

# Deadbeat Model-Predictive Torque Control With Discrete Space-Vector Modulation for PMSM Drives

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**Abstract**—This paper proposes an alternative strategy of finite-control-set model-predictive torque control (MPTC) to reduce the computational burden and the torque ripple and decouple the switching frequency from the controller sampling time. An improved discrete space-vector modulation (DSVM) technique is utilized to synthesize a large number of virtual voltage vectors. The deadbeat (DB) technique is used to optimize the voltage vector selection process, avoiding enumerating all the feasible voltage vectors. With this proposed method, only three voltage vectors are tested in each predictive step. Based on the improved DSVM method, the three candidate voltage vectors are calculated by using a novel algebraic way. This new strategy has the benefits of both the MPTC method and the DB method. The effectiveness of the proposed strategy is validated based on a test bench.

**Index Terms**—Deadbeat (DB), discrete space-vector modulation (DSVM), model-predictive torque control (MPTC), permanent-magnet synchronous machine (PMSM).

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## I. INTRODUCTION

**D**URING the past decades, finite-control-set model-predictive torque control (FCS-MPTC) [1], [2], also referred to as PTC, has been widely researched and successfully applied to a wide range of drive applications [3]. Compared with continuous-control-set MPTC, although the switching frequency is nonconstant and the switching frequency couples with the sampling frequency [4], FCS-MPTC has its own advantages.

FCS-MPTC exploits the inherent discrete nature of power converters, a modulator is not required, the concept is intuitive and easy to understand, constraints and nonlinearities can be easily included, the resulting controller is easy to implement, etc. [5]–[7]. Additionally, PTC is regarded as an alternative method to direct torque control (DTC). It provides equally fast torque response as DTC, while enabling the consideration of other control goals, such as harmonic distortion, switching frequency, and current protection [1].

In spite of the good features shown above, it has some challenges. On one hand, as all of the feasible voltage vectors are enumerated, resulting in high amount of calculation and long computational time, the sampling frequency and the prediction length are restricted [8], [9]. On the other hand, a single switching state is employed in the entire sampling interval. Thus, a high torque ripple is inevitable compared with modulator-based control methods [10], [11], and the switching frequency couples with the sampling time [4].

To reduce the calculation effort of model-predictive control (MPC), many solutions have been proposed. They can be broadly classified as offline strategies [12], [13] and on-line strategies [14], [15]. Explicit MPC is proposed in [16], the optimization problem is presolved offline, and the MPC solution turns out to be easy implement. In [17], the optimization problem is solved partially offline. The optimal switch position is found during an online operation by using a binary search tree. However, memory occupation increases with constraints and prediction horizon [4], [18].

In [15], the online generalized predictive control (GPC) is developed to reduce the calculation effort. The optimization of GPC is solved by using a linear and analytical model. However, with this linear GPC, it is very difficult to include system constraints and nonlinearities. In [14], the deadbeat (DB)

strategy is used to reduce the number of candidate voltage vectors. Compared with conventional PTC, although calculation load is reduced, large torque ripple still exists.

To reduce the torque ripple of the PTC method, several strategies have been proposed. As the torque ripple and flux linkage ripple are reduced with more available voltage vectors [19]. One of the solutions is to use the complex power circuit topology to generate a large number of voltage vectors, as introduced in [20]–[22]. However, special hardware and a complicate control algorithm are needed, which is not suitable for commonly used applications.

Hysteresis-bound solutions are proposed in [23] and [24], which is developed from DTC. The switching table is replaced by online optimization. In [23], all admissible switching sequences are considered, and the switching frequency is served as an evaluation criterion. However, the switching sequence that minimizes the switching frequency but not minimizes the torque ripple may be selected. Meanwhile, it is difficult to set a proper hysteresis bound [25].

Direct mean torque control is introduced in [24] and [26]. In one sampling period, an active voltage vector is applied first; when the torque reaches the border of the ripple band, the zero-voltage vector is provided to the motor. An improved FCS-MPC is proposed in [27]; the switching point is calculated based on a function, instead of reaching the border. The torque ripple is reduced compared with the conventional PTC method. However, the zero-voltage vector is not the best choice for the second switching state.

An optimal switching point solution is proposed in [11] and [28]. In one sampling period, two consecutive voltage vectors are applied to the motor. The second voltage vector is selected from all of the switching states instead of only the zero-voltage vector. The switching point is achieved by solving an optimization problem. Compared with the conventional PTC method, the torque ripple is reduced. However, as all switching states are enumerated, a large calculation load exists. The modulated MPC (M<sup>2</sup>PC) method is proposed in [10]. The second voltage vector is chosen from the two vectors that are adjacent to the first one, instead of all the feasible voltage vectors. With these methods, in one sampling period, only one voltage vector is employed on average, so the improvement is limited.

Discrete space-vector modulation (DSVM) is a promising method for torque ripple reduction. A large number of virtual voltage vectors can be synthesized within a sampling period. In [19] and [29], DSVM is used to improve the drive performance of the basic DTC method. The best voltage vector is selected according to a lookup table. However, with the increase of voltage vectors, new and complex switching tables are required. In addition, it is difficult to define optimal speed ranges for different operation conditions.

DSVM combined with FCS-MPC is proposed in [9] and [30]. The best voltage vector is selected by a cost function; an external modulator is used to output the selected voltage vector. The fatal drawback of this method is that as all of the synthesized voltage vectors are enumerated, the calculation load is much larger than that of basic FCS-MPC. Thus, this method is not suitable for a

real control system. Meanwhile, an external modulator is used, which increases the complexity of the control system.

In this paper, the deadbeat-predictive torque control with discrete space-vector modulation (DB-PTC with DSVM) strategy is proposed to reduce the torque ripple and the computation load of the conventional PTC method. An improved DSVM is used to synthesize a large number of virtual voltage vectors in one sampling period. In order to reduce the calculation in voltage vector selection, the deadbeat torque and flux control (DB-DTFC) technique is used. The voltage vector calculated by DB-DTFC is defined as the deadbeat voltage vector (DB-VV). The three feasible voltage vectors that are adjacent to the DB-VV are served as candidates. And the best one is selected by the cost function. The three candidate voltage vectors are calculated by using a new and easy implementation way; an external modulator or a lookup table is not required.

This paper is organized as follows. Section I introduces the background of this paper; the mathematical models of an inverter and a permanent-magnet synchronous machine (PMSM) are given in Section II. In order to overcome the defects of conventional PTC, the DB-PTC with DSVM strategy is proposed in Section III. In Section IV, the implementation of the proposed technique is given. In Section V, the experimental results are shown. This paper is concluded in Section VI.

## II. DISCRETE MODELS OF AN INVERTER AND A PMSM

In this paper, a two level three-phase standard voltage-source inverter (VSI) is used. Eight feasible voltage vectors can be generated by the inverter, as described in the following equation:

$$V_j = \frac{2}{3}u_{dc}(S_1 + aS_2 + a^2S_3) \quad (1)$$

where  $j = 0, \dots, 7$  represent the available voltage vectors,  $S_x (x = 1, 2, 3)$  denote the switching states of the three inverter legs, and  $a = e^{i2\pi/3}$ .

In order to predict the future state of the motor, a discrete-time model of the PMSM is developed by using a normal forward Euler approximation equation[14]. Omitting the tedious derivation process, a standard discrete model of the PMSM in the  $dq$  frame is described as follows [31]:

$$i_s(k+1) = A_e i_s(k) + B_e u_s(k) + C_e \quad (2)$$

$$\psi_s(k+1) = \psi_s(k) + [u_s(k) - R_s i_s(k) + \omega(k)D_e]T_s \quad (3)$$

$$T_e(k) = \frac{3}{2}p(\psi_{PM}i_q(k) + (L_d - L_q)i_d(k)i_q(k)) \quad (4)$$

where  $i_s = [i_d, i_q]^T$ ,  $u_s = [u_d, u_q]^T$ ,  $\psi_s = [\psi_d, \psi_q]^T$ ,  $C_e = [0, -\psi_{PM}T_s\omega(k)/L_q]^T$ ,  $D_e = [\psi_q, -\psi_d]^T$ , and

$$A_e = \begin{bmatrix} 1 - \frac{R_s T_s}{L_d} & \frac{L_q T_s \omega(k)}{L_d} \\ -\frac{L_d T_s \omega(k)}{L_q} & 1 - \frac{R_s T_s}{L_q} \end{bmatrix}, \quad B_e = \begin{bmatrix} \frac{T_s}{L_d} & 0 \\ 0 & \frac{T_s}{L_q} \end{bmatrix}$$

where  $R_s$  is the stator resistance,  $T_s$  is the sampling period,  $\omega$  is the electrical rotor speed,  $p$  is the number of pole pairs,  $T_e$  is the electromagnetic torque,  $\theta$  is the electrical rotor angle,  $\psi_{PM}$  is the

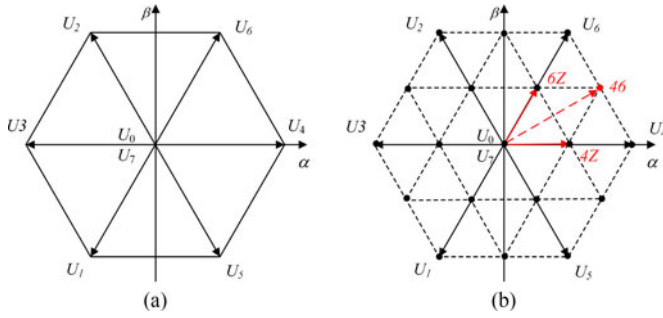


Fig. 1. (a) Basic voltage vectors. (b) Synthesized voltage vectors.

PM flux linkage,  $L_d$  and  $L_q$  are stator inductances,  $u_d$  and  $u_q$  are stator voltages,  $u_{dc}$  is the dc-link voltage,  $i_d$  and  $i_q$  are stator currents, and  $\psi_d$  and  $\psi_q$  are stator flux linkages.

### III. DB-PTC WITH DSVM STRATEGY

#### A. Virtual Voltage Vectors Syntheses

According to the principle of DSVM, in each sampling period, virtual voltage vectors can be synthesized by applying several voltage vectors for prefixed time intervals [19]. For a two-level three-phase standard VSI, if one sampling period is subdivided into  $N$  parts equally, the expression of the virtual voltage vectors  $v^{\text{vir}}$  is shown as (5). The number of feasible voltage vectors (including basic voltage vectors and virtual voltage vectors) is shown as (6)

$$v^{\text{vir}} = \sum_{j=1,2,\dots,N} t_j V_j^{\text{real}} \quad (5)$$

where

$$\begin{aligned} V_j^{\text{real}} &\in \{V_0, V_1, \dots, V_7\} \\ t_j &= \frac{T_s}{N} \\ n_{\text{total}} &= 3N^2 + 3N + 2. \end{aligned} \quad (6)$$

The basic voltage vectors are shown in Fig. 1(a); if one sampling period is subdivided into two equal time intervals, 20 voltage vectors can be synthesized, as shown in Fig. 1(b), where the black dots represent the ends of the synthesized voltage vectors. The label “46” represents the voltage vector that is synthesized by  $U_4$  and  $U_6$ , and the label “4Z” denotes the voltage vector that is synthesized by  $U_4$  and zero-voltage vector ( $U_0$  or  $U_7$ ); each one is applied for half of the sampling period.

If all the voltage vectors are enumerated, the amount of calculation load is huge, and it is not suitable for a real control system. Therefore, the computational load must be reduced.

#### B. Computational Load Reduction

To reduce the calculation amount, the DB-DTFC technique is adopted. The DB-DTFC technique is an inverse-model-based solution. The desired DB-VV is calculated based on the reference torque and the flux linkage. The solution of the DB-VV is developed as follows [32]. The torque change from time instant

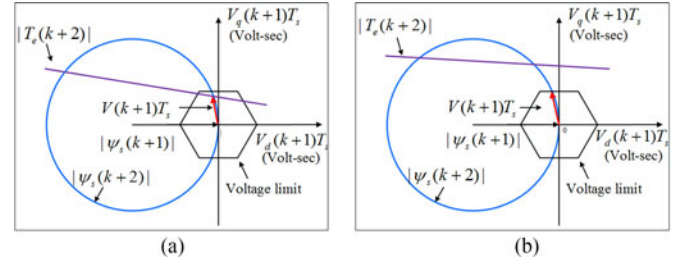


Fig. 2. Graphical solution of DB-VV calculation. (a) DB-VV within the voltage limits. (b) Torque line exceeds the voltage limit.

$t(k+1)$  to  $t(k+2)$  can be expressed as

$$\Delta T_e(k+1) = T_e(k+2) - T_e(k+1). \quad (7)$$

The relationship between the desired voltage vector DB-VV  $V(k+1)$  and the torque change  $\Delta T_e(k+1)$  can be expressed as (8).  $V_d(k+1)$  and  $V_q(k+1)$  are the components of DB-VV  $V(k+1)$  in  $d$ - and  $q$ -axes

$$V_q(k+1)T_s = M V_d(k+1)T_s + B \quad (8)$$

where

$$\begin{aligned} M &= \frac{(L_q - L_d)\psi_q(k+1)}{(L_d - L_q)\psi_d(k+1) + L_q\psi_{\text{PM}}} \\ B &= \frac{L_d L_q}{(L_d - L_q)\psi_d(k+1) + L_q\psi_{\text{PM}}} \left[ \frac{4\Delta T_e(k+1)}{3p} \right. \\ &\quad - \frac{\omega T_s}{L_d L_q} ((L_q - L_d)(\psi_d^2(k+1) - \psi_q^2(k+1)) \\ &\quad - L_q\psi_d(k+1)\psi_{\text{PM}}) \\ &\quad \left. - \frac{R_s T_s \psi_q(k+1)}{L_d^2 L_q^2} ((L_q^2 - L_d^2)\psi_d(k+1) - L_q^2\psi_{\text{PM}}) \right]. \end{aligned}$$

The graphical solution of DB-DTFC is shown in Fig. 2. In the synchronous volt-second plane, the torque equation is represented by a line. All the voltage vectors on the line can yield the desired torque change  $\Delta T_e(k+1)$ .

Neglect the stator resistance term, and decouple the cross-coupling term of the stator flux linkage in (3). Then, the flux linkage equation is approximated as

$$\psi_s(k+1) = \psi_s(k) + u_s(k)T_s. \quad (9)$$

For a constant stator flux linkage magnitude, the stator flux linkage is given as

$$\begin{aligned} \psi_s^2(k+2) &= \psi_d^2(k+2) + \psi_q^2(k+2) \\ &= (\psi_d(k+1) + V_d(k+1)T_s)^2 \\ &\quad + (\psi_q(k+1) + V_q(k+1)T_s)^2. \end{aligned} \quad (10)$$

In Fig. 2, flux linkage equation (10) is represented by a circle. The coordinate of circle origin is  $[-\psi_d(k+1), -\psi_q(k+1)]$ , and the radius is  $|\psi_s(k+2)|$ . The intersection of torque line and the flux linkage circle is the desired voltage vector (volt-second) that satisfies both torque and flux linkage requirements.

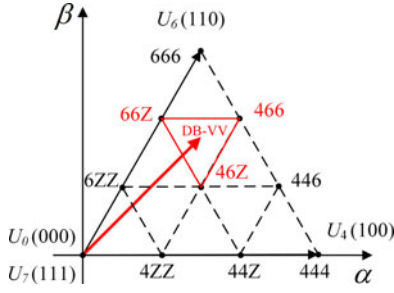


Fig. 3. DB-VV is used to optimize the voltage vector selection process.

The hexagon represents the voltage limit of the inverter. Assume that sufficient voltage is available, such that the intersection falls inside of the hexagon, as shown in Fig. 2(a).

When the torque line exceeds the voltage limit, the voltage vector that maintains the flux linkage command while developing the maximum torque is selected [33]. The graphical solution is shown in Fig. 2(b). This method has the advantage of maintaining desired flux linkage; at the same time, torque reference has been taken into account.

### C. DB-PTC With DSVM Strategy

As mentioned above, the DSVM strategy synthesizes a large number of virtual voltage vectors during one sampling period. The DB-VV is the desired voltage vector to achieve reference torque and flux linkage. Therefore, the three voltage vectors that are adjacent to the DB-VV are the optimal candidate voltage vectors for predictive torque and flux control.

For example, as shown in Fig. 3, one sampling period is subdivided into three parts. The three voltage vectors adjacent to the DB-VV are “66Z,” “46Z,” and “466.” In the DB-PTC with DSVM strategy, these three voltage vectors are served as candidates; then, the cost function is used to select the most appropriate one. In this paper, the cost function is shown as (11)

$$g(V_j) = \frac{1}{T_{\text{nom}}^2} |T_{\text{ref}} - T_e(k+2)_j|^2 + \frac{Q_1}{\psi_{\text{nom}}^2} |\psi_{\text{ref}} - |\psi_s(k+2)_j||^2 + Q_2 S(k+2)_j + I_{\text{max}}(k+2)_j \quad (11)$$

$$V_{\text{best}} = \underset{j=1,2,3}{\text{argmin}} g(V_j) \quad (12)$$

where  $j = 1, 2, 3$  represent the three candidate voltage vectors.  $S(k+2)_j$  is the switching number of the inverter, and  $T_{\text{nom}}$  and  $\psi_{\text{nom}}$  are the rated torque and the flux, respectively.

The precision of torque and the precision of flux are primary goals that must be achieved in order to provide a proper system behavior. Switching frequency is a secondary requirement that is used to improve the system performance [34].

Torque and flux are controlled simultaneously with equal importance. In order to compensate their different nature, torque error and flux error are normalized. Weighting factors  $Q_1$  and  $Q_2$  are selected by the experiment, as shown in Section V-A.

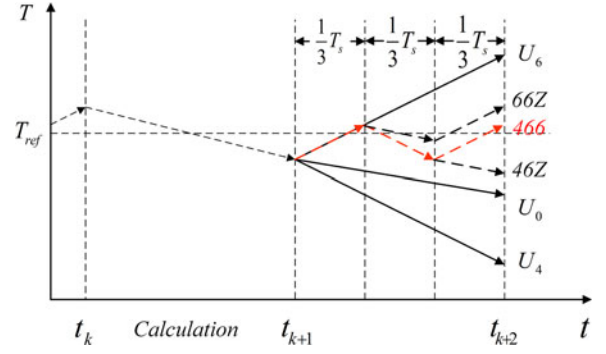


Fig. 4. Torque ripple comparison of the conventional PTC method and the DB-PTC with DSVM strategy.

Current  $I_{\text{max}}(k+2)_j$  is taken into account as a protection term [14], [35], [36]. If the current is lower than the current limit,  $I_{\text{max}}(k+2)_j$  has no influence on the voltage vector selection. Otherwise, the voltage vector  $j$  will be ignored. If the currents generated by all the three voltage vectors exceed the current limit, the zero-voltage vector will be used

$$I_{\text{max}}(k+2)_j = \begin{cases} 0, & |i_s(k+2)_j| \leq |i_{s,\text{max}}| \\ \infty, & |i_s(k+2)_j| > |i_{s,\text{max}}| \end{cases} \quad (13)$$

where  $|i_s(k+2)_j| = \sqrt{i_d^2(k+2)_j + i_q^2(k+2)_j}$ .

### D. Principle of Torque Ripple Reduction

Compared with the conventional PTC technique, the torque ripple of the proposed DB-PTC with DSVM strategy is lower. The torque ripple reduction principle is analyzed as follows. The relationship between the applied voltage vector and the corresponding torque variation is shown as follows [37]:

$$\frac{dT_e}{dt} = \frac{p}{L_s} \psi_{ss} \times u_{ss} + p u_{ss} \times i_{ss} - \frac{R_s}{L_s} T_e - \frac{p}{L_s} \psi_{ss} \times \frac{d}{dt} \psi_r \quad (14)$$

where  $u_{ss} = [u_\alpha, u_\beta]^T$ ,  $i_{ss} = [i_\alpha, i_\beta]^T$ ,  $\psi_{ss} = [\psi_\alpha, \psi_\beta]^T$ , and  $\psi_r = [\psi_r \cos(\theta), \psi_r \sin(\theta)]^T$ . In one sampling period, the variation of inductance, flux linkage, and resistance can be ignored. Therefore, for a given voltage vector, the average change of torque over time is approximately linear.

Taking the DB-VV in Fig. 3 as an example, with the conventional PTC method, the best voltage vector will be selected from  $U_4$ ,  $U_6$ , and the zero-voltage vector [14]. In the DB-PTC with DSVM strategy, “66Z,” “46Z,” and “466” are served as candidate voltage vectors. If all the six voltage vectors are provided to the motor at time instant  $t_{k+1}$ , the schematic diagram of torque variations is shown in Fig. 4. It is clear that, at time instant  $t_{k+2}$ , the torque ripple produced by “466” is the smallest.

## IV. IMPLEMENTATION OF DB-PTC WITH DSVM

### A. Improved DSVM Technique

Generally, the synthesized voltage vectors are stored in a lookup table [9], [19]. However, with the increase of voltage vectors, more complex tables are required [19]. To avoid this



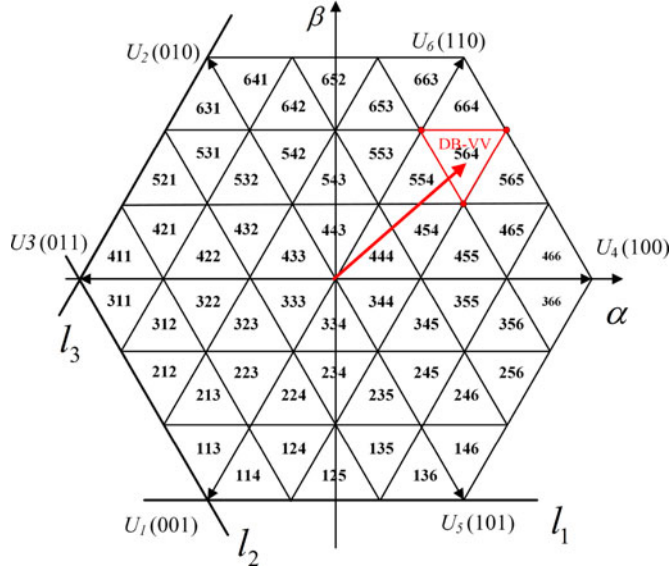


Fig. 5. Triangle contains the end of the DB-VV.

problem, this paper proposes a simple and efficient algebraic solution to calculate the candidate voltage vectors.

Assume one sampling period is subdivided into  $N$  parts.  $l_1$ ,  $l_2$ , and  $l_3$  are the three borders of the voltage limit, as shown in Fig. 5. The end point of the DB-VV is  $(u_\alpha, u_\beta)$ ; the distances from  $(u_\alpha, u_\beta)$  to  $l_1$ ,  $l_2$ , and  $l_3$  is denoted as  $d_1$ ,  $d_2$ , and  $d_3$ , respectively, as described in the following equation:

$$\begin{cases} d_1 = |3u_\beta + \sqrt{3}u_{dc}|/3 \\ d_2 = |3\sqrt{3}u_\alpha + 3u_\beta + 2\sqrt{3}u_{dc}|/6 \\ d_3 = |3\sqrt{3}u_\alpha - 3u_\beta + 2\sqrt{3}u_{dc}|/6. \end{cases} \quad (15)$$

Multiplying  $d_1$ ,  $d_2$ , and  $d_3$  with  $\sqrt{3}N/u_{dc}$ , and then rounding up, the results are denoted as  $h_1, h_2, h_3$ . The triangle that contains  $(u_\alpha, u_\beta)$  can be represented by  $h$ , as follows:

$$h = 100h_1 + 10h_2 + h_3. \quad (16)$$

For example, as shown in Fig. 5, if one sampling period is subdivided into three parts equally, the triangle that contains the end of DB-VV is “564.”

Candidate voltage vectors are the three apexes of the triangle “564,” i.e.,  $V(1), V(2), V(3)$ , which can be figured out according to (17) and (18). In the equation of  $V(3)$ , if  $N$  is an odd number, when  $h_1$  and  $h_2 + h_3$  have the same parity, “+” is used; otherwise, “−” is used. If  $N$  is an even number, when  $h_1$  and  $h_2 + h_3$  have the same parity, “−” is used; otherwise, “+” is used

$$\begin{cases} a = (h_2 + h_3 - 2N)u_{dc}/(3N) \\ b = \sqrt{3}(h_2 - h_3)u_{dc}/(3N) \end{cases} \quad (17)$$

$$\begin{cases} V(1) = a + jb \\ V(2) = a - 2u_{dc}/(3N) + jb \\ V(3) = a - u_{dc}/(3N) + j(b \pm \sqrt{3}u_{dc}/(3N)). \end{cases} \quad (18)$$

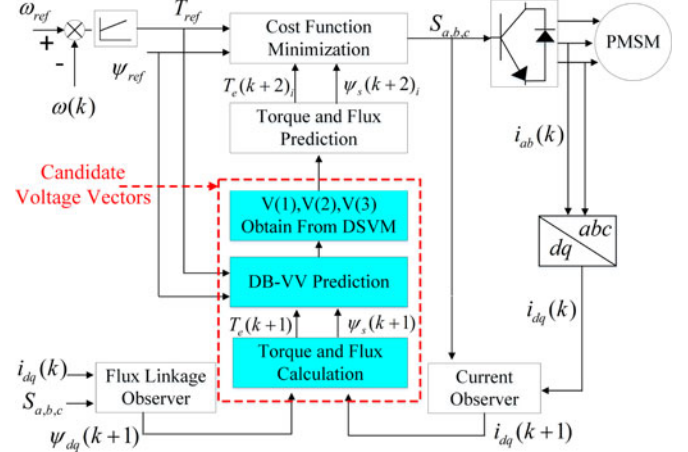


Fig. 6. Control block diagram of the DB-PTC with DSVM technique.

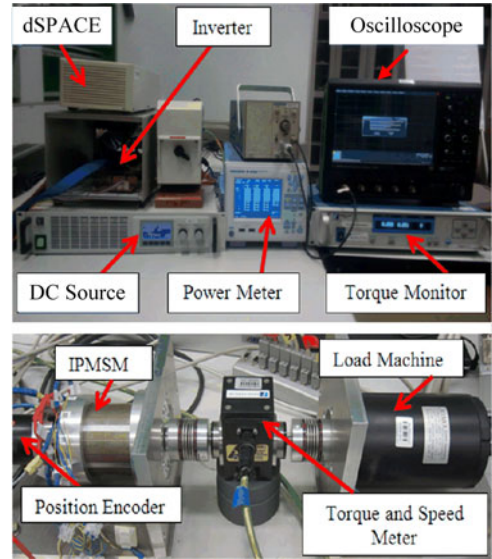


Fig. 7. Test bench used in this experiment.

## B. Implementation Process

The control block diagram of the DB-PTC with DSVM method is shown in Fig. 6. Compared with the conventional PTC technique, the key differences are those blocks about candidate voltage vectors that have been colored green. With the proposed DB-PTC with DSVM technique, only three voltage vectors are tested. However, in the conventional PTC technique, all voltage vectors are enumerated.

## V. EXPERIMENT EVALUATION

The test bench is shown in Fig. 7. The dSPACE platform (DS1103) is used in this experiment. In the proposed DB-PTC with DSVM technique, one sampling period subdivided into  $N$  parts is realized by using a timer interrupt. In the experiment, the conventional PTC method and the proposed method are tested on the same test bench, with the same sampling period of  $60 \mu s$ , the same PI parameters, and the same cost function.

TABLE I  
PARAMETERS OF THE TESTED IPMSM

Rated torque	2 N·m
Rated current/voltage (rms)	50 A/13 V
Number of pole pairs	5
$d/q$ -axis inductance	0.05/0.095 mH
Resistance	18 mΩ
Rated/maximum speed	2000/4000 r/min
The moment of inertia	0.00187 kg·m <sup>2</sup>
PM rotor flux linkage	0.00707 Wb

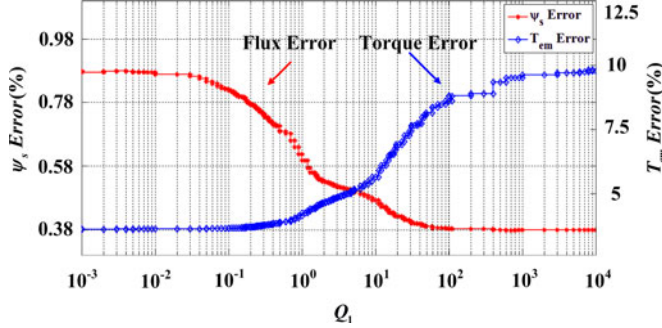


Fig. 8. Average torque error and flux error vary with  $Q_1$ .

The machine is a novel designed concentrated windings interior permanent magnet synchronous motor (IPMSM) [38]; the parameters of the motor are shown in Table I. The rated parameters of the motor are served as base values per unit system.

#### A. Weighting Factor Selection

In this experiment, the motor speed is 0.05 p.u. (100 r/min) and the load torque is 0.2 p.u. (0.4 N·m). One sampling period is subdivided into three parts equally.

In the first step, only torque and flux are considered to select  $Q_1$ .  $Q_1$  varies from  $10^{-3}$  to  $10^4$ ; the experimental results are shown in Fig. 8. The torque error and the flux error are calculated based on (19) and (20), respectively,

$$T_{\text{error}} = \frac{1}{T_{\text{ref}}} |T_{\text{ref}} - T_e| \quad (19)$$

$$\psi_{\text{error}} = \frac{1}{\psi_{\text{ref}}} |\psi_{\text{ref}} - \psi_s|. \quad (20)$$

At the intersection of the two curves, low torque error and flux error can be achieved, so 5.2 is chosen for  $Q_1$ .

The second step is to select a proper value for  $Q_2$ .  $Q_2$  varies from  $10^{-7}$  to  $10^0$ ; the torque error and the flux error are shown in Fig. 9.

It can be seen from Fig. 9 that the torque error and the flux error have a similar variation trend. The flux error and the switching frequency are shown in Fig. 10. As DB-PTC with DSVM is a variable switching frequency method, for every  $Q_2$ , the switching frequency is nonconstant.

Torque and flux are the two most important control objects. In order to achieve low torque error, low flux error, and low switching frequency,  $2 \times 10^{-4}$  is chosen for  $Q_2$ .

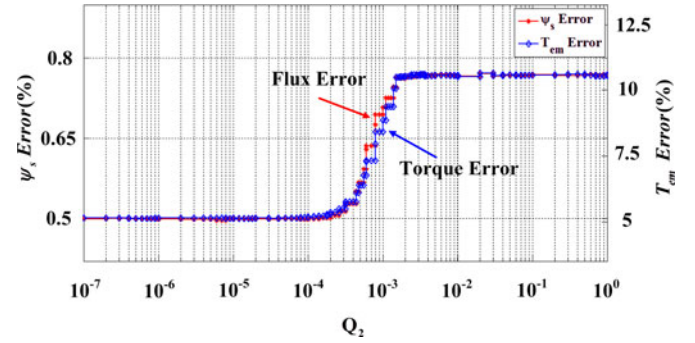


Fig. 9. Average torque error and flux error vary with  $Q_2$ .

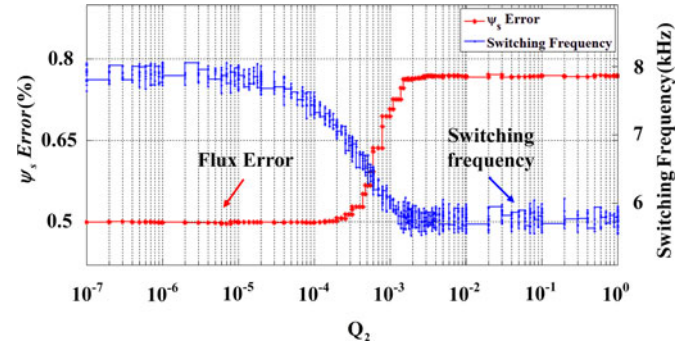


Fig. 10. Flux error and average switching frequency vary with  $Q_2$ .

TABLE II  
TURNAROUND TIME COMPARISON BETWEEN THE CONVENTIONAL PTC  
TECHNIQUE AND THE DB-PTC WITH DSVM STRATEGY

Multistep prediction	One step		Two steps	
	PTC	PTC-DSVM	PTC	PTC-DSVM
Strategy	PTC	PTC-DSVM	PTC	PTC-DSVM
Time [ $\mu$ s]	24.58	24.42	85.73	44.25
Tested voltage vectors	7	3	49	9
Time reduction [%]	0.65		48.38	

When  $Q_1$  is 5.2,  $Q_2$  is  $2 \times 10^{-4}$ , the speed is 100 r/min, and the load torque is 0.4 N·m. The torque error is 5.2%, the flux error is 0.5%, and the average switching frequency is 7.35 kHz.

#### B. Computation Burden

In order to compare the computation burden of the conventional PTC strategy and the DB-PTC with DSVM strategy, the turnaround time is used as a criterion, which can be read directly on the control desk. The turnaround time includes the communication time between dSPACE and control desk, A/D and D/A conversion time, and code implementation time and data saving time. The turnaround time of the two strategies is shown in Table II. "PTC" represents the conventional PTC method, and "PTC-DSVM" denotes the DB-PTC with DSVM strategy.

It can be seen that in one-step prediction, the turnaround time of the two methods is almost the same. However, in long horizon prediction, the computation burden of the proposed technique is significantly lower.

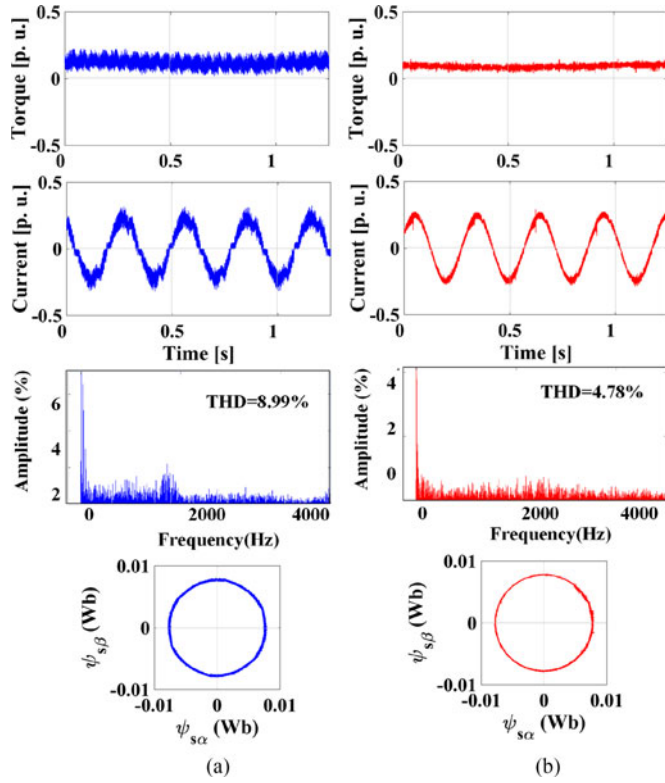


Fig. 11. Steady-state performance comparison at 100 r/min (0.05 p.u.). (a) Conventional PTC technique. (b) DB-PTC with DSVM technique.

No matter how many parts one sampling period is subdivided into, as only three voltage vectors are tested, the computation time of the proposed method is always the same.

### C. Steady-State Performance Comparison Between Conventional PTC and DB-PTC With DSVM Methods

This test is used to compare the steady-state performance of the DB-PTC with DSVM technique and the conventional PTC method. In the DB-PTC with DSVM method, one sampling period is subdivided into three parts. The load torque is 0.4 N·m (0.2 p.u.) and the speed is  $n = 100$  r/min (0.05 p.u.). The torque waveform, the current waveform, the current total harmonic distortion (THD), and the stator flux linkage are shown in Fig. 11.

In order to get a more convincing conclusion, from 100 r/min (0.05 p.u.) to 2000 r/min (1 p.u.), the current THD and switching frequency comparison of the two methods is shown in Fig. 12.

It can be seen that in the whole speed range, the current THD of the proposed DB-PTC with DSVM technique is obviously smaller.

In order to compare the two methods under the same switching frequency, the switching frequency of the conventional PTC method is used as a reference, by modifying sampling period of the proposed method to achieve an approximate value.

At 100 r/min, the experimental results are shown in Table III. The current THD of the proposed method is 51.35% of the conventional PTC method.

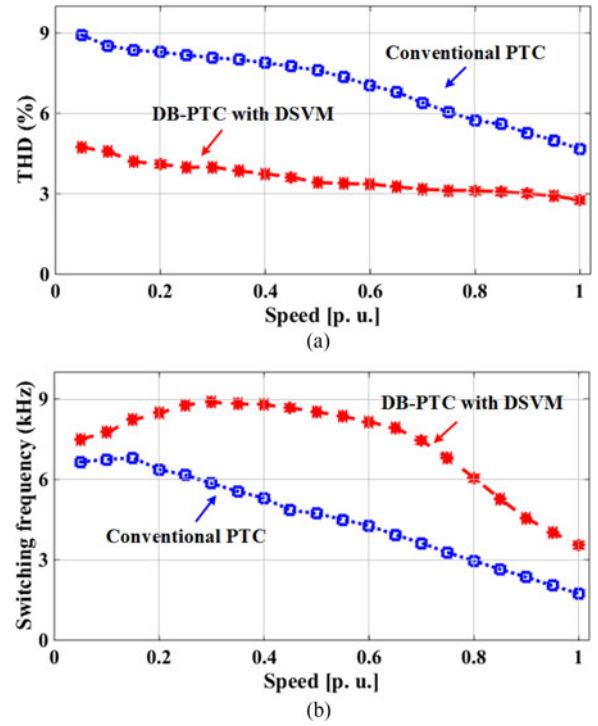


Fig. 12. Steady-state comparison of the conventional PTC technique and the DB-PTC with DSVM technique. (a) Current THD comparison. (b) Switching frequency comparison.

TABLE III  
STEADY-STATE PERFORMANCE COMPARISON UNDER THE SAME SWITCHING FREQUENCY

Sampling period		Switching frequency		THD	
$\mu\text{s}$		Hz		%	
PTC	PTC-DSVM	PTC	PTC-DSVM	PTC	PTC-DSVM
60	63	6975	6940	8.92	4.58

### D. Speed Reference Step Change

This experiment is developed for the wide speed range operation. The speed command changes from positive 2000 r/min (1 p.u.) to negative 2000 r/min (−1 p.u.). The electromagnetic torque, the mechanical speed, and the stator phase current are shown in Fig. 13.

It can be seen that from positive 2000 r/min (1 p.u.) to negative 2000 r/min (−1 p.u.), the dynamic performance of the two methods is almost the same.

### E. Number of Virtual Voltage Vectors

If one sampling period is subdivided into  $N$  parts, with the increase of  $N$ , the current THD and the switching frequency of the proposed method are shown in Fig. 14. The load torque is 0.4 N·m (0.2 p.u.) and the speed is  $n = 100$  r/min (0.05 p.u.).

It can be seen that with the increase of  $N$ , the current THD of the proposed method reduces and the switching frequency increases. In order to achieve a proper  $N$ , a good solution



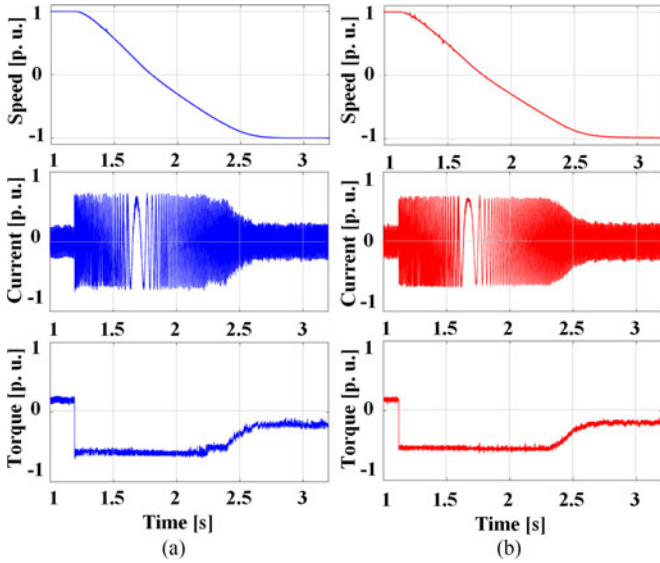


Fig. 13. Experimental results for reference speed changing from 2000 r/min (1 p.u.) to -2000 r/min (-1 p.u.). (a) Conventional PTC method. (b) DB-PTC with DSVM method.

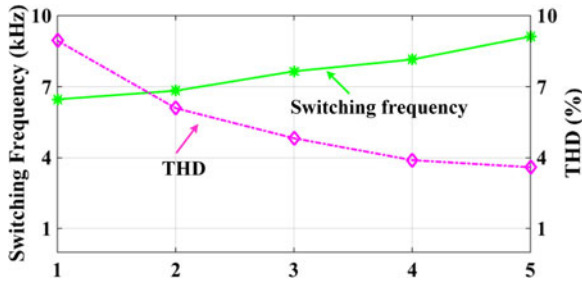


Fig. 14. Current THD and switching frequency change with  $N$ .

should be defined as a compromise between the current THD and the switching frequency. It is obvious that with the proposed method, the switching frequency decouples with the sampling time.

#### F. Steady-State Performance Comparison Between DB-DTFC and DB-PTC With DSVM Methods

In the proposed strategy, DB-DFTC is used to calculate the DB-VV. Generally, the DB-VV is output by using a standard space vector modulator (SVM) [32], [33]. Therefore, it is essential to compare the performance between the DB-DTFC with standard SVM and the DB-PTC with DSVM method. In order to make sure that the selected voltage vector is close to the DB-VV, in the DB-PTC with DSVM method, one sampling period is subdivided into five parts.

From 100 r/min (0.05 p.u.) to 2000 r/min (1 p.u.), the current THD comparison of the two methods is shown in Fig. 15. The two methods are compared under the same switching frequency.

Under the same switching frequency, the current THD of the two methods is almost the same. But the proposed method has the merits of the PTC method, which applies the switching signals to the inverter directly, and the external modulator is

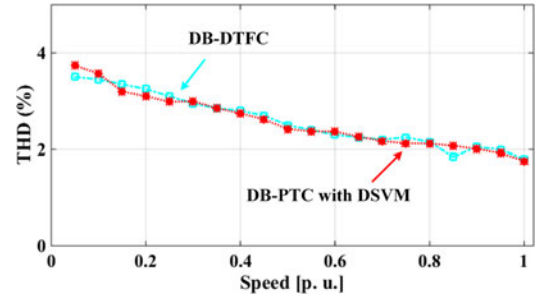


Fig. 15. Steady-state comparison of the DB-DTFC technique and the DB-PTC with DSVM technique.

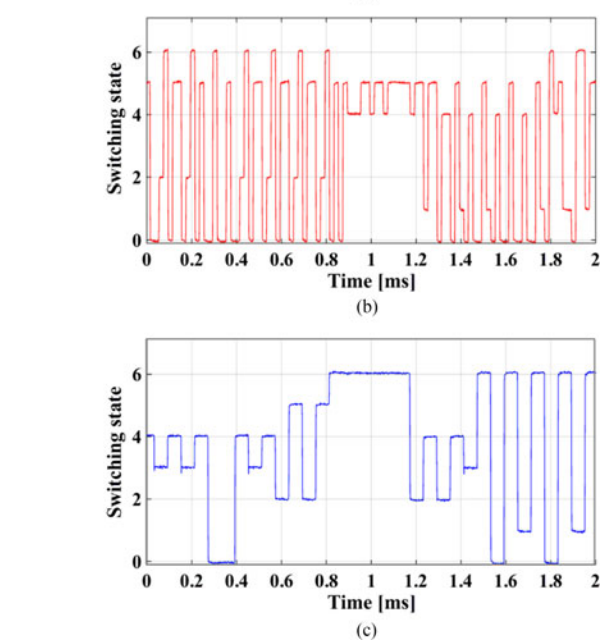
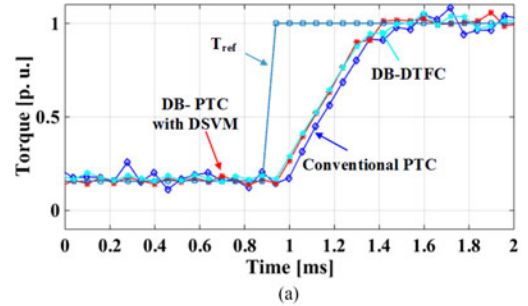


Fig. 16. One-step torque response and the switching states comparison. (a) One-step torque response comparison. (b) Switching state variation of the DB-PTC with DSVM technique. (c) Switching states variation of the conventional PTC technique.

not required. Meanwhile, constraints and nonlinearities can be included, and continuous control set can be considered.

#### G. Dynamic Response Evaluation

This test is used to compare the dynamic response of the conventional PTC method, the DB-PTC with DSVM method, and the DB-DTFC with standard SVM method. Fig. 16 shows a step change of torque command. The command torque step is limited to a feasible value of 2 N·m (1 p.u.), which is generated by a



sudden speed change, from 200 r/min (0.1 p.u.) to 2000 r/min (1 p.u.). Fig. 16(a) shows the torque variation of the three methods. Fig. 16(b) and (c) shows the switching states of the inverter. The switching state “0” means “000,” . . . , “6” means “110”.

Obviously, the torque response of the DB-PTC with DSVM method is faster than that of the conventional PTC method. In both methods, before and after the torque step, the switching states are selected among the zero state (0) and active states (1 to 6). During the torque step, only active states are selected. The torque responses of the DB-DTFC method and the proposed method are almost the same.

## VI. CONCLUSION

Compared with the conventional PTC strategy, the proposed method has many benefits: computation time is shorter, the current THD and torque ripple are lower, the step torque response is faster, and the switching frequency is decoupled with the sampling time. Additionally, the proposed method can track the command speed variation very well.

Compared with the DB-DTFC method, the current THD of the proposed method is almost the same. But the proposed method has the merits of the PTC method at the same time. On one hand, the switching signals are applied to the inverter directly, and the external modulator is not required. On the other hand, constraints and nonlinearities can be included, and continuous control set can be considered.

With the increase of feasible voltage vectors, the current THD of the proposed method is lower and the switching frequency is higher. Meanwhile, the three candidate voltage vectors will be closer to the DB-VV. However, no matter how many voltage vectors are synthesized, only three voltage vectors are tested in each step; thus, the calculation time is the same. In order to achieve a proper number of feasible voltage vectors, a good solution should be defined as a compromise between the current THD and the switching frequency.

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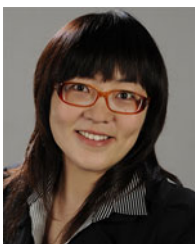
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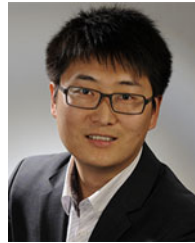
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