

2019

SEMESTER 1

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## ENGSCI 760 Assignment 2

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# 1 Sworn Statement

I swear on the almighty Thanos that I guarantee this assignment is my own work and in particular that all the code I handed in was written and keyed in by myself without undue assistance by others.

## 2 Question One

### 2.1 The Neighbourhood Rule

You swap any two pots that aren't in the same crucible.

### 2.2 Formal Definition

$N(x) = \{y(\mathbf{x}, p_1, p_2, c_1, c_2, p_1 = 1..3, p_2 = 1..3, c_1 = 1..16, c_2 = 2..17, c_1 < c_2)\}$  where

$$y(\mathbf{x}, p_1, p_2, c_1, c_2) = \begin{bmatrix} y_{1,1} & , \dots , & y_{1,3} \\ \dots & , \dots , & \dots \\ y_{17,1} & , \dots , & y_{17,3} \end{bmatrix}, y_{i,j} = \begin{cases} x_{c_1, p_1} & \text{if } (i, j) = (c_2, p_2) \\ x_{c_2, p_2} & \text{if } (i, j) = (c_1, p_1), \forall i \in \{1..17\}, j \in \{1..3\} \\ x_{i,j} & \text{otherwise} \end{cases}$$

$p_1$  = Pot 1

$p_2$  = Pot 2

$c_1$  = Crucible 1

$c_2$  = Crucible 2

$x$  = Current solution (Pots in crucibles)

$y$  = Potential solution in the neighbourhood after swapping (Pots in crucibles)

$N(x)$  = The neighbourhood of solutions

## 3 Question Two

### 3.1 Definition of Intermediate Values

The intermediate values are the hypothetical profits of the crucible after swapping out one pot and replacing it with another from another crucible. These values are only for the two crucibles partaking in the swap.

## 3.2 Sweep Algorithm

Pseudocode

initialization;

Let  $\mathbf{x}$  be a random starting solution.

Calculate the starting value of each crucible

**foreach**  $i \in \{1, \dots, 17\}$  **do**

$v_i = g(\text{Al}[\text{Crucible } i], \text{Fe}[\text{Crucible } i])$

**end**

**foreach**  $y \in N(x)$  **do**

  Calculate the values of the swapped crucibles

$\delta_1 = g(\text{Al}[\text{Crucible } c_1], \text{Fe}[\text{Crucible } c_1])$

$\delta_2 = g(\text{Al}[\text{Crucible } c_2], \text{Fe}[\text{Crucible } c_2])$

  Calculate the change in objective function

$\Delta = \delta_1 + \delta_2 - v_{c_1} - v_{c_2}$

**if**  $\Delta > 0$  **then**

    Make changes if the change in objective function is above 0

$\mathbf{x} = y$

$v_{c_1} = \delta_1$

$v_{c_2} = \delta_2$

**end**

**end**

$$\begin{aligned} \text{Note: } g(\text{Al}[\text{Crucible } i], \text{Fe}[\text{Crucible } i]) &= g\left(\frac{\text{Al}_{i,1} + \text{Al}_{i,2} + \text{Al}_{i,3}}{3}, \frac{\text{Fe}_{i,1} + \text{Fe}_{i,2} + \text{Fe}_{i,3}}{3}\right) \\ g(\text{Al}[\text{Crucible } c_1], \text{Fe}[\text{Crucible } c_1]) &= g\left(\frac{\text{Al}_{c1,1} + \text{Al}_{c1,2} + \text{Al}_{c1,3}}{3}, \frac{\text{Fe}_{c1,1} + \text{Fe}_{c1,2} + \text{Fe}_{c1,3}}{3}\right) \\ g(\text{Al}[\text{Crucible } c_2], \text{Fe}[\text{Crucible } c_2]) &= g\left(\frac{\text{Al}_{c2,1} + \text{Al}_{c2,2} + \text{Al}_{c2,3}}{3}, \frac{\text{Fe}_{c2,1} + \text{Fe}_{c2,2} + \text{Fe}_{c2,3}}{3}\right) \end{aligned}$$

## 4 Question Three

### 4.1 Simple Function and Task 3A

Both code listings are in 7

### 4.2 Task 3B

The code listing is in 7

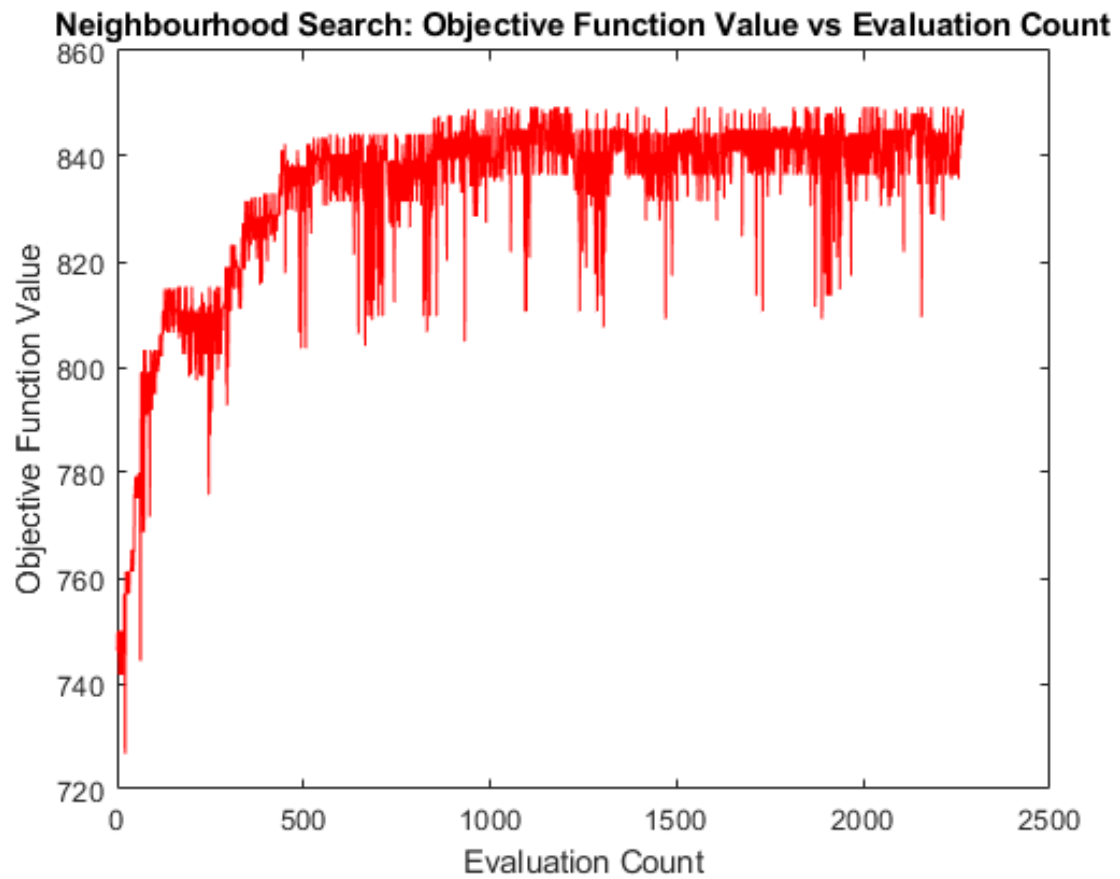


Figure 1: Task 3B plot with 2266 iterations, the sum of the crucible values at \$849.28, and a max spread of 36.

```
>> TestAscendToLocalMax(11,0)
1 [ 51  21  34] 99.51Al  0.50Fe  48.71 30
2 [ 24   5   6] 99.76Al  0.44Fe  57.35 19
3 [ 35  41  40] 99.77Al  0.43Fe  57.35  6
4 [ 47  17  12] 99.50Al  0.49Fe  48.71 35
5 [  7  27  15] 99.65Al  0.50Fe  52.44 20
6 [ 16  11  13] 99.26Al  0.61Fe  41.53  5
7 [ 18   1   3] 99.77Al  0.34Fe  57.35 17
8 [  8   9  22] 99.51Al  0.52Fe  48.71 14
9 [ 25  39  14] 99.25Al  0.66Fe  41.53 25
10 [ 28   4  30] 99.78Al  0.44Fe  57.35 26
11 [ 26  32  33] 99.51Al  0.52Fe  48.71  7
12 [ 29  20  36] 99.40Al  0.65Fe  44.53 16
13 [ 37  38   2] 99.54Al  0.48Fe  48.71 36
14 [ 19  10  42] 99.53Al  0.47Fe  48.71 32
15 [ 23  44  45] 99.53Al  0.51Fe  48.71 22
16 [ 46  43  48] 99.75Al  0.41Fe  57.35  5
17 [ 31  50  49] 99.25Al  0.50Fe  41.53 19
      Sum,Max= 849.28,36|
```

Figure 2: Task 3B solutions with 2266 iterations, the sum of the crucible values at \$849.28, and a max spread of 36.

### 4.3 Task 3C

The code listing is in 7

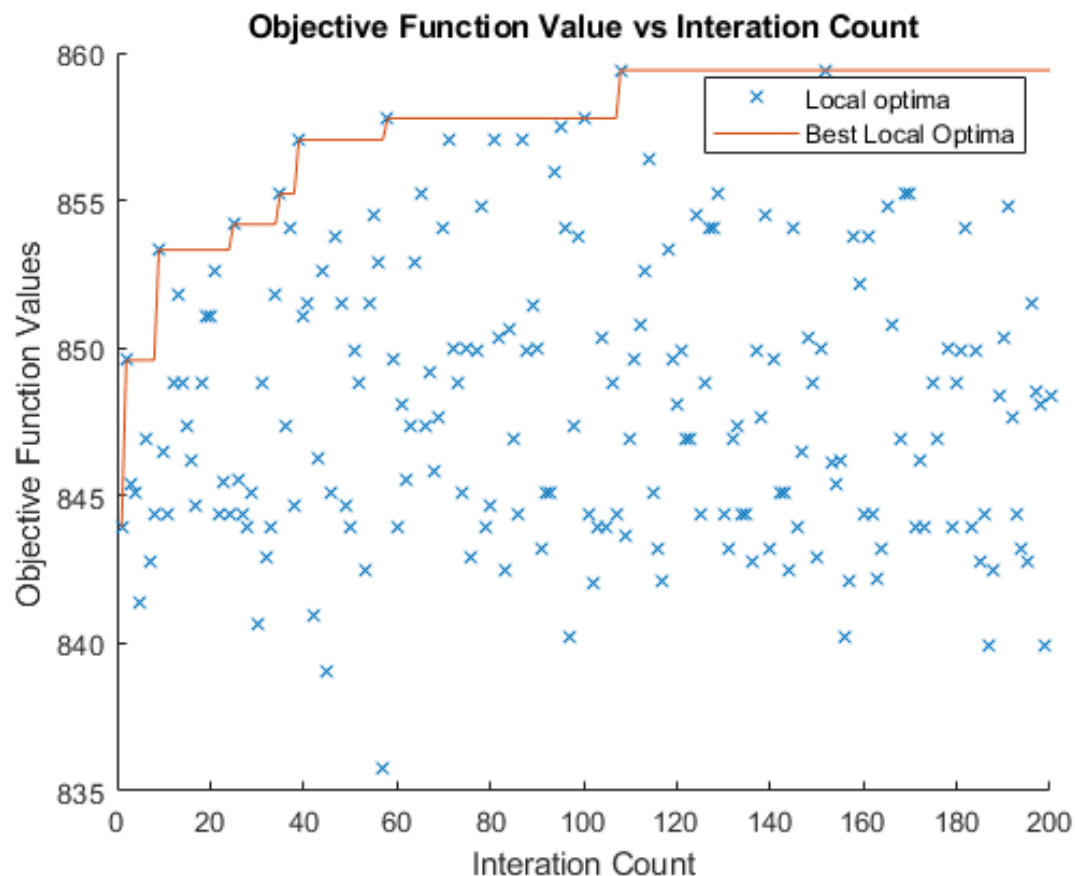


Figure 3: Task 3C plot investigating 200 local optima.

```
>> DoRepeatedAscents(200,11,0)
1 [ 6 43 3] 99.75Al 0.44Fe 57.35 40
2 [ 24 1 40] 99.87Al 0.32Fe 68.21 39
3 [ 8 16 4] 99.52Al 0.51Fe 48.71 12
4 [ 2 47 35] 99.38Al 0.62Fe 44.53 45
5 [ 18 30 42] 99.75Al 0.44Fe 57.35 24
6 [ 21 37 41] 99.75Al 0.37Fe 57.35 20
7 [ 7 34 14] 99.53Al 0.49Fe 48.71 27
8 [ 5 12 31] 99.51Al 0.40Fe 48.71 26
9 [ 27 45 25] 99.51Al 0.52Fe 48.71 20
10 [ 38 13 50] 99.26Al 0.76Fe 41.53 37
11 [ 46 17 10] 99.75Al 0.38Fe 57.35 36
12 [ 48 26 19] 99.53Al 0.50Fe 48.71 29
13 [ 49 39 33] 99.26Al 0.68Fe 41.53 16
14 [ 9 32 51] 99.51Al 0.48Fe 48.71 42
15 [ 20 29 23] 99.37Al 0.69Fe 44.53 9
16 [ 15 11 36] 99.51Al 0.47Fe 48.71 25
17 [ 44 28 22] 99.52Al 0.40Fe 48.71 22
Sum,Max= 859.41,45
|
```

Figure 4: Task 3C showing the best local optima with a total crucible value of \$859.41 and a max spread of 45.

## 5 Question Four

### 5.1 Plateaus

We expect the objective function to have lots of plateaus. The objective function is driven by the sum of all value functions which are driven by the average purity of aluminium and impurity of iron in the crucible. The composition of one element can cap the value where there can be a range of solutions with the same value. This is due to the discrete nature of the value thresholds. For example, if the aluminium purity is 99.1% in a crucible, the iron impurity in the same crucible may range between 0.08% and 0.089% even though a crucible with the same aluminium purity but a lower iron impurity should be worth more. All these combinations have a value of \$21, therefore forming a plateau.

### 5.2 New Crucible Value Function

#### 5.2.1 Mathematical Definition

$$g(\bar{Al}, \bar{Fe}) = g(\bar{Al}, \bar{Fe}) + \epsilon((\bar{Al} - Al_{min}^-) - (Fe_{max}^- - \bar{Fe}))$$

$\epsilon$  = Small gradient.

$Al_{min}^-$  = Minimum aluminium quality for the value threshold.

$\bar{Al}$  = Aluminium quality.

$Fe_{max}^-$  = Maximum iron quality for the value threshold

$\bar{Al}$  = Iron quality.

#### 5.2.2 Explanation

The new crucible value function adds gradients between the discrete value thresholds by considering both the Aluminium and Iron content of the crucible. Both Aluminium and Iron drive the value of the crucible. A maximum amount of iron decreases the value to a threshold while the minimum amount of aluminium increases the value to a threshold. By having the term  $(\bar{Al} - Al_{min}^-) - (Fe_{max}^- - \bar{Fe})$ , more value is given to the crucible if there is more aluminium than the minimum required for the threshold or there is less iron than the maximum allowed for the threshold. The  $\epsilon$  is the step size to drive additional value. In this formulation, it must be small and positive. This will improve the search as will push solutions towards ones with better aluminium and iron composition as slopes the plateaus.

#### 5.2.3 Example

With the original function  $g()$ ,  $g(\bar{Al} = 99.23, \bar{Fe} = 0.77)$  and  $g(\bar{Al} = 99.20, \bar{Fe} = 0.79)$  give the same value of 36.25. However,  $g(\bar{Al} = 99.23, \bar{Fe} = 0.77)$  is better as has more aluminium and less iron. Using an  $\epsilon$  value of 0.5,  $g'(\bar{Al} = 99.23, \bar{Fe} = 0.77) = 36.25 + 0.5((99.23 - 99.20) - (0.79 - 0.77)) = 36.255$  when  $g'(\bar{Al} = 99.20, \bar{Fe} = 0.79) = 36.25$ . Since 36.255 is slightly greater than 36.25, the new crucible value function will help drive the objective function towards better solutions as will add slopes to the plateaus.

### 5.3 The Additive Problem

A negative or net zero contribution to the objective function from the two affected crucible values would reject a swap in a previous iteration. This means the swap did not improve the objective function.

To improve the speed of the algorithm, keep track of all the rejected swaps in the sweep and do not compute them in the current sweep of the neighbourhood. If a swap did not improve the objective value in the last run, it won't in the current run, leading to another rejection. Avoiding repeat computations will improve run time.



## 6 Question Five

### 6.1 Mathematical Function

$$g''(\bar{Al}, \bar{Fe}, x_{c1}, x_{c2}, x_{c3}, s) = g(\bar{Al}, \bar{Fe}) - \lambda \times \max(0, \max(x_{c1}, x_{c2}, x_{c3}) - \min(x_{c1}, x_{c2}, x_{c3}) - s)$$

$\lambda$  = The magnitude of the penalty, an arbitrary value set based on the users need. This is a cost per excess spread when  
 $\max(x_{c1}, x_{c2}, x_{c3}) - \min(x_{c1}, x_{c2}, x_{c3})$  = The spread of pots in the crucibles

$s$  = The max spread allowed in the crucible

$\bar{Al}$  = The aluminium content in the crucible

$\bar{Fe}$  = The iron content in the crucible

The revised value function reduces the value of the crucible if the spread exceeds the max spread. If the spread exceeds the max spread, a cost of  $\lambda$  per unit spread over the max spread is applied to that crucible. For example, if the spread is 11 and the max spread is 8, a penalty of  $\lambda \times (11 - 8)$  is applied as there is 3 units of excess spread above the max spread. If the spread does not exceed the max spread, no penalty is applied through use of the max function. This penalty is subtracted from the original value function and  $\lambda$  can be set to any positive value to penalise excess spread.

### 6.2 Modified Code

The modified code can be found in 7.

### 6.3 Plots and Solutions for spreads 6, 8 and 11.

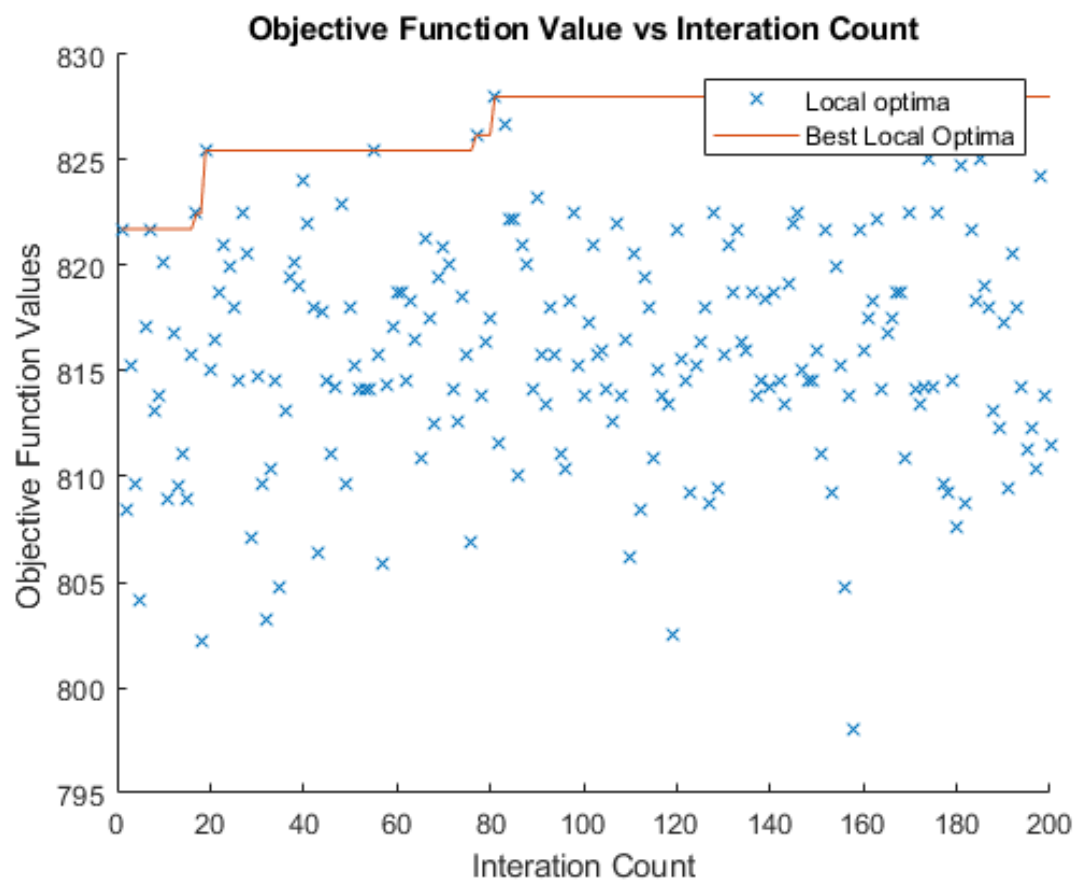


Figure 5: Task 5C plot investigating 200 local optima with a max spread of 6.

```
>> DoRepeatedAscents(200,6,1)
1 [ 9  8  7] 99.50Al 0.44Fe 48.71 2
2 [48 47 51] 99.35Al 0.27Fe 44.53 4
3 [40 37 38] 99.78Al 0.43Fe 57.35 3
4 [27 25 21] 99.52Al 0.69Fe 44.53 6
5 [36 31 34] 99.50Al 0.50Fe 48.71 5
6 [49 50 45] 99.37Al 0.58Fe 44.53 5
7 [15 12 11] 99.52Al 0.50Fe 48.71 4
8 [20 23 26] 99.53Al 0.72Fe 44.53 6
9 [ 6  5  2] 99.55Al 0.53Fe 48.71 4
10 [30 28 32] 99.67Al 0.50Fe 52.44 4
11 [44 39 42] 99.35Al 0.40Fe 44.53 5
12 [ 4  3  1] 99.77Al 0.29Fe 57.35 3
13 [17 14 18] 99.66Al 0.42Fe 52.44 4
14 [13 10 16] 99.41Al 0.71Fe 44.53 6
15 [46 43 41] 99.81Al 0.37Fe 57.35 5
16 [33 35 29] 99.36Al 0.45Fe 44.53 6
17 [24 22 19] 99.60Al 0.68Fe 44.53 5
      Sum,Max= 828.01, 6
```

Figure 6: Task 5C showing the best local optima found with a max spread of 6.

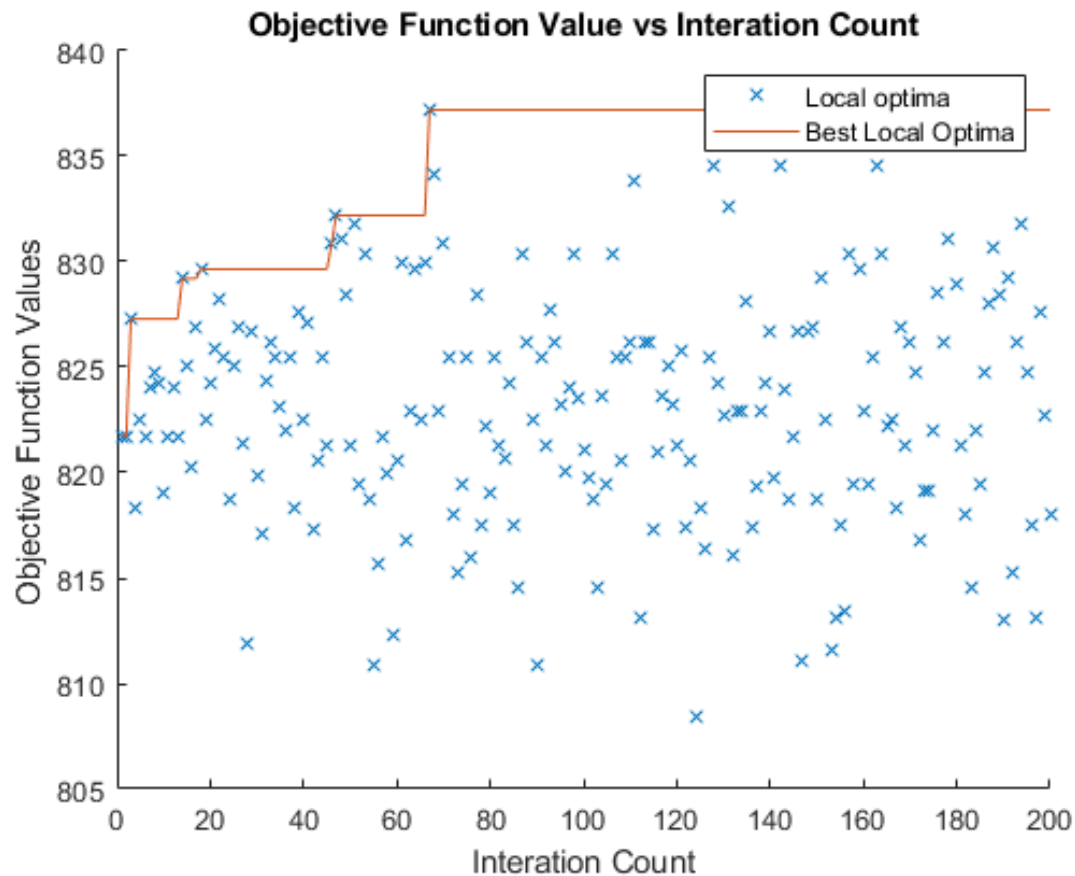


Figure 7: Task 5C plot investigating 200 local optima with a max spread of 8.

```
>> DoRepeatedAscents(200,8,1)
1 [ 50 49 42] 99.29Al 0.46Fe 41.53 8
2 [ 12 11 15] 99.52Al 0.50Fe 48.71 4
3 [ 38 30 37] 99.75Al 0.41Fe 57.35 8
4 [ 33 27 35] 99.55Al 0.52Fe 48.71 8
5 [  4  2  5] 99.55Al 0.47Fe 48.71 3
6 [ 45 51 47] 99.41Al 0.34Fe 44.53 6
7 [  6  1  3] 99.77Al 0.34Fe 57.35 5
8 [ 40 34 41] 99.75Al 0.40Fe 57.35 7
9 [ 32 31 26] 99.53Al 0.45Fe 48.71 6
10 [ 20 14 19] 99.45Al 0.75Fe 41.53 6
11 [ 28 21 29] 99.50Al 0.51Fe 48.71 8
12 [ 36 39 44] 99.38Al 0.62Fe 44.53 8
13 [  8  9  7] 99.50Al 0.44Fe 48.71 2
14 [ 46 43 48] 99.75Al 0.41Fe 57.35 5
15 [ 18 24 17] 99.78Al 0.41Fe 57.35 7
16 [ 25 22 23] 99.38Al 0.74Fe 41.53 3
17 [ 10 13 16] 99.41Al 0.71Fe 44.53 6
      Sum,Max= 837.19, 8
```

Figure 8: Task 5C showing the best local optima found with a max spread of 8.

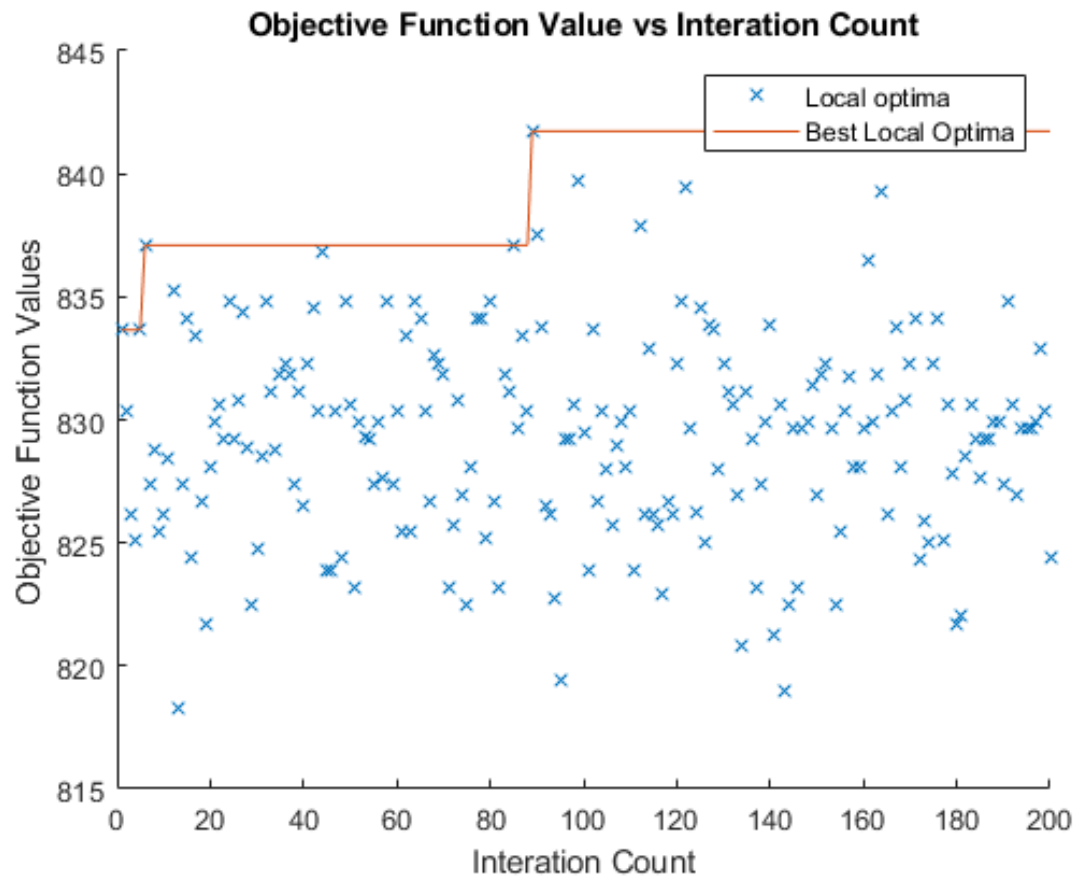


Figure 9: Task 5C plot investigating 200 local optima with a max spread of 11.

```
>> DoRepeatedAscents(200,11,1)
1 [ 43  48  51] 99.50Al  0.19Fe  48.71  8
2 [ 31  22  27] 99.55Al  0.48Fe  48.71  9
3 [ 49  50  45] 99.37Al  0.58Fe  44.53  5
4 [ 10  16  13] 99.41Al  0.71Fe  44.53  6
5 [ 14  25  20] 99.35Al  0.66Fe  44.53 11
6 [ 15  12  11] 99.52Al  0.50Fe  48.71  4
7 [ 46  40  42] 99.80Al  0.43Fe  57.35  6
8 [  8   7   9] 99.50Al  0.44Fe  48.71  2
9 [ 39  33  32] 99.35Al  0.70Fe  44.53  7
10 [ 24  18  17] 99.78Al  0.41Fe  57.35  7
11 [ 41  47  36] 99.54Al  0.49Fe  48.71 11
12 [ 26  23  28] 99.57Al  0.50Fe  48.71  5
13 [  5   4   2] 99.55Al  0.47Fe  48.71  3
14 [ 34  35  44] 99.52Al  0.45Fe  48.71 10
15 [ 38  30  37] 99.75Al  0.41Fe  57.35  8
16 [ 21  19  29] 99.43Al  0.72Fe  44.53 10
17 [  1   6   3] 99.77Al  0.34Fe  57.35  5
      Sum,Max= 841.73,11
```

Figure 10: Task 5C showing the best local optima found with a max spread of 11.

## 7 Code Listings

Listing 1: CalcSolutionValue

```

1 function [SolutionValue] = CalcSolutionValue(x,MaxSpread,penalty, PotAl
    , PotFe, PotsPerCrucible, NoCrucibles, NoQualities, QualityMinAl,
    QualityMaxFe, QualityValue)
2 %Calculates the total value of the current solution x from scratch, and
    also calculates values for all
3 % intermediate data; this function uses
4
5 % Initialise solution value
6 SolutionValue = 0;
7
8 % Use a for loop to find add all the solution values together
9 for i = 1:NoCrucibles
10     % Find the Aluminium and Iron averages for the crucible in question
11     CrucibleAl = (PotAl(x(i,1))+ PotAl(x(i,2)) + PotAl(x(i,3)))/
        PotsPerCrucible;
12     CrucibleFe = (PotFe(x(i,1))+ PotFe(x(i,2)) + PotFe(x(i,3)))/
        PotsPerCrucible;
13
14     % Put in a conditional statement to calculate the value if the
        spread
15     % penalty of no spread penalty
16     if penalty == 0
17         SolutionValue = SolutionValue + CalcCrucibleValue(CrucibleAl,
            CrucibleFe, NoQualities, QualityMinAl, QualityMaxFe,
            QualityValue);
18     else
19         % This is the crucible value if the penalty applies for task 5b
            .
20         SolutionValue = SolutionValue +
            CalcCrucibleValueWithSpreadPenalty(MaxSpread,x(i,:),
            CrucibleAl, CrucibleFe, NoQualities, QualityMinAl,
            QualityMaxFe, QualityValue);
21     end
22 end

```

Listing 2: AscendToLocalMax

```

1 function [SolutionValue, x, CrucibleValues] = AscendToLocalMax(x,
    MaxSpread,penalty, PotAl , PotFe, PotsPerCrucible, NoCrucibles,
    NoQualities, QualityMinAl, QualityMaxFe, QualityValue)
2 % Given a starting solution x, test (and accept where better) all
    neighbouring solutions in a next ascent
3 % approach, ie repeatedly sweep the complete neighbourhood, accepting
    all improvements that are
4 % found.
5
6 % Calculate the starting values for all our crucibles
7 for i = 1:NoCrucibles
8     % Calculates the intial starting values of the crucibles if the
        spread
9     % penalty applies (For task 5B).
10     if penalty ==1
11         CrucibleValues(i) = CalcCrucibleValueWithSpreadPenalty(
            MaxSpread,x(i,:),sum(PotAl(x(i,:)))/PotsPerCrucible, sum(

```

```

        PotFe(x(i,:)))/PotsPerCrucible, NoQualities, QualityMinAl,
        QualityMaxFe, QualityValue);
12 % Calculates the intial starting values of the crucibles if the
    spread
13 % penalty does not apply.
14 else
15     CrucibleValues(i) = CalcCrucibleValue(sum(PotAl(x(i,:)))/
        PotsPerCrucible, sum(PotFe(x(i,:)))/PotsPerCrucible,
        NoQualities, QualityMinAl, QualityMaxFe, QualityValue);
16 end
17 end
18
19 % Calculates the solution values with the spread penalty adjusted (Task
    5b)
20 SolutionValue = CalcSolutionValue(x,MaxSpread,penalty, PotAl , PotFe,
    PotsPerCrucible, NoCrucibles, NoQualities, QualityMinAl,
    QualityMaxFe, QualityValue);
21
22 % Initialise solution value array
23 PlotObjValues = SolutionValue;
24 count = 1;
25 evaluationCount = 1;
26
27 % Initialise the last optimal solution and looping condition
28 KeepLooping = 1;
29 changes = 0;
30 last= [inf,inf,inf,inf];
31 % Use a while loop to control the looping criterion
32 while KeepLooping
33     KeepLooping = 0;
34     % Use a quadratic for loop to search the neighbourhood for a better
35     % solution
36     for c1 = 1:NoCrucibles-1
37         for p1 = 1:PotsPerCrucible
38             for c2 = c1+1:NoCrucibles
39                 for p2 = 1:PotsPerCrucible
40
41                     % Swap the crucibles pots
42                     y = x;
43                     y(c1,p1) = x(c2,p2);
44                     y(c2,p2) = x(c1,p1);
45
46                     if penalty == 0
47                         % Calculate the change in objective function
                            with
48                         % no spread penalty to be applied
49                         New1 = CalcCrucibleValue(sum(PotAl(y(c1,:)))/
                            PotsPerCrucible, sum(PotFe(y(c1,:)))/
                            PotsPerCrucible, NoQualities, QualityMinAl,
                            QualityMaxFe, QualityValue);
50                         New2 = CalcCrucibleValue(sum(PotAl(y(c2,:)))/
                            PotsPerCrucible, sum(PotFe(y(c2,:)))/
                            PotsPerCrucible, NoQualities, QualityMinAl,
                            QualityMaxFe, QualityValue);
51                     else
52                         % Calculate the change in objective function if
                            spread
53                         % penalty to be applied (Task 5B).

```

```

54         New1 = CalcCrucibleValueWithSpreadPenalty(
            MaxSpread,y(c1,:),sum(PotAl(y(c1,:)))/
            PotsPerCrucible, sum(PotFe(y(c1,:)))/
            PotsPerCrucible, NoQualities, QualityMinAl,
55             QualityMaxFe, QualityValue);
            New2 = CalcCrucibleValueWithSpreadPenalty(
            MaxSpread,y(c2,:),sum(PotAl(y(c2,:)))/
            PotsPerCrucible, sum(PotFe(y(c2,:)))/
            PotsPerCrucible, NoQualities, QualityMinAl,
            QualityMaxFe, QualityValue);
56     end
57
58     %Find the change in objective function
59     change = New1 + New2 - CrucibleValues(c1) -
        CrucibleValues(c2);
60
61     % Record the solution value regardless of change
62     PlotObjValues = [PlotObjValues,SolutionValue +
        change];
63     count = count + 1;
64     evaluationCount = [evaluationCount,count];
65
66
67     % Make changes if a positive change
68     if change > 0.01
69         x=y;
70         CrucibleValues(c1) = New1;
71         CrucibleValues(c2) = New2;
72         SolutionValue = SolutionValue + change;
73         last = [c1,c2,p1,p2];
74         KeepLooping = 1;
75     end
76
77     % Check if the swap we are doing is the last swap
78     % we
79     % made
80     if (last(1) == c1 && last(2) == c2 && last(3) ==
81         p1 && last(4) == p2 && ~KeepLooping)
82         % Do the plotting in here
83         %figure;
84         %plot(evaluationCount,PlotObjValues,'r')
85         %ylabel('Objective Function Value')
86         %xlabel('Evaluation Count')
87         %title('Neighbourhood Search: Objective
            Function Value vs Evaluation Count')
88         return
89     end
90 end
91 end
92 end
93 end

```

Listing 3: TestAscendToLocalMax

```

1 function TestAscendToLocalMax(MaxSpread,penalty)
2 % Initialise the data
3 [NoCrucibles,NoPots,PotsPerCrucible,NoQualities, ...

```

```

4         QualityMinAl, QualityMaxFe, QualityValue] = InitQual;
5     [PotAl, PotFe] = InitProb;
6     cost = 6;
7
8     % Generate a boring starting solution
9     x = GenStart(NoPots, NoCrucibles, PotsPerCrucible);
10
11    % Do the local search here adjusting for task 5b if the penalty boolean
12    % is
13    % applied.
14    [~, x, ~] = AscendToLocalMax(x, MaxSpread, penalty, PotAl, PotFe,
15    PotsPerCrucible, NoCrucibles, NoQualities, QualityMinAl,
16    QualityMaxFe, QualityValue);
17
18    % View the solution (double checking its objective function)
19    ViewSoln(x, PotAl, PotFe, NoCrucibles, NoQualities, QualityMinAl,
20    QualityMaxFe, QualityValue);
21
22    end

```

Listing 4: DoRepeatedAscents

```

1 function DoRepeatedAscents(n, MaxSpread, penalty)
2 %DoRepeatedAscents() that uses AscendToLocalMax() to do n
3 % repeated ascents from random starting solutions.
4
5 % Initialise storage arrays and variables
6 objectiveValues = [];
7 iterationCount = [];
8 count = 0;
9 InitialSolutionFound = 0;
10 BestSolutionValue = 0;
11
12 % Initialise the data
13 [NoCrucibles, NoPots, PotsPerCrucible, NoQualities, ...
14     QualityMinAl, QualityMaxFe, QualityValue] = InitQual;
15 [PotAl, PotFe] = InitProb;
16
17 for i = 1:n
18     % Generates random starting solutions
19     x = randperm(51);
20     % Reshapes x
21     x = reshape(x, [17, 3]);
22
23     % Use AscendToLocalMax for the iterations. This has been adjusted
24     % for
25     % the penalty function for 5b
26     [SolutionValue, x, ~] = AscendToLocalMax(x, MaxSpread, penalty, PotAl,
27     PotFe, PotsPerCrucible, NoCrucibles, NoQualities,
28     QualityMinAl, QualityMaxFe, QualityValue);
29
30     % Conditional which sets the first best solution as the first.
31     if InitialSolutionFound == 0
32         InitialSolutionFound = 1;
33         BestSolutionValue = SolutionValue;
34     end
35
36     % Use a condition to save the best x solution
37     if SolutionValue >= max(objectiveValues)

```



```

35         BestSolutionValue = SolutionValue;
36         x_save = x;
37     end
38
39     % Add solution value to arrays for plotting
40     bestobjectValues(i) = max(BestSolutionValue,SolutionValue);
41     objectiveValues = [objectiveValues,SolutionValue];
42     count = count + 1;
43     iterationCount =[iterationCount,count];
44
45 end
46
47 % Plot all and the best objective values vs iteration count.
48 figure;
49 hold on
50 plot(objectiveValues,'x')
51 plot(bestobjectValues)
52 ylabel('Objective Function Values')
53 xlabel('Iteration Count')
54 title('Objective Function Value vs Iteration Count')
55 legend('Local optima','Best Local Optima')
56
57 % Show best solution found
58 ViewSoln(x_save, PotAl, PotFe, NoCrucibles, NoQualities, QualityMinAl,
        QualityMaxFe, QualityValue);
59 end

```

Listing 5: CalcCrucibleValueWithSpreadPenalty

```

1 function Value = CalcCrucibleValueWithSpreadPenalty(MaxSpread,
        CruciblePots , CrucibleAl, ...
2         CrucibleFe, NoQualities, QualityMinAl, QualityMaxFe, QualityValue)
3 % This functions calculates the value of the crucible including the
        spread
4 % penalty.
5
6 % Set an overshooting penalty when the spread exceeds the max spread
7 % The penalty is the per unit cost of the spread exceeding the max
        spread.
8 % This cost is arbitrary and can be set to any unit.
9 cost = 8;
10
11 % Set the penalty component of the function
12 penalty = cost*max(0,(max(CruciblePots)-min(CruciblePots)-MaxSpread));
13
14 % Find the value of the crucible given the pots aluminium and iron
        purities
15 % and subtracting the penalties
16 Value = CalcCrucibleValue(CrucibleAl, CrucibleFe, NoQualities, ...
17         QualityMinAl, QualityMaxFe, QualityValue) - penalty;
18
19 end

```