

Multi-Dimensional B-splines

Multi-dimensional B-splines are simply built through tensor products of one-dimensional B-splines. To build a d_s -dimensional B-spline, we need:

p_j = polynomial degree in direction j

n_j = no. of basis functions in direction j where: $j=1, \dots, d_s$

$\Sigma_j = \{ \xi_1^{(j)}, \xi_2^{(j)}, \dots, \xi_{n_j+p_j+1}^{(j)} \}$ = knot vector in direction j

Note that a subscript j or superscript (j) is used to denote direction j . From the above, we construct a set of one-dimensional B-spline basis functions for each $j=1, \dots, d_s$:

$$\left\{ N_{i_j, p_j}^{(j)} \right\}_{i_j=1}^{n_j} \quad \text{for } j=1, \dots, d_s$$

let us define the multi-indices:

$$i = (i_1, i_2, \dots, i_{d_s}) \quad \text{where } i_j = 1, \dots, n_j \text{ w/ } j=1, \dots, d_s$$

$$p = (p_1, p_2, \dots, p_{d_s})$$

and let us define I to be the set of all permissible multi-indices:

$$I = \{ i = (i_1, i_2, \dots, i_{d_s}) : i_j = 1, \dots, n_j \text{ for } j=1, \dots, d_s \}$$

Then, for each multi-index $i \in I$, we define a multi-dimensional B-spline basis function as:

$$\begin{aligned} N_{i,p}(\vec{\xi}) &= \prod_{j=1}^{d_s} N_{i_j, p_j}^{(j)}(\xi_j) \\ &= N_{i_1, p_1}^{(1)}(\xi_1) \cdots N_{i_{d_s}, p_{d_s}}^{(d_s)}(\xi_{d_s}) \end{aligned}$$

where $\vec{\xi} \in \mathbb{R}^{d_s}$ is the parametric coordinate $(\xi_1, \xi_2, \dots, \xi_{d_s})$. We immediately see that two- and three-dimensional B-spline basis functions are defined as follows:

$$\text{2-D Basis Functions: } N_{i,p}(\vec{\xi}) = N_{i_1, p_1}^{(1)}(\xi_1) N_{i_2, p_2}^{(2)}(\xi_2)$$

$$\text{3-D Basis Functions: } N_{i,p}(\vec{\xi}) = N_{i_1, p_1}^{(1)}(\xi_1) N_{i_2, p_2}^{(2)}(\xi_2) N_{i_3, p_3}^{(3)}(\xi_3)$$

In order to better understand the definition and structure of multi-dimensional B-splines, it helps to consider a two-dimensional example. Let:

$$p_1 = 2$$

$$n_1 = 7$$

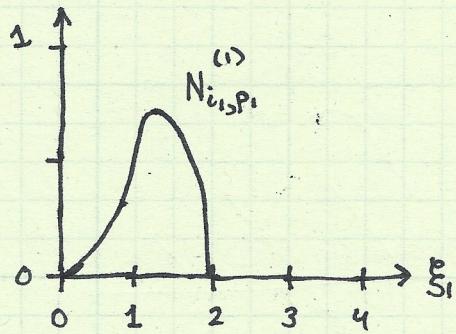
$$\Sigma_1 = \{ 0, 0, 0, 1, 2, 2, 3, 4, 4, 4 \}$$

$$p_2 = 2$$

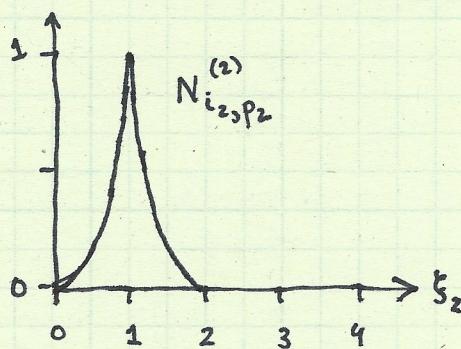
$$n_2 = 5$$

$$\Sigma_2 = \{ 0, 0, 0, 1, 1, 2, 3, 4 \}$$

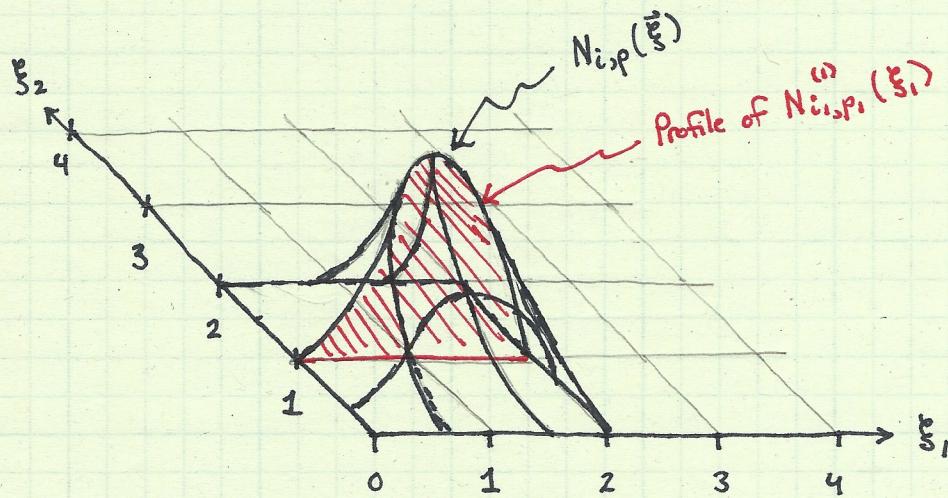
Choosing $i_1 = 3$, we see that $N_{i_1, p_1}^{(1)}(\xi_1)$ looks like:



Choosing $i_2 = 3$, we see that $N_{i_2, p_2}^{(2)}(\xi_2)$ looks like:



Thus, defining $i = (i_1, i_2) = (3, 3)$ and $p = (p_1, p_2)$, we see that two-dimensional B-spline basis function $N_{i, p}(\vec{\xi})$ is formed from a tensor product of the one-dimensional B-spline basis functions: $N_{i, p}(\vec{\xi}) = N_{i_1, p_1}^{(1)}(\xi_1) \otimes N_{i_2, p_2}^{(2)}(\xi_2)$



Make the following observations:

- For each slice $\xi_2 = c$, the basis function $N_{i, p}(\vec{\xi}) = N_{i, p}(c, \xi_2)$ looks like a scaled version of basis function $N_{i_1, p_1}^{(1)}(\xi_1)$.
- For each slice $\xi_1 = c$, the basis function $N_{i, p}(\vec{\xi}) = N_{i, p}(\xi_1, c)$ looks like a scaled version of basis function $N_{i_2, p_2}^{(2)}(\xi_2)$.

- The basis function $N_{i,p}(\vec{\xi})$ is pointwise nonnegative.
- The basis function $N_{i,p}(\vec{\xi})$ has support only over the subdomain $[0, 2]^2$.

Indeed, multi-dimensional B-Spline basis functions inherit most of the properties of one-dimensional B-splines, including:

- Positivity: $N_{i,p}(\vec{\xi}) \geq 0$ for $i \in I$
 - Local Support: $N_{i,p}(\vec{\xi})$ is nonzero only over the subdomain $\bigotimes_{j=1}^{d_s} [\xi_{ij}^{(j)}, \xi_{ij+p_j+1}^{(j)}]$.
 - At a knot of multiplicity k in direction l , basis function profiles along direction l are C^{k-1} -continuous.
- * To be more precise, suppose that $\vec{\xi}$ represents a knot of multiplicity k in direction l . Then the one-dimensional function:

$$f(\xi_l) = N_{i,p}(\xi_1, \dots, \xi_l, \dots, \xi_{d_s}),$$

wherein ξ_j is fixed for $j \neq l$, is C^{k-1} -continuous at $\xi_l = \vec{\xi}$.

- Partition of Unity:

$$\begin{aligned} \sum_{i \in I} N_{i,p}(\vec{\xi}) &= \sum_{i \in I} \prod_{j=1}^{d_s} N_{i,j,d_j}^{(j)}(\xi_j) \\ &= \prod_{j=1}^{d_s} \sum_{i,j=1}^{n_j} N_{i,j,d_j}^{(j)}(\xi_j) \\ &= 1 \quad \text{for } \vec{\xi} \in \bigotimes_{j=1}^{d_s} [\xi_1^{(j)}, \xi_{n_j+p_j+1}^{(j)}] \end{aligned}$$

Above, \bigotimes indicates the tensor product operator as applied to domains. For example, $\mathbb{R} \otimes \mathbb{R} = \mathbb{R}^2$.

B-Spline surfaces are built through linear combinations of two-dimensional B-spline basis functions. Namely, given a control net $\{\vec{P}_i\}$ of control points:

$$\vec{P}_i \in \mathbb{R}^d \quad \text{for } i \in I, \quad d \geq 2, \quad d_s = 2$$

a tensor product B-spline surface is defined as:

$$\vec{S}(\vec{\xi}) = \sum_{i \in I} \vec{P}_i N_{i,p}(\vec{\xi})$$

or, more explicitly as:

$$\vec{S}(\xi_1, \xi_2) = \sum_{i_1=1}^{n_1} \sum_{i_2=1}^{n_2} \vec{P}_{(i_1, i_2)} N_{i_1, p_1}^{(1)}(\xi_1) N_{i_2, p_2}^{(2)}(\xi_2)$$

The above definition makes the tensor-product nature of B-spline surfaces clear. Note that:

$$\vec{S}: \mathbb{R}^{d_1} \rightarrow \mathbb{R}^{d_2}$$

where $d_1 = 2$. Many of the properties of a B-spline surface directly emanate from the properties of the underlying B-spline basis. For instance, a B-spline surface satisfies:

- Affine Covariance
 - The Strong Convex Hull Property
- Properties of a B-spline Surface

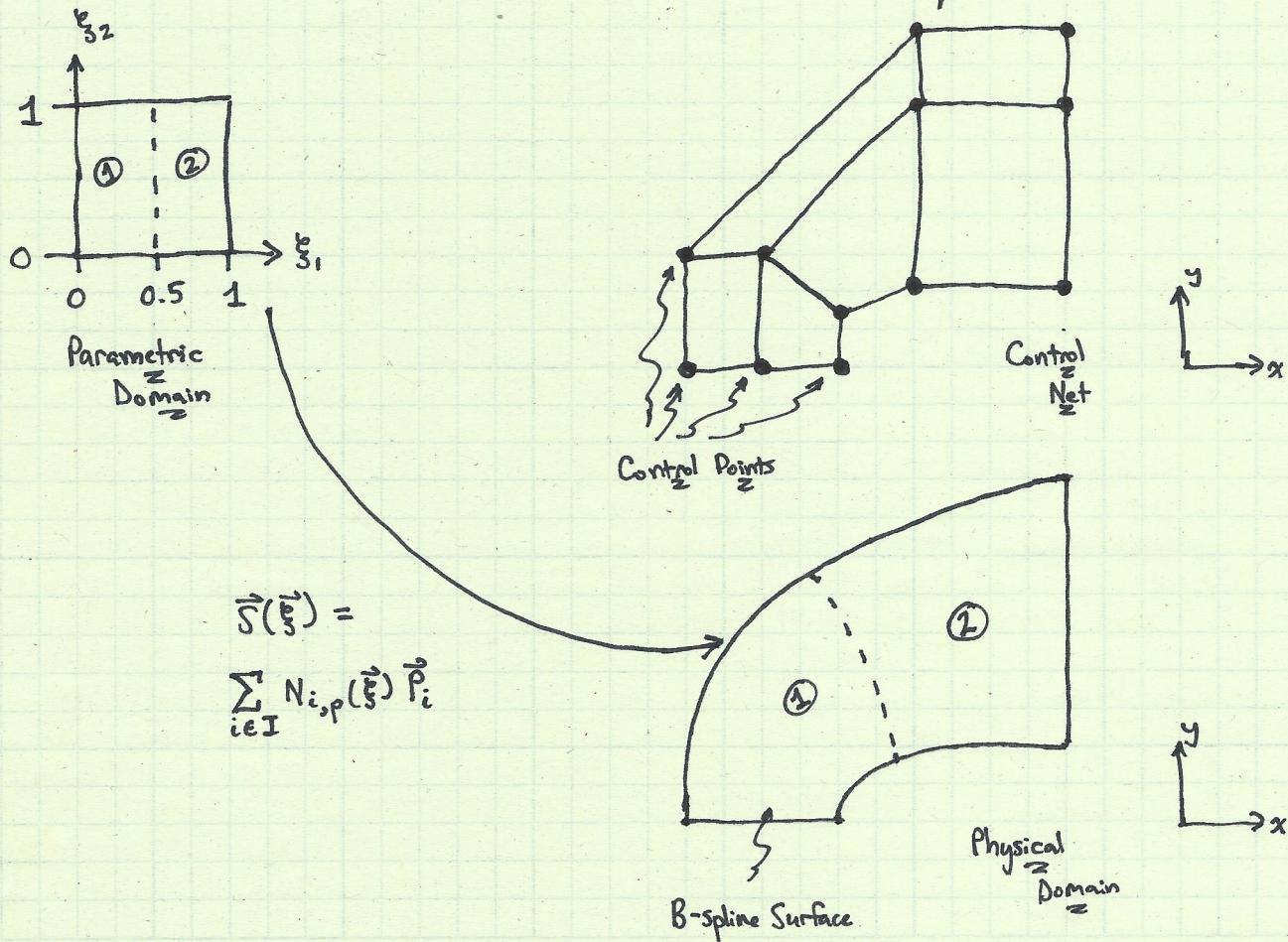
However, there is no multi-dimensional analogue of the variation diminishing property.

It again helps to consider an example. Let:

$$\begin{aligned} d &= 2 \\ p_1 &= p_2 = 2 \\ n_1 &= 4, n_2 = 3 \end{aligned}$$

$$\begin{aligned} \Xi_1 &= \{0, 0, 0, 0.5, 1, 1, 1\} \\ \Xi_2 &= \{0, 0, 0, 1, 1, 1\} \end{aligned}$$

Then an example of a B-spline surface associated with these quantities is plotted below:



Note that \vec{S} maps ① in the parametric domain to ① in the physical domain and similarly for ②. In the context of finite element analysis, ① and ② will play the role of elements.

B-Spline solids are built in analogous fashion to B-spline surfaces. Given a control lattice $\{\vec{P}_i\}$ of control points:

$$\vec{P}_i \in \mathbb{R}^d \quad \text{for } i \in I, \quad d \geq 3, \quad ds = 3$$

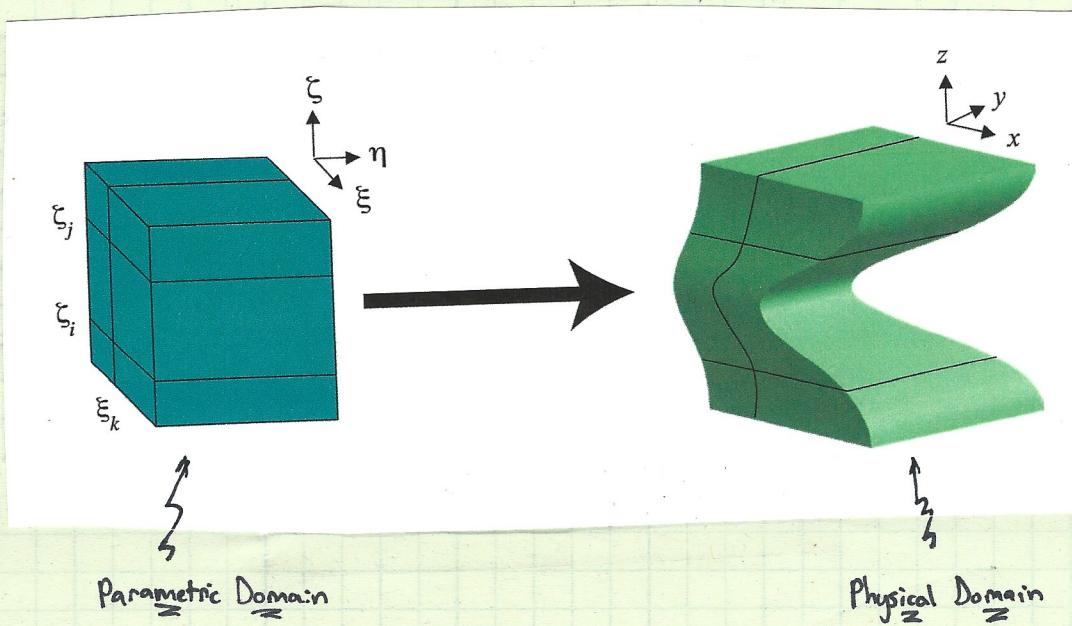
a tensor-product B-spline solid is defined as:

$$\vec{V}(\vec{\xi}) = \sum_{i \in I} \vec{P}_i N_{i,p}(\vec{\xi})$$

or, more explicitly as:

$$\vec{V}(\xi_1, \xi_2, \xi_3) = \sum_{i_1=1}^{n_1} \sum_{i_2=1}^{n_2} \sum_{i_3=1}^{n_3} \vec{P}_{(i_1, i_2, i_3)} N_{i_1, p_1}^{(1)}(\xi_1) N_{i_2, p_2}^{(2)}(\xi_2) N_{i_3, p_3}^{(3)}(\xi_3)$$

Note that $\vec{V}: \mathbb{R}^{ds} \rightarrow \mathbb{R}^d$ where $ds = 3$. Like a B-spline surface, the B-spline solid satisfies affine covariance and the convex hull property. A simple example of a B-spline solid is illustrated below:



It should now be clear the advantage of using multi-index notation. Notably, we may represent curves, surfaces, and solids using the same notation:

$$\begin{aligned} \vec{C}(\vec{\xi}) &= \sum_{i \in I} \vec{P}_i N_{i,p}(\vec{\xi}), \quad \vec{S}(\vec{\xi}) = \sum_{i \in I} \vec{P}_i N_{i,p}(\vec{\xi}), \\ \vec{V}(\vec{\xi}) &= \sum_{i \in I} \vec{P}_i N_{i,p}(\vec{\xi}) \end{aligned}$$

The only difference between these three objects is the specification of $ds = 1, 2, 3$.