

# Pontryagin Duality

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If  $G$  is a locally compact abelian group, then its character group  $\Gamma(G)$  is also a locally compact abelian group. The classical examples are

$$\Gamma(\mathbf{Z}_n) \cong \mathbf{Z}_n \quad \Gamma(\mathbf{T}) \cong \mathbf{Z} \quad \Gamma(\mathbf{Z}) \cong \mathbf{T} \quad \Gamma(\mathbf{R}) \cong \mathbf{R}$$

In each of these examples, we have some sort of duality transform between the structure of  $G$ , and the structure of  $\Gamma(G)$ . Information about  $G$  gives us information about  $\Gamma(G)$ , and vice versa. That is, we have the classical Fourier inversion formulae

$$f(m) = \sum_{k \in \mathbf{Z}_m} \hat{f}(k) e^{2\pi i k m / n} \quad f(z) = \sum_{k \in \mathbf{Z}} \hat{f}(k) z^k \quad f(t) = \int_{x \in \mathbf{R}} \hat{f}(t) e^{2\pi i t x}$$

We would hope for an analogous inversion theorem for the Fourier transform on all locally compact groups. Unfortunately, things aren't so simple for nonabelian groups. The problem is that the characters of the group do not contain enough non-commutative information; any character  $\chi : G \rightarrow \mathbf{T}$  must factor through the commutator  $[G, G]$  of the group, and it is entirely possible for  $[G, G]$  to be equal to  $G$  when  $G$  is nonabelian. A family of examples are provided by the special linear groups  $SL_n(\mathbf{R})$ . In this case there are no non-trivial characters on the group, and the Fourier transform is trivial, giving us no information about the space.

So it comes to be that the characters on a group  $G$  can only give the *abelian* information about a group  $G$ . In this case, we might be optimistic and hope for an inversion formula for abelian locally compact groups, and, very happily, this turns out to be the case. The principles underlying this inversion are known as Pontryagin duality, which expresses itself in different ways, depending on how you look at the algebraic structure of the space.

- We can look at the results purely from the theory of LCA groups. Given  $x \in G$ , we can define the double dual  $\hat{x} \in \Gamma(\Gamma(G))$  by setting  $\hat{x}(\phi) = \phi(x)$  (a character on the character group!). This is entirely analogous to the double dual map from a vector space  $V$  to its double dual  $V^{**}$ . Pontryagin duality manifests in the fact that  $\Gamma(\Gamma(G)) = G$ , in the sense that  $x \mapsto \hat{x}$  is an isomorphism of groups.

- In terms of the Fourier transform, Pontryagin duality expresses itself in the fact that the Fourier transform (Both the Stieltjes and non-Stieltjes types) is injective.

We now argue why the second manifestation of duality follows from the first.

**Lemma 1.** *If  $\mu \in M(\Gamma(H))$  satisfies  $\int_{\Gamma(H)} \phi(x) d\mu(\phi) = 0$  for any  $x$ , then  $\mu = 0$ .*

*Proof.* If  $f \in L^1(H)$  is given, then

$$\int_{\Gamma(H)} \widehat{f}(\phi) d\mu(\phi) = \int_H f(x) \int_{\Gamma(H)} \frac{d\mu(\phi)}{\phi(x)} dx = \int 0 = 0$$

so  $\mu$  annihilates all of  $A(H)$ , which is dense in  $L^1(\Gamma(H))$ , so  $\mu$  must annihilate all functions.  $\square$

**Theorem 2.** *If  $\Gamma(\Gamma(G)) = G$ , then the Fourier transform is injective.*

*Proof.* If the map  $x \mapsto \widehat{x}$  is an isomorphism, then in the above theorem, we can let  $H = \Gamma(G)$ . Then since  $\Gamma(\Gamma(G)) = G$ , the lemma says that if  $\mu \in M(G)$  satisfies

$$\int_G \widehat{x}(\phi) d\mu(x) = \int_G \phi(x) d\mu(x) = 0$$

for any  $\phi$ , then  $\mu = 0$ . But the integral is just  $\widehat{\mu}(\phi)$ , so the lemma says precisely that if  $\widehat{\mu} = 0$ , then  $\mu = 0$ . Thus the Fourier-Stieltjes transform is injective.  $\square$

**Remark.** *An easy consequence of the theorem is that  $L^1(G)$  is a semisimple Banach algebra. One can show that  $L^1(G)$  is semisimple even if  $G$  is nonabelian, but the proof is considerably more difficult. The theorem also shows that  $M(G)$  is semisimple, since the Fourier-Stieltjes transform is essentially a simplification of the Gelfand transform, and we have verified the characters on  $G$  form a system of characters for  $M(G)$  that separate points.*

So now let's begin the dirty work of proving the Pontryagin theorem, which surprisingly isn't that difficult to reason about.

**Theorem 3.** *For any LCA group  $G$ ,  $\Gamma(\Gamma(G)) = G$ .*

*Proof.* Fix a compact  $C \subset \Gamma(G)$  and  $r > 0$ , and define

$$V = \{x \in G : |1 - \phi(x)| < r, \phi \in C\} \quad W = \{y \in \Gamma(\Gamma(G)) : |1 - y(\phi)| < r, \phi \in C\}$$

By the topological construction of the dual space  $\Gamma(\Gamma(G))$ , which is essentially characterized by uniform convergence on compact sets, sitting inside the weak \* compact unit sphere of  $L^1(G)^*$ , we obtain a neighbourhood basis of  $G$  and  $\Gamma(G)$  as  $C$  ranges over all compact sets and  $r$  over all positive real numbers. Then we see that  $V$  maps into  $W$ , and covers all points in  $W$  which are in the image of the dual map. This shows the dual map is open onto its range, and hence a homeomorphism onto its image.

Now we show that the image of  $G$  is closed in  $\Gamma(\Gamma(G))$ , which follows from the general theorem that a locally compact subgroup of a locally compact group is closed. This doesn't seem particularly intuitive, until we note that local compactness over groups is essentially a topological generalization of completeness of metric spaces. The principle that a complete subspace of a complete metric space must be closed is certainly more obvious to those with experience in metric space theory (This also explains somewhat why locally compact groups are tractable, for they behave analogously to complete metric spaces). Indeed, if two metric spaces are locally compact, they are certainly complete, for the Bolzano Weirstrass theorem holds. The problem with generalizing the closure condition for local compactness is that topologically, we cannot infer the topology of the extension space in terms of the base space (unlike in metric spaces, where we can identify points that once existed by Cauchy sequences in the extracted space). However, for subgroups of a locally compact space, we can identify such boundary values, since we can identify points which were never in the group. Unfortunately the actual proof we give is more austere than this intuition.

Certainly proving that closed subgroups are locally compact is easy, but the other direction is not so obvious to prove formally. If  $H \subset G$  are two locally compact groups, fix  $x \in \bar{H}$ , and let  $x = \lim x_\alpha$ . Fix a neighborhood  $U$  of the origin in  $G$  such that  $U \cap H$  is precompact in  $H$ , whose closure is also therefore closed in  $G$ . Since  $x^{-1} \in \bar{H}$ ,  $Vx^{-1}$  contains some  $y \in H$ . Since  $x_\alpha$  is eventually in  $xV$ ,  $yx_\alpha$  is eventually in  $Vx^{-1}xV = V^2 \subset U$ . Also  $yx_\alpha \in H$  and  $yx_\alpha \rightarrow yx$  and so  $yx \in H$ . But then  $x$  is also in  $H$ .

Our previous points imply that if the image of  $G$  was dense in  $\Gamma(\Gamma(G))$ , then the proof of Pontryagin duality would be proved in full. We prove this by contradiction. If the image of  $G$  was *not* dense in  $\Gamma(\Gamma(G))$ , there would be a nonzero function  $F \in A(\Gamma^2(G))$  which is zero on the image of  $G$ . For some  $f \in L^1(\Gamma(G))$ ,

$$F(y) = \int \frac{f(\phi)}{\phi(x)}$$

for  $y \in \Gamma(\Gamma(G))$ . Since  $F(\hat{x}) = 0$ , we find that the Fourier coefficients of  $f$  vanish, so by the uniqueness theorem we find  $f = 0$ , a contradiction, so that  $G$  is dense in  $\Gamma^2(G)$ , and since  $G$  is closed we actually have equality here.  $\square$

The Pontryagin duality gives us an easy generalization of the inversion theorem to  $M(G)$ . Attempting to imitate the inversion theorem for functions, if  $\hat{\mu} \in L^1(\Gamma(G))$ , we consider the function

$$g : x \mapsto \int \hat{\mu}(\phi)\phi(x)d\phi = \int \int \frac{d\mu(y)}{\phi(x^{-1}y)} dy d\phi$$

where  $\phi$  is particularly chosen so that the inversion theorem holds. We assume the function obtained is in  $L^1(G)$  (If there's any justice in the world, this should be true, and you can find the proof in [2]), and then  $\hat{g} = \hat{\mu}$ . Applying what we know, we conclude that  $g = \mu$  in  $L^1(G)$ . This is really not really much of a 'generalization' of the inversion theorem, for it says the inversion theorem only holds in  $L^1(G)$ .

An interesting application of Pontryagin duality allows us to see a duality between subgroups and quotient groups of a LCA group  $G$ . If  $H$  is a closed subgroup of  $G$ , let  $H^\perp$  be the set of characters whose kernel contains  $H$ . This is clearly a closed group of characters in  $\Gamma(G)$ .

**Theorem 4.**  $(H^\perp)^\perp = H$ .

*Proof.* Certainly  $H \subset (H^\perp)^\perp$ . Conversely, consider the quotient map  $\pi : G \rightarrow G/H$ . If  $x \notin H$ , we may apply the Gelfand Raikov theorem (and the fact that abelian irreducible representations are all one dimensional) to conclude there is  $\chi \in \Gamma(G/H)$  such that  $\chi(\pi(x)) \neq 1$ . But then  $\chi \circ \pi \in H^\perp$  and so  $x \notin (H^\perp)^\perp$ .  $\square$

**Theorem 5.** If  $H$  is a closed subgroup of  $G$ , and let  $\Phi : \Gamma(G/H) \rightarrow H^\perp$  by  $\Phi(\chi) = \chi \circ \pi$ , and  $\Psi : \Gamma(G)/H^\perp \rightarrow H$  be defined by  $\Psi(\chi H^\perp) = \chi|_H$ , then  $\Phi$  and  $\Psi$  are topological isomorphisms.

*Proof.* It is easy to see that  $\Phi$  and  $\Psi$  are algebraic isomorphisms. That they are homeomorphisms is obtained by taking uniform convergence on compact subsets to be the notion of convergence in the dual space. If  $\chi_\alpha \rightarrow \chi$  in  $\Gamma(G/H)$ , and  $K \subset G$  is compact, then  $\chi_\alpha \rightarrow \chi$  uniformly on  $\pi(K)$ , so  $\chi_\alpha \circ \pi \rightarrow \chi \circ \pi$  in  $\Gamma(G)$ , and so the inverse of  $\Phi$  is continuous. Conversely, if  $\chi_\alpha \rightarrow \chi$  and  $F \subset G/H$  is compact, there is a compact  $K$  such that  $\pi(K) = F$ , and  $\chi_\alpha \rightarrow \chi$  uniformly on  $K$ , hence  $\chi_\alpha \circ \pi \rightarrow \chi \circ \pi$  on  $F$ , and so  $\chi_\alpha \rightarrow \chi$  in  $\Gamma(G/H)$ . Thus  $\Phi$  is a homeomorphism.

If we replace  $G$  with  $\Gamma(G)$ , and  $H$  with  $H^\perp$ , we have a homeomorphism

$$\Gamma(\Gamma(G)/H^\perp) \cong (H^\perp)^\perp \cong H$$

If  $x \in H$ , the correspondence gives us  $\eta \in \Gamma(\Gamma(G)/H^\perp)$  for which  $\eta(\chi H^\perp) = \chi(x)$ , so  $\Psi$  is obtained from  $\Psi$  and the dual map to obtain a homeomorphism.  $\square$

We obtain a corollary to this proof which is essentially a ‘Hahn-Banach extension’ type theorem for abelian groups.

**Theorem 6.** Any character on a closed subgroup of an abelian group can be extended to the entire group.

Finally, we discuss how the Poisson summation formula generalizes via Pontryagin duality. It turns out this statement is really about the quotient of a group by, so we need to talk about the relation of the Haar measure on  $G$  and  $G/H$ , where  $H$  is a closed subgroup of a locally compact group  $G$ . It turns out a very natural choice is one such that for any integrable function  $f$  defined on  $G$ ,

$$\int_G f(x) dx = \int_{G/H} \left( \int_H f(xy) dy \right) d(xH)$$

Why is the Poisson summation formula about group quotients? Well the standard discussion takes a function  $f \in C(\mathbf{R})$ , and considers the corresponding ‘periodization’  $x \mapsto \sum_{n=-\infty}^{\infty} f(x + 2\pi n)$ , which is now a periodic function on

$[0, 2\pi]$ . We can thus take the fourier series on  $\mathbf{T}$ , and the Poisson summation formula is then just an application of the inversion theorem on  $\mathbf{T}$ . Thus we expect the general inversion theorem to also be an application of the inversion theorem.

**Theorem 7.** *Suppose  $H$  is a closed subgroup of  $G$ . If we normalize the measures on  $H$  and  $H^\perp$  appropriately, then for any  $f \in C_c(G)$ ,*

$$\int_H f(xy)dy = \int_{H^\perp} \hat{f}(\phi)\phi(x)d\phi$$

*The regular Poisson summation formula is obtained by taking  $H = \mathbf{Z}$ ,  $G = \mathbf{R}$ , in which case  $H^\perp$  is the set of exponentials with integer power.*

*Proof.* If  $\phi \in H^\perp$  then  $\phi(xy) = \phi(x)$  for  $y \in H$ , so if we define  $F \in C_c(G/H)$  by the formula

$$F(xH) = \int_H f(xy)dy$$

Then

$$\hat{F}(\phi) = \int_{G/H} \frac{F(xH)}{\phi(xH)}d(xH) = \int_{G/H} \int_H \frac{f(xy)}{\phi(xy)}dyd(xH) = \int_G \frac{f(x)}{\phi(x)} = \hat{f}(\phi)$$

Where we are treating characters on  $G/H$  as elements of  $H^\perp$  due to the identification above. Applying the inversion theorem, we conclude that the theorem is true.  $\square$

## References

- [1] Gerald Folland, *A Course in Abstract Harmonic Analysis*, CRC Press, 2015.
- [2] Walter Rudin, *Fourier Analysis on Groups*, Wiley, 1990.