

## Integration of SQL with XML Syntax in Relational Database Systems

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- Impact on formatting of Internet data
- Rapid evolution of XML

1997- World Wide Web Consortium (W3C) adopts a **tag-based**, less complex derivative of SGML called **Extensible Markup Language (XML)**.

1998- XML introduced as an applicable language for utilization with database systems using **semi-structured data and web-based systems**.



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## Changes in Web Technology

Impact on formatting of Internet data

resulting in

Rapid evolution of XML  
&  
Its incorporation in database systems

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## XML Paradigm

Tag-based, meta-language similar to HTML

- Represents and manipulates data elements in an organized structure with start tag and end tag -- <start> . . . </end>

Example:

```
<FacultyName> Nancy Fields </FacultyName>  
Or  
<PartName> Modem </PartName>
```

- Tags:** Should be well-formed and valid
- Have beginning and end tags
  - Use the same case
  - Be properly nested
  - XML or xml prefixes reserved for XML tags only
  - Not a replacement for HTML

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## XML Document Example (FacultyInfo.xml)

```
<FACULTY>  
  <FIRSTNAME>Jim</FIRSTNAME>  
  <LASTNAME>Lange</LASTNAME>  
  <TITLE>Associate</TITLE>  
  <BIRTHDATE>12/25/1953</BIRTHDATE>  
  <ADDRESS>500 Main Street</ADDRESS>  
  <SALARY>50000</SALARY>  
</FACULTY>  
<FACULTY>  
  <FIRSTNAME>Jack</FIRSTNAME>  
  <LASTNAME>Amick</LASTNAME>  
  <TITLE>Assistant</TITLE>  
  <BIRTHDATE>1/10/1973</BIRTHDATE>  
  <ADDRESS>16 Lawn Ave.</ADDRESS>  
  <SALARY>35000</SALARY>  
</FACULTY>  
<FACULTY>  
  <FIRSTNAME>Helen</FIRSTNAME>  
  <LASTNAME>Knapp</LASTNAME>  
  <TITLE>Full Professor</TITLE>  
  <BIRTHDATE>1/10/1965</BIRTHDATE>  
  <ADDRESS>16 May Ave.</ADDRESS>  
  <SALARY>85000</SALARY>  
</FACULTY>
```

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## XML Documents

Stored as text file using one of its major formats or templates for distribution by the Internet to different users on different sites.

- Data Type Definition (DTD)
- XML Schema Definition (XSD)

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## Document Type Definition (DTD)

- File with .dtd extension to describe XML elements
- Provides composition of database's logical model
- Defines syntax rules for each XML document
- Defines valid tags

FacultyInfo is the root element

+ Occurrence of one or more times

\* Occurrence of zero or more times

? Indicates optional elements

#PCDATA keyword represents the actual text data

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## XML Document and DTD Document Example

```
<?xml version="1.0"?>
<DOCTYPE Faculty INFORMATION "Faculty Info.dtd">
<FACULTY>
  <FIRSTNAME>Jim</FIRSTNAME>
  <LASTNAME>Lange</LASTNAME>
  <TITLE>Associate</TITLE>
  <BIRTHDATE>12/25/1953</BIRTHDATE>
  <ADDRESS>500 Main Street</ADDRESS>
  <SALARY>50000</SALARY>
</FACULTY>
<FACULTY>
  <FIRSTNAME>Jack</FIRSTNAME>
  <LASTNAME>Amick</LASTNAME>
  <TITLE>Assistant</TITLE>
  <BIRTHDATE>1/10/1973</BIRTHDATE>
  <ADDRESS>16 Lawn Ave.</ADDRESS>
  <SALARY>35000</SALARY>
</FACULTY>
<FACULTY>
  <FIRSTNAME>Helen</FIRSTNAME>
  <LASTNAME>Knapp</LASTNAME>
  <TITLE>Full Professor</TITLE>
  <BIRTHDATE>1/10/1965</BIRTHDATE>
  <ADDRESS>16 May Ave.</ADDRESS>
  <SALARY>85000</SALARY>
</FACULTY>
```

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## XML Schema

- Advanced data definition language to describe structure of XML documents
- Checks for database types
- Validates data for out-of-range values
- XML Schema Definition (XSD) file uses syntax-like XML document

Example of a simple type

```
<xsd:element name="FacultyID" type="xsd:integer"/>
```

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## XML Schema Document Example

```
<?xml version="1.0"?>
<schema xmlns:xsd="FacultyXSDnew" elementFormDefault="qualified"
attributeFormDefault="qualified">
<xsd:complexType name="Faculty">
<xsd:element name="FIRSTNAME" type="xsd:string" maxOccurs="1" minOccurs="1" />
<xsd:element name="LASTNAME" type="xsd:string" maxOccurs="1" minOccurs="1" />
<xsd:element name="TITLE" type="xsd:string" maxOccurs="1" minOccurs="1" />
<xsd:element name="BIRTHDATE" type="xsd:string" maxOccurs="1" minOccurs="1" />
<xsd:element name="ADDRESS" type="xsd:string" maxOccurs="1" minOccurs="1" />
<xsd:element name="SALARY" type="xsd:decimal" maxOccurs="1" minOccurs="1" />
</xsd:complexType>
</schema>
```

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## Project Work

Development of simple query language

similar to traditional SQL with added symbols

to query a relational database &

to convert results to XML document

XML with related attribute names

from one or multiple tables

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## Definition 1

Syntax of XML structure for tags and elements conforms to the basic standard format of XML with minor enhancement to capture some of the semantics of relational database schema.

### Syntax

start tag name, table name, attribute name, attribute value,  
end tag name

```
<start TagName table="TableName" name="AttributeName" >
AttributeValueRiCj
</end TagName>
```

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## Example of Definition 1

Customer (CustNo, CustName, Street, City, PhoneNo, ...)

XML structure for Customer schemata with  $i$  and  $j = 1, 2, 3, \dots, n$

```
<CUSTNO table="Customer" name="CustNo">
AttributeValueRiCj
</CUSTNO>
<CUSTNAME table="Customer" name="CustName">
AttributeValueRiCj
</CUSTNAME>
<STREET table="Customer" name="Street">
AttributeValueRiCj
</STREET>
<CITY ...>
```

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### Example

Customer (CustNo, CustName, Street, City, PhoneNo, ...)

XML structure for Customer schemata with  $i$  and  $j = 1, 2, 3, \dots, n$

```
<CUSTNO table="Customer" name="CustNo">
  AttributeValueRIC1
</CUSTNO>
<CUSTNAME table="Customer" name="CustName">
  AttributeValueRIC2
</CUSTNAME>
<STREET table="Customer" name="Street">
  AttributeValueRIC3
</STREET>
<CITY ...>
```

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### Definition 2

The default tag name for the start and end tags can be modified during conversion and set to any desirable name by the user.

Use of [AS NewTagName] resulting in a new name for the tags

```
<start NewTagName table="TableName" name="AttributeName" >
  AttributeValueRICj
</end NewTagName>
```

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### Example of Definition 2

Customer (CustNo, CustName, Street, City, PhoneNo, ...)

```
<CUSTNO table="Customer" name="CustNo">
  AttributeValueRIC1
</CUSTNO>
```

CUSTNO tag name changing to new name by using [AS Customer\_Number]

```
<CUSTOMER_NUMBER table="Customer" name="CustNo">
  AttributeValueRICj
</CUSTOMER_NUMBER>
```

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### Definition 3

Attribute names from one or more tables may be grouped to present a hierarchy level by using one beginning **< tag symbol and one end >** tag symbol before and after group respectively.

Syntax

```
<Group_tag_name, TableT1.AttributeNamei, TableT1.AttributeNamej..., >
```

clusters tags and elements under level tag **Group\_tag\_name**

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### Definition 3

Attribute names qualified with table name

Perhaps from different tables rather than only *T1*

Embedding of levels in levels using a similar formulation

`<Group_tag_name1, TableT1.AttributeNamei,..., <Group_tag_name2, TableT2.AttributeNamej,...,>>`

Perhaps multiple table names instead of only *T1* & *T2*

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### Example of Definition 3

Customer Address with its attribute names under one level using grouping syntax during conversion

`<CustomerAddress, Customer.Street, Customer.City, Customer.PhoneNo,>`

Result of XML Customer Address conversion using tuple with

Street= 123 Main St, City = San Diego, and PhoneNo= 555-1234

```
<CustomerAddress>
  <STREET table="Customer" name="Street">
    123 Main St
  </STREET>
  <CITY table="Customer" name="City">
    San Diego
  </CITY>
  <PHONENO table="Customer" name="PhoneNo">
    555-1234
  </PHONENO>
</CustomerAddress>
```

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### Conversion with Definitions 2 and 3

Formulation of Customer Address for more descriptive tag names instead of default tag names for each element

`<CustomerAddress, Customer.Street AS StreetName, Customer.City, Customer.PhoneNo AS PhoneNumber,>`

```
<CustomerAddress>
  <STREETNAME table="Customer" name="Street">
    123 Main St
  </STREETNAME>
  <CITY table="Customer" name="City">
    San Diego
  </CITY>
  <PHONENUMBER table="Customer" name="PhoneNo">
    555-1234
  </PHONENUMBER>
</CustomerAddress>
```

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### Definition 4

A compression technique developed to allow multiple attributes or records with the same level name and group to be compressed to one level heading with different repeating attribute names and tag elements in the XML document.

Symbols in compression

+, ?, or \* after attribute names or  
group of attribute names with `<[+ | ? | * ] ...>` syntax

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### Example of Compression Technique

All addresses for same customers compressed and listed under one heading, instead of listed with different <CustomerAddress, ...> levels or tags by using

CustName, < [+] CustomerAddress, Customer.Street AS StreetName, Customer.City, Customer.PhoneNo AS PhoneNumber,>.

Technique especially beneficial when XML document results from **join** of two or more tables with multiple, equal sub-records

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### Methodology for Query Language Conversion

Combination of power and simplicity of SQL with an extension for XML conversion

Extended SQL language with incorporation of XML conversion

Full benefit of SQL operations on attributes, records, & tables

Generation of XML structures with designated hierarchic levels

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### Methodology for Query Language Conversion

Utilization of expanded DTD and compression on query language

Extended SQL syntax & prototype presented as traditional SQL

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### Simple SELECT Clause

To retrieve relational records for conversion to XML format

**SELECT** AttributeName1, [AttributeName2, ... ] **FROM** Table1

```
<thisQuery>
<Arecord>
  <AttributeName1>AttributeValue11 </AttributeName1>
  <AttributeName2>AttributeValue21</AttributeName2>
  ...
</Arecord>
<Arecord>
  <AttributeName1>AttributeValue12 </AttributeName1>
  <AttributeName2>AttributeValue22</AttributeName2>
  ...
</Arecord>
...
</thisQuery>
```

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### i. Extension of SQL to Support Definition 1

XML structure generation with tags containing attribute name and table name in start tag and attribute name in end tag

```
SELECT Table[1, ...].AttributeName1, Table[1, ...].[AttributeName2, ... ],
      FROM Table1 [, Table 2 , ...] ...
      WHERE Theta ... [any other SQL options]
```

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### i. Extension of SQL to Support Definition 1

```
SELECT Table1.AttributeName1, Table1.AttributeName2, ... FROM Table1
[Table 2] ... WHERE ...
```

```
<ThisQuery>
<A_Record>
  <ATTRIBUTENAME1 table="Table1" name="AttributeName1">
    AttributeValue11
  </ATTRIBUTENAME1>
  <ATTRIBUTENAME2 table="Table1" name="AttributeName2">
    AttributeValue12
  </ATTRIBUTENAME2>
</A_Record>
...
</ThisQuery>
```

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### ii. Extension of SQL to Support Definition 2

Default start and end tag attribute names change by user to a new name using keyword AS

Example with AS Name1 and Name2 tag values

```
SELECT Table1.AttributeName1 AS NAME1, Table1.AttributeName2 AS NAME2, ...
FROM Table1 [Table2] ... WHERE ...
```

```
<ThisQuery>
<A_Record>
  <NAME1 table="Table1" name="AttributeName1">
    AttributeValue11
  </NAME1>
  <NAME2 table="Table1" name="AttributeName2">
    AttributeValue12
  </NAME2>
</A_Record>
...
</ThisQuery>
```

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### iii. Extension of SQL to Support Definition 3

Relational attribute names from different tables grouped in different levels for generation of XML structure

Levels in SQL commands enclosed by < and >

Syntax for new tag name creation for particular level to allow desired attribute participation

**<TagName, AttributeName1[, AttributeName2 , ...]>**

Recursive utilization by embedding each level as needed using XML structure to create necessary tag levels

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### iii. Extension of SQL to Support Definition 3

Syntax for SQL with one TagName and generated result

```
SELECT Table1.AttributeName1 AS NAME1, <TagName, Table1.AttributeName2 AS
NAME2, AttributeName3 ..., > FROM Table1 [,Table 2, ...] WHERE ...
<ThisQuery>
<A_Record>
  <NAME1 table="Table1" name="Attribute1">
    AttributeValue1
  </NAME1>
  <TagName>
    <NAME2 table="Table1" name="Attribute2">
      AttributeValue2
    </NAME2>
    <ATTRIBUTENAME3 table="Table1" name="Attribute3">
      AttributeValue3
    </ATTRIBUTENAME3>
  </TagName>
</A_Record>
...
</ThisQuery>
```

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### iv. Extension of SQL to Support Definition 4

Repetition of attributes during selection process

Join operations

Compression syntax in SQL query to repeat first record attribute name(s) one time as an upper level hierarchy with all matching, multiple record attribute names associated with each occurrence

Symbols of +, ?, and \* to annotate compression in XML structure to help with grouping

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### iv. Extension of SQL to Support Definition 4

Example of repeating attribute

where AttributeValue11 = AttributeValue21

```
SELECT DISTINCT Table1.AttributeName1 AS NAME1, + Table1.AttributeName2 AS
NAME2 FROM Table1 [,Table 2, ...] WHERE ...
```

```
<ThisQuery>
<A_Record>
  <NAME1 table="Table1" name="Attribute1">
    AttributeValue11
  </NAME1>
  <NAME2 table="Table1" name="Attribute2">
    AttributeValue12
  </NAME2>
  <NAME2 table="Table1" name="Attribute2">
    AttributeValue22
  </NAME2>
</A_Record>
...
</ThisQuery>
```

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### Windows Conversion Software Package

Programmed in Windows using Java and JDBC

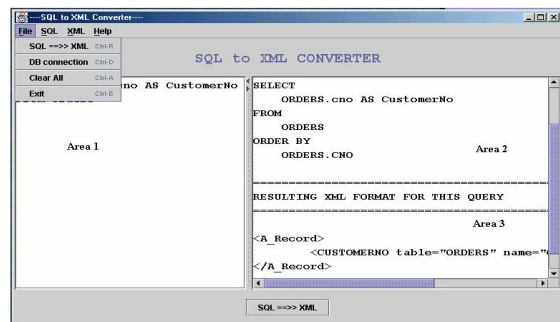


Figure 1

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## Figures 2 and 3: CUSTOMER, SALESPERSON, PRODUCT and ORDERS

CUSTOMER (C)				ORDERS			
CNO	CNAME	STREET	CITY	PHONE	SNO	PMO	TOTQTY
C1	Lange	123 Main St	San Diego	555-1234	S1	P1	C5
C2	Johnson	456 Broadway	New York	555-2345	S1	P3	C5
C3	Collins	789 Penn Blvd	Philadelphia	555-4567	S1	P3	C1
C4	Williams	5434 Windy Lane	Chicago	555-9876	S2	P1	C1
C5	Lafavor	987 West Main St	Norman	555-0128	S2	P4	C4

SALESPERSON (S)				ORDERS			
SNO	SNAME	QUOTA	CITY	SNO	PMO	CNO	TOTQTY
S1	Adams	3000	Dallas	S2	P2	C4	5
S2	Smith	10000	Chicago	S2	P3	C4	5
S3	Jones	7500	Phoenix	S3	P5	C4	5
S4	Knapp	13000	San Diego	S3	P6	C4	2
S5	Marlin	25000	New York	S5	P2	C2	10

PRODUCT (P)				ORDERS			
PMO	PNAME	PRICE	AVLQTY	SNO	PMO	CNO	TOTQTY
P1	Modem	35	85	S5	P4	C2	10
P2	Monitor	265	45	S5	P5	C2	10
P3	Printer	325	15	S5	P6	C2	2
P4	CPU Board	250	20	S5	P5	C3	3
P5	Disk Drive	200	25	S5	P3	C3	3
P6	Tape Drive	80	20				

Figure 2

Figure 3

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## Query 1: Simple Query Retrieving Two Attribute Names from Salesperson Table

SELECT s.sno, s.city FROMs

```
<?xml version="1.0"?>
<ThisQuery>
<A_Record><SNO table="s" name="sno">S1</SNO>
<CITY table="s" name="city">Dallas </CITY>
</A_Record>
<A_Record><SNO table="s" name="sno">S2</SNO>
<CITY table="s" name="city">Chicago </CITY>
</A_Record>
<A_Record><SNO table="s" name="sno">S3</SNO>
<CITY table="s" name="city">Phoenix </CITY>
</A_Record>
<A_Record><SNO table="s" name="sno">S4</SNO>
<CITY table="s" name="city">San Diego </CITY>
</A_Record>
<A_Record><SNO table="s" name="sno">S5</SNO>
<CITY table="s" name="city">New York </CITY>
</A_Record>
</ThisQuery>
```

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## Query 2: Retrieval of All Distinct Salesperson Names with Customer Numbers, Ordered Quantities in Regular Listing

SELECT DISTINCT s.sname as Salesperson\_Name,  
<Customer, orders.cno as Customer\_No, orders.totqty,> FROM s, orders WHERE s.sno  
= orders.sno

```
<?xml version="1.0"?>
<ThisQuery>
...
<A_Record>
<SALESPERSON_NAME table="s" name="sname"> Adams
</SALESPERSON_NAME>
<Customer>
<CUSTOMER_NO table="orders" name="cno">C1</CUSTOMER_NO>
<TOTQTY table="orders" name="totqty">1</TOTQTY>
</Customer>
</A_Record>
<A_Record>
<SALESPERSON_NAME table="s" name="sname"> Adams
</SALESPERSON_NAME>
```

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```
<Customer>
<CUSTOMER_NO table="orders" name="cno">C5</CUSTOMER_NO>
<TOTQTY table="orders" name="totqty">2</TOTQTY>
</Customer>
</A_Record>
<A_Record>
<SALESPERSON_NAME table="s" name="sname"> Adams
</SALESPERSON_NAME>
<Customer>
<CUSTOMER_NO table="orders" name="cno">C5</CUSTOMER_NO>
<TOTQTY table="orders" name="totqty">4</TOTQTY>
</Customer>
...
</A_Record>
</ThisQuery>
```

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### Query 3: Retrieval of All Distinct Salesperson Names with Customer Numbers, Ordered Quantities in Compression Listing

```
SELECT DISTINCT s.sname as Salesperson_Name, <+ Customer, orders.cno as Customer_No, orders.totqty,> FROM s, orders WHERE s.sno = orders.sno
```

Query 3 same as Query 2 with compression + symbol

Iteration of salesperson name only one time for all different customers with multiple order quantities for same salesperson

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```
SELECT DISTINCT s.sname as Salesperson_Name, <+ Customer, orders.cno as Customer_No, orders.totqty,> FROM s, orders WHERE s.sno = orders.sno
```

```
<?xml version="1.0"?>
<ThisQuery>
...
<A_Record>
<SALESPERSON_NAME table="s" name="sname">Adams
</SALESPERSON_NAME>
<Customer>
<CUSTOMER_NO table="orders" name="cno">C1</CUSTOMER_NO>
<TOTQTY table="orders" name="totqty">1</TOTQTY>
</Customer>
<Customer>
<CUSTOMER_NO table="orders" name="cno">C5</CUSTOMER_NO>
<TOTQTY table="orders" name="totqty">2</TOTQTY>
</Customer>
<Customer>
<CUSTOMER_NO table="orders" name="cno">C5</CUSTOMER_NO>
<TOTQTY table="orders" name="totqty">4</TOTQTY>
</Customer>
...
</A_Record>
</ThisQuery>
```

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### Query 4: Complex Query with Multiple Conditional Join and Different Hierarchy Levels Using Tag Names

```
SELECT DISTINCT s.sname as salesperson_name, <Customer, c.cname as customer_name, <CustAddress ,c.street ,c.city ,c.phone,>>,FROM s, c, orders, p WHERE s.sno = orders.sno and c.cno = orders.cno and p.pno= orders.pno
```

```
<?xml version="1.0"?>
<ThisQuery>
<A_Record>
<SALESPERSON_NAME table="s" name="SNAME"> Smith </SALESPERSON_NAME >
<Customer>
<CUSTOMER_NAME table="c" name="CNAME"> Lange
</CUSTOMER_NAME>
<CustAddress>
<STREET table="c" name="STREET"> 123 Main St
</STREET>
<CITY table="c" name="CITY"> San Diego </CITY>
<PHONE table="c" name="PHONE"> 555-1234 </PHONE>
</CustAddress>
</Customer>
...
</A_Record>
</ThisQuery>
```

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### Example of Additional Commands

#### Query 5: Aggregate Functions on Orders Table

```
SELECT AVG(orders.totqty) as avgs, MIN(orders.totqty) as mins, MAX(orders.totqty) as maxs, SUM(orders.totqty) as sums, COUNT(orders.totqty) as counts FROM orders
```

```
<?xml version="1.0"?>
<ThisQuery>
<A_Record>
<AVGS table="AVG(ORDERS" name="TOTQTY)">4.6</AVGS>
<MINS table="MIN(ORDERS" name="TOTQTY)">1</MINS>
<MAXS table="MAX(ORDERS" name="TOTQTY)">10</MAXS>
<SUMS table="SUM(ORDERS" name="TOTQTY)">69</SUMS>
<COUNTS table="COUNT(ORDERS" name="TOTQTY)">15</COUNTS>
</A_Record>
</ThisQuery>
```

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## Conclusions

### Simple SQL for DB-to-XML

1. Traditional SQL with embedded symbols from XML syntax for retrieving and converting to XML document are mentioned.
2. Considers techniques
  - Simple task for parsing and conversion of SQL to XML
  - Uses the same format with minimal addition to SQL
  - Allows capturing XML syntax by providing definitions
    - Definition 1: Syntax of XML structure for tags and elements
    - Definition 2: The default tag name for the start and end tags
    - Definition 3: Attribute names under one level using grouping syntax
    - Definition 4: A compression technique
  - Any additional commands and conversion
3. User can utilize an SQL command to transform the data from a table or tables to XML document with DTD or XSD format.