## Chapter 1

## The Setup

In any physical theory, we must characterize mathematically the *state* of a system (all information describing the situation of a physical system at a particular time), and the observables, the functions of a state, which give ways in which the state of a system can be reduced to quantities that can be observed experimentally. For instance, in Hamiltonian classical mechanics, the state of a system is given by a point in a sympletic manifold M, and observables given by functions  $f: M \to \mathbb{R}$ , which should be continuous if we are to correctly measure these observables up to a small degree of error. The observables are then 'second order' as they are defined in terms of states, but we can also reverse the situation, describing the observables as the  $C^*$  algebra A = C(M). The states then become precisely a *positive* linear functional  $\phi: A \to \mathbf{R}$  with  $\phi(1) = 1$ . It is natural in the later quantum mechanics to complexify the  $C^*$  algebra A. Then the observables become the *self-adjoint* elements of A, and the states the linear functionals  $\phi: A \to \mathbb{C}$  with  $\phi(1) = 1$  and with  $\phi(X) \ge 0$  if  $X \ge 0$ . The Riesz representation theorem allows us to identify an arbitrary positive linear functionals  $\phi: A \to \mathbf{R}$  such that  $\phi(1) = 1$  with a Borel probability measure  $\mu$  on M. We then think of an element  $X \in A$  as a random variable over the probability space  $(M, \mu)$ , because we then have

$$\mathbf{E}_{\phi}[X] = \int X \, d\mu = \phi(X).$$

Similarily,

$$\sigma_{\phi}(X)^{2} = \mathbf{V}_{\phi}(X) = \phi(X^{2}) - \phi(X)^{2}.$$

The *pure*, deterministic states  $\phi$  can then be identified from general *mixed* states as those states such that  $\mathbf{V}_{\phi}(X) = 0$  for all observables X.

What caused this formulation to fail to explain quantum mechanical phenomena. The most fundamental experimental observation in the theory is the *uncertainty principle*. It is an experimental observation that in any physical system, if  $p: A \to \mathbb{C}$  and  $q: A \to \mathbb{C}$  are the position and momentum observables, then for any state  $\phi$ ,

$$2\sigma_{\phi}(p)\sigma_{\phi}(q) \geqslant \hbar$$
,

where  $\hbar$  is *Planck's constant*. But there are no two observables  $\phi: A \to \mathbf{R}$  with this property for all classical states, because  $\sigma_{\phi}(p) = \sigma_{\phi}(q) = 0$  for any deterministic state. Thus it appears that the only physically possible states  $\phi$  *must be uncertain* in a suitable sense; this is the *uncertainty principle*.

In the standard theory, this is remedied by replacing the observables of a system with elements of an abstract  $C^*$  algebra A, and the states with normalized, positive linear functions  $\phi:A\to \mathbf{C}$ . Each fixed state  $\phi$  then induces an algebra homomorphism  $\Phi$  from A to the family of random variables in an appropriate probability space, such that  $\mathbf{E}(\Phi(X)) = \phi(X)$ . Thus one can use the spectral calculus to obtain detailed information about the probability distribution of  $\Phi(X)$ , since for any continuous  $f:\sigma(X)\to \mathbf{C}$ , we have  $\mathbf{E}(f(X)) = \phi(f(X))$ . Note, in particular, that this means that the support of the random variable X is on  $\sigma(X)$ .

The reason this formulation is useful is that we can theoretically derive the uncertainty principle, provided we are working in a *non-commutative*  $C^*$  algebra A. Indeed, if X and Y are any observables with  $\phi(X) = \phi(Y) = 0$ , we calculate that the matrix

$$M = \begin{pmatrix} \phi(X^2) & (1/2)\phi(i[X,Y]) \\ (1/2)\phi(i[X,Y]) & \phi(Y^2) \end{pmatrix}$$

is positive-semidefinite, since for any  $v = (\alpha, \beta)^T \in \mathbf{R}$ ,

$$v^{T}Mv = \phi(X^{2})\alpha^{2} + \phi(i[X,Y])\alpha\beta + \phi(Y^{2})\beta^{2} = \phi((\alpha X - i\beta Y)(\alpha X + i\beta Y)) \geqslant 0.$$

Thus  $\det(M) = \phi(X^2)\phi(Y^2) - \phi(i[X,Y])^2/4$  is non-negative, which means that

$$2\sigma_{\phi}(X)\sigma_{\phi}(Y) = 2\phi(X^2)^{1/2}\phi(Y^2)^{1/2} \geqslant \phi(i[X,Y]).$$

Thus the uncertainty principle for position and momenta follows immediately if we model these quantities by observables p and q with  $[p,q] = -i\hbar$ .

## Chapter 2

## **Quantum Information Theory**

The simplest unit of information in classical physics is a *bit*, represented by an element of  $\{0,1\}$ . We can generalize

and the state of a collection of n bits are represented by an element of  $\{0,1\}^n$ . From the quantum perspective, a *quantum bit*, or *qubit*, is represented by an element  $\psi = \psi_0 \langle 0| + \psi_1 \langle 1|$  of a two dimension Hermitian product space with orthonormal basis  $\{\langle 0|, \langle 1|\}\}$ .

(Gleason)