Category Theory

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Chapter 1

Basic Definitions

Category theory is the language of transformations. A great many families of mathematical objects share some common formal behaviour when considering the functions defined between them. Rather than looking at a single object, be it a single group, a particular ring, or a particular set, we look at the class of all such objects, and describe the functions, or morphisms connecting them. The structure of these morphisms often describes a great many properties of these functions. Thus, when studying a new family of mathematical objects, we can use the intuitions about morphisms to understand how certain constructions 'act'.

A **category** C consists of a family of objects Obj(C), such that for each pair of objects A, B we have a collection of morphisms Mor(A, B), which are pairwise disjoint, together with a composition operation

$$\circ: \operatorname{Mor}(B,C) \times \operatorname{Mor}(A,B) \to \operatorname{Mor}(A,C)$$

We write a morphism $f \in \operatorname{Mor}(A,B)$ as $f:A \to B$. The composition map is associative, in the sense that for $f:A \to B$, $g:B \to C$, and $h:C \to D$, $h \circ (g \circ f) = (h \circ g) \circ f$. Furthermore, for any object A, we have a morphism $\operatorname{id}_A:A \to A$ such that $\operatorname{id}_A \circ f = f$ for any $f:X \to A$, and $g \circ \operatorname{id}_A = g$ for any $g:A \to X$. Elements of $\operatorname{Mor}(A,A)$ will be known as **endomorphisms**, also denoted $\operatorname{End}(A)$. With the operation of composition, $\operatorname{End}(A)$ becomes a monoid, and all monoids can be realized as endomorphisms over some object in a particular category.

Example. Perhaps the most basic category is the category Set, whose objects are sets, and whose morphisms are set-theoretic maps between them. Category

theory can be seen as a generalization of this category, and most often categories will be seen as a subcategory of this category.

Example. Algebra makes extensive use of category theory. The category Grp of groups has groups as objects, and whose morphisms are group homomorphisms. One similarily defines the categories Rng and Vect of rings and vector spaces over a fixed field, with ring homomorphisms and linear transformations as morphisms.

Example. If X is a partially ordered set, then X has the structure of a category such that, if $x \le y$, then there is a unique morphism from x to y. This category naturally occurs in topology, where, given a topological space X, it is natural to consider the partially ordered family of open subsets of X.

Example. Category theory is also useful in analysis. Let Top be the category of topological spaces, whose morphisms are continuous maps. One may specialize to the category Man of manifolds, or even further to Man^{∞} , which consists of differentiable manifolds with differentiable maps as morphisms. Category theory was first introduced to study algebraic topology, with the natural category being Toph, whose morphisms are homotopy classes of maps.

Example. The category of partially ordered sets, with order preserving maps the morphisms. In particular, the full subcategory Δ consisting solely of the ordered sets $[N] = \{1, ..., N\}$, for all $N \in \mathbb{N}$ known as the **simplicial category**, and is useful in the combinatorial aspects of algebraic topology.

Example. The category of graphs Graph, whose objects consist of sets of vertices and edges between vertices, and whose morphisms map edges to edge, and vertices to vertices, such that the vertices of an edge are preserved.

Example. Often useful in certain categories are categories containing finitely many objects. We let 0 denote the empty category, with no objects, and no morphisms. We let 1 denote the category with a single object, and a single morphism, the identity map on that object. We let 2 denote the category with two objects, and a single morphism between them. We let 3 denote the category of three objects with three non-identity morphisms forming a commuting triangle.

Example. Given a category C, consider the category C^r , with the same objects, but if $f: A \to B$ is a morphism in C, then $f^r: B \to A$ is a morphism in C^r . An

initial object in C^r is simply a final object in C. More generally, constructions in C automatically give dual constructions in C^r , which can still be useful in the original category.

An **isomorphism** in a category is a morphism $f : A \to B$ for which there is $g : B \to A$ such that $g \circ f = \mathrm{id}_A$ and $f \circ g = \mathrm{id}_B$. It follows trivially that g is unique, for if h is another inverse, then

$$g = g \circ \mathrm{id}_B = g \circ f \circ h = \mathrm{id}_A \circ h = h$$

we denote g by f^{-1} . Examples of isomorphism are algebraic isomorphisms, bijective maps, homeomorphisms, and diffeomorphisms. The set of isomorphisms from an object A to itself will be denoted $\operatorname{Aut}(A)$. It is a group, and all groups are isomorphic to automorphisms over some object in a category. Isomorphisms really are 'the same object' in a categorical sense, because there are natural bijections between the morphisms of the object. Let $f: X \to Y$ be an isomorphism. The map $g \mapsto f \circ g \circ f^{-1}$ is then a bijection between $\operatorname{Aut}(X)$ and $\operatorname{Aut}(Y)$. Similarly, $g \mapsto g \circ f$ is a bijection between $\operatorname{Mor}(Y,A)$ and $\operatorname{Mor}(X,A)$. You may view these maps as 'changes in coordinates', where the underlying object we study is the same from a categorical viewpoint, but the new function may be simpler to understand in some sense.

Other useful maps are **sections**, maps $f: X \to Y$ which are *left invertible*, and **retractions**, those which are right invertible. Closely related to these objects are the **monomorphisms** $f: X \to Y$, those maps such that if $f \circ g_0 = f \circ g_1$, then $g_0 = g_1$, and **epimorphisms** $g: X \to Y$, those maps such that if $f_0 \circ g = f_1 \circ g$, then $f_0 = f_1$. An isomorphism is certainly a monomorphism and an epimorphism, but the reverse need not be true, as the next examples show.

Example. The monomorphisms in the category of sets are precisely the injective maps, and the epimorphisms are precisely the surjective maps. If F is a faithful functor, and F(f) is a monomorphism, then f is a monomorphism, since if $g_0 \circ f = g_1 \circ f$, then $F(g_0) \circ F(f) = F(g_0 \circ f) = F(g_1 \circ f) = F(g_1) \circ F(f)$, so $F(g_0) = F(g_1)$, hence $g_0 = g_1$. Similarly, if F(f) is an epimorphism, then f is an epimorphism. In particular, if we are working in any category with a forgetful functor into the category of sets, then every 'injective' morphism is a monomorphism, and every 'surjective' morphism is an epimorphism. However, there are certainly monomorphisms that are not injective, and epimorphisms

which aren't surjective. The morphism $f: \mathbb{Z} \to \mathbb{Q}$ is the inclusion of the integers in the rationals in the category of rings, then f is not surjective, but is still an epimorphism. If $g_0 \circ f = g_1 \circ f$, then g_0 and g_1 agree on all integers. But then $g_0(a/b) = g_0(a)/g_0(b) = g_1(a)/g_1(b) = g_1(a/b)$, so g_0 agrees with g_1 on all rationals. Similarly, in the category of topological spaces, the inclusion of a dense subspace of another space in that space is an epimorphism which isn't surjective.

By an **operation** or **representation** of a group G on an object A in a category we mean a group homomorphism $f: G \to \operatorname{Aut}(A)$. This encompasses many natural operations studied in math. Linear representations are representations where A is a vector space, or permutation representations, when A is an object in the category of sets. If A is a Hilbert space, whose morphisms are isometries, we obtain the theory of unitary representations.

1.1 Functors and Natural Transformations

The main reason to rigorously define groups is to define what a homomorphism is, so we can consider groups with similar structure. Categories were invented to define functors and natural transformations. A **covariant functor** F between two categories C and D is an association of an object X in C with an object F(X) in D, and associating a morphism $f: X \to Y$ with a morphism $F(f): F(X) \to F(Y)$, such that $F(g \circ f) = F(g) \circ F(f)$. A **Contravariant Functor** with each morphism $f: X \to Y$ a morphism $F(f): F(Y) \to F(X)$ such that $F(g \circ f) = F(f) \circ F(g)$. Often, we use the notation f_* for F(f), where F is covariant, and f^* for F(f), where f is contravariant, when the functor is obvious. Functors are the natural 'morphisms' of categories, and together form a category whose objects are themselves categories, denoted Cat. A functor is **faithful** if the map between morphisms is injective for each pair of objects, and **full** if the map is surjective for each pair of objects. A subcategory of a category is called full if the inclusion functor is full.

Example. One of the most well known functors is the operation of taking a dual space V^* to a given vector space V. If $f: V \to W$, we obtain a map $f^*: W^* \to V^*$ by defining $f^*(\lambda) = \lambda \circ f$, so the correspondence is a contravariant endofunctor from Vect to itself.

Example. In almost every category, the objects are sets equipped with some additional structure. For instance, a group is a set equipped with an operation, a topological space a set equipped with a family of open sets. A morphism is then a function between sets with some additional structure. This leads to the notion of a forgetful functor into a category of sets. Given a category C, a forgetful functor is a faithful functor $F:C\to Set$. Thus an object A in C corresponds to some set F(A), and morphisms between two objects A and B are represented by functions between F(A) and F(B). It is known as a forgetful functor because it forgets information about the underlying category C, giving us only the function representation of the morphisms in the category.

Example. Functors were first recognized explicitly in the field of algebraic topology, where they naturally arise when describing invariants of spaces. We often have a functor from Top to some category of algebraic objects, for instance, the fundamental group as a functor into the category Grp, the homology groups as a functor into Ab, and the cohomology groups into Rng.

Given two categories C and D, we can construct a category $C \times D$, whose objects consist of pairs (A,B), where A is an object in C and B is an object in D, and a morphism between (A_0,B_0) and (A_1,B_1) is a pair of morphisms from A_0 to A_1 and B_0 to B_1 . Then $C \times D$ satisfies the universal properties of a product in Cat. A functor with domain a product of C or C^r with D or D^r is known as a **bifunctor**, covariant or contravariant in the various variables. One can verify that if for each $B \in D$, we have a functor B_0 with domain C, and for each $A \in C$, we have a functor B_0 on D such that B_0 is a fixed B_0 for all A_0 and A_0 , then we can set A_0 if A_0 if the following property holds; for each $A_0 \to A_1$ and $A_1 \to A_1$ and

$$R_{B_0}(f): F(A_0,B_0) \to F(A_1,B_0) \quad L_{A_1}(g): F(A_1,B_0) \to F(A_1,B_1)$$

$$L_{A_0}(g): F(A_0,B_0) \to F(A_0,B_1) \quad R_{B_1}(f): F(A_0,B_1) \to F(A_1,B_1)$$

We surely must have $L_{A_1}(g) \circ R_{B_0}(f) = R_{B_1}(f) \circ L_{A_0}(g)$, and this is sufficient to define a bifunctor.

Example. Given a category C, we have a bifunctor from $C \times C$ to Set obtained by associating with each pair of objects A and B the set Mor(A, B), which is covariant in B and contravariant in A. Associated with each map $\varphi: A_1 \to A_0$

we have a map $\varphi_B^*: Mor(A_0, B) \to Mor(A_1, B)$ given by $\varphi_B^*(f) = f \circ \varphi$, and given $\psi: B_0 \to B_1$, we have $\psi_*^A: Mor(A, B_0) \to Mor(A, B_1)$ given by $\psi_*^A(f) = \psi \circ f$. One verifies that these define functors $Mor(\cdot, B)$ and $Mor(A, \cdot)$. To see this extends to a bifunctor, it suffices to show that $\psi_*^{A_1} \circ \varphi_{B_0}^* = \varphi_{B_1}^* \circ \psi_*^{A_0}$ for any A_0, A_1, B_0 , and B_1 . But for any function $f: A_0 \to B_0$, we calculate both sides as $\psi \circ f \circ \varphi$, so we really do have a bifunctor. The dual functor is a special case of this functor, associating a K vector space V with it's dual space $V^* = Mor(V, K)$.

Natural transformations are the natural maps relating functors to each other. Given two functors F and G between two categories C and D, a natural transformation is an association with each object $X \in C$ a morphism $\eta_X : F(X) \to G(X)$, such that for each morphism $f : X \to Y$ in C, $\eta_Y \circ F(f) = G(f) \circ \eta_X$, i.e. such that the diagram

$$F(X) \xrightarrow{F(f)} F(Y)$$

$$\eta_X \downarrow \qquad \qquad \downarrow \eta_Y$$

$$G(X) \xrightarrow{G(f)} G(Y)$$

commutes. We may therefore consider isomorphisms of functors, known as **natural equivalences**. We will often say a functor itself is natural if it is naturally equivalent to the identity functor on a category. An **equivalence of categories** is a functor F with an 'inverse functor' G such that $F \circ G$ and $G \circ F$ are both equivalence to the identity functor. Often, this is a better notion of saying two categories are 'equal' then the two categories being isomorphic, which is too strong a condition.

Example. The classic example of a natural transformation is that a finite dimensional vector space V it 'naturally isomorphic' to its double dual V^{**} . What we mean is that the endofunctor which associates a finite dimensional vector space V with V^{**} , and associates $f: V \to W$ with $f^{**}: V^{**} \to W^{**}$ defined by

$$f^{**}(\phi) = \phi \circ f^*$$

is naturally equivalent to the identity functor on the category of finite dimensional vector spaces. Given a vector space V, we have a 'double dual' map from V to V^{**} associating $v \in V$ with $v^{**}: V^* \to K$ given by $v^{**}(f) = f(v)$. We

claim this is a natural isomorphism between the identity functor and the double dual functor. Given $f: V \to W$, we have $f(v)^{**} = f^{**}(v^{**})$, because if $\phi: W \to K$, then

$$f^{**}(v^{**})(\phi) = (v^{**} \circ f^*)(\phi) = v^{**}(\phi \circ f) = \phi(f(v)) = f(v)^{**}(\phi)$$

Thus $v \mapsto v^{**}$ is a natural transformation. If we instead work over the category of all vector spaces, the double dual remains a natural transformation from the identity functor to the double dual functor, but it does not have an inverse natural transformation. Extending the double dual to the category of Hilbert spaces, with the continuous linear functionals, again gives a natural equivalence.

Example. By the same note, there is no non-zero natural natural transformation between the identity map and the dual functor $V \mapsto V^*$. Suppose $\eta_V: V \to V^*$ exists, such that for any $f: V \to W$, $f^* \circ \eta_W \circ f = \eta_V$. If we consider $\eta_K: K \to K^*$, then being one dimensional, there exists a number λ such that $\eta_K(t)(s) = \lambda ts$. If $f: K \to K$ is given by $f(t) = \gamma t$, then $f^*(\phi) = \gamma \phi$, and so

$$\lambda ts = \eta_V(t)(s) = f^*(\eta_V(f(t)))(s) = \lambda \gamma^2 ts$$

Since γ , t, and s can be arbitrary, $\lambda = 0$. But now for any $\phi : V \to K$, we conclude that

$$\eta_V = \phi^* \circ \eta_K \circ \phi = \phi^* \circ 0 \circ \phi = 0$$

If we instead work over the category of vector spaces with a fixed, nondegenerate bilinear map, and whose morphisms are maps preserving this bilinear map, then V is naturally isomorphic to V^* in this category. Similarly, if we consider the category of Hilbert spaces with morphisms as isometries, and the continuous dual space, then V is naturally isomorphic to V^* , a fact often employed in functional analysis.

Consider a natural transformation η between two bifunctors F and G from $C \times D \to E$. Such a transformation associates with each pair of objects A and B a map $\eta(A,B): F(A,B) \to G(A,B)$. Given any such association, we say it is **natural in** A if for each fixed B the map $\eta(\cdot,B)$ is a natural transformation from the functor $F(\cdot,B)$ to the functor $G(\cdot,B)$. Similarily, we can say the functor is natural in B. It is useful that η is natural in both variables if and only if it is a natural transformation. To see this, given any pair of functions $f:A_0\to A_1$ and $g:B_0\to B_1$, we consider the commutative diagram

$$F(A_0, B_0) \xrightarrow{F(f)} F(A_1, B_0) \xrightarrow{F(g)} F(A_1, B_1)$$

$$\downarrow^{\eta_{A_0 B_0}} \qquad \downarrow^{\eta_{A_1 B_0}} \qquad \downarrow^{\eta_{A_1 B_1}}$$

$$G(A_0, B_0) \xrightarrow{G(f)} G(A_1, B_0) \xrightarrow{G(g)} G(A_1, B_1)$$

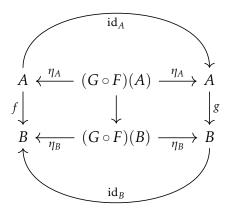
and we know the smaller squares commute by naturality in each variable, hence the entire rectangle commutes. This comes up most importantly in the theory of adjoints, where we have a natural bijection between Mor(FA, B) and Mor(A, GB) which is natural in each variable A and B.

Example. If we let C be the full subcategory of Vect whose objects are the vector spaces K^n , for some $n \ge 0$, then C is naturally equivalent to the category of all finite dimensional vector spaces. C is a subcategory of the category of all finite dimensional vector spaces, so the embedding functor i. If we fix, for each vector space V, an isomorphism $f_V: V \to K^{\dim(V)}$ once and for all, then one verifies that the functor $G(V) = K^{\dim(V)}$, such that if $g: V \to W$, $G(g) = f_W \circ g \circ f_V^{-1}$. Then the maps f_V and f_W are obviously the required natural equivalences.

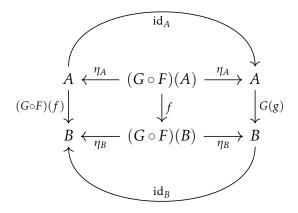
The last example generalizes to the following, providing a little bit more intuition about what it means for two categories to be equivalent.

Theorem 1.1. A functor $F : C \to D$ is an equivalence of categories if and only if it is fully faithful and every object $B \in D$ is isomorphic to F(A) for some $A \in C$.

Proof. For each object B, pick A_B and an isomorphism $f_B: B \to F(A_B)$. Assume for simplicity that if B is in the image of F, then $F(A_B) = B$. If we define $G(B) = A_B$, then for each $g: B \to B'$, we have a morphism $G(g): A_B \to A_{B'}$ given by the unique morphism with the property that $F(G(g)) = f_{B'} \circ g \circ f_B^{-1}$. The maps f_B are then a natural equivalence between the identity and $F \circ G$, since the required square obviously commutes. But since G is also fully faithful, and every object in G is isomorphic to G(B) for some object $A \in G$ (since G(A)), we can apply the previous case to conclude that $G \circ F$ is naturally equivalent to the identity. Conversely, given a functor G with an inverse G with a natural equivalence G from $G \circ F$ to $G \circ G$ to $G \circ G$



which is commutative because the two squares are commutative, and the upper and lower semicircles are commutative. From it, we conclude that f=g. This shows that F is faithful if it has a left equivalence inverse. To show that it is full, we note that since G has a left equivalence inverse, it is also faithful. Thus, given $g: F(A) \to F(B)$, finding f such that F(f) = g is equivalent to finding f such that $G \circ F(f) = G(g)$. Since the maps g and g are isomorphisms, there certainly exists a morphism f such that the diagram below commutes



from which it follows that $(G \circ F)(f) = G(g)$, and the fully faithfulness of F is established. If B is an object in the codomain, then it is isomorphic to $(G \circ F)(B)$ by the isomorphism η_B , completing the proof.

Example. Set is naturally equivalent to the subcategory of ordinals.

The composition of two natural transformations is verified by checking the diagram to be a natural transformation, so for any two categories C to D, the family D^C of all functors from C to D forms a category, with morphisms the natural transformations. We let Nat(F,G) be the family of all natural transformations between two functors F and G. The isomorphisms in this category are precisely the natural equivalences between functors.

Example. If M is a monoid viewed as a category, the category Set^M is the category of monoid actions on sets, with morphisms preserving the action of M. If G is a group viewed as a category, and A is a ring, then Mod_A^G is the category of representations of G over A modules, with the morphisms the intwining operators.

Example. The category C^1 is isomorphic to C, where the objects of the category C^2 are the arrows of the category C, and the morphism those maps between the domain and codomain of arrows which cause the natural diagram to commute. If X is a category with finitely many objects and no non-identity arrows, then C^X is just the product category of C, X times over.

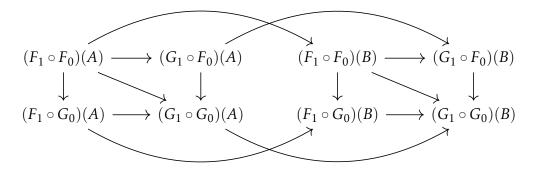
The family of natural transformations between functors has an additional 'horizontal' way to compose two functors, aside from the normal composition of maps. Given two natural transformations $\eta: F_0 \to G_0$ and $\psi: F_1 \to G_1$ between functors F_0 and G_0 from C to D and G_0 from D to E, we can form the composition $F_1 \circ F_0$ and $G_1 \circ G_0$. For any object G_0 , we have a commutative diagram

$$(F_1 \circ F_0)(A) \xrightarrow{\psi_{F_0(A)}} (G_1 \circ F_0)(A)$$

$$\downarrow^{F_1(\eta_A)} \qquad \qquad \downarrow^{G_1(\eta_A)}$$

$$(F_1 \circ G_0)(A) \xrightarrow{\psi_{G_0(A)}} (G_1 \circ G_0)(A)$$

which commutes because ψ is natural. The composition of either of the two directions is denoted by $(\eta \cdot \psi)_A : (F_1 \circ F_0)(A) \to (G_1 \circ G_0)(A)$. It is a natural transformation, because given any map $f : A \to B$, we can construct the diagram



the two 'circles' commute because η is natural, the two squares commute because ψ is natural, and the lower and upper curved lines commute also because ψ is natural. This shows the entire diagram is commutative.

Thus we have two operations on natural transformations, vertical and horizontal composition. They are both easily verified to be associative, and the identity natural transformation acts as the identity under both operations. The most interesting relation is the 'interchange law'

$$(\psi_1 \cdot \psi_0) \circ (\eta_1 \cdot \eta_0) = (\psi_1 \circ \eta_1) \cdot (\psi_0 \circ \eta_0)$$

which asserts that 'vertical composition' and 'horizontal composition' commutes with one another. It is easily verified because both sides of the relation are the diagonal of the following diagram

$$F_{1}(F_{0}(A)) \longrightarrow F_{1}(G_{0}(A)) \longrightarrow F_{1}(H_{0}(A))$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$G_{1}(F_{0}(A)) \longrightarrow G_{1}(G_{0}(A)) \longrightarrow G_{1}(H_{0}(A))$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$H_{1}(F_{0}(A)) \longrightarrow H_{1}(G_{0}(A)) \longrightarrow H_{1}(H_{0}(A))$$

Thus we have two products, defined on a certain subset of pairs of natural transformations, satisfying the interchange law when both sides of the law make sense.

1.2 Free Categories and Quotient Categories

We now describe some constructions we can perform on categories which enable us to describe more advanced universal properties more naturally.

Let G be a directed graph. By the **free category** on G we mean the category C(G) with objects the vertices of G, and whose morphisms between two vertices are the space of all paths in G. Given any category C, we let G(C) denote the graph defining C. The free category has the universal property that any morphism $F: G \to G(C)$ of graphs extends to a unique functor from C(G) to C.

Example. If G is a graph with only a single vertex, and a single edge e, C(G) consists of a single object, and the morphisms on C(G) are id, e, e^2 , and so on and so forth. More generally, given a set X of edges on a single vertex, the free categories is just the construction of the free monoid on X.

We have a natural bijection from Mor(C(G), C) to Mor(G, G(C)), so that the free category on a graph is the left adjoint to the forgetful functor from the category of categories to the category of graphs.

If C is a category, and for any two objects A and B we have an equivalence relation \sim on Mor(A,B), then we can construct a 'quotient' category C/\sim , which is the initial object in the subcategory of $C\downarrow$ Cat consisting of functors $F:C\to D$ such that if $f\sim g$, F(f)=F(g). If the equivalence relation composes well under functors, then we can construct such a category just by taking equivalence classes of functions. This is true, for instance, in the category Toph, obtained from Top by identifying homotopic maps, where if f is homotopic to g, then $f \circ f$ is homotopic to g. In general, given the relation $f \circ g$ and $f \circ g \circ g$ for any appropriate $g \circ g$, and then consider the resulting equivalence classes of functions.

Chapter 2

Limits and Adjoints

One of the most useful properties of category theory is it's ability to unite various constructions in mathematics under the same properties. For instance, the product of sets, topological spaces, groups, are all easily seen 'similar' to one another. Less obvious is the fact that the free product of groups, the disjoint union of sets and topological spaces, and the direct sum of modules are all the same construction in disguise. Thus intuitions from each object become intuitions for the other.

We first consider the most trivial construction in a category, which actually turns out to contain all other constructions once we consider the initial object in other categories. Let C be a category. An **initial object** (or a universal repeller) X in the category is an object such that for any other object A, there is a unique map $f: X \to A$. A **final object** (or universal attractor) has unique maps $f: A \to X$ for any A. It is easy to see that any two initial or final objects in the same category are isomorphic, and what's more, the isomorphism between the two objects is unique.

Example. The trivial group is both initial and final in the category of groups. Similarly, the trivial module is initial and final in the category of modules over a fixed ring. If we consider the category of rings not necessarily with identity, then the initial object is the zero ring, whereas the initial object in the category of rings with identity is the ring **Z** of integers.

Example. The empty set is an initial object in the category of sets, and a singleton is a final object in this category. The same is true in the category of topological spaces and differentiable manifolds.

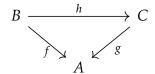
We shall describe other **universal objects** in this section, which are objects satisfying some extremal functorial property. Most of the time, one can reduce the understanding of such objects as those which are initial or final in some category related to the original category we are studying. In the next example, we show that final objects are really initial objects in another category. Thus classifying properties of initial objects really classifies the properties of all universal objects.

2.1 Comma Categories

We shall make common use of a certain type of construction. Given any category, we may form a new category whose objects consist of morphisms in the original category, and a morphism between two morphisms $f: A_0 \to B_0$ and $g: A_1 \to B_1$ is a pair of maps $\phi_A: A_0 \to A_1$ and $\phi_B: B_0 \to B_1$ such that

$$\begin{array}{ccc}
A_0 & \xrightarrow{f} & B_0 \\
\downarrow \phi_A & & \downarrow \phi_B \\
A_1 & \xrightarrow{g} & B_1
\end{array}$$

commutes. In terms of the category of functors, this category is C^2 , where 2 is the category consisting of two objects with a single arrow between them. There are many variations to this category. For instance, we can fix an object A in the category, and consider the category $A \downarrow C$ whose objects are all morphisms with A as a domain, and such that a morphism between $f: A \rightarrow B$ and $g: A \rightarrow C$ is a morphism $h: B \rightarrow C$ such that $h \circ f = g$. This is known as a **comma category**. Similarily, we let $C \downarrow A$ denote the category whose objects are morphisms with codomain A, and such that a morphism between $f: B \rightarrow A$ and $g: C \rightarrow A$ is $h: B \rightarrow C$ such that $f = h \circ g$, so that the diagram



commutes. We use these types of constructions on categories to find a more varied class of universal objects involving morphisms between a fixed family of objects.

Example. If * is a one point set, then * \downarrow Set is the category of pointed sets. Similarly, $\mathbf{Z} \downarrow \mathbf{Ab}$ is the category of abelian groups with a specified element. Conversely, there is a unique morphism from any set to *, so Set $\downarrow *$ is isomorphic to Set. The category $\operatorname{Rng} \downarrow \mathbf{Z}$ are rings A equipped with a morphism $\varepsilon : A \to \mathbf{Z}$, known as an augmentation, and with morphisms preserving the augmentation.

Example. If A is a commutative ring, then $A \downarrow \mathsf{CRng}$ is the category of commutative algebras over A.

Later on, given a functor $F: C \to D$, we will have need to consider a similar category $A \downarrow F$, which is similar to the category $A \downarrow D$. It consists of pairs (f,B), where f is a morphism $f:A \to F(B)$ as objects, and the morphisms between arrows (f,B) and (g,C) are $h:B \to C$ such that F(h) causes the obvious diagram to commute. Similarily, we may define $F \downarrow A$ for some $A \in D$ consisting of (f,B) with $f:F(B) \to A$ a morphism. Even more generally, given two functors F and G with a common codomain, we can define the category $F \downarrow G$, whose objects consist of (A,B,f) with $f:FA \to GB$, and whose morphisms between (A,B,f) and (C,D,g) are pairs of maps $g:A \to C$ and $h:B \to D$ such that the diagram obtained from f,F(g), and G(h) commute.

Example. If $F: C \to Set$ is a forgetful functor, then for each set X the category $X \downarrow F$ consists of maps from X to F(A), for any $A \in C$. It is essentially the maps from a set to the underlying set of an object A.

2.2 Universal Arrows

We use comma categories to construct more general universal objects in categories. If $F: C \to D$ is a functor, and $A \in D$, then a **universal arrow** is an initial object $i: A \to F(X)$ in $A \downarrow F$. This means that for any $f: A \to F(Y)$, there exists a unique map $f_*: X \to Y$ such that $f = F(f_*) \circ i$. These universal arrows give notions of 'free generated' objects, adding structure to previous structure.

Example. Consider the forgetful functor F from Ab to Set. The association of $\mathbb{Z}\langle S\rangle$ to each set S is a universal arrow with respect to this functor. A map $f:S\to F(G)$ induces a map $f_*:\mathbb{Z}\langle S\rangle\to G$, defined by $f_*(\sum n_s s)=\sum n_s f(s)$, which is the unique map such that $F(f_*)\circ i=f$. Similarly, the free module over some set S is the universal arrow with respect to the forgetful functor from Mod to Set.

Example. The association of a the free category C(G) to each graph G is a universal arrow with respect to the forgetful functor from Cat to Graph.

Example. The forgetful from functor from the category of fields to the category of integral domains has a universal arrow which is the field of quotients K(A) to each integral domain A.

Example. The forgetful functor from the category of complete metric spaces to metric spaces has a universal arrow which associates the completing of a metric space with each metric space.

2.3 Product and Coproducts

Given two objects A and B, we will construct a **product** object $A \times B$. Consider the category whose objects consist of triplets (X, f, g), where $f: X \to A$, and $g: X \to B$ are morphisms. A morphism between (X, f_0, f_1) and (Y, g_0, g_1) is a morphism $\pi: X \to Y$, such that the diagram

$$A \xleftarrow{f_0} X$$

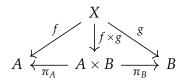
$$\downarrow^{\pi} \qquad f_1$$

$$X$$

$$\downarrow^{\pi} \qquad f_1$$

$$Y \xrightarrow{g_1} B$$

commutes. A product for A and B is then a final object $(A \times B, \pi_A, \pi_B)$ in this category. It is clear that any products are not only isomorphic in the original category, but also isomorphic in the stronger sense that if $((A \times B)_0, \pi_A^0, \pi_B^0)$ and $((A \times B)_1, \pi_A^1, \pi_B^1)$ are two products, then there must exist a unique isomorphism $\phi: (A \times B)_0 \to (A \times B)_1$ such that $\pi_A^1 \circ \phi = \pi_A^0$ and $\pi_B^1 \circ \phi = \pi_B^0$. What we have argued is that if $f: X \to A$ and $g: X \to B$ are any two morphisms, then there exists a unique morphism $f \times g: X \to A \times B$, such that the diagram



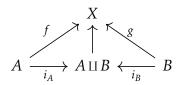
commutes. One can define the products of an arbitrary families A_{α} of objects, and we denote this product as $\prod A_{\alpha}$.

Example. The normal cartesian product $A \times B$ is the product of A and B in the category of sets, which is easy to verify.

Example. Given two groups G and H, one canonically defines the product $G \times H$ to be the set of all tuples (g,h), with $g \in G$ and $h \in H$, and with multiplication structure (g,h)(x,y) = (gx,hy). The same trick works for products of rings, modules, vector spaces, and sets, where the associated operations are adjusted accordingly.

Example. Given two affine varieties V and W contained in K^n and K^m , the set $V \times W$ viewed as a subset of K^{n+m} is naturally the product in the category of affine varieties, with $\pi_V(v,w) = v$ and $\pi_W(v,w) = w$. This follows if V is defined by the ideal $I \subset K[x]$, and W by $J \subset K[y]$, then $V \times W$ is defined by $K[x,y]I \oplus K[x,y]J$. Since $\mathbf{P}^n \times \mathbf{P}^m$ embeds in $\mathbf{P}^{(n+1)(m+1)-1}$ via the Segre embedding $(x,y) \mapsto [xy^T] = [x_iy_j]$. If $ab^T = \lambda xy^T$, and $x_i \neq 0$, then $(a_i/x_i)b_j = \lambda y_j$, so [b] = [y]. Similarly, if $y_j \neq 0$, we can divide by one side of the equation to conclude that [x] = [y].

Coproducts are obtained from the above definition by reversing the arrows. We consider the category of objects (X, f, g), where $f: A \to X$ and $g: B \to X$ are morphisms. An initial object in this category is the coproduct of A and B, denoted $A \coprod B$. Given $f: A \to X$ and $g: B \to X$, we have a unique map $f \coprod g: A \coprod B \to X$, such that



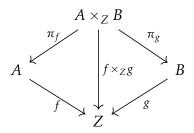
We may also take coproducts $\coprod A_{\alpha}$ of an arbitrary family of objects $\{A_{\alpha}\}$.

Example. If A and B are sets, then $A \coprod B$ can be constructed by taking $a_0 \in A \times \{0\}$ and $b_1 \in B \times \{1\}$, and considering $i_A(a) = a_0$ and $i_B(b) = b_1$.

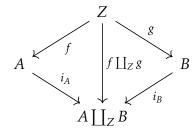
Example. Given two groups, G and H, the coproduct is the free product G*H, which is a quotient of the monoid of all finite words with elements in G and H (assumed disjoint) whose operation is concatenation. Consider the equivalence which identifies (g,g') with g*g', and h*h' with hh', and if e is the identity in G, and e' the identity in H', then identify e*h and h*e with h, and e'*g and g*e' with g. Extend this to semigroup congruence. The monoid formed is the free product, and is a group, for G*H is generated by $G \cup H$, and each $g \in G$ and $h \in H$ has an inverse in G*H. We have canonical embeddings $i_G: G \to G*H$ mapping g to itself, and $i_H: H \to G*H$ mapping h to itself.

Example. Given two modules M and N over an abelian ring R, the coproduct is the direct sum $M \oplus N$, which is the set $M \times N$ (where (m, n) is denoted $m \oplus n$) with operations $(m \oplus n) + (x \oplus y) = (m + x) \oplus (n + y)$.

Products and Coproducts are the most basic constructions in category theory, but we have some other occasionally useful objects. Fix an object Z in a category. A product of morphisms in the category $C \downarrow Z$ is called a **fibre product**, and is the final object with respect to the diagram.



 π_f is known as the pullback of f by g, and π_g the pullback of g by f. Similarily, we may consider **fiber coproducts**, or **pushouts**, the dual object, which is the coproduct of morphisms in the category $Z \downarrow C$, satisfying the diagram



More specifically, we have $f: Z \to A$ and $g: Z \to B$, and any family of maps $A \to C$ and $B \to C$ which causes the square of functions to commute factors through $A \coprod_Z B$.

Example. Consider the category of sets. Given $f: A \to Z$ and $g: B \to Z$, the natural space is choose for $A \times_Z B$ is the subset of $A \times B$ consisting of (a,b) such that f(a) = g(b). Then $(f \times_Z g)(a,b) = f(a) = g(b)$. It is easy to see any such map into A and B which makes a required diagram commute factors uniquely through $A \times_Z B$. The normal product $A \times B$ does not satisfy the required property of the fibre product, since the factor might not be uniquely defined: the product space contains too much information. Conversely, a fibre coproduct in the category of sets, given $f: Z \to A$ and $g: Z \to B$, the fibre coproduct $A \coprod_Z B$ is the quotient of $A \coprod_Z B$ obtained by identifying f(z) with g(z) for all $z \in Z$, so that the required inclusions $A \to A \coprod_Z B$ and $B \to B \coprod_Z B$ commute with f and g. If $h_0: A \to C$ and $h_1: B \to C$ are such that $h_0 \circ f = h_1 \circ g$, then $h_0(f(z)) = h_1(g(z))$, so the maps from $A \coprod_Z B$ to C descend to a map from $A \coprod_Z B$ to C uniquely. A special case is obtained when C consists of a single point, where C is the wedge sum, obtained by identifying a point in C and a point in C.

Example. Fibre products exist in the category of groups. Let $f: G \to K$ and $g: H \to K$ be two maps. Let $G \times_K H = \{(x,y) \in G \times H : f(x) = g(y)\}$, and let π_f and π_g be the standard projections, then define

$$f \times_K g = f \circ \pi_f = g \circ \pi_g$$

Let $\pi: L \to G$, $\rho: L \to H$, and $\psi: L \to K$ be three maps such that

$$f\circ\pi=g\circ\rho=\psi$$

Then we may consider $\pi \times \rho: L \to G \times H$, and the image of $\pi \times \rho$ is contained in $G \times_K H$, for $f(\pi(x)) = g(\rho(x))$, hence we may consider $\pi \times_K \rho: L \to G \times_K H$, obtained by restricting the domain. This map is unique, for the product map is unique. The normal product $G \times H$ does not satisfy the property of $G \times_K H$, because there may not be globally definable morphisms π_f and π_g making the diagram commute. Fibred coproducts exist, as a natural quotient of the free product.

Example. If Z is a final object in the category, then $X \times_Z Y$ is precisely $X \times Y$. This is because given $f_X : X \to Z$ and $f_Y : Y \to Z$, and $g_X : A \to X$, $g_Y : A \to Y$,

the universal property of the product implies there is a map $g: A \to X \times Y$, and the projections commute as desired because the final object makes the final commuting parts of the diagram trivial.

2.4 Universal Arrows

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2.5 The Yoneda Lemma

The Yoneda lemma says that an object A is fully understood by either the homomorphisms into it, or the homomorphisms out of it. More precisely, for any object A in a category, we can consider a functor h^A , also denote $Mor(A,\cdot)$ from that category to Set, with $h^A(X) = Mor(A,X)$, and such that if $f: X \to Y$, then $f_* = h^A(f): Mor(A,X) \to Mor(A,Y)$ is given by $f_*(g) = f \circ g$. We also recall the notion of a functor category D^C , whose object consist of functors from C to D, and whose morphisms are natural transformations between two functors.

Theorem 2.1 (Yoneda). For any functor F from C to Set, we have a one to one correspondence between $Nat(Hom(A,\cdot),F)$ and F(A), which is natural equivalence when both sides are considered as bifunctors from $C \times Set^C$ to Set.

Proof. Consider a natural transformation η from Hom(A,X) to F. Then we have for each object X a map $\eta_X: \text{Mor}(A,X) \to F(X)$, and for each morphism $f: X \to Y$, and $g: A \to X$, $F(f)(\eta_X(g)) = \eta_Y(f \circ g)$. Define $x = \eta_A(\text{id}_A) \in F(A)$. Then we know that for any $f: A \to X$,

$$F(f)(x) = F(f)(\eta_A(id)) = \eta_X(f \circ id) = \eta_X(f)$$
$$F(f)(x) = F(f)(\eta_A(id)) = \eta_X(f)$$

Thus $\eta_A(\mathrm{id})$ uniquely determines the natural transformation for all elements of $\mathrm{Mor}(A,X)$. Given any $x \in F(A)$, the equation $\eta_X(f) = F(f)(x)$ is a natural transformation from $\mathrm{Mor}(\cdot,A)$ to F, since then for any $f:X \to Y$, and $g:A \to X$,

$$F(f)(\eta_X(g)) = (F(f) \circ F(g))(x) = F(f \circ g)(x) = \eta_Y(f \circ g)$$

For each functor F, and objects A and X. Thus the correspondence really is a bijection. We now prove the association is natural. First, we prove naturality in F. Given a natural transformation ψ between F and some functor G, we obtain a square

$$Nat(\operatorname{Hom}(A,\cdot),F) \longrightarrow F(A)$$

$$\downarrow \psi_* \qquad \qquad \downarrow \psi_A$$

$$Nat(\operatorname{Hom}(A,\cdot),G) \longrightarrow G(A)$$

Let η be a natural transformation between $\operatorname{Hom}(A,\cdot)$ and F. Then we must show that $\psi_A(\eta_A(\operatorname{id})) = (\psi_*\eta)_A(\operatorname{id})$, which is precisely the definition of ψ_* . Thus the map is natural in F. Given a morphism $f:A\to B$, and a natural transformation η , between $\operatorname{Hom}(A,\cdot)$ and F, which is a family of morphisms $\eta_X:\operatorname{Hom}(A,X)\to F(X)$, we can define maps $f_*(\eta)_X:\operatorname{Hom}(B,X)\to F(X)$ given by $f_*(\eta)_X(g)=\eta_X(g\circ f)$. We get a square

$$Nat(Hom(A,\cdot),F) \longrightarrow F(A)$$

$$\downarrow_{f_*} \qquad \qquad \downarrow_{F(f)}$$

$$Nat(Hom(B,\cdot),F) \longrightarrow F(B)$$

The fact that this diagram is natural follows precisely because η is a natural transformation.

Example. Let C be a single object X and such that every morphism is an isomorphism. Then C precisely describes the data of a group G = Mor(X,X) = Aut(X,X), and a covariant functor from C to Set is just an action of G on a set S in disguise. The map h^X just maps X to Aut(X), and maps $x \in G$ to the action $x_*(y) = xy$. Thus the Yoneda lemma says that the G morphisms from h^X to S is naturally in one to one correspondence with the elements of S. For each $s \in S$, we have the map $x \mapsto xs$, for $x \in Aut(x)$.

2.6 Adjoint Functors

Universal properties characterize objects in a category. Adjoint functors characterize functors. Two functors $F: C \to D$ and $G: D \to C$ are known as an **adjoint pair** if, for each $A \in C$ and $B \in D$ there is a natural bijection between Mor(F(A), B) and Mor(A, G(B)). F is known as a left adjoint to G,

and *G* a right adjoint to *F*. It is easy to check that being a right or left adjoint to a particular functor defines a functor up to natural isomorphism.

Example. In the category of modules over a ring A, we have a bijection between $Hom(M \otimes N, P)$ and Hom(M, Hom(N, P)), where an element of $f: M \otimes N \to P$ on the left hand side, corresponds to $f'(x)(y) = f(x \otimes y)$ on the right hand side. We will show this bijection shows that the tensor product functor $(\cdot) \otimes N$ is a left adjoint to the homomorphism function $Hom(N, \cdot)$. For any $g: M_1 \to M_0$, we have a commutative diagram

$$\begin{array}{ccc} \operatorname{Hom}(M_0 \otimes N, P) & & \xrightarrow{g^*} & \operatorname{Hom}(M_1 \otimes N, P) \\ & & & \downarrow & & \downarrow \\ \operatorname{Hom}(M_0, \operatorname{Hom}(N, P)) & \xrightarrow{g^*} & \operatorname{Hom}(M_1, \operatorname{Hom}(N, P)) \end{array}$$

because if $f: M_0 \otimes N \to P$, $x \in M_1$, and $y \in N$, then

$$g^*(f')(x)(y) = (f' \circ g)(x)(y) = f'(g(x))(y) = f(g(x) \otimes y)$$
$$(g^*f)'(x)(y) = (g^*f)(x \otimes y) = f(g(x) \otimes y)$$

Similarly, given any $g: P_0 \rightarrow P_1$, we have a commutative diagram

$$\begin{array}{ccc} \operatorname{Hom}(M \otimes N, P_0) & \xrightarrow{g_*} & \operatorname{Hom}(M \otimes N, P_1) \\ & & \downarrow & & \downarrow \\ \operatorname{Hom}(M, \operatorname{Hom}(N, P_0)) & \xrightarrow{g_*} & \operatorname{Hom}(M, \operatorname{Hom}(N, P_1)) \end{array}$$

where

$$g_*(f')(x)(y) = g(f'(x)(y)) = g(f(x \otimes y))$$

$$(g_*f)'(x)(y) = (g_*f)(x \otimes y) = g(f(x \otimes y))$$

Thus the correspondence is natural.

Example. If we have a morphism of rings $B \to A$, then every A module can be considered as a B module, so we get a 'restriction of scalars' functor $F(M) = M_B$ from the category of A modules to the category of B modules. This functor is right adjoint to the 'extension of scalars' functor $G(M) = M \otimes_B A$ from B modules into A modules. This means that there is a bijection between the homomorphisms $Hom_A(M \otimes_B A, N)$ and $Hom_B(M, N_B)$. If we have a B morphism

 $f: M \to N_B$, then we obtain an A linear morphism $f': M \otimes_B A \to N$ by $f'(x \otimes a) = af(x)$. The inverse map takes a map g from $M \otimes_B A$ to N and considers the induced map $x \mapsto x \otimes 1 \to N$ from M to N_B .

Example. If S is an abelian semigroup, one can consider the groupification G_S of S, obtained by the equivalence relation on $S \times S$ by setting $(a,b) \sim (c,d)$ if a+d=c+b. Then we embed S in Grp(S) by the map $s\mapsto [s,0]$. If $f:S\to H$ is a homomorphism, we can define a homomorphism $f:Grp(S)\to H$ by defining f[a,b]=f(a)-f(b), and this is the unique homomorphism extending the map on S to Grp(S). The map Grp is a functor from the category of abelian semigroups to the category of abelian groups. The functor F associating each abelian group H with itself as an abelian semigroup (a forgetful functor) is then a right-adjoint to the groupification functor. We have a bijection between Hom(Grp(S), H) and Hom(S, H), because every homomorphism $Grp(S)\to H$ restricts to a homomorphism $S\to H$, and every homomorphism $S\to H$ extends to $Grp(S)\to H$. The adjoint property follows automatically.

Both of the examples above can be considered in the same family of left and right adjoints. In both situations, we 'forget' some structure to an object. The existence of right adjoints to these forgetful functors allows us to construct additional structure out of an existing structure in a way that doesn't really increase the number of morphisms we have.

Chapter 3

Abelian Categories

In many categories, we can consider arguments by diagram chasing. This works most nicely over categories with objects that 'behave like abelian groups', or 'behave like modules'. The axiomatic formulation studies a family of categories known as Abelian. An **additive category** is a category such that for any two objects A and B, Mor(A, B) is an abelian group, such that addition distributes over composition, the category has finite products, and the category has a **zero object** 0 (an object that is both initial and final). In such a scenario, Mor(A, B) is often denoted Hom(A, B). We let $0 \in Hom(A, B)$ denote by the additive identity in the group, and also the map $i \circ j$ obtained by the composition $A \to 0 \to B$, so $i : 0 \to B$, and $j : A \to 0$. Then $(i \circ j) + (i \circ j) = i \circ (j + j) = i \circ j$, from which it follows that $i \circ j = 0$.

An **additive functor** between additive categories is a functor preserving addition.

We now prove that finite products in an additive category are also finite coproducts. In particular, in an additive categories finite coproducts exist. Given a

Lemma 3.1. If i_A