

# Principles of Software Construction: Objects, Design, and Concurrency

{Static & Dynamic} x {Typing & Analysis}

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# Quiz time!

- Go to Canvas and do the Lecture 22 Quiz
  - access code: patterns

# Is this code buggy?

```
private static int getValue(Integer i) {  
    return i.intValue();  
}
```

# How Do You Find Bugs?

- Run it?

```
public class Fails {  
    public static void main(String[] args) {  
        getValue( i: null);  
    }  
  
    private static int getValue(Integer i) {  
        return i.intValue();  
    }  
}
```

```
Exception in thread "main" java.lang.NullPointerException Create breakpoint : Cannot invoke "java.lang.Integer.intValue()" because "i" is null  
at misc.Fails.getValue(Fails.java:9)  
at misc.Fails.main(Fails.java:5)
```

# How Else Can You Find Bugs?

```
public class Fails {
    public static void main(String[] args) {
        getValue( i: null);
    }

    private static int getValue(Integer i) {
        return i.intValue();
    }
}
```

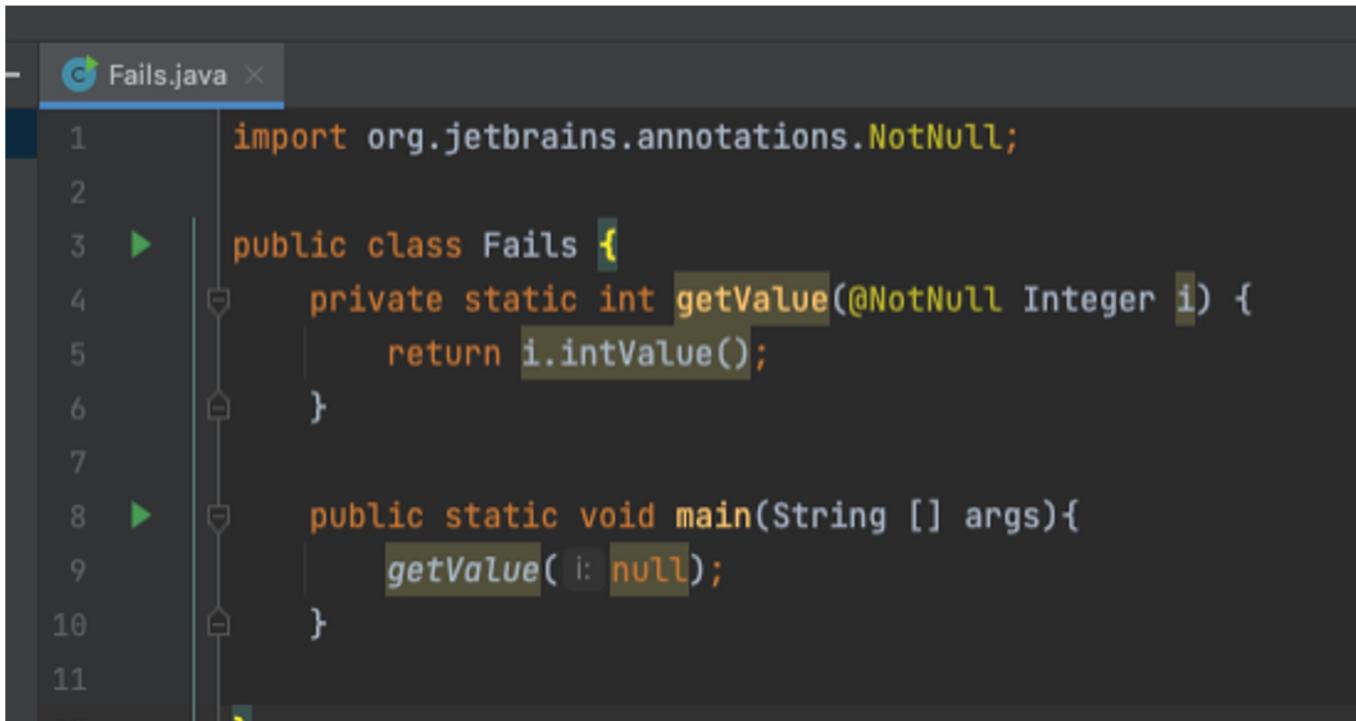
# IntelliJ can look at this code and say:

```
public static void main(String[] args) {
    getValue( i: null);
}

private static int getValue(Integer i) {
    return i.intValue();
}
```

Passing 'null' argument to parameter annotated as @NotNull

# (with annotations explicit)



```
1 import org.jetbrains.annotations.NotNull;
2
3 public class Fails {
4     private static int getValue(@NotNull Integer i) {
5         return i.intValue();
6     }
7
8     public static void main(String [] args){
9         getValue( i: null);
10    }
11}
```

# Static Analysis!

How?

```
public static void main(String[] args) {
    getValue( i: null);
}

private static int getValue(Integer i) {
    return i.intValue();
}
```

Passing 'null' argument to parameter annotated as @NotNull

# Static Analysis!

How?

- We know at *compile time* where `getValue` gets routed to
- `getValue` calls a method on `i`
- `i` can be `null`

```
public static void main(String[] args) {
    getValue( i: null);
}

private static int getValue(Integer i) {
    return i.intValue();
}
```

Passing 'null' argument to parameter annotated as @NotNull

# What about JS?

fails.js

```
function getValue(x) {  
    return x.valueOf();  
}
```

# What about JS?

Run it: ✓

JS fails.js > ...

```
1  function getValue(x) {  
2      return x.valueOf();  
3  }  
4  
5  console.log(getValue("32"));  
6  console.log(getValue(null));
```

PROBLEMS

3

OUTPUT

TERMINAL

DEBUG CONSO

```
return x.valueOf();  
^
```

TypeError: Cannot read property 'valueOf' of null

# Why no warning?

```
function getValue(x) {  
    return x.valueOf();  
}  
  
console.log(getValue("32"));  
console.log(getValue(null));
```

# Another Java vs JS Example

```
class Foo {  
    constructor(x) {  
        this.x = x;  
    }  
}
```

```
function bar(foo) {  
    return foo.x;  
}
```

```
var foo = new Foo(3);  
console.log(bar(foo));  
17 console.log(bar(3));
```

```
class Foo {  
    int x;  
    Foo(int x) {  
        this.x = x;  
    }  
}
```

```
public static void main(String[] args) {  
    Foo foo = new Foo( x: 3);  
    bar(foo);  
    bar( foo: 3);  
}
```

```
private static void bar(Foo foo) {  
    System.out.println(foo.x);  
}
```

# Static vs. Dynamic Typing

- The more knowledge we inject in the code, the more bugs we can catch at compile time
  - Types, nullity annotations, invariants
- At compile-time:
  - Dynamically typed languages assume nothing
    - Types exist only for *values*
  - Static typing is not completely precise either
    - Objects have declared types and run-time types
    - Different “strength” type systems

# Static vs. Dynamic Typing

- The more knowledge we inject in the code, the more bugs we can catch at compile time
  - Types, nullity annotations, invariants
- Is it worth it?
  - Dynamic typing can severely limit inference
  - But... static types are a lot of work

# Static vs. Dynamic Typing

- The more knowledge we inject in the code, the more bugs we can catch at compile time
  - Types, nullity annotations, invariants
- Is it worth it?
  - Dynamic typing can severely limit inference
  - But... static types are a lot of work

## Do Static Type Systems Improve the Maintainability of Software Systems? An Empirical Study

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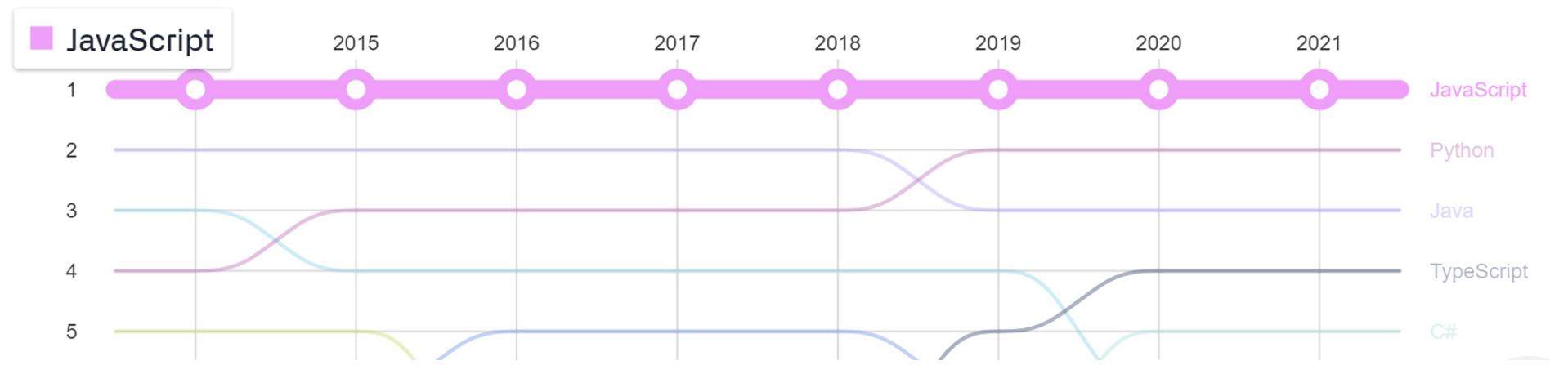
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# Static vs. Dynamic Typing

Okay, but:

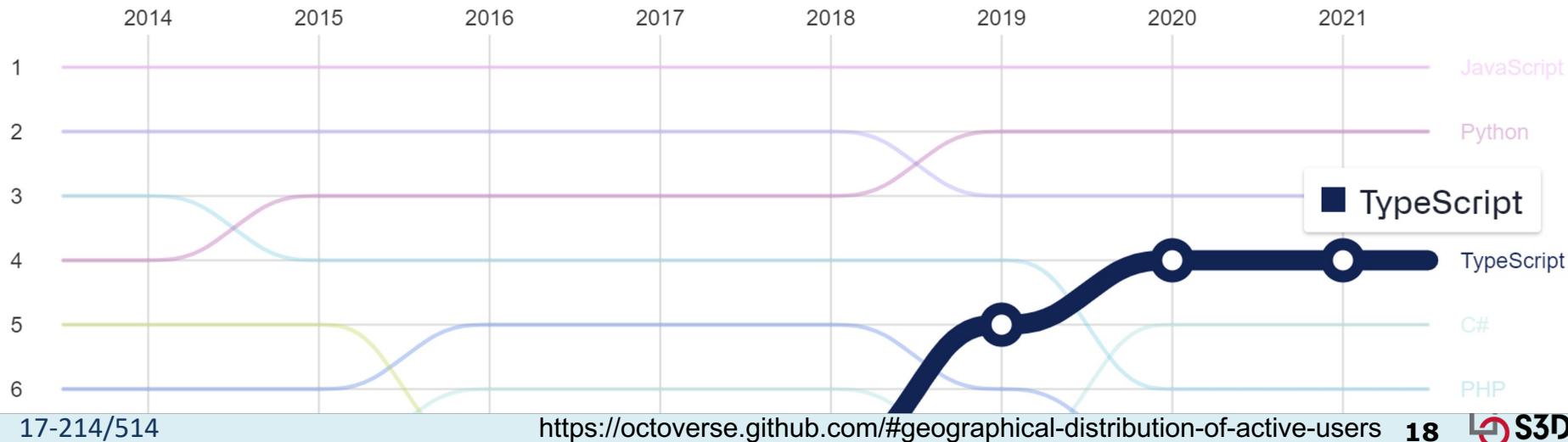
Top languages over the years



# False Dichotomy?

Yes, but:

Top languages over the years



# Partial Types

- Low effort, some utility
  - Static types exist and are checked at compile-time
  - Dynamic types are used at run-time
    - So annotations get ignored!
  - Type checker can be shallow or deep; TS is shallow

## To Type or Not to Type: Quantifying Detectable Bugs in JavaScript

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*Abstract—JavaScript is growing explosively and is now used in large mature projects even outside the web domain. JavaScript is also a dynamically typed language, so it is natural to invest in static type*

# Types in TypeScript

```
function getValue(x: number) {  
    return x.valueOf();  
}
```

Argument of type 'null' is not assignable to parameter of type 'number'. ts(2345)

[View Problem](#) No quick fixes available

```
console.log(getValue(null));
```

# Types in TypeScript

```
function getValue(x: number | null) {  
    return x.valueOf();  
}  
Object is possibly 'null'. ts(2531)  
(parameter) x: number | null  
View Problem No quick fixes available  
console.log(getValue(null));
```

# Step Back

- Why do we care about types so much?

# Step Back

- Why do we care about types so much?
  - #1 reason: automatically checked documentation
    - Probably dominates “bug finding” advantages in practice
  - But also: we care about *common mistakes*
  - Type errors happen to be very common
  - What else is common?

# Step Back

- Why do we care about types so much?
  - #1 reason: automatically checked documentation
    - Probably dominates “bug finding” advantages in practice
  - But also: we care about *common mistakes*
  - Type errors happen to be very common
  - What else is common?
    - Nullity errors
    - Missing imports

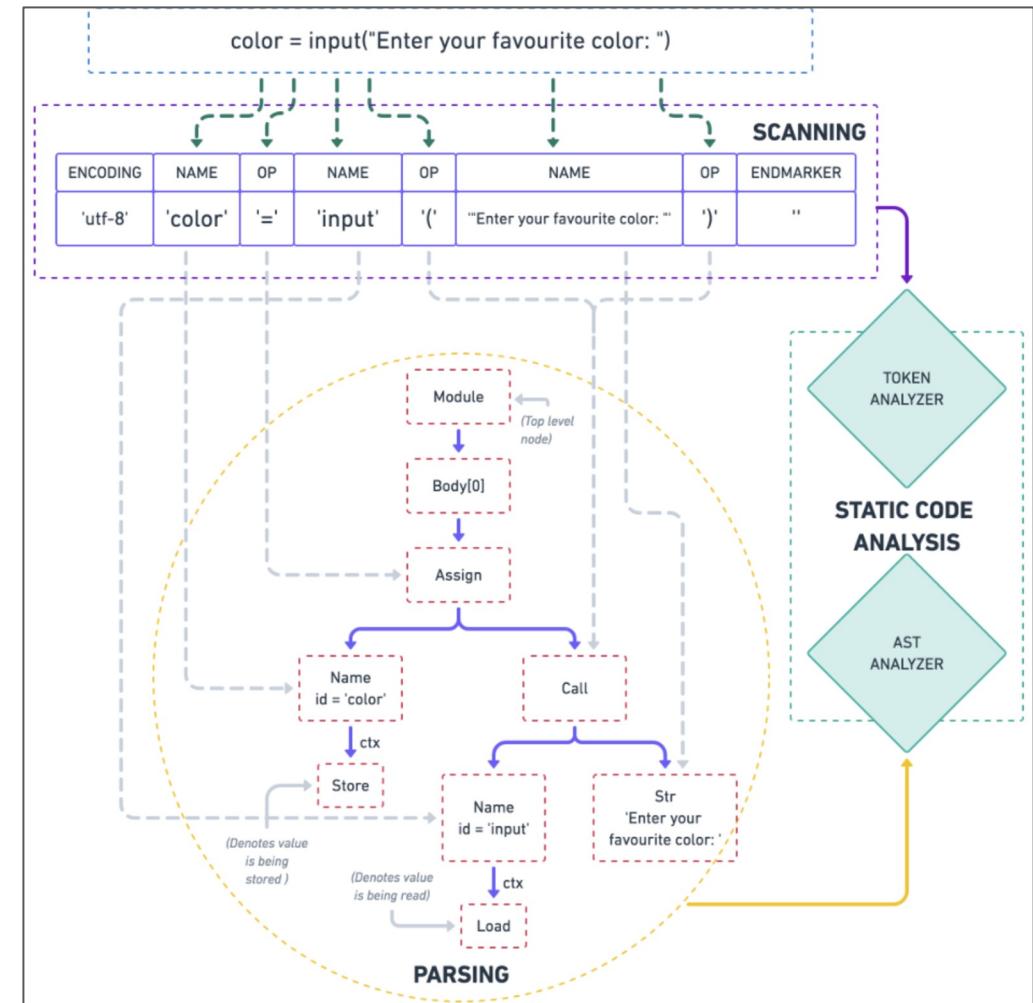
```
public void forward(String sender) {  
    if (sender == "me") {  
        sendSelf();  
    } else if (sender == "other") {
```

# Static Analysis

- Detect real or plausible bugs based on code patterns
  - Plausible: look for risk-prone areas
    - Deeply nested loops
    - Overly general types (e.g., 'any' in TS)
    - Dead code/unused variables
    - Any other places we often make mistakes?

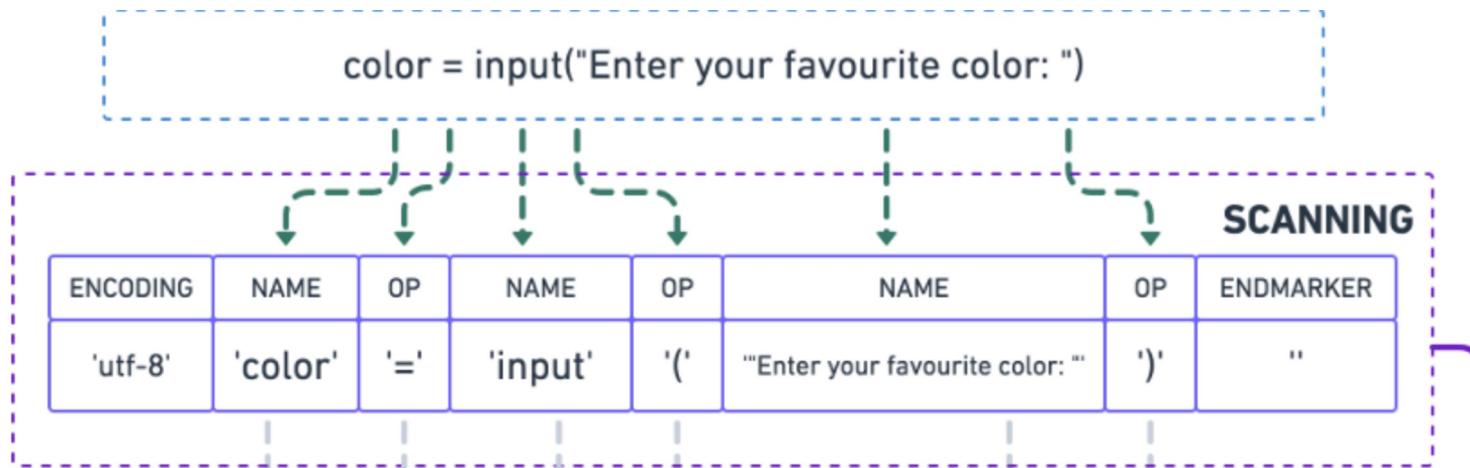
# Static Analysis

- How?
  - Program analysis + Vocabulary of patterns



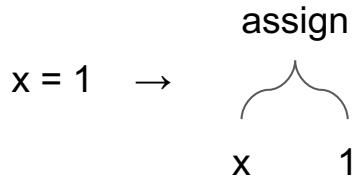
# Static Analysis

- Step 1: Tokenization
  - Tokens are like the words of software
  - *Lexical* categories, incl. punctuation, identifiers, operators, strings



# Static Analysis

- Step 2: Parsing
  - To the compiler/interpreter, software is a tree
  - Root node is file/module
  - Leaves mainly identifiers, literals
  - Internal nodes capture *structure*



Consider checking out: <https://ast.carlosroso.com/>



# Static Analysis

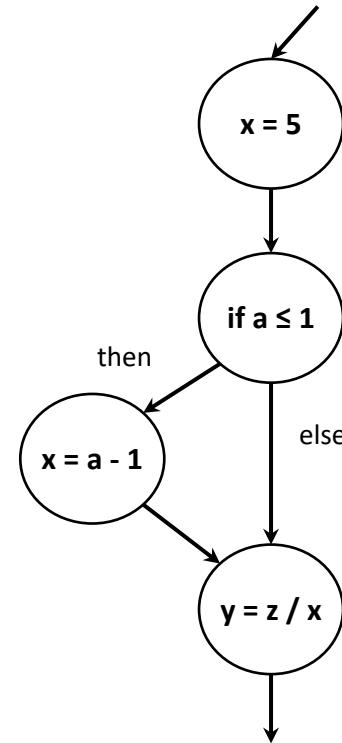
- Step 2: Parsing
  - What does this get us?
  - Rich structure
    - Syntactic types (variables, method calls)
    - Dead code, deep nesting
  - A lot of type resolution
    - What vars are stored, loaded
    - Not complete!
    - Need to *build* to understand imports



# Static Analysis

- Step 2b: Advanced Analysis
  - The compiler doesn't stop at parsing

```
public boolean div(int a, int z) {  
    int x = 5;  
    if (a <= 1) {  
        x = a - 1;  
    }  
    return z / x;  
}
```



# Static Analysis

- Step 2b: Advanced Analysis
  - The compiler doesn't stop at parsing
  - There is a lot more down this rabbit hole
    - Control/data-flow, abstract interpretation, (dynamic) symbolic execution,
  - Consider a programming languages, compilers, or program analysis course

# Static Analysis

- Step 3: register analyzers
  - At the core: walk the tree

```
class ListDefinitionChecker(BaseChecker):  
    msg = "usage of 'list()' detected, use '[]' instead"  
  
    def visit_Call(self, node):  
        name = getattr(node.func, "id", None)  
        if name and name == list.__name__ and not node.args:  
            self.violations.append((self.filename, node.lineno, self.msg))
```

# Static Analysis

- Step 3: register analyzers
- Classic: walk a tree →
- Modern: build a database of code facts, express analysis as queries over that database.
  - This is how CodeQL works!

```
class UnusedImportChecker(BaseChecker):  
    def __init__(self):  
        self.import_map = defaultdict(set)  
        self.name_map = defaultdict(set)  
  
    def _add_imports(self, node):  
        for import_name in node.names:  
            # Store only top-level module name ("os.path" -> "os").  
            # We can't easily detect when "os.path" is used.  
            name = import_name.name.partition(".")[0]  
            self.import_map[self.filename].add((name, node.lineno))  
  
    def visit_Import(self, node):  
        self._add_imports(node)  
  
    def visit_ImportFrom(self, node):  
        self._add_imports(node)  
  
    def visit_Name(self, node):  
        # We only add those nodes for which a value is being read from.  
        if isinstance(node.ctx, ast.Load):  
            self.name_map[self.filename].add(node.id)
```

# Static Analysis

- Modern: build a database of code facts, express analysis as queries over that database.
- This is how CodeQL works!

The screenshot shows the CodeQL interface. On the left is a vertical toolbar with icons for copy, search, refresh, and other navigation. The main area is divided into three sections:

- JavaConverter.java**:

```
public static Object deserialize (InputStream is)
    throws IOException {
    ObjectInputStream ois = new ObjectInputStream(is);
    return ois.readObject();
}
```
- UnsafeDeserialization.ql**:

```
from DataFlow::PathNode source, DataFlow::PathNode
sink, UnsafeDeserializationConfig conf
where conf.hasFlowPath(source, sink)
select sink.getNode().(UnsafeDeserializationSink)
.getMethodAccess(),
source, sink, "Unsafe deserialization of $@.",
source.getNode(), "user input"
```
- QL Query Results**:

alerts ▾

  - > ⚠️ Unsafe deserialization of user input.
  - ✓ ⚠️ Unsafe deserialization of user input.
    - ✓ Path
      - 1 getContent(...) : InputStream
      - 2 getContentAsStream(...) : InputStream
      - 3 toBufferedInputStream(...) : InputStream
      - 4 getInputStream(...) : InputStream
      - 5 is : InputStream
      - 6 ois
    - > Path
      - > ⚠️ Unsafe deserialization of user input.

# Static Analysis

- Compared to Linters:
  - Linters mainly enforce style -- comments, quotes, idioms
    - This also requires static analysis! Just nothing particularly fancy
  - Some overlap; good conventions help avoid bugs

# Static Analysis

- Compared to Parsers:
  - Parsers check for syntactic correctness
    - Can catch bugs as well, e.g. missing “;”
  - Parsing is often a key step in static analysis
    - Hard to do right with just text/regexes.
  - Parsing is a platform for further analyses
    - control-flow, data-flow

# So... Static Analysis for Everything?

- Can we find every bug?

- No! Rice's Theorem

"Any nontrivial property about the language recognized by a Turing machine is undecidable." -- Henry Gordon Rice, 1953

- Every static analysis is necessarily incomplete or unsound or undecidable (or multiple of these)

# So... Static Analysis for Everything?

- Can we find every bug?
- Can we guarantee correctness?

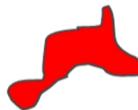
# So... Static Analysis for Everything?

- Can we find every bug?
- Can we guarantee correctness?
  - Yes (partial correctness anyway), but... much less useful

```
public class Fails {  
    public static void main(String[] args) {  
        getValue( i: null );  
    }  
  
    private static int getValue(Integer i) {  
        return i.intValue();  
    }  
}
```

# Soundness & Precision

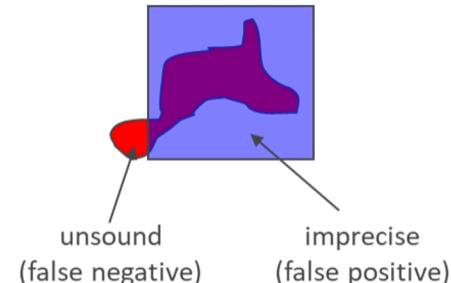
- Since we can't perfectly analyze behavior statically
  - We may miss things by being cautious (unsound; false negative)
  - We might identify non-problems (imprecision, false positive)



Program state covered in actual execution



Program state covered by abstract execution with analysis



# The Social Side

- How to deploy tools that are neither sound nor complete?

# Static Analysis at Google

- Centered around FindBugs (succeeded by SpotBugs)
  - Essentially, a huge collection of risky patterns on Java bytecode
  - Annotated with five levels of concern

CONTRIBUTED ARTICLES

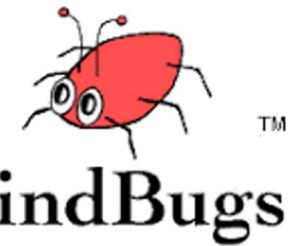
## Lessons from Building Static Analysis Tools at Google

By Caitlin Sadowski, Edward Aftandilian, Alex Eagle, Liam Miller-Cushon, Ciera Jaspan  
Communications of the ACM, April 2018, Vol. 61 No. 4, Pages 58-66  
10.1145/3188720

Comments



Software bugs cost developers and software companies billions of dollars every year.



# Static Analysis at Google

- Three experiments in the early 2000s:
  1. **A dashboard:** run FindBugs overnight, report results in a centralized location  
*Failed because:* dashboard is outside the developer's workflow

# Static Analysis at Google

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  1. **A dashboard:** run FindBugs overnight, report results in a centralized location  
*Failed because:* dashboard is outside the developer's workflow
  2. **Recurring FixIt events:** company-wide one-week effort to fix warnings  
*Failed because:* actually fixed some bugs, but FindBugs is too imprecise (44% of issues were “bugs”, but only 16% mattered)

# None of these worked!

- Three experiments in the early 2000s:
  1. **A dashboard:** run FindBugs overnight, report results in a centralized location  
*Failed because:* dashboard is outside the developer's workflow
  2. **Recurring FixIt events:** company-wide one-week effort to fix warnings  
*Failed because:* actually fixed some bugs, but FindBugs is too imprecise (44% of issues were “bugs”, but only 16% mattered)
  3. **Add to Code Review:** run on every change, allow toggling warnings  
*Failed because:* too imprecise; suppressing FPs made it inconsistent

# Static Analysis at Google

Okay so then what?

- What went wrong / what do we need?

# Static Analysis at Google

Okay so then what?

- What went wrong / what do we need?
  1. Precision is key -- developers lose faith in inaccurate tools
  2. Provide timely warnings -- in-IDE or rapidly on builds
    - a. Checkers are way more useful during coding
  3. Make a platform -- allow adding useful checks

# Static Analysis at Google

Specifically:

- At compile-time:
  - Perfectly Precise
    - **No** false-positives; never halt a build incorrectly
  - Simple
  - Actionable
    - Ideally to the point of auto-fix suggestions

# Static Analysis at Google

Specifically:

- At review time: TriCoder
  - 90%+ precise
    - If it drops below, checker gets disabled! Onus on checker authors to fix
  - Actionable, but may require some work
  - Improve correctness or code quality
  - Some compile-time checks moved to review-time!
- Ran 50K times per day -- in 2018

# TriCoder

```
package com.google.devtools.staticanalysis;

public class Test {
```

▼ Lint Missing a Javadoc comment.

Java

1:02 AM, Aug 21

[Please fix](#)

[Not useful](#)

```
    public boolean foo() {
        return getString() == "foo".toString();
```

▼ ErrorProne String comparison using reference equality instead of value equality  
(see <http://code.google.com/p/error-prone/wiki/StringEquality>)

StringEquality  
1:03 AM, Aug 21

[Please fix](#)

```
//depot/google3/java/com/google/devtools/staticanalysis/Test.java
```

```
package com.google.devtools.staticanalysis;

public class Test {
    public boolean foo() {
        return getString() == "foo".toString();
    }

    public String getString() {
        return new String("foo");
    }
}
```

```
package com.google.devtools.staticanalysis;

import java.util.Objects;

public class Test {
    public boolean foo() {
        return Objects.equals(getString(), "foo".toString());
    }

    public String getString() {
        return new String("foo");
    }
}
```

# Static Analysis at Google

- The gist: Many simple precise checks
  - What else could one do?

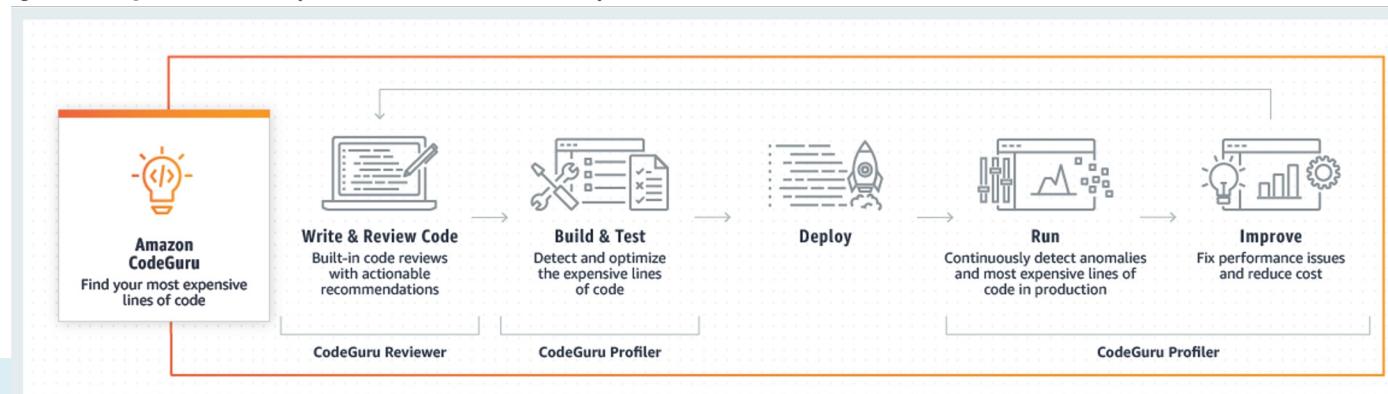
# Static Analysis at Google

- The gist: Many simple precise checks
  - What else could one do?
- Infer at Facebook
  - Built around separation logic; geared heavily towards tracking resources
    - Null-pointer dereferences, resource leaks, unintended data access
  - Google claims this won't (easily) scale to their multi-billion line mono-repo



# Static Analysis at Google

- The gist: Many simple precise checks
  - What else could one do?
- Use AI?
  - Rule-mining from previous reviews
    - Detects typical vulnerabilities, bad patterns
  - Mostly fairly simple ML (details limited)



# Static Analysis at Google

- The gist: Many simple precise checks
  - What else could one do?
- Use AI?
  - Microsoft's IntelliSense in VSCode
  - Mostly refactorings, code completions
  - Trained on large volumes of code

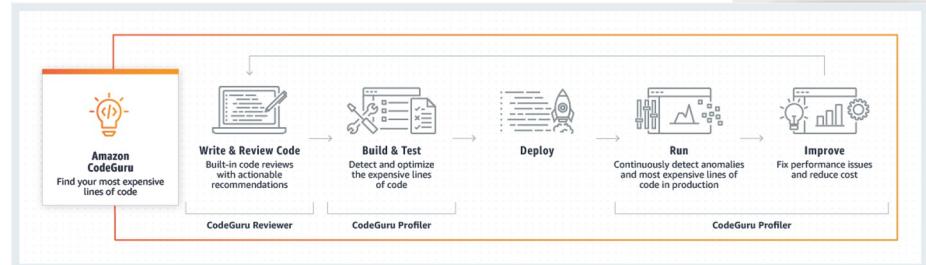
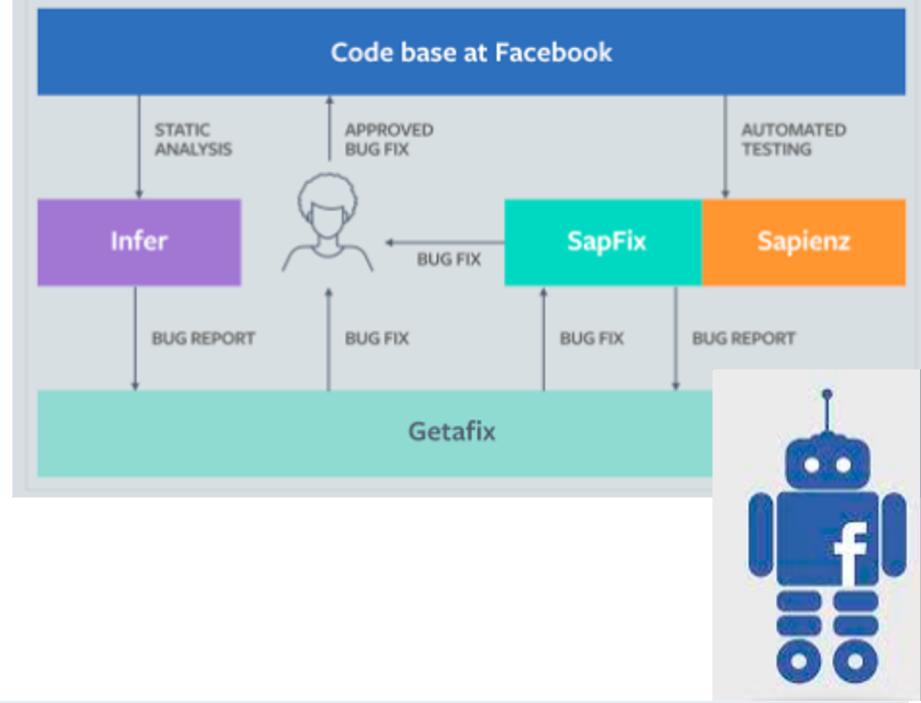
# What else could we do?

- Integrate with bug fixing

Facebook: Getafix, also integrates with SapFix

- Fancy types / specifications

- Simple example is Liquid Types – simple logical predicates on types
- Extreme example: fully specified, statically verified code (cf. CompCert, seL4)
- More work for developers, but also more payoff
- Related research projects at CMU
  - Gradual Verification, Liquid Types for Java



# Summary

- We all constantly make mistakes
  - Static analysis captures common issues
  - Choose suitable abstractions; consider trade-offs
    - E.g., dynamic vs. static typing; sound vs. precise
- At big-tech-scale, automated checks are key
  - Help normalize coding standards
  - Even rare bugs are common at scale
  - But: social factors are very important
- Active area of research, including here at CMU!
  - Take programming languages, program analysis courses to explore