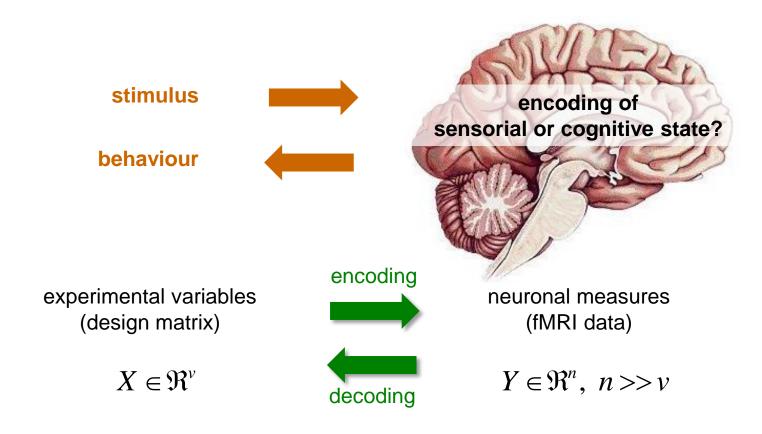
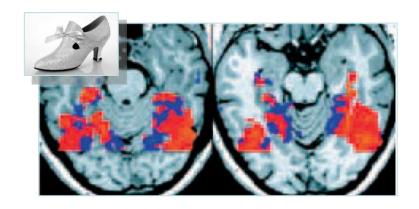
# MultiVariate Bayesian (MVB) decoding of brain images

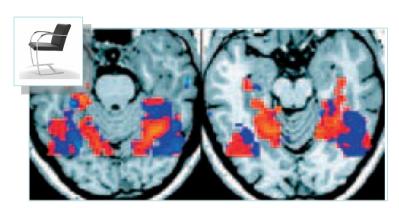
Alexa Morcom Edinburgh SPM course 2015

With thanks to J. Daunizeau, K. Brodersen for slides



What if neuronal responses are distributed (over space)?





#### Overview of the talk

#### 1 Introduction

- 1.1 Lexicon
- 1.2 "Decoding": so what?
- 1.3 Multivariate: so what?
- 1.4 Preliminary statistical considerations

#### 2 Multivariate Bayesian decoding

- 2.1 From classical encoding to Bayesian decoding
- 2.2 Hierarchical priors on patterns
- 2.3 Probabilistic inference

#### 3 Example

4 Summary

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#### Lexicon

#### the jargon to swallow

### 1 Encoding or decoding?

- An encoding model (or generative model) relates context (independent variable) to brain activity (dependent variable).
- A decoding model (or recognition model) relates brain activity (independent variable) to context (dependent variable).

$$X \rightarrow Y$$

 $Y \rightarrow X$ 

 $Y \in \Re$ 

#### 2 Univariate or multivariate?

- In a univariate model, brain activity is the signal measured in one voxel.
- In a multivariate model, brain activity is the signal measured in many voxels (NB: decoding → ill-posed problem).

$$Y \in \mathbb{R}^n$$
,  $n >> v$ 

#### 3 Regression or classification?

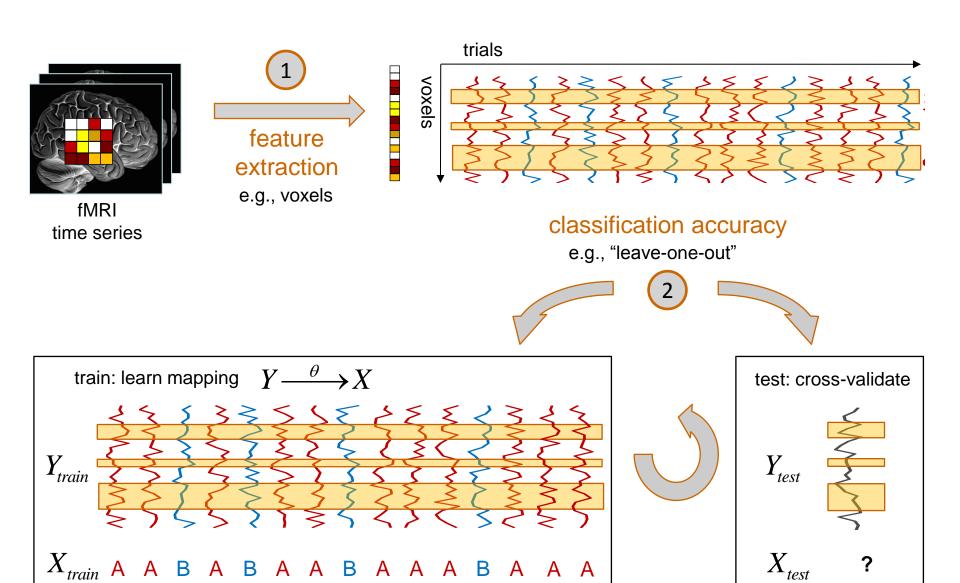
In a regression model, the dependent variable is continuous.

$$X \in \mathfrak{R}$$
 or  $Y \in \mathfrak{R}^n$ 

$$X \in \{-1, +1\}$$

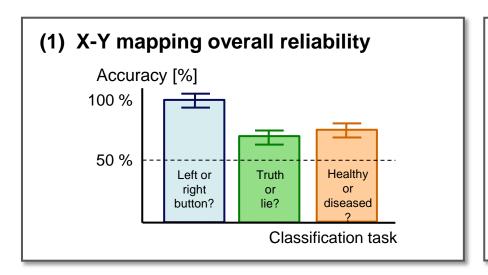
# "Decoding": so what?

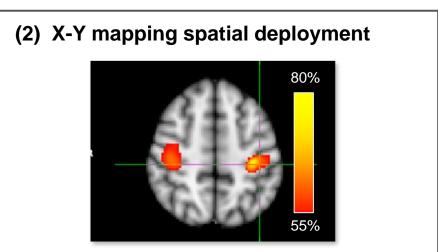
The seminal approach: classification

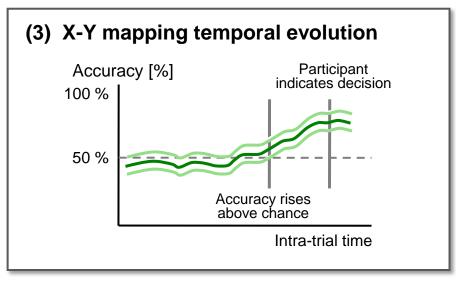


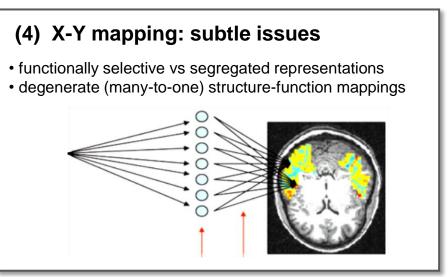
# "Decoding": so what?

Reversing the X-Y mapping: target questions





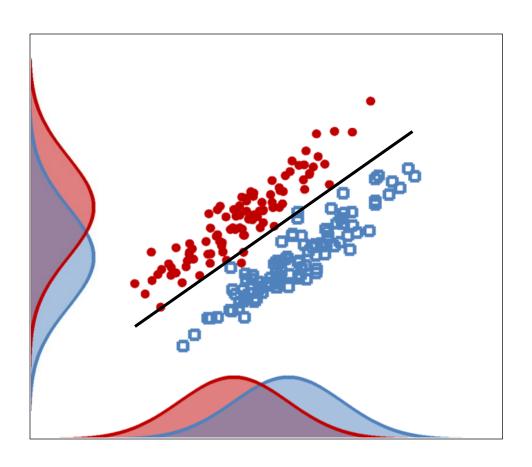




## Multivariate: so what?

Well, we might need it.

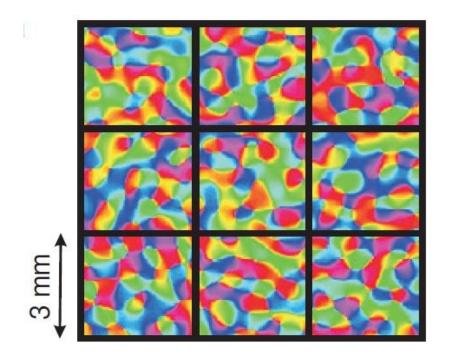
Multivariate approaches can reveal information jointly encoded by several voxels.
 This is because multivariate distance measures can take into account correlations among voxels

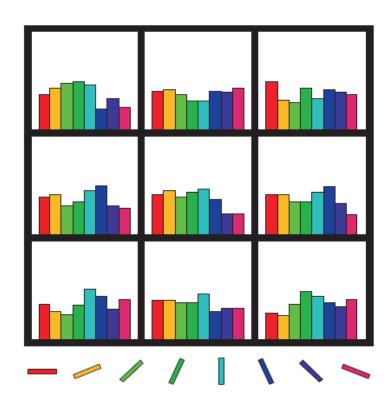


#### Multivariate: so what?

Why we might need it: subvoxel processing.

Multivariate approaches can exploit a sampling bias in voxelized images.
 Such subvoxel processing is unlikely to be detected by univariate methods.





# Preliminary statistical considerations

lessons from the Neyman-Pearson lemma

- Do neuronal responses encode some sensorial or cognitive state of the subject?
- Null assumption: there is no dependency between Y and X

$$H_0: p(Y|X) = p(Y)$$

Neyman-Pearson lemma: the likelihood ratio (or Bayes factor)

$$\Lambda = \frac{p(Y|X)}{p(Y)} = \frac{p(X|Y)}{p(X)} \ge u$$

is the most powerful test of size  $\alpha$  to test the null ...choose threshold u such that  $P(\Lambda(Y) \ge u|H_0) = \alpha$ .

- So what? Well...
  - All we have to do is compare a model that links Y to X with a model that does not.
  - The link can be from X to Y or from Y to X. From the point of view of inferring a link exists, its direction is not important (but...).

# Preliminary statistical considerations

#### prediction and inference

- Some confusion about the roles of prediction and inference may arise from the use of classification accuracy to infer a significant relationship between X and Y.
- This is because « cross-validation » relies on the predictive density:

$$p(X_{new}|Y_{new},X,Y) = \int p(X_{new}|Y_{new},\theta) p(\theta|X,Y) d\theta$$

where  $\theta$  are unknown parameters of the mapping  $Y \xrightarrow{\theta} X$  to check the « generalization error » of the inferred mapping.

- Note:
  - The only situation that legitimately requires us to predict a new target is when we do not know it, e.g.:
    - brain-computer interface
    - automated diagnostic classification
  - When used in the context of experimental neuroscience, standard classifiers provide suboptimal inference on the mapping  $Y \rightarrow X$

# Preliminary statistical considerations

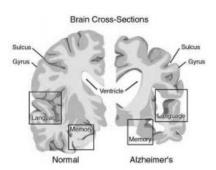
prediction and inference



The goal of **prediction** is to find a highly accurate encoding or decoding function.



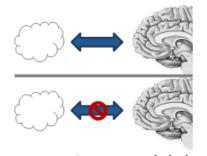
predicting a cognitive state using a brain-machine interface



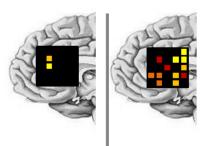
predicting a subject-specific diagnostic status



The goal of **inference** is to decide between competing hypotheses about structure-function mappings in the brain.



comparing a model that links distributed neuronal activity to a cognitive state with a model that does not



weighing the evidence for sparse coding vs. dense coding

predictive density 
$$p(X_{new}|Y_{new},X,Y) = \int p(X_{new}|Y_{new},\theta)p(\theta|X,Y)d\theta$$

marginal likelihood
$$p(X|Y) = \int p(X|Y,\theta)p(\theta)d\theta$$

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# From classical encoding to Bayesian decoding

MVB: inferring on the multivariate X-Y mapping

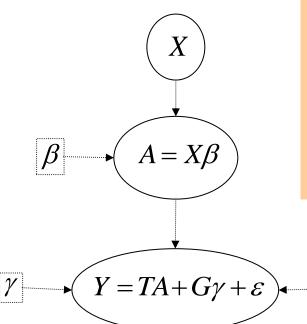
- Multivariate analyses in SPM are not implemented in terms of the classification schemes outlined in the previous section.
- Instead, SPM brings decoding into the conventional inference framework of hierarchical models and their inversion (c.f. Neyman-Pearson lemma).
- MVB can be used to address two questions:
  - Overall significance of the X-Y mapping (as with classical SPM or classifiers)
     ... using probabilistic inference (model comparison, cross-validation)
  - Inference on the form of the X-Y mapping (no other alternative)
    - 1 Identify the spatial structure of the X-Y mapping (smooth, sparse, etc...)
    - Disambiguate between category-specific representations that are functionally selective (with overlap) and functionally segregated (without).
    - 3 Tell whether the X-Y mapping is degenerate (many-to-one).

# From classical encoding to Bayesian decoding

reversing the standard GLM



X as a cause



 $g(\theta): X \to Y$ 

 $Y = TX\beta + G\gamma + \varepsilon$ 

X: scalar psychological target variable

Y: noisy measurements of A

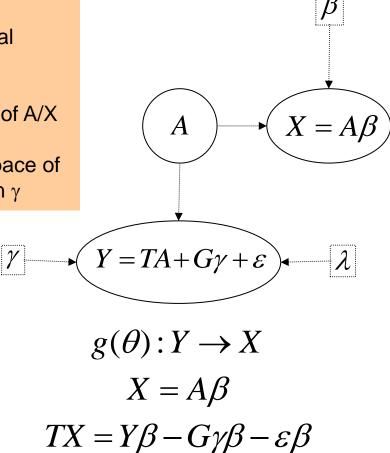
A: underlying neuronal activity in n voxels

T: BOLD convolution of A/X

G: confounds (null space of c) scaled by unknown  $\gamma$ 

#### **Decoding models**

X as a consequence



# Hierarchical priors on patterns

spatial deployment of the X-Y mapping

- Decoding models are typically ill-posed: there is an infinite number of equally likely solutions. We therefore require constraints or priors to estimate the voxel weights  $\beta$ .
- MVB specifies several alternative coding hypotheses in terms of empirical spatial priors on voxel weights.
  - → project onto spatial basis function set:

$$eta$$
  $=$   $U\eta$  patterns

$$cov(\beta) = U cov(\eta)U^T$$

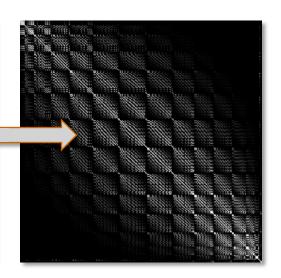
null:  $U = \emptyset$ 

compact vectors: U = I

smooth vectors:  $U(\vec{x}_i, \vec{x}_j) = \exp(-\frac{1}{2}(\vec{x}_i - \vec{x}_j)^2 \sigma^{-2})$ 

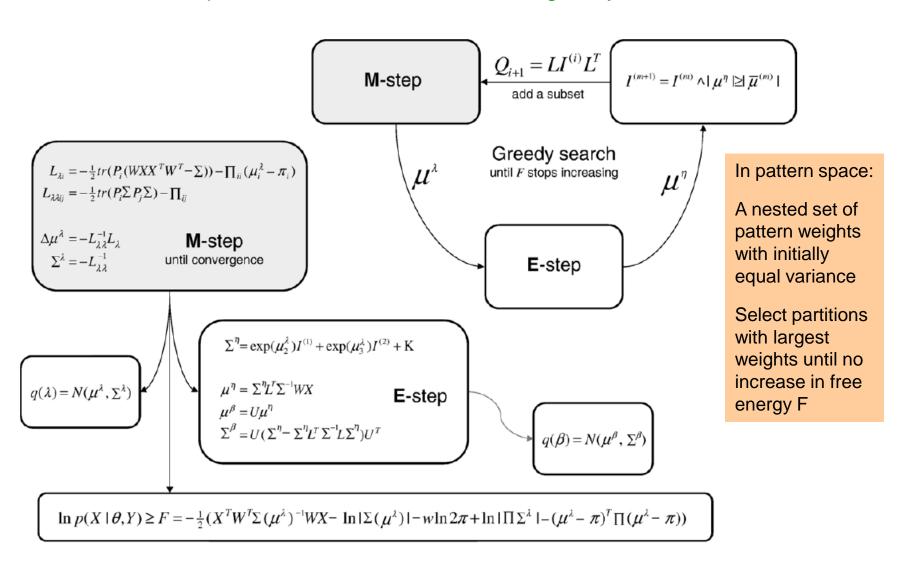
singular vectors:  $UDV^T = RY^T$ 

support vectors:  $U = RY^T$ 



# Hierarchical priors on patterns

Expectation-Maximization and the greedy search



Simplified EM algorithm (see Friston et al., 2008)

#### Probabilistic inference

#### classical inference with cross-validation

- p-values from a standard leave-one-out scheme can't be used for inference (train and test data *are not* independent)
- Recall compact form for the decoding model:

$$WX=RY\beta+arsigma$$
 target variable 
$$W=RT \qquad \qquad \text{weighting matrix: temporal convolution + confounds removal}$$
 
$$R=I-GG^- \qquad \text{residual forming matrix: confounds removal}$$

Use train/test k-fold data features that are linearly independent:

# $ext{train (identify mapping)}$ $\hat{eta}_{(-k)} = \left\langle eta \middle| Y_{(-k)} ight angle$ $Y_{(-k)} = R_{(-k)} Y$ $R_{(-k)} = \left( I - G_{(-k)} G_{(-k)}^{-} ight)$ $G_{(-k)} = \left[ G \quad I^{(k)} ight]$

test (measure generalization error) 
$$WX = \hat{X}_{(k)}$$
  $\hat{X}_{(k)} = R_{(k)}Y\hat{\beta}_{(-k)}$   $R_{(k)} = \left[I - G_{(k)}G_{(k)}\right]$   $G_{(k)} = \left[G \quad I - I^{(k)}\right]$ 

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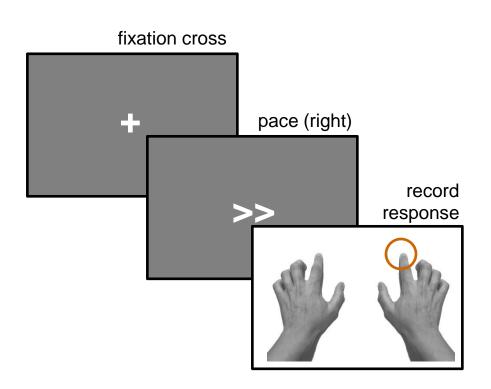
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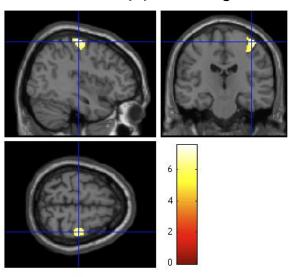
4 Summary

#### finger tapping dataset

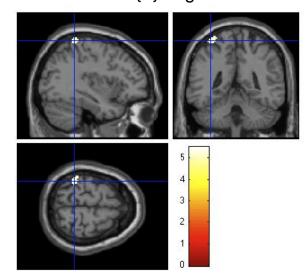


- 400 events (100 left, 100 right, 100 left & right, 100 null)
- average ITI = 2 sec
- block design (10 trials/block)
- TR = 1.3 sec

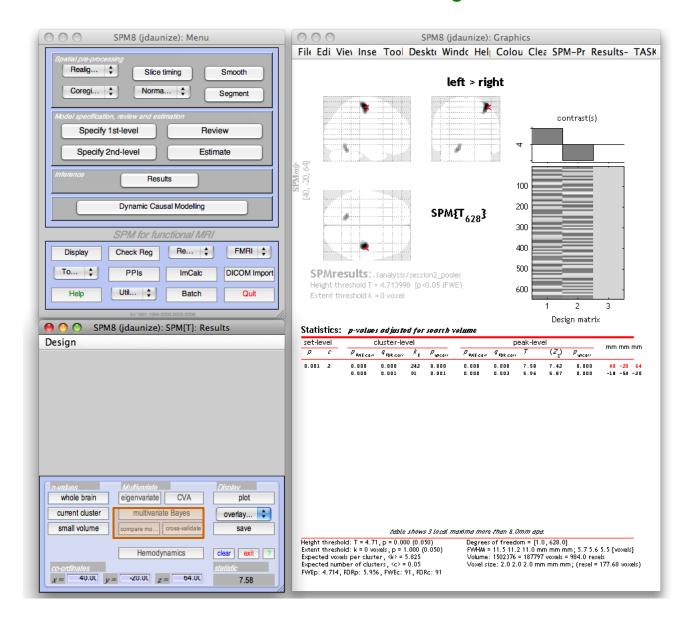
SPM{T}: left > right



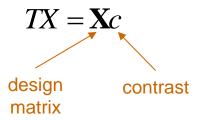
SPM{T}: right > left



#### MVB in SPM: decoding within a search volume



• target:



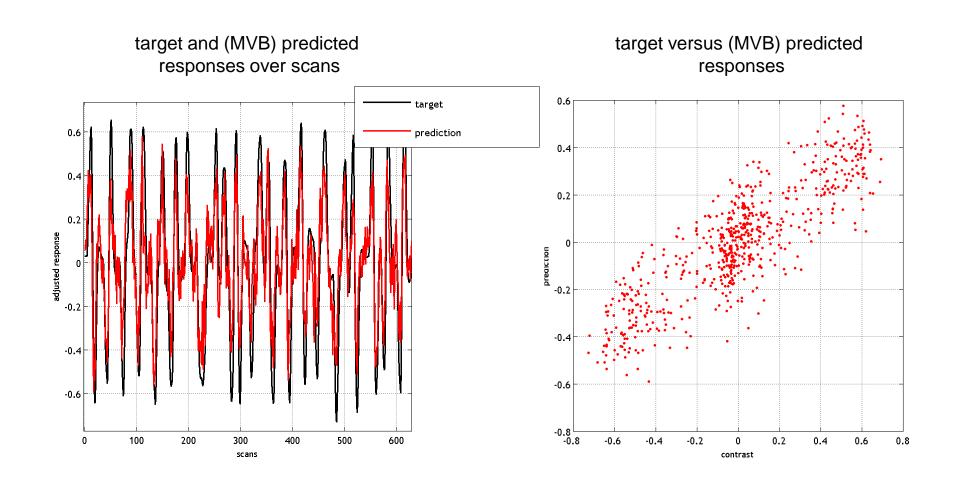
confounds:

$$G = \mathbf{X} \left( I - cc^{-} \right)$$
$$\Rightarrow Gc = 0$$

(confounds = null space of the contrast)

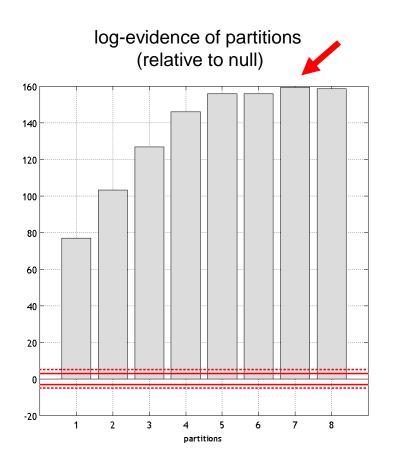
#### predicted responses from left & right motor cortices

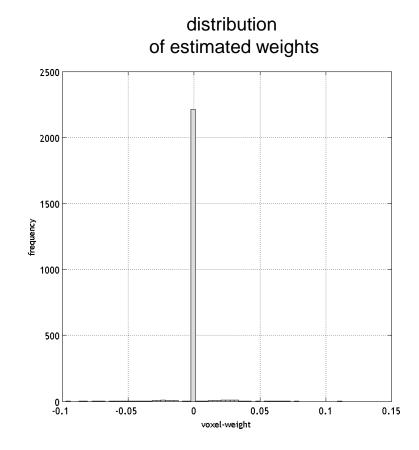
MVB-based predictions closely match the observed responses. But crucially, they
don't perfectly match them. Perfect match would indicate overfitting.



# Example pattern sparsity

The highest model evidence is achieved by a model that recruits 7 partitions. The
weights attributed to each voxel in the sphere are sparse and bidirectional. This
suggests sparse coding.

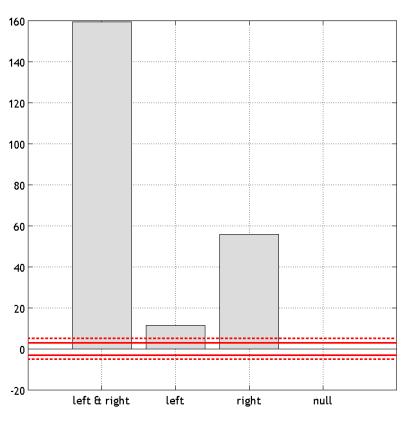




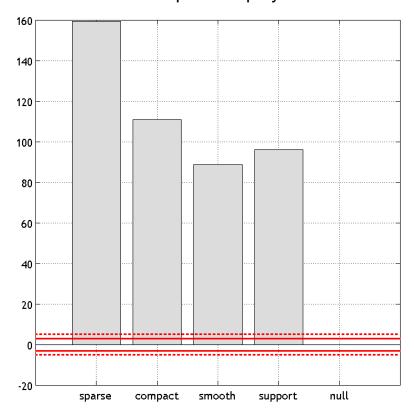
#### model comparison illustration

 The best model corresponds to a sparse representation of motion; as one would expect from functional segregation.

log-evidence of X-Y sparse mappings: effect of lateralization



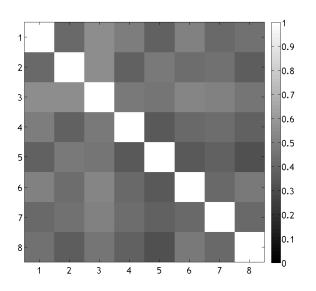
log-evidence of X-Y bilateral mappings: effect of spatial deployment



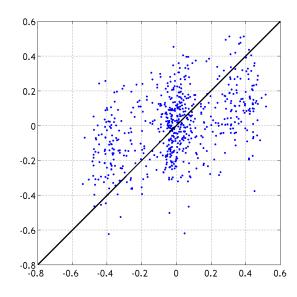
#### cross-validation: k-fold scheme

- k = 8
- p-value < 0.0001</li>
- classification accuracy = 65.8%
- R-squared = 20.7%

# absolute correlation among k-fold feature weights

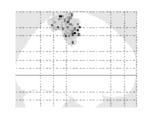


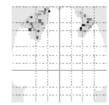
test predictions versus test k-fold features

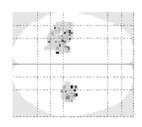


maximum intensity projection:

$$\prod_{k} P(|\beta| > 0 | Y_{(-k)})$$







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# Summary

- 1 Inference on the form of the X-Y mapping rests on model comparison, using the marginal likelihood of competing models. The marginal likelihood derives from the specification of a generative model prescribing the form of the joint density over observations (X,Y) and model parameters (θ).
- Multivariate models can map from experimental variables (X) to brain responses (Y) or from Y to X. In the latter case (i.e., decoding), identifying the mapping is an ill-posed problem, which is resolved with appropriate constraints or priors on model parameters. These constraints are part of the model and can be evaluated using model comparison.
- 3 Cross-validation is not necessary for decoding brain activity but generalization error is a proxy for testing whether the observed X-Y mapping is unlikely to have occured by chance. This can be useful when the null distribution of the likelihood ratio (i.e. Bayes factor) is not evaluated easily.