**CPSC 410** 

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Content from OSTEP

# Concurrency: Threads

#### **Questions answered in this lecture:**

Why is concurrency useful?

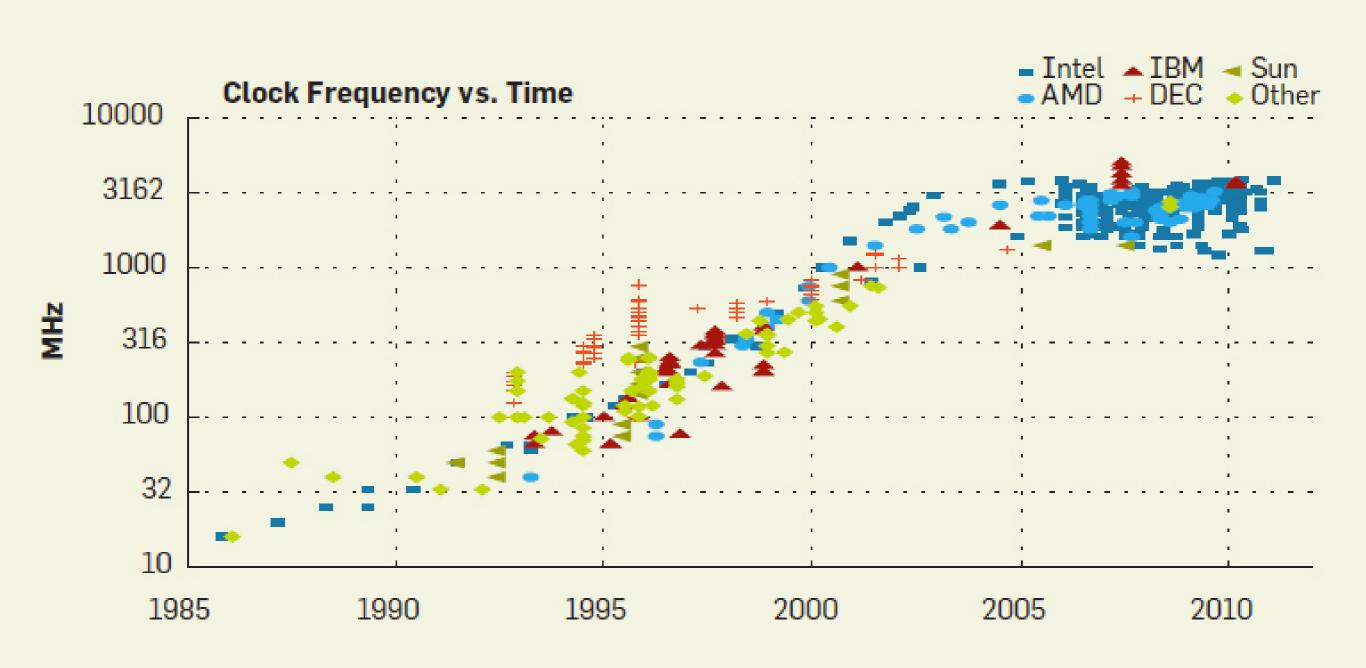
What is a thread and how does it differ from processes?

What can go wrong if scheduling of critical sections is not atomic?

## First Part of Course

Context Switch **CPU** Did not cover Schedulers this in lecture Virtualization Allocation **TLBs** Segmentation Memory Multilevel **Paging** Swapping

# Motivation for Concurrency



## Motivation

CPU Trend: Same speed, but multiple cores

Goal: Write applications that fully utilize many cores

**Option 1:** Build apps from many communicating **processes** 

- Example: Chrome (process per tab)
- Communicate via pipe() or similar

#### Pros?

Don't need new abstractions; good for security

#### Cons?

- Cumbersome programming
- High communication overheads
- Expensive context switching (why expensive?)

# CONCURRENCY: Option 2

New abstraction: thread

Threads are like processes, except: multiple threads of same process share an address space

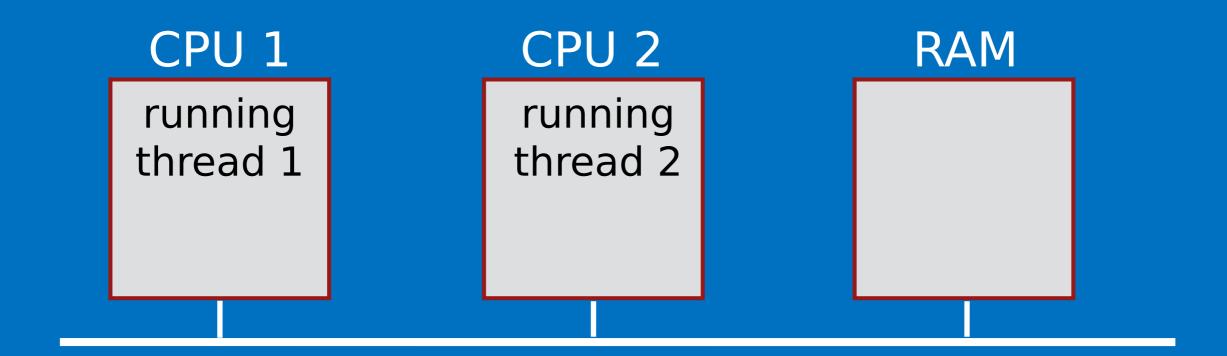
Divide large task across several cooperative threads

Communicate through shared address space

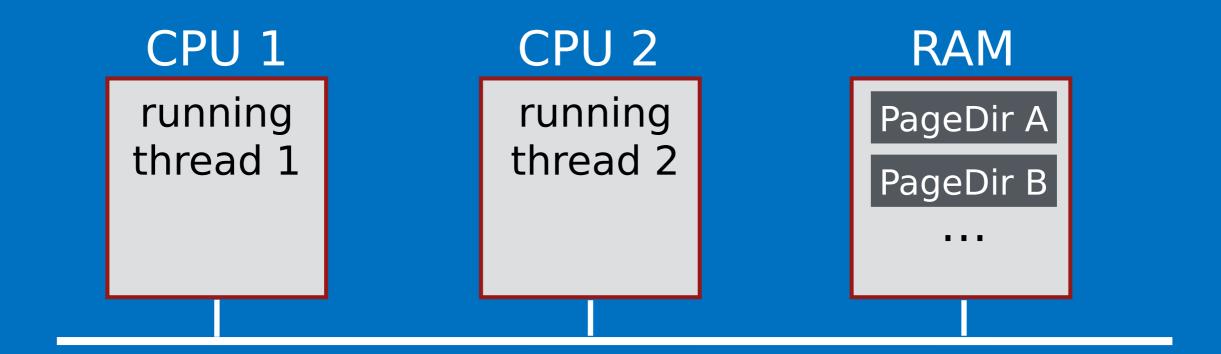
# Common Programming Models

Multi-threaded programs tend to be structured as:

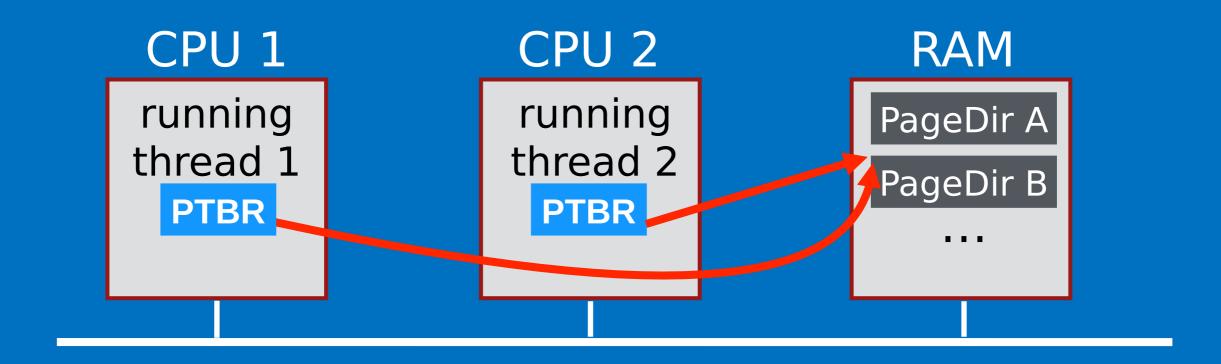
- Producer/consumer
   Multiple producer threads create data (or work) that is handled by one of the multiple consumer threads
- Pipeline
   Task is divided into series of subtasks, each of which is handled in series by a different thread
- Defer work with background thread
   One thread performs non-critical work in the background (when CPU idle)



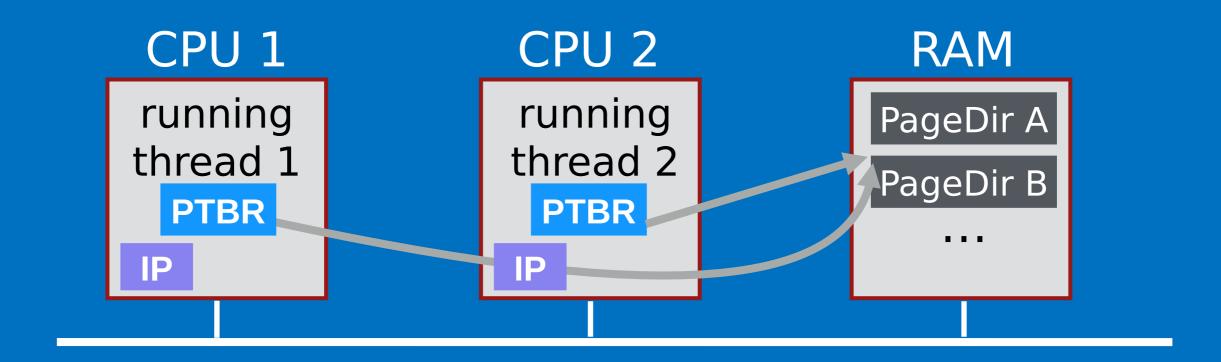
What state do threads share?



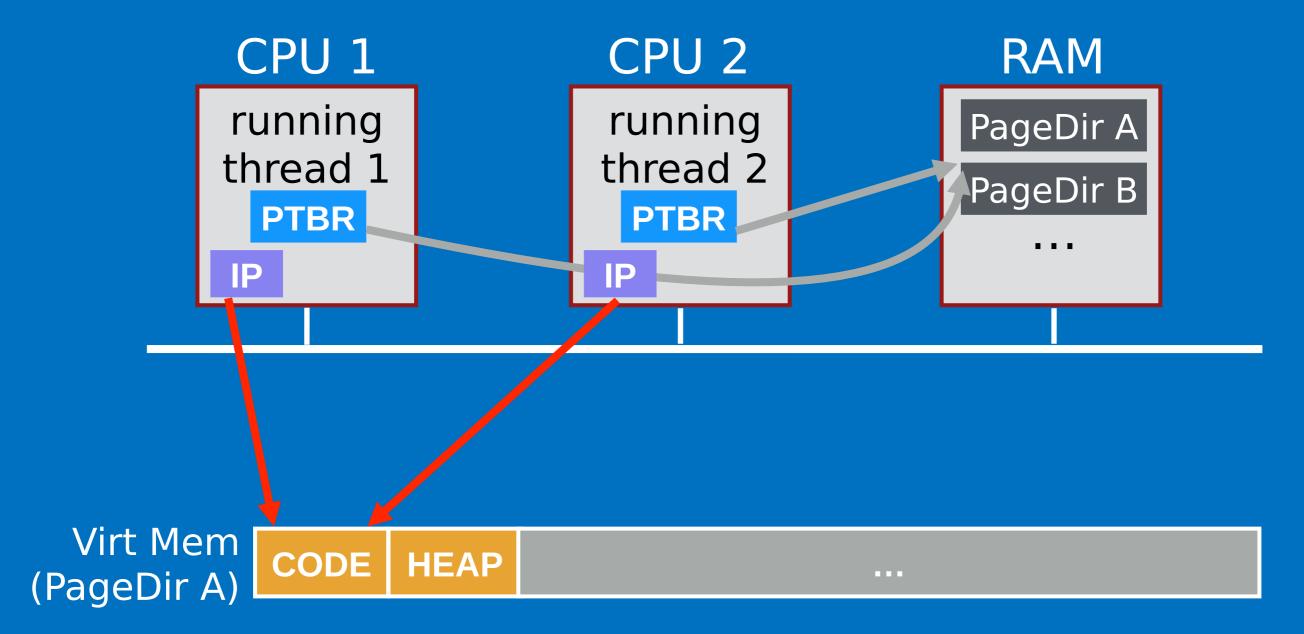
What state do threads share?



They share the page table of their host process

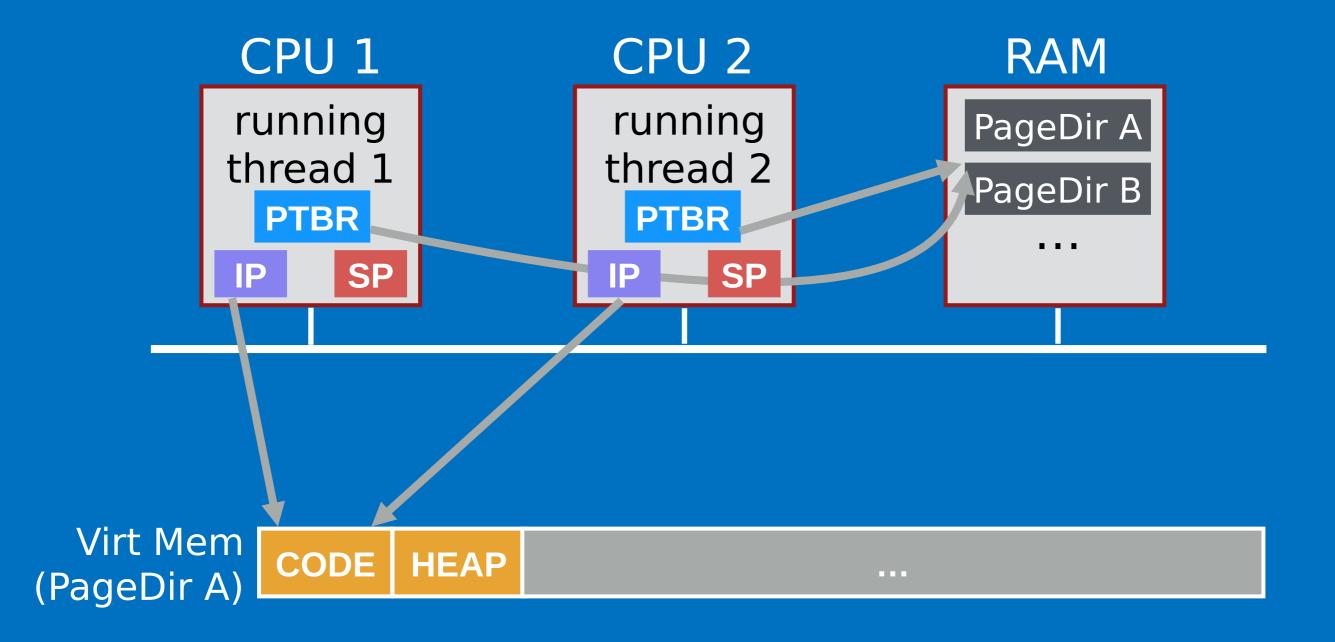


Do threads share Instruction Pointer?

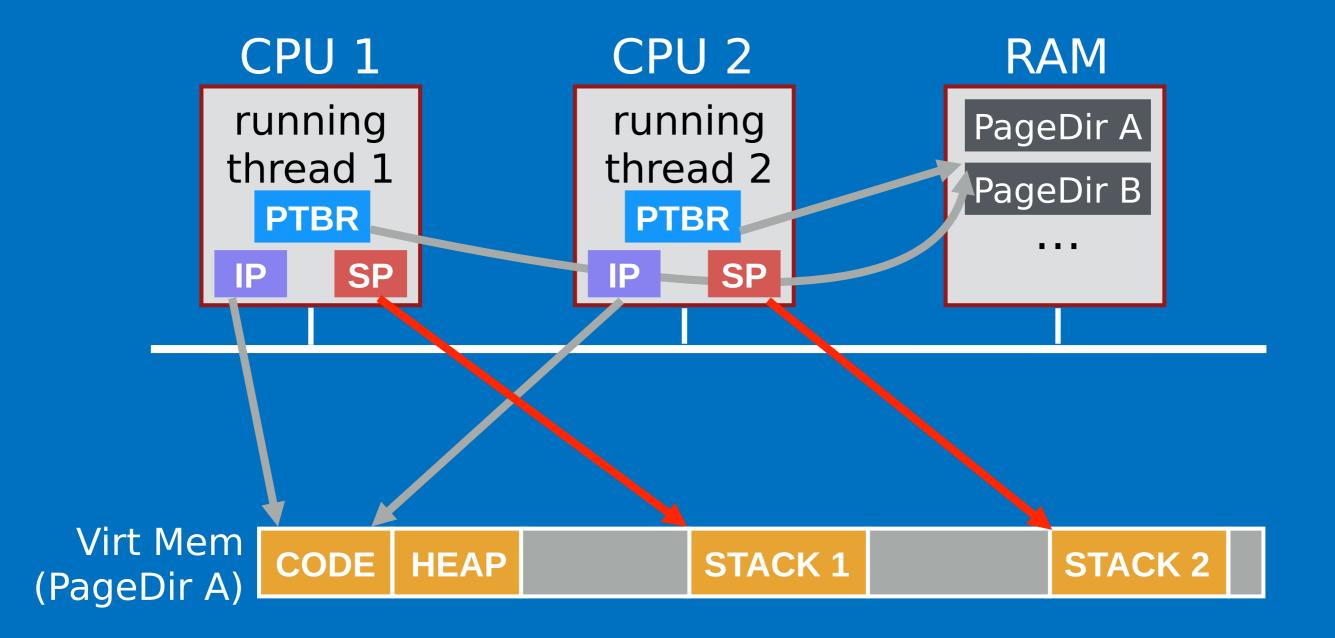


Share code, but each thread may be executing different code at the same time

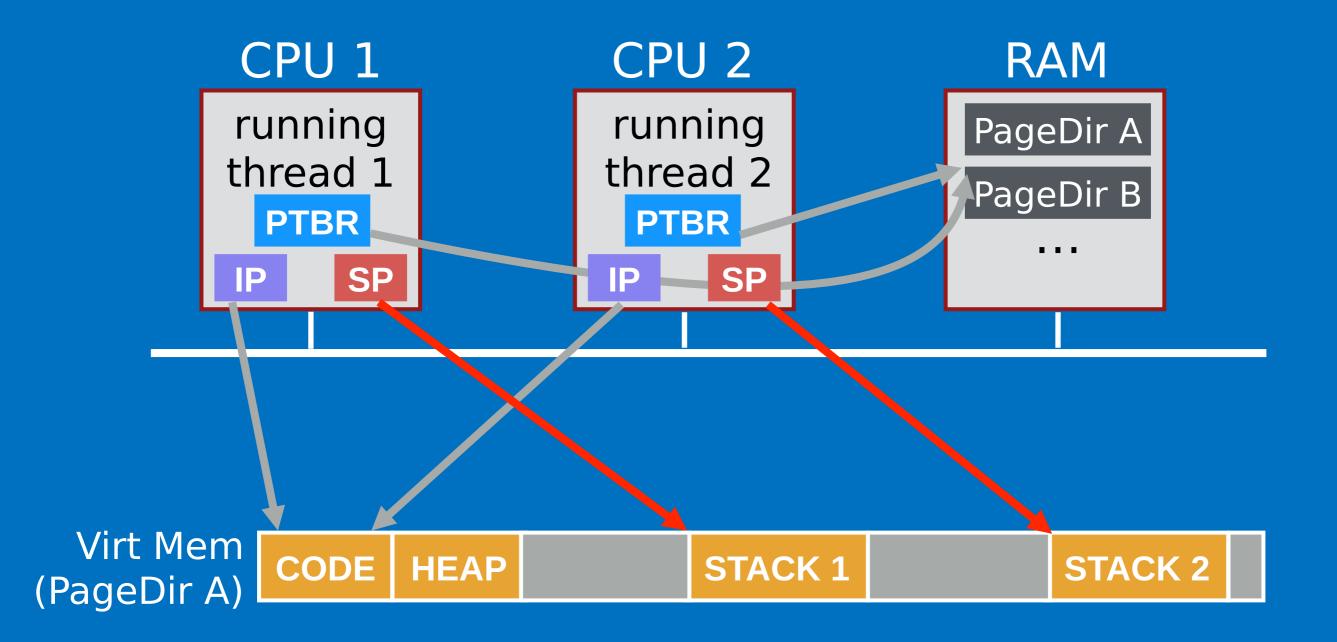
So...Different Instruction Pointers



Do threads share stack pointer?



Do threads share stack pointer?



Do threads share stack pointer?
No..threads executing different functions need different stacks

## THREAD VS. Process

### Multiple threads within a single process share:

- Thread Group ID (TGID)
- Address space
  - Code (instructions)
  - Most data (heap)
- Open file descriptors
- Current working directory
- User and group id

#### Each thread has its own

- Process ID (PID)
- Set of registers, including Program counter and Stack pointer
- Stack for local variables and return addresses (in same address space)

## THREAD API

## Variety of thread systems exist

POSIX Pthreads

### Common thread operations

- Create
- Exit
- Join (instead of wait() for processes)

# OS Support: Approach 1

### User-level threads: Many-to-one thread mapping

- Implemented by user-level runtime libraries
  - Create, schedule, synchronize threads at user-level
- OS is not aware of user-level threads
  - OS thinks each process contains only a single thread of control

#### Advantages

- Does not require OS support; Portable
- Can tune scheduling policy to meet application demands
- Lower overhead thread operations since no system call

### Disadvantages?

- Cannot leverage multiprocessors
- If one thread blocks they all block

# OS Support: Approach 2

# Kernel-level threads: One-to-one thread mapping

- OS provides each user-level thread with a kernel thread
- Each kernel thread scheduled independently
- Thread operations (creation, scheduling, synchronization) performed by OS

### Advantages

- Each kernel-level thread can run in parallel on a multiprocessor
- When one thread blocks, other threads from process can be scheduled

# Aside: Linux Processes and Threads

#### Linux treats threads as processes

- Parent process pid==tgid
- Launched threads have new pid and Parents tgid
- So scheduler sees different pids (for scheduling)
- But same tgid means don't swap memory if swapping to same tgid

## Aside: HTOP

Also means you can just show processes (not their internal threads) by displaying tgid only

Show how HTOP tracks processes and threads

F2 to setup columns (PID, TGID)

Show tree view (Display options->to see parent process

# Demo: basic threads

balance = balance + 1; balance at 0x9cd4

State:

0x9cd4: 100

%eax: ?

%rip = 0x195

process

control

blocks:

%eax: ?

%rip: 0x195

Thread 1 Thread 2

%eax: ?

%rip: 0x195



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

process

control

blocks:

#### State:

0x9cd4: 100

%eax: 100

%rip = 0x19a

### Thread 1

%eax: ?

%rip: 0x195

#### Thread 2

%eax: ?

%rip: 0x195



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

#### State:

0x9cd4: 100

%eax: 101

%rip = 0x19d

## process

control

blocks:

#### Thread 1

%eax: ?

%rip: 0x195

#### Thread 2

%eax: ?

%rip: 0x195



• 0x19a add \$0x1, **%eax** 

0x19d mov %eax, 0x9cd4

#### State:

0x9cd4: 101

%eax: 101

%rip = 0x1a2

### Thread 1

%eax: ?

%rip: 0x195

#### Thread 2

%eax: ?

%rip: 0x195

0x195 mov 0x9cd4, %eax

process

control

blocks:

0x19a add \$0x1, %eax





process

control

blocks:

#### State:

0x9cd4: 101

%eax: 101

%rip = 0x1a2

#### Thread 1

%eax: ?

%rip: 0x195

#### Thread 2

%eax: ?

%rip: 0x195

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax



0x19d mov %eax, 0x9cd4

## **Thread Context Switch**

#### State:

0x9cd4: 101

%eax: ?

%rip = 0x195

## process control blocks:

#### Thread 1

%eax: 101 %rip: 0x1a2

#### Thread 2

%eax: ?

%rip: 0x195



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, **%eax**
- 0x19d mov %eax, 0x9cd4

#### State:

0x9cd4: 101

%eax: 101

%rip = 0x19a

process

control

blocks:

#### Thread 1

%eax: 101

%rip: 0x1a2

#### Thread 2

%eax: ?

%rip: 0x195



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

#### State:

0x9cd4: 101

%eax: 102

%rip = 0x19d

process

control

blocks:

#### Thread 1

%eax: 101

%rip: 0x1a2

#### Thread 2

%eax: ?

%rip: 0x195

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax

T2

0x19d mov %eax, 0x9cd4

#### State:

0x9cd4: 102

%eax: 102

%rip = 0x1a2

process control blocks:

#### Thread 1

%eax: 101

%rip: 0x1a2

#### Thread 2

%eax: ?

%rip: 0x195

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



#### State:

0x9cd4 102

%eax: 102

%rip = 0x1a2

process control

blocks:

#### Thread 1

%eax: 101

%rip: 0x1a2

#### Thread 2

%eax: ?

%rip: 0x195

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax

0x19d mov %eax, 0x9cd4



**Desired Result!** 

# Another schedule

thread

control

blocks:

State:

0x9cd4: 100

%eax: ?

%rip = 0x195

Thread 1

%eax: ?

%rip: 0x195

Thread 2

%eax: ?

%rip: 0x195



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, **%eax**
- 0x19d mov %eax, 0x9cd4

thread

control

blocks:

#### State:

0x9cd4: 100

%eax: 100

%rip = 0x19a

## Thread 1

%eax: ?

%rip: 0x195

#### Thread 2

%eax: ?

%rip: 0x195



- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, **%eax**
- 0x19d mov %eax, 0x9cd4

thread

control

blocks:

#### State:

0x9cd4: 100

%eax: 101

%rip = 0x19d

Thread 1

%eax: ?

%rip: 0x195

Thread 2

%eax: ?

%rip: 0x195

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



## **Thread Context Switch**

State:

0x9cd4: 100

%eax: ?

%rip = 0x195

Thread 1

%eax: 101

%rip: 0x19d

Thread 2

%eax: ?

%rip: 0x195



0x195 mov 0x9cd4, %eax

thread

control

blocks:

- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

thread

control

blocks:

#### State:

0x9cd4: 100

%eax: 100

%rip = 0x19a

### Thread 1

%eax: 101

%rip: 0x19d

#### Thread 2

%eax: ?

%rip: 0x195



- 0x195 mov 0x9cd4, %eax
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#### State:

0x9cd4: 101

%eax: 101

%rip = 0x1a2

thread

control

blocks:

#### Thread 1

%eax: 101

%rip: 0x19d

#### Thread 2

%eax: ?

%rip: 0x195

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax





thread

control

blocks:

#### State:

0x9cd4: 101

%eax: 101

%rip = 0x1a2

#### Thread 1

%eax: 101

%rip: 0x19d

### Thread 2

%eax: ?

%rip: 0x195

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax

T2 -

0x19d mov %eax, 0x9cd4

## **Thread Context Switch**

#### State:

0x9cd4: 101

%eax: 101

%rip = 0x19d

### Thread 1

thread %eax: 101 %rip: 0x19

%rip: 0x19d

#### Thread 2

%eax: 101

%rip: 0x1a2

0x195 mov 0x9cd4, %eax

blocks

- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4

# T1

## **Thread Context Switch**

thread

control

blocks:

#### State:

0x9cd4: 101

%eax: 101

%rip = 0x19d

### Thread 1

%eax: 101

%rip: 0x19d

#### Thread 2

%eax: 101

%rip: 0x1a2

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax





thread

control

blocks:

#### State:

0x9cd4: 101

%eax: 101

%rip = 0x1a2

### Thread 1

%eax: 101

%rip: 0x1a2

#### Thread 2

%eax: 101

%rip: 0x1a2

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



thread

control

blocks:

#### State:

0x9cd4 101

%eax: 101

%rip = 0x1a2

### Thread 1

%eax: 101

%rip: 0x1a2

#### Thread 2

%eax: 101

%rip: 0x1a2

- 0x195 mov 0x9cd4, %eax
- 0x19a add \$0x1, %eax
- 0x19d mov %eax, 0x9cd4



WRONG Result! Final value of balance is 101

Thread 1 Thread 2

mov 0x123, %eax

add %0x1, %eax

mov %eax, 0x123

mov 0x123, %eax

add %0x2, %eax

mov %eax, 0x123

How much is added to shared variable? 3: correct!

Thread 1

**Thread 2** 

mov 0x123, %eax

add %0x1, %eax

mov 0x123, %eax

mov %eax, 0x123

add %0x2, %eax

mov %eax, 0x123

How much is added?

2: incorrect!

Thread 1

Thread 2

mov 0x123, %eax

mov 0x123, %eax

add %0x2, %eax

add %0x1, %eax

mov %eax, 0x123

mov %eax, 0x123

How much is added? 1: incorrect!

Thread 1

Thread 2

mov 0x123, %eax

add %0x2, %eax

mov %eax, 0x123

mov 0x123, %eax

add %0x1, %eax

mov %eax, 0x123

How much is added? 3: correct!

Thread 1 Thread 2

mov 0x123, %eax

add %0x2, %eax

mov 0x123, %eax

add %0x1, %eax

mov %eax, 0x123

mov %eax, 0x123

How much is added? 2: incorrect!

### Non-Determinism

Concurrency leads to non-deterministic results

- Not deterministic result: different results even with same inputs
- race conditions

Whether bug manifests depends on CPU schedule!

Passing tests means little

How to program: imagine scheduler is malicious

Assume scheduler will pick bad ordering at some point...

### Conclusions

Concurrency is needed to obtain high performance by utilizing multiple cores

Threads are multiple execution streams within a single process or address space (share PID and address space, own registers and stack)

Context switches within a critical section can lead to non-deterministic bugs (race conditions)

Use locks to provide mutual exclusion