

This homework has a total of 70 points, it will be rescaled to 10 points eventually.

**Submission instructions:** These questions require thought but do not require long answers. Please be as concise as possible. You should submit your answers as a writeup in PDF format, for those questions that require coding, write your code for a question in a single source code file, and name the file as the question number (e.g., question\_1.java or question\_1.py). Finally, put your PDF answer file and all the code files in a folder named as your NetID (e.g., ab123), compress the folder as a zip file (e.g., ab123.zip), and submit the zip file via Sakai. For the answer writeup PDF file, we have provided both a word template and a latex template for you, after you finished the writing, save the file as a PDF file, and submit both the original file (word or latex) and the PDF file.

### 1. Modularity [15pt]

In (Newman 2006, PNAS 103(23): 85778582)<sup>1</sup> Mark Newman defines the modularity of a network divided into two components as (see paper or course slides for specification on notation):

$$Q = \frac{1}{4m} \sum_{ij} \left( A_{ij} - \frac{k_i k_j}{2m} \right) s_i s_j \quad (1)$$

We will now get a better intuition on what this quantity means. Consider the network in the figure 1 below:

- (a) [5pt] If we remove edge  $(A, G)$  and partition the graph into two communities, calculate the modularity of this partition.
- (b) [5pt] Now, consider the original network from the figure and the groups identified in (a). Add a link between nodes  $E$  and  $H$  and recalculate modularity  $Q$ . Did the modularity  $Q$  go up or down? Why?
- (c) [5pt] Consider the original network from the figure and the groups identified in (a). Now add a link between nodes  $F$  and  $A$  and recalculate modularity  $Q$ . Did  $Q$  go up or down? Why?

### 2. Spectral Clustering [30pt]

Still consider the graph in Figure 1, assume that any edge in this graph has an equal weight 1. We run spectral clustering to partition the graph into two communities.

- (a) [10pt] Provide the adjacency matrix  $A$ , degree matrix  $D$ , and Laplacian matrix  $L$  of the graph.

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<sup>1</sup>Newman ME. Modularity and community structure in networks. Proceedings of the national academy of sciences. 2006 Jun 6;103(23):8577-82.

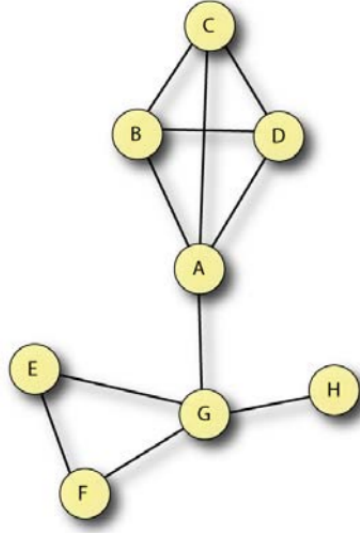


Figure 1: Figure of problem 1 and problem 2

(b) [10pt] Using Matlab or Python, compute the eigen values and the corresponding eigen vectors of the Laplacian matrix. Rank the eigen values in ascending order. [You may refer to the problem 1 in homework 2 for some hints of using Python to compute eigen values and eigen vectors]

(c) [10pt] What is the eigen vector corresponding to the second smallest eigen values? Using 0 as the boundary, partition the graph into two communities, what is the graph partitioning result?

**What to submit:**

- i. The matrices  $A$ ,  $D$  and  $L$  in (a).
- ii. The eigen values and eigen vectors in (b), as well as the code for computing them.
- iii. The graph partitioning result in (c).

**3. Clique-Based Communities [25pt]**

Imagine an undirected graph  $G$  with nodes  $2, 3, 4, \dots, 1000000$ . (Note that there is no node 1.) There is an edge between nodes  $i$  and  $j$  if and only if  $i$  and  $j$  have a common factor other than 1. Put another way, the only edges that are missing are those between nodes that are relatively prime; e.g., there is no edge between 15 and 56.

We want to find communities by starting with a clique (not a bi-clique) and growing it by adding nodes. However, when we grow a clique, we want to keep the density of edges at 1; i.e., the set of nodes remains a clique at all times. A maximal clique is a clique for which it is impossible to add a node and still retain the property of being a clique; i.e., a clique  $C$  is maximal if every node not in  $C$  is missing an edge to at least one member of  $C$ .

(a) [5pt] Prove that if  $i$  is any integer greater than 1, then the set  $C_i$  of nodes of  $G$  that are divisible by  $i$  is a clique.

- (b) [10pt] Under what circumstances is  $C_i$  a maximal clique? Prove that your conditions are both necessary and sufficient. (Trivial conditions, like “ $C_i$  is a maximal clique if and only if  $C_i$  is a maximal clique”, will receive no credit.)
- (c) [10pt] Prove that  $C_2$  is the unique maximal clique. That is, it is larger than any other clique.