Using fMRI to Diagnose Schizophrenia

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Abstract

Diagnosis of schizophrenia is a challenging task for which diagnostic tests have yet to be developed [7]. Although Functional Magnetic Resonance Imaging (fMRI) methods have become more common in the diagnosis of mental disorders have become more popular, for schizophrenia diagnosis fMRI methods need to be more robust and reliable. Similar studies [9][11] have shown that fMRI can be used in conjunction with Sparse Gaussian Markov Random Field (SGMRF) to produce high accuracy in diagnosis of illness. However having a dataset with homogeneous distribution of illness makes this result less reliable and creates the need for more evidence using heterogeneous dataset in terms of illness. In this work we pursue two paths to tackle this problem. First, we evaluate performance of Sparse Gaussian Markov Random Field (SGMRF) on fMRI data brain scans, and second we study on Regions of Interest (ROI) as defined by Power *et al.* [8]. We used 5 fold cross validation for hyper parameter tuning and 20% hold-out set for test. Accuracies that We have obtained the following accuracies using this method: for whole brain features and - for ROI features. While these result are slightly less than the results obtained by Rish *et al.*, they are on par with Rosa *et al.* results.

1 Introduction

Schizophrenia is a mental/psychiatric disorder [9, 5] known affect blood flow in the brain [5] where those who are affected can experience hallucinations, delusions and diminished mental capacities to varying extents [4]. While several features of schizophrenia have proven useful for its diagnosis there are current no set of features that have sufficient sensitivity or specificity to be used in diagnostic tests [4]. This effectively means that subjectivity plays a role when a physician is diagnosing a patient.

Functional Magnetic Resonance Imaging (fMRI) is a tool for recording functional changes caused by neuron activity[]. When a person is doing a task, neuron activity fluctuates and in order to provide the energy needed for this activity, the blood flow increases to feed the neurons with the needed glucose, which is not stored in the brain[]. More blood flow also brings more oxygen through blood vessels. This change in the level of oxygenated blood known as oxyhemoglobin and deoxyhemoglobin (oxygenated or deoxygenated blood) changes the magnetic susceptibility of blood (BOLD signal) which is detectable through fMRI [].

fMRI is one of the most used and efficient tools in the study of psychiatric disorders such as Schizophrenia[]. An advantage of fMRI in medical diagnosis is that it is non-invasive. This means

that unlike some other imaging methods, no instruments or dyes are placed in the patients body body, this method operates without using them[].

One of the approaches that has been used for studying schizophrenia is Sparse Gaussian Markov Random Field(SGMRF) [9][11]. The primary advantage of using this method is that the functional network of the brain can be captured using the precision matrix [9]. By using the resulting network, healthy subjects can be differentiated from schizophrenic ones, by observing differences in the functional connectivity of the brain. Currently automated approaches to schizophrenia diagnosis have been able to yeild accuracies of 93% for data that originates from a single location [9] and up to approximately 80% for data that originates from multiple locations [2].

In this work we consider

The rest of the paper is organized as follows.

2 Background and Prior Work

2.1 Regions of Interest and Single-Voxel Analysis

There are two main approaches for extracting information from fMRI images. The first is a single-voxel approach and the second is to study regions of interest (ROI) [3]. The trade-off between these two approaches is that a single-voxel approach requires the analysis of every voxel and is subject to the low signal-to-noise ratios of individual voxels, whereas a region based approach is only effective if the selected regions capture all relevant information in an fMRI task [3]. In 2011, Power *et al.* identified 264 putative function regions of interest derived from resting state fMRI, where no specific task being performed during data collection [8]. JD Power argues in his video abstract that these regions are currently the best representation of functional networks in the brain that are available [8].

2.1.1 Calculating Degrees

When analyzing fMRI data, features such as voxel degrees can be extracted for use with a machine learner. Voxel degrees represent the connectedness of voxels in the brain with the other voxels and are described as "the number of voxel neighbours in a network" [9]. Degrees are calculated by performing multiple Pearson correlation comparisons between the ith voxel and every other voxel. Once correlation values have been determined, a threshold is applied to the correlation matrix. This results in binary matrix where 1 represents a correlation value above the threshold and 0 represents a value below. Finally, for each voxel the number of 1 entries are summed (excluding the comparison against itself) and this becomes the degree of the voxel.

2.2 Multi-site Comparisons

Although, there were several prior work on the classification of schizophrenia patients using fMRI, most of them are based on data from a single site. Classification from multi-site data is inherently difficult due to the batch effects resulted from the use of different machines and environments. At the same time, multi-site analysis can easily be generalized for a new data set from a totally different source. Cheng et al. [2] analyzes a multi site data set which is obtained from five different sites with different machines. They used SVM for classify schizophrenia patients and healthy controls and obtained accuracies in the range of $73.53 - 80.92\%$. In this research we will try to obtain results with similar accuracies, but using probabilistic graphical methods.

2.3 Principal Component Analysis

2.4 Support Vector Machines

In a 1995 paper by Vladimir Vapnik *et al.* the concept of support Vector Machines (SVMs) was introduced as a statistical tool for classification problems [12]. In our work we use the simplest SVM, a linear SVM, because it does not use non-linear kernels and therefore has no hyperparameters that need to be tuned with cross validation. Instead, a linear SVM learns the "maximum-margin hyperplane" classifier which is a linear combination of the input features and partitions the data space into separate classes. The term "maximum-margin" refers to the SVM's ability to find the maximal

separation between classes and the hyperplane, therefore creating the largest "margin" [12]. We can be guaranteed of the optimality of the result as the SVM problem is known to be convex [1]. The simplest form of a linear SVM minimizes $\frac{1}{2}||w||^2$ subject to the constraint $y_i(x_i w + b) - 1 \geq 0, \forall i$ where w is the weight vector, x_i is the instance's features, y_i is the instance label and b is the bias term of the model. Unfortunately, this version of the SVM only works in the case where the data is linearly separable. To extend the SVM to linearly inseparable data, the addition of positive slack variables is required such that the constraints become $\forall i \ y_i(x_i w + b) - 1 + \xi_i \geq 0$ and $\xi_i \geq 0$, where xi_i is the slack variable for the instance i [1].

2.5   SGMRF

What is a SGMRF and how does it work

One variation of Markov Random Field is Gaussian Random which is mostly being used for continuous space of variables and has well-defined mathematic properties that can be computed. Multivariate Gaussian density function over set of random variables X is defined as below:

$$p(X) = (2\pi)^{-n/2} |\Sigma|^{-1/2} \exp\left\{ -\frac{1}{2}(X - \mu)^t \Sigma^{-1}(X - \mu) \right\}. \tag{1}$$

Where μ is mean and Σ is the covariance matrix. We can set the μ to zero and replace Σ^{-1} with C the equation (1) can be written as the following form. It also should be noted that $C = \Sigma^{-1}$ and is known as the precision matrix in the literature.

$$p(X) = (2\pi)^{-n/2} |C|^{1/2} \exp\left\{ -\frac{1}{2}X^t C X \right\}. \tag{2}$$

It has been shown by [6] that X_i and X_j are independent if and only if their corresponding entries in the precision matrix (C) are zero. It can be concluded that missing edge in the MRF will lead to zero entries in the precision matrix[10]. The Problem of learning probabilistic graphical model for a given dataset is reduced to learning the precision matrix given this proof.

Log-likelihood of the dataset assuming each row of the data is a p-dimensional vector and consisting n samples $D = \{X_1, X_2, ..., X_n\}$ can be written as follow. It should also be noted that we assume each sample is identically independent distributed(iid).

$$L(D) = \frac{n}{2}|C| - \frac{1}{2}\sum_{i=1}^{n}(X_i - \mu)^T C(X_i - \mu) + const, \tag{3}$$

const is a constant which is not depend of μ and C. We also can center the data in a way that $\mu = 0$ so the second term reduces to the $\frac{1}{2}\sum_{i=1}^{n} X_i^T C X_i$ which is equal $\frac{n}{2}$ tr(AC), where tr is trace of the matrix. The above formula will can be written as:

$$L(D) = \frac{n}{2}|C - tr(AC)| + const, \tag{4}$$

Thus the log-likelihood maximization problem will become like following:

$$\max_{C \succ 0} |C| - tr(AC) \tag{5}$$

Where $|...|$ represents determinant and A is the empirical covariance matrix calculated by $A = \frac{n}{2}\sum_{i=1}^{n} X_i^T X_i$, or maximum likelihood estimation fo Σ. It should also be noted the $C \succ 0$ constraint makes C positive definite.

One obvious way for learning the parameters for joint distribution probability is using regularized likelihood maximization such as AIC and BIC. However, finding the simplest model which fits
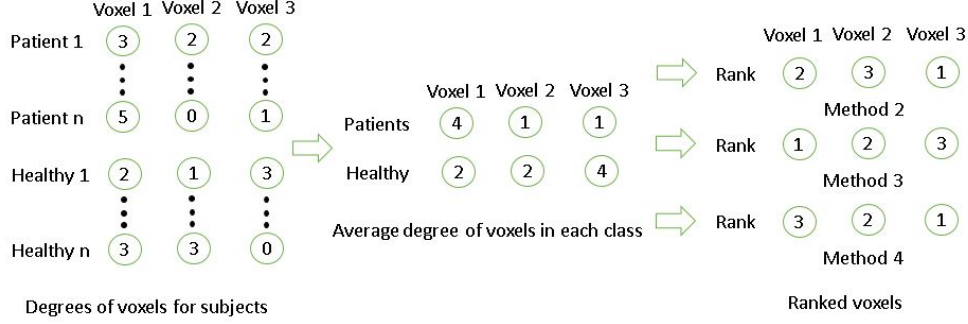
Figure 1: Voxel ranking methods to select best voxels, method 2 ranks the voxels based on the absolute difference between the average degrees, method 3 ranks based on the difference between the patients average degreees and healthy average degrees and method 4 ranks based on the difference between the healthy and patients average differences.

the data is a NP-hard problem using this approach. There are also few limitations that make using this approach less desirable. First Empirical covariance matrix may not even exist, specially when the number of features in the dataset is more than the number of samples. Which is the case in fMRI studies. fMRI datasets usually have fraction of samples to features. Second problem using this approach is not having zero elements in the inverse of empirical covariance matrix. Hence, to construct the MRF using this matrix one should include explicit sparsity constraint.[10]

These two major problems cause us to search for other solution to build the precision matrix given the dataset. Fortunately one can use the alternative approximation approach for the above problem. Recently there have been some successes for getting the approximate precision matrix given the dataset. Glasso, block-coordinate descend(BCD) known as COVSEL and projected gradient approach are among them. for further reading on these methods readers can refer to [10]. In this study we are using Varsel and glasso as the preferred method for obtaining the precision matrix and subsequently MRF.

3 Methodology

3.1 Data Set

Data used for this study were downloaded from the Function BIRN Data Repository (http://fbirnbdr.birncommunity.org:8080/BDR/). The original data contained nine sites and 235 subjects. However, during the preprocessing steps some of the subjects were removed and we had 95 subjects and five sites. Data were preprocessed by Dr. Mina Gheiratmand by using FSL software (http://fsl.fmrib.ox.ac.uk/fsl/fslwiki/). Our data contained 46 schizophrenic subjects and 49 healthy subjects. Each subject had four runs so effectively our data set had 380 subjects. Each run had 137 time slices and each time slice had the signal amplitude of over $100,000$ voxels. The voxels were referred using the 3D coordinates and thus the dimensionality of a single run was $\mathcal{R}^{N_1 \times N_2 \times N_3 \times 137}$.

We removed 80% of subjects from each site as our holdout set and used the remaining set as our training set. Furthermore, we made sure that the holdout set is also balanced, so that the ratio between the patients and healthy subjects is same in the holdout and training sets for each site. Also we made sure that all the runs from the same subject either belonged to the holdout set or to the training set. The training set is used for finding the hyperparameters of the system using five-hold cross validations. After finding the hyper parameters we used the full training set to train the system with the selected hyper parameter and obtained the accuracy on the hold out set. Furthermore, we repeated this procedure five times for different hold out sets to get an average accuracy.

3.2 SGMRF with log degrees of ranked voxels

First, we executed the approach proposed by Rish *et al.* [9] on our data set. In the data set we used we had degrees of each voxels as well as the smoothed log values of the voxels for each subject. Furthermore, as some voxels of some subjects had zero values for the BOLD signal, an universal mask was used to select the voxels which had a non-zero BOLD signal value through the whole data set. This resulted in a 28719 voxels per each subject. However, using all the available voxels resulted in computation difficulties and thus selecting the best voxels (based on the training data) to separate the healthy and schizophrenic subjects was very important.

To select the best voxels out of the possible 28719 voxels, we experimented multiple approaches. These include

1. Selecting the voxels based on the t-test.
2. Selecting the voxels based on the absolute differences between the mean degrees of a voxel between schizophrenic and healthy subjects.
3. Selecting the voxels based on the differences between the mean degrees of a voxel between schizophrenic and healthy subjects.
4. Selecting the voxels based on the differences between the mean degrees of a voxel between healthy and schizophrenic subjects.

The last three voxel ranking methods are explained in Fig. 3.2. Out of the above four approaches we obtained the highest accuracy on our cross validation set by the third approach. Also the number of voxels k to be used in the SGMRF model was decided by running the experiment for different k values and found the optimum value20 for our cross validation set. By using the selected voxels, we obtained the precision matrices for schizophrenic and healthy subjects. Similar to the value of k, the sparsity coefficient λ was also obtained through a hyperparameter search on our cross validation set.

3.3 Methods using Power *et al.*'s ROIs

The following three methods used in this subsection all involve the 264 regions of interest that are described in work by Power *et al.*. Due to missing data resulting from incomplete scans of subjects, 8 regions were removed from analysis leaving 256 ROIs. The regions removed are characterized by region number with the associated MNI coordinates described in Power *et al.* provided in parenthesis: 81 (-44, 12, -34), 82 (46, 16, -30), 128 (52, 7, -30), 184 (17, -80, -34), 247 (33, 12, -34), 248 (-31, -10, -36), 249 (49, -3, -38) and 250 (-50, -7, -39). We use the same approach as described by Vega *et al.* [13] to summarize regions of interest by taking region averages for each of the $5mm$ radius spheres that define regions. Finally this results in a 137×256 matrix for each subject where each row is a time point across all regions and each column is the average time series for a single region.

3.3.1 ROI with Subject Concatenation

For this approach we follow the method as described by Vega *et al.*. Training set subjects in each class are first concatenated so that two large matrices are created, one with dimension $ns * 137 \times 256$ and the other with dimension $nh * 137 \times 256$ where ns is the number of schizophenic subjects in the training set and nh is the number of health subjects. To make learning the MRF structure easier, feature normalization is performed were for each class and each region in that class, the region mean is subtracted from each time point and each time point is divided by the region standard deviation.

$$ClassRegion_i = \frac{ClassRegion_i - mean(ClassRegion_i)}{std(ClassRegion_i)} \qquad (6)$$

Next, with the use of GLasso, a SGMRF structure is learned for each class which results in two sparse precision matrices that encode the independences learned.

Finally, when presented with a new subject from the hold-out set, the equation below is used to determine the likelihood of the subject belonging to each class. The class with the highest likelihood then becomes the predicted label for the subject.

A modified version of this approach was also implemented and tested but has been omitted for brevity and due to it obtaining poor results. In this variant a Fourier transform was used on each of the subject's time series data to obtain Fourier coefficients. These Fourier coefficients were then used in place of the original time series for learning a classifier.

$$inserthere \tag{7}$$

3.3.2 Region Degrees and SVMs

Like the work described in Rish *et al.* region degrees are also used in this approach but in this case, we only consider the degrees that result from the 256 ROIs. This process is illustrated in Figure!**??**. For each subject we generate a correlation matrix that records the correlation between the average time series in their $region_i$ and $region_j$. Because we are not interested in the correlation between a region and itself (which is trivially 1) we subtract the diagonal of the correlation matrix from itself. Using a threshold of 0.7 which was chosen based on work by Rish *et al.*, we then proceed to create the binary matrix and sum the column values as described previously.

Now that we have a vector of region degrees (1×256) for each subject, a SGMRF is used to again to build a classifier. This follows similarly from the previous approach except that now the input to GLasso is a $ns \times 256$ matrix for schizophrenic patients and a $nh \times 256$ matrix for healthy subjects. Again this results in two 256×256 precision matrices which we can use in likelihood calculations for subjects from the hold-out set.

Additionally, we also used a linear SVM classifier trained directly on region degree data to compare its performance to the SGMRF classifier.

3.3.3 Individual MRF Structure Classification

This approach is similar to the region concatenation approach described previously except that here we do not concatenate subjects and instead learn a precision matrix (sparse MRF structure) for each subject individually. For example, if we have ns_{total} schizophrenics in our dataset and nh_{total} healthy subjects ($ns_{total} + nh_{total}$ 137×256 matrices) then we will use GLasso to generate $ns + nh$ precision matrices.

To build a classifier, a linear SVM is trained on the precision matrices generated from the training set and then tested on the precision matrices generated from the hold-out set.

3.4 Your Approach? Farhad

Describe your experiments

4 Results

4.1 Your Approach? Mario

With the Rish *et al.* code we obtained a 69% cross validation accuracy on our cross validation sets and 75% accuracy on the hold-out set.

4.2 Methods using Power *et al.*'s ROIs

4.2.1 ROI with Patient Concatenation

Average of 5 hold-out sets is 74.17%

4.2.2 Region Degrees and SVMs

Average of 5 hold-out sets is 60.65% for SVM

4.2.3 Individual MRF Structure Classification

Average of 5 hold-out sets is 69.44% for SVM

4.3 Your Approach? Farhad

Report your results

5 Discussion

6 Conclusions and Future Work

7 Acknowledgements

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