

Algorithmic Aspects of the Brascamp Lieb Inequality

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The Brascamp Lieb Inequality

- A *Brascamp-Lieb inequality* is an inequality of the form

$$\int_{\mathbf{R}^n} \prod_{i=1}^m |f_i(B_i x)| \, dx \lesssim \prod_{i=1}^m \|f_i\|_{L^{q_i}(\mathbf{R}^{n_i})}$$

where $B_i : \mathbf{R}^n \rightarrow \mathbf{R}^{n_i}$ is surjective and linear.

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- ▶ Allows us to study many useful inequalities under one category:
- ▶ (Hölder) If $1/p_1 + 1/p_2 = 1$,

$$\int_{\mathbf{R}^n} |f(x)| |g(x)| \, dx \leq \|f\|_{L^{p_1}(\mathbf{R}^n)} \|g\|_{L^{p_2}(\mathbf{R}^n)}$$

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$$\int_{\mathbf{R}^n} \prod_{i=1}^m |f_i(B_i x)| \, dx \leq C \prod_{i=1}^m \|f_i\|_{L^{q_i}(\mathbf{R}^{n_i})}$$

where $B_i : \mathbf{R}^n \rightarrow \mathbf{R}^{n_i}$ is surjective and linear.

- ▶ (Loomis-Whitney)

$$\int_{\mathbf{R}^3} |f(x, y)| |g(y, z)| |h(x, z)| \, dx \leq \|f\|_{L^2(\mathbf{R}^2)} \|g\|_{L^2(\mathbf{R}^2)} \|h\|_{L^2(\mathbf{R}^2)}.$$

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- ▶ More generally,

$$\int_{\mathbf{R}^n} |f_1(\pi_1 x)| \dots |f_n(\pi_n x)| \leq \|f_1\|_{L^{n-1}(\mathbf{R}^{n-1})} \dots \|f_n\|_{L^{n-1}(\mathbf{R}^{n-1})}.$$

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where $B_i : \mathbf{R}^n \rightarrow \mathbf{R}^{n_i}$ is surjective and linear.

- ▶ (Young's Convolution Inequality) If $1/p + 1/q + 1/r = 2$,

$$\int_{\mathbf{R}^n \times \mathbf{R}^n} |f(x)| |g(y-x)| |h(y)| \, dx \, dy \leq \|f\|_{L^p(\mathbf{R}^n)} \|g\|_{L^q(\mathbf{R}^n)} \|h\|_{L^r(\mathbf{R}^n)},$$

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- ▶ The optimal constant (Beckner, 1975) is actually

$$\left(\frac{(1 - 1/p)^{1-1/p} (1 - 1/q)^{1-1/q} (1 - 1/r)^{1-1/r}}{(1/p)^{1/p} (1/q)^{1/q} (1/r)^{1/r}} \right)^{n/2}$$

- If $p_i = 1/q_i$, then

$$\int_{\mathbf{R}^n} \prod_{i=1}^m |f_i(B_i x)| \, dx \leq C \cdot \prod_{i=1}^m \|f_i\|_{L^{q_i}(\mathbf{R}^{n_i})}$$

holds for all $f_i \in L^{q_i}(\mathbf{R}^{n_i})$ if and only if

$$\int_{\mathbf{R}^n} \prod_{i=1}^m |f_i(B_i x)|^{p_i} \, dx \leq C \cdot \prod_{i=1}^m \|f_i\|_{L^1(\mathbf{R}^{n_i})}^{p_i}.$$

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- Let $\text{BL}(B, p)$ denote the optimal constant in this inequality (or ∞ if the inequality does not hold).

Lieb's Theorem

$$\int_{\mathbf{R}^n} \prod_{i=1}^m |f_i(B_i x)|^{p_i} dx \leq \text{BL}(B, p) \cdot \prod_{i=1}^m \|f_i\|_{L^1(\mathbf{R}^{n_i})}^{p_i}.$$

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- ▶ (Lieb, 1990) To calculate $\text{BL}(B, p)$ it suffices to plug in centered Gaussians.
- ▶ Setting $f_i(x) = e^{-\pi(A_i x) \cdot x}$ for some $A_i \succ 0$, we can explicitly calculate both sides of BL, giving

$$\frac{1}{\sqrt{\det(\sum p_i B_i^* A_i B_i)}} \leq \text{BL}(B, p) \cdot \frac{1}{\prod_i \sqrt{\det(A_i)^{p_i}}}$$

$$\text{BL}(B, p) = \sup_{A_1, \dots, A_m \succ 0} \sqrt{\frac{\prod_i \det(A_i)^{p_i}}{\det(\sum p_i \cdot B_i^* A_i B_i)}}.$$

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- ▶ Non convex function to optimize, so tricky (NP hard).

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- A Brascamp-Lieb inequality is *geometric* if each B_i is a projection ($B_i B_i^* = I$), and $\sum_i p_i B_i^* B_i = I$.

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- ▶ Hölder's inequality and Loomis-Whitney are special cases.
- ▶ Plugging in $f_i(x) = e^{-\pi|x|^2}$ gives $\text{BL}(B, p) \geq 1$.
- ▶ (Barthe, 1998), generalizing (Ball, 1989), showed that these functions are extremizers, i.e. $\text{BL}(B, p) = 1$.

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- ▶ Fix invertible matrices M and M_1, \dots, M_m , and consider the Brascamp-Lieb inequality with matrices $B'_i = M_i B_i M$,

$$\int_{\mathbf{R}^n} \prod_{i=1}^m |f_i(M_i B_i M x)|^{p_i} dx \leq \text{BL}(B', p) \cdot \prod_{i=1}^m \|f_i\|_{L^1(\mathbf{R}^{n_i})}^{p_i}.$$

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- ▶ Then

$$\begin{aligned} \text{BL}(B', p) &= \sup_{A_1, \dots, A_m \succ 0} \frac{\prod_i \det(A_i)^{p_i}}{\det(\sum_i p_i M_i^* B_i^* M_i^* A_i M_i B_i M)} \\ &= \sup_{A_1, \dots, A_m \succ 0} \frac{\prod_i \det((M_i^{-1})^* A_i M_i^{-1})^{p_i}}{\det(M^* (\sum_i p_i B_i^* A_i B_i) M)} \\ &= \det(M)^{-2} \prod_i \det(M_i)^{-2p_i} \cdot \text{BL}(B, p). \end{aligned}$$

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 - ▶ We can do this algorithmically, i.e. a computer can compute a ε -approximate geometric rescaling in $\text{Poly}(\text{Bits}(B), \log(p), 1/\varepsilon)$ computations.

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 - ▶ We can do this algorithmically, i.e. a computer can compute a ε -approximate geometric rescaling in $\text{Poly}(\text{Bits}(B), \log(p), 1/\varepsilon)$ computations.
 - ▶ Conversely, we can determine if $\text{BL}(B, p) = \infty$ in $\text{Poly}(\text{Bits}(B), \log(p))$ computations.

Computing Permanents (An Analogous Problem)

- ▶ Given an $n \times n$ matrix A with non-negative entries, define

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 - ▶ If $R = \text{diag}(\lambda_1, \dots, \lambda_n)$ and $C = \text{diag}(\gamma_1, \dots, \gamma_n)$, then

$$\begin{aligned}\text{Perm}(RAC) &= (\lambda_1 \dots \lambda_n)(\gamma_1 \dots \gamma_n)\text{Perm}(A) \\ &= \det(R) \cdot \det(C) \cdot \text{Perm}(A).\end{aligned}$$

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- ▶ If RAC is doubly stochastic, then

$$\text{Perm}(A) \leq \det(R)^{-1} \det(C)^{-1} \leq e^n \cdot \text{Perm}(A),$$

so $\text{Perm}(A) \approx \det(R)^{-1} \det(C)^{-1}$.

Sinkhorn Iteration

$$\begin{array}{l} 24 \\ 12 \\ 15 \end{array} \begin{pmatrix} 6 & 6 & 12 \\ 2 & 4 & 6 \\ 1 & 9 & 5 \end{pmatrix} \xrightarrow{\text{row}} \begin{array}{ccc} 0.48 & 1.19 & 1.33 \\ \begin{pmatrix} 0.25 & 0.25 & 0.5 \\ 0.16 & 0.34 & 0.5 \\ 0.07 & 0.6 & 0.33 \end{pmatrix} \end{array}$$
$$\xrightarrow{\text{col}} \begin{array}{ccc} \begin{pmatrix} 0.52 & 0.21 & 0.38 \\ 0.33 & 0.29 & 0.38 \\ 0.15 & 0.5 & 0.25 \end{pmatrix} & 1.11 & 1 \\ & 1 & 0.9 \end{array}$$
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- Notice that red numbers average to one.

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- ▶ We continue this iteration, obtaining a sequence

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- ▶ Claim: If $\text{Per}(A) > 0$, these matrices become arbitrarily close to being doubly stochastic as $i \rightarrow \infty$.
- ▶ Let $\gamma_i = (\gamma_{i1}, \dots, \gamma_{in})$, be the row / column sums of A_i .
 - ▶ i.e. so that $A_1 = \text{diag}(1/\gamma_0)A$, $A_2 = A_1 \text{diag}(1/\gamma_1)$, etc.
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$$P \geq \text{Per}(A_{i+1}) \geq (1 + C \cdot \Delta_i) \cdot \text{Per}(A_i) \geq (1 + C \cdot \Delta_i)(P - \varepsilon).$$

Thus $\Delta_i \leq (C_0/P)\varepsilon$. Taking $\varepsilon \rightarrow 0$ shows $\Delta \rightarrow 0$.

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- ▶ Since $1 + t \leq \exp(t - t^2/2 + t^3/3)$,

$$\begin{aligned}\text{Per}(A_i)/\text{Per}(A_{i+1}) &= \gamma_1 \dots \gamma_n \\ &= (1 + \delta_1) \dots (1 + \delta_n) \\ &\leq \exp\left(\sum \delta_i - \sum \delta_i^2/2 + \sum \delta_i^3/3\right) \\ &\leq \exp(0 - \Delta/2 + \Delta^{3/2}/3) \\ &= 1 - \Delta/2 + O(\Delta^{3/2}).\end{aligned}$$

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► Obtain (1), (2), and (3) by studying *positive operators*.

Another Viewpoint: Positive Operators

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- ▶ For simplicity, assume that all spaces have the same ambient dimension (all B_i are square matrices).
- ▶ $\text{BL}(B, p) < \infty$ can only hold if $\sum p_i = 1$.
- ▶ Also assume all A_i are equal, and let us consider optimizing the quantity

$$\inf_{A \succ 0} \frac{\det(\sum p_i B_i^* A B_i)}{\det(A)}$$

analogous to

$$\text{BL}(B, p) = \sup_{A_1, \dots, A_m \succ 0} \sqrt{\frac{\prod_i \det(A_i)^{p_i}}{\det(\sum p_i \cdot B_i^* A_i B_i)}}.$$

Positive Operators

- ▶ A linear map $T : M_n \rightarrow M_m$ is *completely positive* if there are $m \times n$ matrices B_1, \dots, B_K such that

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- ▶ Important example: $T(A) = \sum p_i B_i^* A B_i$.
- ▶ Given T , we have $T^*(A) = \sum B_i^* A B_i$.

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- ▶ Positive operators are well studied in the quantum information theory literature, so reduction of BL to this theory gives new insights.

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 - ▶ $\sum p_i B_i^* B_i = I$ holds iff $T(I) = I$.
- ▶ If (B, p) is a Brascamp-Lieb datum with associated operator $T : M_n \rightarrow M_m$, then (B, p) is geometric if and only if T is *doubly stochastic*. For $n = m$ this means $T(I) = I$ and $T^*(I) = I$.

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- ▶ Sinkhorn iteration (alternately iterating $T \mapsto T_{I, T(I)^{-1/2}}$ and $T \mapsto T_{T^*(I)^{-1/2}, I}$) yields a method for rescaling any T with $\text{Cap}(T) > 0$ to be arbitrarily close to a doubly stochastic operator, allowing us to approximate $\text{Cap}(T)$.

Rank Decreasing Operators

$$\int_{\mathbf{R}^n} \prod_{i=1}^m |f_i(B_i x)|^{p_i} dx \leq \text{BL}(B, p) \cdot \prod_{i=1}^m \|f_i\|_{L^1(\mathbf{R}^{n_i})}^{p_i}.$$

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- (Bennett et al, 2008) implies that $\text{BL}(B, p) < \infty$ if and only if $\sum p_i n_i = n$, and for any subspace $V \subset \mathbf{R}^n$,

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- ▶ Generalized in (Garg et al, 2018). For $T : M_n \rightarrow M_m$, $\text{Cap}(T) > 0$ if and only if T is *fractional rank non-decreasing*.

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- ▶ To prove (1) and (2), use a simple trick: Given $A \succeq 0$, find U diagonalizing $T(A)$. Then $T(A) = T_U(A)$.

Thanks For Listening!