nuclear-scale cross sections.

Interactions between dark matter and protons in the early universe creates a drag force between the two cosmological fluids, damping acoustic oscillations and suppressing power in density per-

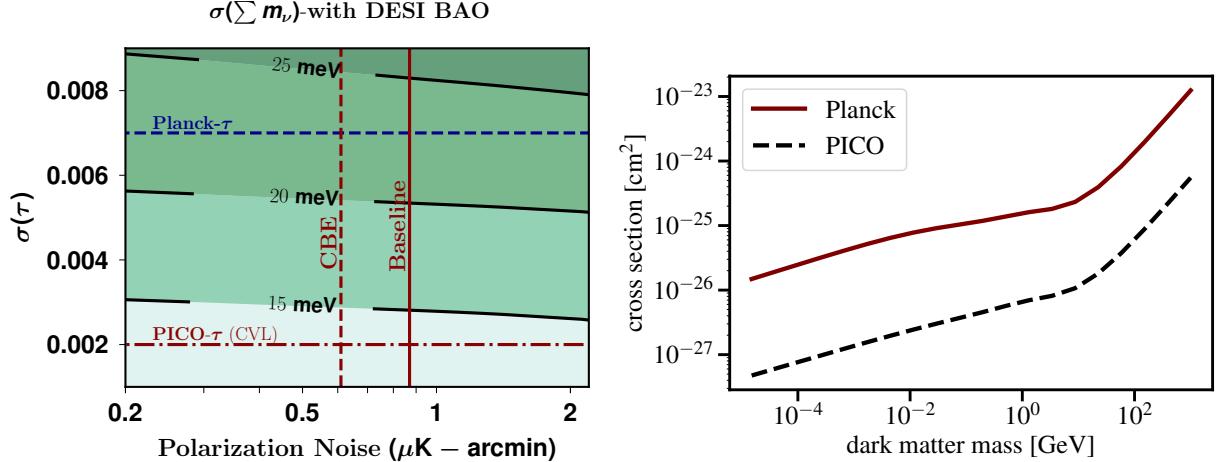


Figure 4: *Left:* Forecasts for the sum of neutrino masses uncertainty, including DESI BAO, as a function of noise and the uncertainty in the measurement of  $\tau$ , for 0.7 sky fraction. The upper blue dashed line is the current *Planck* limit; the lower grey dashed line is the limit from cosmic variance limited measurement of  $\tau$ . *Right:* Upper limits on DM-proton interaction cross section as a function of DM mass, for a spin-independent velocity-independent scattering. Areas above the curves are excluded at 95% confidence-level. Shown are the current limits from *Planck*([36]) and a forecast for *PICO*.

turbations on small scales. As a result, the CMB temperature, polarization, and lensing power spectra are suppressed at high multipoles relative to a universe without such drag forces. This effect has been used to search for evidence of dark matter-proton scattering over a range of masses and couplings, and to provide consistency tests of dark matter in the context of the anomalous 21-cm signal reported by the EDGES collaboration [33–41]

In Figure 4, we present current and projected upper limits on the dark matter-proton interaction cross section as a function of dark matter mass, for a spin-independent velocity-independent scattering (chosen as our fiducial model). Regions above the curves are excluded at the 95% confidence level. We compare current limits obtained from *Planck* (from [36]) with projections for *PICO* sensitivity. We note that *PICO* can deliver a substantial improvement over the current limits, across the entire dark matter mass range considered. Most of the constraining power in case of *PICO* (and ground-based next-generation measurements with similar white-noise levels) comes from the measurement of  $C_\ell^{\phi\phi}$ .

• **Neutrino Mass** The origin and structure of the neutrino masses is one of the great outstanding questions about the nature of the Standard Model particles. Measurements of neutrinos in the lab have revealed much about the mass differences and mixing angles. Cosmology offers a measurement of the sum of the neutrino masses  $\sum m_\nu$  through the gravitational influence of the non-relativistic cosmic neutrinos. The measurement of  $N_{\text{eff}} = 2.99 \pm 0.17$  [42] already confirms the existence of these neutrinos at  $> 10\sigma$  and their mass implies that they will contribute to the matter density at low redshifts. The best current mass constraint arises from a combination of *Planck* and BOSS barion acoustic oscillations (BAO) giving  $\sum m_\nu < 0.12$  eV (95%) [42].

Cosmological measurements are primarily sensitive to the suppression of power on small scales after the neutrinos become non-relativistic, which can be measured via CMB lensing or weak lensing in a galaxy survey. However, these measurements are limited by our knowledge of the amplitude of the primordial fluctuation power spectrum,  $A_s$ . In practice, CMB observations most

directly constrain  $A_s e^{-2\tau}$  and thus do not provide a high precision measurement of either  $A_s$  or  $\tau$  separately.

Although many surveys hope to detect  $\sum m_\nu$ , any detection of the minimum value expected from particle physics  $\sum m_\nu = 58$  meV at more than  $2\sigma$  will require a better measurement of  $\tau$ . The best constraints on  $\tau$  come from  $E$  modes with  $\ell < 20$  which require measurements over the largest angular scales. To date, the only proven method for such a measurement is from space. The current limit of  $\sigma(\tau) = 0.007$  is from *Planck* [43]. Forecasts for a CMB measurement of  $\sum m_\nu$  using the lensing  $B$  mode [44] are shown in Figure 4. With the current uncertainty in  $\tau$  one is limited to  $\sigma(\sum m_\nu) \gtrsim 25$  meV (after including BAO); no other survey or cosmological probe would improve this constraint. But PICO will reach the cosmic variance limit uncertainty on  $\tau$ ,  $\sigma(\tau) \sim 0.002$ , and will therefore reach  $\sigma(\sum m_\nu) < 15$  meV when combined with measurements of BAO from DESI or Euclid [45]. Robustly detecting neutrino mass at  $> 3\sigma$  in any cosmological setting is only possible with an improved measurement of  $\tau$  like the one achievable with PICO. The measurement would give  $\sum m_\nu > 0$  at greater than  $4\sigma$  or would exclude the inverted hierarchy ( $\sum m_\nu > 100$  meV) at 95% confidence, depending on the central value of the measurement. Lab-based measurement could determine the hierarchy before PICO but only cosmology can measure  $\sum m_\nu$ .

### Fundamental Fields: Primordial Magnetic Fields and Cosmic Birefringence

- **Primordial Magnetic Fields** One of the long standing puzzles in astrophysics is the origin of observed 1-10  $\mu\text{G}$  strength galactic magnetic fields [46]. Producing such fields through a dynamo mechanism requires a primordial seed field [47]. Moreover,  $\mu\text{G}$  strength fields have been observed in proto-galaxies that are too young to have gone through the number of revolutions necessary for the dynamo to work. A primordial magnetic field (PMF), present at the time of galaxy formation, could provide the seed or even eliminate the need for the dynamo altogether. Specifically, a  $\sim 0.1$  nG field in the intergalactic plasma would be adiabatically compressed in the collapse to form a  $\sim 1$   $\mu\text{G}$  galactic field [48]. PMFs could have been generated in the aftermath of phase transitions in the early universe [49], during inflation [50, 51], or at the end of inflation [52]. A detection of PMF with the CMB would be a major discovery as it would establish the field's primordial origin, signal new physics beyond standard models of particle physics and cosmology, and discriminate among different theories of the early universe [53–55].

The current CMB bounds on PMF strength are  $B_{1\text{Mpc}} < 1.2$  nG at 95% CL for the scale-invariant PMF spectrum [58], based on measurements of the  $TT$ ,  $TE$ ,  $EE$  and  $BB$  spectra. The much more accurate measurement of  $BB$  by PICO would only marginally improve the PMF bound because CMB spectra scale as  $B_{1\text{Mpc}}^4$ . However, Faraday rotation provides a signature that scales linearly with the strength of PMF [56]. It converts  $E$  modes into  $B$  modes, generating mode-coupling  $EB$  and  $TB$  correlations. So far this signature was out of reach because prior experiments didn't have sufficient sensitivity. Using Faraday rotation, PICO's sensitivity and resolution would allow to probe PMFs as weak as 0.1 nG ( $1\sigma$ ), a limit that already includes the effects of imperfect lensing subtraction, galactic foregrounds [59–61], and other systematic effects. With this limit PICO will conclusively rule out the purely primordial (i.e, no-dynamo driven) origin of the largest galactic magnetic fields.

- **Cosmic Birefringence** The simplest model for late-time acceleration of the universe is with a slowly-evolving scalar field – the quintessence [62]. Such a field generically couples to electromagnetism through a Chern Simons-like term, and causes linear polarization of photons propagating cosmological distances to rotate. This is known as cosmic birefringence [62]. The bire-

fringence converts primordial  $E$  mode into  $B$  mode. It thus produces parity-violating  $TB$  and  $EB$  cross-correlations whose magnitude depends on the statistical properties of the rotation field in the sky [63, 64]. There are no theoretical predictions for the level of birefringence, but if observed, it would be evidence for physics beyond the standard model and a potential probe of dark-energy microphysics [64–66]. Using the sensitivity of only the 155 GHz, PICO will improve current constraints on cosmic birefringence (from POLARBEAR [67]) by a factor of 300. The constraints will be even stronger when including all frequency bands.

## 2.2.2 Cosmic Structure Formation and Evolution

**The Formation of the First Luminous Sources** The reionization of the Universe imprints multiple signals in the temperature and polarization of the CMB. In polarization, the most important signal is an enhancement in the  $EE$  power spectrum at large angular scales  $\ell \lesssim 20$ ; see Figure 1. This signal gives a direct measurement of the optical depth to the reionization epoch  $\tau$ , and thus to the mean redshift of reionization  $z_{re}$ , with very little degeneracy with other cosmological parameters; see Figure 5. The mean redshift of reionization  $z_{re}$  (when 50% of the cosmic volume was reionized) depends sensitively on the nature of the ionizing sources. It is currently unknown whether star-forming galaxies or more exotic sources such as supermassive black holes drove the reionization process. What was the mean free path of ionizing photons during this epoch? What was the efficiency with which such photons were produced by ionizing sources? What were the masses and environments of the dark matter halos that hosted the sources? These properties all affect  $z_{re}$ . Furthermore, the detailed shape of the low- $\ell$   $E$ -mode power spectrum is sensitive to the reionization history itself (i.e.,  $d\tau/dz$ ), and will provide information beyond that captured in  $\tau$  alone. For example, it has been argued that *Planck* data show evidence for an extended tail of reionization out to  $z \approx 15$ –20 [68]. A cosmic-variance-limited measurement of the large-scale  $E$  modes, as obtained by PICO, will settle this question.

Large-scale  $EE$  power spectrum measurements are a unique and crucial observable for many aspects of cosmology, particularly the growth of structure. If measurements of  $\tau$  are not improved beyond the current uncertainties from *Planck*, inference of several new signals of cosmological physics will be severely hindered. A canonical example is the inference of the sum of the neutrino mass (see page 10), but any cosmological inference related to the growth of structure will be affected to some extent, including constraints on dark energy and modified gravity from weak lensing, cluster counts, and similar structure probes. PICO is the ideal experiment to resolve this issue. Its noise level and frequency coverage permit a cosmic-variance-limited constraint on  $\tau$ , i.e.,  $\sigma(\tau) \approx 0.002$ , which we have verified with explicit forecasts including separation of foregrounds.

In temperature, an important imprint of reionization is that sourced at small angular scales by the “patchy” kinematic Sunyaev-Zel’dovich (kSZ) effect, due to the peculiar velocities of free electron bubbles around ionizing sources. Measurements of the small- scale kSZ power spectrum, with instruments that have higher resolution than PICO, can give constraints on the duration of reionizaiton  $\Delta z_{re}$  [69]. Fig. 5 presents forecasts for reionization constraints in the  $z_{re} - \Delta z_{re}$  parameter space obtained from PICO’s measurement of  $\tau$  in combination with ground-based Stage-III CMB experiments measurements of the kSZ power spectrum. The PICO measurement of  $\tau$  is essential for breaking degeneracies and allowing simultaneous, precise constraints to be placed on both the mean redshift and duration of reionization. The Figure also shows curves of constant efficiency of production of ionizing photons in the sources, and of intergalactic medium opacity. These are two parameters that quantify models of reionization. The curves shown are illustrative; families



Figure 5: Contours of  $1$  and  $2\sigma$  constraints on the mean redshift and duration of reionization using PICO and CMB-S3 data (solid dark blue), and comparison with *Planck* and CMB-S3 (dash light blue). Source Efficiency and IGM Opacity (dark lines) are two physical parameters controlling the reionization process in current models. The PICO measurements together with higher resolution data of the kSZ effect will significantly the range of models allowed. We also include other constraints from *Planck*, EDGES, the Gunn-Peterson (GP) trough , and *Planck*+ the South Pole Telescope (SPT) [42, 70–72].

of models, that would be represented by parallel ‘source efficiency’ and ‘IGM Opacity’ lines, are allowed by current data. PICO’s data will give simultaneous constraints on these physical parameters, yielding important information on the nature of the first luminous sources. For example, galaxies or quasars predict significantly different values for these parameters.

In addition to these signals, reionization also leaves specific non-Gaussian signatures in the CMB. In particular, patchy reionization induces non-trivial 4-point functions in both temperature [73] and polarization [74]. The temperature 4-point function can be used to separate reionization and late-time kSZ contributions. Combinations of temperature and polarization data can be used to build quadratic estimators for reconstruction of the patchy  $\tau$  field, analogous to CMB lensing reconstruction. These estimators generally require high angular resolution, but also rely on foreground-cleaned CMB maps. Thus, while PICO alone may not enable high SNR reconstructions, its high-frequency bands — which have better than 2 arcmin resolution and cover frequencies that are not suitable for observations from the ground — will enable these estimators to be robustly applied to ground-based CMB data sets, a strong example of ground-space complementarity.

**Probing Structure Formation via Gravitational Lensing** Matter between us and the last-scattering surface deflects the path of photons through gravitational lensing, imprinting the 3-dimensional matter distribution across the volume of the universe onto the CMB maps. The specific quantity being mapped by the data is the projected gravitational potential  $\phi$  that is lensing the photons. From the lensing map, which receives contributions from all redshifts between us and the CMB with the peak of the distribution at  $z \simeq 2$ , we infer the angular power spectrum  $C_L^{\phi\phi}$ . Both the temperature and polarization maps of the CMB, and by extension the angular power spectra, are affected by lensing.

*Planck*’s  $\phi$  map had SNR of  $\sim 1$  per  $L$  mode over a narrow range of scales,  $30 < L < 50$ . PICO’s map would represents true mapping, with  $\text{SNR} \gg 1$  per each mode down to scales of approximately ten arcminutes ( $L \sim 1000$ ); see Figure 6<sup>3</sup>. On smaller scales, the map will still contain statistical information. While *Planck* had an SNR of 40 integrated across the  $C_L^{\phi\phi}$  power spectrum [75], the PICO combination of resolution, sensitivity, and sky coverage enables a measurement with SNR of 638 and 737 for the baseline and CBE configurations, respectively. When accounting for possible foreground contamination, its broad frequency coverage leads to a reduc-

<sup>3</sup>We use  $L$  to refer to multipoles in the CMB lensing and galaxy clustering fields, in contrast to the use of  $\ell$  for the CMB itself.

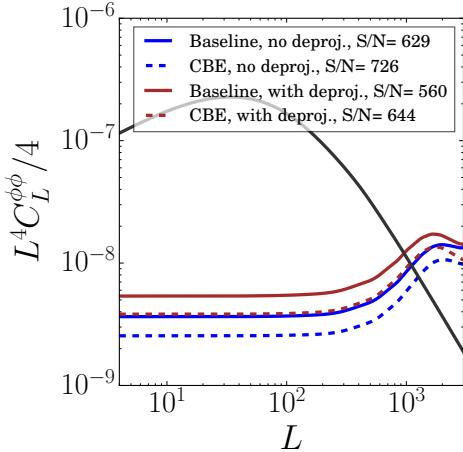


Figure 6: The theoretically predicted lensing power spectrum  $C_L^{\phi\phi}$  (black) and forecasted PICO noise levels, with (red), and without (blue) deprojection, that is removal, of foregrounds. PICO will make a map of  $\phi$  at angular scales where the noise is below the signal.

tion of SNR of less than 20%; see Figure 6.

The value of the reconstructed lensing map is immense, as has already been demonstrated with the much lower SNR map from *Planck*. The unprecedented constraints on neutrino mass, discussed in page 10, are a direct result of this deep map. Tomographic cross-correlations of the lensing map with samples of galaxies and quasars will yield constraints on structure formation. The measurements will constrain the properties of quasars and other high-redshift astrophysics, e.g., a precise determination of the quasar bias (and hence host halo mass) as a function of their properties, such as (non-)obscuration. The map will be cross-correlated with other large scale tracers to probe fundamental physics. For instance, one can use correlations between large scale structure tracers with different clustering bias factors to effectively cancel cosmic variance [76, 77] and constrain physics that affects the biasing of objects on large scales, such as primordial local non-Gaussianity [78]. In Fig. 7 we show the expected constraints for the CMB lensing field as reconstructed with PICO, in cross correlation with three years of the LSST survey. It can be seen that depending on the minimal multipole that can be used in the cross correlation, which is uncertain in both LSST and the PICO lensing map, the well-motivated theory target of  $\sigma(f_{NL}) \simeq 1$  [25] can be within reach. Values of  $f_{NL}$  at or above this level are a generic prediction of multi-field inflationary models.

Using the same cross-correlation techniques, it is also possible to constrain the evolution of the amplitude of structure as a function of redshift. Figure 8 shows constraints on the amplitude of linear structure in several redshift bins. This is a model-independent representation of the structure growth constraints; these measurements will yield constraints on dark energy or modified gravity, in the context of specific models. The measurements can also be used for a neutrino mass constraint that is complementary to and competitive with that inferred from the CMB lensing auto-power spectrum described earlier.

Lensing will also be used to weigh dark matter halos hosting galaxies, groups, and clusters of galaxies. Calibrating the masses of galaxy clusters is the most uncertain and crucial step in the cluster cosmology program, in which CMB lensing has already begun to play an important role. In this approach, known as CMB halo lensing, we focus on the small-scale effects of gravitational lensing around these objects [79–81]. The technique holds great potential for measuring halo masses out to high redshifts where gravitational lensing of galaxies (i.e., gravitational shear) no longer works because of the lack of background sources.

This is illustrated in Fig. 9, which shows the mass sensitivity of PICO using a spatial filter

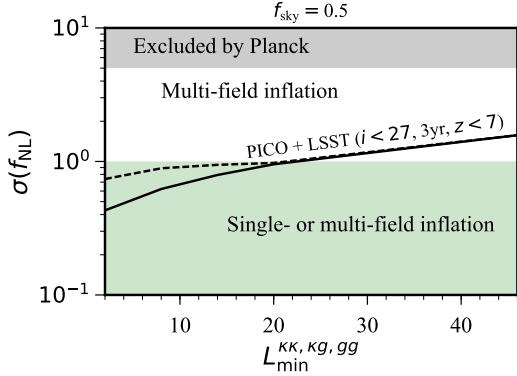


Figure 8: Forecasted sensitivity to the parameter describing the amplitude of structure in various redshift bins, as a function of the maximal multipole used in the analysis. Percent-level constraints on these parameters allow for stringent tests of physics beyond  $\Lambda$ CDM that modify the rate of growth of structure.

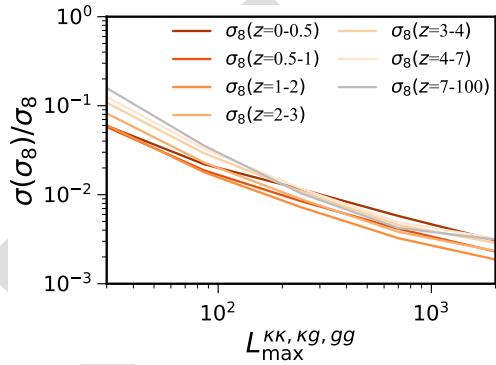


Figure 7: Forecasted sensitivity to the parameter describing primordial non-Gaussianity of the local type for the PICO CMB lensing map together with three years of the LSST survey, as a function of the minimal multipole used in the analysis. A value of  $\sigma(f_{\text{NL}}) \simeq 1$  is a well-motivated theoretical target.

optimized for extracting the mass of halos [82]. The curves give the one-sigma noise in a mass measurement through the filter as a function of redshift. Their flattening at high redshift reflects the fact that CMB lensing is sensitive over a broad range of redshifts, extending well beyond the limit of  $z = 2$  of the figure. We see that PICO can measure the mass of individual low-mass clusters ( $\sim 10^{14} M_{\odot}$ ) over a wide redshift range, and by stacking we can determine the mean mass of much smaller halos, including those hosting individual galaxies.

Halo lensing will enable calibration of the galaxy cluster mass scale, which is critical for our cosmological analysis of PICO cluster counts, as mentioned above. It also gives a unique tool for measuring the relation between galaxies and their dark matter halos during the key epochs of cosmic star formation at  $z \geq 2$ , not reachable by other means. This will provide valuable insight into the role of environment on galaxy formation during the rise to and fall from the peak of cosmic star formation at  $z \sim 2$ . From a complementarity perspective, the high-resolution, high-frequency PICO channels will play an essential role in cleaning foregrounds for high-resolution ground-based halo lensing measurements at lower frequencies, particularly those derived from the temperature-based estimator, which is most contaminated by foregrounds.

**Constraining Galaxy Formation via the Sunyaev-Zel'dovich (SZ) Effects** Not all CMB photons propagate through the universe freely; about 6% are Thomson-scattered by free electrons in the intergalactic medium (IGM) and intracluster medium (ICM). These scattering events leave a measurable imprint on CMB temperature fluctuations, which thereby contain a wealth of information about the growth of structures and the thermodynamic history of baryons. A fraction of these photons are responsible for the thermal and kinetic Sunyaev-Zel'dovich effects (tSZ and kSZ) [83, 84]. The amplitudes of the tSZ and kSZ signals are proportional to the integrated electron pressure and momentum along the line of sight, respectively. They thus contain information about the thermodynamic properties of the IGM and ICM, which are highly sensitive to astrophysical ‘feedback’. Feedback is the process of energy injection into the IGM and ICM from accreting

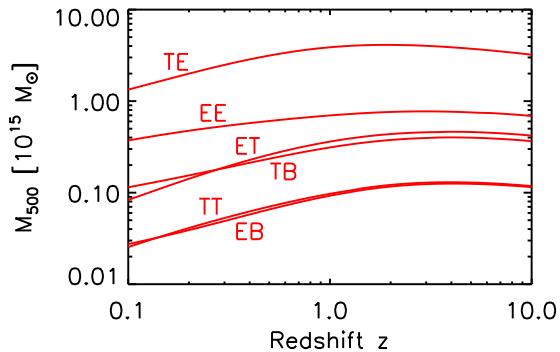


Figure 9: PICO sensitivity for CMB halo lensing. Curves for different CMB signal correlations give the one-sigma sensitivity of an optimal mass filter [82]. The curves are flat at high redshift, demonstrating the essential property that CMB halo lensing can be applied over a very wide redshift range, and well beyond the  $z = 2$  limit of the figure. For PICO, the  $EB$  and  $TT$  estimators are roughly equivalent, offering important cross-validation of measurements because the systematics are very different for temperature and polarization.

supermassive black holes, supernovae, stellar winds, and other sources. The tSZ effect will be used to measure ensemble statistics of galaxy clusters, which contain cosmological information, as well as to provide uniform cluster samples for galaxy formation studies in dense environments.

- **Galaxy Clusters** Galaxy clusters found via the tSZ effect provide a well-defined sample with a simple-to-model selection function. Sample of clusters such as these are straightforward to use for cosmological inference and studies of galaxy evolution in dense environments. The tSZ-selected sample from PICO will provide all clusters with masses above  $\sim 3 \times 10^{14} M_\odot$  (defined with respect to the radius within which the average density reaches 200 times the critical) out to high redshifts, as long as the clusters have started to virialize. We forecast that PICO will find  $\sim 150,000$  galaxy clusters, assuming the cosmological parameters from *Planck* and applying a galaxy mask, using only 70% of the sky. With redshifts provided by optical surveys and infrared follow-up observations, the PICO tSZ-selected cluster sample will be an excellent cosmological probe, with mass calibration provided by CMB halo lensing described above and optical weak lensing for clusters with  $z < 1.5$ .

- **Compton-y map and tSZ auto-power spectrum** In addition to finding individual clusters, multifrequency CMB data also allow the reconstruction of full-sky maps of the tSZ signal. These are called ‘Compton-y maps’. With its extremely low noise and broad frequency coverage, which is essential for separating out other signals, PICO will yield a definitive Compton-y map over the full sky, with high SNR down to angular scales of a few arcminutes. We quantify this expectation by reconstructing the Compton-y field using the needlet internal linear combination (NILC) algorithm [85] applied to sky simulations generated with the *Planck* sky model, with maps at all PICO frequencies (with appropriate noise added). The error bars on the reconstructed tSZ power spectrum are shown in Fig. 10, in comparison to current measurements. The total SNR is 1270 for the PICO CBE configuration, with the PICO baseline configuration only  $\approx 10\%$  lower. This is nearly two orders of magnitude higher SNR compared to *Planck*, which has already provided data with much higher SNR compared to ground-based experiments.

Extremely strong constraints on models of astrophysical feedback will be obtained from the analysis of the PICO y-map, both from its auto-power spectrum and from cross-correlations with galaxy, group, cluster, and quasar samples. Like the CMB lensing map described above, the legacy value of the PICO y-map will be immense. As an example, we forecast the detection of cross-correlations between the PICO y-map and galaxy weak lensing maps constructed from LSST and WFIRST data. Considering the LSST “gold” sample with a source density of 26 galaxies/arcmin<sup>2</sup> covering 40% of the sky, we forecast a detection of the tSZ – weak lensing cross-correlation with S/N = 3000. At this immense significance, the signal can be broken down into dozens of to-

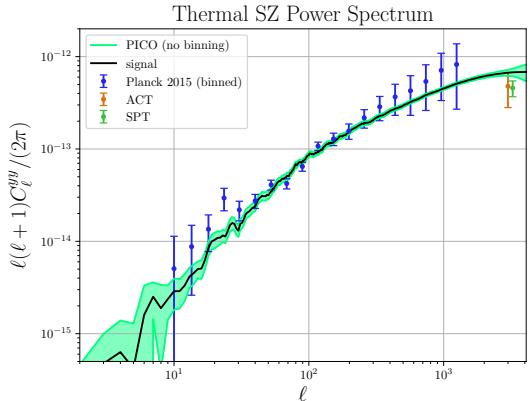


Figure 10: Constraints on the tSZ power spectrum from PICO and current data. A simulated tSZ power spectrum (black) is constrained at each multipole by PICO’s data ( $1\sigma$ , green). Binning in  $\ell$ , would increase the SNR beyond the calculated value of 1270, which is already nearly 100 times larger than *Planck* (blue). We include current measurements by SPT and ACT, two ground-based programs [86, 87]

mographic redshift bins, yielding a precise breakdown of the evolution of thermal pressure over cosmic time. For PICO and WFIRST (assuming 45 galaxies/arcmin<sup>2</sup> covering 5.3% of the sky), we forecast S/N = 1100 for the tSZ – weak lensing cross-correlation. The WFIRST galaxy sample extends to higher redshift, and thus this high-S/N measurement will allow the evolution of the thermal gas pressure to be probed to  $z \approx 2$  and beyond, the peak of the cosmic star formation history. These transformative measurements will revolutionize our understanding of galaxy formation and evolution by distinguishing between models of feedback energy injection at high significance. Additional cross-correlations of the PICO  $y$ -map with quasar samples, filament catalogs, and other large-scale structure tracers will further demonstrate its immense legacy value, providing valuable information on baryonic physics that is complementary to inferences from the lensing cross-correlations described earlier.

### 2.2.3 Galactic Structure and Star Formation

Observations of Galactic polarization are a highlight and a lasting legacy of the *Planck* space mission. Spectacular images combining the intensity of dust with the texture derived from polarization data have received world-wide attention and have become part of the general scientific culture [88]. Beyond their popular impact, the *Planck* polarization maps represented an immense step forward for Galactic astrophysics [89]. We expect an even greater leap forward from PICO based on the higher angular resolution dust polarization images obtained with the balloon experiment BLAST-Pol. PICO will provide all-sky maps of dust polarization at higher resolution than BLASTPol and with significantly higher sensitivity than *Planck* (See Figure 11.) Such a data set can only be obtained from a space mission. The data will complement a rich array of polarization observations including stellar polarization surveys to be combined with Gaia astrometry and synchrotron observations measuring Faraday rotation at radio wavelengths with the Square Kilometer Array and its precursors. Here, we focus on two key crucial Galactic science measurements that require PICO.

(1) *Testing Composition Models of Interstellar Dust:* PICO will enable spectral characterization of Galactic polarization. This in turn enables us to refine and test models of dust composition and grain alignment, which are of interest for the interpretation of dust polarization data at large.

(2) *Determining how magnetic fields affect the processes of molecular cloud and star formation:* By virtue of the strong dynamical coupling of dust and gas and the systematic alignment of dust grains with magnetic fields, dust polarization probes magnetic fields in the cold and warm neutral phases of the diffuse ISM (which contains the bulk of the ISM gas mass and turbulent energy) down to the scale of molecular clouds (which are where stars form). PICO will measure polarization

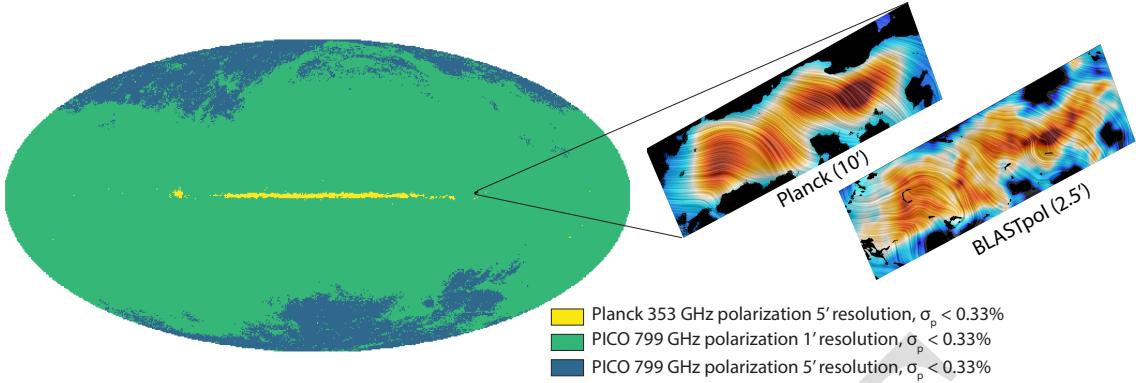


Figure 11: At 799 GHz, the PICO Baseline mission will map nearly the entire sky at 1' resolution with a sensitivity of 0.33% (The CBE will improve this to the entire sky at 1',  $\sigma_p < 0.33\%$ ). As an example of the current state-of-the-art, Planck (10') and BLASTpol (2.5') maps of the Vela C region are shown [90]. These observations will enable PICO to characterize magnetized turbulence from the diffuse ISM down to dense star forming cores.

across this broad range of scales to trace the role of magnetic fields through the entirety of the star formation process.

### Dust Physics

Strong extinction features at 9.7 and 18  $\mu\text{m}$  indicate much interstellar dust is in the form of amorphous silicates while features at 2175 Å, 3.3  $\mu\text{m}$ , and 3.4  $\mu\text{m}$  attest to abundant hydrocarbons. It is unknown, however, whether the silicate and carbonaceous materials coexist on the same grains or whether they are segregated into distinct grain populations. If there are indeed multiple grain species, this will induce additional challenges for modeling the emission from interstellar dust in both total intensity and polarization at levels relevant for B-mode science [91].

Spectropolarimetry of dust extinction features reveals robust polarization in the 9.7  $\mu\text{m}$  silicate feature [e.g., 92], indicating that the silicate grains are aligned with the interstellar magnetic field. In contrast, searches for polarization in the 3.4  $\mu\text{m}$  carbonaceous feature have yielded only upper limits, even along sightlines where silicate polarization is observed [93, 94]. These data suggest that most of the silicate and carbonaceous materials do not exist on the same grains. However, these studies are limited to only a few highly-extincted sightlines that may not typify the diffuse ISM.

At odds with the spectropolarimetric evidence from dust extinction, current measurements of the polarization fraction of the far-infrared dust emission with *Planck* [95] and BLASTPol [96] betray little to no frequency dependence, as would be expected if two components with distinct polarization properties were contributing to the total emission. However, current uncertainties are relatively large and the data with  $\nu > 353 \text{ GHz}$  are from high density sightlines that may not be representative of the diffuse ISM. With great polarization sensitivity even in diffuse regions, PICO will provide a definitive test of the two component paradigm.

To assess PICO's ability to discriminate quantitatively, we employ the analytic two component dust mode of [97] which provided a better fit to IRAS and *Planck* data than one component models.

Applying the noise estimates from PICO, 1000 simulations were run for different combinations of polarization fractions of the two components in this model. Only frequency channels 107 GHz and above were used, and the simulated data were binned to the 7.9' beam of the PICO 107 GHz

channel. Based on the variance of the simulation results, PICO can determine the intrinsic polarization fractions of the two components to a precision of 1-2%. PICO will therefore be able to validate or reject state-of-the-art dust models [e.g. 98, Hensley & Draine, in prep] and test for the presence of additional grain species with distinct polarization signatures, such as magnetic nanoparticles [99].

### Are Magnetic Fields Responsible For Low Star Formation Efficiency?

Stars form out of dense, gravitationally unstable regions within molecular gas clouds. The efficiency of this conversion from molecular gas to stars is very low, due to regulation from supersonic turbulent gas motions, magnetic fields, and feedback from young stars [100]. Magnetic fields may play an important role in slowing the process of star formation by inhibiting movement of gas in the direction perpendicular to the field lines. Observations to date suggest that the outer envelopes of clouds can be supported against gravity by magnetic fields, but in dense cores gravity tends to dominate, and so these dense structures can collapse to form stars [101].

On larger scales, the formation of gravitationally unstable clouds is regulated by the flow of diffuse material into the molecular phase, a process that is mediated by magnetized turbulence in the low-density ISM. Structure formation in the diffuse ISM is poorly understood, but as a precursor to star formation it is crucial to understand what drives molecular cloud formation. Recent observations suggest that the structure of the diffuse medium is highly anisotropic, and strongly coupled to the local magnetic field [102–105].

However, the degree to which magnetic fields affect the formation of molecular clouds as well as stars within these clouds is poorly constrained, in large part due to the difficulty of making detailed maps of magnetic fields in the interstellar medium.

• **Formation of Stars within Magnetized Molecular Clouds** With full-sky coverage and a best resolution of  $1.1'$ , PICO will be able to map all molecular clouds with better than 1 pc resolution, out to a distance of 3.4 kpc. Extrapolating from the Bolocam Galactic Plane Survey [BGPS, 106], PICO is expected to make highly detailed magnetic field maps of over 2,000 molecular clouds with thousands to hundreds of thousands of independent measurements per cloud.

Our goal is to constrain both the strength of the magnetic field,  $B$ , within these clouds, as well as the energetic importance of the field compared to self-gravity (parameterized by the mass-to-flux ratio  $\mu$ ) and turbulence (parameterized by the Alfvén Mach number  $\mathcal{M}_A$ ) as a function of density. To measure these quantities we will apply a series of established polarization analysis techniques: (1) characterizing the relative orientation of cloud structures and the magnetic field [107–110]; (2) making probability distributions functions of polarization measurables [90, 111]; (3) comparing between the magnetic field and velocity gradient directions [112–114]; and (4) measuring the angular dispersion of the magnetic field [115–118]. By applying all four techniques to both PICO observations and synthetic polarization maps made from “observing” numerical simulations of star formation, we will quantitatively compare theory and observations. PICO’s large number of frequency bands will be used to better model the temperature and polarization efficiency of the cloud dust [119], which can then be used to generate more realistic generation of synthetic observations from simulations for comparison with PICO observations [120]. We can then compare the observed magnetization levels derived from the PICO observations to the levels of turbulence derived from molecular gas surveys (e.g.: Ellsworth-Bowers et al. 106, Miville-Deschénes et al. 121), and the efficiency of star formation, measured from near and far-IR observations of dense cores and protostars with *Herschel*, *Spitzer*, and *WISE*.

*PICO's ability to map thousands of clouds is not possible with any other current or proposed polarimeter.* *Planck*, for example, was only able to map 10 nearby clouds to a similar level of detail [110]. This large sample of clouds is crucial because dust polarization observations are sensitive to only the magnetic field projected on the plane of the sky, and therefore polarization maps will look very different for molecular clouds observed at different viewing angles. *By observing thousands of molecular clouds PICO will determine the role of magnetic fields in star formation as a function of cloud age and mass.*

• **Formation of Magnetized Molecular Clouds from The Diffuse Interstellar Medium** Structure formation in the diffuse ISM is a key area of study motivating observations across the electromagnetic spectrum. PICO's observations will complement recently completed high dynamic range neutral hydrogen (HI) surveys, such as HI4PI [122] and GALFA-HI [123], as well as planned surveys of interstellar gas, most prominently with the Square Kilometer Array (SKA) and its pathfinders. One of the open questions in diffuse structure formation is how gas flows within and between phases of the ISM. A planned all-sky absorption line survey with SKA-1 will increase the number of measurements of the ISM gas temperature by several orders of magnitude [124]. Quantitative comparisons of the ISM temperature distribution from SKA-1 and estimates of the magnetic field strength and coherence length scale from PICO will elucidate the role of the magnetic field in ISM phase transitions.

A comprehensive understanding of the magnetized diffuse ISM is challenging because of its diverse composition, its sheer expanse, and the multi-scale nature of the physics that shapes it. To understand how matter and energy are exchanged between the diffuse and dense media, it is essential to measure the properties of the magnetic field over many orders of magnitude in column density. PICO is unique in its ability to do this in the diffuse ISM. *Planck* achieved measurements of the diffuse sky at 60' resolution, resulting in  $\sim$ 30,000 independent measurements of the magnetic field direction in the diffuse ISM. With 1.1' resolution PICO will expand the number of independent polarization measurements in the diffuse ISM to  $\sim$ 86,000,000. This will allow us to robustly characterize turbulent properties like  $M_A$  across a previously unexplored regime of parameter space.

### Galactic Legacy Science

PICO will also produce legacy datasets that will revolutionize our understanding of how magnetic fields influence physical processes ranging from planet formation to galaxy evolution. For 10 nearby clouds ( $d < 500$  pc) PICO will resolve magnetic fields on the crucial 0.1 pc size scale associated with dense cores and filaments, and observe how the magnetic fields on these scales directly influence the formation structure of cores. By comparing the orientation of the core-scale magnetic field with respect to the orientation and sizes of protoplanetary disks, PICO will directly test whether there is evidence that magnetic breaking inhibits the growth of protoplanetary disks [125, 126].

On larger scales, PICO's tens of millions of independent measurements of magnetic field orientation will allow us to directly probe magnetized turbulence and study how magnetic fields are generated through a combination of turbulence and large scale gas motions [127]. Key processes in the diffuse ISM, including heat transport [128], streaming of cosmic rays [129], and magnetic reconnection [130] are dramatically dependent on the level of magnetization.

Finally, PICO observations will create detailed magnetic field maps of approximately 70 nearby galaxies, with more than 100 measurements of magnetic field direction per galaxy. These observa-

Table 2: Cosmological Legacy Science

Catalog	Impact	Science
1. Proto-Clusters	<p>Discover <math>\sim 50,000^a</math> mm/sub-mm proto-clusters distributed over the sky and back to <math>z \sim 4.5</math>.</p> <p>Current knowledge: <i>Planck</i> data expected to yield a few tens.</p>	Probe the earliest phases of cluster evolution, well beyond the reach of other instruments; test the formation history of the most massive virialized halos; investigate galaxy evolution in dense environments.
2. Strongly Lensed Galaxies	<p>Discover <math>4500^a</math> highly magnified dusty galaxies across redshift.</p> <p>Current knowledge: 13 sources confirmed in <i>Planck</i> data; few hundred candidates in <i>Herschel</i>, SPT and ACT data.</p>	Gain unique information about the physics governing early, $z \simeq 5$ , galaxy evolution, taking advantage of magnification and extra resolution enabled by gravitational lensing; learn about dark matter sub-structure in the lensing galaxies.
4. Polarized Point Sources	<p>Detect <math>2000^b</math> radio and several thousand dusty galaxies in polarization.</p> <p>Current knowledge: <math>\sim 200</math> up to 100 GHz; 1 polarization measurement of a dusty galaxy.</p>	Give information on the jets of extragalactic sources, close to their active nuclei. Determine the large-scale structure of magnetic fields in dusty galaxies. Determine the importance of polarized sources as a foreground for CMB polarization science.

<sup>a</sup> Confusion (not noise) limited

<sup>b</sup> Noise and confusion limited

tions will be used to study the turbulence on galactic scales, determine whether the magnetic fields of the Milky Way in the diffuse ISM are consistent with other galaxies, and directly study how interaction between large scale magnetic fields, turbulence, and feedback from previous generations of star formation affect galaxy evolution and star formation efficiency.

## 2.3 Cosmological Legacy Surveys

### 2.3.1 Early phases of galaxy evolution

PICO will have a crucial role in providing answers to major, still open issues on galaxy formation and evolution. Which are the main physical mechanisms shaping the galaxy properties [136, 137]: in situ processes, interactions, mergers, or cold flows from the intergalactic medium? How do feedback processes work? To settle these issues we need direct information on the structure and the dynamics of high- $z$  galaxies. But these are compact, with typical sizes of 1–2 kpc [138]), corresponding to angular sizes of 0.1–0.2 arcsec at  $z \simeq 2$ –3. Thus they are hardly resolved even by ALMA and by HST. If they are resolved, high enough SNR per resolution element are achieved only for the brightest galaxies, which are probably not representative of the general population.

Strong gravitational lensing provides a solution to these problems. PICO will detect galaxies whose flux densities are boosted by large factors; see the right panel of Fig. 12. Since lensing conserves the surface brightness, the effective angular size is stretched on average by a factor  $\mu^{1/2}$ , where  $\mu$  is the gravitational magnification, thus substantially increasing the resolving power. A spectacular example are ALMA observations of the strongly lensed galaxy PLCK\_G244.8+54.9 at  $z \simeq 3.0$  with  $\mu \simeq 30$  [139]. ALMA observation with a  $0.1''$  resolution reached the astounding spatial resolution of  $\simeq 60$  pc, substantially smaller than the size of Galactic giant molecular clouds. Other high- $z$  galaxies spatially resolved thanks to gravitational lensing, with less extreme magnifications, are reported by Dye et al. [140], and others [141, 142].

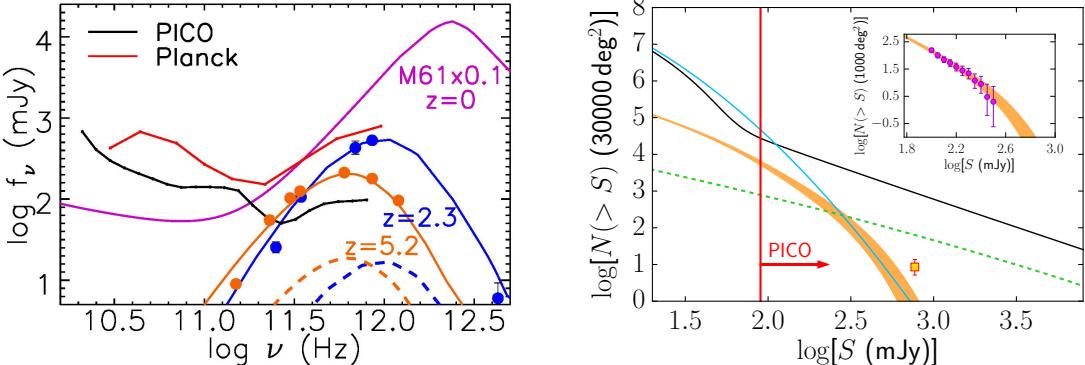


Figure 12: **Left panel.** Example spectral energy distributions (SEDs) of dusty star-forming galaxies detectable by PICO, compared with its point source detection limits (black line) and with the *Planck* 90% completeness limits (red line [131]). PICO will detect nearby galaxies, like M61 (magenta), whose SED was scaled down by a factor of 10, and high- $z$  strongly lensed galaxies, like SMM J2133-0102 (blue) at  $z = 2.3$  [132] and HLSJ091828.6+514223 (orange) at  $z = 5.2$  [133]. The dashed lines are corrected for lensing magnification. **Right panel.** Integral counts at  $500\mu\text{m}$  (600 GHz) of unlensed, low- $z$  (black) and strongly lensed, high- $z$  (orange) star-forming galaxies based on fits of *Herschel* counts (inset [134]), also shown are predicted radio source counts (green). The PICO detection region (right of vertical red line) will yield a factor of 1000 increase in strongly lensed galaxies relative to Planck (yellow square), and  $\sim 50,000$  proto-clusters (blue) [135].

Cañameras et al. [139] have also obtained CO spectroscopy, measuring the kinematics of the molecular gas with an uncertainty of 40–50 km/s. This spectral resolution makes possible a direct investigation of massive outflows driven by AGN feedback at high  $z$ . In this way Spilker et al. [143] were able to detect a fast (800 km/s) molecular outflow due to feedback in a strongly lensed galaxy at  $z = 5.3$ . The outflow carries mass at a rate close to the SFR and can thus remove a large fraction of the gas available for star-formation.

*Herschel* surveys have demonstrated that, at the PICO detection limit at  $\simeq 500\mu\text{m}$  (600 GHz), about 25% of all detected extragalactic sources are strongly lensed; for comparison, at optical/near-IR and radio wavelengths, were intensive searches have been carried out for many years, the yield is of only 0.1%, i.e. more than two orders of magnitude lower [144]. To add to the extraordinary sub-mm bonanza, the selection of strongly lensed galaxies detected by sub-mm surveys is extremely easy because of their peculiar sub-mm colors – see the left panel of Fig. 12 – resulting in a selection efficiency close to 100% [145].

A straightforward extrapolation of the *Herschel* counts to the much larger area covered by PICO shows that its surveys will yield  $\sim 4,500$  strongly lensed galaxies with a redshift distribution peaking at  $2 \lesssim z \lesssim 3$  [134] but extending up to  $z > 5$ ; see the left panel of Fig. 12. If objects like the  $z = 5.2$  strongly lensed galaxy HLSJ091828.6 + 514223 exist at higher redshifts, they will be detectable by PICO up to  $z > 10$ .

An intensive high spectral and spatial resolution follow up campaign of such a large sample will be challenging but also extremely rewarding since it will allow a giant leap forward towards the understanding of the processes driving early galaxy evolution, in addition to opening many other exciting prospects both on the astrophysical and on the cosmological side (cf., e.g., ref. [144]). The PICO all-sky surveys will select the brightest objects in the sky, maximizing the efficiency of the effort.

### 2.3.2 Early phases of cluster evolution

PICO will open a new window for the investigation of early phases of cluster evolution, when their member galaxies were actively star forming but the hot IGM was not necessarily in place. In this phase, traditional approaches to cluster detection (X-ray and SZ surveys, searches for galaxy red sequences) work only for the more evolved objects; indeed these methods have yielded only a handful of confirmed proto-clusters at  $z \gtrsim 1.5$  [146]<sup>4</sup>. *Planck* has demonstrated the power of low-resolution surveys for the study of large-scale structure [147] but its resolution was too poor to detect individual proto-clusters [135]. Studies of the high- $z$  2-point correlation function [108, 135] and *Herschel* images of the few sub-mm bright protoclusters detected so far, at  $z$  of up to 4 [148–150], all of which will be detected by PICO, indicate sizes of  $\simeq 1'$  for the cluster cores, nicely matching the PICO FWHM at the highest frequencies.

PICO will detect many tens of thousands of these objects – this is the blue line in the right-hand panel of Fig. 12 – as peaks in its sub-mm maps, in addition to the evolved ones, detected by the SZ effect. This will constitute a real breakthrough in the observational validation of the formation history of the most massive dark matter halos, traced by clusters, a crucial test of models for structure formation. Follow-up observations will characterize the properties of member galaxies, probing the galaxy evolution in dense environments and shedding light on the complex physical processes driving it.

### 2.3.3 Additional products of PICO surveys

PICO will also yield a complete census of cold dust, available to sustain star formation in the nearby universe, by detecting tens of thousands galaxies mostly at  $z \lesssim 0.1$ . Its statistics will allow us to investigate the distribution of such dust as a function of galaxy properties (morphology, stellar mass, etc.).

Moreover, PICO will increase by orders of magnitude the number of blazars selected at sub-mm wavelengths and will determine the SEDs of many hundreds of them up to 800 GHz and up to  $z > 5$ . Blazar searches are the most effective way to sample the most massive BHs at high  $z$  because of the Doppler boosting of their flux densities. Its surveys of the largely unexplored mm/sub-mm spectral region will also offer the possibility to discover new transient sources [151] or events, such as blazar outbursts.

PICO will also make a giant leap forward in the determination of polarization properties of both radio sources and of dusty galaxies over a frequency range where ground based surveys are impractical or impossible. Thanks to its high sensitivity, it will detect in polarization both populations over a substantial flux density range, determining directly, for the first time, number counts in polarized flux density and allowing an accurate correction for their contamination of CMB maps.

The anisotropy of the cosmic infrared background (CIB), produced by dusty star-forming galaxies in a wide redshift range, is an excellent probe of both the history of star formation and the link between galaxies and dark matter across cosmic time. The *Planck* collaboration derived values of the star formation rate up to redshifts  $z \sim 4$  [152–154]. It was quantified in [155] that the increased SNR and frequency coverage enabled by PICO will enable an order of magnitude improvement on the statistical errors on these parameters. Similar improvement will be achieved in constraining  $M_{\text{eff}}$ , the galaxy halo mass that is most efficient in producing star formation activity. PICO extra-

<sup>4</sup>More high- $z$  proto-clusters have been found targeting the environment of tracers of very massive halos, such as radio-galaxies, QSOs, sub-mm galaxies. These searches are however obviously biased.

Characteristic	Ground	Balloon	Space
Sky coverage	Partial from single site	Partial from single flight	Full
Frequency coverage	70 GHz inaccessible <sup>a</sup> $v \geq 300$ GHz unusable limited atmospheric windows	70 GHz inaccessible <sup>a</sup> otherwise, almost unlimited	Unrestricted
Angular resolution at 150 GHz <sup>b</sup>	1.5' with 6 m telescope	6' with 1.5 m telescope	6' with 1.5 m telescope
Detector Noise	265 microK rt(s) <sup>c</sup>	124 microK rt(s) <sup>c</sup>	36 microK rt(s) <sup>c</sup>
Integration time	Unlimited	Weeks to a Month	Continuous, for years
Accessibility, repairability	Good	None. Multiple flights possible.	None

<sup>a</sup> 70 GHz is the frequency at which large angular scale  $B$ -mode Galactic emissions have a minimum.

<sup>b</sup> We give representative approximate telescope aperture values. Significantly larger apertures for balloons and in space result in higher mass, volume, and cost.

<sup>c</sup> Noise equivalent temperature: timestream-based median at 95 GHz from BICEP3 [156]; pre-flight expectation at 94 GHz from SPIDER [157]; at 90 GHz from PICO CBE.

Table 3: Relative characteristics of ground, balloon, and space platforms for experiments in the CMB bands.

frequencies and increased sensitivity to galactic dust polarization will provide enhanced means to separate the largely unpolarized CIB from polarized galactic dust, the limiting factor towards more extended reliable legacy CIB maps.

## 2.4 Complementarity with Other Surveys and with Sub-Orbital Measurements

### 2.4.1 Complementarity with Astrophysical Surveys in the 2020s

PICO has strong complementarity with forthcoming surveys. Here we summarize areas of synergy that have been mentioned in a number of earlier sections.

Any refinement of the cosmological constraint on the sum of the neutrino mass  $\sigma(\sum m_\nu) < 25$  meV will require improving *Planck*'s measurement of the optical depth  $\tau$ . In particular, this applies to all methods that rely on comparing low-redshift structures with the amplitude of the CMB at high redshift, such as galaxy clustering, weak lensing, or cluster counts. PICO therefore complements all efforts that probe the late time structure of the Universe; combining PICO with these low-redshift observations extends the scientific reach of all these experiments well beyond what they could achieve on their own.

Reconstructing the CMB lensing  $\phi$  map on very large angular scales,  $L < 20$ , requires exquisite control of systematic uncertainties over a large sky fraction, with sufficient angular resolution to perform the lensing reconstruction, and with breadth in frequency band to robustly separate Galactic emissions (see Section 2.5). PICO will provide these, complementing ground-based CMB lensing reconstructions that typically observe a smaller sky fraction, with a smaller number of frequency bands, and without access to the largest angular scales. As discussed in page 13, PICO will robustly measure the lensing signal with a power spectrum SNR larger than 10 *per mode* on very large scales. Such high-significance CMB lensing measurements on the very largest scales will be useful when combined with measurements of galaxy clustering from LSST, Euclid, and SPHEREx (if selected), to search for local primordial non-Gaussianity via its scale-dependent effect on galaxy bias; see Section 2.2.1.

### 2.4.2 Complementarity with Sub-Orbital Measurements

Since the first CMB measurements, more than 50 years ago, important observations have been made from the ground, from balloons, and from space. Each of the CMB satellites flown to date - COBE, WMAP, and *Planck*- has relied crucially on technologies and techniques that were first proved on ground and balloon flights, making these also crucial to the success of PICO. The phenomenal success of, and the immense science outcomes produced by, past space missions is a direct consequence of their relative advantages, as listed in Table 3. In every respect, with the exception of serviceability, space has an experimental advantage. These advantages used to come

with higher relative costs. However, with the advent of massive ground-based experiments this balance shifts; the costs for a CMB experiment planned for the next decade are squarely within the cost window of this Probe. We can thus point to the following general guidelines for the next decade.

When the entire sky is needed, as for fluctuations on the largest angular scales, space is by far the most suitable platform, and for the search for the IGW signal it is absolutely necessary. When broad frequency coverage is needed, space will be required to reach the ultimate limits set by astronomical foregrounds. As Figures 1 and 13 demonstrate, Galactic emission overwhelms the IGW signal on the largest angular scales, and they are dominant even at high  $\ell$ , potentially limiting the process of delensing that is necessary for reaching levels of  $r \lesssim 0.001$ . The stability offered in space can not be matched on any other platform and translates to superb control of systematic uncertainties. There is a broad consensus within the CMB community that for levels of  $r \lesssim 0.001$  the challenges in the measurement are the ability to control systematic uncertainties and to remove Galactic emissions; modern focal plane arrays, like the one employed by PICO have ample raw sensitivity. The PICO  $r$  goal of  $\sigma(r) = 1 \times 10^{-4}$  is beyond the reach of ground observations. However, for science requiring higher angular resolution, such as observations of galaxy clusters with  $\sim 1$  arcmin resolution at 150 GHz, the ground has a clear advantage. An appropriately large aperture on the ground will also provide high resolution information at lower frequencies, which may be important for separating Galactic emissions at high  $\ell$ . A recommended plan for the next decade is therefore to pursue a space mission, and complement it with an aggressive ground program that will overlap in  $\ell$  space, and will add science at the highest angular resolution, beyond the reach of a space mission.

Balloon observations have been exceedingly valuable in the past. They co-lead discoveries of the temperature anisotropy and polarization, provided proving grounds for the technologies enabling the success of COBE, WMAP and *Planck*, and trained the scientists that then led NASA’s space missions. There are specific areas for which balloon missions can continue to play an important role, despite their inherently limited observing time. Balloon payload can access frequency bands above 280 GHz; currently there are no plans for any ground program to conduct observations at higher frequencies. These frequency bands will provide important, and perhaps critical information about polarized emission by Galactic dust, a foreground that is currently known to limit knowledge of the CMB signals. With flights above 99% of the atmosphere, balloon-borne observations are free from the noise induced by atmospheric turbulence, making them good platforms for observations of the low  $\ell$  multipoles, and for characterizing foregrounds on these very large angular scales. From a technology point of view, the near-space environment is the best available for elevating detector technologies to TRL6; and balloon-platforms continue to be an excellent arena for training the scientists of tomorrow.

## 2.5 Signal Separation

Diffuse Milky Way emissions dominate the sky’s polarized intensity on the largest angular scales; see Figures 1 and 13. Polarized radiation arises primarily from the synchrotron emission of energetic electrons spiralling in the magnetic field of our own Galaxy, and from thermal emission from elongated interstellar dust grains. Although the levels of these foreground emissions decrease with decreasing angular scales, they can still be considerably brighter than the IGW peak around  $\ell = 80$  when averaging over 60% of the sky. In fact, even in the cleanest, smaller patches of the sky, far from the galactic plane and thus relatively low in galactic emissions, their levels are expected to be

substantial relative to the IGW for  $r \lesssim 0.01$ , and dominate it for  $r \lesssim 0.001$ . Separating the cosmological and Galactic emissions signals, also called foreground separation, together with control of systematic uncertainties are *the* challenges facing any next decade experiment attempting to reach these levels of constraints on  $r$ .

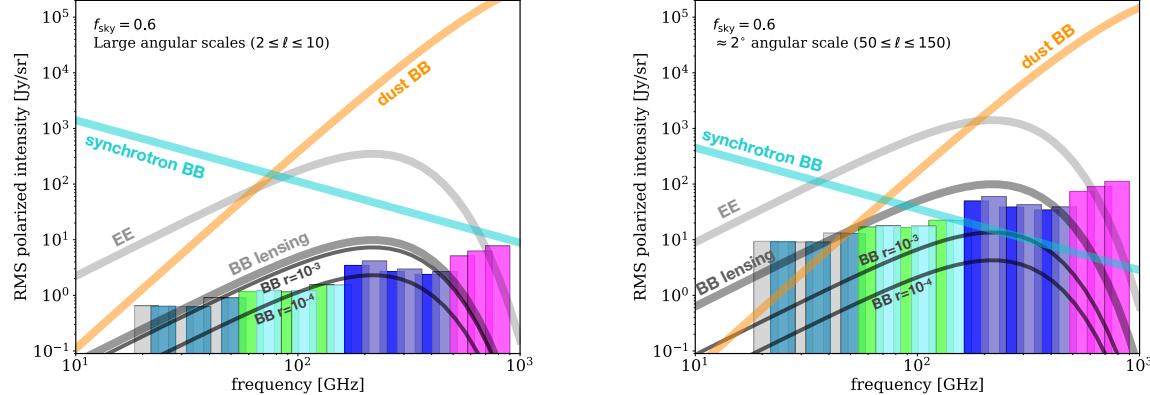


Figure 13: Polarization  $BB$  spectra of Galactic synchrotron and dust, compared to CMB polarization  $EE$  and  $BB$  spectra of different origins for two values of  $r$  and for two ranges of angular scales: large  $\ell \leq 10$  corresponding to the reionization peak (left panel), and intermediate  $50 \leq \ell \leq 150$  corresponding to the recombination peak (right panel). The location and sensitivity of the 21 PICO frequency channels is shown as vertical bands. (The color scheme is explained in Section 3.2.)

The foreground separation challenge would be easily surmountable if the Galactic emissions were precisely characterized, or were known to have simple, fittable spectral emission laws. But neither is true. To first order, the spectrum of Galactic synchrotron emission, arising from free electrons spiraling around Galactic magnetic fields, can be modeled as a power law  $I_{\text{sync}} \propto v^\alpha$ , with  $\alpha \simeq -1$  (in brightness units). The spectrum of Galactic dust emission, arising from emission by Galactic dust grains, can be modeled as  $I_{\text{dust}} \propto v^\beta B_v(T_{\text{dust}})$ , where  $\beta \simeq 1.6$ ,  $T_{\text{dust}} \simeq 19$  K, and  $B_v(T)$  is the Planck function; this is referred to as ‘modified black body emission’. If those models were exact, then in principle, an experiment that had 6 frequency bands could determine the three emission parameters as well as the three amplitudes corresponding to that of dust, synchrotron, and the CMB. However, recent observations have shown that neither emission law is universal, that spectral parameters vary with the region of sky [158–160], and thus that the analytic forms and parameter values given above are valid only as averages across the sky. Also, while both emission laws are well-motivated phenomenological descriptions, the fundamental physics of emissions from grains of different materials, sizes and temperatures, and of electrons spiraling around magnetic fields implies that these laws are not expected to be exact, nor universal.

Additional polarized foregrounds may exist. ‘Anomalous microwave emission’ (AME) is observed at mm wavelengths, spatially correlated with thermal dust emission but with intensity peaked at frequencies near 30 GHz. While not known to be polarized, even a small (0.1%) fractional polarization would be appreciable for  $\sigma(r) \lesssim 0.001$ . Astrophysical emission from CO lines at mm wavelengths, and even small polarization of radio and infrared sources at shorter wavelengths could also complicate polarized signal separation [161, 162].

PICO will dramatically improve sensitivity to inflationary B-modes. The improved sensitivity requires concurrent improvements in foreground separation. Simple foreground models, suitable

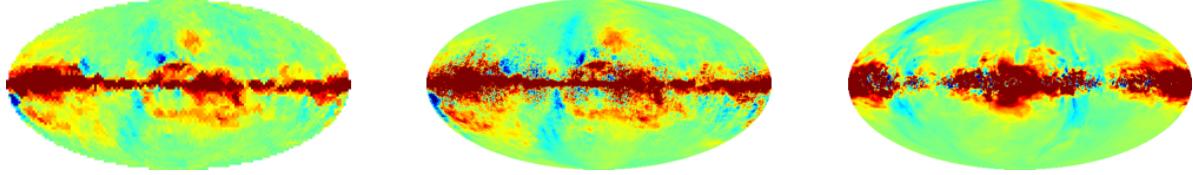


Figure 14: Foregrounds maps: Planck real sky (left) at 143 GHz, models at 155 GHz from PySM (middle) [168] and Galactic MHD simulations (right).

for the current generation of CMB measurements, will fail at the higher PICO sensitivity. For example, the Planck modified blackbody model assumes that interstellar dust emits at a single temperature, which is clearly an approximation to the more complicated emission along lines of sight spanning hundreds of pc. Several publications have demonstrated that fitting complicated temperature profiles using a simple one- or two-temperature model will bias the fitted CMB signal at levels  $\delta r \lesssim 10^{-3}$ , large compared to the PICO goal [163–167].

Foreground uncertainties, and the level of fidelity required in their characterization, also compel a transition in the way we assess and forecast the performance of a future experiment. We can no longer impose specific models upon the data; rather, the data collected should provide information to constrain Galactic emissions with sufficient accuracy. Two broad techniques are available. Parametric models use the frequency dependence of the data in each line of sight to determine the effective frequency dependence of foreground emission. Since the CMB spectrum is well determined, measurements with sufficiently broad frequency coverage can distinguish foreground emission from the CMB component by their different spectral dependences. Non-parametric techniques, in contrast, rely on the fact that CMB emission is uncorrelated with the foregrounds and use both spatial and frequency correlations within a spatial/frequency data cube to separate CMB from foreground components. Simulated data assess the efficacy of both techniques as a function of increasing complexity for the assumed foreground emission.

To investigate the capacity of PICO to address this foreground separation problem, we use the approach that has become the ‘gold standard’ in the community. In this approach we simulate sky maps that are constrained by available data, but otherwise have a mixtures of foreground properties. We ‘observe’ these maps just like a realistic experiment will do, and then apply foreground separation techniques to separate the Galactic and CMB emissions. We also provide forecasts using other techniques that use analytic calculations to estimate the efficacy of foreground separation, or others in which the simulated sky map is assumed to have specific Galactic emission models, which are then being fitted.

### 2.5.1 PICO Foreground Separation Methodology

For assessing the efficacy of foreground separation with PICO we used 8 different full sky models. All models were broadly consistent with available data and uncertainties from WMAP and *Planck*. The range of models included one test case that had a very simple realization of foregrounds, and others with varying degree of complexity including spectral parameters varying spatially and along the line of sight, anomalous microwave emission up to 2% polarized, dust polarization that rotates slightly as a function of frequency because of projection effects, or dust spectral energy distribution that departs from a simple modified blackbody. All foreground maps were generated at native resolution of 6.8 arcmin pixels [169]. They were generated using PySM and/or PSM codes [168, 170]. Distinctly different realizations of the sky are allowed by current data, as demonstrated by Figure 14.

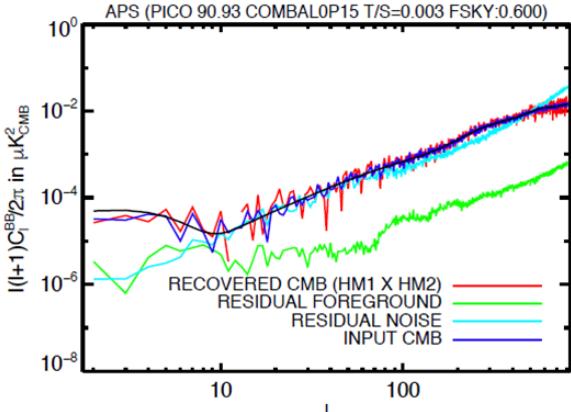


Figure 15: The power spectrum of residual  $BB$  foregrounds (green) has lower level than both the input CMB (blue) and the recovered CMB (red) which match well each other and the underlying cosmological model (black) after foreground separation with the NILC algorithm. This exercise assumed use of 60% of the sky.

For each of the 8 models we added CMB signals in both intensity and polarization matching a  $\Lambda$ CDM universe. The  $BB$ -lensing signal matched the level of 85% delensing forecasted for PICO. Each of these sky models had 100 different realization of the PICO CBE noise levels; 50 realizations had no IGW signal and 50 others had a level of  $r = 0.003$ . The sky models were analyzed with a variety of techniques which were based on the two broad categories described above.

Analytic forecasts were based on a Fisher information matrix approach [171] and included foreground separation using a parametric maximum-likelihood approach, assuming the foreground spectral indices are constant on patches of size 15 degrees across.

## 2.5.2 Results and Discussion

There is evidence that at levels of  $r \simeq 0.001$  the combination of PICO’s sensitivity and broad frequency coverage are efficacious in foreground removal. Figure 15 shows a result from the gold standard process described above for one of the sky models and with an input IGW of  $r = 0.003$ . Residual foregrounds are below the cosmological signal over the important low  $\ell$  range, where foregrounds are strongest. The residual spectra would likely be lower when analysis is carried out on only 50 or 40% of the sky, rather than the 60% used here.

Our results validate the need for a broad frequency coverage with a strong lever arm on Galactic emissions outside of the primary CMB bands. Figure 16 shows that removing several of PICO’s frequency bands, particularly those that monitor dust and synchrotron at high and low frequencies, respectively, significantly biases the extracted  $BB$  power spectrum, particularly at the lowest  $\ell$  values.

There is other evidence that PICO could reach its stated target of  $\sigma(r) = 0.0001$ . Map-based simulations that were carried out for the forthcoming CMB-S4 experiment have shown that it can reach levels of  $\sigma(r) = 0.0005$  in small, 3%-size, clean patches of the sky. The PICO noise level per sky pixel is similar to that of CMB-S4, but PICO will have *full* sky coverage and thus access to *all* the clean patches available. Data from *Planck* indicate that there are  $\sim 10$  patches as clean, or cleaner than those used for the CMB-S4 analysis, indicating that PICO’s  $\sigma(r)$  could be  $\sim 3$  times more stringent. This scaling is very conservative because it only assumes CMB-S4’s much narrower breadth of frequency coverage and its 7 bands; it neglects PICO’s much stronger rejection of foregrounds with 21 bands and up to 800 GHz. We note that if there *is* a detection of the IGW signal with  $r = 0.001$ , PICO will make it with high significance in multiple independent patches of the sky.

Results from the Fisher-based analytic calculations give  $\sigma(r) = 9 \cdot 10^{-5}$ , and indicate a very

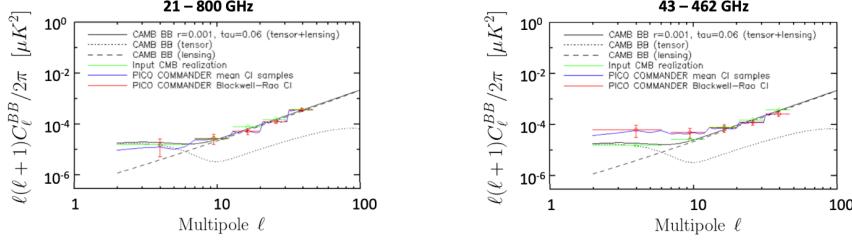


Figure 16: Foreground removal with all of PICO’s 21 frequency bands (left panel) recovers the input CMB (green) without any bias (red) using the Commander algorithm on the *Planck* sky model (with 4 deg pixels, and 50% sky fraction). Running the same algorithm on the same sky without several of the lowest and highest bands (right panel) produces an output spectrum (red) that is biased relative to the input (green) at low  $\ell$  multipoles. The bias would be interpreted as higher value of  $r$  relative to the model input (solid black) with  $r = 0.001$  (dots) and lensing (dash).

small foreground residual with an  $r_{eff} = 9 \cdot 10^{-7}$ .

While our results are encouraging, as they suggest that PICO’s frequency coverage and sensitivity will be adequate for this level of  $r$ , more work should be invested to gain complete confidence. This work includes running numerous realizations of different sky models, and analyzing them with various techniques; optimizing sky masks; and using combination of techniques to handle large, intermediate, and small angular scale foregrounds differently.

## 2.6 Systematic Uncertainties

Some of the PICO science goals attempt to detect extremely faint signals. The most ambitious one is to reach the signals characterizing an inflationary gravity wave with  $r \lesssim 0.001$ , with a B-mode polarization peak signal  $\lesssim 10\text{nK}$  in amplitude at  $\ell = 80$ . It has long been recognized that exquisite control of systematic uncertainties will be required for any experiment attempting to reach these levels, and it is widely accepted that the stability provided aboard a space platform makes it best suited to control systematic uncertainties compared to other platforms. This is one of the most compelling reasons to observe the CMB from space. As WMAP and *Planck* demonstrated, an L2 orbit offers excellent stability as well as the flexibility in the choice of scan strategy. PICO takes advantage of an L2 orbit, using a rotating spacecraft (at 1 rpm) whose spin axes precesses with a 10 hour period, thus scanning the sky in a way that is crosslinked on many time scales and at many angles, without interference from the Sun, Earth, or Moon, thus reducing the effects of low frequency excess noise without additional modulation. The redundancy of observations allows the checking of consistency of results and an improved ability to calibrate and to correct systematic errors in post-processing analysis.

A rich literature investigates the types of systematic errors due to the environment, the instrumentation, observation strategies, and data analysis that confound the polarization measurement by creating a bias or an increased variance[172–174]. Every measurement to date has reached a systematic error limit, and have advanced many sophisticated techniques to mitigate systematics, finding both new technological solutions and new analysis techniques. As an example, the BICEP’s systematics limited it to  $r=0.1$ [175] while through additional effort within the program, BICEP2 achieved a systematics limit of  $r=6 \times 10^{-3}$ [176]. In the near term, the ground based and suborbital CMB community will continue to develop new techniques in handling systematics, particularly in

developing the CMB-S4 project.

All prior on-orbit measurements of CMB polarization were limited by systematic errors until an in-depth study of the systematics was performed and the post-processing data analysis suppressed them[21, 43, 177]. Particularly we note Fig. 3 of [21] which quantifies Planck’s systematic error limits on the polarization power spectral measurements. Recently studied space missions, such as EPIC-IM, LiteBird and *CORE*, have placed systematic error mitigation at the forefront of the case for their mission and have developed tools and strategies for estimating and mitigating these[178–180].

Systematics are coupled with the spacecraft scan strategy, and the details of the data analysis pipeline. Thus, end-to-end simulation of the experiment is an essential tool, including realistic instabilities and non-idealities of the spacecraft, telescope, instrument and folding in data post-processing techniques used to mitigate the effects.

### 2.6.1 List of Systematics

The systematic errors faced by PICO can be categorized into three broad categories: 1) Intensity-to-polarization leakage, 2) stability, and 3) straylight, and are listed in Table 4. These were prioritized for further study using a risk factor incorporating the working group’s assessment of how mission-limiting the effect is, how well these effects are understood by the community and whether mitigation techniques exist.

The three highest risk systematic errors were studied further and are discussed in subsections below. The PICO team used simulation and analysis tools developed for Planck[181] and *CORE*, adapting them for PICO.

Name	Risk	Effect	
<b>Leakage</b>			
Polarization Angle Calibration.....	5	$E \rightarrow B$	See Sect. 2.6.2
Bandpass Mismatch.....	4	$T \rightarrow P, E \rightarrow B$	
Beam mismatch .....	4	$T \rightarrow P, E \rightarrow B$	See Sect. 2.6.2
Time Response Accuracy and Stability.....	4	$T \rightarrow P, E \rightarrow B$	
Readout Cross-talk.....	4	spurious P	
Chromatic beam shape .....	4	spurious P	
Gain mismatch .....	3	$T \rightarrow P$	
Cross-polarization .....	3	$E \rightarrow B$	
<b>Stability</b>			
Gain Stability .....	5	$T \rightarrow P, E \rightarrow B$	See Sect. 2.6.3
Pointing jitter.....	3	$T \rightarrow P, E \rightarrow B$	
<b>Straylight</b>			
Far Sidelobes.....	5	spurious P	See Sect. 2.6.4
<b>Other</b>			
Residual correlated noise (cosmic ray hits).....	3	increased variance	

Table 4: Systematic errors expected in PICO’s measurement of CMB polarization. Each source of systematic errors was given a rating of the risk that a given systematic error will dominate the B-mode measurement. A risk level of 5 indicates that a systematic effect is highly significant because it is design-driving, has limited past experiments, and/or isn’t well understood. Risk level of 4 indicates a systematic that is either known to be large but is understood reasonably well or a smaller effect that requires precise modeling. Risk level of 3 indicates that we expect the effect to be small, but it isn’t necessarily well understood enough that modeling it should be done in detail in a mission Phase A. This study investigated the systematics with risk levels of 5 via simulations.

### 2.6.2 Absolute polarization angle calibration

CMB polarization can be rotated due to 1. a birefringent primordial Universe, or a Faraday rotation due a primordial magnetic field [182], 2. birefringent foregrounds, or interaction with the Galactic magnetic field, 3. systematic effects in the instrument, and in particular an error on the direction of polarization measured by each detector. While the first two sources create a rotation that may

depend on scale, position and/or frequency, the latter depends mainly on the detector.

A rotation  $\alpha$  of the direction of polarization mixes the  $Q$  and  $U$  Stokes parameters via  $Q \pm iU \rightarrow e^{\mp i2\alpha}(Q \pm iU)$  and thus mixes the power spectra and their correlations as illustrated in Fig. 17.

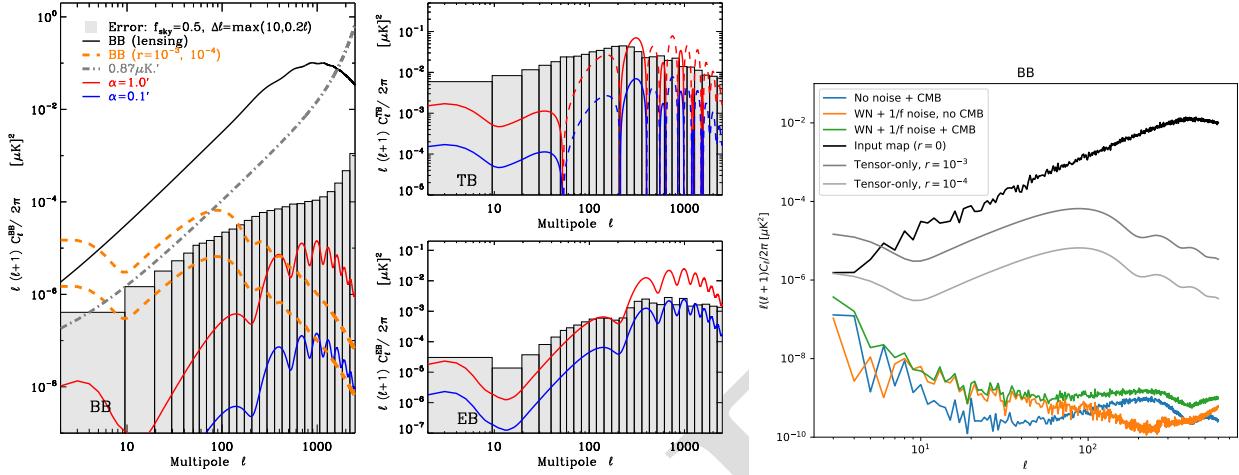


Figure 17: Effect of a rotation of the angle of polarization, assuming the Planck 2018  $\Lambda$ -CDM best fit model [42] with  $\tau = 0.054$  and expected PICO noise performance, assuming perfect delensing.

The most recent constraints on cosmological birefringence Planck collaboration [183] were limited by uncertainties on the detector orientations. In Planck, the detectors were characterized pre-launch to  $\pm 0.9^\circ$  (rel.)  $\pm 0.3^\circ$  (abs.) [184]. For PICO, the relative rotation of the detectors will be measured to a few  $0.1'$  using the CMB, but the overall rotation is unlikely to be known pre-launch to better than Planck. Known polarized sources, such as the Crab Nebula, are not characterized well enough independently to serve as calibrators; Aumont et al. [185] show that the current uncertainty of  $0.33^\circ = 20'$  on the Crab polarization orientation, limits a  $B$  mode measurement to  $r \sim 0.01$ , far from PICO’s target.

In the absence of other systematics and foregrounds, a polarization rotation error  $\alpha$  of  $10'$  degrades the error bar of  $r$  by 30%, while  $EB$ ,  $TB$  and  $BB$  spectra can measure a rotation  $\alpha$  at  $3\sigma$  when  $\alpha \sim 0.07, 0.2$  and  $0.9'$  respectively on perfectly delensed maps, and  $0.25, 0.9$  and  $4.5'$  on raw maps.

In principle, the technique of using the  $TB$  and  $EB$  spectra can detect and measure a global polarization rotation error at levels ( $0.1'$ ) below those affecting  $r$  measurements in  $BB$  ( $> 1'$ ). However, a future mission should simulate additional aspects, such as delensing, the interaction with foregrounds, and  $1/f$  noise in simulating and assessing the impact of an angle calibration error.

### 2.6.3 Gain Stability

Photometric calibration is the process of converting the raw output of the receivers into astrophysical units via the characterization of the *gain factor*  $G(t)$  which we allow to vary with time. In space,  $G(t)$  can be measured with the dipole. For the PICO concept study, we evaluated the impact of noise in the estimation of  $G(t)$  using the tools developed for the Planck/LFI instrument and the CORE mission proposal. The quality of the estimate depends on the noise level of the receivers,

but also on the details of the scanning strategy. To analyze the impact of calibration uncertainties on PICO, we performed the following analysis: 1. We simulated the observation of the sky, assuming four receivers, the nominal scanning strategy, and  $1/f$  noise. The simulated sky contained CMB anisotropies, plus the CMB dipole. 2. We ran the calibration code to fit the dipole against the raw data simulated during step 1. 3. We again simulated the observation of the sky, this time using the values of  $G$  computed during step 2, which contain errors due to the presence of noise and the CMB signal.

The presence of large-scale Galactic emission features can bias the estimation of calibration factors. Ideally, a full data analysis pipeline would pair the calibration step with the component separation step, following a schema similar to Planck/LFI’s legacy data processing[186]: the calibration code is followed by a component separation analysis, and these two steps are iterated until the solution converges.

Results of the simulation (neglecting foregrounds) are shown as power spectrum residuals in Fig. 17. We estimate the gain fluctuations to better than  $10^{-4}$  solving for the gain every 40 hours (4 precession periods). The scanning strategy employed by PICO allows for a much better calibration than Planck, thanks to the much faster precession.

#### 2.6.4 Far Sidelobe Pickup

Measurement of each detector’s response to signals off axis, which tends to be weak ( $-80\text{dB}$  less than the peak response) but spread over a very large solid angle, is difficult to do pre-launch, and may not even be done accurately after launch. Nonetheless, this far sidelobe can couple bright Galactic signal from many tens of degrees off-axis and confuse it with polarized signal from the CMB off the Galactic plane. To evaluate this systematic error, GRASP software<sup>5</sup> was used to compute the PICO telescope’s response over the full sky. The computed full-sky beams showed features peaking at about  $-80\text{ dB}$  of the on-axis beam. This full-sky beam was convolved with a polarized Galactic signal and a one-year PICO mission scan using the simulation pipeline and preliminarily shows that the far sidelobe pickup must be calculated accurately down to the  $90\text{ dB}$  level in order to be removed from the measured B-mode signal to a level that does not appreciably increase the variance on the B-mode power measurement.

#### 2.6.5 Key Findings

Properly modeling, engineering for, and controlling the effects of systematic errors in a next-generation CMB probe is critical. As of today, we conclude that there is a clear path to demonstrate that state-of-the-art technology and data processing can take advantage of the L2 environment and control systematic errors to a level that enables the science goals of PICO. In particular we note:

- The raw sensitivity of the instrument should include enough margin that data subsets can independently achieve the science goals. This allows testing of the results in the data analysis and additional data cuts, if needed.
- In a PICO mission, a physical optics model of the telescope should be developed, enabling full-sky beam calculations, which should be validated as much as possible on the ground. This will be needed to characterize and remove far sidelobe pickup seen during the mission.
- NASA’s support of ground-based and suborbital CMB missions will mitigate risk to a future

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<sup>5</sup><https://www.ticra.com>

space mission as PICO by continuing to develop analysis techniques and technology for mitigation of systematic errors.

- In a PICO mission’s phase A, a complete end-to-end system-level simulation software facility would be developed to assist the team in setting requirements and conducting trades between subsystem requirements while realistically accounting for post-processing mitigation. Any future CMB mission is likely to have similar orbit and scan characteristics to those of PICO, thus there is an opportunity for NASA and the CMB community to invest in further development of this capability now.

## 2.7 Measurement Requirements

The set of physical parameters and observables that derive from the PICO science objectives place requirements on the depth of the mission, the fraction of sky the instrument scans, the frequency range the instrument probes and the number of frequency bands, the angular resolution provided by the reflectors, and the specific pattern with which PICO will observe the sky. We discuss each of these aspects.

• **Depth** We quantify survey depth in terms of the RMS fluctuations that would give a signal-to-noise ratio of 1 on a sky pixel that is 1 arcminute on a side. Depth in any frequency band is determined by detector sensitivity, the number of detectors in the focal plane, the sky area covered, and the duration of the mission. The science objective driving the depth requirement is SO1, the search for the IGW signal which requires a depth of  $0.87 \mu\text{K} \cdot \text{arcmin}$ . This requirement is a combination of the low-level of the signal, the need to separate the various signals detected in each band, and the need to detect and subtract systematic effects to anticipated levels. The CBE value is  $0.61 \mu\text{K} \cdot \text{arcmin}$  coming from a realistic estimate of detector noise, and giving 40% margin on mission performance.

• **Sky Coverage** There are several science goals driving a full sky survey for PICO. The term ‘full sky’ refers to the entire area of sky available after separating other astrophysical sources of confusion. In practice this implies an area of 50-70% of the full sky for probing non-Galactic signals, and the rest of the sky for achieving the Galactic science goals.

(1) Probing the optical depth to the epoch of reionization (STM SO5) requires full sky coverage as the signal peaks in the  $EE$  power spectrum on angular scales of 20 to 90 degrees. Measuring this optical depth to limits imposed by the statics of the small number of available  $\ell$  modes is crucial for minimizing the error on the neutrino mass measurement.

(2) If  $r \neq 0$ , the  $BB$  power spectrum due to IGW (STM SO1) has local maxima on large angular scales (20 to 90 degrees,  $2 \leq \ell \leq 10$ ), and around 1 degree ( $\ell \simeq 80$ ). A detection would strongly benefit from confirmation at *both* angular scales – a goal that is beyond the capabilities of ground-based instruments – and, for the  $\ell = 80$  peak, *in several independent patches of the sky*, a goal PICO will achieve, but that is currently not planned for any next decade instrument.

(3) The PICO constraint on  $N_{eff}$  (STM SO4) requires a determination of the  $EE$  power spectrum to limits imposed by the statics of available  $\ell$  modes. Full sky coverage is required to achieve this limit.

(4) Achieving the neutrino mass limits (STM SO3), giving two independent  $4\sigma$  constraints on the minimal sum of 58 meV, requires a lensing map, and cluster counts from as large a sky fraction as possible.

(4) PICO’s survey of the Galactic plane and regions outside of it is essential to achieving its Galac-

tic structure and star formation science goals (SO6, 7).

• **Frequency Bands** The multitude of astrophysical signals that PICO will characterize determine the frequency range and number of bands that the mission uses. The IGW signal peaks in the frequency range between 30 and 300 GHz. However, Galactic signals, which are themselves signals PICO strives to characterize, are a source of confusion for the IGW. The Galactic signals and the IGW are separable using their spectral signature. Simulations indicate that 21 bands, each with  $\sim 25\%$  bandwidth, that are spread across the range of 20 - 800 GHz can achieve the separation at the level of fidelity required by PICO.

Characterizing the Galactic signals, specifically the make up of Galactic dust (SO7), requires spectral characterization of galactic dust in frequencies between 100 and 800 GHz.

• **Resolution** Several science objectives require an aperture of 1.5 m and the resolution per frequency listed in Table 1. To reach  $\sigma(r) = 1 \cdot 10^{-4}$  we will need to ‘delens’ the  $E$ - and  $B$ -mode maps, as describe in Sections 2.2.1 and 2.2.2. Delensing is enabled with a map that has a native resolution of 2-3 arcminutes at frequencies between 100 and 300 GHz. Similar resolution is required to achieve the constraints on the number of light relics (SO4), which will be extracted from the  $EE$  power spectrum at multipoles  $100 \lesssim \ell \lesssim 2500$ . The process of delensing may be affected by other signals, primarily the signal due to Galactic dust. It is thus required to map Galactic dust to at least the same resolution as at 300 GHz. Higher resolution is mandated by SO6 and 7, which require resolution of 1 arcminute at 800 GHz. We have thus chosen to implement diffracted limited resolution between 20 and 800 GHz.

• **Sky Scan Pattern** Control of polarization systematics uncertainties at anticipated levels is enabled by (1) making  $I$ ,  $Q$ , and  $U$  stokes parameters maps of the entire sky from each independent detector; and (2) by enabling sub-percent absolute gain calibration of the detectors through observations of the CMB dipole. With these requirements we chose a sky scan pattern that enables each detector to scan a given pixel of the sky in multitude of directions, satisfying requirement (1). The scan we chose also gives strong CMB dipole signals in every rotation of the spacecraft throughout the lifetime of the mission, satisfying requirement (2).

### 3 Instrument

PICO meets all of its science-derived instrument requirements (STM) with a single instrument: an imaging polarimeter with 21 frequency bands centered between 21 and 799 GHz. The instrument is built around an all-aluminum two-reflector Dragone-style telescope (§ 3.1) with an internal aperture stop between the primary and secondary. The focal plane is populated by 12,996 transition edge sensor (TES) bolometers (§ 3.2) read out using a time-domain multiplexing scheme (§ 3.3). The instrument employs a single science observing mode: fixed rotation-rate imaging while scanning the sky.

A V-groove radiator assembly provides passive cooling (§ 3.4.3). The instrument is configured inside the shadow of the V-grooves, thermally and optically shielded from the Sun. The sun shadow cone depicted in Figure 18 is  $29^\circ$ . The angle to the Sun during the survey,  $\alpha = 26^\circ$  (§ 4.1.2), is supplemented with a margin of  $3^\circ$  to account for the radius of the sun ( $0.25^\circ$ ), pointing control error, design margin, and alignment tolerances.

The V-groove assembly is attached to the bipod struts that support the instrument structural ring. The ring supports the primary reflector and telescope box. The telescope box contains the actively cooled components (§ 3.4.1, § 3.4.2), including the secondary reflector, the focal plane and sub-kelvin adiabatic refrigerator structures. Just inside the box, a thermal liner serves as a cold optical baffle and aperture stop.

During the survey, the instrument is spun at 1 rpm (§ 4.1.2). Spacecraft control is simplified by mounting the instrument on a spinning spacecraft module, while a larger non-spinning module houses most spacecraft subsystems (§ 4.3). Instrument elements that act as heat sources are accommodated on the spinning module of the spacecraft. Instrument integration and test (I&T) is described in § 3.5.

#### 3.1 Telescope

PICO telescope design is driven by a combination of science requirements and physical volume limits. The science requirements are: a large diffraction-limited field of view (DLFOV) sufficient to support  $\sim 10^4$  detectors, arcminute resolution at 800 GHz and accurate polarization measurement with low sidelobe response. All requirements are met with PICO’s 1.4 m aperture modified open-Dragone design (Figure 19). There are no moving parts in the PICO optical system.

More than 30 years ago Dragone analyzed the performance of several off-axis systems and found solutions with low cross-polarization at the center of the field of view and with stigmatism, or astigmatism and coma, canceled to first order [? ? ? ]. A number of recent suborbital CMB instruments have used off-axis systems, and several began design optimization with systems based on designs by Dragone [? ? ? ].

The PICO optical design was selected following a trade study examining cross-Dragone, Gregorian Dragone, and open-Dragone designs [? ]. The Gregorian Dragone has less diffraction-limited focal plane area than the open-Dragone [? ], and is unable to support enough detectors to provide the required sensitivity. The cross-Dragone design has significant sidelobes that can be mitigated by baffles, but at the expense of added mass and volume.

Stray light analysis of the PICO open-Dragone design using GRASP confirms that the focal plane is protected from direct view of the sky (an advantage relative to the cross-Dragone system), that spillover past the primary is suppressed by 80 dB relative to the main lobe for both co-pol and cross-pol beams. Relative to the cross-Dragone, an open-Dragone also has a smaller f-number,

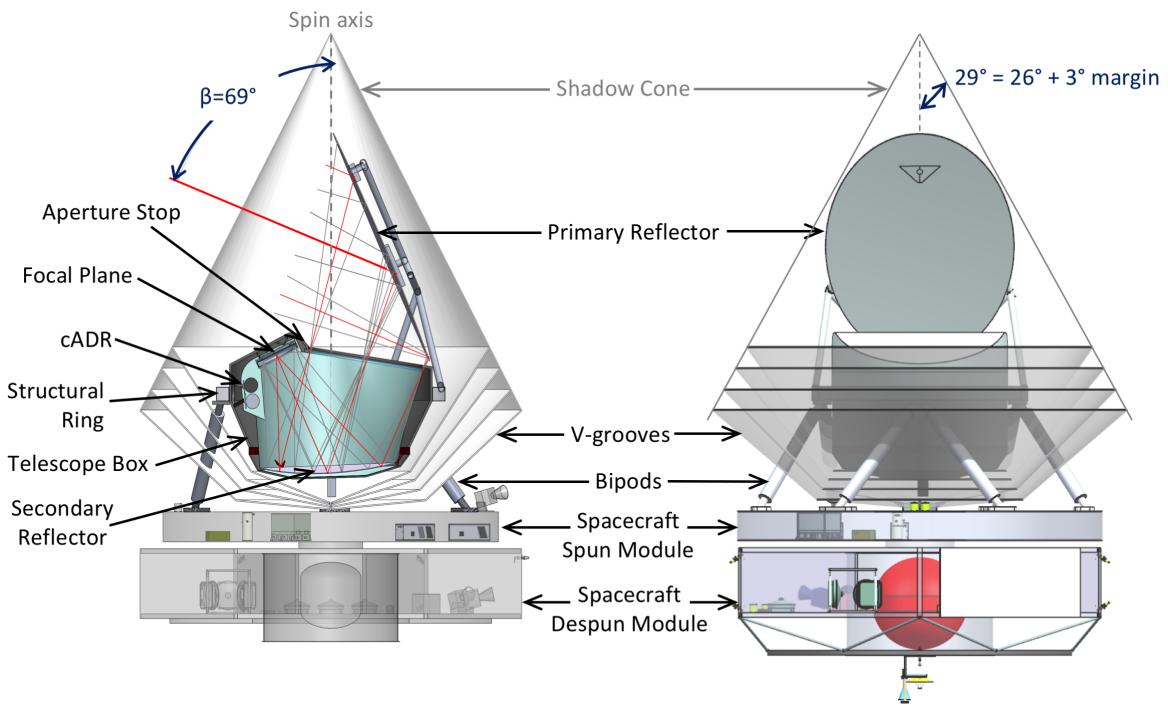


Figure 18: Detailed PICO instrument configuration. There are no moving or deployed parts. The spacecraft spun module accommodates warm instrument components: the 4 K cooler compressor and drive electronics, the sub-K cooler drive electronics, and the detector warm readout electronics.

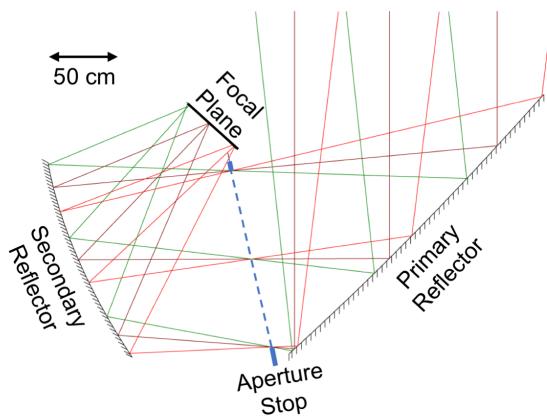


Figure 19: The optical system is compact.

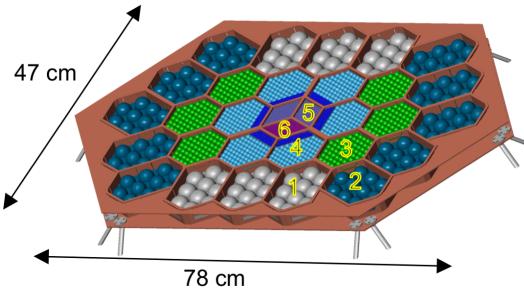


Figure 20: PICO focal plane. Detectors are fabricated on six types of tiles (shown numbered and colored to match first column in Table 5). The wafers are located on the focal plane such that higher frequency bands, which require better optical performance, are placed nearer to the center.

so the volume constrained by the shadow cone can accommodate a larger effective aperture. The smaller f-number also reduces the physical focal plane size (for the same number of pixels), reducing focal plane mass and consequently the heat lift requirements on the active coolers (§ 3.4).

PICO’s initial open-Dragone design follows Granet’s method [? ], with f/1.42. An actively cooled circular aperture stop is added between the primary and secondary reflectors to reduce detector noise and shield the focal plane from stray radiation. Distortions are then added to the primary and secondary reflectors according to Dragone’s published prescription [? ] to eliminate coma and increase the DLFOV. The detailed geometric parameterization of the PICO optical design is described in [? ].

The two reflectors (270 cm × 205 cm primary and 160 cm × 158 cm secondary) are all-aluminum to minimize complexity. The highest frequency (900 GHz) sets the surface accuracy requirement of the reflectors to  $\sim \lambda/14 = 24 \mu\text{m}$ .

The slightly concave focal surface, which has a radius of curvature of 4.55 m, is telecentric to within 0.12° across the entire FOV. This results in a defocus of 0.1 mm at the edge of a flat 10 cm detector wafer, adding an RMS wavefront error of less than 3  $\mu\text{m}$ , which is negligible relative to PICO’s shortest wavelength.

### 3.2 Focal plane

PICO’s focal plane is populated by an imaging array of transition edge sensor (TES) bolometers observing in 21 overlapping 25%-wide frequency bands with band centers ranging from 21 to 799 GHz. Polarization is measured by differencing the signals from pairs of polarization-sensitive bolometers. A conceptual layout of the PICO focal plane is shown in Figure 20 and detailed in Table 5.

Modern mm/sub-mm detectors are photon-noise limited, so the primary approach to increase sensitivity is to increase the number of detectors. The PICO focal plane has 12,996 detectors, 175 times the number flown on the *Planck* mission, providing a breakthrough increase in sensitivity with a comparably sized telescope. This breakthrough is enabled by development and demonstration by suborbital projects, which now commonly field arrays of  $\sim 10^4$  detectors.

#### 3.2.1 Low-frequency detectors

PICO populates the majority of its FOV with multichroic pixels (MCPs) [? ? ], which make optimal use of the focal plane area by feeding three photometric bands from a common broad-

Table 5: PICO makes efficient use of the focal area with multichroic pixels (three bands per pixel, § 3.2.1). The sampling rate is based on the smallest beam (Table 6), with 3 samples per FWHM at a scan speed ( $360^\circ/\text{min}$ )  $\sin(\beta = 69^\circ) = 336^\circ/\text{min}$ .

Tile type	$N_{\text{Tile}}$	Pixels / Tile	Pixel type	Bandcenters [GHz]	Sampling Rate [Hz]
1	6	10	A	21, 30, 43	45
2	10	10	B	25, 36, 52	55
3	6	61	C	62, 90, 129	136
4	6	85	D	75, 108, 155	163
		80	E	186, 268, 385	403
5	2	450	F	223, 321, 462	480
6	1	220	G	555	917
		200	H	666	
		180	I	799	

band antenna, with two single-polarization bolometers per band and therefore six bolometers per pixel.

Several competing optical coupling technologies have matured over the past ten years using horn-coupling [? ], antenna-array coupling [? ], and sinuous antenna/lenslet-coupling [? ], delivering high optical efficiency over more than an octave of bandwidth. Pixel size, number, and spacing is relatively agnostic to the coupling scheme, so multiple options are open to PICO (technology maturation plan described in § 5.2.1). For all of these schemes, microstrip mediates the signals between the antenna and detectors, and partitions the feed’s wide continuous bandwidth into narrow channels using integrated micro-machined filter circuits [? ].

### 3.2.2 High-frequency detectors

PICO’s highest three frequency channels are beyond the Niobium superconducting band-gap, rendering microstrip filters a poor solution for defining the optical passband. In this regime, PICO instead measures a single band with each pixel using feedhorn-coupled polarization-sensitive bolometers. Radiation is coupled through horns directly to the absorber coupled bolometers in the throat of a waveguide. The waveguide cut-off defines the lower edge of the band, and quasi-optical metal-mesh filters define the upper edge. Numerous experiments have successfully used similar approaches [? ? ? ]. The technology maturation required for PICO is described in § 5.2.1.

### 3.2.3 Sensitivity

We developed an end to end noise model of the PICO instrument to predict full mission sensitivity (Table 6) and provide a metric by which to evaluate mission design trades. The model considers four noise sources per bolometer: photon, phonon, TES Johnson, and readout (from both cold and warm readout electronics). To validate our calculations, we compared two independent software packages that have been used for several CMB instruments. The calculations agreed within 1% for both individual noise terms and for overall mission noise.

Laboratory experiments have demonstrated that TES detectors can be made background limited

Table 6: PICO measures in 21 broad overlapping frequency bands with band centers ( $v_c$ ) from 21 GHz to 799 GHz and bandwidth  $\Delta v/v_c = 25\%$ . The beams are single mode, with FWHM sizes of  $6.2' \times (155\text{GHz}/v_c)$ . The Current Best Estimate (CBE) per-bolometer sensitivity is background limited (§ 3.2.3). The total number of bolometers for each band is equal to (number of tiles)  $\times$  (pixels per tile)  $\times$  (2 polarizations per pixel), from Table 5. Array sensitivity assumes 90% detector operability, and meets the requirements of the baseline mission with  $> 40\%$  margin. The map depth assumes 5 yr of full sky survey at 95% survey efficiency, except the 25 and 30 GHz frequency bands, which are conservatively excluded during 4 hr/day Ka-band (26 GHz) telecom periods (§ 4.2).

Band Center [GHz]	Beam FWHM [arcmin]	CBE Bolo NET ( $\mu\text{K}_{\text{CMB}}/\text{s}$ )	$N_{\text{bolo}}$	CBE Array NET ( $\mu\text{K}_{\text{CMB}}/\text{s}$ )	Required (Baseline) Array NET ( $\mu\text{K}_{\text{CMB}}/\text{s}$ )	Baseline Polarization Map Depth	
						$\mu\text{K}_{\text{CMB}}/\text{arcmin}$	Jy/sr
21	38.4	112.2	120	12.0	17.0	23.9	8.3
25	32.0	103.0	200	8.4	11.9	18.4	10.9
30	28.3	59.4	120	5.7	8.0	12.4	11.8
36	23.6	54.4	200	4.0	5.7	7.9	12.9
43	22.2	41.7	120	4.0	5.6	7.9	19.5
52	18.4	38.4	200	2.8	4.0	5.7	23.8
62	12.8	69.2	732	2.7	3.8	5.4	45.4
75	10.7	65.4	1020	2.1	3.0	4.2	58.3
90	9.5	37.7	732	1.4	2.0	2.8	59.3
108	7.9	36.2	1020	1.1	1.6	2.3	77.3
129	7.4	27.8	732	1.1	1.5	2.1	96.0
155	6.2	27.5	1020	0.9	1.3	1.8	119.1
186	4.3	70.8	960	2.0	2.8	4.0	433.1
223	3.6	84.2	900	2.3	3.3	4.5	604.2
268	3.2	54.8	960	1.5	2.2	3.1	433.4
321	2.6	77.6	900	2.1	3.0	4.2	577.8
385	2.5	69.1	960	2.3	3.2	4.5	429.1
462	2.1	132.6	900	4.5	6.4	9.1	551.1
555	1.5	657.8	440	23.0	32.5	45.8	1580
666	1.3	2212	400	89.0	125.8	177.2	2075
799	1.1	10430	360	525.9	743.8	1047	2884
<b>Total</b>		12,996	0.43	0.61	0.87		

in the low loading environment they would experience at L2. For PICO, the primary contributor to noise is the optical load. The sources of optical load are the CMB, primary and secondary reflectors, aperture stop, and low pass filters. The CMB and stop account for the majority of the optical load at all frequencies. The CMB gives more than half the load in the middle and upper bands of the multichroic pixels, but the stop dominates the load in the lowest band of each pixel.

A more detailed description of the PICO noise model is available in [? ]. Small differences between Table 6 and the sensitivities from [? ] are due to refinements of the component temperatures. The sensitivity model assumes white noise at all frequencies, and does not include calibration uncertainties or estimates of other possible systematic effects, which are discussed in § ??.

Sub-orbital submillimeter experiments have demonstrated TES detectors that are stable to at least as low as 20 mHz [? ], meeting PICO’s requirements for the proposed scan strategy (§ 4.1.2).

### 3.3 Detector readout

Suborbital experiment teams over the past ten years have chosen to use voltage biased TESs because their current readout scheme lends itself to SQUID-based multiplexing. Multiplexing reduces the number of wires to the cryogenic stages and thus the total thermal load that the cryocoolers must dissipate. This approach also simplifies the instrument design. In the multiplexing circuitry, SQUIDs function as low-noise amplifiers and cryogenic switches. The current baseline for PICO is to use a time-domain multiplexer (TDM), which assigns each detector’s address in a square matrix of simultaneously read columns, and sequentially cycles through each row of the array [? ]. The PICO baseline architecture uses a matrix of 128 rows and 102 columns, requiring some technology maturation (§ 5.2.2). The thermal loading on the cold stages from the wire harnesses is subdominant to conductive loading through the mechanical support structures. Redundant warm electronics boxes perform detector readout and instrument housekeeping using commercially available ASICs. The readout electronics compress the data before delivering it to the spacecraft. PICO detectors produce a total of 6.1 Tbits/day assuming 16 bits/sample, sampling rates from Table 5, and bolometer counts from Table 6. Suborbital work has demonstrated 6.2 $\times$  compression of similar data [? ]. *Planck* HFI typically achieved 4.7 $\times$  compression in flight [? ? ]. PICO conservatively assumes 4 $\times$ .

## 3.4 Thermal

Like the *Planck*-HFI instrument, PICO cools its focal plane to 0.1 K to enable detector operation (§ 3.4.1). To minimize detector shot noise due to instrument thermal radiation, the aperture stop and reflectors are cooled using both active and radiative cooling (§ 3.4.2, § 3.4.3). All thermal requirements are met with robust margins (Table 7).

### 3.4.1 cADR Sub-K Cooling

A multi-stage continuous Adiabatic Demagnetization Refrigerator (cADR) cools the PICO focal plane to 0.1 K and the surrounding enclosure, filter, and readout components to 1 K. The cADR employs three refrigerant assemblies operating sequentially to absorb heat from the focal plane at 0.1 K and reject it to 1 K. Additionally, the cADR employs two assemblies operating sequentially to absorb this rejected heat at 1 K, cool other components to 1 K, and reject heat at 4.5 K. This configuration provides continuous cooling with small temperature variations at both the 0.1 K and 1 K. Heat straps connect the two cADR cold sinks to multiple points on the focal plane assembly, which has high thermal conductance paths built in, to provide spatial temperature uniformity and

Table 7: Projected cooler heat lift capabilities offer > 100 % heat lift margin, complying with community best practices [? ]. The cADR lift capability at 1 K and 0.1 K is from a Goddard quote. Both NGAS and Ball project > 100 mW lift capability at 4.5 K using higher compression-ratio compressors currently in development (§ 3.4.2). The required loads were calculated using Thermal Desktop. Reference [? ] was used to estimate the thermal conductive loads through mechanical supports. In addition to the listed components, the total 4.5 K heat load includes the intercept on the focal plane mechanical supports.

Component	T Req'd	T CBE	Active heat lift		
			Req'd per model	Capability today	Projected capability
Primary reflector	< 40 K	17 K	N/A (radiatively cooled)		
Secondary reflector	< 8 K	4.5 K	42 mW total at 4.5 K	> 100 mW (§3.4.2, Figure 3.5)	
Aperture stop	4.5 K				
cADR heat rejection	4.5 K				
Focal plane enclosure and filter	1.0 K	1.0 K	0.36 mW	1.0 mW	
Focal plane	0.1 K	0.1 K	5.7 uW	16 uW	

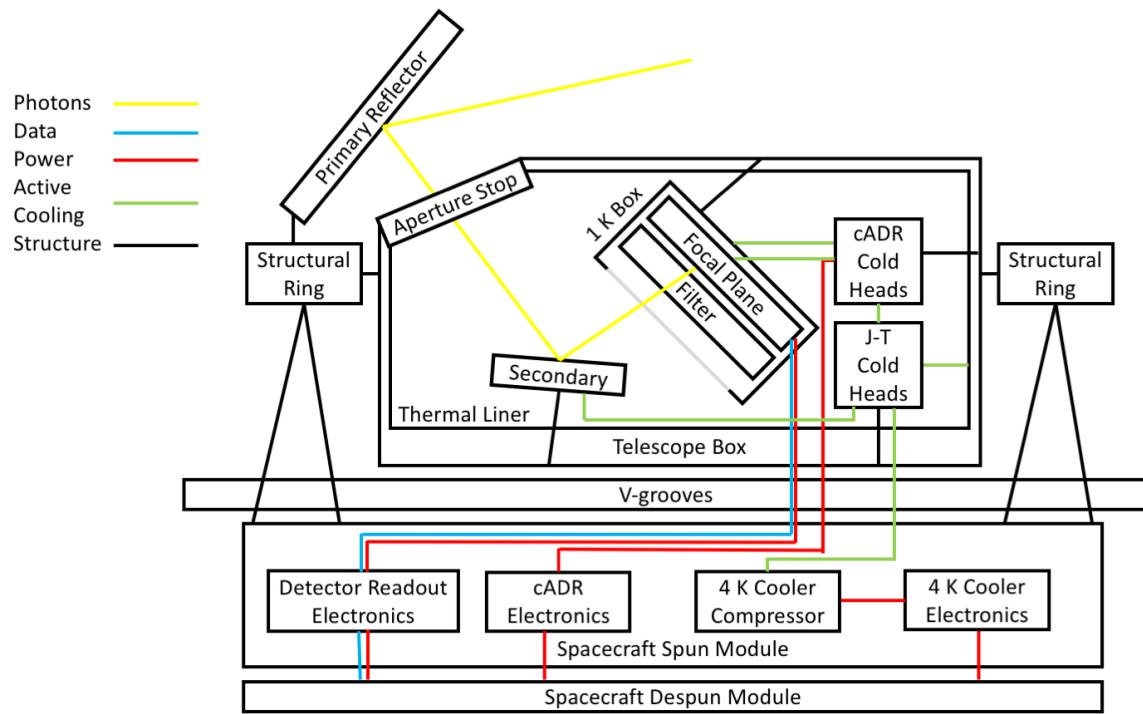


Figure 21: PICO instrument block diagram. Active coolers provide cooling to the focal plane, its box, the secondary reflector, and the thermal liner that acts as a cold aperture stop. Data from the focal plane flows to (redundant, cross-strapped) warm readout electronics on the spun module of the spacecraft bus.

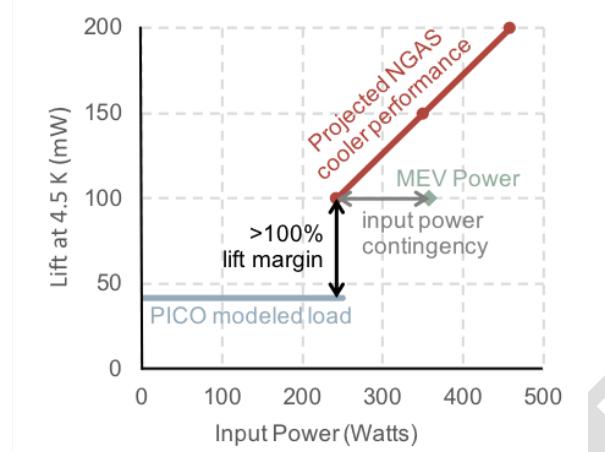


Figure 22: Projected performance of the NGAS cooler using a multi-stage compressor and  $^4\text{He}$  [?] meets PICO’s requirements with  $> 100\%$  margin. PICO conservatively carries additional input power contingency on the efficiency of the cooler.

stability during operation. Heat loads in the range of  $20 \mu\text{W}$  at  $0.1\text{ K}$  and  $1\text{ mW}$  at  $1\text{ K}$  (time-average) are within the capabilities of current cADRs developed by GSFC [? ? ]. The PICO sub-K loads are estimated at less than half of this capability.

### 3.4.2 4 K Cooler

A cryocooler system similar to that used on JWST to cool the MIRI detectors [? ? ] removes the heat rejected from the cADR and cools the aperture stop and secondary reflector to  $4.5\text{ K}$ . Both NGAS (which provided the MIRI coolers) and Ball Aerospace have developed such coolers under the NASA-sponsored Advanced Cryocooler Technology Development Program [? ]. NGAS and Ball use slightly different but functionally-equivalent hardware approaches. A 3-stage precooler (acoustic Stirling by NGAS or mechanical Stirling by Ball) provides  $\sim 16\text{ K}$  precooling to a separate circulated-gas loop driven by a similar compressor modified for DC flow. The circulated-gas loop utilizes Joule–Thomson (J-T) expansion, further cooling the gas to  $4.5\text{ K}$ . The entire precooler assembly and the J-T circulator compressor are located on the warm spacecraft, with relatively short tubing lengths conducting the gas flow from the precooling point to the J-T expansion point. All waste heat rejected by the cooler compressors and drive electronics is transferred to the spacecraft heat rejection system. Unlike JWST, the PICO cooler does not require deployment of the remote cold head.

The J-T expansion point is located close to the cADR heat rejection point, thereby providing the lowest temperature to the cADR. Subsequent to cooling the cADR, the gas flow intercepts conducted heat to the focal plane enclosure, then cools the aperture stop and the secondary reflector before returning in counterflow to the circulation compressor. Model-based projections indicate that the coolers delivered for MIRI could meet the PICO  $4.5\text{ K}$  heat lift requirement with  $> 100\%$  margin with minimal changes: the replacement of the  $^4\text{He}$  gas used for MIRI with  $^3\text{He}$ , plus resizing of the gas counterflow heat exchangers to take advantage of the  $^3\text{He}$ .

It is highly likely that a better solution will be available before Phase A. NGAS and Ball are actively working on increasing the flow rate and compression ratio of the J-T compressor, which

should result in significantly higher system efficiency, and in greater heat-lift margin above the PICO requirement. These improvements entail the implementation of well-known techniques (standard thermal engineering). The NGAS multi-stage J-T compressor has completed PDR-level development, and is expected to reach CDR level well before needed for PICO. Projected performance is shown in Figure 22. The Ball approach started with a larger compressor, required less modification to achieve comparable performance, and eliminated the cold bypass-precooling valve that was problematic for MIRI. The Ball approach uses  $^3\text{He}$ , while the NGAS approach uses  $^4\text{He}$ . Both employ re-optimized gas heat exchangers (trivial engineering changes).

### 3.4.3 Radiative cooling

A set of four V-groove radiators provides passive cooling. The outermost of the four V-groove shields shadows the interior shields from the Sun. The V-grooves radiate to space, each reaching successively cooler temperatures. The V-groove assembly is mechanically supported from the spacecraft bus by attachment to the low-conductance bipod struts that also carry the mechanical loads of the structural ring (Figure 18). The V-groove assembly provides a cold radiative environment to the primary reflector, structural ring, and telescope box, so radiative loads on those elements are smaller than the conductive loads through the mechanical support structures.

## 3.5 Instrument integration and test

PICO Instrument I&T planning benefits greatly from heritage experience with the *Planck* HFI instrument [? ].

PICO will screen detector wafer performance prior to selection of flight wafers and focal plane integration. The cADR and 4 K cryocooler will be qualified prior to delivery. The relative alignment of the two reflectors under thermal contraction will be photogrammetrically verified in a thermal vacuum (TVAC) chamber.

PICO will integrate the flight focal plane assembly and flight cADR in a dedicated sub-kelvin cryogenic testbed. Noise, responsivity, and FPU temperature stability will be characterized using a representative optical load for each frequency band (temperature-controlled blackbody). Polarimetric and spectroscopic calibration will be performed.

The focal plane will be integrated with the reflectors and structures, and alignment verified photogrammetrically at cold temperatures in a TVAC chamber. The completely integrated observatory will be tested in TVAC to measure parasitic optical loading from the instrument, noise, microphonics and radio-frequency interference (RFI).

## 4 Design reference mission

### 4.1 Concept of operations

The PICO concept of operations is similar to that of the successful *WMAP* [?] and *Planck* [?] missions. After launch, PICO cruises to a halo orbit around the Earth–Sun L2 Lagrange point (§ 4.1.1). En route, a 2-week decontamination period is followed by instrument cooldown. After in-orbit checkout is complete, PICO begins the science survey.

PICO has a single science observing mode, surveying the sky continuously for 5 years using a pre-planned repetitive survey pattern (§ 4.1.2). Instrument data are compressed and stored on-board, then returned to Earth in daily 4-hr Ka-band science downlink passes (concurrent with science observations). Because PICO is observing relatively static galactic, extragalactic, and cosmological targets, there are no requirements for time-critical observations or data latency. Presently, there are no plans for targets of opportunity or guest observer programs during the prime mission. The PICO instrument does not require cryogenic consumables (as the *Planck* mission did), permitting consideration of mission extension beyond the prime mission.

#### 4.1.1 Mission design and launch

PICO performs its science survey from a halo orbit around the Earth–Sun L2 Lagrange point. Predecessor missions *Planck* and *WMAP* both operated in L2 orbits.

L2 orbits provide favorable survey geometry (relative to Earth orbits) by mitigating viewing restrictions imposed by terrestrial and lunar stray light. The PICO orbit around L2 is small enough to ensure than the Sun–Probe–Earth (SPE) angle is less than  $15^\circ$ . This maintains the telescope boresight  $> 70^\circ$  away from the Earth (Figure 23,  $70^\circ = 180^\circ - \alpha - \beta - \text{SPE}$ ). High data rate downlink to the Deep Space Network (DSN) is available from L2 using near-Earth Ka bands. L2 provides a stable thermal environment, simplifying thermal control. The PICO orbit exhibits no post-launch eclipses.

NASA requires that Probes be compatible with an Evolved Expendable Launch Vehicle (EELV). For the purpose of this study, the Falcon 9 [?] is used as the reference vehicle. Figure 24 shows PICO configured for launch in a Falcon 9 fairing. PICO’s launch mass is well within the Falcon 9

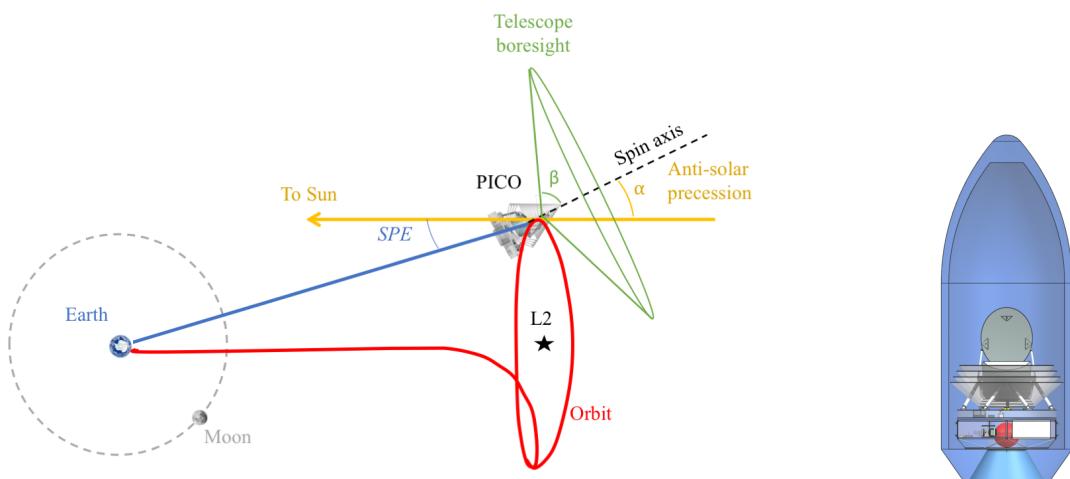


Figure 23: PICO surveys by continuously spinning the instrument about a precessing axis.

Figure 24: PICO is compatible with the Falcon 9.

capability.

Insertion to the halo manifold and associated trajectory correction maneuvers (TCMs) require  $150 \text{ m s}^{-1}$  of total  $\Delta v$  by the spacecraft. The orbital period is  $\sim 6$  months. Orbit maintenance requires minimal propellant (statistical  $\Delta v \sim 2 \text{ m s}^{-1} \text{ year}^{-1}$ ). There are no disposal requirements for L2 orbits, but spacecraft are customarily decommissioned to heliocentric orbit.

#### 4.1.2 Survey design

PICO utilizes a highly repetitive scan strategy to map the full sky. During the survey, PICO spins with a period  $T_{\text{spin}} = 1$  min about a spin axis oriented  $\alpha = 26^\circ$  from the anti-solar direction (Figure 23). This spin axis is forced to precess about the anti-solar direction with a period  $T_{\text{prec}} = 10$  hr. The telescope boresight is oriented at an angle  $\beta = 69^\circ$  away from the spin axis. This  $\beta$  angle is chosen such that  $\alpha + \beta > 90^\circ$ , enabling mapping of all ecliptic latitudes. The precession axis tracks with the Earth in its yearly orbit around the Sun, so this scan strategy maps the full sky (all ecliptic longitudes) within 6 months.

PICO's  $\alpha = 26^\circ$  is chosen to be substantially larger than the *Planck* mission's  $\alpha$  angle ( $7.5^\circ$ ) to mitigate systematic effects by scanning across each sky pixel with a greater diversity of orientations [? ]. Increasing  $\alpha$  further would decrease the sun-shadowed volume available for the optics and consequently reduce the telescope aperture size. A deployable sun shade was considered, but not baselined.

The instrument spin rate, selected through a trade study, matches that of the *Planck* mission. The study balanced low-frequency ( $1/f$ ) noise subtraction (improves with spin rate) against implementation cost and heritage, pointing reconstruction ability (anti-correlated with spin rate), and data volume (linearly correlated with spin rate). The  $\ell = 2$  quadrupole power spectral mode appears in the data timestream at  $2\ell \sin(\beta)/T_{\text{spin}} = 60 \text{ mHz}$  [? ]. Detector noise is stable down to these frequencies (§ 3.2.3). A destriping mapmaker applied in data post-processing effectively operates as a high-pass filter, as demonstrated by *Planck* [? ]. PICO's spin axis precession frequency is  $> 400\times$  faster than that of *Planck*, greatly reducing the effects of any residual  $1/f$  noise by spreading the effects more isotropically across pixels.

### 4.2 Ground segment

The PICO Mission Operations System (MOS) and Ground Data System (GDS) can be built with extensive reuse of standard tools. The PICO concept of operations is described in § 4.1.2. There are no time critical events, and no driving data latency requirements. Routine orbit maintenance activities are required roughly every three months (§ 4.1.1). The payload consists of a single instrument with a single science observing mode (a repetitive survey pattern, § 4.1.2).

All space-ground communications, ranging, and tracking are performed by the Deep Space Network (DSN) 34 m Beam Wave Guide (BWG). X-band is used to transmit spacecraft commanding, return engineering data, and provide navigation information. Ka-band is used for high rate return of science data (150 Mb/s transfer; 130 Mb/s information rate after CCSDS encoding). The instrument produces 6.1 Tb/day, which is compressed to 1.5 Tb/day (§ 3.3). Daily 4 hr DSN passes return PICO data in 3.1 hr, with the remaining 0.9 hr available as needed for retransmission or missed-pass recovery.

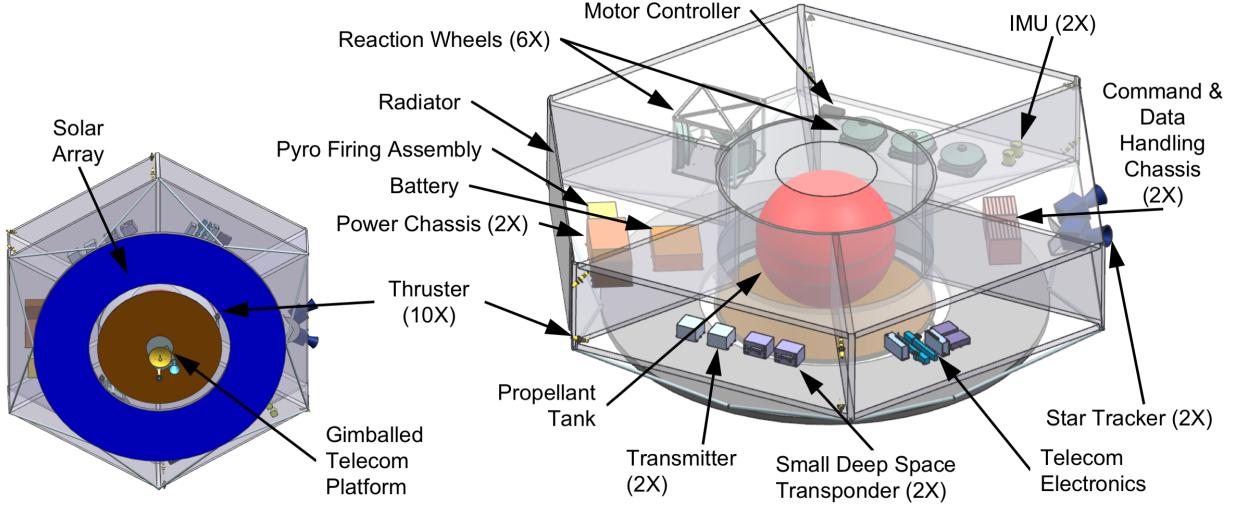


Figure 25: Modular equipment bays provide easy access to all components in the spacecraft de-spun module and enable parallel integration of spacecraft subsystems.

### 4.3 Spacecraft

The PICO spacecraft bus meets all performance requirements with margin. It is designed for a minimum lifetime of 5 years in the L2 environment. Mission critical elements are redundant. Flight spares, engineering models and prototypes appropriate to Class B are budgeted.

The aft end of the spacecraft (the “de-spun module”) is comprised of six equipment bays that house standard components (Figure 25). The instrument and V-grooves are mounted on bipods from the spacecraft “spun module,” which contains hosted instrument elements (Figure 18). A spin motor drives the spun module at 1 rpm to support the science survey requirements (§ 4.1.2). Reaction wheels on the despun module cancel the angular momentum of the spun module and provide three-axis control (§ 4.3.1).

The bipods that mechanically support the instrument are thermally insulating. The passively radiating V-groove assembly thermally isolates the instrument from solar radiation and from the bus (§ 3.4.3). Like *Planck* [?], the V-grooves are manufactured using honeycomb material. Additional radiators on the spun and despun spacecraft modules ( $\sim 1 \text{ m}^2$  each) reject heat dissipated by spacecraft subsystems and hosted instrument elements.

PICO’s avionics are dual-string with standard interfaces. Solid state recorders provide three days of science data storage (ensuring robustness to missed telecom passes).

PICO employs a fully redundant Ka- and X-band telecommunications architecture. The Ka-band system uses a 0.3 m high-gain antenna to support a science data downlink information rate of 130 Mb/s to a 34 m BWG DSN ground station with a link margin of 4.8 dB. The X-band system provides command and engineering telemetry communication through all mission phases using medium and low gain antennas. Amplifiers, switches, and all three antennas are on a gimballed platform, enabling Ka and X-band downlink concurrent with science observations.

Solar cells on the aft side of the bus provide positive power (with contingency) for all mission power modes after launch. The driving mode is telecom concurrent with science survey. Unused area in the solar array plane affords significant margin for growth (Figure 25). A Li-ion battery

provides power during the launch phase. The heritage power electronics are dual-string.

The propulsion design is a simple monoprop blow-down hydrazine system with standard redundancy. Two aft-pointed 22 N thrusters provide  $\Delta v$  and attitude control for TCMs and orbit maintenance. Eight 4 N thrusters provide momentum management and backup attitude control authority.

#### 4.3.1 Attitude determination and control

PICO uses a zero net angular momentum control architecture with heritage from the SMAP mission [? ]. PICO’s instrument spin rate (1 rpm) matches that of the *Planck* mission, but the precession of the spin axis is much faster (10 hr vs 6 months), and the precession angle much larger ( $26^\circ$  vs  $7.5^\circ$ ). These differences make the spin-stabilized *Planck* control architecture impractical because of the amount of torque required to drive precession.

The PICO 1 rpm instrument spin rate is achieved and maintained using a spin motor. The spin motor drive electronics provide the coarse spin rate knowledge used for controlling the spin rate to meet the  $\pm 0.1$  rpm requirement.

Three reaction wheel assemblies (RWAs) are mounted parallel to the instrument spin axis and spin opposite to the instrument to achieve zero net angular momentum and keep the despun module three-axis stabilized. The spin axis is precessed using three RWAs mounted normal to the spin axis in a triangle configuration. Each set of three RWAs is sized such that two could perform the required function, providing single fault tolerance.

Spin axis pointing and spin rate knowledge are achieved and maintained using star tracker and inertial measurement unit (IMU) data. The attitude determination system is single-fault tolerant, with two IMUs each on the spun and despun modules, and two star trackers each on the spun and despun modules. Two sun sensors on the despun module are used for safe-mode contingencies and instrument Sun avoidance. All attitude control and reconstruction requirements are met, including spin axis control  $< 60$  arcmin with  $< 1$  arcmin/min stability, and reconstructed pointing knowledge  $< 10$  arcsec (each axis,  $3\sigma$ ).

## 5 Technology maturation

PICO’s detector and readout technologies have already been substantially matured through complimentary suborbital experiments, and can be developed by the APRA and SAT programs to NASA’s Technology Readiness Level (TRL) 5 before Phase A (October 2023). The 4 K cryocooler baseline by PICO requires only standard thermal engineering (§ 3.4.2).

### 5.1 Current state of technologies

PICO builds off of the heritage of the *Planck* HFI instrument. The white noise of the *Planck* NTD-Ge bolometers was background-limited in all channels [? ] with a  $1/f$  knee at 200–300 mHz [? ]. Since *Planck*, numerous suborbital experiments have used monolithically fabricated TES bolometers and multiplexing schemes to field instruments with thousands of detectors per camera.

#### 5.1.1 Detectors status

Suborbital teams have successfully demonstrated a variety of optical coupling schemes, including horns with ortho-mode transducers (OMTs), lithographed antenna arrays, and sinuous antennas under lenslettes (Figure 26). They have achieved background-limited performance in both ground and balloon instruments. Experiments have covered many of PICO’s observing bands between 30 GHz and 270 GHz (Table 8). SPT-3G has used the PICO-baselined three-color pixel design to deploy 16,260 detectors covering 90–150–220 GHz. Other experiments have successfully deployed two-color pixels. All of these detector arrays have been packaged into modules and focal plane units in working cameras representative of the PICO integration.

To date, suborbital experiments have achieved statistical map depths of  $3 \mu\text{K}_{\text{CMB}}$  arcmin on degree-scaled modes over small parts of the sky, approaching what PICO will achieve over the entire sky. Suborbital experiments have also demonstrated systematic control to this level through full-pipeline simulations and null-test analysis (jackknife tests). Moreover, laboratory tests and in-flight data from balloons suggest that these TES bolometers are more naturally robust against cosmic rays than the individual NTD-Ge bolometers used in *Planck*. Cosmic ray glitches have fast recovery times and low coincidence rates [? ].

PICO’s 555–799 GHz channels (above the Niobium band gap) will use direct absorber bolometers. Ground and balloon experiments have deployed focal planes with hundreds of horn-coupled spiderweb bolometers. SPT-Pol deployed dual-polarized versions of direct absorber horn-coupled bolometers. *Planck* used this style of bolometer, but with NTD-Ge thermistors instead of TESes (Figure 27). Filled arrays of detectors such as Backshort Under Ground (BUG) bolometers are also an option for these channels. The status of these efforts is summarized in Table 8.

#### 5.1.2 Readout electronics status

More than ten experiments have used TDM readout. SCUBA2 on JCMT has 10,000 pixels, nearly as many detectors as planned for PICO [? ].

## 5.2 Development plan

### 5.2.1 Detector development

The baseline PICO instrument requires three-color dual-polarized antenna-coupled bolometers covering bands from 21 to 462 GHz and single-color dual-polarized direct-absorbing bolometers from 555 to 799 GHz (§ 3.2.1, Table 5). The developments required to enable the PICO baseline design are:

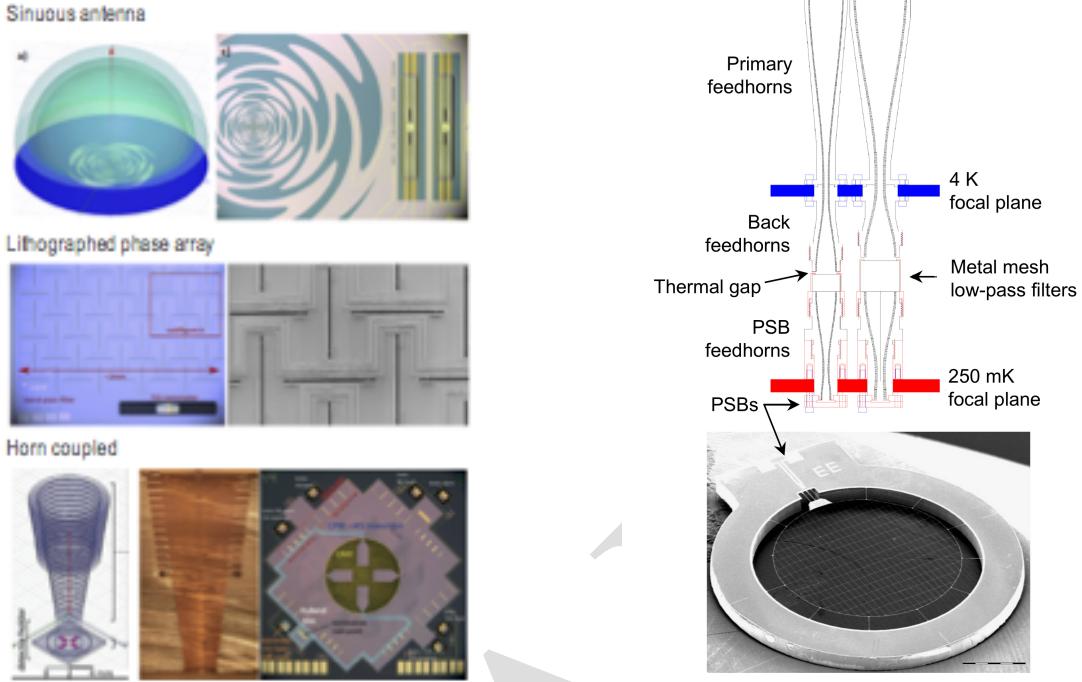


Figure 26: Multiple demonstrated optical coupling schemes are available to PICO. Images from CMB-S4 and coupling horns used in *Planck* for 143–343 GHz Technology Book [? ].

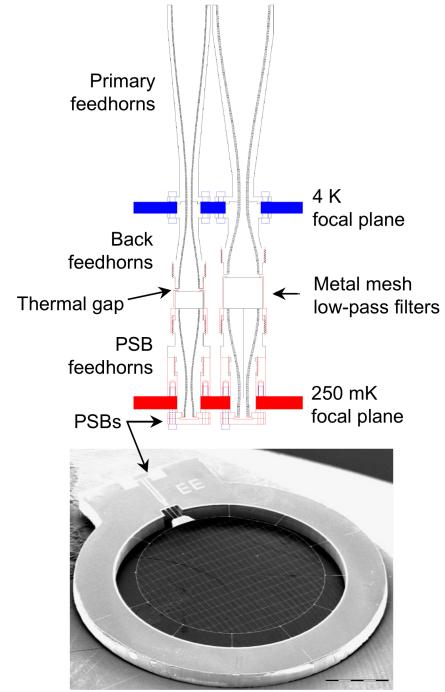


Figure 27: Direct-absorbing dual-polarized detectors are available to PICO. Images from CMB-S4 and coupling horns used in *Planck* for 143–343 GHz bands.

Table 8: Multiple active suborbital efforts are advancing technologies relevant to PICO.

Project	Type	Polarized	Mono-lithic	$\nu_{c, \text{Low}}$ [GHz]	$\nu_{c, \text{High}}$ [GHz]	Colors per pixel	$N_{\text{bolo}}$	Significance	Reference
<b>PICO low frequency</b>	Probe	Yes	Yes	21	462	Three	11,796		§3.2.1
SPT-3G	Ground	Yes	Yes	90	220	Three	16,260	Trichroic	(Anderson 2018)
Advanced ACT-pol	Ground	Yes	Yes	27	230	Two	3,072	Dichroic	(Simon 2018)
BICEP/Keck	Ground	Yes	Yes	90	270	One	5,120	50nK-deg	(BICEP2&Keck 2018)
Berkeley, Caltech, NIST	Lab	Yes	Yes	30	270	Two	NA	Band coverage	
SPIDER	Balloon	Yes	Yes	90	150	One	2,400	Stable to 10mHz	(Rahlin 2014)
<b>PICO high frequency</b>	Probe	Yes	Yes	555	799	One	1,200		§3.2.2
Planck HFI	Flight	143-343 GHz	No	143	857	One	48	TRL 9 absorbing bolometers	(Planck HFI Core Team 2011)
SPT-SZ	Ground	No	Yes	90	220	One	840	Monolithic array TESes	
SPT-pol-90	Ground	Yes	No	90	90	One	180	Dual pol absorbing TESes	

- extension of antenna-coupled bolometers down to 21 GHz and up to 462 GHz;
- demonstration of three-color pixels across that spectrum, with characterization of beam properties and associated systematics;
- construction of high frequency direct absorbing arrays and laboratory testing; and
- beam line and 100 mK testing to simulate the cosmic ray environment at L2.

The extension to lower frequencies requires larger antennas and therefore control of film properties and lithography over larger areas. Scaling to higher frequencies forces tighter critical dimensions and materials tend to exhibit higher losses. These challenges require tight control of cleanliness and full understanding of process parameters.

The sinuous antenna has the bandwidth to service three-colors per pixel, whereas horns and antenna arrays have only been used for two, so some version of the sinuous antenna will likely be needed to realize three-color pixels. However, the sinuous antenna couples to states that “wobble” log-periodically with frequency. There are potential solutions to this in the focal plane design, analysis, and free parameters of the antenna geometry. These will need to be explored subject to the uniformity and packing density constraints present at the extreme spectral bands. Systematics studies for field demonstrations will be particularly important. The PICO concept is robust to any challenges in developing three-color pixels; § 5.3 describes an option to descope to two-color pixels.

*Planck* demonstrated the architecture of horns coupled to direct absorbing bolometers. For PICO’s high frequency detectors, this only needs to be generalized to dual polarized arrays. The greatest remaining challenge is the low risk development of a packaging design. Such prototyping could culminate in a field demonstration, best performed in a balloon.

So far, cosmic ray tests and in-flight analysis of TES bolometers are encouraging [? ]. The CMB community can retire residual risk with 100 mK testing where the array heat sinking may be weaker, and beam-line tests to help control for background glitch rates.

A plan to accomplish all required development is described in Table 9.

### 5.2.2 Readout electronics development

PICO’s sensitivity requirements dictate the use of  $\sim 13,000$  transition edge sensor bolometers, requiring a highly multiplexed system. The PICO baseline design calls for time division multiplexing with 128 switched rows per readout column (TDM-128 $\times$ ), which exceeds that of Advanced ACT-Pol’s recently demonstrated TDM-66 $\times$  [? ]. The leap to TDM-128 $\times$  requires:

- development of fast-switched room temperature electronics;
- system engineering of room temperature to cryogenic row select cabling to ensure sufficiently fast row switch settling times; and
- demonstration of TDM-128 $\times$  SQUID aliased noise below PICO detector sensitivity requirements.

A plan to accomplish the required development is described in Table 9.

Table 9: PICO technologies can be developed to TRL 5 prior to a 2023 Phase A start using the APRA and SAT programs.

Task	Current status	Milestone A	Milestone B	Milestone C	Current Funding	Required Funding	Date TRL5 achieved
3-color arrays $\nu < 90$ GHz	See Table 5.1	Field demo of 30-40 GHz (2020)	Lab demos 20-90 GHz (2022)		APRA & SAT funds	\$2.5M over 4 yr (1 APRA +1 SAT)	2022
3-color arrays $\nu > 220$ GHz		Field demo of 150-270 GHz (2021)	Lab demos 150-460 GHz (2022)		APRA & SAT funds	\$3.5M over 4 yr (2 SATs)	2022
Direct absorbing arrays $\nu > 550$ GHz		Design & prototype of arrays (2021)	Lab demo of 555 GHz (2022)	Lab demo 799 GHz (2023)	None	\$2M over 5 yr (1 SAT)	2023
Cosmic ray studies	250 mK w/ sources	100 mK tests with sources (2021)	Beamline tests (2023)		APRA & SAT funds	\$0.5-1M over 5 yr (part of 1 SAT)	NA
Fast readout electronics	MUX66 demo	Engineering and Fab of electronics (2020)	Lab demo (2021)	Field demo (2023)	No direct funds	\$2.5M over 5 yr (1 SAT)	2023
System engineering/ 128x MUX demo	MUX66 demo	Design of cables (2020)	Lab demo (2021)	Field demo (2023)	No direct funds		

### 5.3 Technology descopes

A descope from three-color to two-color pixels remains a viable alternative should the three-color technology not mature as planned. Descope studies suggest that a PICO-size focal plane using two-color pixels at the lower frequencies and the baseline one-color pixels at the higher frequencies would contain 8,840 detectors (compared to the baseline 12,966) and map in 19 colors (baseline 21). Because horns have a 2.3 : 1 bandwidth, each of the two bands in a pixel has 35% bandwidth (compared to the baseline 25%), which compensates for pixel count, resulting in the same  $0.61 \mu\text{K}_{\text{CMB}}$  arcmin aggregate map depth (Table 6), but with coarser spectral resolution.

### 5.4 Enhancing technologies

The following technologies are neither required nor assumed by the PICO baseline concept. They represent opportunities to extend scientific capabilities or simplify engineering.

PICO baselines TDM readout because of its relative maturity and demonstrated sensitivity and stability in relevant science missions. Lab tests of Frequency Domain Multiplexing (FDM) suggest comparable performance with higher multiplexing factors and lower loads on cryogenic stages relative to TDM. Suborbital experiments such as SPT-3G have used frequency division multiplexing (FDM) to readout focal planes comparable in size to PICO.

Microwave frequency SQUID multiplexing can increase the multiplexing density and reduce the number of lines between the 4K and ambient temperature stages [? ? ]. Kinetic Inductance Detectors (KIDs) and Thermal KIDs (TKIDs) can further reduce the wire count, obviate the SQUIDs, and dramatically simplify integration by performing multiplexing on the same substrate as the detectors themselves [? ? ]. The cost to develop these technologies is \$3–4M/year, with a high chance of reaching TRL-5 before Phase A.

## 6 Project management, heritage, risk, and cost

### 6.1 PICO Study Participants

The PICO study was open to the entire mm/sub-mm science community and included more than 150 scientists. Seven working groups were led by members of PICO’s Executive Committee, which met weekly under the leadership of PI Shaul Hanany. More than 60 people participated in-person in two community workshops (November 2017 and May 2018).

The PICO engineering concept definition package was generated by Team X (the JPL concurrent design lab). The Team X study was supported by inputs from a JPL engineering team and Lockheed Martin.

The full list of study report contributors and endorsers follows the cover page.

### 6.2 Project management plan

PICO benefits from the experience of predecessor missions such as *Planck* and *WMAP*, as well as many years of investment in technology development and a multitude of suborbital experiments. In addition to demonstrated science and engineering capabilities, this heritage has developed a community of people with the expertise required to field a successful mission.

This study assumes mission management by JPL with a Principal Investigator leading a single science team. A Project Manager provides project oversight for schedule, budget, and deliverables. A Project Systems Engineer leads systems engineering activities and serves as the Engineering Technical Authority. A Mission Assurance Manager serves as the Independent Technical Authority. The PICO mission development schedule is shown in Figure 28.

	FY24	FY25	FY26	FY27	FY28	FY29	FY30	FY31	FY32	FY33	FY34	FY35
CY 2023	CY 2024	CY 2025	CY 2026	CY 2027	CY 2028	CY 2029	CY 30	CY 31	CY 32	CY 33	CY 34	
PH A (12 mths)	PH B (12 mths)	PHASE C (22 mths)	PHASE D (18 mths )	PHASE E (5 yrs )		F 4 mths						
♦ 10/23 KDP-A	♦ 10/24 KDP-B	♦10/25 KDP-C	♦ 8/27 KDP-D	♦ 2/29 PLAR (Start of Ph E)	KDP-F 2/34 ♦							
Reviews	10/25 PDR ♦		♦ 7/26 CDR	♦ 7/27 ARR	Launch 1/29★							

Figure 28: The PICO baseline schedule is based on historical actuals from similarly-sized missions such as Juno and SMAP.

Probes are medium-class missions, similar in cost scope to NASA’s New Frontiers missions, which are Category 1 and Risk Classification A or B, with Phase A–D costs capped at  $\sim \$850M$  (not including the launch vehicle). JPL is well-prepared to manage Probe missions, having managed the Juno New Frontiers mission (launched 2011) and also the development of the medium-class *Spitzer* Space Telescope (launched 2003). JPL delivered the bolometric detectors for the *Planck* HFI instrument (launched 2009). Presently, JPL is managing NEOCam, a Discovery class infrared space telescope.

The PICO spacecraft provider will be selected during mission formulation. Multiple organizations are capable of providing a spacecraft bus to meet PICO’s requirements. Lockheed Martin contributed to the PICO concept study, leveraging their experience with New Frontiers missions Juno and OSIRIS-REx.

### 6.3 Heritage

The successful *Planck* mission provides science heritage for PICO. Technical heritage traces to multiple missions.

Because PICO observes in the mm/sub-mm regime, the surface accuracy requirement for the reflectors is relatively easy to meet. PICO’s reflectors are similar to *Planck*’s, but somewhat larger (270 cm × 205 cm primary vs. 189 cm × 155 cm) [? ]. *Herschel* observed at wavelengths more demanding than PICO’s and was larger (350 cm diameter primary) [? ].

The heritage of the PICO detectors and readout electronics (§ 3.2, § 3.3) is described in § 5.1.

PICO’s detectors are cooled by a cADR (3.4.1) with requirements that are within the capabilities of current cADRs developed by Goddard Space Flight Center. These systems have been applied to several JAXA missions, including *Hitomi* [? ].

PICO’s 4 K cryocooler (§ 3.4.2) is a direct extension of the JWST MIRI design [? ? ]. PICO benefits from a simpler and more reliable implementation of the J-T system than was required for MIRI, in that no deployment of cooling lines is required, and all flow valving is performed on the warm spacecraft. Cooling multiple independent points with a J-T loop has been demonstrated on *Planck* with the JPL-supplied 18 K cooler [? ].

Structures similar to PICO’s V-groove assembly (§ 3.4.3) are a standard approach for passive cooling first described more than thirty years ago [? ]. The JWST mission will deploy a 22 m × 10 m V-groove sun shield. PICO’s relatively modest 4.5 m diameter V-groove assembly fits inside the launch vehicle fairing. Because it does not require deployment, PICO has baselined a simple honeycomb material construction like that successfully flown by the *Planck* mission [? ? ].

Most requirements on the PICO spacecraft are well within typical ranges and can be met with standard high heritage systems (§ 4.3). PICO’s spin architecture and data volume requirements are less typical, and discussed below.

The PICO zero-momentum control architecture (§ 4.3.1) has heritage from the SMAP mission. PICO has a slower spin rate and less cancelled angular momentum than SMAP. SMAP requires 359 Nms to cancel the momentum of a 6 m instrument antenna spun at 14.6 rpm [? ]. The PICO launch mass (including contingency) is similar to the *Planck* launch mass. If we assume *Planck* moments of inertia [? ], the PICO spun elements would have an angular momentum of 210 Nms at 1 rpm. This is conservative because, unlike *Planck*, the entire PICO observatory does not spin.

Though PICO’s data volume is notable by current standards, it is already enveloped by missions in development. PICO produces 6.1 Tb/day of raw data which is compressed to 1.5 Tb/day (§ 3.3). PICO downlinks data daily, but baselines storage of 3 days of (compressed) data to mitigate missed telecom passes. This requires 4.5 Tb of onboard storage, in family with the 3.14 Tb solid state recorder currently in use by Landsat 8 and much smaller than the 12 Tbit flash memory planned for NISAR [? ]. The PICO baseline 150 Mb/s Ka-band data downlink is an existing DSN catalog service (DSN 2015). The TESS mission presently downlinks data to the DSN using Ka-band at 100 Mb/s. The baseline PICO mission generates ∼ 2,200 Tb of raw (uncompressed) data per year, less than the ∼ 6,800 Tb/year currently returned by Landsat 8 and ∼ 9,300 Tb/yr planned by NISAR [? ].

## 6.4 Risk assessment

### 6.4.1 Pre-mission risks

Technology development (§ 5) is performed prior to the beginning of mission development, and is outside of the mission cost (per NASA direction), so associated risks do not represent threats to the cost of mission development. Rather, they represent risks to the availability of the described baseline mission. A technology-related mission descope is described in § 5.3.

### 6.4.2 Development risks

PICO's healthy contingencies, margins, and reserves provide flexibility to address risks realized during mission development. PICO carries > 40% instrument sensitivity margin (Table 6), > 100% heat lift margin (Table 7), 43% system power contingency, 31% payload mass contingency, and 27% spacecraft mass contingency. The Falcon 9 launch capability (for ocean recovery) exceeds PICO's total launch mass (including contingency) by a ~ 50% margin. The PICO budget includes 30% cost reserves for Phases A–D (§ 6.5).

During mission development the Project Systems Engineer continually assesses risks, tracks progress toward retiring them, and updates mitigations. Mitigations for a few top risks identified during this study are described below.

Thermal risk can be mitigated through extensive thermal modeling and review in Phase A, and design for early test verification. Risks associated with the instrument spin architecture can be mitigated by engaging JPL engineers who were involved in the SMAP mission. Detector delivery schedule risk can be mitigated by beginning fabrication early in the project life cycle and fabricating a generous number of detector wafers to ensure adequate yield. Risks associated with the integration and test of a cryogenic instrument can be mitigated through advanced planning and allocation of appropriate schedule and schedule margin.

### 6.4.3 Operations risks

The PICO design meets the requirements associated with the NASA Class B risk classification. For Class B missions, essential spacecraft and instrument functions are typically fully redundant. This increases mission cost, but significantly reduces the risk of mission failure during operations.

The PICO mission utilizes a single instrument with a single observing mode mapping the sky using a repetitive survey pattern. The mission does not require any time-critical activities. The observatory fits in to the launch vehicle fairing in its operational configuration, so no hardware deployments are required. The telescope does not require a cover (nor the associated mission-critical cover release).

The spacecraft incorporates a fault protection system for anomaly detection and resolution. The Sun-pointed, command receptive, thermally stable safe-mode attitude allows ground intervention for fault resolution without time constraints. PICO's high degree of hardware redundancy and onboard fault protection ensure spacecraft safety in the event of unforeseen failures and faults.

Science data analysis, including foreground separation (§ ??) and systematics control (§ ??) are discussed in the science section (§ ??).

## 6.5 Mission cost

*The cost section is excluded from this draft release.*

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