ii

A la ε-cercanía.

iv

- A Lety por plantar la semilla de lo que soy.

- A Ricardo por regar esa semilla, no sería nada sin ti.

Don't Panic

Contents

Preface

It is a very exiting moment for the field of computer vision, machines are now capable of performing task that we thought were impossible reaching new milestones each year. It has been a long time since computers were just huge furniture in cold university rooms. Computer power has grown exponentially since those days. Lately techiniques that where discarded because the were computation intense are now being unburried and have been showing great results in today machines.

I wanted to explore the possibilities that these techniques can offer in classic problems such as landcover classification. In particualar, I wanted to teach a neural network how to recognize a specific element in aereal imagery and then use the tailored features as input to train classic classifiers such as random forest or support vector machines.

This work explores the use of Convolutional Neural Networks in the context of a classical remote sensing problem called landcover classification.

This preface serves as an introduction to this work. It gives a short review on the contents of each chapter, and shows how is the thesis structured.

Motivations are explored in chapter 1. We expose why our work is important and we give a clear explanation about the objective of the experiment. Additionally, we mention the scope of the project.

An extensive literature review is shown in chapter 2. All the way back to the famous tecnique to analyse handwritten digits with the convolutional architecture that started the revolution. A review of more modern applications of the techique in more complex situations such as object recognition in images. We explore other experiments that show different uses of the CNNs in remote sensing. Damage assesment in the af-

termath of natural disasters is also explored as it was the main motivation for this study.

Chapter 3 unveils the mathematical details that make this technique to work. The training process using backpropagation is explained. Last layer activations functions such as the sigmoid and softmax are inspected. A brief summary of a convulutional neural network techniques such as the ReLU activation, pooling, and data augmentation is given.

The architecture of our pipeline is explained in chapter 4. This includes the data gathering, data curation, the training of the network and the prediction. Details of the data base are given, including the reasoning behind some decisions. This chapter also explores how did we obtained the images.

The results of the experiment are exposed in chapter 5. Accuracy of the classifier, as well as the validation protocol are clearly exposed here. We also show the final classification map and talk about how it was obtained.

Finally, in chapter 6, we talk about future work, and what are the main conclusions that this work led us to. We include several improvements that can be made to the process in order to obtain better results.

This work was the result of an intership spent on the Stevens Insitute of Technology in Hoboken, New Jersey, during the summer of 2017. It was done under the supervision of Andrea Garca Tapia and Jos Emmanuel Ramirez Marquez.

Chapter 1

Motivation

> Good work is not done by 'humble'
> men. (...) A man's first duty, a
> young man's at any rate, is to be
> ambitious.
>
> A Mathematician's Apology
> G. H. Hardy

In this chapter, we explore the motivation, objective and scope of the present work.

1.1 Motivation

In CONABIO we use landcover maps to analyze and assess the evolution of the environment through time. We make this possible by leveraging classic classification algorithms and a large amount of computing power. While our efforts have been quite productive, these algorithms have certain limits, they rely on the use of the light spectrum. As a consequence, any two categories with similar spectrum footprint will, in all likelihood, confuse the classifier. Curiously enough, humans have little problem distinguish between some of these pairs of categories. For example, crops and grasslands might seem identical to a supervised classifier, but we can certainly tell the difference from one another. This is caused because our brains are not seeing particular pixels and trying to classify them one by one. Instead, our brains look at the whole picture, we focus on zones of the image an all of the information included in them, in other words, we care about context. Convolutional Neural Networks take this into account. Each neuron

of the network cares only about a certain zone of the image when information flows through the layers of the network, there are certain neurons that activate upon certain stimuli. Taking context into account lets the network to recognize certain features that would be invisible to a classic classifier, for example: shapes and geometries. When we ask ourselves why it is so easy to differentiate crops from grasslands geometry comes as a natural answer. Crops have very particular shapes.

One of these attemps is the Monitoring Activity Data for the Mexican REDD+ program (MADMex) [8]. Another effort is the Mexican National Biodiversity and Ecosystem Degradation Monitoring System (SNMB) [7].

In the context of natural disasters other options have been considered [11].

DeCAF paper talks about using the features extracted from a neural convolutional network to use in traditional methods. [6]

This is another attempt that adds to the evidence that features engineered by the Neural Network work pretty good off the shelf. [16]

Transfer learning is explored by Yosinski *et al.* [19]. They propose to use an already trained architecture in new tasks by replacing diferent layers and retraining.

In [13] they use the features extracted from the CNN to segment an image.

The possibility of having a single model that can perfom correctly in many different tasks is explored in [9]

A survey on disctint aspects of how transfer learning is used can be found in [15].

The use of active learning together with semisupervised learning tenchniques is explored in [20].

Need to take a look into [3]

1.2 Objective

We want to explore the use of Convolutional Neural Networks in the context of Land-cover classification. Novel techniques in computational areas always offer amazing opportunities in other fields. In our concrete case, we want to teach a neural network how to perform a task, and then extract knowledge about how the network achieves that.

1.3 Scope

It would be far too ambitious to cover every topic that is involved in the process of the classification using Convolutional Neural Networks. We want to explain how do the networks work to a certain extent, but it would be impossible to untangle every single detail. In the same fashion, the field of Remote Sensing is far too big to be explored in this work. We assume a certain degree of knowledge in related topics and we expose the mathematical details in the appendix.

Chapter 2

Nothing new under the sun

> Archimides will be remembered
> when Aeschylus is forgotten, because
> languages die and mathematical
> ideas do not.
>
> _____
>
> A Mathematician's Apology
>
> G. H. Hardy

In this chapter, we talk about the state of the art in computer vision and how it has been used for remote sensing problems. We also give a brief account of natural disaster assessment, and how are these machine techniques applied in this sense. We use Sandy Hurricane as a study case because of the data that was publicly made available by the NOAA.

2.1   Computer vision

Le Cun *et al.* [4] propose to use an architecture of a multilayer neural network that was able to learn directly from the data with no prior feature extraction. In contrast to the usual path that was used in the context of pattern classification, they created an architecture that was able to automatically extract the features directly from the date without prior manipulation. Instead of using a fully connected network, they proposed a locally connected net. It was capable of extracting local features and passed them down to the subsequent layers in what they called a *feature map*. Each unit took the information of a 5×5 neighborhood of the pixel in the previous layer. The last layer

of the architecture consisted of ten units that represented each of the possible digits. This architecture was trained using backpropagation are now known as Convolutional Neural Networks (CNNs). The big leap forward of their result was that their architecture needed very little information about the task it was performing, they were able to extend the use of their method to other symbols, however, they state that the method was not able to be applied to very complex objects.

Before CNNs became widely used in computer vision tasks, the use of neural networks to automatically detect roads was already being explored [14]. They proposed a simple architecture with a single hidden. They use the now standard procedure of cropping small patches from the complete image and worked on the RGB color space. Aerial imagery in addition to vector information on roads is used as training data. Instead of manually tag the images they proposed a model that asumes certain amount of thickness in the roads, which are tipicaly with no dimension. To reduce the input dimensionality, they applied Principal Component Analysis keeping the most informative principal components. As a method of post-processing, they use a CNN to reduce the noise in the images. Effectively getting rid of false positives and false negatives by using context information. Of the important lessons learnt by this experiment is the value of the random rotations in the training data. It is common that road networks in cities form grids, they realised that a model trained with information from a particular city will perform poorly if data from a new city is shown to it. They found that this can be relived if random orientations are applied to data as when we are dealing with birdeye sight, there is no correct orientation for images. They also found that adding pre-procesing such as edge detection techniques showed no improvement to the performance of their pipeline. This was attributed to the fact that the neural network crafts features of this nature when learning to perform the task of recognizing roads.

There have been several attempts to use features extracted from a CNN to be used in a different context. Michael Xie *et al.* [18] examine this approach by training a CNN on top of the well-known `VGG F` model. First, they replace the fully connected layers on top of that model with a convolutional layer. Then they re-train the features the model learned from ImageNet with aerial images and nighttime images gather from the National Oceanic and Atmospheric Administration (NOAA). While they get nice accuracy results from using daytime images to predict nighttime light, it was not the purpose of their research. Instead, features crafted by the network are extracted and

used to train a model to predict poverty from satellite imagery. In order to do so, they use these features as input for a logistic regression classifier. To compare their model, they train four other models extracting features from a survey, features from ImageNet itself, features from the nighttime light intensities and features from ImageNet and nighttime light intensities at the same time. The transfer model outperforms every model except for the one based on survey data. This strongly suggests that the transfer learning technique is actually extracting complex information from the aerial scenes. They mention that this approach can be useful when conducting surveys is prohibitively expensive.

With the tremendous advances that computer power has suffered in the late years, this has been proven to be incorrect. In 2009 a big image database was gathered and published [5]. Ever since this database became the defacto dataset to test classification methods. A few years later, in 2012 Krizhevsky *et al.* [10] proposed the use of CNNs in this daunting task.

2.2 Remote sensing

In late years groundbreaking advances in computer vision have led to tremendous advances in other science fields. In particular, we are interested in landcover classification.

The use of CNNs in the context of landcover classification was explored by Kussul *et al.* [12]. They used an ensemble of CNNs to obtain state of the art results in the classification of different types of crops using multitemporal and multisensor satellite data. They explore 2 approaches, first they use a 1-D CNN to perform the convolutions in the spectral domain by stacking the different bands from the Sentinel-1 A and Landsat-8 scenes. This process outputs a pixel-wise classification, then they perform a traditional 2-D CNN on the scenes. In order not to lose resolution with the 2-D CNN, they use a sliding window approach assigning the class to the center pixel of the sliding window. Finally, they ensemble both opinions and filter the result to improve the quality of the map.

The usual approach with landcover classification is the use of classical classification methods such as support vector machines (SVM) and random forests (RF). In order to improve the performance, features must be handcrafted from the original bands. In

[17], Grant *et al.* explore the use of Transfer Learning and Data Augmentation in the context of remote sensing images. By exploring well-known high-resolution datasets, they obtain state of the art results.

Transfer Learning (TF) is the process of using an already trained CNN, to

2.2.1   Data augmentation

Data augmentation is a technique used to artificially increment the size of the training dataset by applying an affine transformation to the images. It is often used when tagged data is scarce and difficult to obtain. The usual transformations include rotations and reflections. When using this technique we should be careful about the orientation of the objects, for example, a building upside down makes no sense, so there is no use to make the network learn features on objects that it won't see in the wild. Fortunately, aerial imagery doesn't present this problem. There is no particular orientation that can be considered correct when the pictures are taken from above. This means that we can dramatically boost the size of our dataset.

The reasoning behind this idea is that when we see a picture, our brain automatically orients it into its correct position. By showing the network with different positions and orientations of an object we enrich its knowledge about it.

We can think of the neural network as a newborn kid, in the beginning, they experience its environment for the first time.

2.3   Damage Assessment

Chapter 3

Reinventing the wheel

We may say, roughly, that a
mathematical idea is 'significant' if it
can be connectted, in a natural and
illuminating way, with a large
complex of other mathematical
ideas.

A Mathematician's Apology
G. H. Hardy

In this chapter the mathematical details of the CNN are exposed. How does backpropagation works, and differences between multiclass and multilabel classification.

3.1   TensorFlow

TensorFlow is a machine learning system developed by the Google Brain Team to supersede its first-generation system, DistBelief. It was built on top of the lessons learned during DistBelief development. One of the fundamental concepts that lead the team to create a new system from scratch was the need for flexibility. TensorFlow was thought as a way to expressing machine learning algorithms using a common interface with implementations targeting a wide range of devices. Complex models that where first implemented in DistBelief such as Inception got a performance boost of a factor of x6 [1] when it was ported to the new system. TensorFlow was opened sourced on November 9, 2015 under the Apache 2.0 license.

In this section, we will untangle some of the details of how TensorFlow and its graph model work [2].

It uses an elegant data-flow system in which both the operations and the state of the algorithm are represented as nodes and edges in a directed graph. This lets the system to pre-calculate an optimal sub-graph before starting the calculations.

With flexibility in mind, this system lets the user define new operations and register them to use within the framework. Additionally, it was developed to target different platforms, from machine clusters to mobile devices, these implementations are known as *kernels*. Using the same programming model, TensorFlow decides in runtime which pieces to use. This is useful when you take into account the whole development process of a data product and how it evolves. We can think of a common scenario, first, the developer experiments with data in a single computer before deploying the system to train with a larger data set in a cluster of computers, when the model is trained, it can be deployed to an online service which will run on a single computer or it can be implemented to be used in a mobile device for offline use. In each of these steps the underlying environment is completely different, however, TensorFlow adapts automatically to each situation. That's where TensorFlow shines.

As a common interchange data format, it uses tensors. With machine learning algorithms, it is often the case to have sparse data, encoding it as dense tensors is a clever way to save space. As we mentioned before, TensorFlow uses a graph to represent both the state and the operations. Nodes represent operations. Edges represent inputs and outputs between these operations. The system takes its name from the tensors flowing through this pipes. Although it is not of particular importance to our experiment, it is worth mentioning that TensorFlow supports algorithms with conditional and iterative control flow, which means that it can be used, without further tuning, to train Recurrent Neural Networks which are very important in fields like speech recognition and language modeling.

The system also provides a library that allows symbolic differentiation. As many machine learning techniques rely on Stochastic Gradient Descent to train a set of pa-

rameters, this feature makes easier to explore new techniques as the backpropagation code is automatically produced for any combination of operation nodes.

TensorFlow was built with huge data-sets in mind. It provides an intern library that allows the distribution of datasets that would be to large to fit in RAM. Instead, data can be sliced, taking advantage of how some algorithms work. Additionally, comunication between nodes use lossy compression, taking advantage of the fact that some of the machine learning algorithms are tolerant to reduced precision arithmetic. It is important to mention that it is possible to extract state and information from any particular node in the graph. This fact is very important to our study because we are interested in the features that the system craft to perfom the given task. We want to teach the system to excel at our task of interest and then use its knowledge to improve another task.

Another nice feature that TensorFlow brings into the table is its ability to prune the execution graph before starting its computations. Usually several sets operations are repeated along the graph, by detecting this, the system can automatically replace all incidences of each repeated sub-graph with a single one thus, saving memory and time. The same case happens with communication nodes. If several nodes from a single device are consuming the same data from another device, the system is prepared to detect this and ensure that the data transfer occur only once.

The framework code is deeply optimized. Implementations of the same interface target the different dispositives that the code can run in. It is built upon known mature frameworks such as cuDNN, a library for deep neural networks that targets NVIDIA GPUs, and Eigen, a C++ library for linear algebra, which was extended to offer tensor arithmetic support. On top of this infrastructure, TensorFlow offers a Python client which is very convenient for fast development.

An interesting tool that is packed with the framework is TensorBoard. With the huge complexity that machine learning models offer at this scale, it is important to know what is happenining at any point of the training process. TensorBoard offers a glimpse of how does the architecture for a particualar computation graph looks like. This will become handy later when describing our model.

There exist several systems that offer similar features to TensorFlow. Theano, Torch, Caffe, Keras to name a few. The purpose of this work is by no means to study the advantages or disadvantages of these systems nor to create a benchmark on their perfomance. As the pipeline was already written in Python, we choose TensorFlow to minimize the gluing code. Among the examples that come with the system there is one end to end example of how to transfer learining from one trained net to another task.

Chapter 4

Architecture

A mathematical proof should
resemble a simple and clear-cut
constellation, not a scattered cluster
in the Milky Way.

A Mathematician's Apology

G. H. Hardy

In this chapter, we will talk about the implementation of our experiment. The details of the pipeline architecture, and the techniques used to obtain and curate data are unveiled. Details on the data munging and preprocessing are also given. The backend was implemented in Python, while the clients are implemented in javascript.

4.1   Ingest

We built a system to ingest the images from the NOAA service. It lazily downloads the images by checking first if the file is already present in the temporary folder. If the file does not exist it downloads it, then the system tries to add it to the database and persistent file system. To maintain a coherent one to one mapping between the database and the file system, the process of adding a new scene must be successful both in the database and in the filesystem, otherwise, the file is erased from both, and the state of the system remains as it was before the ingestion attempt.

4.2   Tag

Aerial tagged data is scarce. In particular, for the purpose of our experiment, we don't have any useful metadata on the images. We propose a method to tag samples of the scenes using crowd sourcing. We built a service that crops samples from the images and exposes them to an online application that lets any user with access to tag an image. We have three categories: the image has water in it, the image does not have water in it, and it is not possible to tell. When a positive answer is obtained, the system persists de image in the data base with the information of from which scene was it extracted.

4.3   Data augmentation

Given the nature of our task, it is hard to acquire the tagged images. To increment the size of our training dataset, even more, we use a technique known as data augmentation. It relies on the fact that affine transformations do not change the content of the scenes, however, a transformed scene appears as a completely new one to the classifier.

The images were rotated by 10 degrees, and reflected by the x-axis and the y-axis this gives us a $x144$ factor, this means that for each tagged image, the training corpus is incremented by 144 images. The problem with this approach is that when a square image is rotated, some information on the corners is lost so we have to adjust the original image so that we can still crop a complete square from the desired size from it. For our experiment, the input size for the neural network is 227×227 pixels, so the original images must be at least $\sqrt{2}$ times 227 on each side. This way no matter how we rotate the original image, we can still crop a 227×227 from the center of the rotation without losing any data.

4.4   Train

Images were tagged by hand.

4.5 Predict

Another simple front end application was developed to predict on new features, it is very similar to the tagging application. Instead of asking the user about the correct tag, it queries the model and exposes the answer to the front end.

4.6 Activation maps

It was shown by (the paper with discrimination zones) that if we use the layers before the fully connected layers, it is possible to extract zones which the network recognizes as to be prone to be for one of the classes.

4.7 Active learning

Chapter 5

Results

> A mathematican, like a painter or a poet, is a maker of patterns. The mathematician's patterns, like painter's or the poet's, must be *beautiful*; the ideas, like the colours or the words, must fit together in a harmonious way.

> A Mathematician's Apology
> G. H. Hardy

In this chapter we will explain the extent of our results, and how it is possible to extract a binary landcover map with the presence and ausence of water.

Chapter 6

Future work

> If intellectual curiosity, professional pride, and ambition are the dominant incentives to research, then assuderedly no one has a fairer chance of gratifying them than a mathematician.
>
> A Mathematician's Apology
>
> G. H. Hardy

This was an important experiment because it let us describe the use of novel techniques in the process of image classification.

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