

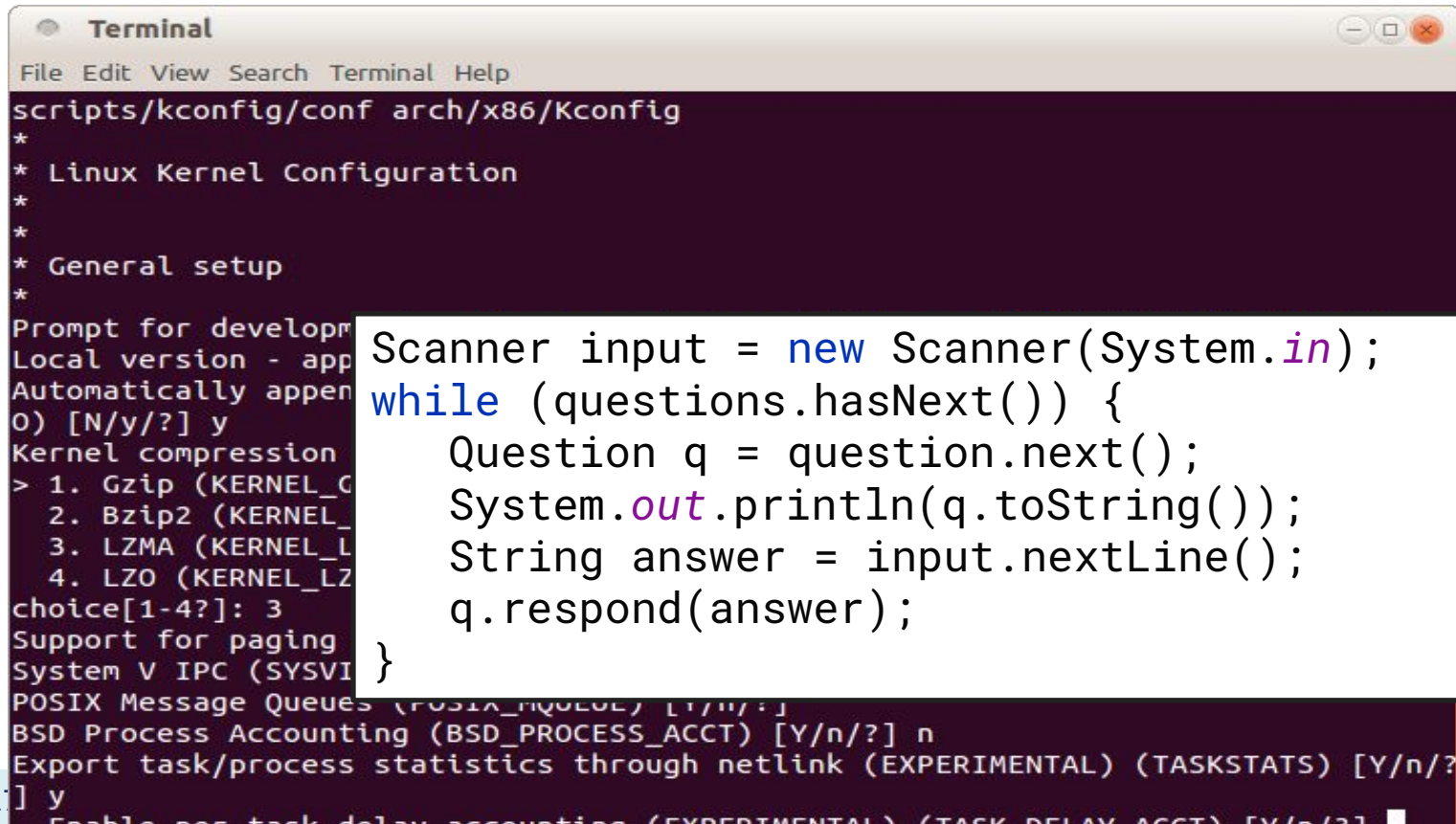
Principles of Software Construction: Objects, Design, and Concurrency

Asynchrony and Concurrency

Christian Kästner **Vincent Hellendoorn**



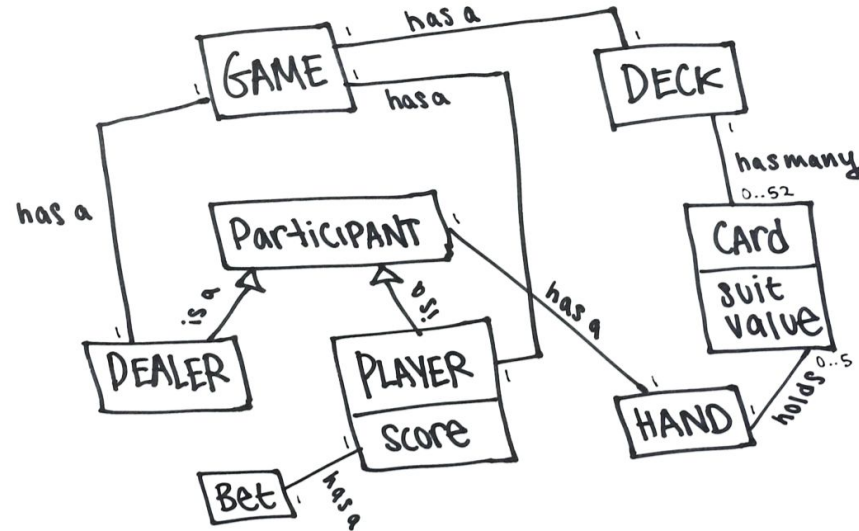
Interaction with CLI

A screenshot of a macOS Terminal window titled "Terminal". The window has a menu bar with "File", "Edit", "View", "Search", "Terminal", and "Help". The terminal content shows the execution of the command "scripts/kconfig/conf arch/x86/Kconfig", which starts the "Linux Kernel Configuration" process. It shows the "General setup" section and a prompt for development options. The user has entered 'y' for "Automatically append kernel configuration" and is now at a menu for "Kernel compression" with options: 1. Gzip (KERNEL_C), 2. Bzip2 (KERNEL_...), 3. LZMA (KERNEL_L...), and 4. LZO (KERNEL_LZ...). The user has entered '3' for choice[1-4?]. The terminal is partially obscured by a white box containing Java code.

```
Terminal
File Edit View Search Terminal Help
scripts/kconfig/conf arch/x86/Kconfig
*
* Linux Kernel Configuration
*
* General setup
*
Prompt for development
Local version - app
Automatically appen
0) [N/y/?] y
Kernel compression
> 1. Gzip (KERNEL_C
   2. Bzip2 (KERNEL_
   3. LZMA (KERNEL_L
   4. LZO (KERNEL_LZ
choice[1-4?]: 3
Support for paging
System V IPC (SYSVI
POSIX Message Queues (POSIX_MESSAGE_QUEUES) [Y/n/?]
BSD Process Accounting (BSD_PROCESS_ACCT) [Y/n/?] n
Export task/process statistics through netlink (EXPERIMENTAL) (TASKSTATS) [Y/n/?]
1] y
Enable per task delay accounting (EXPERIMENTAL) (TASK_DELAY_ACCT) [Y/n/?]
```

```
Scanner input = new Scanner(System.in);
while (questions.hasNext()) {
    Question q = question.next();
    System.out.println(q.toString());
    String answer = input.nextLine();
    q.respond(answer);
}
```

A backend with no interaction

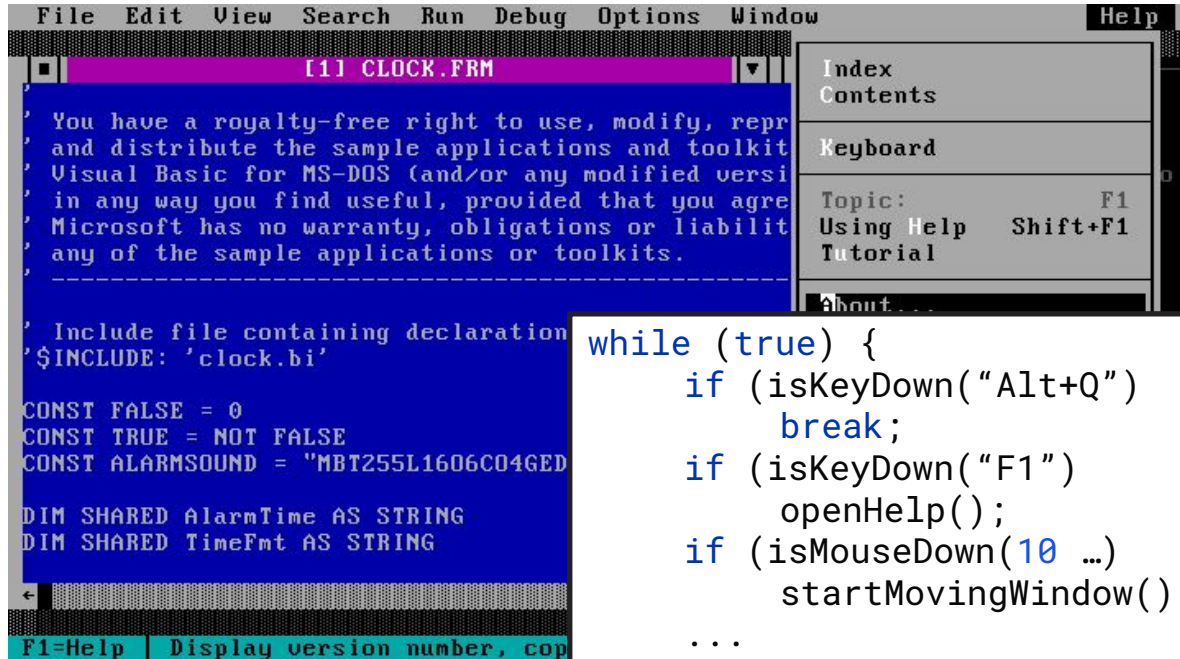


One Possible
Domain model

this is NOT a reference solution, it's
an example of what a domain model
looks like

What have we not yet seen?

How do you wait?

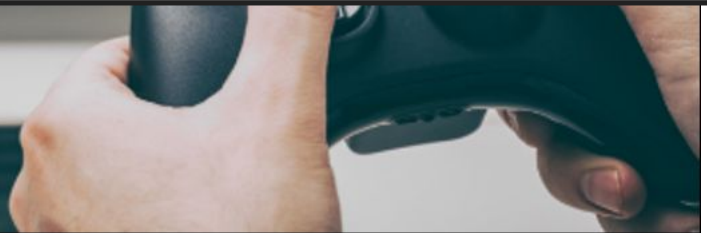


```
while (true) {  
    if (isKeyDown("Alt+Q"))  
        break;  
    if (isKeyDown("F1"))  
        openHelp();  
    if (isMouseDown(10 ...))  
        startMovingWindow();  
    ...  
}
```

How do you multi-player?



```
while (true) {  
    if (player === "player1") {  
        hasWon = play("player1");  
        if (hasWon) break;  
        player = "player2";  
    } else (player === "player2") {  
        hasWon = play("player2");  
        if (hasWon) break;  
        player = "player1";  
    }  
}
```



Today

Beyond serial execution

- Event-based Programming
- Asynchrony & Concurrency
- I/O, GUIs
- Observer Pattern
- React preview

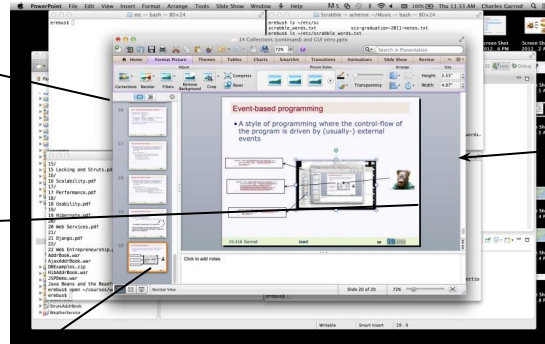
Event-based programming

- Style of programming where control-flow is driven by (usually external) events

```
public void performAction(ActionEvent e) {  
    List<String> lst = Arrays.asList(bar);  
    foo.peek(42)  
}
```

```
public void performAction(ActionEvent e) {  
    bigBloatedPowerPointFunction(e);  
    withANameSoLongIMadeItTwoMethods(e);  
    yesIKnowJavaDoesntWorkLikeThat(e);  
}
```

```
public void performAction(ActionEvent e) {  
    List<String> lst = Arrays.asList(bar);  
    foo.peek(40)  
}
```



Event-based GUIs

Form Preview [ContactEditor]

Name

First Name: Last Name:

Title: Nickname:

Display Format:

E-mail

E-mail Address:

Item 1
Item 2
Item 3
Item 4
Item 5

Mail Format:
☐ HTML ☐ Plain Text ☐ Custom

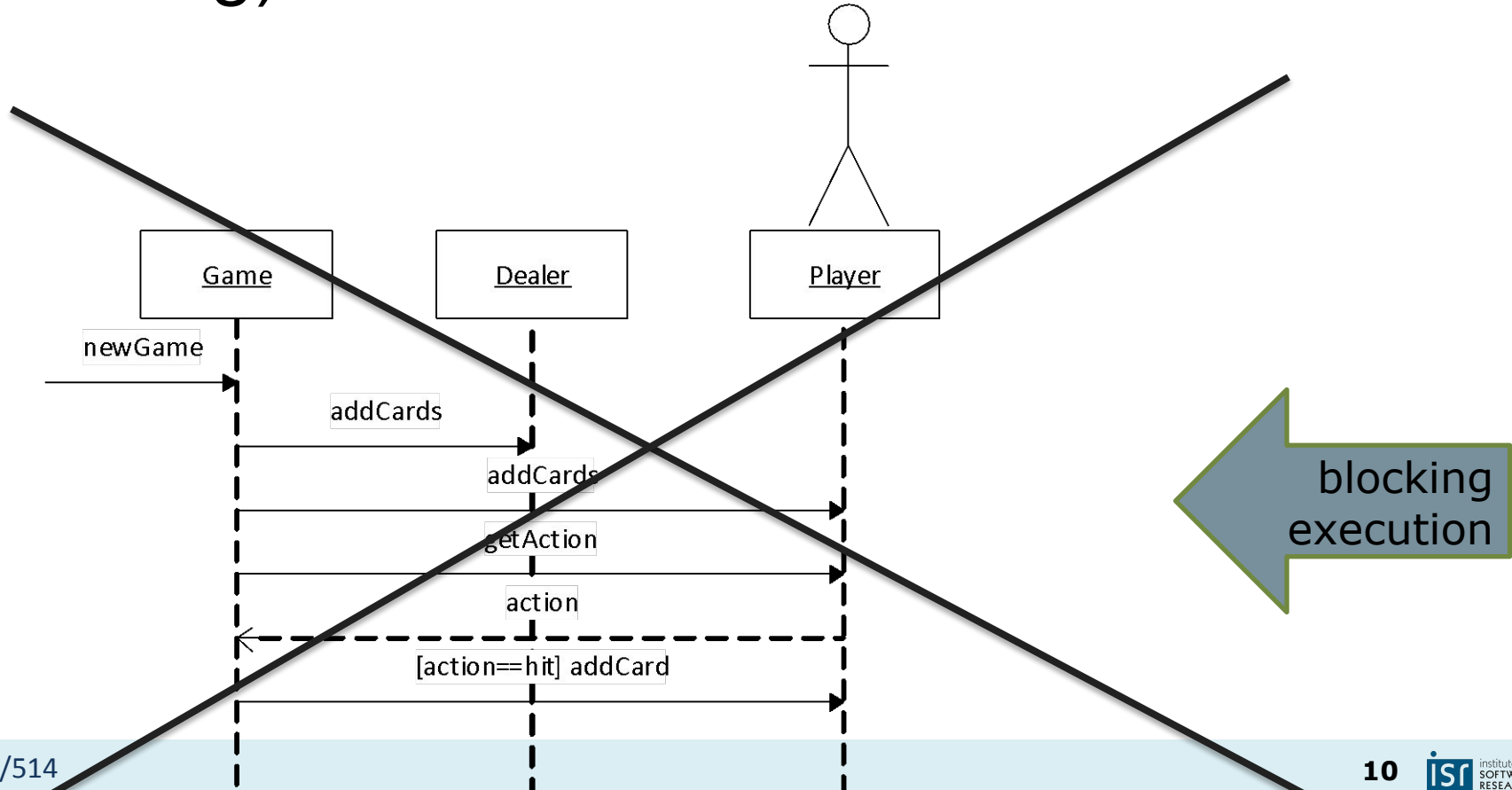
Add Edit Remove Advanced OK Cancel

```
//static public void main...  
JFrame window = ...  
window.setDefaultCloseOperation(  
    WindowConstants.EXIT_ON_CLOSE);  
window.setVisible(true);
```

```
//on add-button click:  
String email = emailField.getText();  
emaillist.add(email);
```

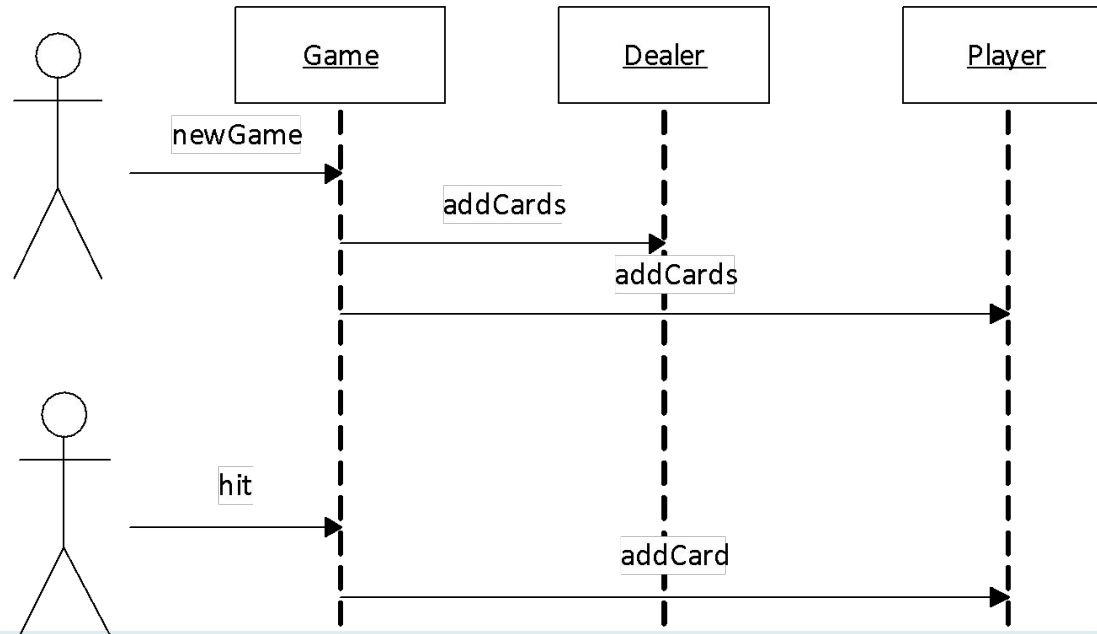
```
//on remove-button click:  
int pos = emaillist.getSelectedItemId();  
if (pos >= 0) emaillist.delete(pos);
```

(Blocking) Interactions with users



Interactions with users through events

- Do not block waiting for user response
- Instead, react to user events



Three Concepts of Importance

- Thread: instructions executed in sequence
 - Within a thread, everything happens in order.
 - A thread can start, sleep, and die.
 - You often work on the “main” thread.

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 - Not necessarily *executing* in parallel

Three Concepts of Importance

- Thread: instructions executed in sequence
 - Within a thread, everything happens in order.
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 - You often work on the “main” thread.
- Concurrency: multiple threads running at the same time
 - Not necessarily *executing* in parallel
- Asynchrony: computation happening outside the main flow

Multi-Threading

The natural response to non-serial computation

- Multiple threads can exist concurrently
- Threads share memory space
- You are already using it
 - Garbage collection in the JVM

Asynchrony

Where might this come from?

Asynchrony

Usually, managing asynchronous events involves concurrency

- Do something while we wait
- Multiple events can overlap
- Even “waiting” is not really doing nothing
- We will focus on constructs for handling both

Asynchrony

Asynchronous but not concurrent

Form Preview [ContactEditor]

Name

First Name: Last Name:

Title: Nickname:

Display Format:

E-mail

E-mail Address:

Item 1
Item 2
Item 3
Item 4
Item 5

Mail Format:

☐ HTML ☐ Plain Text ☐ Custom

Edit
Remove
Advanced

```
//static public void main...  
JFrame window = ...  
window.setDefaultCloseOperation(  
    WindowConstants.EXIT_ON_CLOSE);  
window.setVisible(true);  
// And now, wait.
```

Where do we want concurrency?

Where do we want concurrency?

- User interfaces
 - Events can arrive any time
- File I/O
 - Offload work to disk/network/... handler

Where do we want concurrency?

- Background work
 - Periodically run garbage collection, check health of service
- High-performance computing
 - Facilitate parallelism and distributed computing

User Interfaces

What happens here:

```
document.addEventListener('click', () => console.log('Clicked!'))
```

User Interfaces

Callback functions

- Perhaps *the* building blocks of the internet's UI.
- Work that should be done once something happens
 - Called asynchronously from the literal flow of the code
 - Not concurrent: JS is single-threaded

```
document.addEventListener('click', () => {  
  console.log('Clicked!'); console.log('Clicked again!'); })
```

Concurrency with file I/O

Key chart:

Computer Action	Avg Latency	Normalized Human Time
3GhzCPU Clock cycle 3Ghz	0.3 ns	1 s
Level 1 cache access	0.9 ns	3 s
Level 2 cache access	2.8 ns	9 s
Level 3 cache access	12.9 ns	43 s
RAM access	70 - 100ns	3.5 to 5.5 min
<u>NVMe SSD I/O</u>	7-150 μ s	2 <u>hrs</u> to 2 days
Rotational disk I/O	1-10 <u>ms</u>	11 days to 4 <u>mos</u>
Internet: SF to NYC	40 <u>ms</u>	1.2 years
Internet: SF to Australia	183 <u>ms</u>	6 years
OS virtualization reboot	4 s	127 years
Virtualization reboot	40 s	1200 years
Physical system reboot	90 s	3 Millenia

Table 1: Computer Time in Human Termsⁱ

<https://formulusblack.com/blog/compute-performance-distance-of-data-as-a-measure-of-latency/>

Concurrency with file I/O

Mostly used synchronous IO so far

```
/**
 * in the top-level directory only look for subdirectories and metadata files
 */
processProject (builder: ProjectBuilder, dir: string): void {
  const files = fs.readdirSync(dir)
  for (const filename of files) {
    const file = path.join(dir, filename)
    const fileStats = fs.statSync(file)
    const extension = path.extname(file)
    if (fileStats.isDirectory()) { this.#processDirectory(builder, file) }
    else if (extension === '.yaml') { this.#loadMetadataFile(builder, file) }
  }
}
```

Concurrency with file I/O

Mostly used synchronous IO so far

- Works fine if 'fetch' is synchronous
 - But if other work is waiting...

```
let image: Image = fetch('myImage.png');  
display(image);
```

Concurrency with file I/O

Mostly used synchronous IO so far

- Works fine if 'fetch' is synchronous
 - But if other work is waiting...

```
let image: Image = fetch('myImage.png');  
display(image);
```

- It'd be nice if we could continue other work
 - How to make it work if 'fetch' is asynchronous?

Concurrency with file I/O

Asynchronous code requires Promises

- Captures an intermediate state
 - Neither fetched, nor failed; we'll find out eventually

```
let imageToBe: Promise<Image> = fetch('myImage.png');  
imageToBe.then((image) => display(image))  
            .catch((err) => console.log('aw: ' + err));
```

Concurrency with file I/O

Asynchronous code requires Promises

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```
let imageToBe: Promise<Image> = fetch('myImage.png');  
imageToBe.then((image) => display(image))  
           .catch((err) => console.log('aw: ' + err));
```

- *A bit* like a callback
 - But [better designed](#)
 - Also related to [async/await](#)
 - Future in Java

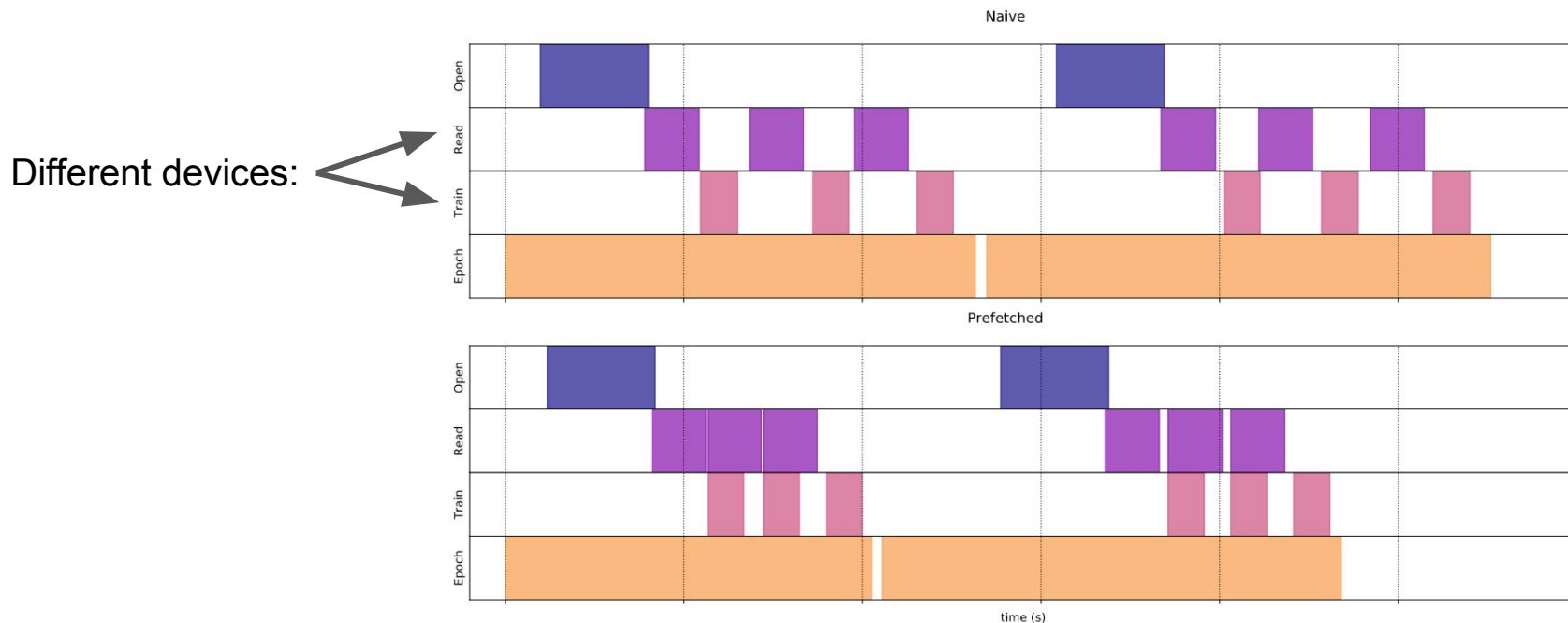
Concurrency with file I/O

Can save you a lot of time

- An example from Machine Learning
- The usual process:
 - Read data from a filesystem or network
 - Batch samples, send to GPU/TPU/XPU memory
 - Train on-device

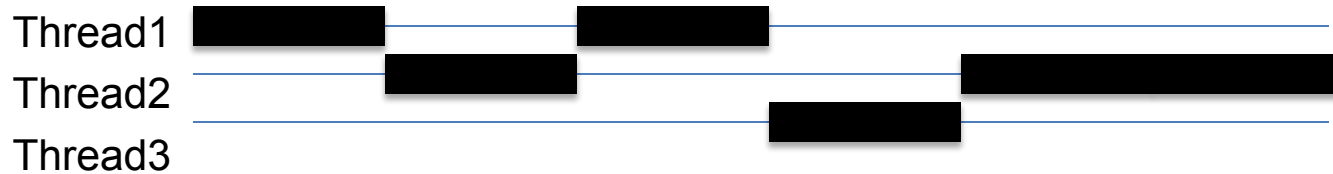
Concurrency with file I/O

An example from Machine Learning



Aside: Concurrency vs. parallelism

- Concurrency without parallelism:



- Concurrency with parallelism:



Aside: Threads vs. Processes

- Threads are lightweight; processes heavyweight
- Threads share address space; processes have own
- Threads require synchronization; processes don't
 - Threads hold locks while mutating objects
- It's unsafe to kill threads; safe to kill processes

Concurrency

Quite a few advanced topics

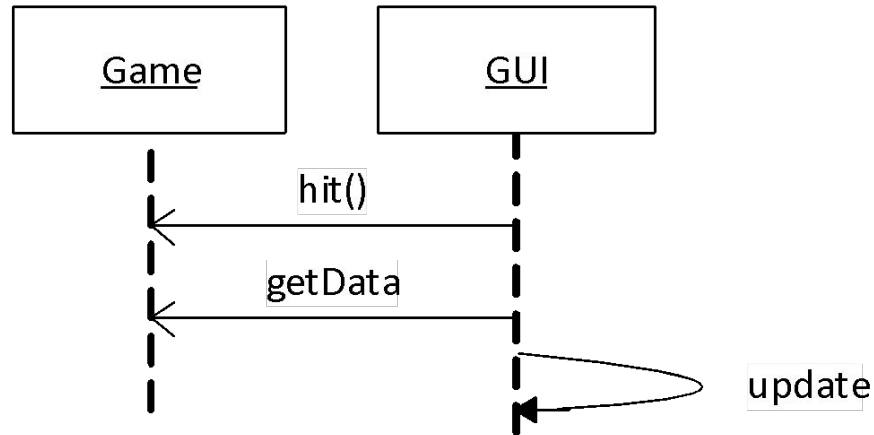
- Synchronization
- Immutability
- Parallelism
- More later in the course
 - Except for parallelism; largely out of scope

Designing for Asynchrony & Concurrency

- We are in a new paradigm now
 - We need standardized ways to handle asynchronous and/or concurrent interactions
 - This is how design patterns are born
- A lot of powerful syntax for managing concurrency
 - To be discussed in future classes

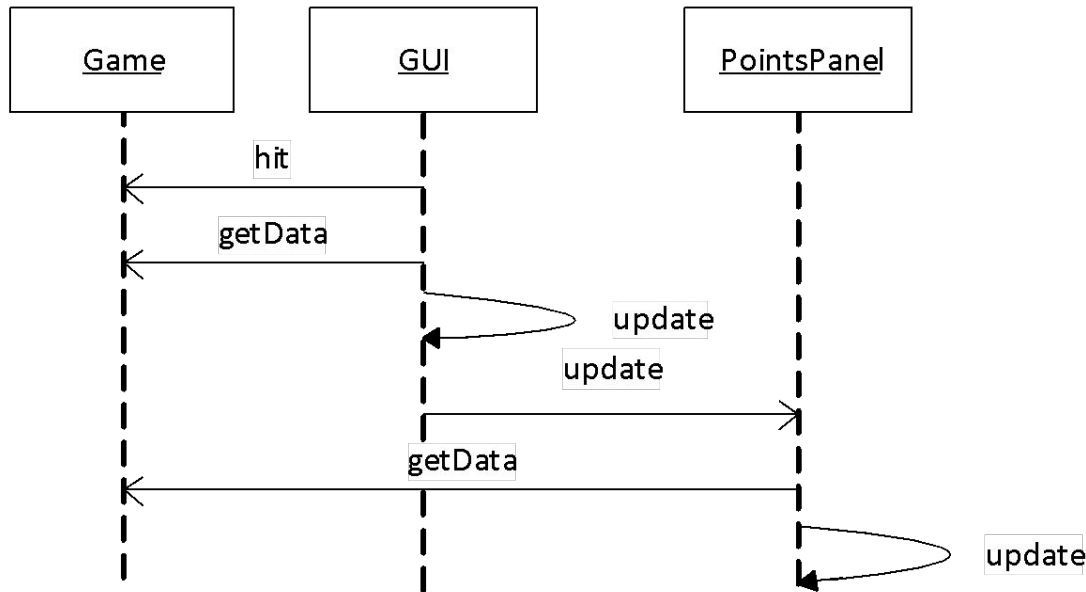
A GUI design challenge

- Consider a blackjack game, implemented by a Game class:
 - Player clicks “hit” and expects a new card
 - When should the GUI update the screen?



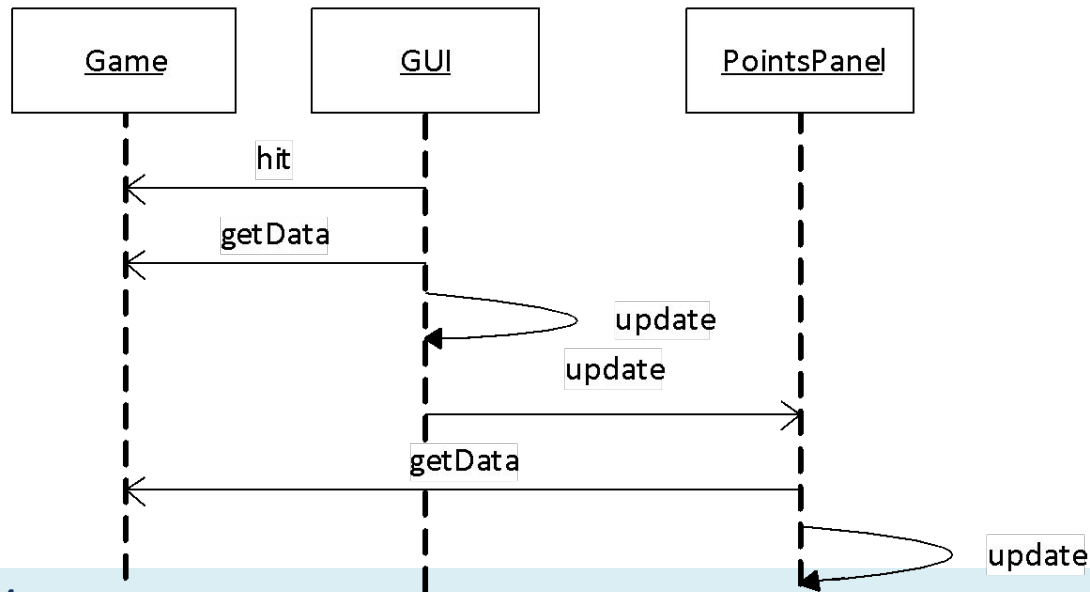
A GUI design challenge, extended

- What if we want to show the points won?



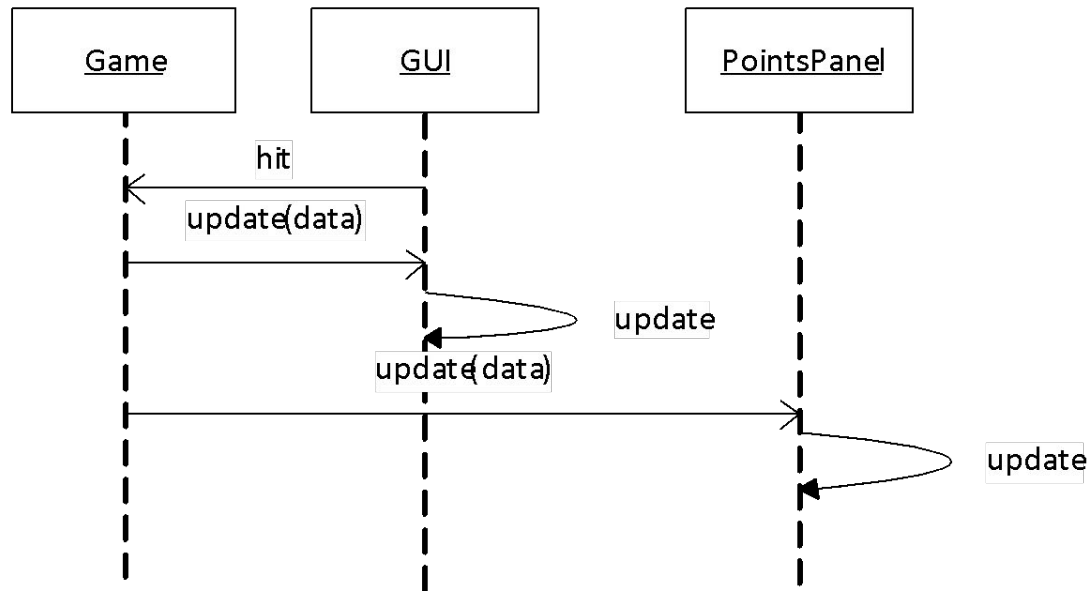
Game updates GUI?

- What if points change for reasons not started by the GUI?
(or computations take a long time and should not block)



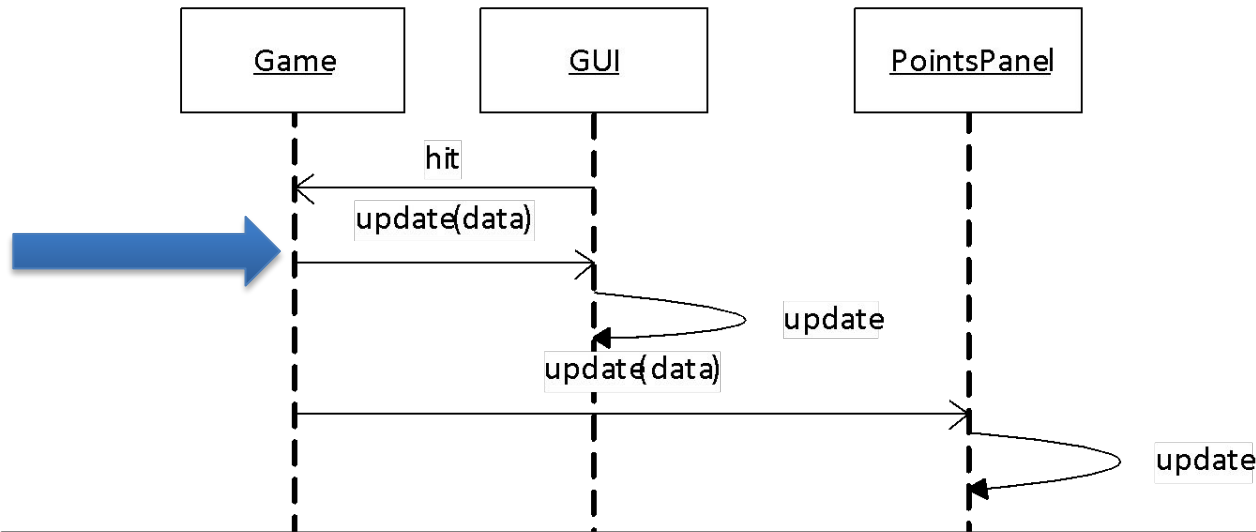
Game updates GUI?

- Let the Game tell the GUI that something happened



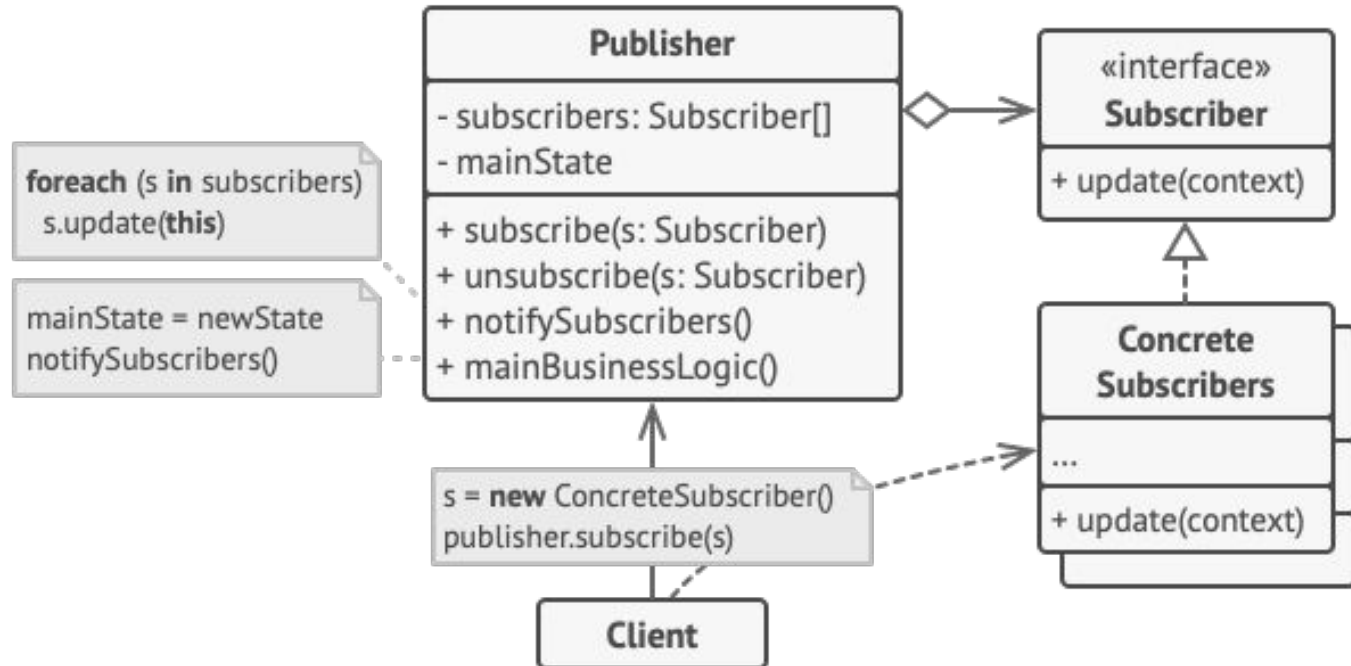
Game updates GUI?

- Let the Game tell the GUI that something happened



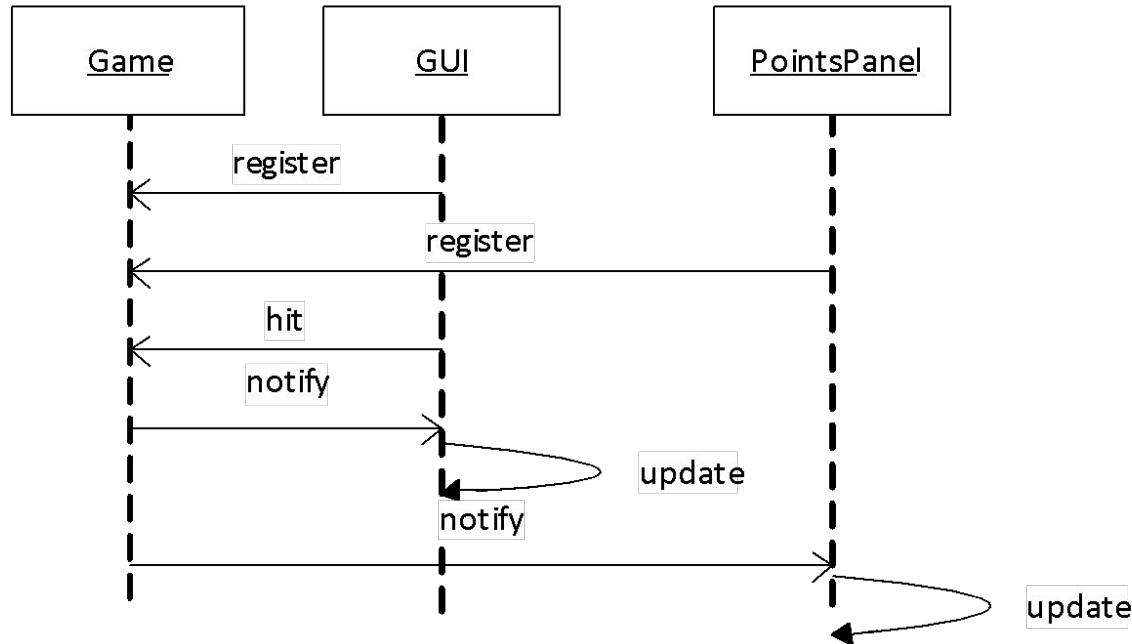
Problem: This couples the world to the GUI implementation.

Recall the Observer



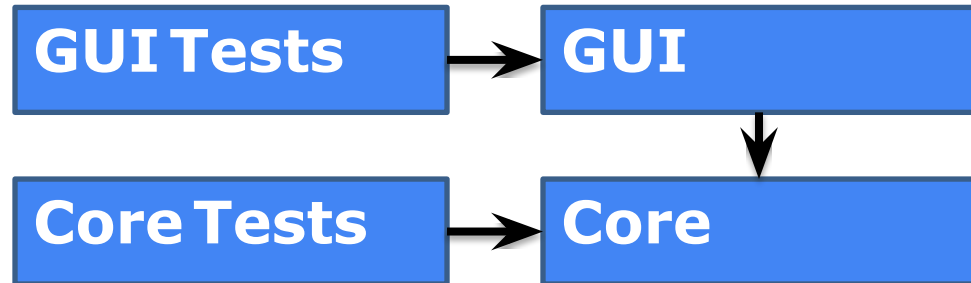
Decoupling with the Observer pattern

- Let the Game tell *all* interested components about updates

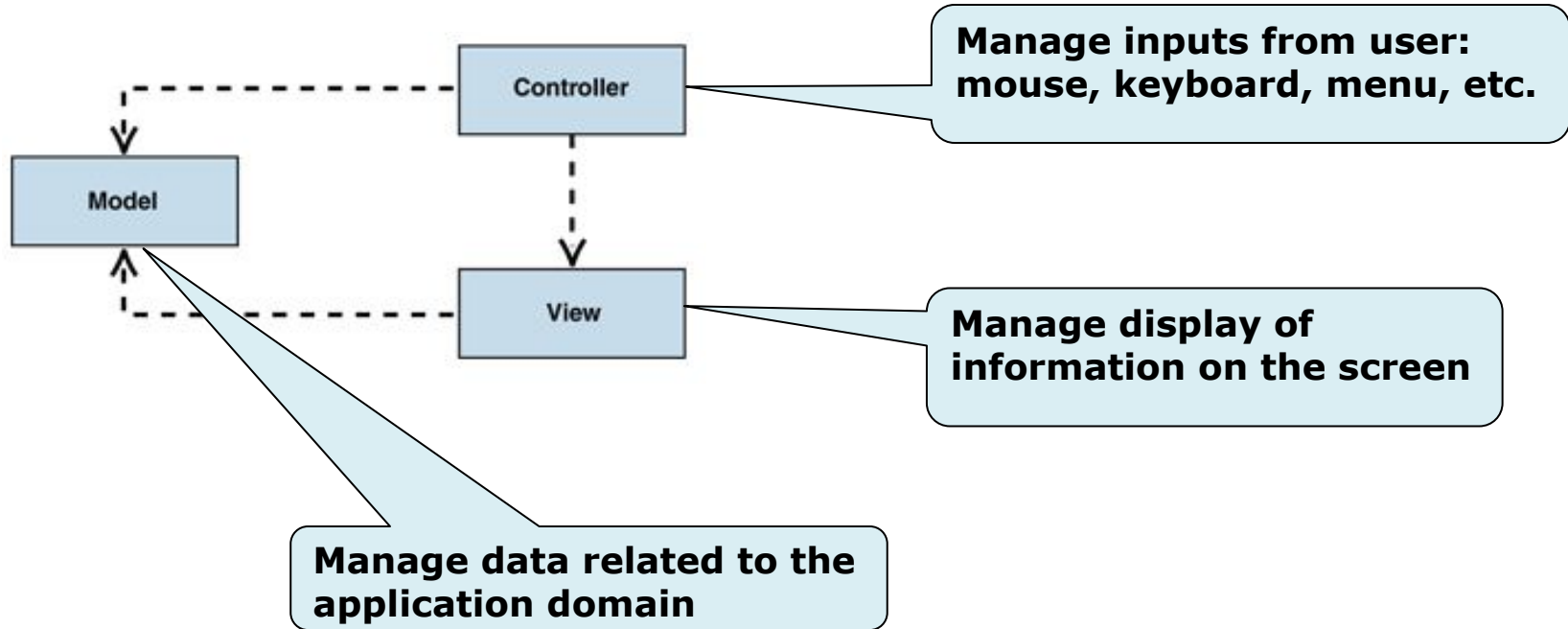


Separating application core and GUI

- Reduce coupling: do not allow core to depend on UI
- Create and test the core without a GUI
 - Use the Observer pattern to communicate information from the core (Model) to the GUI (View)

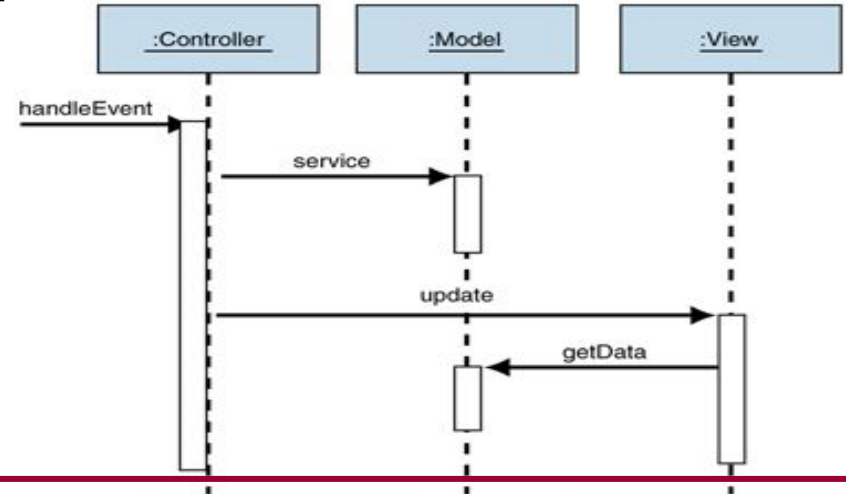
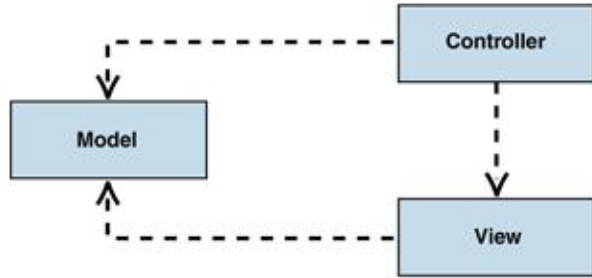


An architectural pattern: Model-View-Controller (MVC)

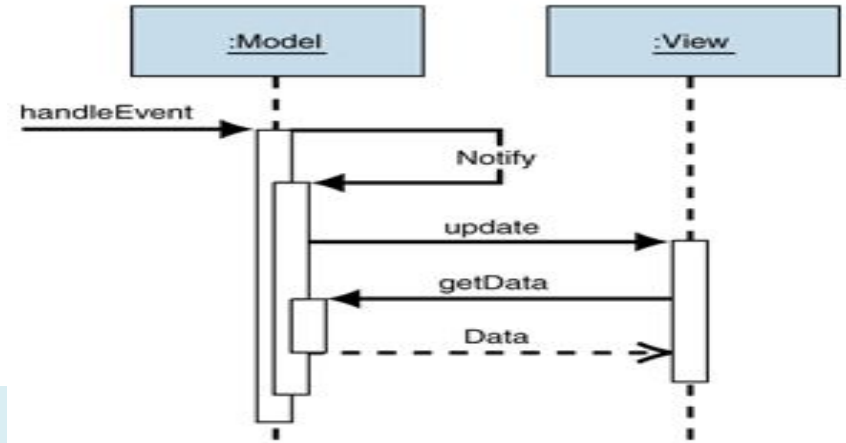
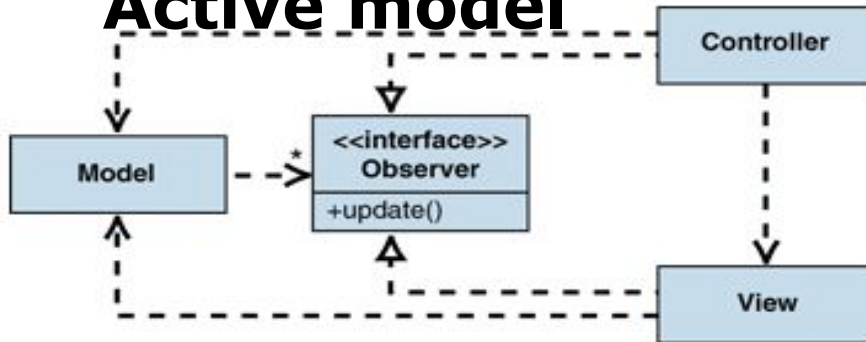


Model-View-Controller (MVC)

Passive model



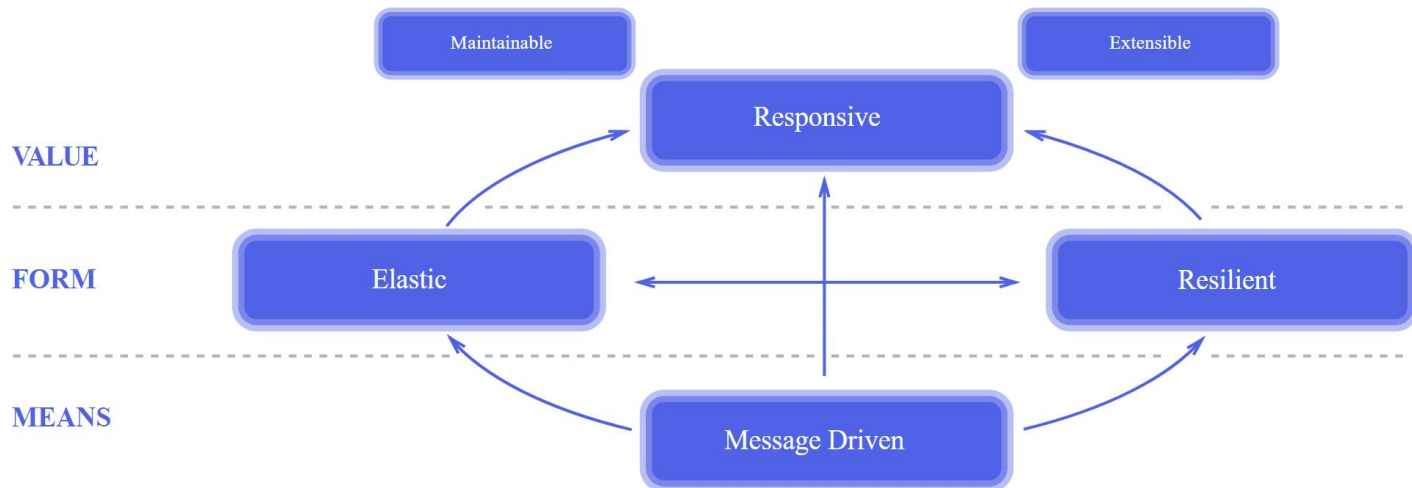
Active model



React Preview

How to handle asynchronous streams of data, across many actors?

- Without overwhelming workers
- Or blocking, or wasting resources



React Preview

“ReactiveX combines the **Observer pattern** with the **Iterator pattern** and *functional programming with collections* to fill the need for an ideal way of managing sequences of events.” <https://rxjs.dev/guide/overview>

“It extends the **observer pattern** to support sequences of data/events and adds operators that allow you to **compose** sequences together declaratively while abstracting away concerns about things like *low-level threading, synchronization, thread-safety and concurrent data structures.*” <https://github.com/ReactiveX/RxJava>

Summary

- Thinking past the main loop
 - The world is asynchronous
 - Concurrency helps, in a lot of ways
 - Requires revisiting programming patterns
- Start considering UI design
 - Discussed in more detail next week