

# Minimum Spanning Trees

# Let's prove something

- Prove: In an undirected graph, removing an edge from a cycle does not disconnect the graph (as in, the connected components remain identical)

# Let's talk about trees

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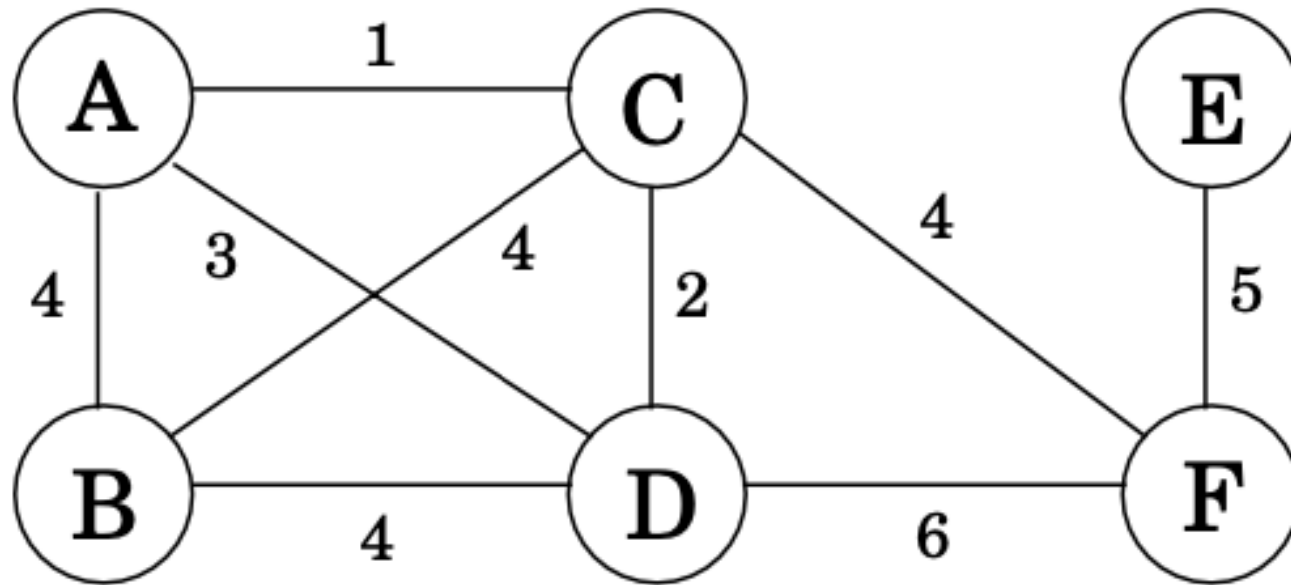
# Let's talk about trees

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- Prove: Any connected undirected graph  $G = (V, E)$ , with  $|E| = |V| - 1$  is a tree
- Prove: An undirected graph is a tree if and only if there is a unique path between any pair of nodes.

# Minimum Spanning Trees

- Defn: A minimum spanning tree (MST) of a weighted undirected graph  $G$  is a tree that spans  $G$  and that has the minimum total weight of all spanning trees of  $G$ .

Problem: Find a minimum spanning tree



- Definition: A cut of a graph  $G$  is a partition of the vertices of  $G$  into two groups:  $S$  and  $V-S$ .

**Cut property** *Suppose edges  $X$  are part of a minimum spanning tree of  $G = (V, E)$ . Pick any subset of nodes  $S$  for which  $X$  does not cross between  $S$  and  $V - S$ , and let  $e$  be the lightest edge across this partition. Then  $X \cup \{e\}$  is part of some MST.*

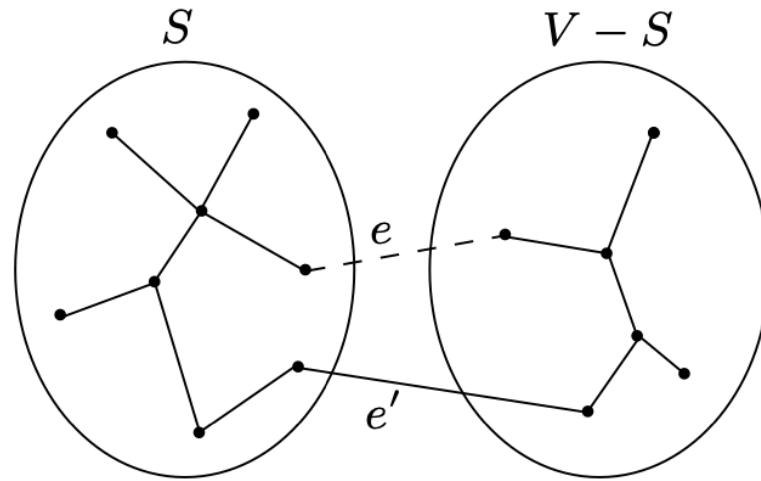


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**Figure 5.2**  $T \cup \{e\}$ . The addition of  $e$  (dotted) to  $T$  (solid lines) produces a cycle. This cycle must contain at least one other edge, shown here as  $e'$ , across the cut  $(S, V - S)$ .

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**Figure 5.4** Kruskal's minimum spanning tree algorithm.

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procedure `kruskal`( $G, w$ )

Input:     A connected undirected graph  $G = (V, E)$  with edge weights  $w_e$

Output:    A minimum spanning tree defined by the edges  $X$

for all  $u \in V$ :

`makeset`( $u$ )

$X = \{\}$

Sort the edges  $E$  by weight

for all edges  $\{u, v\} \in E$ , in increasing order of weight:

    if `find`( $u$ )  $\neq$  `find`( $v$ ):

        add edge  $\{u, v\}$  to  $X$

`union`( $u, v$ )

---

`makeset`( $x$ ): create a singleton set containing just  $x$ .

`find`( $x$ ): to which set does  $x$  belong?

`union`( $x, y$ ): merge the sets containing  $x$  and  $y$ .

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---

So, what is the cost of this?

`make_set( $x$ )`: create a singleton set containing just  $x$ .

`find( $x$ )`: to which set does  $x$  belong?

`union( $x, y$ )`: merge the sets containing  $x$  and  $y$ .

Cost depends on the cost of each of these. And what does the cost of such things typically rely on?

```
procedure makeset( $x$ )
```

```
 $\pi(x) = x$ 
```

```
 $\text{rank}(x) = 0$ 
```

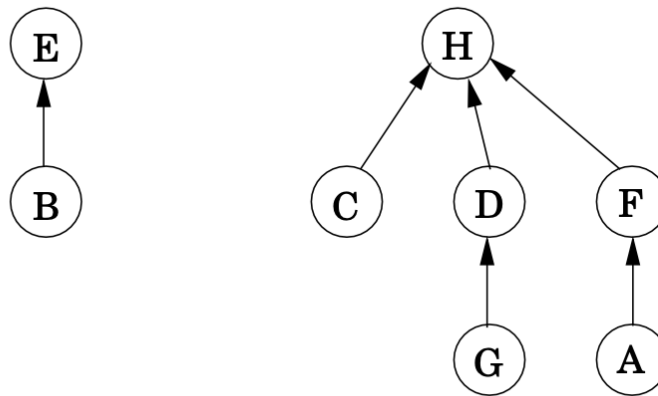
```
function find( $x$ )
```

```
while  $x \neq \pi(x) : x = \pi(x)$ 
```

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**Figure 5.5** A directed-tree representation of two sets  $\{B, E\}$  and  $\{A, C, D, F, G, H\}$ .

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procedure union( $x, y$ )

$r_x = \text{find}(x)$

$r_y = \text{find}(y)$

**if**  $r_x = r_y$ : **return**

**if**  $\text{rank}(r_x) > \text{rank}(r_y)$ :

$\pi(r_y) = r_x$

**else:**

$\pi(r_x) = r_y$

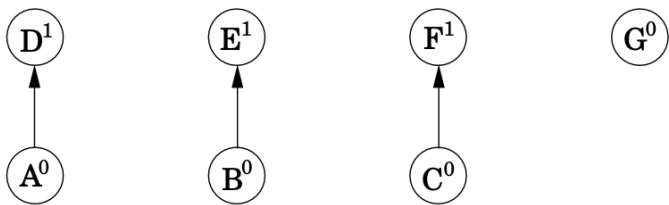
**if**  $\text{rank}(r_x) = \text{rank}(r_y)$ :  $\text{rank}(r_y) = \text{rank}(r_y) + 1$

**Figure 5.6** A sequence of disjoint-set operations. Superscripts denote rank.

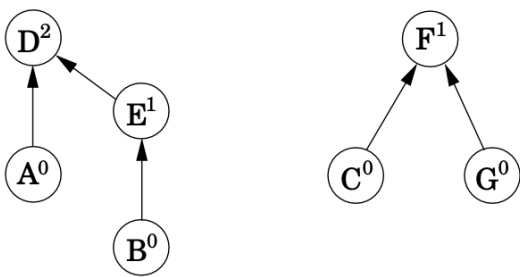
After `makeset(A), makeset(B), ..., makeset(G)`:



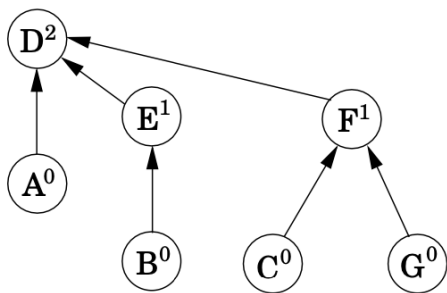
After `union(A, D), union(B, E), union(C, F)`:



After `union(C, G), union(E, A)`:



After `union(B, G)`:



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**Figure 5.4** Kruskal's minimum spanning tree algorithm.

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Output:    A minimum spanning tree defined by the edges  $X$

for all  $u \in V$ :

`makeset`( $u$ )

$X = \{\}$

Sort the edges  $E$  by weight

for all edges  $\{u, v\} \in E$ , in increasing order of weight:

    if `find`( $u$ )  $\neq$  `find`( $v$ ):

        add edge  $\{u, v\}$  to  $X$

`union`( $u, v$ )

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So, what is the cost of this?



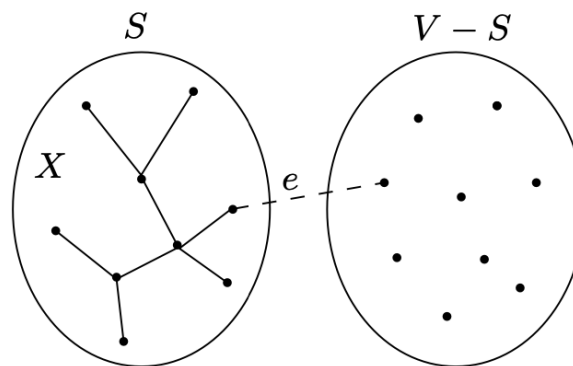
```
 $X = \{ \}$  (edges picked so far)
repeat until  $|X| = |V| - 1$ :
  pick a set  $S \subset V$  for which  $X$  has no edges between  $S$  and  $V - S$ 
  let  $e \in E$  be the minimum-weight edge between  $S$  and  $V - S$ 
   $X = X \cup \{e\}$ 
```

Cut property tells us that any algorithm that conforms to this greedy schema is guaranteed to work.

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**Figure 5.8** Prim's algorithm: the edges  $X$  form a tree, and  $S$  consists of its vertices.

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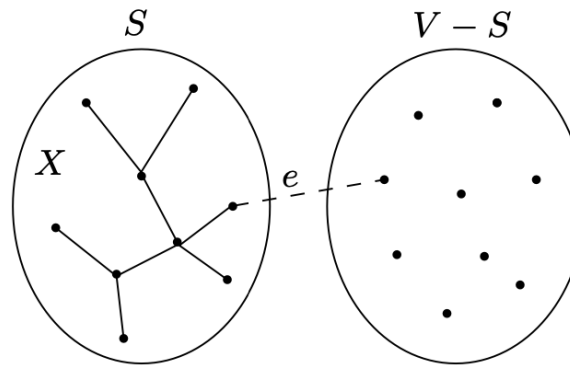
growing to include the vertex  $v \notin S$  of smallest cost:

$$\text{cost}(v) = \min_{u \in S} w(u, v).$$

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**Figure 5.8** Prim's algorithm: the edges  $X$  form a tree, and  $S$  consists of its vertices.

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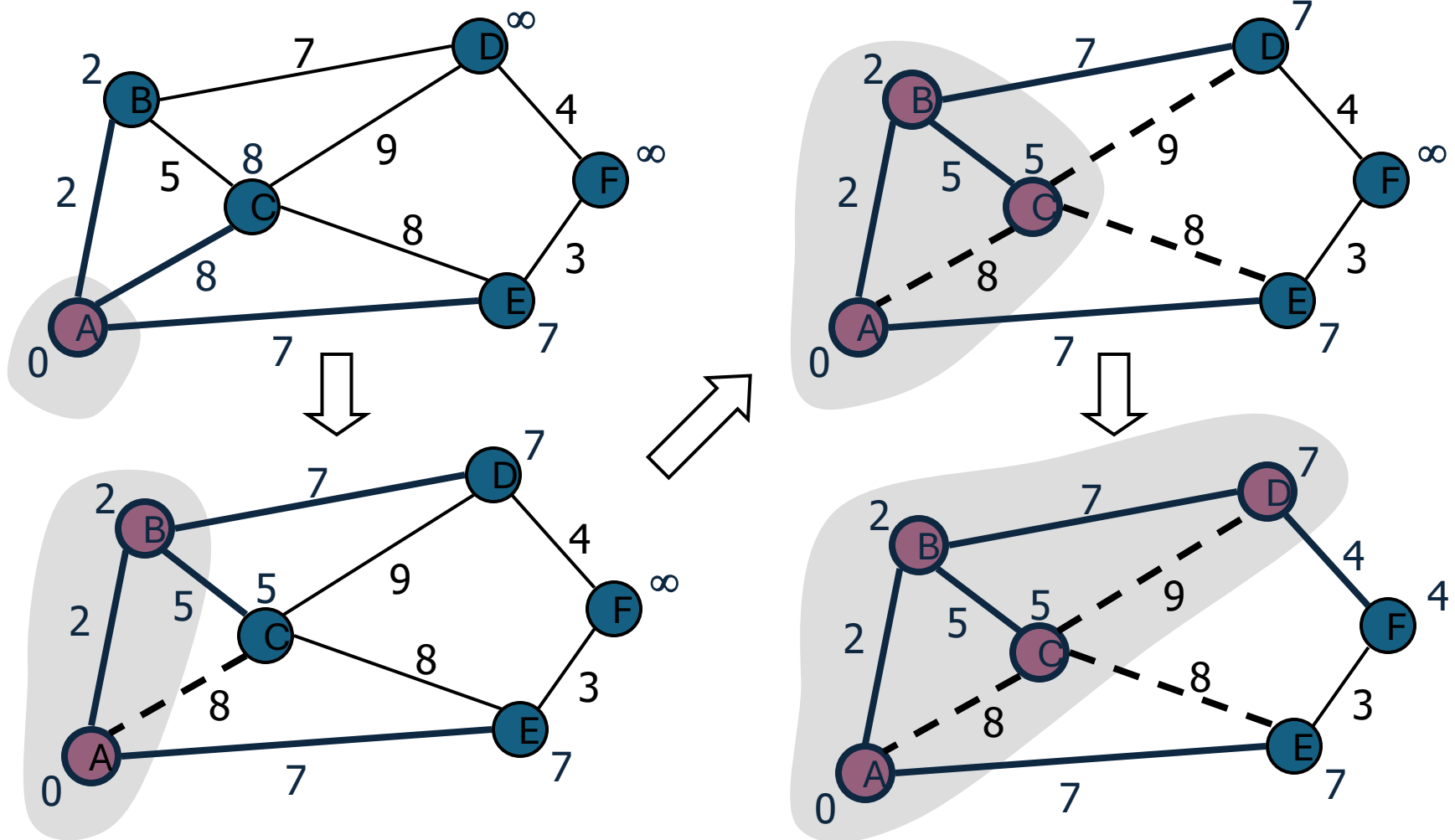
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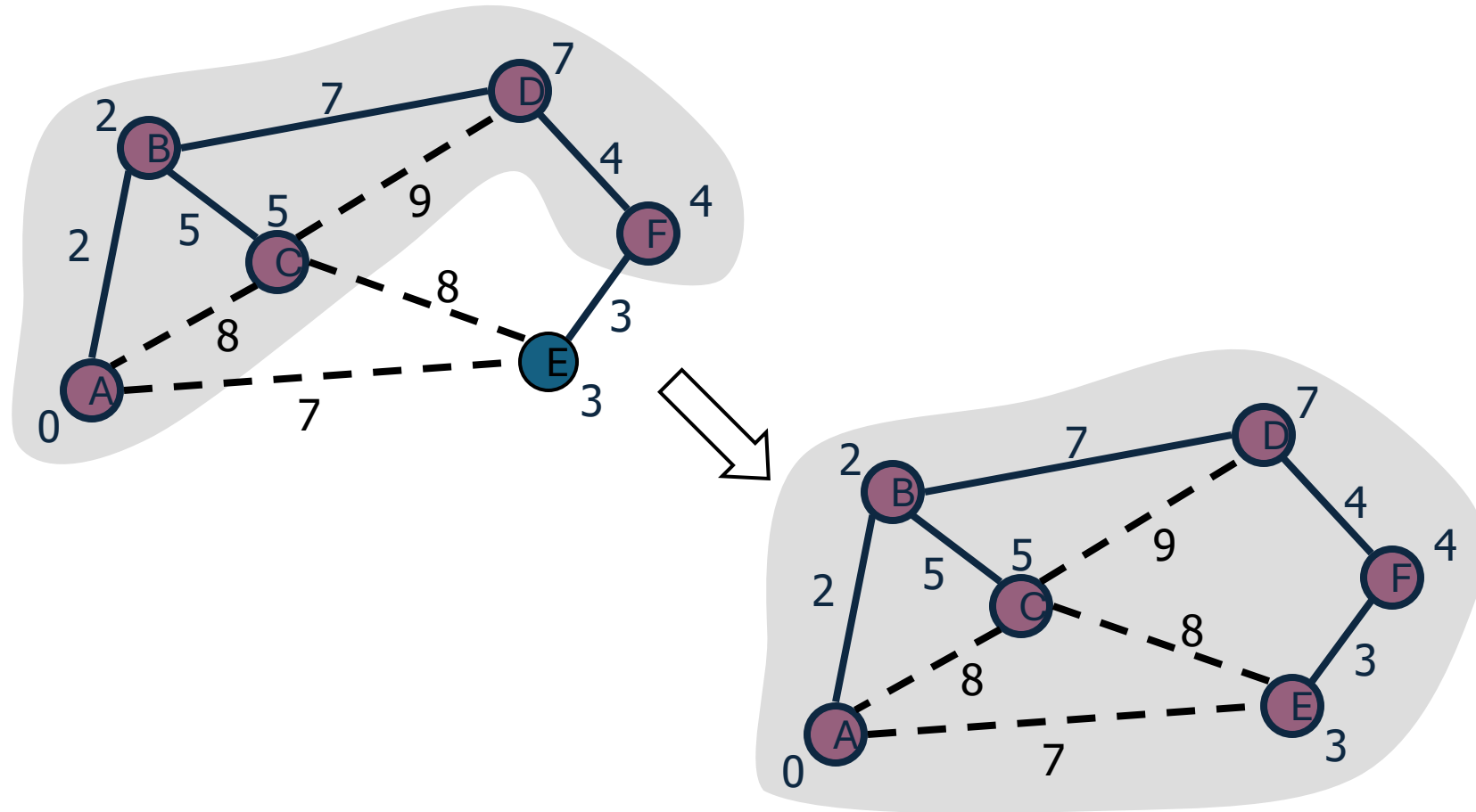
$$\text{cost}(v) = \min_{u \in S} w(u, v).$$

Does this look familiar?

# Example



# Example (contd.)



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**Figure 5.9** *Top:* Prim's minimum spanning tree algorithm. *Below:* An illustration of Prim's algorithm, starting at node  $A$ . Also shown are a table of cost/prev values, and the final MST.

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**procedure** `prim`( $G, w$ )

**Input:**     A connected undirected graph  $G = (V, E)$  with edge weights  $w_e$

**Output:**    A minimum spanning tree defined by the array `prev`

**for all**  $u \in V$ :

`cost`( $u$ ) =  $\infty$

`prev`( $u$ ) = `nil`

**Pick any initial node**  $u_0$

`cost`( $u_0$ ) = 0

$H = \text{makequeue}(V)$      (priority queue, using cost-values as keys)

**while**  $H$  is not empty:

$v = \text{deletemin}(H)$

**for each**  $\{v, z\} \in E$ :

**if** `cost`( $z$ ) >  $w(v, z)$ :

`cost`( $z$ ) =  $w(v, z)$

`prev`( $z$ ) =  $v$

`decreasekey`( $H, z$ )