

# Lecture 14: Symbolic Execution

17-355/17-665/17-819: Program Analysis

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October 28, 2025

\* Course materials developed with Jonathan Aldrich and Claire Le Goues

# Recall: VCGen from Axiomatic Semantics

 $\{P\}$  $x := e_1$  $x := e_2$  $\{Q\}$ 

What is the Proof Obligation for backwards reasoning?

# What if we just went forwards?

$\{P\}$

$x := e_1$

$x := e_2$

$\{Q\}$

Generate “fresh” math variables  
for every mutable program  
variable

Proof Obligation:

$$\forall x_n : ([x_0/x]P \wedge x_1 = [x_0/x]e_1 \wedge x_2 = ([x_1/x]e_2)) \Rightarrow [x_2/x]Q$$

# What if we just went forwards?

 $\{P\}$  $x := e_1$  $x := e_2$  $\{Q\}$  $\{x > 0\}$  $x := x * 2$  $x := x + 1$  $\{x > 1\}$ 

Proof Obligation:

$$\forall x_n : ([x_0/x]P \wedge x_1 = [x_0/x]e_1 \wedge x_2 = ([x_1/x]e_2)) \Rightarrow [x_2/x]Q$$

$$\forall x_0, x_1, x_2 \in \mathbb{Z} : (x_0 > 0 \wedge x_1 = x_0 * 2 \wedge x_2 = x_1 + 1) \Rightarrow x_2 > 1$$

# Dealing with conditional paths

$\{true\}$

**if**  $(x < 0)$  :

$y := -x$

**else** :

$y := x$

$\{y \geq 0\}$

Dynamic Symbolic Execution:

$$\forall x_0, y_0 \in \mathbb{Z} : (x_0 < 0 \wedge y_0 = -x_0) \Rightarrow y_0 \geq 0$$

$$\forall x_0, y_0 \in \mathbb{Z} : (x_0 \geq 0 \wedge y_0 = x_0) \Rightarrow y_0 \geq 0$$

Static Symbolic Execution:

$$\forall x_0, y_0 \in \mathbb{Z} : ((x_0 < 0 \Rightarrow y_0 = -x_0) \wedge (x_0 \geq 0 \Rightarrow y_0 = x_0)) \Rightarrow y_0 \geq 0$$

# Dealing with conditional paths

```
 $\{x > 0\}$   
if ( $x < 0$ ) :  
     $y := x$   
else :  
     $y := x$   
 $\{y \geq 0\}$ 
```

**Exercise:** Generate the VC for this program. Is it true?

# Formalizing DSE with Guards and Symbolic Formulas

		$\Sigma \in Var \rightarrow a_s$	
$g$	$::=$	true	$a_s ::= \alpha$
		false	$n$
		not $g$	$a_{s1} \ op_a \ a_{s2}$
		$g_1 \ op_b \ g_2$	
		$a_{s1} \ op_r \ a_{s2}$	

# Symbolic Evaluation of Expressions

$$\frac{}{\langle \Sigma, n \rangle \Downarrow n} \text{big-int}$$

$$\frac{}{\langle \Sigma, x \rangle \Downarrow \Sigma(x)} \text{big-var}$$

$$\frac{\langle \Sigma, a_1 \rangle \Downarrow a_{s1} \quad \langle \Sigma, a_2 \rangle \Downarrow a_{s2}}{\langle \Sigma, a_1 + a_2 \rangle \Downarrow a_{s1} + a_{s2}} \text{big-add}$$



# Symbolic Execution of Statements (DSE)

$$\frac{}{\langle g, \Sigma, \text{skip} \rangle \Downarrow \langle g, \Sigma \rangle} \text{big-skip}$$

$$\frac{\langle g, \Sigma, s_1 \rangle \Downarrow \langle g', \Sigma' \rangle \quad \langle g', \Sigma', s_2 \rangle \Downarrow \langle g'', \Sigma'' \rangle}{\langle g, \Sigma, s_1; s_2 \rangle \Downarrow \langle g'', \Sigma'' \rangle} \text{big-seq}$$

$$\frac{\langle \Sigma, a \rangle \Downarrow a_s}{\langle g, \Sigma, x := a \rangle \Downarrow \langle g, \Sigma[x \mapsto a_s] \rangle} \text{big-assign}$$

# Symbolic Execution with Branching (DSE)

$$\frac{\langle \Sigma, b \rangle \Downarrow g' \quad g \wedge g' \text{ SAT} \quad \langle g \wedge g', \Sigma, s_1 \rangle \Downarrow \langle g'', \Sigma' \rangle}{\langle g, \Sigma, \text{if } b \text{ then } s_1 \text{ else } s_2, \rangle \Downarrow \langle g'', \Sigma' \rangle} \text{big-iftrue}$$

$$\frac{\langle \Sigma, b \rangle \Downarrow g' \quad g \wedge \neg g' \text{ SAT} \quad \langle g \wedge \neg g', \Sigma, s_2 \rangle \Downarrow \langle g'', \Sigma' \rangle}{\langle g, \Sigma, \text{if } b \text{ then } s_1 \text{ else } s_2, \rangle \Downarrow \langle g'', \Sigma' \rangle} \text{big-iffalse}$$

# Symbolic Execution of Loops

*Q. What's wrong here?*

$$\frac{\langle \Sigma, b \rangle \Downarrow g' \quad g \wedge g' \text{ SAT} \quad \langle g \wedge g', \Sigma, s; \text{while } b \text{ do } s \rangle \Downarrow \langle g'', \Sigma' \rangle}{\langle g, \Sigma, \text{while } b \text{ do } s, \rangle \Downarrow \langle g'', \Sigma' \rangle} \text{big-whiletrue}$$

$$\frac{\langle \Sigma, b \rangle \Downarrow g' \quad g \wedge \neg g' \text{ SAT}}{\langle g, \Sigma, \text{while } b \text{ do } s, \rangle \Downarrow \langle g \wedge \neg g', \Sigma \rangle} \text{big-whilefalse}$$

# Symbolic Execution of Loops

Bounded exploration ( $k$ -limited)

$$\frac{k > 0 \quad \langle \Sigma, b \rangle \Downarrow g' \quad g \wedge g' \text{ SAT} \quad \langle g \wedge g', \Sigma, s; \text{while}_{k-1} b \text{ do } s \rangle \Downarrow \langle g'', \Sigma' \rangle}{\langle g, \Sigma, \text{while}_k b \text{ do } s, \rangle \Downarrow \langle g'', \Sigma' \rangle} \text{big-whiletrue}$$

$$\frac{\langle \Sigma, b \rangle \Downarrow g' \quad g \wedge \neg g' \text{ SAT}}{\langle g, \Sigma, \text{while}_k b \text{ do } s, \rangle \Downarrow \langle g \wedge \neg g', \Sigma \rangle} \text{big-whilefalse}$$

*Q. What are the implications?*

# Symbolic Execution with Loops

- Loop invariants can be used if given
  - Often works better with SSE
- But we can choose to explore only partial set of paths
  - K-bounded loops (often:  $k < 3$ )
  - “Unsound” for verification
  - Sound but “Incomplete” for bug finding when used with DSE
    - DSE formulas for a given path can be solved to find a witness = test input

# Recap: Soundness and Completeness

- Soundness = “Doesn’t lie” or “all claims are true”
- Completeness = “All truths are claimed”
- For Verification (claim is “*program is correct*”)
  - Soundness: Reasoning along all possible paths (over-approximation)
- For Bug-Finding (claim is “*a bug exists*”)
  - Soundness: Reasoning along feasible paths only (under-approximation)
- Soundness & Completeness is impossible in general (Rice’s theorem)
  - Most systems are sound but incomplete (e.g. can’t prove all programs, or can’t find all bugs)

# Symbolic Execution: A Generalization of Testing

```
1  int x=0, y=0, z=0;
2  if(a) {
3      x = -2;
4  }
5  if (b < 5) {
6      if (!a && c) { y = 1; }
7      z = 2;
8  }
9  assert (x + y + z != 3);
```

What input values of a,b,c will cause the assert to fail?

```

1  int x=0, y=0, z=0;
2  if(a) {
3      x = -2;
4  }
5  if (b < 5) {
6      if (!a && c) { y = 1; }
7      z = 2;
8  }
9  assert(x + y + z != 3);

```

line	$g$	$E$



```

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line	$g$	$E$
0	true	$a \mapsto \alpha, b \mapsto \beta, c \mapsto \gamma$

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1  int x=0, y=0, z=0;
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line	$g$	$E$
0	true	$a \mapsto \alpha, b \mapsto \beta, c \mapsto \gamma$
1	true	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$

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```

line	$g$	$E$
0	true	$a \mapsto \alpha, b \mapsto \beta, c \mapsto \gamma$
1	true	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$
2	$\neg\alpha$	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$

```

1  int x=0, y=0, z=0;
2  if(a) {
3      x = -2;
4  }
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```

line	$g$	$E$
0	true	$a \mapsto \alpha, b \mapsto \beta, c \mapsto \gamma$
1	true	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$
2	$\neg \alpha$	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$
5	$\neg \alpha \wedge \beta \geq 5$	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$

```

1  int x=0, y=0, z=0;
2  if(a) {
3      x = -2;
4  }
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line	$g$	$E$
0	true	$a \mapsto \alpha, b \mapsto \beta, c \mapsto \gamma$
1	true	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$
2	$\neg \alpha$	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$
5	$\neg \alpha \wedge \beta \geq 5$	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$
9	$\neg \alpha \wedge \beta \geq 5 \wedge 0 + 0 + 0 \neq 3$	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$

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9  assert(x + y + z != 3);

```

**Exercise:** Generate path constraints for another path.

line	$g$	$E$
0	true	$a \mapsto \alpha, b \mapsto \beta, c \mapsto \gamma$
1	true	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$
2	$\neg \alpha$	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$
5	$\neg \alpha \wedge \beta \geq 5$	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$
9	$\neg \alpha \wedge \beta \geq 5 \wedge 0 + 0 + 0 \neq 3$	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$

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```

**Exercise:** Generate path constraints for another path (e.g. one that executes line 6).

line	$g$	$E$

```

1  int x=0, y=0, z=0;
2  if(a) {
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5  if (b < 5) {
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9  assert(x + y + z != 3);

```

**Exercise:** Generate path constraints for another path (e.g. one that executes line 6).

line	$g$	$E$
0	true	$a \mapsto \alpha, b \mapsto \beta, c \mapsto \gamma$
1	true	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$
2	$\neg \alpha$	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$
5	$\neg \alpha \wedge \beta < 5$	$\dots, x \mapsto 0, y \mapsto 0, z \mapsto 0$
6	$\neg \alpha \wedge \beta < 5 \wedge \gamma$	$\dots, x \mapsto 0, y \mapsto 1, z \mapsto 0$
6	$\neg \alpha \wedge \beta < 5 \wedge \gamma$	$\dots, x \mapsto 0, y \mapsto 1, z \mapsto 2$
9	$\neg \alpha \wedge \beta < 5 \wedge \neg(0 + 1 + 2 \neq 3)$	$\dots, x \mapsto 0, y \mapsto 1, z \mapsto 2$



```
1  int x=0, y=0, z=0;
2  if (a) {
3      x = -2;
4  }
5  if (b < 5) {
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7      z = 2;
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9  assert(x + y + z != 3);
```

# Symbolic Execution Tree

**Exercise:** How many feasible paths are in the program?