



<https://cosmiic.org>

Example - Early Feasibility Investigational Device Exemption

IDE Section: Case Report Forms

This material is provided by COSMIIC as an example of the contents of an EFS-IDE submission using the COSMIIC System. Regulatory requirements and information in this document may have been obsoleted since original submission of these documents. Please refer to the Read Me document for full background of the contents in this document set, reasoning for redactions, and licensing for these documents. For up-to-date technical information on the COSMIIC System, please visit the Docs site through cosmiic.org. This document is released by COSMIIC with the open source CC-BY-4.0 license.

12. Case Report Forms

This section contains:

12. CASE REPORT FORMS.....	1
12.1. STUDY SUMMARY CASE REPORT FORM.....	2
12.2. SCREENING CASE REPORT FORM.....	3
12.3. PRE-OPERATIVE TESTING CASE REPORT FORM.....	5
12.4. DEVICE IMPLANTATION CASE REPORT FORM.....	6
12.5. GRASP AND RELEASE TEST CASE REPORT FORM.....	7
12.6. ADL TEST CASE REPORT FORM	12
12.7. TRUNK OUTCOMES CASE REPORT FORM	13
12.8. SATISFACTION SURVEY CASE REPORT FORM	14
12.9. SF-12 CASE REPORT FORM.....	18
12.10. ADVERSE EVENT CASE REPORT FORM	21
12.11. SERIOUS ADVERSE EVENT CASE REPORT FORM	22
12.12. ANNUAL FOLLOW-UP FORM.....	23
12.13. END OF STUDY FORM	24

12.1. Study Summary Case Report Form

Cervical SCI NNP Study Summary									
Version 1.0									
Subject Number: <input style="width: 100px;" type="text"/>				PI Initials: <input style="width: 100px;" type="text"/>					
Test					Dates Completed				
Pre-Surgery									
Screening									
Pre-operative Testing									
Surgery									
NNP Implantation Record									
Rehabilitation Period									
Grasp-Release Test									
Activities of Daily Living Abilities Test									
Trunk-stimulated Reaching Test									
Spinal Cord Independence Measure - III									
Satisfaction Survey									
SF-12									
Annual Follow-up									
NNP Technical and Functional Assessment									
Other									
End of Study									
Adverse Events									
Serious Adverse Events									
Notes									
Cervical SCI NNP Study Summary CRF v10 - September 18, 2014									

12.2. Screening Case Report Form

FES Center Cervical SCI Screening Form									
Version 6.0									
								PI Initials:	
Subject Number:									
Screening Date(s):									
Screening Staff:	KLK	AMB	MWK	HAH	Other:				
Form Completed (Date):									
Gender:	<input type="checkbox"/> Male		<input type="checkbox"/> Female						
Birthdate:									
Injury Date:									
Right Arm									
ASIA Injury Level:	C4	C5	C6	C7	Other:				
Int. Classification:	O	Cu							
Group:	0	1	2	3	4	Other:			
Left Arm									
ASIA Injury Level:	C4	C5	C6	C7	Other:				
Int. Classification:	O	Cu							
Group:	0	1	2	3	4	Other:			
Current Medications:									
Previous Medical Conditions:									

Screening Form, Continued

Inclusion Criteria:

Subjects will be eligible for inclusion in the study if they meet **ALL** of the following Inclusion Criteria:

Criteria	Yes	No
Skeletally Mature (age > 13 years)	<input type="checkbox"/>	<input type="checkbox"/>
>= 6 months post-injury	<input type="checkbox"/>	<input type="checkbox"/>
Diagnosis of cervical level spinal cord injury, either complete or incomplete	<input type="checkbox"/>	<input type="checkbox"/>
Peripheral nerve innervation to upper extremity and trunk muscles, included grade 3/5 or higher stimulated strength (Manual Muscle Test) in at least two of the following muscles in one arm: AdP, AbPB, FPL, EPL/EPB, EDC, FDS, FDP, PQ, ECU, ECRB, ECRL, FCU, FCR, 1DI; and in at least two of the following muscles: left/right gluteus maximus, left/right erector spinae, left/right quadratus lumborum, left/right iliopsoas, and left/right latissimus dorsi	<input type="checkbox"/>	<input type="checkbox"/>
Biceps / brachialis / brachioradialis strength of 2/5 or higher on MMT	<input type="checkbox"/>	<input type="checkbox"/>
Able and willing to take part in study	<input type="checkbox"/>	<input type="checkbox"/>
Medically stable, cleared for surgery	<input type="checkbox"/>	<input type="checkbox"/>

NOTES: _____

Exclusion Criteria:

Subjects will be excluded the study if they meet **ANY** of the following Exclusion Criteria:

Criteria	Yes	No
Additional neurological conditions	<input type="checkbox"/>	<input type="checkbox"/>
Diabetes with nerve involvement	<input type="checkbox"/>	<input type="checkbox"/>
Adverse interaction between system components and typical EM sources in subject's home and work environments, including wheelchair or other active implantable devices.	<input type="checkbox"/>	<input type="checkbox"/>
Other active implantable device that demonstrates incompatibility with NNP system	<input type="checkbox"/>	<input type="checkbox"/>
Extensive denervation	<input type="checkbox"/>	<input type="checkbox"/>
< 6 months post-injury	<input type="checkbox"/>	<input type="checkbox"/>
Pregnant	<input type="checkbox"/>	<input type="checkbox"/>
Active infection	<input type="checkbox"/>	<input type="checkbox"/>

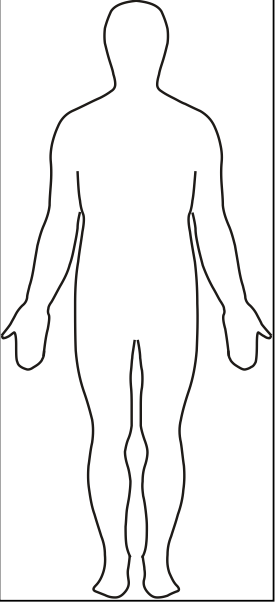
NOTES: _____

12.3. Pre-Operative Testing Case Report Form

Cervical SCI NNP Pre-operative Testing							
Version 1.0							
Subject Number: <input type="text"/>						PI Initials: <input type="text"/>	
Test	Date Performed	Acceptable Results		Follow-up			
		Yes	No				
Urinalysis and urine culture and sensitivity		<input type="checkbox"/>	<input type="checkbox"/>				
CBC with differential		<input type="checkbox"/>	<input type="checkbox"/>				
ESR (erythrocyte sedimentation rate)		<input type="checkbox"/>	<input type="checkbox"/>				
Basic Metabolic Profile (includes Na ⁺ , K ⁺ , Cl, BUN, Ca, CO ₂ , Creatinine, anion gap, glucose, estimated GFR)		<input type="checkbox"/>	<input type="checkbox"/>				
PT, PTT, INR		<input type="checkbox"/>	<input type="checkbox"/>				
Hepatic Function Profile (includes Total protein, albumin, Total Bilirubin, Direct Bilirubin, Alkaline)		<input type="checkbox"/>	<input type="checkbox"/>				
Hepatitis B (hepatitis B surface antigen)		<input type="checkbox"/>	<input type="checkbox"/>				
Hepatitis C (HCV)		<input type="checkbox"/>	<input type="checkbox"/>				
Nasal swab for culture and sensitivity		<input type="checkbox"/>	<input type="checkbox"/>				
HIV		<input type="checkbox"/>	<input type="checkbox"/>				
EKG		<input type="checkbox"/>	<input type="checkbox"/>				
CXR		<input type="checkbox"/>	<input type="checkbox"/>				
MRSA Screen (nasal swab)		<input type="checkbox"/>	<input type="checkbox"/>				
Dental Exam		<input type="checkbox"/>	<input type="checkbox"/>				
Renal and Bladder ultrasound		<input type="checkbox"/>	<input type="checkbox"/>				
Skin Assessment		<input type="checkbox"/>	<input type="checkbox"/>				
Pregnancy test	<input type="checkbox"/> N/A	<input type="checkbox"/>	<input type="checkbox"/>				
Comments and Follow-up Testing							

Pre-Operative Testing CRF v1.0 - September 12, 2014

12.4. Device Implantation Case Report Form

<h1 style="margin: 0;">Cervical SCI NNP System</h1> <h2 style="margin: 0;">Implantation Record</h2>							
Version 2.0							
Subject Number:				PI Initials:			
Surgery Date:							
Surgeon:		MWK	HAH	Other _____			
PM1 Serial Number:							
PM1 Location:		L-PEC	L-ABD	R-PEC	R-ABD	Other _____	
Module Number and Type	Module Serial #	Electrode Serial #	Electrode Style (EP/IN/SP)	Electrode Length (cm)	Muscle	Module Channel ID	Device Location
1 - PG4							
2 - PG4							
3 - PG4							
4 - PG4							
5 - PG4							
6 - PG4							
1 - BP2							
2 - BP2							
3 - BP2							
Network Connections							
Number	Serial #	Length	Distal Module	Proximal Module	Comments		
1 - NC2							
2 - NC2							
3 - NC2							
4 - NC2							
5 - NC2							
6 - NC2							
7 - NC2							
8 - NC2							
9 - NC2							

12.5. Grasp and Release Test Case Report Form

Grasp Release Test

Form Number: 1

ID: _____
 Date: _____ Center: _____ Coord: _____ Invest: _____
 Date Recvd: _____ Recvd y: _____

Session Information:

Session Number: _____ Evaluator: _____ Location: _____
 Entry: _____ Pre-Training: _____ Post-Training: _____ Midterm: _____ Exit: _____
 Other: _____ Describe Other: _____
 Pinch Meter: _____ Lateral Calibration: _____ Palmar Calibration: _____

Pinch Force (lbs):

Note: Record uncalibrated scale values

Lateral

Median

Five Finger

Median

Palmar

Median

+													
-													

Usage/Positioning:

Exercised last night? Y ☐ N ☐ Knee-to-table Seat Angle:
 Used today? Y ☐ N ☐ Wheelchair-to-table: Changed? Y ☐ N ☐
 Midline to Barrier : Table-to-board:

Wheelchair description: _____

Wheelchair Ref Point: _____

Functional Splint (+): _____

Wrist Position (+) _____ (deg) _____ Direction F ☐ E ☐

Functional Splint (-): _____

Wrist Position (-): _____ (deg) _____ Direction ☐ E ☐

Exercise Splint: _____

Checklist:

System OK? Y ☐ N ☐

System changed? Y ☐ N ☐

File Dates: Control _____

Lat _____

ROM completed? Y ☐ N ☐ Video recorded Y ☐ N ☐

Objects cleaned? Y ☐ N ☐ Video reviewed? Y ☐ N ☐

Exercise: _____

Pal: _____ Other: _____

Comments:	

Grasp Release Test

Form Number: 1

ID: _____

Date: _____ Center: _____ Coord: _____ Invest: _____

Date Recvd: _____ Recvd By: _____

Pre-test: Start time:

	NP	Pass?	*Failure Code (list up to three)	*1=prox musc 2=position	3=force 4=control 5=other
	+	Y <input type="checkbox"/> N <input type="checkbox"/>			
Peg	@	Y <input type="checkbox"/> N <input type="checkbox"/>			
	-	Y <input type="checkbox"/> N <input type="checkbox"/>			
	+	Y <input type="checkbox"/> N <input type="checkbox"/>			
Weight	@	Y <input type="checkbox"/> N <input type="checkbox"/>			
	-	Y <input type="checkbox"/> N <input type="checkbox"/>			
	+	Y <input type="checkbox"/> N <input type="checkbox"/>			
Fork	@	Y <input type="checkbox"/> N <input type="checkbox"/>			
		Position 45	90	Tried both? Y <input type="checkbox"/> N <input type="checkbox"/>	
	-	Y <input type="checkbox"/> N <input type="checkbox"/>			
		Position 45	90	Tried both? Y <input type="checkbox"/> N <input type="checkbox"/>	
	+	Y <input type="checkbox"/> N <input type="checkbox"/>			
Block	@	Y <input type="checkbox"/> N <input type="checkbox"/>			
	-	Y <input type="checkbox"/> N <input type="checkbox"/>			
	+	Y <input type="checkbox"/> N <input type="checkbox"/>			
Can	@	Y <input type="checkbox"/> N <input type="checkbox"/>			
	-	Y <input type="checkbox"/> N <input type="checkbox"/>			
	+	Y <input type="checkbox"/> N <input type="checkbox"/>			
Tape	@	Y <input type="checkbox"/> N <input type="checkbox"/>			
	-	Y <input type="checkbox"/> N <input type="checkbox"/>			

Comments:

Continue? Y ☐ N ☐

Grasp Release Test

Form Number: 1

ID: _____

Date: _____ Center: _____ Coord: _____ Invest: _____

Date Recvd: _____ Recvd By: _____

Main Test: Start time:

1	NP	Att	Fail	Comp	Comments	Error
Fork	-					
	+/@					
Can	-					
	+/@					
Weight	-					
	+/@					
Tape	+/@					
	-					
Block	-					
	+/@					
Peg	+/@					
	-					

Comments:

2	NP	Att	Fail	Comp	Comments	Error
Peg	+/@					
	-					
Weight	+/@					
	-					
Can	-					
	+/@					
Block	+/@					
	-					
Fork	-					
	+/@					
Tape	-					
	+/@					

Grasp Release Test

Form Number: 1

ID: _____

Date: _____ Center: _____ Coord: _____ Invest: _____

Date Recvd: _____ Recvd By: _____

3	NP	Att	Fail	Comp	Comments	Error
Tape	-					
	+/@					
Fork	+/@					
	-					
Block	-					
	+/@					
Can	-					
	+/@					
Weight	+/@					
	-					
Peg	+/@					
	-					

Comments: _____

Stop time: _____

Elapsed time: _____

Pre-test

Main Test

Have you given this test your best effort? Y ☐ N ☐

Was the test board in an optimal position? Y ☐ N ☐

Was your performance impaired by the evaluator?

	-	+	Comments
Peg	Y <input type="checkbox"/> N <input type="checkbox"/>	Y <input type="checkbox"/> N <input type="checkbox"/>	_____
Weight	Y <input type="checkbox"/> N <input type="checkbox"/>	Y <input type="checkbox"/> N <input type="checkbox"/>	_____
Fork	Y <input type="checkbox"/> N <input type="checkbox"/>	Y <input type="checkbox"/> N <input type="checkbox"/>	_____
Block	Y <input type="checkbox"/> N <input type="checkbox"/>	Y <input type="checkbox"/> N <input type="checkbox"/>	_____
Can	Y <input type="checkbox"/> N <input type="checkbox"/>	Y <input type="checkbox"/> N <input type="checkbox"/>	_____
Tape	Y <input type="checkbox"/> N <input type="checkbox"/>	Y <input type="checkbox"/> N <input type="checkbox"/>	_____

For Pre-training GRT's only: Is this the final pre-training GRT? Y ☐ N ☐ N/A ☐

Comments: _____

12.6. ADL Test Case Report Form

Activity: _____ Method: _____

ID Code: _____ Date: _____
Center: _____ ~~Coord~~ Investigator: _____

Video? Y N Training? _____

Retest? Y N Test? _____

Start Time: _____ Finish Time: _____

☐ Tested first with NP?

Without NP?

WITH NEUROPROSTHESIS							
PHASE	PA	SU	AE	OA	SA	Mode	Comments
Time (sec): 1 2 3							

WITHOUT NEUROPROSTHESIS							
PHASE	PA	SU	AE	OA	SA	IND	Comments
Time (sec): 1 2 3							

Quality + 0 -
Preference + 0 -
Demo All Equip? Y N
Demo All Methods? Y N

Additional Comments:

12.7. Trunk Outcomes Case Report Form

SCI Trunk Reaching Assessment							
Version 1.0							
Subject Number:					PI Initials:		
Forward Bimanual Reaching			Without Stim		Without Stim		
Test No.	Load Lifted		Maximum Elevation (cm)	Maximum Forward (cm)	Maximum Elevation (cm)	Maximum Forward (cm)	
Right Arm - Lateral Reaching			Without Stim		Without Stim		
Test No.	Load Lifted		Maximum Elevation (cm)	Maximum Right Side (cm)	Maximum Elevation (cm)	Maximum Right Side (cm)	
Left Arm - Lateral Reaching			Without Stim		Without Stim		
Test No.	Load Lifted		Maximum Elevation (cm)	Maximum Left Side (cm)	Maximum Elevation (cm)	Maximum Left Side (cm)	
Comments:							

Trunk Reaching CRF v10 - September 18, 2014

12.8. Satisfaction Survey Case Report Form

FES Hand Grasp Survey

Questions 1 to 3 ask you to make comparisons of before your FES system was implanted to after your FES system was implanted. Please read the statements and check the appropriate box.

Comparisons Before and After FES Implantation	Strongly Disagree	Disagree	Neither Disagree or Agree	Agree	Strongly Agree
1. If I had the opportunity to do it over again, I would still have the FES system implanted.					
2. I have benefited from the FES system.					
3. The appearance of my hand has improved since my FES system was implanted.					

Questions 4 to 14 ask you to make comparisons of when your FES system is donned and available to use versus when your FES system is not donned or available. Please read the statements and check the appropriate box.

Comparisons With FES and Without FES, after implantation	Strongly Disagree	Disagree	Neither Disagree or Agree	Agree	Strongly Agree
4. I perform more activities with my FES system compared to without my FES system.					
5. I need more adaptive equipment with my FES system compared to without my FES system.					
6. I stay home alone more when my FES system is donned than I do without my FES system.					
7. I spend more time out in the community alone when my FES system is donned than I do without my FES system.					
8. I feel more confident performing activities with my FES system versus without my FES system.					
9. I perform activities faster with my FES system compared to without my FES system.					
10. Activities are harder to perform with my FES system versus without my FES system.					
11. I perform activities more like I used to before I was injured when I use my FES system compared to without my FES system.					
12. I need less help from others (assistance, or attendant time) with my FES system compared to without my FES. (Be sure to consider the amount of assistance you require to perform activities, and the amount of assistance you require to put the system on and off.)					

13. If your reliance on others (attendant time) has changed when your FES system is on how much time per day do you feel it has changed?

- ☐ Not applicable - my attendant time has not changed
☐ My attendant time has increased _____ per day
☐ My attendant time has decreased _____ per day

13. Please state why you feel that your reliance on others (attendant time) has changed when your FES system is donned compared to without your FES

- ☐ Not applicable, my attendant time does not change
☐ I use more attendant time to put the system on and take it off, and for general maintenance of the system.
☐ I use more attendant time because I perform more activities with my FES system that require attendant help.
☐ I use more attendant time because (other, please list): _____
☐ I use less attendant time because I can perform more tasks independently
☐ I use less attendant time because I feel more confident on my own.
☐ I use less attendant time because (other, please list): _____

Questions 15 to 37 are general questions related to the FES system please check the appropriate box.

General Questions: Circle Not applicable for questions 15 and 17 if you do not work or do not go to school, and for 16 and 18 if you do work or go to school.		Strongly Disagree	Disagree	Neither Disagree or Agree	Agree	Strongly Agree
14. My FES system has made a positive impact in my actual work performance.	Not Applicable					
15. My FES system has made a positive impact in my potential to return to work.	Not Applicable					
16. My FES system has made a positive impact in my actual school performance.	Not Applicable					
17. My FES system has made a positive impact in my potential to return to school.	Not Applicable					
18. My FES system has made a positive impact in my actual homemaking or home maintenance performance.						
19. My FES system has made a positive impact in my actual volunteer work, recreational, or self-improvement performance.						
20. If I had the money, I would pay for this device.						
21. I think insurance companies should pay for this device.						
22. If the insurance company paid for 80% of this device I would pay for the other 20%, if I had the money.						
23. I would recommend the FES system to other people with spinal cord injury.						
24. My FES system implant has improved the quality of my life.						
25. My FES system is reliable.						
26. I am satisfied with my FES system.						
27. My FES system has made a positive impact on my life.						

XXVIII. Explain how your FES system has impacted your life
Please explain: _____

- I. On average how often do you put on your system to use it functionally (that is, not including exercise)?
- ☐ 7 days per week
 - ☐ 5 to 6 days per week
 - ☐ 3 to 4 days per week
 - ☐ 1 to 2 days per week
 - ☐ 0 days per week
- II. On those days when you do not use your system functionally what are the reasons? For the reasons you checked, rank the reasons from most frequent reason (rank = 1) to least frequent reason.
- ☐ Not applicable, I use the system everyday,
- | Reasons: | Rank |
|--|------|
| <input type="checkbox"/> I was ill or in bed, | — |
| <input type="checkbox"/> The system was not working, | — |
| <input type="checkbox"/> I had a skin irritation from the tape or antenna, | — |
| <input type="checkbox"/> I did not have the necessary supplies, | — |
| <input type="checkbox"/> I had problems with my splint, | — |
| <input type="checkbox"/> I did not have an attendant available or the attendant did not have time, | — |
| <input type="checkbox"/> I did not have time, | — |
| <input type="checkbox"/> I was not in the mood, | — |
| <input type="checkbox"/> I was not in the habit of putting it on, | — |
| <input type="checkbox"/> I did not want it on because of the appearance, | — |
| <input type="checkbox"/> I did not need the system, | — |
| <input type="checkbox"/> The system did not provide a benefit to me, | — |
| <input type="checkbox"/> Other _____ | — |
| <input type="checkbox"/> Other _____ | — |
- III. On average, how often do you exercise with your FES system?
- ☐ 7 days per week
 - ☐ 5 to 6 days per week
 - ☐ 3 to 4 days per week
 - ☐ 1 to 2 days per week
 - ☐ 0 days per week

IV. On those days when you do not use your system to exercise what are the reasons? For the reasons you checked, rank the reasons from most frequent reason (rank = 1) to least frequent reason.

Not applicable, I exercise everyday.	
Reasons:	Rank
<input type="checkbox"/> I was ill or in bed,	—
<input type="checkbox"/> The system was not working,	—
<input type="checkbox"/> I had a skin irritation from the tape or antenna,	—
<input type="checkbox"/> I did not have the necessary supplies,	—
<input type="checkbox"/> I had problems with my splint,	—
<input type="checkbox"/> I did not have an attendant available or the attendant did not have time,	—
<input type="checkbox"/> I did not have time,	—
<input type="checkbox"/> I was not in the mood,	—
<input type="checkbox"/> I was not in the habit of putting it on,	—
<input type="checkbox"/> I did not want it on because of appearance,	—
<input type="checkbox"/> I had an appointment with the FES team the next day,	—
<input type="checkbox"/> It keeps me awake,	—
<input type="checkbox"/> I did not feel I needed the exercise, because my hand is strong enough,	—
<input type="checkbox"/> Other _____	—
<input type="checkbox"/> Other _____	—

V. List the most important tasks for which you use your FES system.

☐ Not applicable, I either am not using my FES system or I do not find it important for any tasks.
My FES system is most important for the following tasks: _____

VI. Approximately how much did you pay for your FES system and/or the surgery? Do not include any amounts for which you got reimbursed.

☐ I paid _____ Dollars
☐ I paid for part of my FES system and/or surgery but I do not remember how much
☐ I did not pay for any part of my FES system or the surgeries
☐ I don't know if I paid for any part of my FES system or the surgery

VII. Please state why you would or would not have the FES system implant performed again:

VIII Please feel free to share any other comments or criticisms with us regarding the FES system implant _____

12.9. SF-12 Case Report Form

SF-12® Patient Questionnaire

Page 1 of 3

Patient Initials _____ Date of Birth: ____/____/____ Patkey: _____

Surgeon Name: _____ Date: _____

Examination Period: _____ Preop (1) _____ 3 Year (4) _____ Immediate Postop (2)
_____ 5 Year (5) _____ 1 Year (3) _____ Other (specify) (6): _____

SF-12®:

This information will help your doctors keep track of how you feel and how well you are able to do your usual activities. Answer every question by placing a check mark on the line in front of the appropriate answer. It is not specific for arthritis. If you are unsure about how to answer a question, please give the best answer you can and make a written comment beside your answer.

1. In general, would you say your health is:

- _____ Excellent (1)
- _____ Very Good (2)
- _____ Good (3)
- _____ Fair (4)
- _____ Poor (5)

The following two questions are about activities you might do during a typical day. Does YOUR HEALTH NOW LIMIT YOU in these activities? If so, how much?

2. MODERATE ACTIVITIES, such as moving a table, pushing a vacuum cleaner, bowling, or playing golf:

- _____ Yes, Limited A Lot (1)
- _____ Yes, Limited A Little (2)
- _____ No, Not Limited At All (3)

3. Climbing SEVERAL flights of stairs:

- _____ Yes, Limited A Lot (1)
- _____ Yes, Limited A Little (2)
- _____ No, Not Limited At All (3)

During the PAST 4 WEEKS have you had any of the following problems with your work or other regular activities AS A RESULT OF YOUR PHYSICAL HEALTH?

4. ACCOMPLISHED LESS than you would like:

- _____ Yes (1)
- _____ No (2)

5. Were limited in the KIND of work or other activities:

- _____ Yes (1)
- _____ No (2)

Surgeon Initials _____ Date: _____

Patient Initials _____ Date of Birth: ____/____/____ Patkey: _____

Surgeon Name: _____ Date: _____

Examination Period: _____ Preop (1) _____ 3 Year (4) _____ Immediate Postop (2)
_____ 5 Year (5) _____ 1 Year (3) _____ Other (specify) (6): _____**SF-12® Cont'd:**

During the PAST 4 WEEKS, were you limited in the kind of work you do or other regular activities AS A RESULT OF ANY EMOTIONAL PROBLEMS (such as feeling depressed or anxious)?

6. ACCOMPLISHED LESS than you would like:

_____ Yes (1)
_____ No (2)

7. Didn't do work or other activities as CAREFULLY as usual:

_____ Yes (1)
_____ No (2)

8. During the PAST 4 WEEKS, how much did PAIN interfere with your normal work (including both work outside the home and housework)?

_____ Not At All (1)
_____ A Little Bit (2)
_____ Moderately (3)
_____ Quite A Bit (4)
_____ Extremely (5)

The next three questions are about how you feel and how things have been DURING THE PAST 4 WEEKS. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the PAST 4 WEEKS –

9. Have you felt calm and peaceful?

_____ All of the Time (1)
_____ Most of the Time (2)
_____ A Good Bit of the Time (3)
_____ Some of the Time (4)
_____ A Little of the Time (5)
_____ None of the Time (6)

Surgeon Initials _____ Date: _____

Patient Initials _____ Date of Birth: ____/____/____ Patkey: _____

Surgeon Name: _____ Date: _____

Examination Period: _____ Preop (1) _____ 3 Year (4) _____ Immediate Postop (2)
_____ 5 Year (5) _____ 1 Year (3) _____ Other (specify) (6): _____**SF-12® Cont'd:**

10. Did you have a lot of energy?

- _____ All of the Time (1)
- _____ Most of the Time (2)
- _____ A Good Bit of the Time (3)
- _____ Some of the Time (4)
- _____ A Little of the Time (5)
- _____ None of the Time (6)

11. Have you felt downhearted and blue?

- _____ All of the Time (1)
- _____ Most of the Time (2)
- _____ A Good Bit of the Time (3)
- _____ Some of the Time (4)
- _____ A Little of the Time (5)
- _____ None of the Time (6)

12. During the PAST 4 WEEKS, how much of the time has your PHYSICAL HEALTH OR EMOTIONAL PROBLEMS interfered with your social activities (like visiting with friends, relatives, etc.)?

- _____ All of the Time (1)
- _____ Most of the Time (2)
- _____ A Good Bit of the Time (3)
- _____ Some of the Time (4)
- _____ A Little of the Time (5)
- _____ None of the Time (6)

Surgeon

Signature _____

Date _____

SF-12® Health Survey © 1994, 2002 by Medical Outcomes Trust and QualityMetric Incorporated. All Rights Reserved

SF-12® is a registered trademark of Medical Outcomes Trust

12.10. Adverse Event Case Report Form

Cervical SCI NNP System			
Adverse Event Case Report Form			
v2.0 August 28, 2014			
Subject ID			
Investigator			
Date form completed:			
Completed by:			
Date of Incident:			
Incident Location:			
Incident Reported By:			
Incident Reported To:			
Type of Incident:			
<input type="checkbox"/>	Urinary Tract Infection		
<input type="checkbox"/>	Pressure Sore		
<input type="checkbox"/>	Infection		
<input type="checkbox"/>	External Component Failure		
<input type="checkbox"/>	Implanted Component Failure		
<input type="checkbox"/>	Other Event		
Description of Incident:			
Action Taken:			
Implanted NNP System usable after incident?		Yes <input type="checkbox"/>	No <input type="checkbox"/>
Reported to the following:			
Physician	Yes <input type="checkbox"/>	If yes, date:	
	No <input type="checkbox"/>	If no, reason:	
Clinical Events Committee	Yes <input type="checkbox"/>	If yes, date:	
	No <input type="checkbox"/>	If no, reason:	
MHMC IRB	Yes <input type="checkbox"/>	If yes, date:	
	No <input type="checkbox"/>	If no, reason:	
VA IRB	Yes <input type="checkbox"/>	If yes, date:	
	No <input type="checkbox"/>	If no, reason:	
FDA	Yes <input type="checkbox"/>	If yes, date:	
	No <input type="checkbox"/>	If no, reason:	
NIH	Yes <input type="checkbox"/>	If yes, date:	
	No <input type="checkbox"/>	If no, reason:	

12.11. Serious Adverse Event Case Report Form

Cervical SCI NNP System			
Serious Adverse Event Form			
v2.0 August 28, 2014			
Outcome of Incident:			
<input type="checkbox"/>	Death		
<input type="checkbox"/>	Life-threatening event		
<input type="checkbox"/>	Inpatient hospitalization		
<input type="checkbox"/>	Persistent significant disability		
* If the incident does not meet at least one of the above criteria, it is not a serious adverse event. Use the "Adverse Event" form to report it.			
Subject ID			
Investigator			
Date form completed:			
Completed by:			
Date of Incident:			
Incident Location:			
Incident Reported By:			
Incident Reported To:			
Description of Incident:			
Action Taken:			
Implanted NNP System usable after incident?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Reported to the following:			
Physician	Yes <input type="checkbox"/>	If yes, date:	
	No <input type="checkbox"/>	If no, reason:	
Clinical Events Committee	Yes <input type="checkbox"/>	If yes, date:	
	No <input type="checkbox"/>	If no, reason:	
MHMC IRB	Yes <input type="checkbox"/>	If yes, date:	
	No <input type="checkbox"/>	If no, reason:	
VA IRB	Yes <input type="checkbox"/>	If yes, date:	
	No <input type="checkbox"/>	If no, reason:	
FDA	Yes <input type="checkbox"/>	If yes, date:	
	No <input type="checkbox"/>	If no, reason:	
NIH	Yes <input type="checkbox"/>	If yes, date:	
	No <input type="checkbox"/>	If no, reason:	

12.12. Annual Follow-Up Form

Cervical SCI NNP Study Annual Follow-up

Version 1.0

PI Initials:

Subject Number:

Date of Follow-up

Notes

System functional on arrival

☐ Yes

☐ No

☐ Partial:

Updated Contract Information

☐ Yes

☐ No Change

Download Datalogging

☐ Yes

☐ No

If no, reason:

Electrode thresholds

☐ Yes

☐ No

If no, reason:

Electrode thresholds within expected limits:

☐ Yes

☐ No

If no, complete Adverse Event CRF

Biopotential signal recording

☐ Yes

☐ No

If no, reason:

Recording amplitude within expected limits:

☐ Yes

☐ No

If no, complete Adverse Event CRF

System functional on departure

☐ Yes

☐ No

☐ Partial:

Adverse events

☐ No new reports

☐ New reports. Dated:

Complaints

Notes

Cervical SCI NNP Study Summary CRF v1.0 - September 28, 2014

12.13. End of Study Form

Cervical SCI NNP System						
End of Study Case Report Form						
v2.0 August 28, 2014						
Subject ID						
Investigator						
Date form completed:						
Completed by:						
Date of Withdrawal from Study						
Implanted Components Removed?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>		
Date of Removal						
External Components Retrieved	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>		
Date of Retrieval						
Implanted NNP System functioning prior to withdrawal?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	Unk	<input type="checkbox"/>
Reason for Withdrawal						