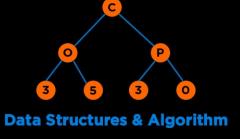
Algorithm Paradigms

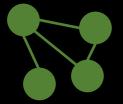


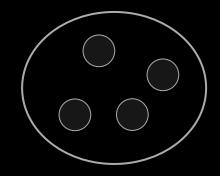
Categories of Data Structures

Linear OrderedNon-linear OrderedNot OrderedListsTreesSetsStacksGraphsTables/Maps



Queues





Categories of Algorithms

Brute Force

Divide & Conquer

Greedy

Dynamic Programming

Selection Sort

Binary Search

Minimum Spanning Tree

Knapsack

Bubble Sort

Merge Sort

Shortest Paths

Fibonacci

Insertion Sort

Quick Sort

NP Complete Problems



Algorithmic Paradigms



Algorithmic Paradigms

	Properties	Examples
Brute Force	 Generate and Test an Exhaustive Set of all possible combinations Can be computationally very expensive Guarantees correct solution 	 Finding divisors of a number, n by checking if all numbers from 1n divides n without remainder Finding duplicates using all combinations Bubble/Selection Sort
Divide and Conquer	 Break the problem into subcomponents typically using recursion Solve the basic component Combine the solutions to sub-problems 	Quick SortMerge SortBinary SearchPeak Finding
Dynamic Programming	 Optimal substructure: solution to a large problem can be obtained by solution to a smaller optimal problems e.g., Shortest path in a graph (Longest path does not follow optimal substructure) Overlapping sub-problems: space of sub-problems must be small, that is, any recursive algorithm solving the problem should solve the same sub-problems over and over, rather than generating new sub-problems. Guarantees optimal solution in terms of correctness and time 	 Fibonacci Sequence Assembly Scheduling Knapsack Bin packing
Greedy Algorithms	 Local optimal solutions at each stage Does not guarantee optimal solution 	 Prim's Algorithm Dijkstra's Algorithm Kruskal's Algorithm Bin packing



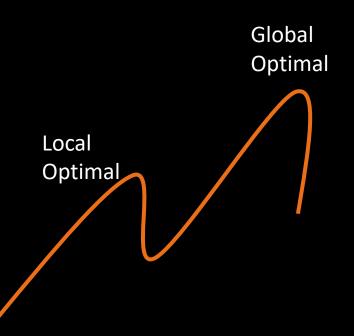
Optimization problems

- Optimization Problem: the problem of finding the best solution from all feasible solutions.
- Constraints to a Problem: Minimize or Maximize an Objective function (e.g., go from A->B in 10 hours, Objective function: minimum time). Objective functions define the objective of the optimization and is a single scalar value that is formulated from a set of design responses.
- Feasible Solution: A feasible solution is a set of values for the decision variables that satisfies all of the constraints in an optimization problem.
- Optimal Solution
 - An optimal solution is a feasible solution where the objective function reaches its maximum (or minimum) value.
 - A globally optimal solution is one where there are no other feasible solutions with better objective function values.
 - A locally optimal solution is one where there are no other feasible solutions "in the vicinity" with better objective function values you can picture this as a point at the top of a "peak" or at the bottom of a "valley" which may be formed by the objective function and/or the constraints.

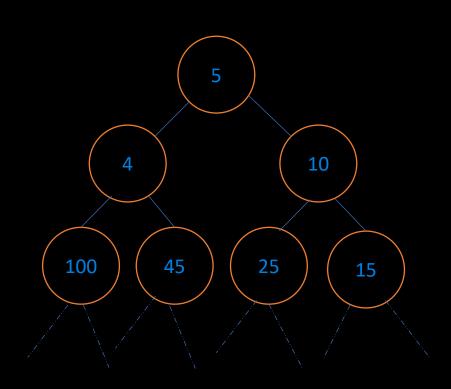
Optimization problems

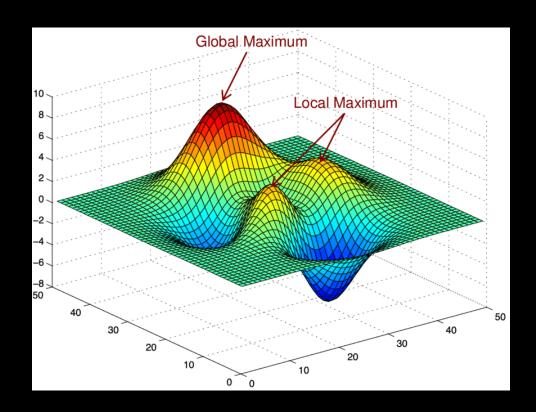
- Optimization Problem: find code that runs fast in the course where fast means that it takes less
 than 1 second to execute and is passing all tests.
- Constraints to a Problem: Minimize an Objective function minimize time. Constraints: must execute in less than 60 sec.
- Feasible Solution: Lot of student code that passes all tests and executes in less than 1 second.
- Optimal Solution
 - A globally optimal solution is the fastest running code.
 - A locally optimal solution is one where you pick the submissions which were submitted earlier than later based on a heuristic.





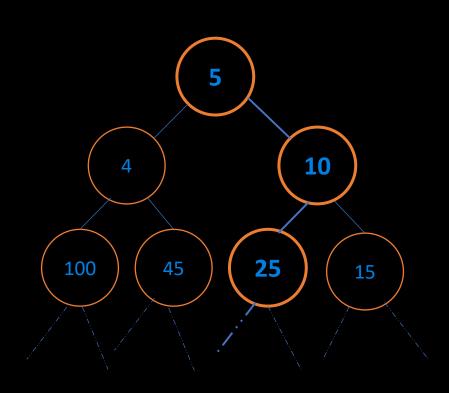
- Greedy algorithms work in phases.
 - In each phase a decision is made that appears to be good.
 - Generally, this means that a local optimum is chosen.
- At the end, we hope the local optimum found is the global optimum.
 - Prim's algorithm and Dijkstra's algorithm are greedy algorithms.

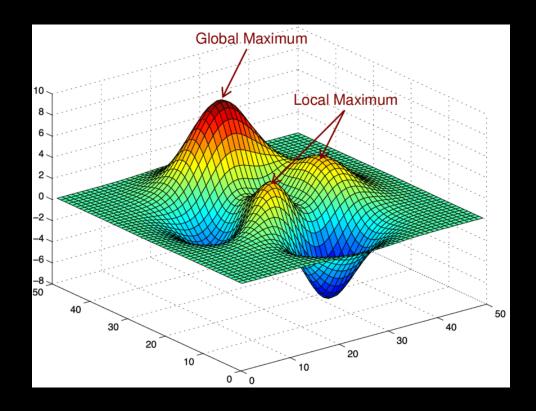




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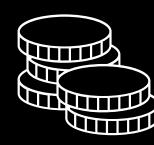




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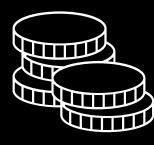


- Make change with the smallest number of coins.
- Start with the largest denomination and dispense as many of those fit.
- Example: 32 cents
 - Quarters (0.25)
 - Dimes (0.10)
 - Nickels (0.05)
 - Pennies (0.01)





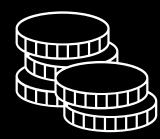
- Make change with the smallest number of coins.
- Start with the largest denomination and dispense as many of those fit.
- Example: 32 cents
 - Quarters (0.25) 1
 - Dimes (0.10) 0
 - Nickels (0.05) 1
 - Pennies (0.01) 2
- = 4 coins, the minimum possible





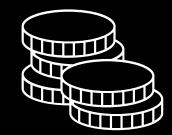
- Make change with the smallest number of coins.
- Start with the largest denomination and dispense as many of those fit.
- Example: 32 cents
 - Quarters (0.25) 1
 - Dimes (0.10) 0
 - Nickels (0.05) 1
 - Pennies (0.01) 2
- = 4 coins, the minimum possible

- Example, alternate universe: 32 cents
 - Gryffindor (0.14)
 - Slytherin (0.08)
 - Hufflepuffs (0.01)



- Make change with the smallest number of coins.
- Start with the largest denomination and dispense as many of those fit.
- Example: 32 cents
 - Quarters (0.25) 1
 - Dimes (0.10) 0
 - Nickels (0.05) 1
 - Pennies (0.01) 2
- = 4 coins, the minimum possible

- Example, alternate universe: 32 cents
 - Gryffindor (0.14) 2
 - Slytherin (0.08) 0
 - Hufflepuffs (0.01) 4
 - = 6 coins, not the minimum
 possible as 4 Slytherins is
 global optimal





Bin Packing

If we have boxes that each require 14 units, 16 units, 4 units and 6 units of space, how many minimum bins are required to store all the four boxes if each bin can take at most 20 units of space using the following Greedy strategies

First Fit: scan the bins and place the new item in the first bin that is large enough.

Best Fit: scan the bins and place the new item in the bin that finds the spot that creates the smallest empty space

Bin Packing

If we have boxes that each require 14 units, 16 units, 4 units and 6 units of space, how many minimum bins are required to store all the four boxes if each bin can take at most 20 units of space using the following Greedy strategies

First Fit: scan the bins and place the new item in the first bin that is large enough.



Best Fit: scan the bins and place the new item in the bin that finds the spot that creates the smallest empty space

6	4
14	16
1-4	

Greedy Algorithm for Converting Decimal to Binary

- Convert decimal to binary.
- Start with the largest power of two that will fit.
- Assign 1 to that place. Subtract that value.
- Repeat.

```
Example: 280

28 = 256

ninth significant digit = 1

100000000
280-256= 24

24 = 16
fifth significant digit = 1

100010000
24-16=8

23 = 8
fourth significant digit = 1

100011000
8-8 = 0, done
```

```
128 64 32 16 8 4 2 1

1 0 0 1 1 0 1 1

128+0+0+16+8+0+2+1

= 155
```

Huffman Trees



Rationale

How is text transmitted?

How many bits we need to encode a character?

Rationale

- How is text transmitted?
 - 1's and 0's
- How many bits we need to encode a character?
 - $\lceil \log_2 n \rceil$

Rationale

- How is text transmitted? : mississippi
- How many bits we need to encode a character?
 - $\lceil \log_2 4 \rceil = 2 \text{ bits per character}$
 - 11 * 2 = 22 bits to transmit "mississippi"

1. Create a table with symbols and their frequencies

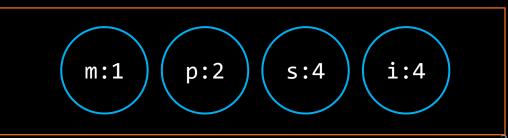
mississippi

Character	Frequency
m	1
i	4
S	4
р	2

- 2. Construct a set of trees with root nodes that contain each of the individual symbols and their weight (frequency).
- 3. Place the set of trees into a priority queue.

mississippi

Character	Frequency
m	1
i	4
S	4
р	2





mississippi

Character	Frequency
m	1
i	4
S	4
р	2

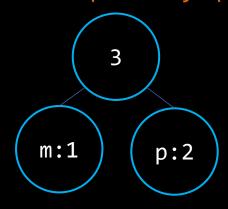






mississippi

Character	Frequency
m	1
i	4
S	4
р	2







mississippi

Character	Frequency
m	1
i	4
S	4
р	2





mississippi

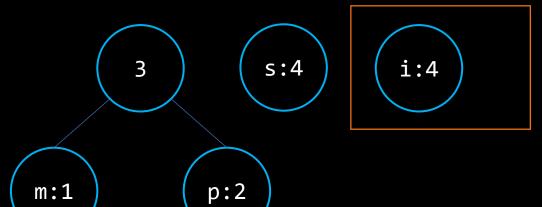
Character	Frequency
m	1
i	4
S	4
р	2





mississippi

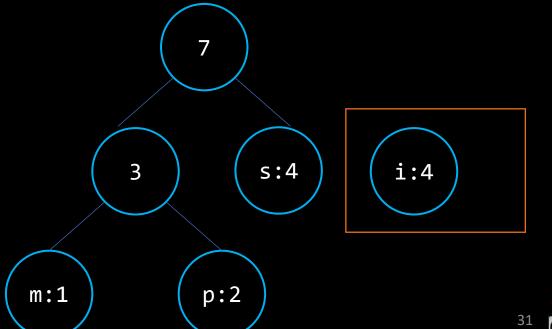
Character	Frequency
m	1
i	4
S	4
р	2





mıs	SIS	SID	n_{1}
		 -	_

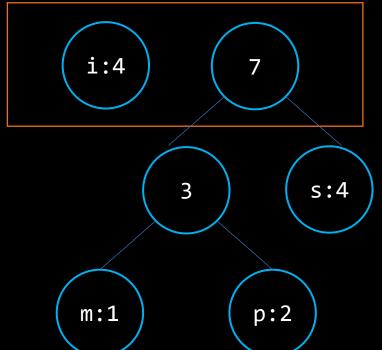
Character	Frequency
m	1
i	4
S	4
р	2





mississippi

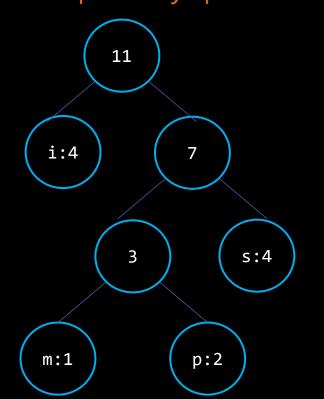
Character	Frequency
m	1
i	4
S	4
р	2





mississippi

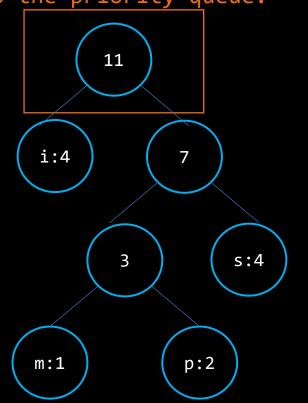
Character	Frequency
m	1
i	4
S	4
р	2





mississippi

Character	Frequency
m	1
i	4
S	4
р	2

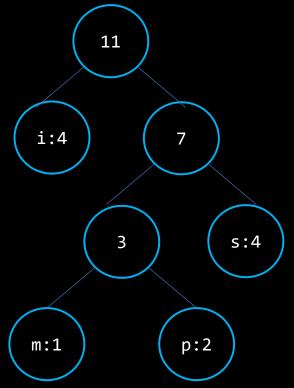




5. Traverse the resulting tree to obtain binary codes for characters: 0 towards left, 1 to towards right

mississippi

Character	Frequency	Code	Bits per Code
m	1	100	3
i	4	0	1
S	4	11	2
р	2	101	3

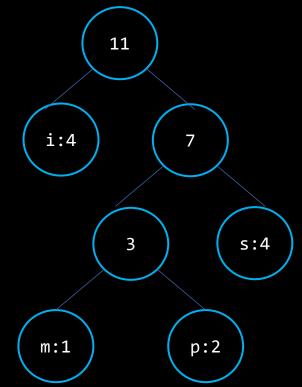




5. Traverse the resulting tree to obtain binary codes for characters: 0 towards left, 1 to towards right

mississippi

Character	Frequency	Code	Bits per Code
m	1	100	3
i	4	0	1
S	4	11	2
р	2	101	3



Total bits for "mississippi" =

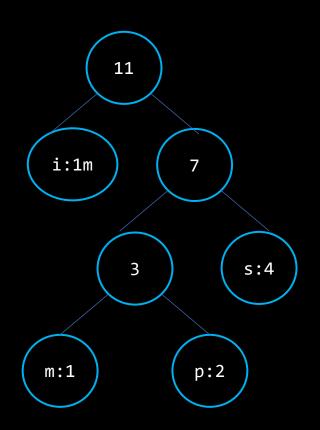
$$1*3 + 4*1 + 4*2 + 2*3 = 21$$
 bits



What if there were 1 million succeeding 'i' in "mississippi"?

mississippi...

Character	Frequency	Code	Bits per Code
m	1	100	3
i	1,000,004	0	1
S	4	11	2
р	2	101	3



Total bits for "mississippi..." = 1*3 + 1000004*1 + 4*2 + 2*3 = 1,000,021 bits [Huffman codes]

Total bits for "mississippi..." through regular transmission = 1000011*2 = 2,000,022 bits



Algorithm for Huffman Encoding: Interface

```
class huffman tree
  private:
  //add your data structures here
  public:
        Preconditions: input is a string of characters with ascii values 0-127
        Postconditions: reads the characters of input and constructs a
        huffman tree based on the character frequencies of the file contents
        huffman_tree(const string& input) {}
        /*
        Preconditions: input is a character with ascii value between 0-127
        Postconditions: returns the Huffman code for character if character
                        is in the tree and an empty string otherwise.
        string get character code(char character) const { return ""; }
```

```
Preconditions: input is a string of characters with ascii values 0-127
  Postconditions: returns the Huffman encoding for the contents of
                 file name if file name exists and an empty string
                  otherwise. If the file contains letters not present in the
                  huffman tree, return an empty string
*/
string encode(const string& input) const { return ""; }
/*
Preconditions: string to decode is a string containing Huffman-encoded text
Postconditions: returns the plaintext represented by the string if the string
                is a valid Huffman encoding and an empty string otherwise
*/
  string decode(const string& string to decode) const { return ""; }
};
```

Questions

Mentimeter

Code 7058 2268



Dynamic Programming



Dynamic Programming

- Top-down DP: Memoization
- Bottom-up DP: Tabulation

Fibonacci Sequence

```
int standardFibonacci(int n)
{
    if (n <= 1)
        return n;
    return standardFibonacci(n-1) + standardFibonacci(n-2);
}</pre>
```

Fibonacci Sequence

```
int standardFibonacci(int n)
{
    if (n <= 1)
        return n;
    return standardFibonacci(n-1) + standardFibonacci(n-2);
}</pre>
```

```
Time Complexity:
Space Complexity:
```



Fibonacci Sequence

```
int standardFibonacci(int n)
{
    if (n <= 1)
        return n;
    return standardFibonacci(n-1) + standardFibonacci(n-2);
}</pre>
```

```
Time Complexity: O(2<sup>n</sup>)
Space Complexity: O(n)
```



Fibonacci Sequence: Tabulation

```
int bottomUpDPFibonacci(int n)
{
    vector<int> dp(n+1);
    dp[0] = 0;
    dp[1] = 1;
    for (int i = 2; i <= n; i++)
        dp[i] = dp[i - 1] + dp[i - 2];
    return dp[n];
}</pre>
```

Fibonacci Sequence: Tabulation

```
int bottomUpDPFibonacci(int n)
{
    vector<int> dp(n+1);
    dp[0] = 0;
    dp[1] = 1;
    for (int i = 2; i <= n; i++)
        dp[i] = dp[i - 1] + dp[i - 2];
    return dp[n];
}</pre>
```

```
Time Complexity:
Space Complexity:
```



Fibonacci Sequence: Tabulation

```
int bottomUpDPFibonacci(int n)
{
    vector<int> dp(n+1);
    dp[0] = 0;
    dp[1] = 1;
    for (int i = 2; i <= n; i++)
        dp[i] = dp[i - 1] + dp[i - 2];
    return dp[n];
}</pre>
```

```
Time Complexity: O(n)
Space Complexity: O(n)
```



Fibonacci Sequence: Memoization

```
int fib(vector<int> &dp, int n)
{
   if (n <= 1)
      return n;
   if (dp.at(n) != 0)
      return dp.at(n);
   dp.at(n) = fib(dp, n - 1) + fib(dp, n - 2);
   return dp.at(n);
}</pre>
```

```
int topDownDPFibonacci(int n)
{
    vector<int> dp(n + 1, 0);
    return fib(dp, n);
}
```

Fibonacci Sequence: Memoization

```
int fib(vector<int> &dp, int n)
{
    if (n <= 1)
        return n;
    if (dp.at(n) != 0)
        return dp.at(n);
    dp.at(n) = fib(dp, n - 1) + fib(dp, n - 2);
    return dp.at(n);
}</pre>
```

```
int topDownDPFibonacci(int n)
{
    vector<int> dp(n + 1, 0);
    return fib(dp, n);
}
```

```
Time Complexity:
Space Complexity:
```



Fibonacci Sequence: Memoization

```
int fib(vector<int> &dp, int n)
{
    if (n <= 1)
        return n;
    if (dp.at(n) != 0)
        return dp.at(n);
    dp.at(n) = fib(dp, n - 1) + fib(dp, n - 2);
    return dp.at(n);
}</pre>
```

```
int topDownDPFibonacci(int n)
{
    vector<int> dp(n + 1, 0);
    return fib(dp, n);
}
```

```
Time Complexity: O(n)
Space Complexity: O(n)
```



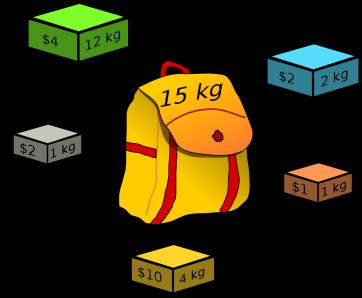
- One or more Constraints
- Bounded/Unbounded/Fractional Items
- One or more knapsacks
- 2^N combinations of sets for N-items in a Set



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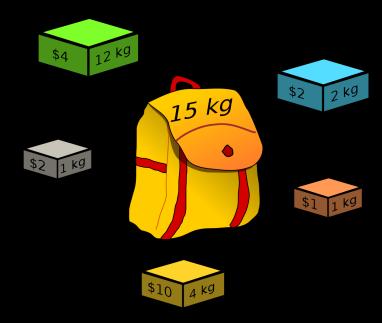
 Items are bounded, non-fractional and only one knapsack allowed.

Maximize profit/value



https://images.app.goo.gl/vQejKPdsUynyp3As6

- OPT(i, W) = optimal value of max weight subset that uses items 1, ..., i
 with weight limit W
- Greedy:
 - Repeatedly add item with maximum vi/wi ratio
 - Capacity M=7, Number of objects n = 3
 - W = [5, 4, 3]
 - v = [10, 7, 5] (ordered by vi/wi ratio)



https://images.app.goo.gl/vQejKPdsUynyp3As6

```
OPT(i, W) = optimal value of max weight subset that uses items 1, ..., i
with weight limit W
Dynamic Programming
Case 1: item i is not included:
       Take best of {1, 2, ..., i-1} using weight limit W: OPT(i-1, W)
Case 2: item i with weight w_i and value v_i is included:

    only possible if W > = W<sub>i</sub>

 new weight limit = W - W<sub>i</sub>

   • Take best of \{1, 2, \dots, i-1\} using weight limit W-w<sub>i</sub> and add v<sub>i</sub>:
          • OPT(i-1, W-W_i) + V_i
OPT(i, W) = \begin{cases} OPT(i-1, w) & \text{if } i=0 \\ OPT(i-1, w), v_i + OPT(i-1, W-w_i) \end{cases}  otherwise
                                                                if i=0
```

Weight of Knapsack, W = 2

Value (v _i)	Weight (w _i)
10	1
20	1
30	1

$$OPT(i, W) = \begin{cases} 0 & \text{if } i=0 \\ OPT(i-1, w) & \text{if } w_i > W \\ \max\{OPT(i-1, w), v_i + OPT(i-1, W-w_i) \} \text{ otherwise} \end{cases}$$

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Weight of Knapsack, W = 2

Value (v _i)	Weight (w _i)
10	1
20	1
30	1

Grid Size # rows: No. of items + 1

	0	1	2
{0}			
{0, 1}			
{0, 1, 2}			
{0, 1, 2, 3}			

columns: W + 1

$$OPT(i, W) = \begin{cases} 0 & \text{if } i=0 \\ OPT(i-1, w) & \text{if } w_i > W \\ \max\{OPT(i-1, w), v_i + OPT(i-1, W-w_i) \} \text{ otherwise} \end{cases}$$

Weight of Knapsack, W = 2

Value (v _i)	Weight (w _i)
10	1
20	1
30	1

Grid Size

	0	1	2
{0}	0	0	0
{0, 1}	0		
{0, 1, 2}	0		
{0, 1, 2, 3}	0		

$$OPT(i, W) = \begin{cases} 0 & \text{if } i=0 \\ OPT(i-1, w) & \text{if } w_i > W \\ \max\{OPT(i-1, w), v_i + OPT(i-1, W-w_i) \} \text{ otherwise} \end{cases}$$



Weight of Knapsack, W = 2

Value (v _i)	Weight (w _i)
10	1
20	1
30	1

Grid Size

	0	1	2
{0}	0	0	0
{0, 1}	0	10	10
{0, 1, 2}	0		
{0, 1, 2, 3}	0		

$$OPT(i, W) = \begin{cases} 0 & \text{if } i=0 \\ OPT(i-1, w) & \text{if } w_i > W \\ \max\{OPT(i-1, w), v_i + OPT(i-1, W-w_i) \} \text{ otherwise} \end{cases}$$



Weight of Knapsack, W = 2

Value (v _i)	Weight (w _i)
10	1
20	1
30	1

Grid Size

	0	1	2
{0}	0	0	0
{0, 1}	0	10	10
{0, 1, 2}	0	20	30
{0, 1, 2, 3}	0		

$$OPT(i, W) = \begin{cases} 0 & \text{if } i=0 \\ OPT(i-1, w) & \text{if } w_i > W \\ \max\{OPT(i-1, w), v_i + OPT(i-1, W-w_i) \} \text{ otherwise} \end{cases}$$



Weight of Knapsack, W = 2

Value (v _i)	Weight (w _i)
10	1
20	1
30	1

Grid Size

	0	1	2
{0}	0	0	0
{0, 1}	0	10	10
{0, 1, 2}	0	20	30
{0, 1, 2, 3}	0	30	50

$$OPT(i, W) = \begin{cases} 0 & \text{if } i=0 \\ OPT(i-1, w) & \text{if } w_i > W \\ \max\{OPT(i-1, w), v_i + OPT(i-1, W-w_i) \} \text{ otherwise} \end{cases}$$



Weight of Knapsack, W = 2

Value (v _i)	Weight (w _i)
10	1
20	1
30	1

rows: No. of items + 1
columns: W + 1

	0	1	2
{0}	0	0	0
{0, 1}	0	10	10
{0, 1, 2}	0	20	30
{0, 1, 2, 3}	0	30	50

Which items we selected?



Weight of Knapsack, W = 2

Value (v _i)	Weight (w _i)
10	1
20	1
30	1

Grid Size

rows: No. of items + 1
columns: W + 1

	0	1	2
{0}	0	0	0
{0, 1}	0	10	10
{0, 1, 2}	0	20	30
{0, 1, 2, 3}	0	30	50

Which items we selected?

Item 3 is in the bag as value of bag with item 3 in it is different from the value with Item {0, 1, 2}.



Weight of Knapsack, W = 2

Value (v _i)	Weight (w _i)
10	1
20	1
30	1

Grid Size

rows: No. of items + 1
columns: W + 1

	0	1	2
{0}	0	0	0
<pre>{0, 1}</pre>	0	10	10
{0, 1, 2}	0	20	30
{0, 1, 2, 3}	0	30	50

Which items we selected?

Item 2 is in the bag as value of bag with item 2 in it is different from the value with Item {0, 1}.



Weight of Knapsack, W = 2

Value (v _i)	Weight (w _i)
10	1
20	1
30	1

rows: No. of items + 1
columns: W + 1

	0	1	2
{0}	0	0	0
{0, 1}	0	10	10
{0, 1, 2}	0	20	30
{0, 1, 2, 3}	0	30	50

Which items we selected?

Item 2 and 3



Knapsack Problem – 11.2 Stepik

```
int backpack(int W, vector<int> weights, vector<int> values)
   int m = weights.size(); // Number of items
   int dp[m + 1][W + 1]; // dp[i][j] is the max value for the first i items with knapsack of capacity j
   for (int i = 0; i \le m; i++)
       for (int i = 0; i <= W; i++)
           if (i == 0 \mid j == 0) //If there are no items (i = 0) or the capacity of knapsack is zero (j = 0)
               dp[i][j] = 0;
            else if (weights[i - 1] > j) //If weight is bigger than the capacity
               dp[i][j] = dp[i - 1][j];
            else
               dp[i][j] = max(dp[i - 1][j], values[i - 1] + dp[i - 1][j - weights[i - 1]]);
   return dp[m][W];
```

Use cases of Data Structures and Algorithms

- Priority Queues / heaps
 - Prim's algorithm choosing next edge to add
 - Dijkstra's algorithm choosing next vertex to set distance
 - Huffman compression algorithm
 - Operating systems load balancing and interrupt handling
- Binary search trees / hash tables
 - Anything where data is stored with a key / any database
 - Customer list with email address as a key
 - Students with ID number as key
- Minimum Spanning Tree / Graphs
 - Network design
 - Cluster analysis
- Shortest paths / Graphs
 - Delivery planning/scheduling
- Bin packing
 - scheduling problems scheduling conferences
- Knapsack
 - choosing investment portfolio
 - resource allocation
 - https://www.vice.com/en_us/article/4x385b/the-world-is-knapsack-problem-and-were-just-living-in-it



Questions