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**Research Article**

## Ant cuticle image classification using texture analysis: a comparative study

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**Abstract:** There is a large variety of ant species, and most species are diverse in terms of size, shape, behaviors, and especially cuticle textures. However, the significance of ant cuticle texture is not widely researched. Ant cuticle texture presumably provides some type of function, and therefore is useful to research for ecological applications and bioinspired designs. This research employs image texture analysis and deep machine learning to automatically group similar ant species based on morphological traits. We provide a comparative study of the performance of texture analysis methods on ant images. We evaluate the results of the classification methods with modern visualization techniques. TODO: what is the result? experiments? data?

**Keywords:** texture analysis; image processing; classification; machine learning; ant cuticle images; ecology

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### 1. Introduction

TODO: refer to ant head images in a consistent way

TODO: refer to traditional/classical in a consistent way

TODO: change ideal and non-ideal to features identified by the biologist and to invalid features (background,

Insects compose half of biodiversity and rank among the most dominant organisms in terrestrial ecosystems [33]. A key factor for the ecological success of insects is their exoskeleton, also known as cuticle. The cuticle protects insects from predation, provides structural support, prevents desiccation,

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and serves as a canvas for advertising visual and chemical signals [13]. Research has heavily focused on the macrostructures and internal chemical components that make the exoskeleton functional and more recent work is being done to understand the functional aspects of external cuticle micro sculpturing [14, 29, 36].

Due to the extensive number of insect species, manual exploration of insect-based information is difficult and often requires specialized expertise. Therefore, automated entomology is gaining attraction by both biologists and computer scientists and is expected to be a major contribution to the future of insect-based research [28]. One of the most commonly used data types for insect analysis is image data. To develop an image-based system for insect analysis, we can take advantage of existing work in general image analysis methods.

We examine ants (*Formicidae*) as they display an extreme diversity of cuticle micro sculpturing across all subfamilies. Sculpturing ranges from parallel longitudinal ridges to deep oval impressions to erratic protuberances. The sculpturing has arisen convergently and independently throughout ant's evolutionary history, which suggests some inherent function. Cuticle sculpturing on ants may help increase strength and rigidness, resist abrasion, increase internal and external surface area, resist microbial growth, and rear beneficial anti-biotic producing bacteria [3, 6, 20]. These specific functions may be associated with certain sculpturing types. In order to analyze those functions, it is necessary to segment the image and group similar textures for further analysis.

In image texture analysis methods, the general goal is to automatically categorize an object into a set of objects with similar texture-based features. Texture analysis has shown promising results in related fields, such as plant identification [2] TODO: more references and examples. With modern texture analysis methods, the categorization of ants can be automated and the results can be used to study the influence of cuticle texture on ant ecology.

Image texture analysis heavily depends on the spatial relationships among gray levels of pixels [19]. Hence, feature extraction method is usually applied to the spatial relationship. The gray-level co-occurrence matrix (GLCM) and local binary patterns (LBP) are two of methods which are based on the spatial relationships to extracting features for this purpose [15, 30]. Traditional image texture analysis can be divided into four categories: statistical, structural, model-based, and transform-based methods [references]. In the past decade, the deep learning network becomes the mainstream in image classification and segmentation [24]. Compared with the traditional method in which a kernel must be designed for extracting features, the deep learning network can automatically extract the features by itself.

In this study, we used some of both approaches for our work on the ant images.

TODO: Specifically, what approaches used? Why do we use image texture for ant images? What are the contributions?

TODO: briefly discuss results from the research

## 2. Related Work

TODO: introduce the section

## 2.1. Sculpturing Identification

Taxonomists have developed extensive terminology describing ant cuticle sculpturing as it is often a useful diagnostic trait to distinguish between closely related ant species [1, 9]. The definitive text on ant cuticle terminology *The Glossary of Surface Sculpturing* contains over 100 terms to describe the cuticle sculpturing patterns of ants [16]. There is often substantial overlap among terms, and closely related cuticle patterns are likely to be functionally similar. For example, the definition for imbricate is, partly overlapping and appearing like shingles on a roof or scales on a fish, which is difficult to distinguish from the definition of tessellate, made up of squares like a chess board, either in sculpturing or in color.

Cuticle sculpturing in ants has been explored thoroughly from a taxonomic perspective; however, the function of nano and microstructures on insect exoskeletons is a developing topic in entomology. Watson et al. review the literature of cuticle nano and microstructure function and propose 21 possible functions associated with these structures [36]. Many of these functions are related to structures not found in ants such as scales and nanostructures. The review does include functions that may relate to ants such as friction control, enhanced surface area, and increased hardness. Watson et al. also describe seven types of cuticle structures ranging from hairs and scales to nano and micro structures [36]. The cuticle sculpturing of ants seems to fall within one type - complex microstructures.

The five broad functional groupings developed that describe the complex microstructures found on ants were derived from reviewing the variation of cuticle sculpturing across ants. These functional groupings were also reflected in Harris as many terms could be grouped together based on similar definitions and comparing the scanning electron microscope photographs provided in the publication [16].

TODO: how do we connect this section to image texture analysis? (why do we research this and why image to)

## 2.2. Texture Analysis

TODO: A brief survey on image texture and cite Liu's IJCV2019 survey paper

## 2.3. Deep Learning for Texture Analysis

In the past decade, deep learning using convolutional neural networks (CNNs) [22] has emerged as the state-of-the-art technology for image analysis. Following this trend, various CNN-based network architectures have been designed to specifically characterize texture images. Among these, the Fisher-vector CNN descriptor (FV-CNN) proposed by Cimpoi et al. [5] is widely accepted as one of the most important pioneering works. It applies FV pooling to deep features obtained via a CNN pre-trained using the ImageNet [22] to obtain encoded features for texture classification. Although it is capable of achieving much improved classification accuracy comparing to traditional hand-crafted texture features, FV-CNN does not support an end-to-end learning, where feature extraction, encoding, and classification are separated from each other. To achieve an end-to-end learning, Zhang et al. [40] proposed the deep texture encoding network (DeepTEN), in which a novel texture encoding layer is added to a standard CNN architecture. Then, Xue et al. [37] constructed the deep encoding pooling network (DEP), which improves over DeepTEN by integrating local spatial characteristics into the texture representation. Based on DeepTEN and DEP, Hu et al. [18] further developed the multi-level texture encoding and representation (MuLTER) network, which embeds a learnable encoding module

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at each convolutional layer so that encoding is performed for both low-level and high-level features, yielding a multi-level texture representation.

Other network architectures for end-to-end texture learning are also available. For example, in the deep multiple-attribute-perceived network (MAP-Net) [39], multiple perceptual attributes are progressively learned in a mutually reinforced manner through multiple branches. In the deep structure-revealed network (DSR-Net) [38], inherent structural representation for a texture pattern is obtained by employing a primitive capturing module to learn spatial primitives and a dependency learning module to capture the dependency among the primitives. In [27], a residual pooling layer consisting of a residual encoding module and an aggregation module is used to generate discriminative features of low dimensions. In [31], a histogram layer is designed to compute local spatial distribution of CNN features. In [4], an innovative aggregation module is presented to exploit statistical self-similarity across layers. All these architectures customize the standard CNN structure to accomplish the characterization of certain spatial, visual, or statistical nature unique to texture images.

#### 2.4. Insect Classification

In this section, we provide an overview of some insect classification methods. Proposed insect classification methods seek to classify insects at different hierarchical levels, such as species, genus, family, and order. Additionally, some methods may classify insects at a combination of different hierarchical levels. Insect classification methods can be applied to a variety of fields. In agriculture, insect classification methods can be used to identify the presence of pest insects in crops, which can inform crop managers in their choice of pesticides and help prevent crop loss [21, 25].

Feng et al. [8] apply an automated system to classify moth images based on semantic related visual attributes, which are defined as a pattern on the moth wings. Feng et al. [8] use a custom texture descriptor based on the combination of GLCM and *scale-invariant feature transform* (SIFT) features [11, 26]. The method proposed by Feng et al. [8] is used to classify 50 different moth species across 8 families [8]. The results from Feng et al. [8] suggest that traditional feature extraction techniques for the semantic visual attributes of the moth wings are sufficient for training a classifier to classify an image between 10 randomly selected moth species.

Urteaga et al. [35] use machine learning methods in order to classify images between two different scorpion species: *Centruroides limpidus* and *Centruroides noxius*. After applying background distinction based on dynamic color threshold, Urteaga et al. [35] apply feature extraction to extract features from the separated scorpion image such as aspect ratio, rectangularity, and compactness. Urteaga et al. [35] apply three different classification models to classify the image as one of the species: Artificial Neural Network, Regression Tree, and Random Forest classifiers [35]. The results from Urteaga et al. [35] show that after background removal, characteristics from the entire body of the scorpion can be used to create a binary classifier that can classify the image as one of the two species.

Lim et al. [23] apply a CNN-based algorithm for insect classification. Lim et al. [23] classify a subset of insect species and families based on the classes available in the ImageNet dataset. ImageNet is a widely used dataset of images labeled by experts with millions of images and thousands of categories [7]. In the ImageNet dataset, there are some categories that specify the class of the insect on a species level, *e.g.* *monarch butterfly* and *ringlet butterfly* as well as some categories that specify the class of the insect on a family level, *e.g.* *ant*, *fly*, and *bee* [12]. Lim et al. [23] use a modified AlexNet architecture and experiment with different numbers of kernels and their effect the performance of the

model. Glick et al. [10] employ a similar approach by classifying 277 insect classes from ImageNet using a hierarchical convolutional neural network. The results from Lim et al. [23] and Glick et al. [10] suggest that a CNN is capable of differentiating between different hierarchical classes of insects.

### 3. Methodology

TODO: do not jump into subsection directly without any context

#### 3.1. Dataset preparation



**Figure 1.** Examples of rough cuticle texture ant images in the dataset after center cropping, from AntWeb [32].

In this section, we describe the creation of the custom dataset used in this research. In our dataset, we use ant head images from AntWeb [32] and define two categories for them based on the appearance of the cuticle texture: *rough* and *smooth*. Some randomly selected images from each category are shown in Figures 1 and 2.

To begin, a master spreadsheet was created with the 2,499 different ant species to be identified for the primary dataset. The team was trained to identify cuticle sculpturing through a process which consisted of one 45-minute introductory lesson explaining the project and texture categories. Then, the team was given a training set of photos to identify from the genus *Polyrhachis*. The sculpture identification protocol describes the two primary categories: *rough* and *smooth*.

Initially, the sculpture identification protocol had 8 subcategories of cuticle texture, including dimpled, ridged, and differing levels of smooth texture. For simplicity, we work only with the two main categories. The training set identifications were reviewed together as a group by the assistants. Once training was complete, assistants were assigned the same genera of ants to identify independently each week. A weekly meeting was held to discuss identifications and assign new ones. These identifications were collected in the master spreadsheet and the identifications were assigned to individual ant species on a majority basis.



**Figure 2.** Examples of smooth cuticle texture ant images in the dataset after center cropping, from AntWeb [32].

To collect the images, the assistants followed the taxonomy information available in the master spreadsheet to the appropriate AntWeb page [32]. In many cases, there are multiple ant head images of the same species, and occasionally there are multiple image resolution available from a single image. To simplify the data collection process, the assistants were instructed to download the first ant head image of the species being identified in the highest resolution possible. Each image was named with an identifier that corresponds with the row number in the master spreadsheet. The same ant head images that were downloaded in the data collection phase were the same ones used in the sculpture identification protocol. Ant species which did not have any images of the head were excluded from the dataset. Additionally, ant species which only had a head image of a queen ant were excluded from the dataset.

Ant specimen images taken from AntWeb [32] are created by different photographers and therefore have different attributes, such as environment, resolution, and lighting. In the ant head images, the ant head is in the center of the image and the body is pointing away from the camera. The focus of the ant head image is centered on the head, with the background and image artifacts from the ant body typically blurred. In most ant head images, there is a bar which indicates the scale of the image due to the variety in the sizes of different ant species. In a few ant head images, there exists some text denoting the specimen identifier and other information. In terms of texture, some ant specimens are very old, so their head images have other abnormalities such as cracks in the cuticle and the presence of dust.

Due to the variety of the ant head image attributes, we apply simple preprocessing before the images are used in our model. We want the images to have a uniform size for simplicity in our classification process. Since the ant head images are typically centered in the image, we apply a center crop to each image to create a square image of the same size. Once the image is square, we resize each image to a fixed size of 256x256 pixels. We leave other discrepancies in the images untouched.

Our custom dataset of ant head images contains 2,499 images. 1072 samples of rough textured ant cuticle textures comprise 43% of the dataset. The remaining 1427 samples of smooth textured

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ant cuticle textures comprise 57% of the dataset. To handle the imbalance of the dataset, we apply undersampling for each class for the training dataset. By using random stratified sampling, we construct a training set with 800 images per class. The remaining images are randomly split between test and validation, which turns out to roughly a 60%/20%/20% train, test, and validation data split. With 272 rough samples and 627 smooth samples left over after the stratified split, the test dataset has roughly 136 rough samples and 313 smooth samples. Since these leftover samples are split with code by 50% there will be some rounding variance and therefore the test dataset built at run-time will not always have exactly the same number of samples.

### 3.2. Classical texture analysis methods

TODO: kviews, gabor, etc. will go here

### 3.3. Deep learning models used for the experiments

Our first model is *visual geometry group* (VGG), a convolutional neural network that takes advantage of very small convolutional filters in a deep network architecture [34]. We compare four architectures of VGG: VGG11, VGG13, VGG16, and VGG19. The primary difference between the architectures is the number of layers in each model. Our second model is *residual network* (ResNet), a deep network architecture that includes shortcut connections between layers (residual connections) [17]. We compare three architectures of ResNet: ResNet18, ResNet50, and ResNet101. Again, the primary difference between the architectures is the number of layers in each model.

For our ResNet models, we have two versions: randomized and pretrained. The randomized version is the same architecture, but the weights are randomly initialized. The pretrained version has weights from training on the CIFAR dataset, an image dataset with 1000 classes. In this case, we are fine-tuning the pretrained model. For VGG, we are only using the randomized version. The base VGG architecture also has an output layer of size 1000. Since we are working with a binary classification problem, we modify the architecture for all models to have an output layer of size 2. Each model is trained over 100 epochs, using stochastic gradient descent with momentum. The batch size is set to 16 images. We apply a learning rate of 0.001 and momentum parameter of 0.9.

TODO: I suggest that at least one deep learning based texture classification algorithm be applied to the dataset for the comparative study. When this is the case, one more subsection should be included here to describe that/those algorithm(s).

### 3.4. Evaluation

We evaluate the performance of the models according to standard evaluation methods. Since we are working with a binary classification problem, we use a standard confusion matrix to evaluate the accuracy, precision, and F1 score. (TODO: AUC and ROC can also be used as additional evaluation methods.) We also apply Grad-CAM with manual inspection to visualize the activation weights for classified images to visualize which features lead to the classification result. Finally, we apply t-SNE to visualize the separation learned for the model to further analyze the classifications made by the model.

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## 4. Results

### 4.1. Environment

Experiments are run on an Ubuntu 18.04 LTS Lambda Labs GPU server. The server contains 8 NVIDIA GeForce RTX 2080 Ti graphics cards with 12GB of memory each. The server uses an Intel Xeon Silver 4116 with 48 total threads and maximum frequency of 3.000 GHz, and has 256GB of RAM.

### 4.2. VGG Models

To begin, we share the results on the VGG model architectures on our custom dataset. The classification results are summarized in a confusion matrix for each model. Then, the statistics for each VGG architecture are shared in Table 1. The results are collected on 8 runs of training and averaged. The results are rounded to 2 decimal places where appropriate.

**Table 1.** Average results for VGG architectures on ant head image dataset.

	Recall	Precision	F1 Score	Accuracy
VGG11	0.83	0.88	0.85	0.80
VGG13	0.87	0.87	0.87	0.82
VGG16	0.86	0.86	0.86	0.80
VGG19	0.87	0.87	0.87	0.81

### 4.3. ResNet Models

Next, we share the results on the ResNet model architectures on our custom dataset with random weight initialization. The classification results are summarized in a confusion matrix for each model. Then, the statistics for each ResNet architecture are shared in Table 2. The results are collected on 8 runs of training and averaged. The results are rounded to 2 decimal places where appropriate.

**Table 2.** Average results for ResNet architectures on ant head image dataset.

	Recall	Precision	F1 Score	Accuracy
ResNet18	0.84	0.87	0.85	0.80
ResNet50	0.86	0.83	0.84	0.77
ResNet101	0.83	0.84	0.83	0.76

#### 4.4. Fine-tuned ResNet Models

Next, we share the results on the fine-tuned ResNet model architectures on our custom dataset with pretrained weights. The classification results are summarized in a confusion matrix for each model. Then, the statistics for each ResNet architecture are shared in Table 3. The results are collected on 8 runs of training and averaged. The results are rounded to 2 decimal places where appropriate.

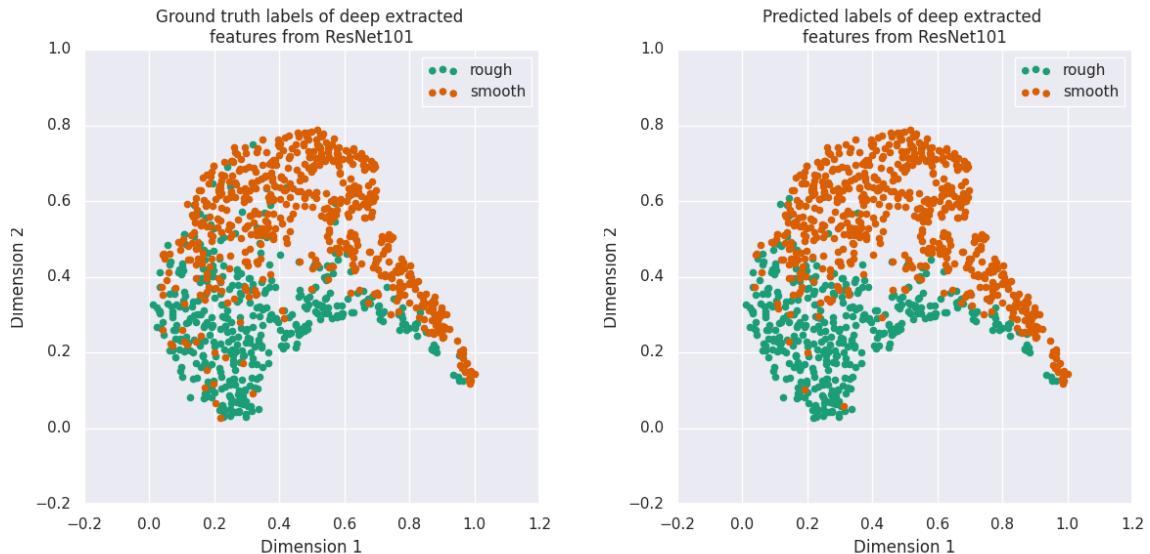
**Table 3.** Average results for Fine-tuned ResNet architectures on ant head image dataset.

	Recall	Precision	F1 Score	Accuracy
ResNet18	0.89	0.94	0.91	0.88
ResNet50	0.89	0.92	0.91	0.87
ResNet101	0.89	0.94	0.92	0.88

### 5. The analysis with visualization

The results in the previous sections show that the fine-tuned ResNet models outperform the VGG and randomly initialized ResNet models on the task of ant head image classification. It should be noted that due to the class imbalance in the dataset, the F1 score is the preferable metric to the accuracy. On average, the fine-tuned ResNet101 model performed the best with an average F1 score of 0.92. We further analyze the separation learned by both ResNet101 models in the following section.

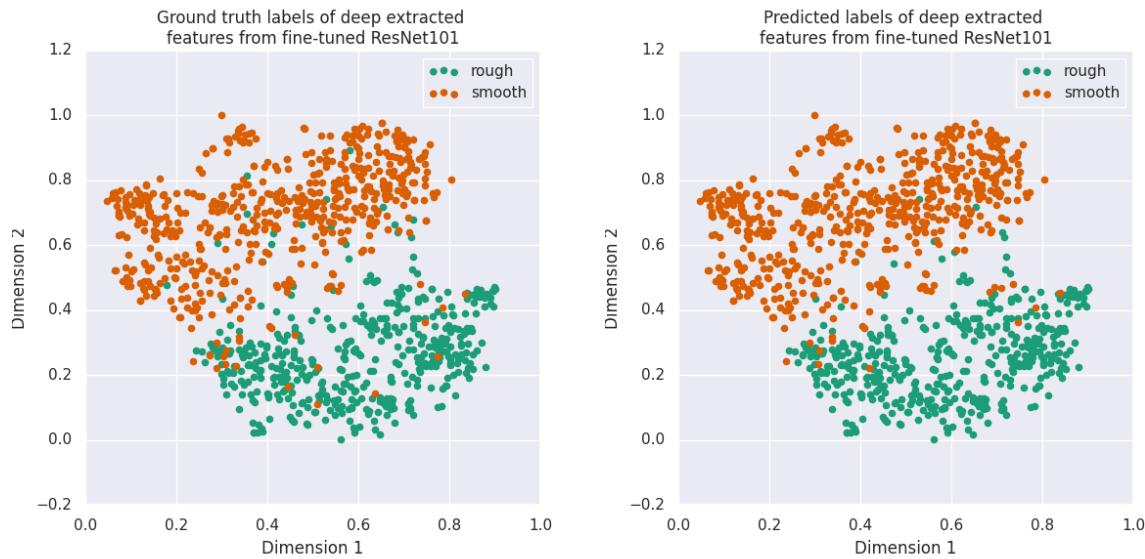
#### 5.1. t-SNE Visualization



**Figure 3.** t-SNE visualization of the embeddings of the second to last layer of the randomly initialized ResNet101 model trained on ant head image dataset.

In this section, we provide visualization of the fine-tuned ResNet101 model and the randomly initialized ResNet101 model using t-SNE dimensionality reduction. First, we run the dataset

preprocessing method and initialize both models. Then, both models are trained according to the training parameters and the state of each model is saved. To visualize the deep extracted features, we modify each model to obtain the embeddings of the second to last layer. Then, we use the t-SNE algorithm to reduce the dimensionality of the embeddings to 2 dimensions. We plot side-by-side the ground truth and predicted labels for each model. Figure 3 shows the results of the trained randomly initialized model and Figure 4 shows the results of the fine-tuned model.

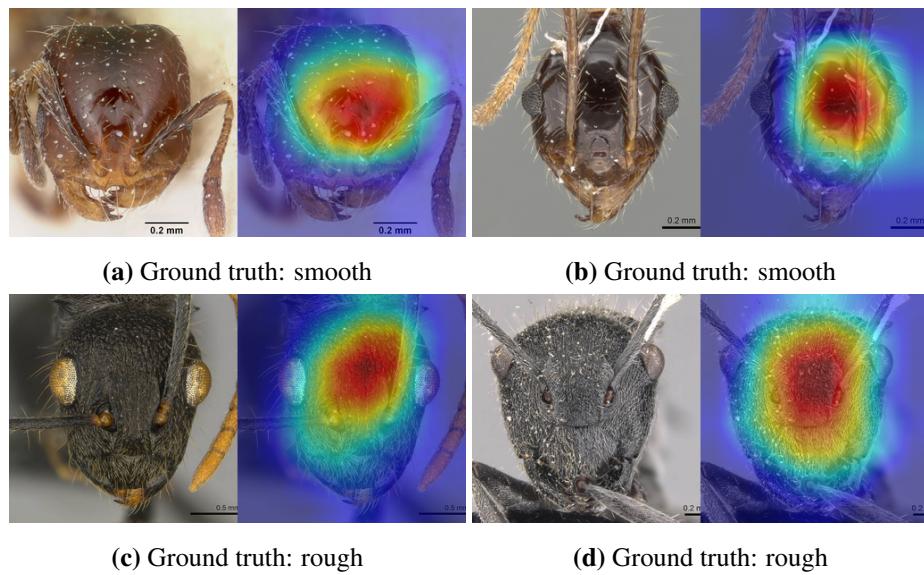


**Figure 4.** t-SNE visualization of the embeddings of the second to last layer of the fine-tuned ResNet101 model trained on ant head image dataset.

Based on the visual results of the t-SNE visualization, we can see that the fine-tuned model learned a stronger separation of the two classes, which reinforces the results that the fine-tuned model received a higher average accuracy.

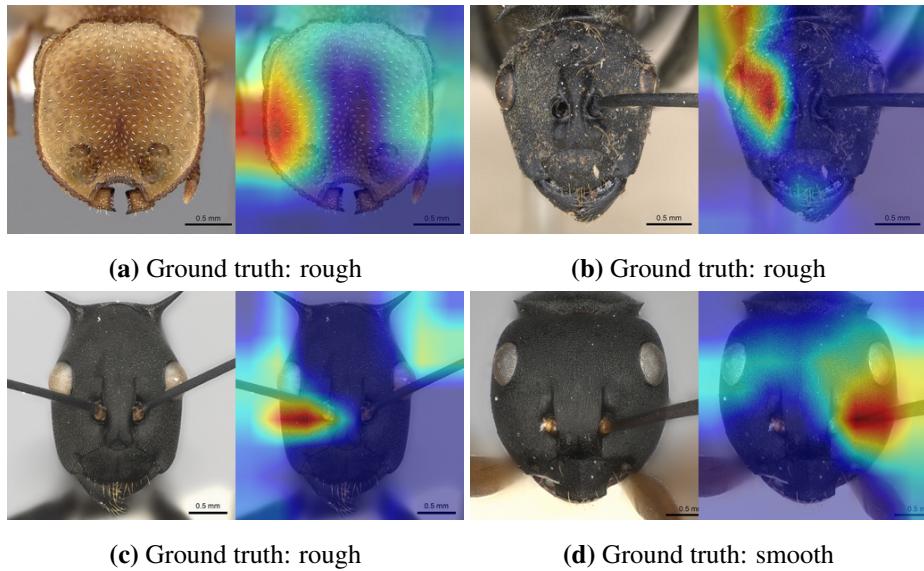
## 5.2. GradCAM Visualization

In this section, we provide some visual analysis of some correctly and incorrectly classified images using GradCAM. We provide two categories and two subcategories in our analysis. The two categories are correct and incorrect classification. Regardless of the feature activation map, the correctly classified images have the same predicted label as the ground truth, and incorrectly classified images have different predicted labels. The two subcategories are ideal and non-ideal feature activation. In the ideal case, the features that are used to compute the classification are the same as the features used by the assistants in the sculpture identification process. In general, the features used by the assistants are the textures of the cuticle on the ant head. In the non-ideal case, the features used to compute the classification are not from the head, for example, from the background, extraneous text, or the body of the ant. We used randomly selected images from the dataset and the fine-tuned ResNet101 model to perform the analysis. We show the GradCAM results in Figures 5, 6, 7, and 8. The left image shows the preprocessed image input to the model. The right image shows the GradCAM output based on the classification. Four specimens were selected randomly from each category and subcategory.

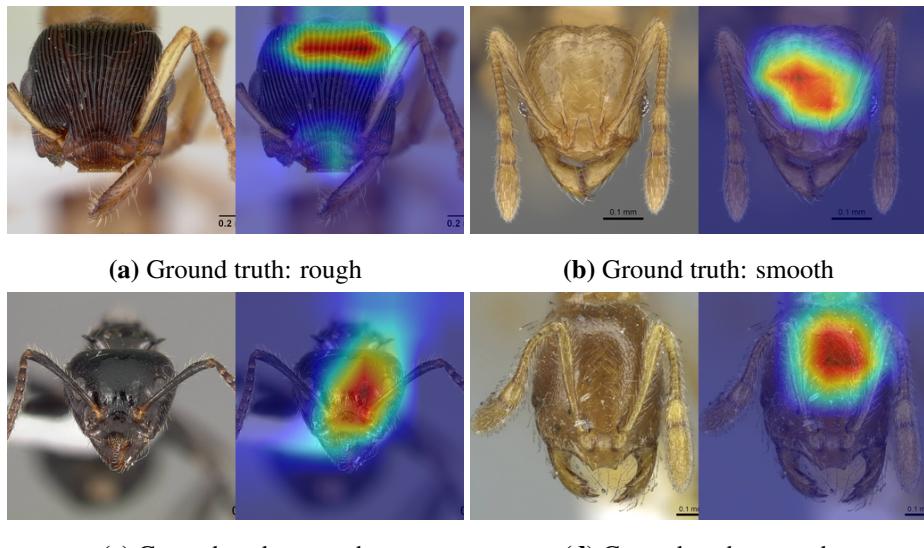


**Figure 5.** Correctly classified images using ideal features.

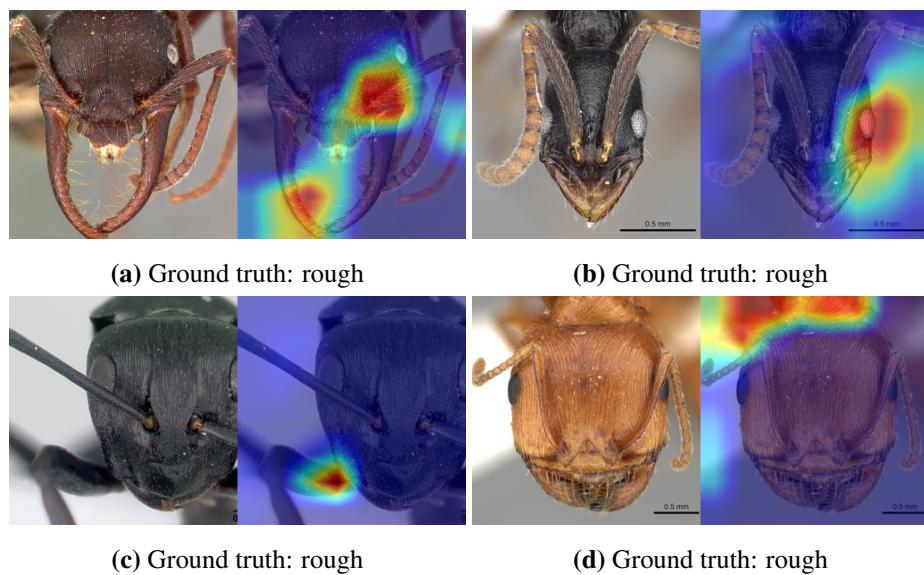
Correctly classified images which use ideal features show the ideal performance of the model. Incorrectly classified images which use ideal features should be further analyzed. In essence, the model in this situation knows *where* to look, but not *what* to look for. In Figure 7a, the features activated are mostly in the correct location on the ant head, and the rough texture is clearly visible, yet the model predicts the incorrect class *smooth*. Similarly in Figure 7c, the features activated are also mostly in the correct location, yet the model predicts the incorrect class *smooth*. In this case, it may be due to the pose of the ant being slightly different from the average pose. In the incorrectly classified images with non-ideal features, analysis shows that the model is unable to find *where* to look, and obtains feature information from other parts of the ant or the background. Cases where the image was correctly classified using the non-ideal features can basically be seen as noise. In order to further analyze this class, we should introduce some parameter such as model confidence to examine further.



**Figure 6.** Correctly classified images using non-ideal features.



**Figure 7.** Incorrectly classified images using ideal features.



**Figure 8.** Incorrectly classified images using non-ideal features.

## 6. Conclusion

Ant cuticle texture presumably has some function, but without the proper tools, evaluating the function based on thousands of species is infeasible. We have shown in this work that a deep learning approach and classical image texture analysis methods can be used to automatically categorize ants based on their cuticle texture, therefore supporting research on the evaluation of the function in future work. Our categorization system is novel in the field of automated insect identification due to the broad number of species captured by it. Additionally, a model that is pre-trained on a diverse image task such as ResNet can be transferred to our domain of texture analysis. All code is publicly available on GitHub (<https://github.com/ngngardner/cuticulus>).

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