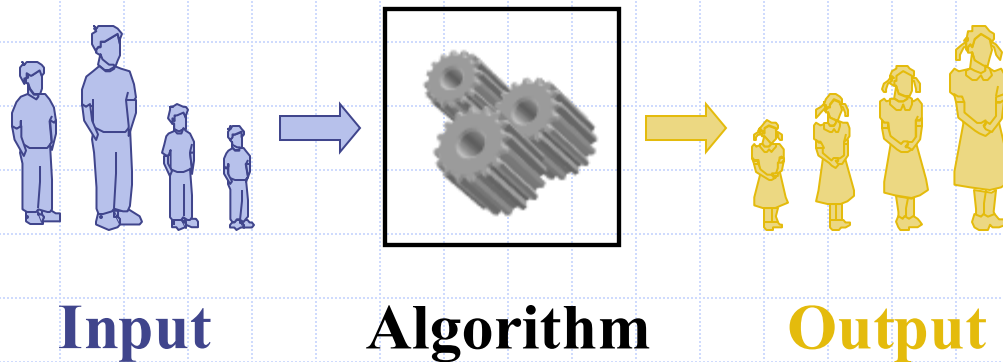


# Analysis of Algorithms



An **algorithm** is a step-by-step procedure for solving a problem in a finite amount of time.

# What We Want

- ◆ A method for measuring, roughly, the cost (or speed) of executing an algorithm
- ◆ Several potential methods
  - Obvious one is measuring an implementation
  - Another is counting instructions

# Implementation

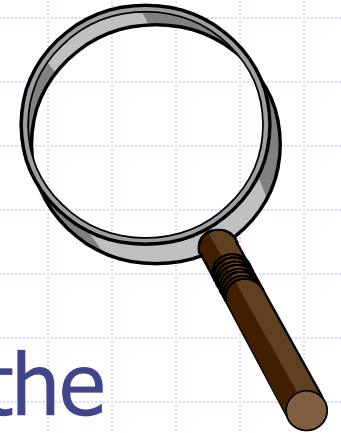
- ◆ Who implements it
  - And how do they do this?
- ◆ With what compiler? Using what settings?
- ◆ On whose hardware?
- ◆ Using whose clock?
- ◆ On what OS
  - Are other programs running? If not is this realistic?
- ◆ On what data sets?
  - Small number of data sets may not give good representation
  - Lots of data sets may not be practical

# Counting Instructions

## ◆ On what machine?

- RISC? CISC? Special Instructions (such as Intel MMX)?
- With what compiler?
  - ◆ Is it optimized for applications
- On what data?
  - ◆ Again large data sets versus few data sets.

# Theoretical Analysis



- ◆ Uses a high-level description of the algorithm instead of an implementation
- ◆ Characterizes running time as a function of the input size,  $n$ .
- ◆ Takes into account all possible inputs
- ◆ Allows us to evaluate the speed of an algorithm independent of the hardware/software environment

# Primitive Operations

- ◆ Roughly, a primitive operation is one that can be performed in a constant number of steps that ***does not depend on the size of the input***
  - Ex. Assume CPU can access arbitrary memory location in a single primitive operation

# Primitive Operations

- ◆ Assignment of variable
  - If assigning to array, two primitive ops (index into array, then write value)
- ◆ Comparing two numbers
- ◆ Basic algebraic operations
  - Addition, subtraction, multiplication, division
- ◆ This list is not exhaustive

# Big-Oh Notation ( § 1.2)

◆ Given functions  $f(n)$  and  $g(n)$ , we say that  $f(n)$  is  $O(g(n))$  if there are positive constants  $c$  and  $n_0$  such that

$$f(n) \leq cg(n) \text{ for } n \geq n_0$$

◆ Example:  $2n + 10$  is  $O(n)$

- $2n + 10 \leq cn$
- $(c - 2)n \geq 10$
- $n \geq 10/(c - 2)$
- Pick  $c = 3$  and  $n_0 = 10$

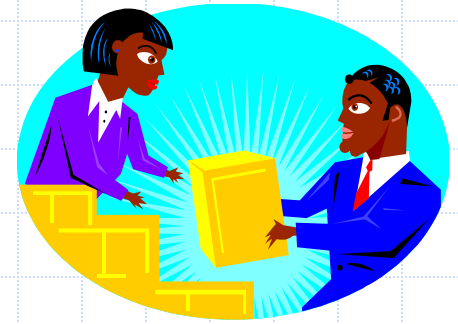


# Big-Oh Example

◆ Example: the function  $n^2$  is not  $O(n)$

- $n^2 \leq cn$
- $n \leq c$
- The above inequality cannot be satisfied since  $c$  must be a constant

# More Big-Oh Examples



## ◆ $7n-2$

$7n-2$  is  $O(n)$

need  $c > 0$  and  $n_0 \geq 1$  such that  $7n-2 \leq c \cdot n$  for  $n \geq n_0$

this is true for  $c = 7$  and  $n_0 = 1$

## ■ $3n^3 + 20n^2 + 5$

$3n^3 + 20n^2 + 5$  is  $O(n^3)$

need  $c > 0$  and  $n_0 \geq 1$  such that  $3n^3 + 20n^2 + 5 \leq c \cdot n^3$  for  $n \geq n_0$

this is true for  $c = 4$  and  $n_0 = 21$

## ■ $3 \log n + \log \log n$

$3 \log n + \log \log n$  is  $O(\log n)$

need  $c > 0$  and  $n_0 \geq 1$  such that  $3 \log n + \log \log n \leq c \cdot \log n$  for  $n \geq n_0$

this is true for  $c = 4$  and  $n_0 = 2$

# Big-Oh Rules



- ◆ If  $f(n)$  is a polynomial of degree  $d$ , then  $f(n)$  is  $O(n^d)$ , i.e.,
  1. Drop lower-order terms
  2. Drop constant factors
- ◆ Use the smallest possible class of functions
  - Say “ $2n$  is  $O(n)$ ” instead of “ $2n$  is  $O(n^2)$ ”
- ◆ Use the simplest expression of the class
  - Say “ $3n + 5$  is  $O(n)$ ” instead of “ $3n + 5$  is  $O(3n)$ ”

# Asymptotic Algorithm Analysis

- ◆ The asymptotic analysis of an algorithm determines the running time in big-Oh notation
- ◆ To perform the asymptotic analysis
  - We find the **worst-case** number of primitive operations executed as a function of the input size
    - ◆ Note we sometimes discuss average time
  - We express this function with big-Oh notation
- ◆ Since constant factors and lower-order terms are eventually dropped anyhow, we can disregard them when counting primitive operations

# Relatives of Big-Oh



## ◆ **big-Omega**

- $f(n)$  is  $\Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$

## ◆ **big-Theta**

- $f(n)$  is  $\Theta(g(n))$  if there are constants  $c' > 0$  and  $c'' > 0$  and an integer constant  $n_0 \geq 1$  such that  $c' \cdot g(n) \leq f(n) \leq c'' \cdot g(n)$  for  $n \geq n_0$

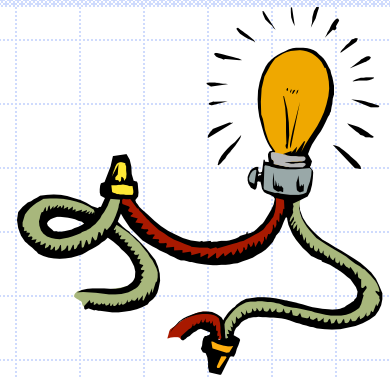
## ◆ **little-oh**

- $f(n)$  is  $o(g(n))$  if, for any constant  $c > 0$ , there is an integer constant  $n_0 \geq 0$  such that  $f(n) \leq c \cdot g(n)$  for  $n \geq n_0$

## ◆ **little-omega**

- $f(n)$  is  $\omega(g(n))$  if, for any constant  $c > 0$ , there is an integer constant  $n_0 \geq 0$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$

# Intuition for Asymptotic Notation



## Big-Oh

- $f(n)$  is  $O(g(n))$  if  $f(n)$  is asymptotically **less than or equal** to  $g(n)$

## big-Omega

- $f(n)$  is  $\Omega(g(n))$  if  $f(n)$  is asymptotically **greater than or equal** to  $g(n)$

## big-Theta

- $f(n)$  is  $\Theta(g(n))$  if  $f(n)$  is asymptotically **equal** to  $g(n)$

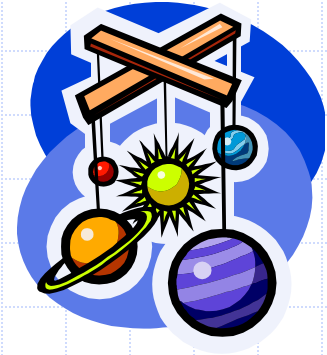
## little-oh

- $f(n)$  is  $o(g(n))$  if  $f(n)$  is asymptotically **strictly less** than  $g(n)$

## little-omega

- $f(n)$  is  $\omega(g(n))$  if  $f(n)$  is asymptotically **strictly greater** than  $g(n)$

# Example Uses of the Relatives of Big-Oh



- **$5n^2$  is  $\Omega(n^2)$**

$f(n)$  is  $\Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$

let  $c = 5$  and  $n_0 = 1$

- **$5n^2$  is  $\Omega(n)$**

$f(n)$  is  $\Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$

let  $c = 1$  and  $n_0 = 1$

- **$5n^2$  is  $\omega(n)$**

$f(n)$  is  $\omega(g(n))$  if, for any constant  $c > 0$ , there is an integer constant  $n_0 \geq 0$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$

need  $5n_0^2 \geq c \cdot n_0 \rightarrow$  given  $c$ , the  $n_0$  that satisfies this is  $n_0 \geq c/5 \geq 0$

# More Rules

- ◆ Know which functions get butts kicked by which functions (and why!)
  - I.e, look at Theorem 1.7 on p. 15 in text
- ◆ Be sure to look at Section 1.2.3 (carefully)
  - In particular, you should realize why the constants in the definition of Big-O notations are not really a factor!