

# Model Based Vision

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**Many slides from Dr Carole Twining,  
University of Manchester**

# Model-Based Vision: Summary

- Motivation for model-based approach

Focus on: **Parametric, Learnt Models**

- Representing Shape mathematically:

Statistical shape model (**SSM**)

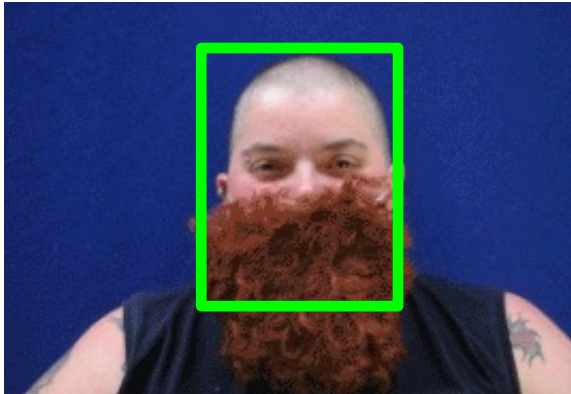
- Modelling Shape Variability (**SSM & PCA**)

- Finding a shape in an image:

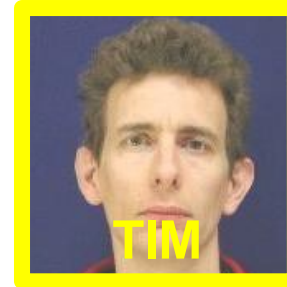
Profile modelling & Active Shape Model (**ASM**)

# Faces: Detection vs Recognition

- **Viola & Jones: Face Detection** (Local feature detection)

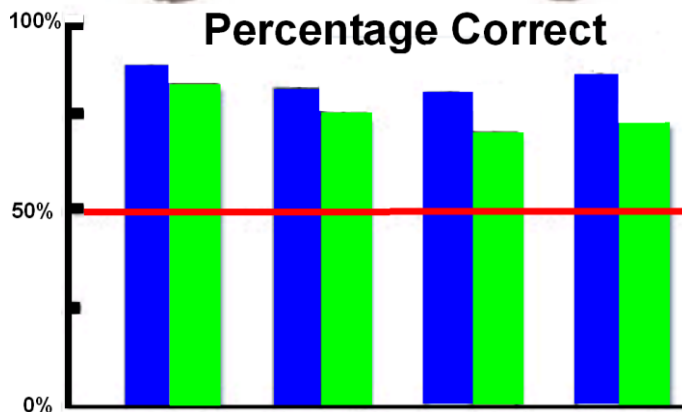


- **Facial Recognition**



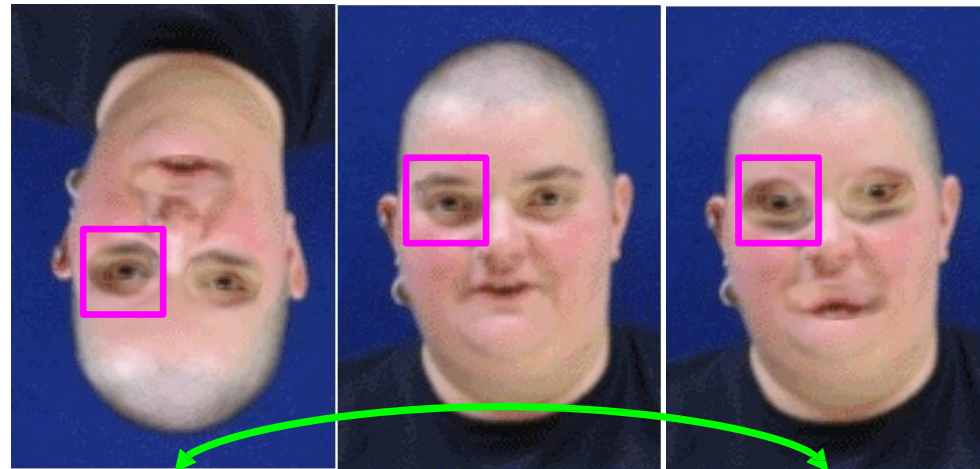
# Facial Recognition: Sheep vs Humans

Kendrick et al., *Sheep don't forget a face*, Nature 414, 165-166 (2001)



● After Training &  
one year later

Thompson, P. (1980). *Margaret Thatcher: A new illusion*. Perception

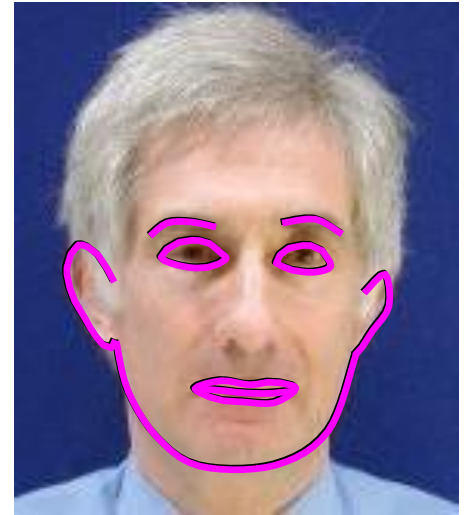
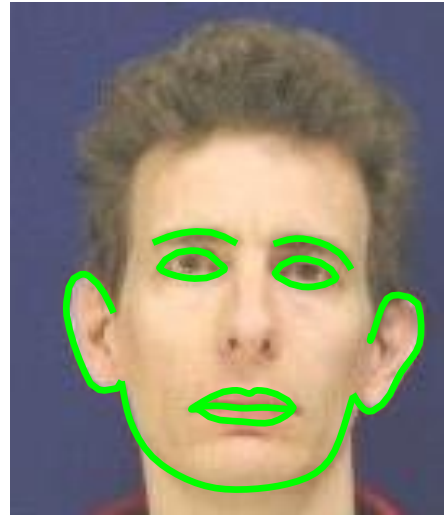


# Motivation for Modelling

- **To ‘understand’ images:**
  - **Not just image edges, but a face**
  - **Not just a face, but whose face**
  - **Not just me, but me with a beard**
- **Complex, multi-part structures, scope for confusion**
- **Noisy/missing data (e.g., glasses, facial hair)**
  - **Can’t interpret using image alone**
- **Model organises image evidence into a coherent whole**

# Simplest Case: Image Contours

- Identifying basic facial features\*
- Shape of individual features
- Relationships between features
- Encodes identity & expression
- First Basic Task:  
extracting suitable  
contours from images



# Parametric Deformable Models

# Incorporating Prior Information

- Training set of annotated images:
  - Nature of the **shape** and expected **shape variation**
  - Gray-scale patterns that define the shape location
- Build a model which can synthesize such shapes
- Use this **generative** model to search unseen images
  - Locate structures
  - Transfer labels
  - Compare new shape to those already seen
  - Interpretation by synthesis**



# Modelling Issues



- Represent complicated multi-part shapes
- Represent variation of shapes across a population
- **Generalisation:**
  - Need to represent all possible examples
- **Specificity:**
  - Need to represent only 'legal' examples
- **Compactness:**
  - A model with as few parameters as possible

# Parametric Deformable Modelling Approach

## Statistical Shape Models (SSMs):

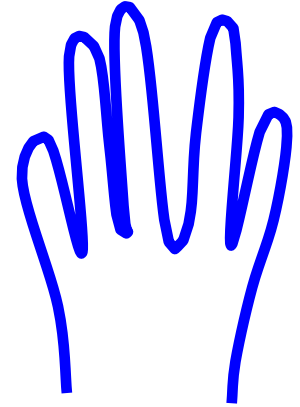
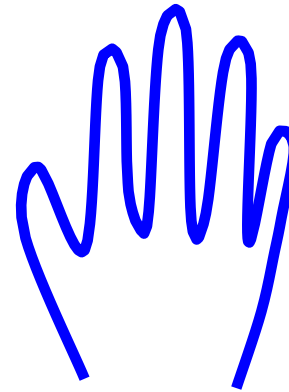
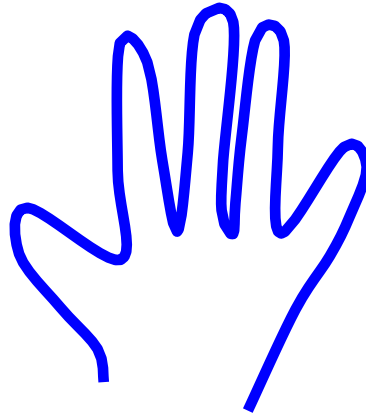
- Representing complicated, multi-part shapes
- Modelling shape across a population

## Active Shape Models (ASMs):

- Modelling expected image features near shape
- Search algorithm on a new image

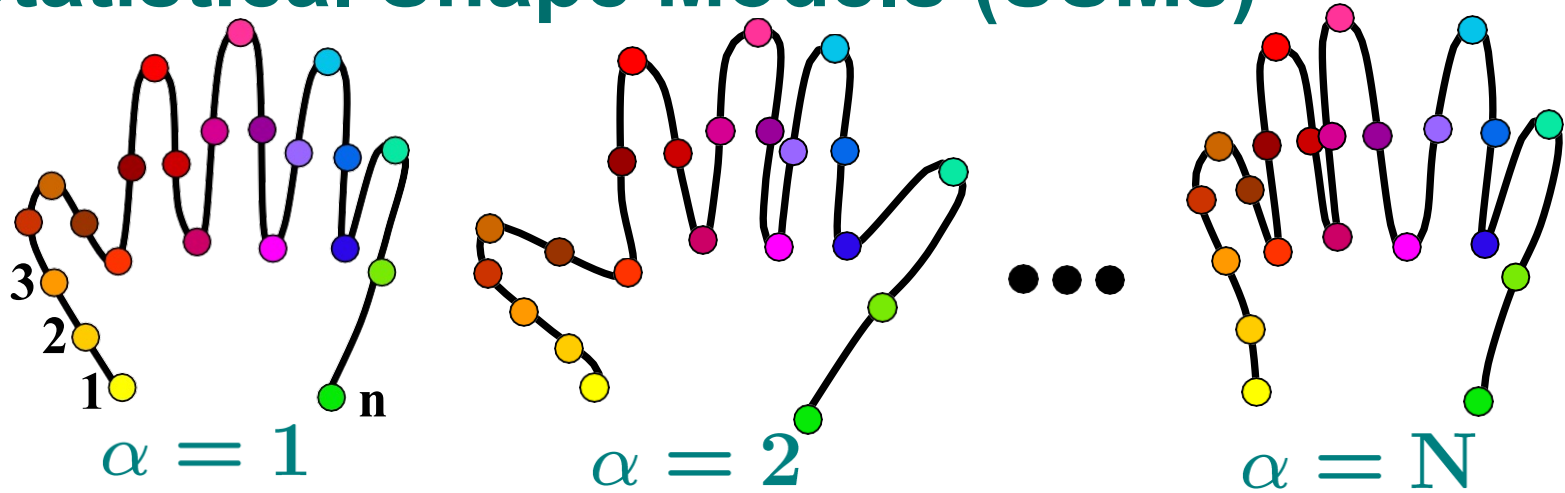
# Representing a Population of Shapes

# Training Data



- Set of images, containing object of interest
- Shape annotation on each image
- Training set of shapes, including required variation

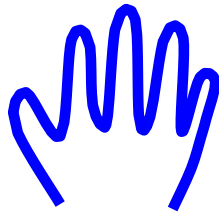
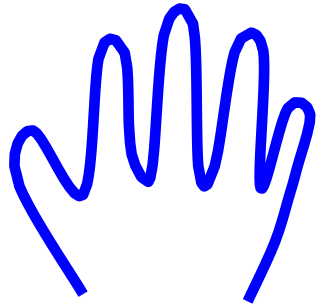
# Representing Shape: Statistical Shape Models (SSMs)



- Single shape, set of  $n$  points (& spline to join them)
- Shape vector:  $\underline{x}^\alpha = \underline{x}^1 = (x_1, y_1, x_2, y_2, \dots, x_n, y_n)$
- Corresponding points on all shapes
- Entire training set, set of shape vectors:

$$\{\underline{x}^\alpha : \alpha = 1, 2, \dots, N\}$$

# Shape Alignment



- What do we mean by shape?
- Shape: what is unchanged by similarity transformation:

**Scaling**

**Translation**

**Rotation**

- Align set of shapes, uniform **position**, **scale** and **orientation** (Generalized Procrustes analysis)

# Shape Alignment: Why “Procrustes” Analysis?



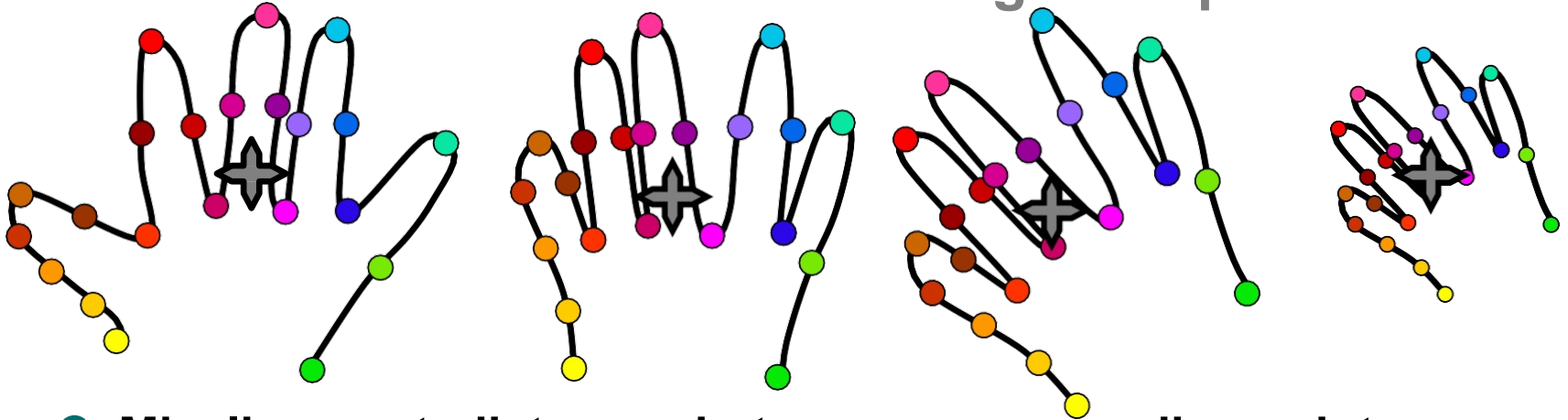
Procrustes (Προκρούστης) or:

"the stretcher [who hammers out the metal]"

# Procrustes Alignment for SSMs:

Fixed Reference

Transforming Example



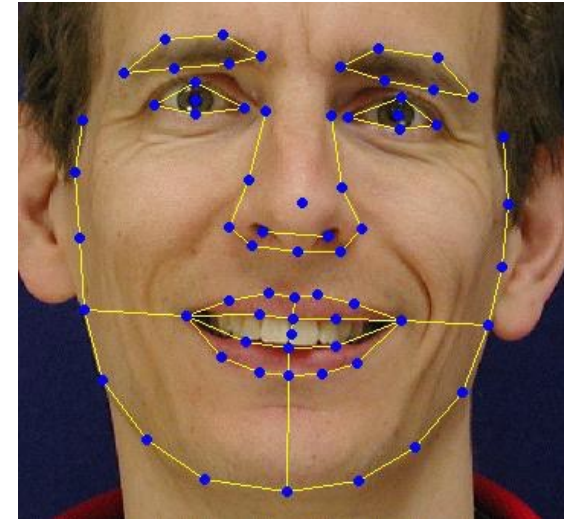
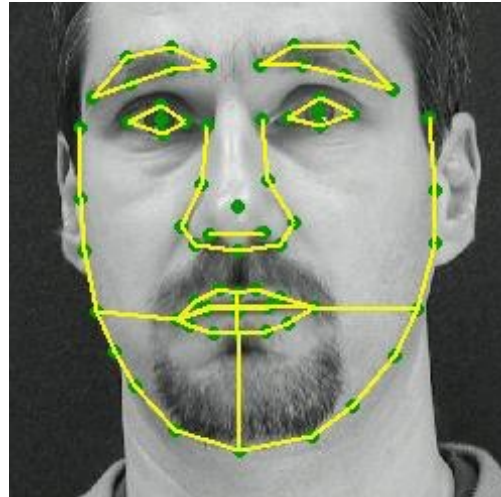
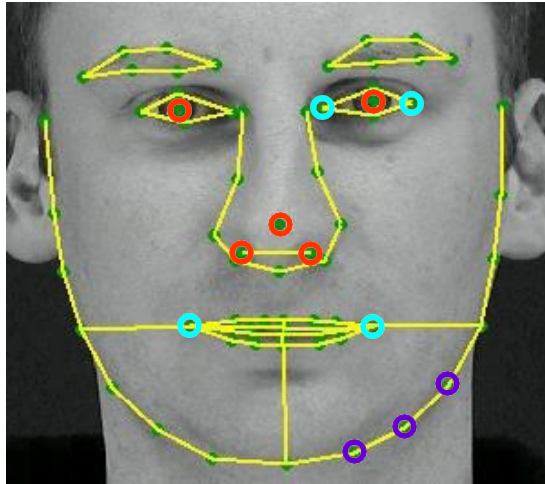
- Misalignment: distances between corresponding points

$$\sqrt{SSD} = \sqrt{(x_1 - x'_1)^2 + (y_1 - y'_1)^2 \dots + (y_n - y'_n)^2}$$

- Match centre of mass (solves for translation)
- Match scale
- Solve for rotation
- Repeat for all shapes in training set
- Variants on the algorithm (e.g., iterative alignment to evolving mean)



# SSM Training Examples:



- **Need good identifiable landmarks:**
  - Points** (nostrils, tip of nose, pupils), **Corners** (eyes, mouth),  
Junctions
- **Other points** can be equally-spaced along boundary
  - Use as many points as you need to define the shape

# Statistical Shape Models:

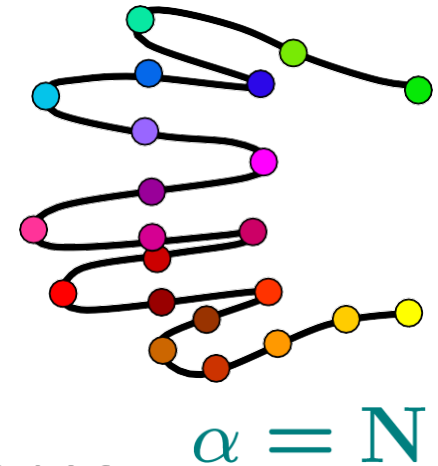
## Advantages:

- Simple, intuitive shape representation
- Add as many points as required
- Corresponding points on different shapes:

Points move in correlated fashion as parts move or shape changes

## Disadvantages:

- Mark-up time-consuming, error-prone
- Correspondence hard to define on some objects
- Surfaces: hard to do & equal-spacing doesn't work!



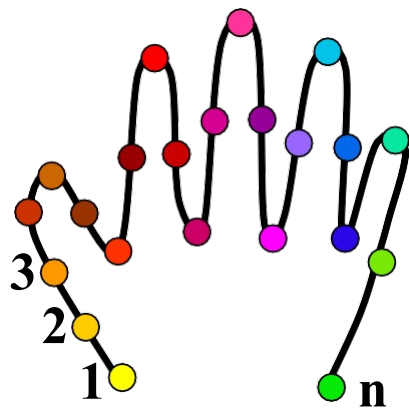
## Progress so far:

- Need to include prior knowledge
- Training data
- Representing sets of shapes

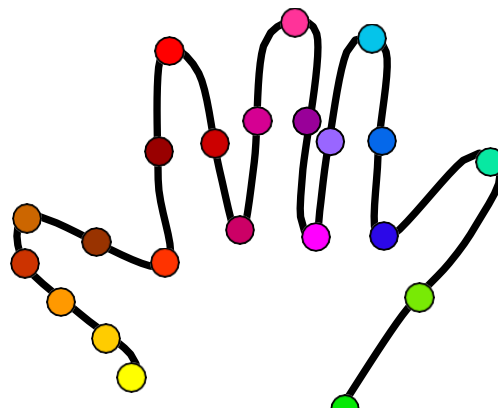
## Next :

- Modelling distributions of shapes
- Modelling image appearance
- Search algorithm

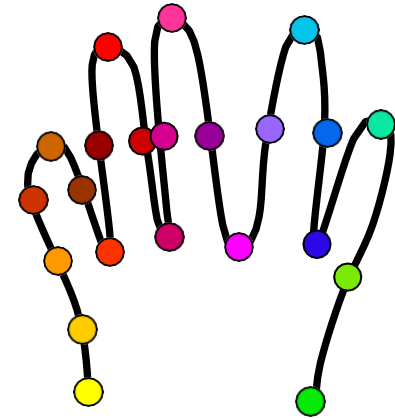
# Statistical Shape Models (SSMs)



$\alpha = 1$



$\alpha = 2$



$\alpha = N$

- Set of **aligned** shapes
- Shape vector:  $\underline{x}^\alpha = (x_1^\alpha, y_1^\alpha, x_2^\alpha, y_2^\alpha, \dots, x_n^\alpha, y_n^\alpha)$
- Corresponding points on all shapes
- Entire **aligned** training set, set of shape vectors:

$$\{\underline{x}^\alpha : \alpha = 1, 2, \dots, N\}$$

# Principal Component Analysis (PCA)

$\{\underline{x}^\alpha : \alpha = 1, 2, \dots, N\}$

Mean shape:

$$\underline{\bar{x}} = \frac{1}{N} \sum_{\alpha=1}^N \underline{x}^\alpha$$

$\underline{\hat{n}}$ , unit axis vector

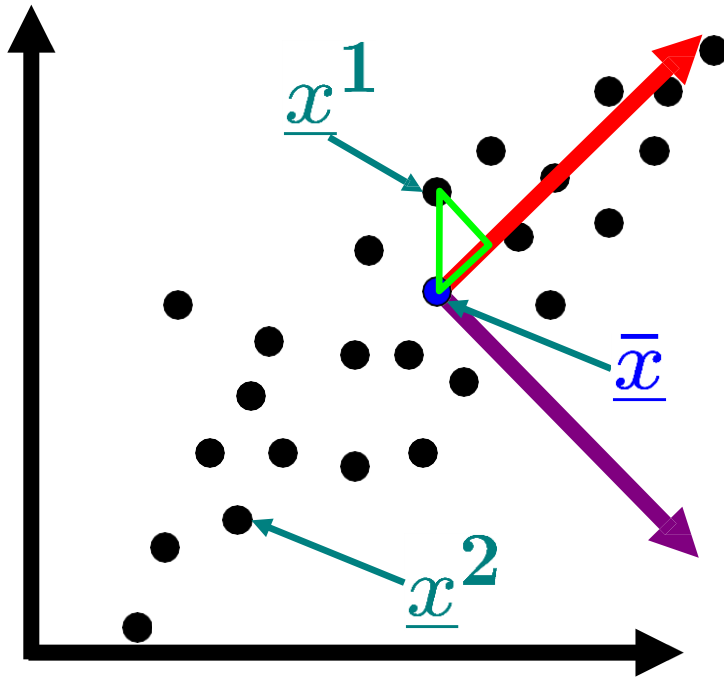
Maximize data projection:

$$\arg \max_{\underline{\hat{n}}} \sum_{\alpha=1}^N (\underline{\hat{n}} \bullet (\underline{x}^\alpha - \underline{\bar{x}}))^2$$

Repeat:

$$\arg \max_{\underline{\hat{m}}} \sum_{\alpha=1}^N (\underline{\hat{m}} \bullet (\underline{x}^\alpha - \underline{\bar{x}}))^2,$$

Constraint:  $\underline{\hat{m}} \bullet \underline{\hat{n}} = 0$



**Shape Space:**  
axes = coordinates  
of every shape point

# PCA Solution: Covariance Matrix

Mean shape:  $\bar{x} \doteq \frac{1}{N} \sum_{\alpha=1}^N \underline{x}^{\alpha}$

n: number of points on each shape  
2: number of spatial dimensions

Covariance matrix:

$$C_{ij} \doteq \frac{1}{N} \sum_{\alpha=1}^N (\underline{x}^{\alpha} - \bar{x})_i (\underline{x}^{\alpha} - \bar{x})_j, \quad i, j = 1, \dots, 2n$$

Solve covariance matrix eigenproblem:

$$C \hat{n}^{\mu} = \lambda^{\mu} \hat{n}^{\mu}$$

(matrix  $\times$  vector = number  $\times$  vector)

Eigenvectors:  $\{\hat{n}^{\mu}\}$ , directions of new axes

Ordered eigenvalues:  $\{\lambda^{\mu} : \lambda^1 \geq \lambda^2 \dots\}$ ,

how much variance in each direction

# Generative Shape Models:

generated shape  $\rightarrow \underline{x} = \underline{\bar{x}} + \underline{P} \underline{b}$

mean shape  $\uparrow$

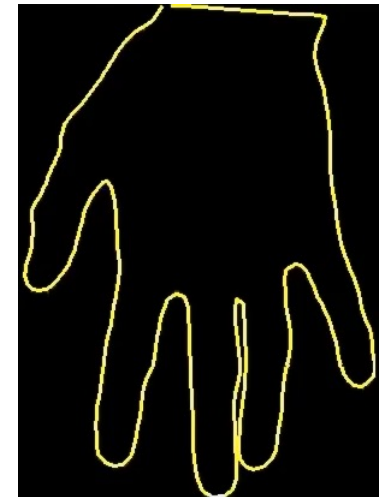
matrix, columns are eigenvectors  $\uparrow$

shape parameters, coords wrt PCA axes  $\leftarrow$

- New shape = mean plus weighted sum of eigenvectors
- PCA automatically finds relevant **modes of variation**

$\underline{b}$ 可能是你关心多少特征值？可能几个特征值就可以确认是手了？只要符合那个线性关系？从而减少计算复杂度？

$b_1 \neq 0$  only     $b_2 \neq 0$  only     $b_3 \neq 0$  only



# Generative Shape Models: Faces

First Mode



$b_1 \neq 0$  only

Second Mode



$b_2 \neq 0$  only

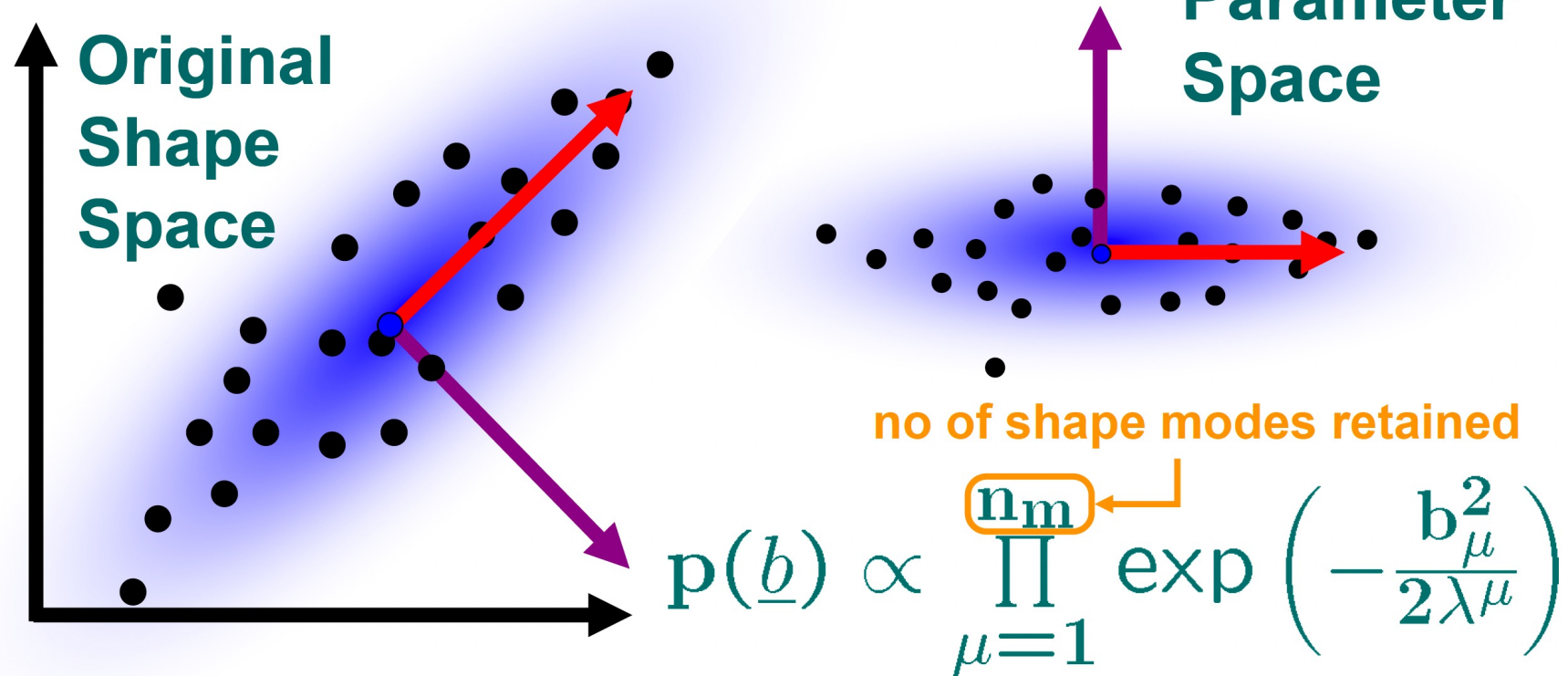
Third Mode



$b_3 \neq 0$  only



# Statistical Shape Models:



- Multivariate Gaussian probability density function
- Aligned with PCA directions (eigenvectors)
- Matches variance seen in training set (eigenvalues)
- Product of Gaussians in parameter space

# SSMs: Summary

## Construction:

- Training set of shapes, corresponding landmarks
- Procrustes align shapes and compute mean shape
- Covariance matrix and solve PCA eigenproblem
- Shape parameters, modes of variation
- Construct gaussian probabilistic model

## Results:

- **General**: modes of variation capture full variation
- **Specific**: modes capture only variation actually seen
- Assign **probabilities** to generated shapes

# From SSMs to Active Shape Models

## Task:

- Find shape in unseen image

## Solution:

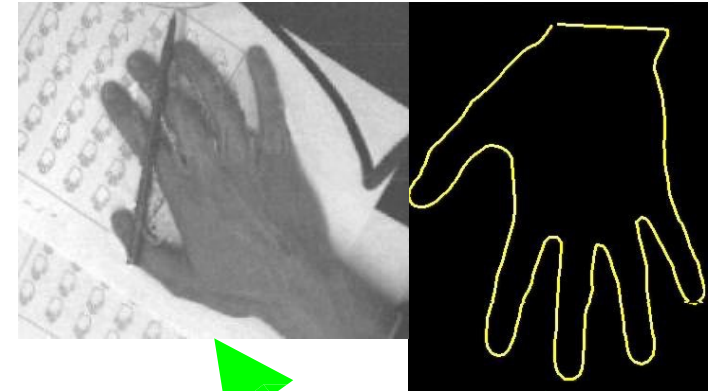
- Map from model frame to image frame
- Iterative localised search:

Search in neighbourhood of current points for new points Fit model to suggested new shape

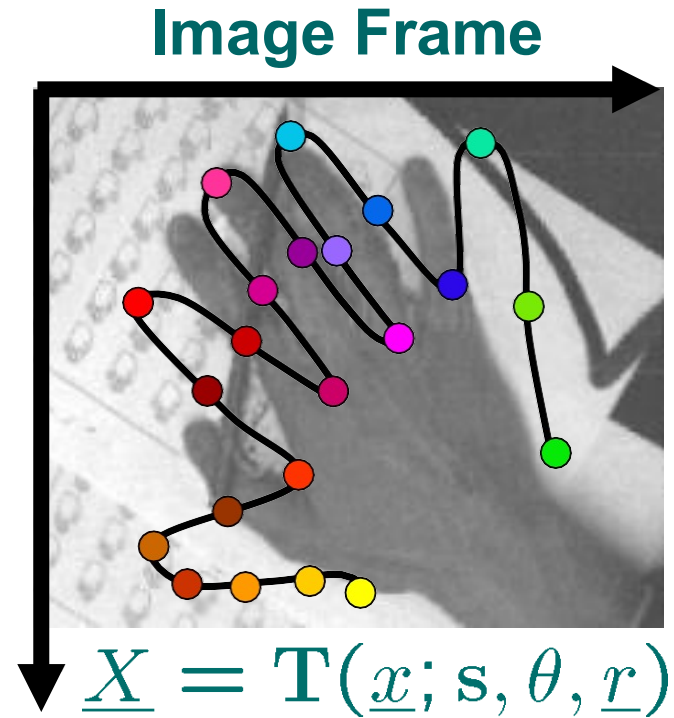
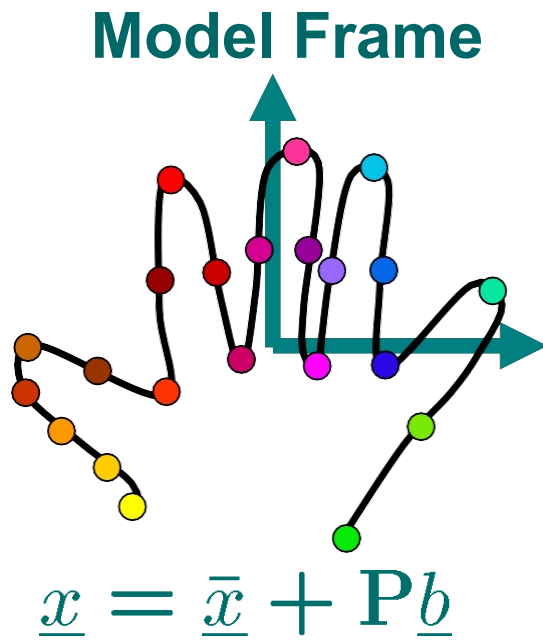
Apply constraints to shape based on learnt variation

Repeat until convergence

- This localised search
  - moves towards edges, lines etc
  - remains valid shape as it does so



# Placing the Model in an Image



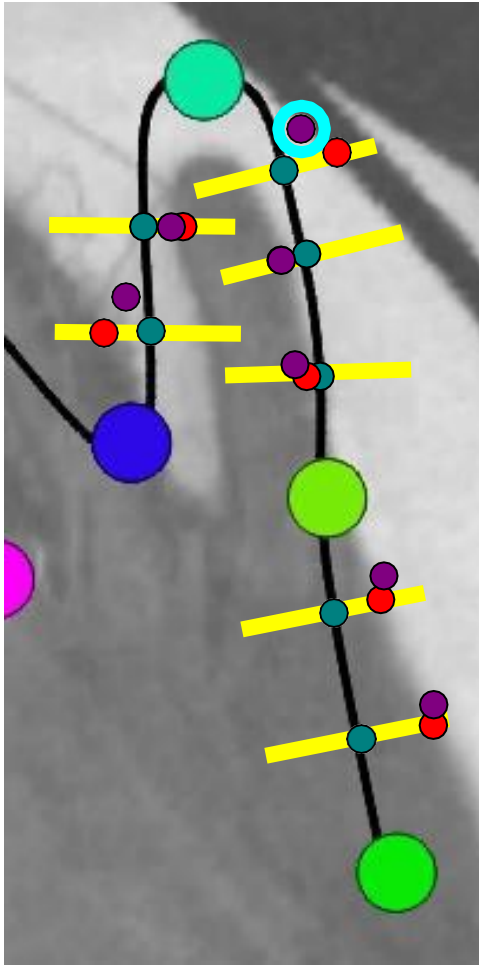
- **Scale, rotate, and translate to get to image frame:**

Pose transformation:  $\underline{T}(\underline{x}; s, \theta, \underline{r}) = s\mathbf{R}(\theta)\underline{x} + \underline{r}$

- **Total set of parameters to define shape in an image:**

Pose parameters:  $s, \theta, \underline{r}$ , Shape parameters:  $\underline{b}$

# ASM Local Iterative Search



- Local search
- Initialise near target
- Search along normals
- Look for strongest edge
- Gives **new set** of suggested shape points  
 $\underline{X}'$
- Best-Fit model to shape:  
 $\underline{X}' \approx T(\underline{x}(\underline{b}); s, \theta, \underline{r})$
- Candidate shape:  
 $\underline{X}'' = T(\underline{x}(\underline{b}); s, \theta, \underline{r})$
- Still leaves some **errors**

# Problems:

## Edge-Finding:

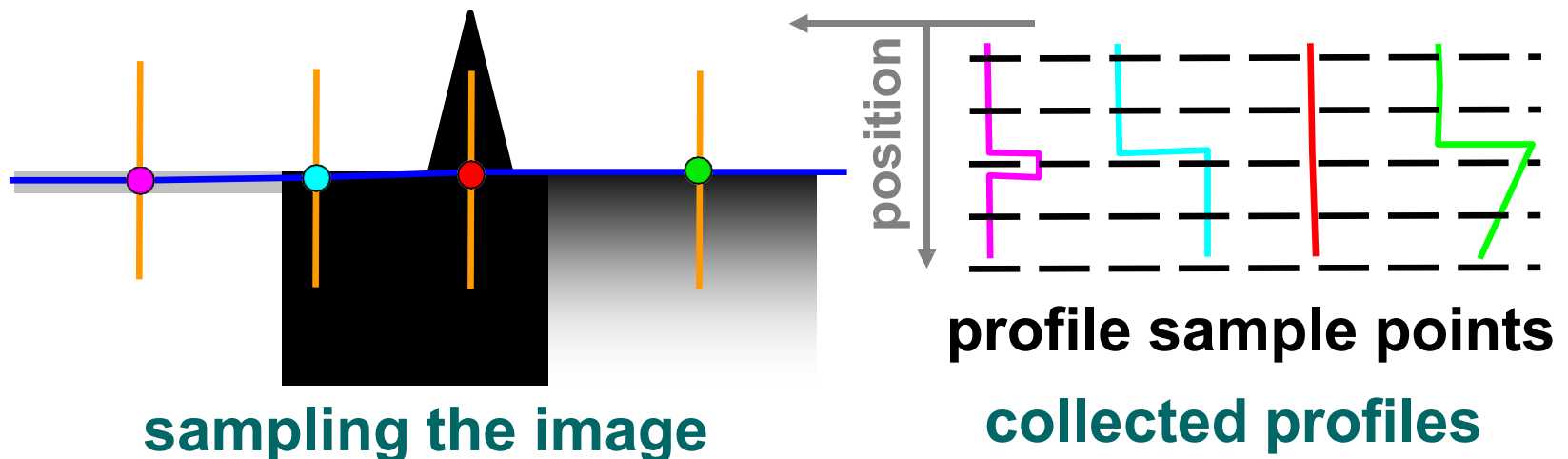
- Actual position not edge, or not strongest edge

## Solution:

- Model profiles/appearance near points
- Apply shape and profile probability to control search

## Profile Models

- For each shape point in each training image: Sample image values along normals to **shape** Normalise to eliminate illumination effects
- Build statistical model as for shape  
Profile vector like shape vector
- Model assigns probability to each possible profile  
Select most probable profile rather than strongest edge

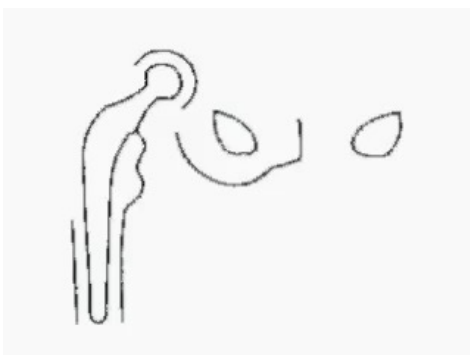
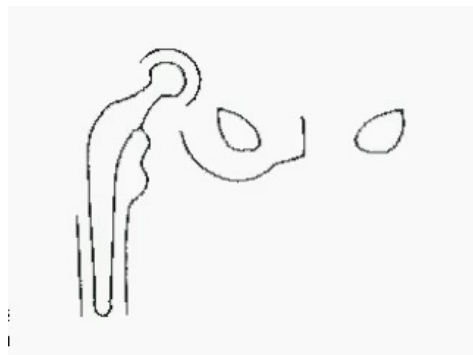


# ASM Multi-Resolution Search

- **To increase basin of attraction, use multi-resolution**
  - Gaussian pyramid of training images**
  - Same shape points, but different profile models at each level**
- **Start search at coarse level, refine at finer level**



# ASM Search Example: Hip



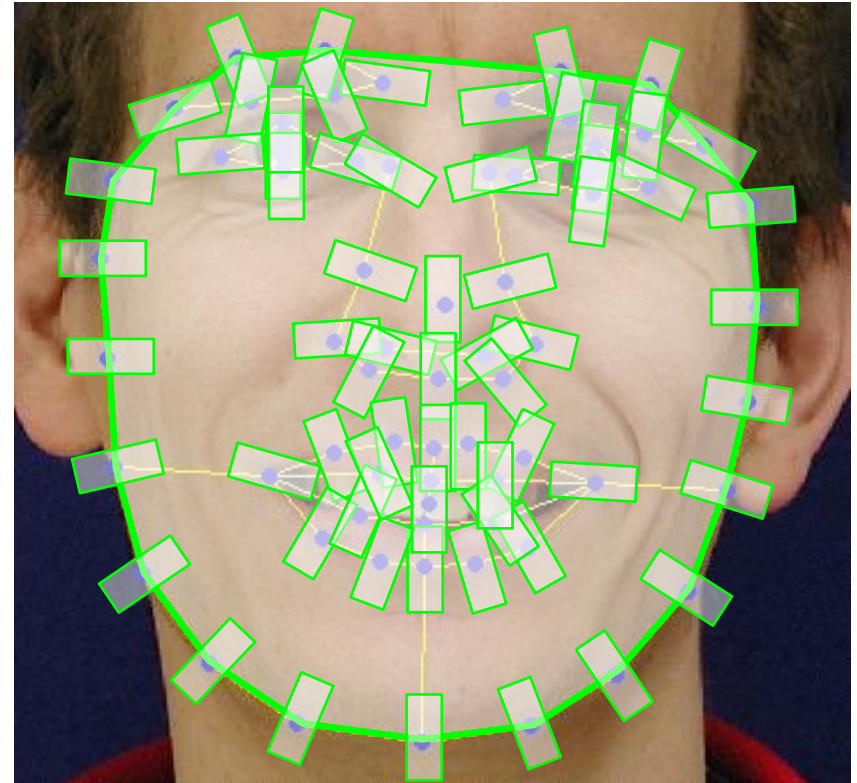
# ASM: Summary

## Advantages:

- Fast, simple, accurate
- Efficient extension to 3D

## Disadvantages:

- Only sparse use of image information
- Treats local profiles as independent



## Further Information:

- Mathematical details of PCA, correspondence problem

Electronic access via CAS/library to Springer ebooks:

**Davies, Twining & Taylor, *Statistical Models of Shape***

- ASM, AAM etc
- Tim Cootes personal website
- Wikipedia: articles & links
- YouTube: many videos of ASM/AAM search & variants