# SPM5b (beta version) Manual

The FIL Methods Group (and honorary members)

http://www.fil.ion.ucl.ac.uk/spm/

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## The SPM5 User Interface

#### Top Left Panel

The current list of jobs, which is represented as a tree-structure. Double-clicking can expand/contract items of the tree (marked with +/-) for visualisation. Items marked with X still require some values to be set before the job can be run, although an incompletely specified job can still be saved and loaded.

## Top Right Panel

These are the options avaliable for the currently highlighted item. Changing the list of jobs is done by clicking on an option in the menu. Items can created, replicated or removed, allowing the processing stream to be modified. Values are also modified or entered via this panel. This is either by specifying values as text, selecting a menu option, or by file selection.

## Centre Right Panel

This panel shows the current value of the highlighted item (where relevant).

#### Save, Load & Run

Jobs can be saved and loaded at a later time, either as XML or Matlab .mat files. The format depends on the extension you give the filename. XML files can be loaded into Matlab via "load-xml", modified, and saved again by "savexml", whereas "load" and "save" can be used for Matlab .mat files. Incomplete jobs can be loaded or saved, but the specification needs to be complete for a job to be run.

#### **Bottom Panel**

This panel provides information about the meaning of the current item.

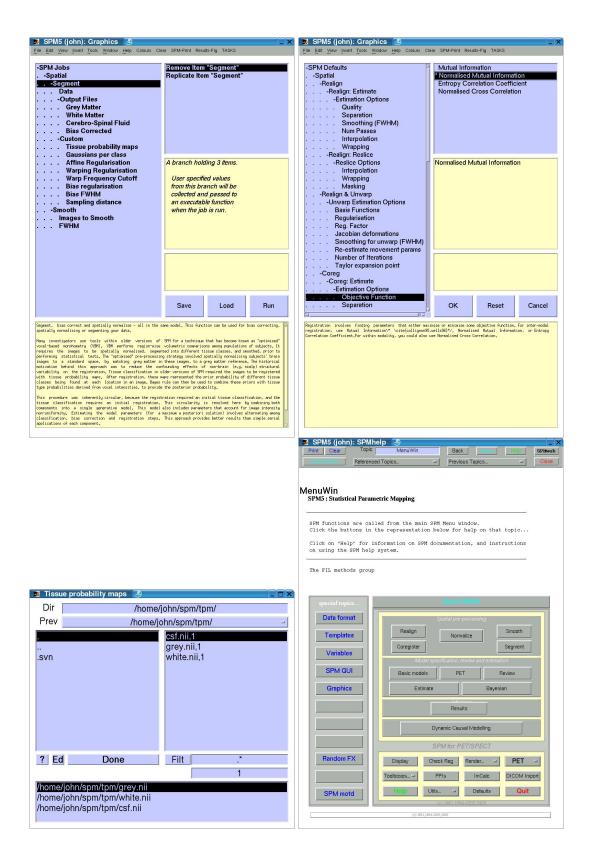


Figure 1: The SPM5 user interface. Top left: The usual user-interface. Top right: The Defaults user-interface. Bottom left: The file selector (click the (?) button for more information about filtering filenames, or selecting individual volumes within a 4D file. Bottom right: more online help can be obtained via the main help button.

# Part I Temporal

# Slice Timing

#### Contents

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| 1.3        | TR               | <br>1  |
| 1.4        | TA               | <br>1  |
| 1.5        | Slice order      | <br>1  |
| 1.6        | Reference Slice  | <br>1  |

Correct differences in image acquisition time between slices. Slice-time corrected files are prepended with an 'a'.

Note: The sliceorder arg that specifies slice acquisition order is a vector of N numbers, where N is the number of slices per volume. Each number refers to the position of a slice within the image file. The order of numbers within the vector is the temporal order in which those slices were acquired. To check the order of slices within an image file, use the SPM Display option and move the crosshairs to a voxel co-ordinate of z=1. This corresponds to a point in the first slice of the volume

The function corrects differences in slice acquisition times. This routine is intended to correct for the staggered order of slice acquisition that is used during echoplanar scanning. The correction is necessary to make the data on each slice correspond to the same point in time. Without correction, the data on one slice will represent a point in time as far removed as 1/2 the TR from an adjacent slice (in the case of an interleaved sequence).

This routine "shifts" a signal in time to provide an output vector that represents the same (continuous) signal sampled starting either later or earlier. This is accomplished by a simple shift of the phase of the sines that make up the signal. Recall that a Fourier transform allows for a representation of any signal as the linear combination of sinusoids of different frequencies and phases. Effectively, we will add a constant to the phase of every frequency, shifting the data in time.

Shifter - This is the filter by which the signal will be convolved to introduce the phase shift. It is constructed explicitly in the Fourier domain. In the time domain, it may be described as an impulse (delta function) that has been shifted in time the amount described by TimeShift. The correction works by lagging (shifting forward) the time-series data on each slice using sincinterpolation. This results in each time series having the values that would have been obtained had the slice been acquired at the same time as the reference slice. To make this clear, consider a neural event (and ensuing hemodynamic response) that occurs simultaneously on two adjacent slices. Values from slice "A" are acquired starting at time zero, simultaneous to the neural event, while values from slice "B" are acquired one second later. Without correction, the "B" values will

describe a hemodynamic response that will appear to have began one second EARLIER on the "B" slice than on slice "A". To correct for this, the "B" values need to be shifted towards the Right, i.e., towards the last value.

This correction assumes that the data are band-limited (i.e. there is no meaningful information present in the data at a frequency higher than that of the Nyquist). This assumption is support by the study of Josephs et al (1997, NeuroImage) that obtained event-related data at an effective TR of 166 msecs. No physio-logical signal change was present at frequencies higher than our typical Nyquist (0.25 HZ).

Written by Darren Gitelman at Northwestern U., 1998. Based (in large part) on ACQCOR-RECT.PRO from Geoff Aguirre and Eric Zarahn at U. Penn.

#### 1.1 Data

Subjects or sessions. The same parameters specified below will be applied to all sessions.

#### 1.1.1 Sessions

Select images to acquisition correct.

#### 1.2 Number of Slices

Enter the number of slices

## 1.3 TR

Enter the TR in seconds

#### 1.4 TA

The TA (in secs) must be entered by the user. It is usually calculated as TR-(TR/nslices). You can simply enter this equation with the variables replaced by appropriate numbers.

#### 1.5 Slice order

Enter the slice order. Bottom slice = 1. Sequence types and examples of code to enter are given below.

```
ascending (first slice=bottom): [1:1:nslices] descending (first slice=top): [nslices:-1:1] interleaved (middle-top): for k = 1:nslices, round((nslices-k)/2 + (rem((nslices-k),2) * (nslices - 1)/2)) + 1, end interleaved (bottom -> up): [1:2:nslices 2:2:nslices] interleaved (top -> down): [nslices:-2:1, nslices-1:-2:1]
```

## 1.6 Reference Slice

Enter the reference slice

# **EEG** Filter

# Contents

| 2.1 File  | Name          | <br> | <br> | <br> |  |      |  |  |      |  |  | 13 |
|-----------|---------------|------|------|------|--|------|--|--|------|--|--|----|
| 2.2 Filte | r             | <br> | <br> | <br> |  |      |  |  |      |  |  | 13 |
| 2.2.1     | Filter type . | <br> | <br> | <br> |  | <br> |  |  | <br> |  |  | 13 |
|           | Cutoff        |      |      |      |  |      |  |  |      |  |  |    |

Low-pass filters  $\mathrm{EEG}/\mathrm{MEG}$  epoched data.

# 2.1 File Name

Select the EEG mat file.

# 2.2 Filter

# 2.2.1 Filter type

Select the filter type.

## 2.2.2 Cutoff

Enter the filter cutoff

Part II
Spatial

# Realign

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Within-subject registration of image time series.

# 3.1 Realign: Estimate

This routine realigns a time-series of images acquired from the same subject using a least squares approach and a 6 parameter (rigid body) spatial transformation [14]. The first image in the list specified by the user is used as a reference to which all subsequent scans are realigned. The reference scan does not have to the first chronologically and it may be wise to chose a "representative scan" in this role.

The aim is primarily to remove movement artefact in fMRI and PET time-series (or more generally longitudinal studies). The headers are modified for each of the input images, such that, they reflect the relative orientations of the data. The details of the transformation are displayed in the results window as plots of translation and rotation. A set of realignment parameters are saved for each session, named rp\_\*.txt. These can be modelled as confounds within the general linear model [14].

#### 3.1.1 Data

Add new sessions for this subject. In the coregistration step, the sessions are first realigned to each other, by aligning the first scan from each session to the first scan of the first session. Then the images within each session are aligned to the first image of the session. The parameter estimation is performed this way because it is assumed (rightly or not) that there may be systematic differences in the images between sessions.

#### Session

Select scans for this session. In the coregistration step, the sessions are first realigned to each other, by aligning the first scan from each session to the first scan of the first session. Then the images within each session are aligned to the first image of the session. The parameter estimation is performed this way because it is assumed (rightly or not) that there may be systematic differences in the images between sessions.

#### 3.1.2 Estimation Options

Various registration options. If in doubt, simply keep the default values.

#### Quality

Quality versus speed trade-off. Highest quality (1) gives most precise results, whereas lower qualities gives faster realignment. The idea is that some voxels contribute little to the estimation of the realignment parameters. This parameter is involved in selecting the number of voxels that are used.

#### Separation

The separation (in mm) between the points sampled in the reference image. Smaller sampling distances gives more accurate results, but will be slower.

#### Smoothing (FWHM)

The FWHM of the Gaussian smoothing kernel (mm) applied to the images before estimating the realignment parameters.

- \* PET images typically use a 7 mm kernel.
- \* MRI images typically use a 5 mm kernel.

#### **Num Passes**

Register to first: Images are registered to the first image in the series. Register to mean: A two pass procedure is used in order to register the images to the mean of the images after the first realignment.

PET images are typically registered to the mean. This is because PET data are more noisy than fMRI and there are fewer of them, so time is less of an issue.

MRI images are typically registered to the first image. The more accurate way would be to use a two pass procedure, but this probably wouldn't improve the results so much and would take twice as long to run.

#### Interpolation

The method by which the images are sampled when estimating the optimum transformation. Higher degree interpolation methods provide the better interpolation, but they are slower because they use more neighbouring voxels [29, 30, 31].

#### Wrapping

These are typically:

No wrapping - for PET or images that have already been spatially transformed. Wrap in Y - for (un-resliced) MRI where phase encoding is in the Y direction (voxel space).

#### Weighting

The option of providing a weighting image to weight each voxel of the reference image differently when estimating the realignment parameters. The weights are proportional to the inverses of the standard deviations. For example, when there is a lot of extra-brain motion - e.g., during speech, or when there are serious artifacts in a particular region of the images.

# 3.2 Realign: Reslice

This function reslices a series of registered images such that they match the first image selected voxel-for-voxel. The resliced images are named the same as the originals, except that they are prefixed by 'r'.

#### **3.2.1** Images

Select scans to reslice to match the first.

## 3.2.2 Reslice Options

Various reslicing options. If in doubt, simply keep the default values.

#### Resliced images

All Images (1..n): This reslices all the images - including the first image selected - which will remain in its original position.

Images 2..n: Reslices images 2..n only. Useful for if you wish to reslice (for example) a PET image to fit a structural MRI, without creating a second identical MRI volume.

All Images + Mean Image : In addition to reslicing the images, it also creates a mean of the resliced image.

Mean Image Only: Creates the mean resliced image only.

#### Interpolation

The method by which the images are sampled when being written in a different space. Nearest Neighbour is fastest, but not recommended for image realignment. Bilinear Interpolation is probably OK for PET, but not so suitable for fMRI because higher degree interpolation generally gives better results [29, 30, 31]. Although higher degree methods provide better interpolation, but they are slower because they use more neighbouring voxels. Fourier Interpolation [13, 12] is another option, but note that it is only implemented for purely rigid body transformations. Voxel sizes must all be identical and isotropic.

#### Wrapping

These are typically:

No wrapping - for PET or images that have already been spatially transformed.

Wrap in Y - for (un-resliced) MRI where phase encoding is in the Y direction (voxel space).

#### Masking

Because of subject motion, different images are likely to have different patterns of zeros from where it was not possible to sample data. With masking enabled, the program searches through the whole time series looking for voxels which need to be sampled from outside the original images. Where this occurs, that voxel is set to zero for the whole set of images (unless the image format can represent NaN, in which case NaNs are used where possible).

# 3.3 Realign: Estimate & Reslice

This routine realigns a time-series of images acquired from the same subject using a least squares approach and a 6 parameter (rigid body)spatial transformation [14]. The first image in the list specified by the user is used as a reference to which all subsequent scans are realigned. The reference scan does not have to the first chronologically and it may be wise to chose a "representative scan" in this role.

The aim is primarily to remove movement artefact in fMRI and PET time-series (or more generally longitudinal studies) [3]. The headers are modified for each of the input images, such that they reflect the relative orientations of the data. The details of the transformation are displayed

in the results window as plots of translation and rotation. A set of realignment parameters are saved for each session, named rp\_\*.txt. After realignment, the images are resliced such that they match the first image selected voxel-for-voxel. The resliced images are named the same as the originals, except that they are prefixed by 'r'.

#### 3.3.1 Data

Add new sessions for this subject. In the coregistration step, the sessions are first realigned to each other, by aligning the first scan from each session to the first scan of the first session. Then the images within each session are aligned to the first image of the session. The parameter estimation is performed this way because it is assumed (rightly or not) that there may be systematic differences in the images between sessions.

#### Session

Select scans for this session. In the coregistration step, the sessions are first realigned to each other, by aligning the first scan from each session to the first scan of the first session. Then the images within each session are aligned to the first image of the session. The parameter estimation is performed this way because it is assumed (rightly or not) that there may be systematic differences in the images between sessions.

#### 3.3.2 Estimation Options

Various registration options. If in doubt, simply keep the default values.

#### Quality

Quality versus speed trade-off. Highest quality (1) gives most precise results, whereas lower qualities gives faster realignment. The idea is that some voxels contribute little to the estimation of the realignment parameters. This parameter is involved in selecting the number of voxels that are used.

#### Separation

The separation (in mm) between the points sampled in the reference image. Smaller sampling distances gives more accurate results, but will be slower.

#### Smoothing (FWHM)

The FWHM of the Gaussian smoothing kernel (mm) applied to the images before estimating the realignment parameters.

- \* PET images typically use a 7 mm kernel.
- \* MRI images typically use a 5 mm kernel.

#### **Num Passes**

Register to first: Images are registered to the first image in the series. Register to mean: A two pass procedure is used in order to register the images to the mean of the images after the first realignment.

PET images are typically registered to the mean. This is because PET data are more noisy than fMRI and there are fewer of them, so time is less of an issue.

MRI images are typically registered to the first image. The more accurate way would be to use a two pass procedure, but this probably wouldn't improve the results so much and would take twice as long to run.

#### Interpolation

The method by which the images are sampled when estimating the optimum transformation. Higher degree interpolation methods provide the better interpolation, but they are slower because they use more neighbouring voxels [29, 30, 31].

#### Wrapping

These are typically:

No wrapping - for PET or images that have already been spatially transformed. Wrap in Y - for (un-resliced) MRI where phase encoding is in the Y direction (voxel space).

#### Weighting

The option of providing a weighting image to weight each voxel of the reference image differently when estimating the realignment parameters. The weights are proportional to the inverses of the standard deviations. For example, when there is a lot of extra-brain motion - e.g., during speech, or when there are serious artifacts in a particular region of the images.

#### 3.3.3 Reslice Options

Various reslicing options. If in doubt, simply keep the default values.

#### Resliced images

All Images (1..n): This reslices all the images - including the first image selected - which will remain in its original position.

Images 2..n: Reslices images 2..n only. Useful for if you wish to reslice (for example) a PET image to fit a structural MRI, without creating a second identical MRI volume.

All Images + Mean Image : In addition to reslicing the images, it also creates a mean of the resliced image.

Mean Image Only: Creates the mean resliced image only.

#### Interpolation

The method by which the images are sampled when being written in a different space. Nearest Neighbour is fastest, but not recommended for image realignment. Bilinear Interpolation is probably OK for PET, but not so suitable for fMRI because higher degree interpolation generally gives better results [29, 30, 31]. Although higher degree methods provide better interpolation, but they are slower because they use more neighbouring voxels. Fourier Interpolation [13, 12] is another option, but note that it is only implemented for purely rigid body transformations. Voxel sizes must all be identical and isotropic.

#### Wrapping

These are typically:

No wrapping - for PET or images that have already been spatially transformed. Wrap in Y - for (un-resliced) MRI where phase encoding is in the Y direction (voxel space).

#### Masking

Because of subject motion, different images are likely to have different patterns of zeros from where it was not possible to sample data. With masking enabled, the program searches through the whole time series looking for voxels which need to be sampled from outside the original images. Where this occurs, that voxel is set to zero for the whole set of images (unless the image format can represent NaN, in which case NaNs are used where possible).

# Realign & Unwarp

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Within-subject registration and unwarping of time series.

The realignment part of this routine realigns a time-series of images acquired from the same subject using a least squares approach and a 6 parameter (rigid body) spatial transformation. The first image in the list specified by the user is used as a reference to which all subsequent scans are realigned. The reference scan does not have to the first chronologically and it may be wise to chose a "representative scan" in this role.

The aim is primarily to remove movement artefact in fMRI and PET time-series (or more generally longitudinal studies). ".mat" files are written for each of the input images. The details

of the transformation are displayed in the results window as plots of translation and rotation. A set of realignment parameters are saved for each session, named rp\_\*.txt.

In the coregistration step, the sessions are first realigned to each other, by aligning the first scan from each session to the first scan of the first session. Then the images within each session are aligned to the first image of the session. The parameter estimation is performed this way because it is assumed (rightly or not) that there may be systematic differences in the images between sessions.

The paper [2] is unfortunately a bit old now and describes none of the newer features. Hopefully we'll have a second paper out any decade now.

See also spm\_uw\_estimate.m for a detailed description of the implementation. Even after realignment there is considerable variance in fMRI time series that covary with, and is most probably caused by, subject movements [2]. It is also the case that this variance is typically large compared to experimentally induced variance. Anyone interested can include the estimated movement parameters as covariates in the design matrix, and take a look at an F-contrast encompassing those columns. It is quite dramatic. The result is loss of sensitivity, and if movements are correlated to task specificity. I.e. we may mistake movement induced variance for true activations. The problem is well known, and several solutions have been suggested. A quite pragmatic (and conservative) solution is to include the estimated movement parameters (and possibly squared) as covariates in the design matrix. Since we typically have loads of degrees of freedom in fMRI we can usually afford this. The problems occur when movements are correlated with the task, since the strategy above will discard "good" and "bad" variance alike (i.e. remove also "true" activations.

The "covariate" strategy described above was predicated on a model where variance was assumed to be caused by "spin history" effects, but will work pretty much equally good/bad regardless of what the true underlying cause is. Others have assumed that the residual variance is caused mainly by errors introduced by the interpolation kernel in the resampling step of the realignment. One has tried to solve this through higher order resampling (huge Sinc kernels, or k-space resampling). Unwarp is based on a different hypothesis regarding the residual variance. EPI images are not particularly faithful reproductions of the object, and in particular there are severe geometric distortions in regions where there is an air-tissue interface (e.g. orbitofronal cortex and the anterior medial temporal lobes). In these areas in particular the observed image is a severely warped version of reality, much like a funny mirror at a fair ground. When one moves in front of such a mirror ones image will distort in different ways and ones head may change from very elongated to seriously flattened. If we were to take digital snapshots of the reflection at these different positions it is rather obvious that realignment will not suffice to bring them into a common space.

The situation is similar with EPI images, and an image collected for a given subject position will not be identical to that collected at another. We call this effect susceptibility-by-movement interaction. Unwarp is predicated on the assumption that the susceptibility-by-movement interaction is responsible for a sizable part of residual movement related variance.

Assume that we know how the deformations change when the subject changes position (i.e. we know the derivatives of the deformations with respect to subject position). That means that for a given time series and a given set of subject movements we should be able to predict the "shape changes" in the object and the ensuing variance in the time series. It also means that, in principle, we should be able to formulate the inverse problem, i.e. given the observed variance (after realignment) and known (estimated) movements we should be able to estimate how deformations change with subject movement. We have made an attempt at formulating such an inverse model, and at solving for the "derivative fields". A deformation field can be thought of as little vectors at each position in space showing how that particular location has been deflected. A "derivative field" is then the rate of change of those vectors with respect to subject movement. Given these "derivative fields" we should be able to remove the variance caused by the susceptibility-by-movement interaction. Since the underlying model is so restricted we would also expect experimentally induced variance to be preserved. Our experiments have also shown this to be true.

In theory it should be possible to estimate also the "static" deformation field, yielding an unwarped (to some true geometry) version of the time series. In practise that doesn't really seem to work. Hence, the method deals only with residual movement related variance induced by the

4.1. DATA 25

susceptibility-by-movement interaction. This means that the time-series will be undistorted to some "average distortion" state rather than to the true geometry. If one wants additionally to address the issue of anatomical fidelity one should combine Unwarp with a measured fieldmap.

The description above can be thought of in terms of a Taylor expansion of the field as a function of subject movement. Unwarp alone will estimate the first (and optionally second, see below) order terms of this expansion. It cannot estimate the zeroth order term (the distortions common to all scans in the time series) since that doesn't introduce (almost) any variance in the time series. The measured fieldmap takes the role of the zeroth order term. Refer to the FieldMap toolbox and the documents FieldMap.man and FieldMap\_principles.man for a description of how to obtain fieldmaps in the format expected by Unwarp.

If we think of the field as a function of subject movement it should in principle be a function of six variables since rigid body movement has six degrees of freedom. However, the physics of the problem tells us that the field should not depend on translations nor on rotation in a plane perpendicular to the magnetic flux. Hence it should in principle be sufficient to model the field as a function of out-of-plane rotations (i.e. pitch and roll). One can object to this in terms of the effects of shimming (object no longer immersed in a homogenous field) that introduces a dependence on all movement parameters. In addition SPM/Unwarp cannot really tell if the transversal slices it is being passed are really perpendicular to the flux or not. In practice it turns out thought that it is never (at least we haven't seen any case) necessarry to include more than Pitch and Roll. This is probably because the individual movement parameters are typically highly correlated anyway, which in turn is probably because most heads that we scan are attached to a neck around which rotations occurr. On the subject of Taylor expansion we should mention that there is the option to use a second-order expansion (through the defaults) interface. This implies estimating also the rate-of-change w.r.t. to some movement parameter of the rate-of-change of the field w.r.t. some movement parameter (colloquially known as a second derivative). It can be quite intresting to watch (and it is amazing that it is possible) but rarely helpful/necessarry.

In the defaults there is also an option to include Jacobian intensity modulation when estimating the fields. "Jacobian intensity modulation" refers to the dilution/concentration of intensity that ensue as a consequence of the distortions. Think of a semi-transparent coloured rubber sheet that you hold against a white background. If you stretch a part of the sheet (induce distortions) you will see the colour fading in that particular area. In theory it is a brilliant idea to include also these effects when estimating the field (see e.g. Andersson et al, NeuroImage 20:870-888). In practice for this specific problem it is NOT a good idea.

It should be noted that this is a method intended to correct data afflicted by a particular problem. If there is little movement in your data to begin with this method will do you little good. If on the other hand there is appreciable movement in your data (>1deg) it will remove some of that unwanted variance. If, in addition, movements are task related it will do so without removing all your "true" activations. The method attempts to minimise total (across the image volume) variance in the data set. It should be realised that while (for small movements) a rather limited portion of the total variance is removed, the susceptibility-by-movement interaction effects are quite localised to "problem" areas. Hence, for a subset of voxels in e.g. frontal-medial and orbitofrontal cortices and parts of the temporal lobes the reduction can be quite dramatic (>90). The advantages of using Unwarp will also depend strongly on the specifics of the scanner and sequence by which your data has been acquired. When using the latest generation scanners distortions are typically quite small, and distortion-by-movement interactions consequently even smaller. A small check list in terms of distortions is

- a) Fast gradients->short read-out time->small distortions
- b) Low field (i.e. <3T)->small field changes->small distortions
- c) Low res (64x64)->short read-out time->small distortions
- d) SENSE/SMASH->short read-out time->small distortions

If you can tick off all points above chances are you have minimal distortions to begin with and you can say "sod Unwarp" (but not to our faces!).

#### 4.1 Data

Data sessions to unwarp.

#### 4.1.1 Session

Only add similar session data to a realign+unwarp branch, i.e., choose Data or Data+phase map for all sessions, but don't use them interchangably.

In the coregistration step, the sessions are first realigned to each other, by aligning the first scan from each session to the first scan of the first session. Then the images within each session are aligned to the first image of the session. The parameter estimation is performed this way because it is assumed (rightly or not) that there may be systematic differences in the images between sessions.

#### **Images**

Select scans for this session.

In the coregistration step, the sessions are first realigned to each other, by aligning the first scan from each session to the first scan of the first session. Then the images within each session are aligned to the first image of the session. The parameter estimation is performed this way because it is assumed (rightly or not) that there may be systematic differences in the images between sessions.

#### Phase map (vdm\* file)

Select precalculated phase map, or leave empty for no phase correction. The vdm\* file is assumed to be already in alignment with the first scan of the first session.

# 4.2 Estimation Options

Various registration options that could be modified to improve the results. Whenever possible, the authors of SPM try to choose reasonable settings, but sometimes they can be improved.

#### 4.2.1 Quality

Quality versus speed trade-off. Highest quality (1) gives most precise results, whereas lower qualities gives faster realignment. The idea is that some voxels contribute little to the estimation of the realignment parameters. This parameter is involved in selecting the number of voxels that are used.

#### 4.2.2 Separation

The separation (in mm) between the points sampled in the reference image. Smaller sampling distances gives more accurate results, but will be slower.

#### 4.2.3 Smoothing (FWHM)

The FWHM of the Gaussian smoothing kernel (mm) applied to the images before estimating the realignment parameters.

- \* PET images typically use a 7 mm kernel.
- \* MRI images typically use a 5 mm kernel.

## 4.2.4 Num Passes

Register to first: Images are registered to the first image in the series. Register to mean: A two pass procedure is used in order to register the images to the mean of the images after the first realignment.

- \* PET images are typically registered to the mean.
- \* MRI images are typically registered to the first image.

## 4.2.5 Interpolation

The method by which the images are sampled when being written in a different space.

Nearest Neighbour - Fastest, but not normally recommended.

Bilinear Interpolation - OK for PET, or realigned fMRI.

B-spline Interpolation/\* [29] - Better quality (but slower) interpolation, especially with higher degree splines. Do not use B-splines when there is any region of NaN or Inf in the images.

## 4.2.6 Wrapping

These are typically:

No wrapping - for images that have already

been spatially transformed. Wrap in Y - for (un-resliced) MRI where phase encoding

is in the Y direction (voxel space).

## 4.2.7 Weighting

The option of providing a weighting image to weight each voxel of the reference image differently when estimating the realignment parameters. The weights are proportional to the inverses of the standard deviations. For example, when there is a lot of extra-brain motion - e.g., during speech, or when there are serious artifacts in a particular region of the images.

# 4.3 Unwarp Estimation Options

Various registration & unwarping estimation options.

#### 4.3.1 Basis Functions

Number of basis functions to use for each dimension. If the third dimension is left out, the order for that dimension is calculated to yield a roughly equal spatial cut-off in all directions. Default:  $[12\ 12\ *]$ 

#### 4.3.2 Regularisation

Unwarps looks for the solution that maximises the likelihood (minimises the variance) while simultaneously maximising the smoothness of the estimated field (c.f. Lagrange multipliers). This parameter determines how to balance the compromise between these (i.e. the value of the multiplier). Test it on your own data (if you can be bothered) or go with the defaults.

Regularisation of derivative fields is based on the regorder'th (spatial) derivative of the field. The choices are 0, 1, 2, or 3. Default: 1

#### 4.3.3 Reg. Factor

Regularisation factor. Default: Medium.

## 4.3.4 Jacobian deformations

In the defaults there is also an option to include Jacobian intensity modulation when estimating the fields. "Jacobian intensity modulation" refers to the dilution/concentration of intensity that ensue as a consequence of the distortions. Think of a semi-transparent coloured rubber sheet that you hold against a white background. If you stretch a part of the sheet (induce distortions) you will see the colour fading in that particular area. In theory it is a brilliant idea to include also these effects when estimating the field (see e.g. Andersson et al, NeuroImage 20:870-888). In practice for this specific problem it is NOT a good idea. Default: No

#### 4.3.5 First-order effects

Theoretically (ignoring effects of shimming) one would expect the field to depend only on subject out-of-plane rotations. Hence the default choice ("Pitch and Roll", i.e., [4 5]). Go with that unless you have very good reasons to do otherwise

Vector of first order effects to model. Movements to be modelled are referred to by number. 1=x translation; 2=y translation; 3=z translation 4=x rotation, 5=y rotation and 6=z rotation.

```
To model pitch & roll enter: [4 5]
To model all movements enter: [1:6]
```

Otherwise enter a customized set of movements to model

#### 4.3.6 Second-order effects

List of second order terms to model second derivatives of. This is entered as a vector of movement parameters similar to first order effects, or leave blank for NONE

Movements to be modelled are referred to by number:

1= x translation; 2= y translation; 3= z translation 4= x rotation, 5= y rotation and 6= z rotation.

```
To model the interaction of pitch & roll enter: [4 5]
```

To model all movements enter: [1:6]

The vector will be expanded into an n x 2 matrix of effects. For example [4 5] will be expanded to:

[44

4 5

5 5

## 4.3.7 Smoothing for unwarp (FWHM)

FWHM (mm) of smoothing filter applied to images prior to estimation of deformation fields.

#### 4.3.8 Re-estimate movement params

Re-estimation means that movement-parameters should be re-estimated at each unwarping iteration. Default: Yes.

#### 4.3.9 Number of Iterations

Maximum number of iterations. Default: 5.

#### 4.3.10 Taylor expansion point

Point in position space to perform Taylor-expansion around. Choices are ('First', 'Last' or 'Average'). 'Average' should (in principle) give the best variance reduction. If a field-map acquired before the time-series is supplied then expansion around the 'First' MIGHT give a slightly better average geometric fidelity.

# 4.4 Unwarp Reslicing Options

Various registration & unwarping estimation options.

# 4.4.1 Reslices images (unwarp)?

```
All Images (1..n)
```

This reslices and unwarps all the images.

All Images + Mean Image

In addition to reslicing the images, it also creates a mean of the resliced images.

## 4.4.2 Interpolation

The method by which the images are sampled when being written in a different space.

Nearest Neighbour - Fastest, but not normally recommended.

Bilinear Interpolation - OK for PET, or realigned fMRI. B-spline Interpolation[29]

- Better quality (but slower) interpolation, especially with higher degree splines. Do not use B-splines when there is any region of NaN or Inf in the images.

## 4.4.3 Wrapping

These are typically:

No wrapping - for PET or images that have already been spatially transformed. Wrap in Y - for (un-resliced) MRI where phase encoding is in the Y direction (voxel space).

#### 4.4.4 Masking

Because of subject motion, different images are likely to have different patterns of zeros from where it was not possible to sample data. With masking enabled, the program searches through the whole time series looking for voxels which need to be sampled from outside the original images. Where this occurs, that voxel is set to zero for the whole set of images (unless the image format can represent NaN, in which case NaNs are used where possible).

# Coreg

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Within-subject registration using a rigid-body model. A rigid-body transformation (in 3D) can be parameterised by three translations and three rotations about the different axes.

You get the options of estimating the transformation, reslicing images according to some rigid-body transformations, or estimating and applying rigid-body transformations.

# 5.1 Coreg: Estimate

The registration method used here is based on work by Collignon et al [11]. The original interpolation method described in this paper has been changed in order to give a smoother cost function. The images are also smoothed slightly, as is the histogram. This is all in order to make the cost function as smooth as possible, to give faster convergence and less chance of local minima.

At the end of coregistration, the voxel-to-voxel affine transformation matrix is displayed, along with the histograms for the images in the original orientations, and the final orientations. The registered images are displayed at the bottom.

Registration parameters are stored in the headers of the "source" and the "other" images.

## 5.1.1 Reference Image

This is the image that is assumed to remain stationary (sometimes known as the target or template image), while the source image is moved to match it.

#### 5.1.2 Source Image

This is the image that is jiggled about to best match the reference.

## 5.1.3 Other Images

These are any images that need to remain in alignment with the source image.

#### 5.1.4 Estimation Options

Various registration options, which are passed to the Powell optimisation algorithm [27].

#### **Objective Function**

Registration involves finding parameters that either maximise or minimise some objective function. For inter-modal registration, use Mutual Information [11, 32]\*/, Normalised Mutual Information [28], or Entropy Correlation Coefficient [22]. For within modality, you could also use Normalised Cross Correlation.

#### Separation

The average distance between sampled points (in mm). Can be a vector to allow a coarse registration followed by increasingly fine ones.

#### Tolerences

The accuracy for each parameter. Iterations stop when differences between sucessive estimates are less than the required tolerence.

#### Histogram Smoothing

Gaussian smoothing to apply to the 256x256 joint histogram. Other information theoretic coregistration methods use fewer bins, but Gaussian smoothing seems to be more elegant.

# 5.2 Coreg: Reslice

Reslice images to match voxel-for-voxel with an image defining some space. The resliced images are named the same as the originals except that they are prefixed by 'r'.

#### 5.2.1 Image Defining Space

This is analogous to the reference image. Images are resliced to match this image (providing they have been coregistered first).

#### 5.2.2 Images to Reslice

These images are resliced to the same dimensions, voxel sizes, orientation etc as the space defining image.

#### 5.2.3 Reslice Options

Various reslicing options.

#### Interpolation

The method by which the images are sampled when being written in a different space. Nearest Neighbour is fastest, but not normally recommended. It can be useful for reorienting images while preserving the original intensities (e.g. an image consisting of labels). Bilinear Interpolation is OK for PET, or realigned and resliced fMRI. If subject movement (from an fMRI time series) is included in the transformations then it may be better to use a higher degree approach. Note that higher degree B-spline interpolation [29, 30, 31] is slower because it uses more neighbours.

#### Wrapping

These are typically:

No wrapping - for PET or images that have already been spatially transformed. Wrap in Y - for (un-resliced) MRI where phase encoding is in the Y direction (voxel space).

#### Masking

Because of subject motion, different images are likely to have different patterns of zeros from where it was not possible to sample data. With masking enabled, the program searches through the whole time series looking for voxels which need to be sampled from outside the original images. Where this occurs, that voxel is set to zero for the whole set of images (unless the image format can represent NaN, in which case NaNs are used where possible).

# 5.3 Coreg: Estimate & Reslice

The registration method used here is based on work by Collignon et al [11]. The original interpolation method described in this paper has been changed in order to give a smoother cost function. The images are also smoothed slightly, as is the histogram. This is all in order to make the cost function as smooth as possible, to give faster convergence and less chance of local minima.

At the end of coregistration, the voxel-to-voxel affine transformation matrix is displayed, along with the histograms for the images in the original orientations, and the final orientations. The registered images are displayed at the bottom.

Registration parameters are stored in the headers of the "source" and the "other" images. These images are also resliced to match the source image voxel-for-voxel. The resliced images are named the same as the originals except that they are prefixed by 'r'.

#### 5.3.1 Reference Image

This is the image that is assumed to remain stationary (sometimes known as the target or template image), while the source image is moved to match it.

#### 5.3.2 Source Image

This is the image that is jiggled about to best match the reference.

#### 5.3.3 Other Images

These are any images that need to remain in alignment with the source image.

#### 5.3.4 Estimation Options

Various registration options, which are passed to the Powell optimisation algorithm [27].

#### **Objective Function**

Registration involves finding parameters that either maximise or minimise some objective function. For inter-modal registration, use Mutual Information [11, 32]\*/, Normalised Mutual Information [28], or Entropy Correlation Coefficient [22]. For within modality, you could also use Normalised Cross Correlation.

#### Separation

The average distance between sampled points (in mm). Can be a vector to allow a coarse registration followed by increasingly fine ones.

#### **Tolerences**

The accuracy for each parameter. Iterations stop when differences between sucessive estimates are less than the required tolerence.

#### **Histogram Smoothing**

Gaussian smoothing to apply to the 256x256 joint histogram. Other information theoretic coregistration methods use fewer bins, but Gaussian smoothing seems to be more elegant.

#### 5.3.5 Reslice Options

Various reslicing options.

#### Interpolation

The method by which the images are sampled when being written in a different space. Nearest Neighbour is fastest, but not normally recommended. It can be useful for reorienting images while preserving the original intensities (e.g. an image consisting of labels). Bilinear Interpolation is OK for PET, or realigned and resliced fMRI. If subject movement (from an fMRI time series) is included in the transformations then it may be better to use a higher degree approach. Note that higher degree B-spline interpolation [29, 30, 31] is slower because it uses more neighbours.

#### Wrapping

These are typically:

No wrapping - for PET or images that have already been spatially transformed. Wrap in Y - for (un-resliced) MRI where phase encoding is in the Y direction (voxel space).

#### Masking

Because of subject motion, different images are likely to have different patterns of zeros from where it was not possible to sample data. With masking enabled, the program searches through the whole time series looking for voxels which need to be sampled from outside the original images. Where this occurs, that voxel is set to zero for the whole set of images (unless the image format can represent NaN, in which case NaNs are used where possible).

# Segment

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Segment, bias correct and spatially normalise - all in the same model [7]. This function can be used for bias correcting, spatially normalising or segmenting your data.

Many investigators use tools within older versions of SPM for a technique that has become known as "optimised" voxel-based morphometry (VBM). VBM performs region-wise volumetric comparisons among populations of subjects. It requires the images to be spatially normalised, segmented into different tissue classes, and smoothed, prior to performing statistical tests [33, 23, 5, 6]. The "optimised" pre-processing strategy involved spatially normalising subjects' brain images to a standard space, by matching grey matter in these images, to a grey matter reference. The historical motivation behind this approach was to reduce the confounding effects of non-brain (e.g. scalp) structural variability on the registration. Tissue classification in older versions of SPM required the images to be registered with tissue probability maps. After registration, these maps represented the prior probability of different tissue classes being found at each location in an image. Bayes rule can then be used to combine these priors with tissue type probabilities derived from voxel intensities, to provide the posterior probability.

This procedure was inherently circular, because the registration required an initial tissue classification, and the tissue classification requires an initial registration. This circularity is resolved here by combining both components into a single generative model. This model also includes parameters that account for image intensity non-uniformity. Estimating the model parameters (for a maximum a posteriori solution) involves alternating among classification, bias correction

and registration steps. This approach provides better results than simple serial applications of each component.

Note that multi-spectral segmentation (e.g. from a registered T1 and T2 image) is not yet implemented, but is planned for a future SPM version.

#### 6.1 Data

Select scans for processing. This assumes that there is one scan for each subject. Note that multi-spectral (when there are two or more registered images of different contrasts) processing is not yet implemented for this method.

# 6.2 Output Files

This routine produces spatial normalisation parameters (\*\_seg\_sn.mat files) by default. These can be used for writing spatially normalised versions of your data, via the "Normalise: Write" option. This mechanism may produce superior results than the "Normalise: Estimate" option, although this may need some empirical evaluations.

In addition, it also produces files that can be used for doing inverse normalisation. If you have an image of regions defined in the standard space, then the inverse deformations can be used to warp these regions so that it approximately overlay your image. To use this facility, the bounding-box and voxel sizes should be set to non-finite values (e.g. [NaN NaN NaN] for the voxel sizes, and ones(2,3)\*NaN for the bounding box. This would be done by the spatial normalisation module, which allows you to select a set of parameters that describe the nonlinear warps, and the images that they should be applied to.

There are a number of options about what data you would like the routine to produce. The routine can be used for producing images of tissue classes, as well as bias corrected images. The native space option will produce a tissue class image (c\*) that is in alignment with the original (see Figure 6.1). You can also produce spatially normalised versions - both with (mwc\*) and without (wc\*) modulation (see Figure 6.2). The bounding box and voxel sizes of the spatially normalised versions are the same as that of the tissue probability maps with which they are registered. These can be used for doing voxel-based morphometry with (both un-modulated and modulated). All you need to do is smooth them and do the stats (which means no more questions on the mailing list about how to do "optimized VBM").

Modulation is to compensate for the effect of spatial normalisation. When warping a series of images to match a template, it is inevitable that volumetric differences will be introduced into the warped images. For example, if one subject's temporal lobe has half the volume of that of the template, then its volume will be doubled during spatial normalisation. This will also result in a doubling of the voxels labeled grey matter. In order to remove this confound, the spatially normalised grey matter (or other tissue class) is adjusted by multiplying by its relative volume before and after warping. If warping results in a region doubling its volume, then the correction will halve the intensity of the tissue label. This whole procedure has the effect of preserving the total amount of grey matter signal in the normalised partitions.

A deformation field is a vector field, where three values are associated with each location in the field. The field maps from co-ordinates in the normalised image back to co-ordinates in the original image. The value of the field at co-ordinate  $[x\ y\ z]$  in the normalised space will be the co-ordinate  $[x'\ y'\ z']$  in the original volume. The gradient of the deformation field at a co-ordinate is its Jacobian matrix, and it consists of a 3x3 matrix:

$$\begin{pmatrix} \frac{dx'}{dx} & \frac{dx'}{dy} & \frac{dx'}{dz} \\ \frac{dy'}{dx} & \frac{dy'}{dy} & \frac{dy'}{dz} \\ \frac{dz'}{dx} & \frac{dz'}{dy} & \frac{dz'}{dz} \end{pmatrix}$$

The value of dx'/dy is a measure of how much x' changes if y is changed by a tiny amount. The determinant of the Jacobian is the measure of relative volumes of warped and unwarped

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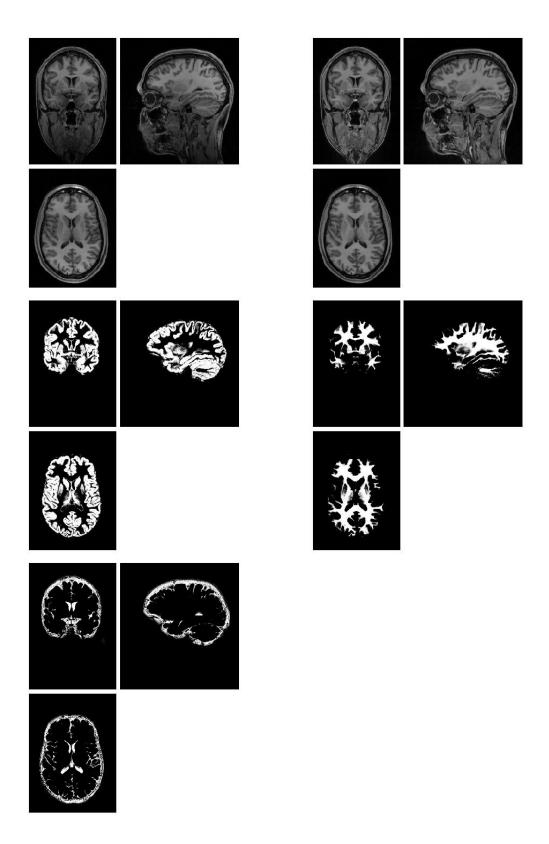


Figure 6.1: Segmentation results. These are the results that can be obtained in the original space of the image (i.e. the results that are not spatially normalised). Top left: original image (X.img). Top right: bias corrected image (mX.img). Middle and bottom rows: segmented grey matter (c1X.img), white matter (c2X.img) and CSF (c3X.img).

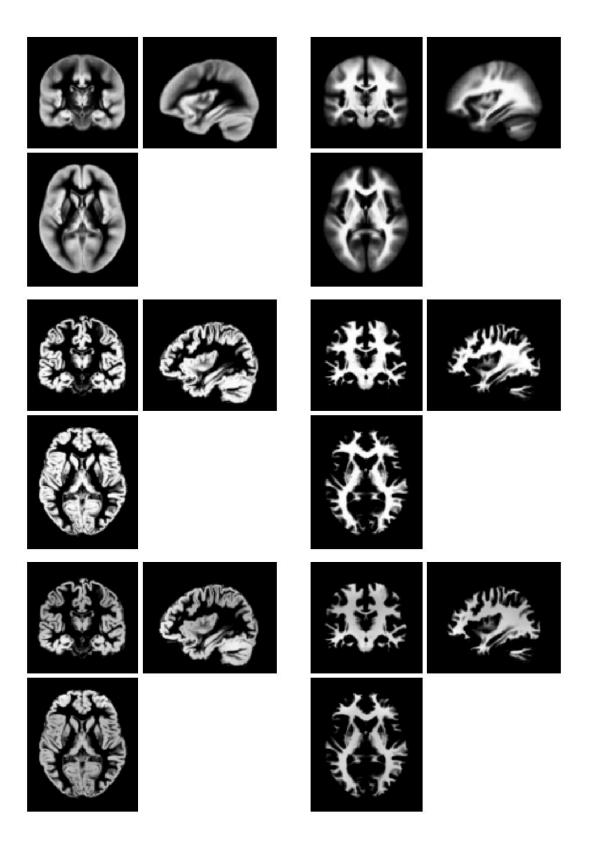


Figure 6.2: Segmentation results. These are the spatially normalised results that can be obtained (note that CSF data is not shown). Top row: The tissue probability maps used to guide the segmentation. Middle row: Spatially normalised tissue maps of grey and white matter (wc1X.img and wc2X.img). Bottom row: Modulated spatially normalised tissue maps of grey and white matter (mwc1X.img and mwc2X.img).

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structures. The modulation step simply involves multiplying by the relative volumes (see Figure 6.2).

#### 6.2.1 Grey Matter

Options to produce grey matter images: c1\*.img, wc1\*.img and mwc1\*.img.

#### 6.2.2 White Matter

Options to produce white matter images: c2\*.img, wc2\*.img and mwc2\*.img.

#### 6.2.3 Cerebro-Spinal Fluid

Options to produce CSF images: c3\*.img, wc3\*.img and mwc3\*.img.

#### 6.2.4 Bias Corrected

This is the option to produce a bias corrected version of your image. MR images are usually corrupted by a smooth, spatially varying artifact that modulates the intensity of the image (bias). These artifacts, although not usually a problem for visual inspection, can impede automated processing of the images. The bias corrected version should have more uniform intensities within the different types of tissues.

#### 6.3 Custom

Various options can be adjusted in order to improve the performance of the algorithm with your data. Knowing what works best should be a matter of empirical exploration. For example, if your data has very little intensity nonuniformity artifact, then the bias regularisation should be increased. This effectively tells the algorithm that there is very little bias in your data, so it does not try to model it.

#### 6.3.1 Tissue probability maps

Select the tissue probability images. These should be maps of grey matter, white matter and cerebro-spinal fluid probability. A nonlinear deformation field is estimated that best overlays the tissue probability maps on the individual subjects' image. The default tissue probability maps are modified versions of the ICBM Tissue Probabilistic Atlases. These tissue probability maps are kindly provided by the International Consortium for Brain Mapping, John C. Mazziotta and Arthur W. Toga. http://www.loni.ucla.edu/ICBM/ICBM\_TissueProb.html. The original data are derived from 452 T1-weighted scans, which were aligned with an atlas space, corrected for scan inhomogeneities, and classified into grey matter, white matter and cerebrospinal fluid. These data were then affine registered to the MNI space and downsampled to 2mm resolution.

Rather than assuming stationary prior probabilities based upon mixing proportions, additional information is used, based on other subjects' brain images. Priors are usually generated by registering a large number of subjects together, assigning voxels to different tissue types and averaging tissue classes over subjects. Three tissue classes are used: grey matter, white matter and cerebro-spinal fluid. A fourth class is also used, which is simply one minus the sum of the first three. These maps give the prior probability of any voxel in a registered image being of any of the tissue classes - irrespective of its intensity.

The model is refined further by allowing the tissue probability maps to be deformed according to a set of estimated parameters. This allows spatial normalisation and segmentation to be combined into the same model. This implementation uses a low-dimensional approach, which parameterises the deformations by a linear combination of about a thousand cosine transform bases. This is not an especially precise way of encoding deformations, but it can model the variability of overall brain shape. Evaluations by Hellier et al have shown that this simple model can achieve a registration accuracy comparable to other fully automated methods with many more parameters.

#### 6.3.2 Gaussians per class

The number of Gaussians used to represent the intensity distribution for each tissue class can be greater than one. In other words, a tissue probability map may be shared by several clusters. The assumption of a single Gaussian distribution for each class does not hold for a number of reasons. In particular, a voxel may not be purely of one tissue type, and instead contain signal from a number of different tissues (partial volume effects). Some partial volume voxels could fall at the interface between different classes, or they may fall in the middle of structures such as the thalamus, which may be considered as being either grey or white matter. Various other image segmentation approaches use additional clusters to model such partial volume effects. These generally assume that a pure tissue class has a Gaussian intensity distribution, whereas intensity distributions for partial volume voxels are broader, falling between the intensities of the pure classes. Unlike these partial volume segmentation approaches, the model adopted here simply assumes that the intensity distribution of each class may not be Gaussian, and assigns belonging probabilities according to these non-Gaussian distributions. Typical numbers of Gaussians could be two for grey matter, two for white matter, two for CSF, and four for everything else.

#### 6.3.3 Affine Regularisation

The procedure is a local optimisation, so it needs reasonable initial starting estimates. Images should be placed in approximate alignment using the Display function of SPM before beginning. A Mutual Information affine registration with the tissue probability maps (D'Agostino et al, 2004) is used to achieve approximate alignment. Note that this step does not include any model for intensity nonuniformity. This means that if the procedure is to be initialised with the affine registration, then the data should not be too corrupted with this artifact. If there is a lot of intensity nonuniformity, then manually position your image in order to achieve closer starting estimates, and turn off the affine registration.

Affine registration into a standard space can be made more robust by regularisation (penalising excessive stretching or shrinking). The best solutions can be obtained by knowing the approximate amount of stretching that is needed (e.g. ICBM templates are slightly bigger than typical brains, so greater zooms are likely to be needed). For example, if registering to an image in ICBM/MNI space, then choose this option. If registering to a template that is close in size, then select the appropriate option for this.

#### 6.3.4 Warping Regularisation

The objective function for registering the tissue probability maps to the image to process, involves minimising the sum of two terms. One term gives a function of how probable the data is given the warping parameters. The other is a function of how probable the parameters are, and provides a penalty for unlikely deformations. Smoother deformations are deemed to be more probable. The amount of regularisation determines the tradeoff between the terms. Pick a value around one. However, if your normalized images appear distorted, then it may be an idea to increase the amount of regularization (by an order of magnitude). More regularisation gives smoother deformations, where the smoothness measure is determined by the bending energy of the deformations.

#### 6.3.5 Warp Frequency Cutoff

Cutoff of DCT bases. Only DCT bases of periods longer than the cutoff are used to describe the warps. The number actually used will depend on the cutoff and the field of view of your image. A smaller cutoff frequency will allow more detailed deformations to be modelled, but unfortunately comes at a cost of greatly increasing the amount of memory needed, and the time taken.

#### 6.3.6 Bias regularisation

MR images are usually corrupted by a smooth, spatially varying artifact that modulates the intensity of the image (bias). These artifacts, although not usually a problem for visual inspection, can impede automated processing of the images.

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An important issue relates to the distinction between intensity variations that arise because of bias artifact due to the physics of MR scanning, and those that arise due to different tissue properties. The objective is to model the latter by different tissue classes, while modelling the former with a bias field. We know a priori that intensity variations due to MR physics tend to be spatially smooth, whereas those due to different tissue types tend to contain more high frequency information. A more accurate estimate of a bias field can be obtained by including prior knowledge about the distribution of the fields likely to be encountered by the correction algorithm. For example, if it is known that there is little or no intensity non-uniformity, then it would be wise to penalise large values for the intensity nonuniformity parameters. This regularisation can be placed within a Bayesian context, whereby the penalty incurred is the negative logarithm of a prior probability for any particular pattern of nonuniformity.

#### 6.3.7 Bias FWHM

FWHM of Gaussian smoothness of bias. If your intensity nonuniformity is very smooth, then choose a large FWHM. This will prevent the algorithm from trying to model out intensity variation due to different tissue types. The model for intensity nonuniformity is one of i.i.d. Gaussian noise that has been smoothed by some amount, before taking the exponential. Note also that smoother bias fields need fewer parameters to describe them. This means that the algorithm is faster for smoother intensity nonuniformities.

#### 6.3.8 Sampling distance

The approximate distance between sampled points when estimating the model parameters. Smaller values use more of the data, but the procedure is slower.

## Chapter 7

## Normalise

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This module spatially (stereotactically) normalizes MRI, PET or SPECT images into a standard space defined by some ideal model or template image[s]. The template images supplied with SPM conform to the space defined by the ICBM, NIH P-20 project, and approximate that of the the space described in the atlas of Talairach and Tournoux (1988). The transformation can also be applied to any other image that has been coregistered with these scans.

Generally, the algorithms work by minimising the sum of squares difference between the image which is to be normalised, and a linear combination of one or more template images. For the least squares registration to produce an unbiased estimate of the spatial transformation, the image contrast in the templates (or linear combination of templates) should be similar to that of the image from which the spatial normalization is derived. The registration simply searches for an optimum solution. If the starting estimates are not good, then the optimum it finds may not find the global optimum.

The first step of the normalization is to determine the optimum 12-parameter affine transformation. Initially, the registration is performed by matching the whole of the head (including the scalp) to the template. Following this, the registration proceeded by only matching the brains together, by appropriate weighting of the template voxels. This is a completely automated procedure (that does not require "scalp editing") that discounts the confounding effects of skull and scalp differences. A Bayesian framework is used, such that the registration searches for the solution that maximizes the a posteriori probability of it being correct [8] . i.e., it maximizes the product of the likelihood function (derived from the residual squared difference) and the prior function (which is based on the probability of obtaining a particular set of zooms and shears).

The affine registration is followed by estimating nonlinear deformations, whereby the deformations are defined by a linear combination of three dimensional discrete cosine transform (DCT) basis functions [4]. The default options result in each of the deformation fields being described

by 1176parameters, where these represent the coefficients of the deformations in three orthogonal directions. The matching involved simultaneously minimizing the membrane energies of the deformation fields and the residual squared difference between the images and template(s).

The primarily use is for stereotactic normalization to facilitate inter-subject averaging and precise characterization of functional anatomy [3]. It is not necessary to spatially normalise the data (this is only a pre-requisite for intersubject averaging or reporting in the Talairach space). If you wish to circumnavigate this step (e.g. if you have single slice data or do not have an appropriate high resolution MRI scan) simply specify where you think the anterior commissure is with the ORIGIN in the header of the first scan (using the 'Display' facility) and proceed directly to 'Smoothing'or 'Statistics'.

All normalized \*.img scans are written to the same subdirectory as the original \*.img, prefixed with a 'w' (i.e. w\*.img). The details of the transformations are displayed in the results window, and the parameters are saved in the "\*\_sn.mat" file.

#### 7.1 Normalise: Estimate

Computes the warp that best registers a source image (or series of source images) to match a template, saving it to a file imagename'\_sn.mat'.

#### 7.1.1 Data

List of subjects. Images of each subject should be warped differently.

#### Subject

Data for this subject. The same parameters are used within subject.

**Source Image** The image that is warped to match the template(s). The result is a set of warps, which can be applied to this image, or any other image that is in register with it.

**Source Weighting Image** Optional weighting images (consisting of pixel values between the range of zero to one) to be used for registering abnormal or lesioned brains. These images should match the dimensions of the image from which the parameters are estimated, and should contain zeros corresponding to regions of abnormal tissue.

#### 7.1.2 Estimation Options

Various settinds for estimating warps.

#### Template Image

Specify a template image to match the source image with. The contrast in the template must be similar to that of the source image in order to acheive a good registration. It is also possible to select more than one template, in which case the registration algorithm will try to find the best linear combination of these images in order to best model the intensities in the source image.

#### Template Weighting Image

Applies a weighting mask to the template(s) during the parameter estimation. With the default brain mask, weights in and around the brain have values of one whereas those clearly outside the brain are zero. This is an attempt to base the normalization purely upon the shape of the brain, rather than the shape of the head (since low frequency basis functions can not really cope with variations in skull thickness).

The option is now available for a user specified weighting image. This should have the same dimensions and mat file as the template images, with values in the range of zero to one.

#### Source Image Smoothing

Smoothing to apply to a copy of the source image. The template and source images should have approximately the same smoothness. Remember that the templates supplied with SPM have been smoothed by 8mm, and that smoothnesses combine by Pythagorus' rule.

#### Template Image Smoothing

Smoothing to apply to a copy of the template image. The template and source images should have approximately the same smoothness. Remember that the templates supplied with SPM have been smoothed by 8mm, and that smoothnesses combine by Pythagorus' rule.

#### Affine Regularisation

Affine registration into a standard space can be made more robust by regularisation (penalising excessive stretching or shrinking). The best solutions can be obtained by knowing the approximate amount of stretching that is needed (e.g. ICBM templates are slightly bigger than typical brains, so greater zooms are likely to be needed). If registering to an image in ICBM/MNI space, then choose the first option. If registering to a template that is close in size, then select the second option. If you do not want to regularise, then choose the third.

#### **Nonlinear Frequency Cutoff**

Cutoff of DCT bases. Only DCT bases of periods longer than the cutoff are used to describe the warps. The number used will depend on the cutoff and the field of view of the template image(s).

#### **Nonlinear Iterations**

Number of iterations of nonlinear warping performed.

#### Nonlinear Regularisation

The amount of regularisation for the nonlinear part of the spatial normalisation. Pick a value around one. However, if your normalized images appear distorted, then it may be an idea to increase the amount of regularization (by an order of magnitude) - or even just use an affine normalization. The regularization influences the smoothness of the deformation fields.

#### 7.2 Normalise: Write

Allows previously estimated warps (stored in imagename'\_sn.mat' files) to be applied to series of images.

#### 7.2.1 Data

List of subjects. Images of each subject should be warped differently.

#### Subject

Data for this subject. The same parameters are used within subject.

**Parameter File** Select the '\_sn.mat' file containing the spatial normalisation parameters for that subject. If nothing is selected, then the routine will assume that no more subjects need to be selected.

**Images to Write** These are the images for warping according to the estimated parameters. They can be any images that are in register with the "source" image used to generate the parameters.

#### 7.2.2 Writing Options

Various options for writing normalised images.

#### Preserve

Preserve Concentrations: Spatially normalised images are not"modulated". The warped images preserve the intensities of the original images.

Preserve Total: Spatially normalised images are "modulated" in order to preserve the total amount of signal in the images. Areas that are expanded during warping are correspondingly reduced in intensity.

#### Bounding box

The bounding box (in mm) of the volume which is to be written (relative to the anterior commissure).

#### Voxel sizes

The voxel sizes (x, y & z, in mm) of the written normalised images.

#### Interpolation

The method by which the images are sampled when being written in a different space.

Nearest Neighbour: - Fastest, but not normally recommended.

Bilinear Interpolation: - OK for PET, or realigned fMRI.

B-spline Interpolation: - Better quality (but slower) interpolation [29], especially with higher degree splines. Do not use B-splines when there is any region of NaN or Inf in the images.

#### Wrapping

These are typically:

No wrapping: for PET or images that have already been spatially transformed.

Wrap in Y: for (un-resliced) MRI where phase encoding is in the Y direction (voxel space).

#### 7.3 Normalise: Estimate & Write

Computes the warp that best registers a source image (or series of source images) to match a template, saving it to the file imagename'\_sn.mat'. This option also allows the contents of the imagename'\_sn.mat' files to be applied to a series of images.

#### 7.3.1 Data

List of subjects. Images of each subject should be warped differently.

#### Subject

Data for this subject. The same parameters are used within subject.

**Source Image** The image that is warped to match the template(s). The result is a set of warps, which can be applied to this image, or any other image that is in register with it.

**Source Weighting Image** Optional weighting images (consisting of pixel values between the range of zero to one) to be used for registering abnormal or lesioned brains. These images should match the dimensions of the image from which the parameters are estimated, and should contain zeros corresponding to regions of abnormal tissue.

**Images to Write** These are the images for warping according to the estimated parameters. They can be any images that are in register with the "source" image used to generate the parameters.

#### 7.3.2 Estimation Options

Various settinds for estimating warps.

#### Template Image

Specify a template image to match the source image with. The contrast in the template must be similar to that of the source image in order to acheive a good registration. It is also possible to select more than one template, in which case the registration algorithm will try to find the best linear combination of these images in order to best model the intensities in the source image.

#### Template Weighting Image

Applies a weighting mask to the template(s) during the parameter estimation. With the default brain mask, weights in and around the brain have values of one whereas those clearly outside the brain are zero. This is an attempt to base the normalization purely upon the shape of the brain, rather than the shape of the head (since low frequency basis functions can not really cope with variations in skull thickness).

The option is now available for a user specified weighting image. This should have the same dimensions and mat file as the template images, with values in the range of zero to one.

#### Source Image Smoothing

Smoothing to apply to a copy of the source image. The template and source images should have approximately the same smoothness. Remember that the templates supplied with SPM have been smoothed by 8mm, and that smoothnesses combine by Pythagorus' rule.

#### **Template Image Smoothing**

Smoothing to apply to a copy of the template image. The template and source images should have approximately the same smoothness. Remember that the templates supplied with SPM have been smoothed by 8mm, and that smoothnesses combine by Pythagorus' rule.

#### Affine Regularisation

Affine registration into a standard space can be made more robust by regularisation (penalising excessive stretching or shrinking). The best solutions can be obtained by knowing the approximate amount of stretching that is needed (e.g. ICBM templates are slightly bigger than typical brains, so greater zooms are likely to be needed). If registering to an image in ICBM/MNI space, then choose the first option. If registering to a template that is close in size, then select the second option. If you do not want to regularise, then choose the third.

#### Nonlinear Frequency Cutoff

Cutoff of DCT bases. Only DCT bases of periods longer than the cutoff are used to describe the warps. The number used will depend on the cutoff and the field of view of the template image(s).

#### **Nonlinear Iterations**

Number of iterations of nonlinear warping performed.

#### Nonlinear Regularisation

The amount of regularisation for the nonlinear part of the spatial normalisation. Pick a value around one. However, if your normalized images appear distorted, then it may be an idea to increase the amount of regularization (by an order of magnitude) - or even just use an affine normalization. The regularization influences the smoothness of the deformation fields.

#### 7.3.3 Writing Options

Various options for writing normalised images.

#### Preserve

Preserve Concentrations: Spatially normalised images are not"modulated". The warped images preserve the intensities of the original images.

Preserve Total: Spatially normalised images are "modulated" in order to preserve the total amount of signal in the images. Areas that are expanded during warping are correspondingly reduced in intensity.

#### Bounding box

The bounding box (in mm) of the volume which is to be written (relative to the anterior commissure).

#### Voxel sizes

The voxel sizes (x, y & z, in mm) of the written normalised images.

#### Interpolation

The method by which the images are sampled when being written in a different space.

Nearest Neighbour: - Fastest, but not normally recommended.

Bilinear Interpolation: - OK for PET, or realigned fMRI.

B-spline Interpolation: - Better quality (but slower) interpolation [29], especially with higher degree splines. Do not use B-splines when there is any region of NaN or Inf in the images.

#### Wrapping

These are typically:

No wrapping: for PET or images that have already been spatially transformed.

Wrap in Y: for (un-resliced) MRI where phase encoding is in the Y direction (voxel space).

## Chapter 8

## Smooth

#### Contents

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This is for smoothing (or convolving) image volumes with a Gaussian kernel of a specified width. It is used as a preprocessing step to suppress noise and effects due to residual differences in functional and gyral anatomy during inter-subject averaging.

## 8.1 Images to Smooth

Specify the images to smooth. The smoothed images are written to the same subdirectories as the original \*.img and are prefixed with a 's' (i.e. s\*.img).

### 8.2 FWHM

Specify the full-width at half maximum (FWHM) of the Gaussian smoothing kernel in mm. Three values should be entered, denoting the FWHM in the x, y and z directions.

Part III

Stats

## Chapter 9

# fMRI model specification

Statistical analysis of fMRI data uses a mass-univariate approach based on General Linear Models (GLMs). It comprises the following steps (1) specification of the GLM design matrix, fMRI data files and filtering (2) estimation of GLM parameters using classical or Bayesian approaches and (3) interrogation of results using contrast vectors to produce Statistical Parametric Maps (SPMs) or Posterior Probability Maps (PPMs).

The design matrix defines the experimental design and the nature of hypothesis testing to be implemented. The design matrix has one row for each scan and one column for each effect or explanatory variable. (eg. regressor or stimulus function). You can build design matrices with separable session-specific partitions. Each partition may be the same (in which case it is only necessary to specify it once) or different.

Responses can be either event- or epoch related, the only distinction is the duration of the underlying input or stimulus function. Mathematically they are both modeled by convolving a series of delta (stick) or box functions (u), indicating the onset of an event or epoch with a set of basis functions. These basis functions model the hemodynamic convolution, applied by the brain, to the inputs. This convolution can be first-order or a generalized convolution modeled to second order (if you specify the Volterra option). The same inputs are used by the Hemodynamic model or Dynamic Causal Models which model the convolution explicitly in terms of hidden state variables.

Event-related designs may be stochastic or deterministic. Stochastic designs involve one of a number of trial-types occurring with a specified probability at successive intervals in time. These probabilities can be fixed (stationary designs) or time-dependent (modulated or non-stationary designs). The most efficient designs obtain when the probabilities of every trial type are equal. A critical issue in stochastic designs is whether to include null events. If you wish to estimate the evoked response to a specific event type (as opposed to differential responses) then a null event must be included (even if it is not modeled explicitly).

In SPM, analysis of data from multiple subjects typically proceeds in two stages using models at two 'levels'. The 'first level' models are used to implement a within-subject analysis. Typically there will be as many first level models as there are subjects. Analysis proceeds as described using the 'Specify first level' and 'Estimate' options. The results of these analyses can then be presented as 'case studies'. More often, however, one wishes to make inferences about the population from which the subjects were drawn. This is an example of a 'Random-Effects (RFX) analysis' (or, more properly, a mixed-effects analysis). In SPM, RFX analysis is implemented using the 'summary-statistic' approach where contrast images from each subject are used as summary measures of subject responses. These are then entered as data into a 'second level' model.

Figure 9.1 shows how the SPM graphics window appears during fMRI model specification.

### 9.1 Timing parameters

Specify various timing parameters needed to construct the design matrix. This includes the units of the design specification and the interscan interval.

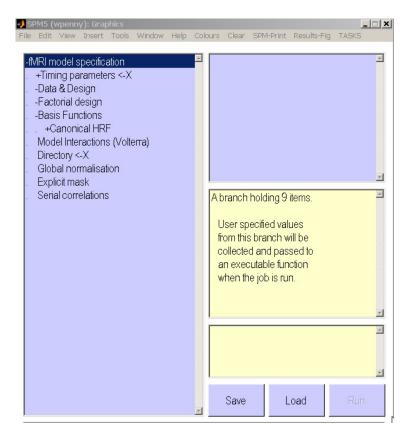


Figure 9.1: After starting SPM in fMRI mode, pressing the 'Specify 1st-level' button, and then double-clicking on the '+fMRI model specification' text, the SPM graphics window should appear as above. The options under '-fMRI model specification' can be examined by clicking on them. A single click will bring up some help text in the lower subwindow (not shown in the above graphic). A double-click on options prefixed by a '+' will allow you to specify options at a greater level of detail. Options highlighted with a '<-X' are mandatory and must be filled in by the user. Each of the options shown above is described in this chapter.

9.2. DATA & DESIGN 55

Also, with long TRs you may want to shift the regressors so that they are aligned to a particular slice. This is effected by changing the microtime resolution and onset.

#### 9.1.1 Units for design

The onsets of events or blocks can be specified in either scans or seconds.

#### 9.1.2 Interscan interval

Interscan interval, TR, (specified in seconds). This is the time between acquiring a plane of one volume and the same plane in the next volume. It is assumed to be constant throughout.

#### 9.1.3 Microtime resolution

In Echo-Planar Imaging (EPI), data is acquired a plane at a time. To acquire a whole volume of data takes at least a second or two.

It is possible, however, that experimental events may occur between scan (volume) acquisition times. This can be specified when building your design matrix either by (i) specifying your design in scans and using non-integer values or (ii) specifying your design in seconds at a resolution greater than the TR.

SPM takes these timing specifications and builds its regressors using a 'microtime' time-scale. The microtime resolution, t, is the number of time-bins per scan.

Do not change this parameter unless you have a long TR and wish to shift regressors so that they are aligned to a particular slice.

#### 9.1.4 Microtime onset

The microtime onset, t0, is the first time-bin at which the regressors are resampled to coincide with data acquisition. If t0 = 1 then the regressors will be appropriate for the first slice. If you want to temporally realign the regressors so that they match responses in the middle slice then make t0 = t/2 (assuming there is a negligible gap between volume acquisitions).

Do not change the default setting unless you have a long TR.

A typical use of the t and t0 parameters is to set them to correspond to the results of any slice timing correction you have made eg. if you have 24 slices and have made slice 12 the reference slice you would set t=24, t0=12.

## 9.2 Data & Design

The design matrix defines the experimental design and the nature of hypothesis testing to be implemented. The design matrix has one row for each scan and one column for each effect or explanatory variable. (e.g. regressor or stimulus function). Figure 9.2 shows an example of a design matrix.

You can build design matrices with separable session-specific partitions. Each partition may be the same (in which case it is only necessary to specify it once) or different. Responses can be either event- or epoch related, where the latter model involves prolonged and possibly time-varying responses to state-related changes in experimental conditions. Event-related response are modelled in terms of responses to instantaneous events. Mathematically they are both modelled by convolving a series of delta (stick) or box-car functions, encoding the input or stimulus function. with a set of hemodynamic basis functions.

#### 9.2.1 Subject/Session

The design matrix for fMRI data consists of one or more separable, session-specific partitions. These partitions are usually either one per subject, or one per fMRI scanning session for that subject.

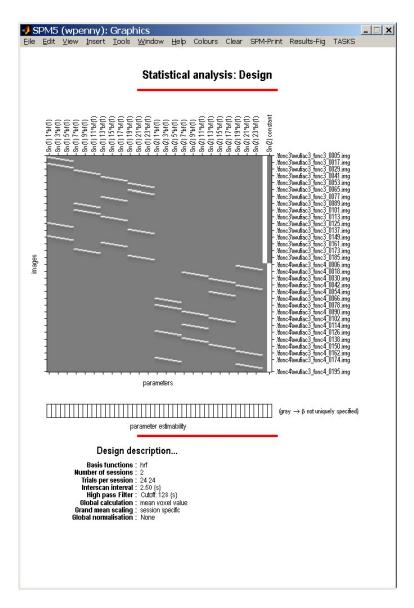


Figure 9.2: Design matrix for fMRI data from two sessions. There are 24 experimental conditions for each session. The last two columns model the average activity in each session, giving a total of 50 regressors. There are 191 fMRI scans for each session. The overall design matrix therefore has 382 rows and 50 columns.

#### Scans

Select the fMRI scans for this session. They must all have the same image dimensions, orientation, voxel size etc. This is implemented using SPM's file selector.

#### Conditions

You are allowed to combine both event- and epoch-related responses in the same model and/or regressor. Any number of condition (event or epoch) types can be specified. Epoch and event-related responses are modeled in exactly the same way by specifying their onsets [in terms of onset times] and their durations. Events are specified with a duration of 0. If you enter a single number for the durations it will be assumed that all trials conform to this duration. For factorial designs, one can later associate these experimental conditions with the appropriate levels of experimental factors.

Condition An array of input functions is constructed, specifying occurrence events or epochs (or both). These are convolved with a basis set at a later stage to give regressors that enter into the design matrix. Interactions of evoked responses with some parameter (time or a specified variate) enter at this stage as additional columns in the design matrix with each trial multiplied by the [expansion of the] trial-specific parameter. The 0th order expansion is simply the main effect in the first column.

#### Name Condition Name

**Onsets** Specify a vector of onset times for this condition type. This can be entered using the keyboard eg. typing in '100 300' and then hitting return or '100;300' or '[100,300]' or '[100,300]".

More usually, however, this specification takes place using variables that have been created before and loaded into matlab. For example, an my\_onsets cell array¹ might exist in a file you created earlier called my\_design.mat. You would then type load my\_design at the matlab command prompt before pressing the 'Specify 1st-level' button.

You could then specify the onsets for condition 2 by typing in eg. my\_onsets{2} instead of entering the numbers via the keyboard.

**Durations** Specify the event durations (in seconds). Epoch and event-related responses are modeled in exactly the same way but by specifying their different durations. Events are specified with a duration of 0. If you enter a single number for the durations it will be assumed that all trials conform to this duration. If you have multiple different durations, then the number must match the number of onset times.

**Time Modulation** This option allows for the characterisation of nonstationary responses. Specifically, you can model either linear or nonlinear time effects. For example, 1st order modulation would model the stick functions and a linear change of the stick function heights over time. Higher order modulation will introduce further columns that contain the stick functions scaled by time squared, time cubed etc.

**Parametric Modulations** The stick function itself can be modulated by some parametric variate (this can be time or some trial-specific variate like reaction time) modeling the interaction between the trial and the variate. The events can be modulated by zero or more parameters.

See [10, 9] for further details of parametric modulations.

<sup>&</sup>lt;sup>1</sup>Cell arrays are usually used in preference to matrices as different event types can then have different numbers of events.

#### Multiple conditions

If you have multiple conditions then entering the details a condition at a time is very inefficient. This option can be used to load all the required information in one go.

You will need to create a \*.mat file containing the relevant information. This \*.mat file must include the following cell arrays: names, onsets and durations eg. names{2}='SSent-DSpeak', onsets{2}=[3 5 19 222], durations{2}=[0 0 0 0] contain the required details of the second condition. These cell arrays may be made available by your stimulus delivery program eg. CO-GENT. The duration vectors can contain a single entry if the durations are identical for all events.

You then need to use SPM's file selector to select this \*.mat file.

#### Regressors

Regressors are additional columns included in the design matrix, which may model effects that would not be convolved with the haemodynamic response. One such example would be the estimated movement parameters, which may confound the data.

#### Regressor

Name Enter name of regressor eg. First movement parameter

Value Enter the values that the regressor takes. This could also be, for example, the name of a variable in MATLAB's work space that you have previously loaded in from a file. This might be a subjects movement parameters or reaction times.

#### Multiple regressors

If you have multiple regressors eg. realignment parameters, then entering the details a regressor at a time is very inefficient. This option can be used to load all the required information in one go.

You will first need to create a \*.mat file containing a matrix R. Each column of R will contain a different regressor. When SPM creates the design matrix the regressors will be named R1, R2, R3, ..etc.

You then need to use SPM's file selector to select this \*.mat file.

#### High-pass filter

The default high-pass filter cutoff is 128 seconds. Slow signal drifts with a period longer than this will be removed. Use 'Explore design' to ensure this cut-off is not removing too much experimental variance. This is described later in section 9.10. High-pass filtering is implemented using a residual forming matrix (i.e. it is not a convolution) and is simply a way to remove confounds without estimating their parameters explicitly. The constant term is also incorporated into this filter matrix.

### 9.3 Factorial design

If you have a factorial design then SPM can automatically generate the contrasts necessary to test for the main effects and interactions.

This includes the F-contrasts necessary to test for these effects at the within-subject level (first level) and the simple contrasts necessary to generate the contrast images for a between-subject (second-level) analysis.

To use this option, create as many factors as you need and provide a name and number of levels for each. SPM assumes that the condition numbers of the first factor change slowest, the second factor next slowest etc. It is best to write down the contingency table for your design to ensure this condition is met. This table relates the levels of each factor to the conditions.

For example, if you have 2-by-3 design your contingency table has two rows and three columns where the first factor spans the rows, and the second factor the columns. The numbers of the conditions are 1,2,3 for the first row and 4,5,6 for the second.

See [20] for more information on SPM and factorial designs.

#### 9.3.1 Factor

Add a new factor to your experimental design

#### Name

Name of factor, eg. 'Repetition'

#### Levels

Enter number of levels for this factor, eg. 2

#### 9.4 Basis Functions

SPM uses basis functions to model the hemodynamic response. This could be a single basis function or a set of functions. The most common choice is the 'Canonical HRF' with or without time and dispersion derivatives.

#### 9.4.1 Canonical HRF

Canonical Hemodynamic Response Function (HRF). This is the default option. Contrasts of these effects have a physical interpretation and represent a parsimonious way of characterising event-related responses. This option is also useful if you wish to look separately at activations and deactivations. This is implemented using a t-contrast with a +1 or -1 entry over the canonical regressor.

#### Model derivatives

Model HRF Derivatives. The canonical HRF combined with time and dispersion derivatives comprise an 'informed' basis set, as the shape of the canonical response conforms to the hemodynamic response that is commonly observed. The incorporation of the derivative terms allow for variations in subject-to-subject and voxel-to-voxel responses. The time derivative allows the peak response to vary by plus or minus a second and the dispersion derivative allows the width of the response to vary by a similar amount.

A positive estimate of the time-derivative regression coefficient implies that the peak hemodynamic response occurs later than usual ie. than would be expected using just the canonical regressor. A positive estimate for the dispersion derivative implies a more dispersed response than usual.

The informed basis set requires an SPMF for inference. T-contrasts over just the canonical are perfectly valid but assume constant delay/dispersion. The informed basis set compares favourably with eg. FIR bases on many data sets [21].

#### 9.4.2 Other basis sets

The other basis sets supported by SPM are

- 1. Fourier Set
- 2. Fourier Set (Hanning)
- 3. Gamma Functions
- 4. Finite Impulse Response (FIR)

For each of these options you must also specify the **window length** which is the length in seconds of the post-stimulus time window that the basis functions span. You must also specify the **order**, that is, how many basis functions to use.

Usually, an informed basis set should be sufficient for most data sets. If this does not provide a good fit to the data it may be worthwhile re-considering how the neuronal events are modelled ie. is the timing correct? should events be split into subsets?

Alternatively, the gamma basis functions are an interesting choice as a particular linear combination of them is actually used to specify the canonical HRF. The FIR approach is of interest as it is equivalent to the method of 'selective averaging'. See [19] for further details.

### 9.5 Model Interactions (Volterra)

Generalized convolution of inputs, U, with basis set, bf.

For first order expansions the causes are simply convolved (e.g. stick functions) in U by the basis functions in bf to create a design matrix X. For second order expansions new entries appear that correspond to the interaction among the original causes. The basis functions for these effects are two dimensional and are used to assemble the second order kernel.

Interactions or response modulations can enter at two levels. Firstly the stick function itself can be modulated by some parametric variate. This can be time or some trial-specific variate like reaction time modeling the interaction between the trial and the variate. Secondly interactions among the trials themselves can be modeled using a Volterra series formulation that accommodates interactions over time (and therefore within and between trial types).

This last option is useful for accommodating nonlinearities in the hemodynamic response. For example, if two events occur within a second or so of each other then the hemodynamic response to the pair may be less than the sum of the responses to each event when occuring in isolation. This type of 'sub-linear' response can be modelled using Volterra kernels. See [16] for further details.

### 9.6 Directory

Select a directory where the SPM mat file containing the specified design matrix will be written. If this directory already contains an SPM mat file then SPM will warn you of this before overwriting it, when the specification job is run.

#### 9.7 Global normalisation

SPM can normalise fMRI data in one of two ways. These are selected using the options 'None' (the default) and 'Scaling'.

Both methods are based on first estimating the average within-brain fMRI signal,  $g_{ns}$ , where n denotes scan and s denotes session. If you select 'Scaling', SPM will multiply each fMRI value in scan n and session s by  $100/g_{ns}$ .

If you select 'None' then SPM computes the grand mean value,  $g_s = \frac{\sum_{n=1}^N g_{ns}}{N}$  where N is the number of scans in that session. This is the fMRI signal averaged over all voxels within the brain and all time points within session s. SPM then implements 'Session-specific grand mean scaling' by multiplying each fMRI data point in session s by  $100/g_s$ .

See [1] for further discussion of this issue.

## 9.8 Explicit mask

Specify an image for explicitly masking the analysis. A sensible option here is to use a segmentation of structural images to specify a within-brain mask. If you select that image as an explicit mask then only those voxels in the brain will be analysed. This both speeds the estimation and restricts SPMs/PPMs to within-brain voxels. Alternatively, if such structural images are unavailable or no masking is required, then leave this field empty.

#### 9.9 Serial correlations

Serial correlations in fMRI time series due to aliased biorhythms and unmodelled neuronal activity can be accounted for using an autoregressive AR(1) model during Classical (ReML) parameter estimation.

This estimate assumes the same correlation structure for each voxel, within each session. ReML estimates are then used to correct for non-sphericity during inference by adjusting the statistics and degrees of freedom appropriately. The discrepancy between estimated and actual correlations are greatest at low frequencies. Therefore specification of the high-pass filter is particularly important.

Serial correlation can be ignored if you choose the 'none' option. Note that the above options only apply if you later specify that your model will be estimated using the Classical (ReML) approach. If you choose Bayesian estimation these options will be ignored. For Bayesian estimation, the choice of noise model (AR model order) is made under the estimation options. See [18, 25] for further discussion of these issues.

### 9.10 Reviewing your design

After you have completed the SPM 'job' file for specifying your fMRI design, and have run it, you will then be able to review your design by pressing the 'Review' button in SPM's button window (the top-left window). This is particularly useful, for example, for checking that your experimental variance has not been removed by high-pass filtering, as shown in Figure 9.3.

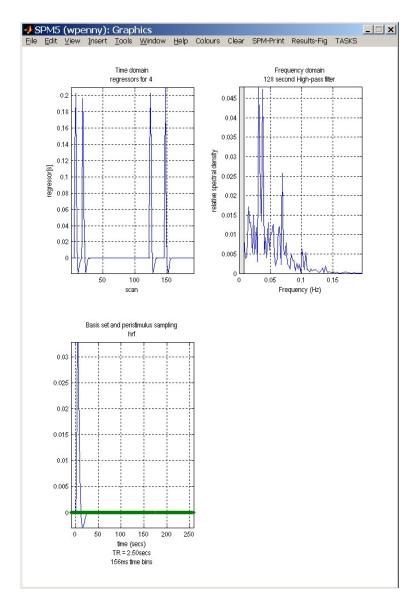


Figure 9.3: After pressing 'Review', selecting the pull-down 'Design' menu, Explore->Session, and selecting the regressor you wish to look at, you should get a plot similar to the one above. The top row shows time and frequency domain plots of the time-series corresponding to this regressor. In this particular case we have four events. Each event or 'stick function' has been convolved with the hemodynamic response function shown in the bottom panel. The frequency domain graph is useful for checking that experimental variance is not removed by high-pass filtering. The grayed out section of the frequency plot shows those frequencies which are removed. For this regressor we have plenty of remaining experimental variance (see the peak at about 0.04Hz).

## Chapter 10

## fMRI model estimation

Model parameters can be estimated using classical (ReML - Restricted Maximum Likelihood) or Bayesian algorithms. After parameter estimation, the RESULTS button can be used to specify contrasts that will produce Statistical Parametric Maps (SPMs), Effect Size Maps (ESMs) or Posterior Probability Maps (PPMs) and tables of statistics.

#### 10.1 Select SPM.mat

Select the SPM.mat file that contains the design specification. SPM will output the results of its analysis into this directory. This includes overwriting the SPM.mat file. When the estimation job is run, no warning will be given that the SPM.mat file will be overwritten. A warning is given at the specification stage. When it comes to estimation, SPM assumes that you've now sorted out your directory structures.

### 10.2 Method

There are three possible estimation procedures for fMRI models (1) classical (ReML) estimation of first or second level models, (2) Bayesian estimation of first level models and (3) Bayesian estimation of second level models. Option (2) uses a Variational Bayes (VB) algorithm that is new to SPM5. Option (3) uses the Empirical Bayes algorithm with global shrinkage priors that was also in SPM2.

To use option (3) you must have already estimated the model using option (1). That is, for second-level models you must run a ReML estimation before running a Bayesian estimation. This is not necessary for option (2). Bayesian estimation of 1st-level models using VB does not require a prior ReML estimation.

#### 10.2.1 Classical

Model parameters are estimated using Restricted Maximum Likelihood (ReML). This assumes the error correlation structure is the same at each voxel. This correlation can be specified using either an AR(1) or an Independent and Identically Distributed (IID) error model. These options are chosen at the model specification stage. ReML estimation should be applied to spatially smoothed functional images. See [18, 15] for further details of the ReML estimation scheme.

After estimation, specific profiles of parameters are tested using a linear compound or contrast with the T or F statistic. The resulting statistical map constitutes an SPM. The SPMT/F is then characterised in terms of focal or regional differences by assuming that (under the null hypothesis) the components of the SPM (ie. residual fields) behave as smooth stationary Gaussian fields.

The rest of this chapter describes the Bayesian estimation options. So, please skip to the next chapter if you are interested only in classical estimation and inference.

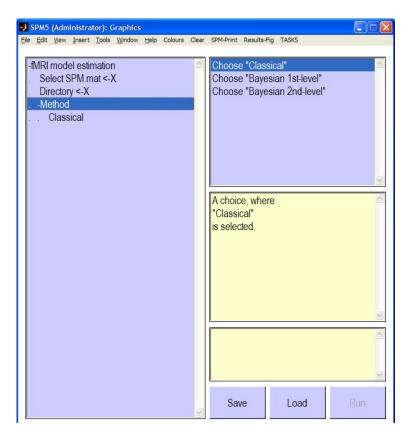


Figure 10.1: After starting SPM in fMRI mode, pressing the 'Estimate' button, and then double-clicking on the '+fMRI model estimation' text, the SPM graphics window should appear as above. The options under '-fMRI model estimation' can be examined by clicking on them. A single click will bring up some help text in the lower subwindow (not shown in the above graphic). A double-click on options prefixed by a '+' will allow you to specify options at a greater level of detail. Options highlighted with a '<-X' are mandatory and must be filled in by the user. Each of the options shown above is described in this chapter.

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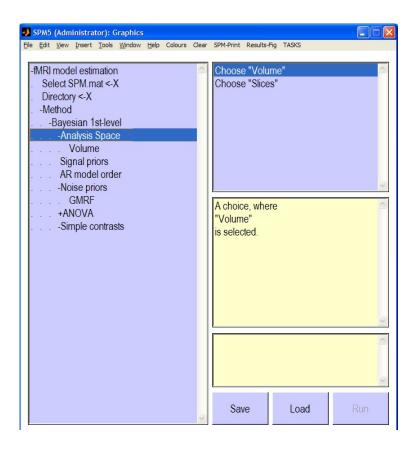


Figure 10.2: After choosing Bayesian 1st-level under 'Method' and then double-clicking on the '+Bayesian 1st-level' text, the SPM graphics window should appear as above. Each of the options shown above is described in this chapter.

#### 10.2.2 Bayesian 1st-level

Model parameters are estimated using Variational Bayes (VB). This allows you to specify spatial priors for regression coefficients and regularised voxel-wise AR(P) models for fMRI noise processes. The algorithm does not require functional images to be spatially smoothed. Estimation will take about 5 times longer than with the classical approach. This is why VB is not the default estimation option. The VB approach has been described in a number of papers [25, 26, 24, ?].

After estimation, contrasts are used to find regions with effects larger than a user-specified size eg. 1 per cent of the global mean signal. These effects are assessed statistically using a Posterior Probability Map (PPM) [17].

#### **Analysis Space**

Because estimation can be time consuming, an option is provided to analyse selected slices rather than the whole volume.

**Volume** You have selected the Volume option. SPM will analyse fMRI time series in all slices of each volume.

Slices Enter Slice Numbers. This can be a single slice or multiple slices. If you select a single slice or only a few slices you must be aware of the interpolation options when, after estimation, displaying the estimated images eg. images of contrasts or AR maps. The default interpolation option may need to be changed to nearest neighbour (NN) (see bottom right hand of graphics window) for your slice maps to be visible.

#### Signal priors

- [GMRF] Gaussian Markov Random Field. This spatial prior is the recommended option. Regression coefficients at a given voxel are (softly) constrained to be similar to those at nearby voxels. The strength of this constraint is determined by a spatial precision parameter that is estimated from the data. Different regression coefficients have different spatial precisions allowing each putative experimental effect to have its own spatial regularity.
- [LORETA] Low Resolution Tomography Prior. This spatial prior is very similar to the GMRF prior and is a standard choice for MEG/EEG source localisation algorithms. It does, however, have undesirable edge effects.
- [Global] Global Shrinkage prior. This is not a spatial prior in the sense that regression coefficients are constrained to be similar to neighboring voxels. Instead, the average effect over all voxels (global effect) is assumed to be zero and all regression coefficients are shrunk towards this value in proportion to the prior precision. This is the same prior that is used for Bayesian estimation at the second level (see also [17]), except that here the prior precision is estimated separately for each slice.
- [Uninformative] A flat prior. Essentially, no prior information is used. If you select this option then VB reduces to Maximum Likelihood (ML) estimation. This option is useful if, for example, you do not wish to use a spatial prior but wish to take advantage of the voxel-wise AR(P) modelling of noise processes. In this case, you would apply the algorithm to images that have been spatially smoothed. For P=0, ML estimation in turn reduces to Ordinary Least Squares (OLS) estimates, and for P>0, ML estimation is equivalent to a weighted least squares (WLS) algorithm but where the weights are different at each voxel. This reflects the different noise correlations at each voxel.

#### AR model order

An AR model order of 3 is the default. Cardiac and respiratory artifacts are periodic in nature and therefore require an AR order of at least 2. In previous work, voxel-wise selection of the optimal model order showed that a value of 3 was the highest order required [25].

Higher model orders have little effect on the estimation time. If you select a model order of zero this corresponds to the assumption that the errors are Independent and Identically Distributed (IID). This AR specification overrides any choices that were made in the model specification stage.

Voxel-wise AR models are fitted separately for each session of data. For each session this therefore produces maps of AR(1), AR(2) etc coefficients in the output directory.

#### Noise priors

There are three noise prior options.

- [GMRF] Gaussian Markov Random Field. This is the default option. This spatial prior is the same as that used for the regression coefficients. Spatial precisions are estimated separately for each AR coefficient eg. the AR(1) coefficient over space, AR(2) over space etc.
- [LORETA] Low Resolution Tomography Prior. See comments on LORETA priors for regression coefficients.
- [Tissue-type] This provides an estimation of AR coefficients at each voxel that are biased towards typical values for that tissue type (eg. gray, white, CSF). If you select this option you will need to then select files that contain tissue type maps (see below). These are typically chosen to be Grey Matter, White Matter and CSF images derived from segmentation of registered structural scans.

Previous work has shown that there is significant variation in AR values with tissue type. However, GMRF priors have previously been favoured by Bayesian model comparison [?].

10.2. METHOD 67

#### ANOVA

Perform 1st or 2nd level Analysis of Variance.

**First level** This is implemented using Bayesian model comparison as described in [?]. For example, to test for the main effect of a factor two models are compared, one where the levels are represented using different regressors and one using the same regressor. This therefore requires explicit fitting of several models at each voxel and is computationally demanding (requiring several hours of computation). The recommended option is therefore NO.

To use this option you must have already specified your factorial design during the model specification stage.

**Second level** This option tells SPM to automatically generate the simple contrasts that are necessary to produce the contrast images for a second-level (between-subject) ANOVA. Naturally, these contrasts can also be used to characterise simple effects for each subject.

With the Bayesian estimation option it is recommended that contrasts are computed during the parameter estimation stage (see 'simple contrasts' below). The recommended option here is therefore YES.

To use this option you must have already specified your factorial design during the model specification stage.

If you wish to use these contrast images for a second-level analysis then you will need to spatially smooth them to take into account between-subject differences in functional anatomy ie. the fact that one persons V5 may be in a different position than anothers.

#### Simple contrasts

'Simple' contrasts refers to a contrast that spans one-dimension ie. to assess an effect that is increasing or decreasing.

If you have a factorial design then the contrasts needed to generate the contrast images for a 2nd-level ANOVA (or to assess these simple effects within-subject) can be specified automatically using the ANOVA->Second level option.

When using the Bayesian estimation option it is computationally more efficient to compute the contrasts when the parameters are estimated. This is because estimated parameter vectors have potentially different posterior covariance matrices at different voxels and these matrices are not stored. If you compute contrasts post-hoc these matrices must be recomputed. This uses an approximate reconstruction based on a Taylor series expansion described in [24]. It is therefore recommended to specify as many contrasts as possible prior to parameter estimation.

If you wish to use these contrast images for a second-level analysis then you will need to spatially smooth them to take into account between-subject differences in functional anatomy ie. the fact that one persons V5 may be in a different position than anothers.

#### Simple contrast

Name Name of contrast eg. 'Positive Effect'

Contrast vector These contrasts are used to generate PPMs which characterise effect sizes at each voxel. This is different to SPMs in which eg. maps of t-statistics show the ratio of the effect size to effect variability (standard deviation). SPMs are therefore a-dimensional. This is not the case for PPMs as the size of the effect is of primary interest. Some care is therefore needed about the scaling of contrast vectors. For example, if you are interested in the differential effect size averaged over conditions then the contrast [0.5, 0.5, -0.5, -0.5] would be more suitable than the [1, 1, -1, -1] contrast which looks at the differential effect size summed over conditions.

#### 10.2.3 Bayesian 2nd-level

Bayesian estimation of 2nd level models. This option uses the Empirical Bayes algorithm with global shrinkage priors that was previously implemented in SPM2. It is described in detail in [17]. Use of the global shrinkage prior embodies a prior belief that, on average over all voxels, there is no net experimental effect. Some voxels will respond negatively and some positively with a variability determined by the prior precision. This prior precision can be estimated from the data using Empirical Bayes.

### 10.3 Output files

After estimation a number of files are written to the output directory. These are

• An SPM.mat file containing specification of the design and estimated model parameters

#### 10.3.1 Classical 1st-level

For classical 1st-level models the following files are also produced

- Images of estimated regression coefficients beta\_000k.img where k indexes the kth regression coefficient.
- An image of the variance of the error ResMS.img.
- An image mask.img indicating which voxels were included in the analysis.
- $\bullet$  The image RPV.img, the estimated resels per voxel.
- If contrasts have been specified SPM also writes con\_000i.img if the *i*th contrast is a t-contrast and the extra sum of squares image ess\_000i.img if it is an F-contrast.

Type help spm\_spm at the matlab command prompt for further information.

#### 10.3.2 Bayesian 1st-level

For Bayesian 1st-level models the following files are also produced

- Images of estimated regression coefficients Cbeta\_000k.img where k indexes the kth regression coefficient. These filenames are prefixed with a 'C' indicating that these are the mean values of the 'Conditional' or 'Posterior' density.
- Images of error bars/standard deviations on the regression coefficients SDbeta\_000k.img.
- An image of the standard deviation of the error Sess1\_SDerror.img.
- An image mask.img indicating which voxels were included in the analysis.
- If a non-zero AR model order is specified then SPM also writes images Sess1\_AR\_000p.img where p indexes the pth AR coefficient.
- If contrasts have been specified SPM also writes con\_000i.img and con\_sd\_000i.img which are the mean and standard deviation of the *i*th pre-defined contrast.

Each of these images can be inspected using the 'Display' button. Type help spm\_spm\_vb at the matlab command prompt for further information.

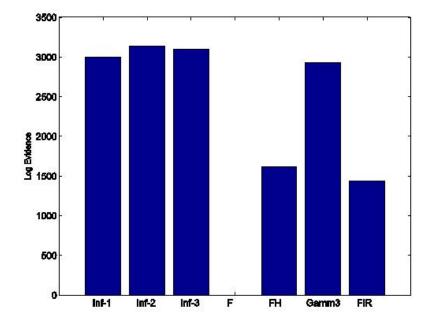


Figure 10.3: This plot shows the model evidence for a number of different hemodynamic basis sets: Inf1 - Canonical HRF, Inf2 - Canonical plus temporal derivative, Inf3 - Canonical plus temporal and dispersion derivatives, F - Fourier, FH - Fourier with a Hanning Window, Gamm3 - 3 Gamma basis functions and FIR - a Finite Impulse Response function. An informed basis set provides the best model of the data for the selected region.

## 10.4 Model comparison

Once you have estimated a model you can use SPM's results button to look at the results. You can also extract fMRI data from regions of interest using the ROI button. You can then compare GLMs based on different hemodynamic basis sets using the Bayesian model evidence.

This is described in [?] and implemented using the command line option 'spm\_vb\_roi\_basis'. This requires a VOI filename (created using the ROI button) and an SPM data structure. Type 'help spm\_vb\_roi\_basis' at the matlab command prompt for further information. Figure 10.3 shows an example output from the function indicating that, for the data in this brain region, an informed basis set has the highest model evidence.

## Chapter 11

# Contrast Manager

#### Contents

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Set up T and F contrasts.

#### 11.1 Select SPM.mat

Select SPM.mat file for contrasts

#### 11.2 Contrast Sessions

For general linear model Y = XB + E with data Y, desgin matrix X, parameter vector B, and (independent) errors E, a contrast is a linear combination of the parameters c'B. Usually c is a column vector, defining a simple contrast of the parameters, assessed via an SPMT. More generally, c can be a matrix (a linear constraining matrix), defining an "F-contrast" assessed via an SPMF.

The vector/matrix c contains the contrast weights. It is this contrast weights vector/matrix that must be specified to define the contrast. The null hypothesis is that the linear combination c'B is zero. The order of the parameters in the parameter (column) vector B, and hence the order to which parameters are referenced in the contrast weights vector c, is determined by the construction of the design matrix.

There are two types of contrast in SPM: simple contrasts for SPMT, and "F-contrasts" for SPMF.

For a thorough theoretical treatment, see the Human Brain Function book and the statistical literature referenced therein.

#### \* Non-orthogonal designs

Note that parameters zero-weighted in the contrast are still included in the model. This is particularly important if the design is not orthogonal (i.e. the columns of the design matrix are not orthogonal). In effect, the significance of the contrast is assessed \*after\* accounting for the other effects in the design matrix. Thus, if two covariates are correlated, testing the significance of the parameter associated with one will only test for the part that is not present in the second covariate. This is a general point that is also true for F-contrasts. See Andrade et al, Ambiguous

results in functional neuroimaging, NeuroImage, 1999, for a full description of the effect of non othogonal design testing.

#### \* Estimability

The contrast c'B is estimated by c'b, where b are the parameter estimates given by  $b=pinv(X)^*Y$ . However, if a design is rank-deficient (i.e. the columns of the design matrix are not linearly independent), then the parameters are not unique, and not all linear combinations of the parameter are valid contrasts, since contrasts must be uniquely estimable.

A weights vector defines a valid contrast if and only if it can be constructed as a linear combination of the rows of the design matrix. That is c' (the transposed contrast vector - a row vector) is in the row-space of the design matrix.

Usually, a valid contrast will have weights that sum to zero over the levels of a factor (such as condition).

A simple example is a simple two condition design including a constant, with design matrix

```
 \begin{bmatrix} 1 & 0 & 1 \\ \vdots & \vdots & \vdots \\ X = \begin{bmatrix} 1 & 0 & 1 \\ \end{bmatrix} \\ \begin{bmatrix} 0 & 1 & 1 \\ \vdots & \vdots & \vdots \\ \end{bmatrix}   \begin{bmatrix} 0 & 1 & 1 \\ \end{bmatrix}
```

The first column corresponds to condition 1, the second to condition 2, and the third to a constant (mean) term. Although there are three columns to the design matrix, the design only has two degrees of freedom, since any one column can be derived from the other two (for instance, the third column is the sum of the first two). There is no unique set of parameters for this model, since for any set of parameters adding a constant to the two condition effects and subtracting it from the constant effect yields another set of viable parameters. However, the difference between the two condition effects is uniquely estimated, so c'=[-1,+1,0] does define a contrast.

If a parameter is estimable, then the weights vector with a single "1" corresponding to that parameter (and zero elsewhere) defines a valid contrast.

#### \* Multiple comparisons

Note that SPM implements no corrections to account for you looking at multiple contrasts.

If you are interested in a set of hypotheses that together define a consistent question, then you should account for this when assessing the individual contrasts. A simple Bonferroni approach would assess N simultaneous contrasts at significance level alpha/N, where alpha is the chosen significance level (usually 0.05).

For two sided t-tests using SPMTs, the significance level should be halved. When considering both SPMTs produced by a contrast and it's inverse (the contrast with negative weights), to effect a two-sided test to look for both "increases" and "decreases", you should review each SPMT at at level 0.05/2 rather than 0.05. (Or consider an F-contrast!)

#### \* Contrast images and ESS images

For a simple contrast, SPM (spm\_getSPM.m) writes a contrast image: con\_????.img,nii, with voxel values c'b. (The ???? in the image names are replaced with the contrast number.) These contrast images (for appropriate contrasts) are suitable summary images of an effect at this level, and can be used as input at a higher level when effecting a random effects analysis. See spm\_RandFX.man for further details.

For an F-contrast, SPM (spm\_getSPM.m) writes the Extra Sum-of-Squares (the difference in the residual sums of squares for the full and reduced model) as ess\_????.img,nii. (Note that the ess\_????.img,nii and SPMT,F\_????.img,nii images are not suitable input for a higher level analysis.)

#### 11.2.1 T-contrast

\* Simple one-dimensional contrasts for an SPMT

A simple contrast for an SPMT tests the null hypothesis cB=0 against the one-sided alternative cB>0, where c is a column vector.

Note that throughout SPM, the transpose of the contrast weights is used for display and input. That is, you'll enter and visualise c'. For an SPMT this will be a row vector.

For example, if you have a design in which the first two columns of the design matrix correspond to the effects for "baseline" and "active" conditions respectively, then a contrast with weights c'=[-1,+1,0,...] (with zero weights for any other parameters) tests the hypothesis that there is no "activation" (the parameters for both conditions are the same), against the alternative that there is some activation (i.e. the parameter for the "active" condition is greater than that for the "baseline" condition). The resulting SPMT (created by spm\_getSPM.m) is a statistic image, with voxel values the value of the t-statistic for the specified contrast at that location. Areas of the SPMT with high voxel values indicate evidence for "activation". To look for areas of relative "de-activation", the inverse contrast could be used c'=[+1,-1,0,...].

Similarly, if you have a design where the third column in the design matrix is a covariate, then the corresponding parameter is essentially a regression slope, and a contrast with weights c'=[0,0,1,0,...] (with zero weights for all parameters but the third) tests the hypothesis of zero regression slope, against the alternative of a positive slope. This is equivalent to a test no correlation, against the alternative of positive correlation. If there are other terms in the model beyond a constant term and the covariate, then this correlation is apartial correlation, the correlation between the data Y and the covariate, after accounting for the other effects.

#### Name

Name of contrast

#### T contrast vector

Enter T contrast vector. This is done similarly to the SPM2 contrast manager. A 1 x n vector should be entered for T-contrasts.

### 11.2.2 F-contrast

\* Linear constraining matrices for an SPMF

The null hypothesis c'B=0 can be thought of as a (linear) constraint on the full model under consideration, yielding a reduced model. Taken from the viewpoint of two designs, with the full model an extension of the reduced model, the null hypothesis is that the additional terms in the full model are redundent.

Statistical inference proceeds by comparing the additional variance explained by full design over and above the reduced design to the error variance (of the full design), an "Extra Sum-of-Squares" approach yielding an F-statistic for each voxel, whence an SPMF.

This is useful in a number of situations:

\* Two sided tests

The simplest use of F-contrasts is to effect a two-sided test of a simple linear contrast c'B, where c is a column vector. The SPMF is the square of the corresponding SPMT. High values of the SPMF therefore indicate evidence against the null hypothesis c'B=0 in favour of the two-sided alternative c'B=0.

\* General linear hypotheses

Where the contrast weights is a matrix, the rows of the (transposed) contrast weights matrix c' must define contrasts in their own right, and the test is effectively simultaneously testing the null hypotheses associated with the individual component contrasts with weights defined in the rows. The null hypothesis is still c'B=0, but since c is a matrix, 0 here is a zero vector rather than a scalar zero, asserting that under the null hypothesis all the component hypotheses are true.

For example: Suppose you have a language study with 3 word categories (A,B & C), and would like to test whether there is any difference at all between the three levels of the "word category" factor.

The design matrix might look something like:

```
 \begin{bmatrix} 1 & 0 & 0 & .. \\ [ & : & : & .. ] \\ [ & 1 & 0 & 0 & .. ] \\ [ & 0 & 1 & 0 & .. ] \\
```

```
X = [:::..]
[010..]
[001..]
[:::..]
[001..]
[000..]
[:::..]
```

...with the three levels of the "word category" factor modelled in the first three columns of the design matrix.

The matrix of contrast weights will look like:

```
c' = [1 -1 0 ...; 0 1 -1 ...]
```

Reading the contrasts weights in each row of c', we see that row 1 states that category A elicits the same response as category B, row 2 that category B elicits the same response as category C, and hence together than categories A, B & C all elicit the same response.

The alternative hypothesis is simply that the three levels are not all the same, i.e. that there is some difference in the paraeters for the three levels of the factor: The first and the second categories produce different brain responses, OR the second and third categories, or both.

In other words, under the null hypothesis (the categories produce the same brain responses), the model reduces to one in which the three level "word category" factor can be replaced by a single "word" effect, since there is no difference in the parameters for each category. The corresponding design matrix would have the first three columns replaced by a single column that is the sum (across rows) of the first three columns in the design matric above, modelling the brain response to a word, whatever is the category. The F-contrast above is in fact testing the hypothesis that this reduced design doesn't account for significantly less variance than the full design with an effect for each word category.

Another way of seeing that, is to consider a reparameterisation of the model, where the first column models effects common to all three categories, with the second and third columns modelling the differences between the three conditions, for example:

```
 [\ 1\ 1\ 0\ ..]  [:::..] 
 [\ 1\ 1\ 0\ ..] 
 [\ 1\ 0\ 1\ ..] 
 X = [:::..] 
 [\ 1\ 0\ 1\ ..] 
 [\ 1\ -1\ -1\ ..] 
 [:::..] 
 [\ 1\ -1\ -1\ ..] 
 [\ 0\ 0\ 0\ ..] 
 [:::..] 
 In this case, an equivalent F contrast is of the form c' = [\ 0\ 1\ 0\ ...; 
 0\ 0\ 1\ ...]
```

and would be exactly equivalent to the previous contrast applied to the previous design. In this latter formulation, you are asking whewher the two columns modelling the "interaction space" account for a significant amount of variation (variance) of the data. Here the component contrasts in the rows of c' are simply specifying that the parameters for the corresponding rows are are zero, and it is clear that the F-test is comparing this full model with a reduced model in which the second and third columns of X are omitted.

Note the difference between the following two F-contrasts:

```
c' = [0 \ 1 \ 0 \dots; (1) \ 0 \ 0 \ 1 \dots]
and
c' = [0 \ 1 \ 1 \dots] (2)
```

The first is an F-contrast, testing whether either of the parameters for the effects modelled in the 2nd & 3rd columns of the design matrix are significantly different from zero. Under the null hypothesis c'B=0, the first contrast imposes a two-dimensional constraint on the design.

The second contrast tests whether the SUM of the parameters for the 2nd & 3rd columns is significantly different from zero. Under the null hypothesis c'B=0, this second contrast only imposes a one dimensional constraint on the design.

An example of the difference between the two is that the first contrast would be sensitive to the situation where the 2nd & 3rd parameters were +a and -a, for some constant a, wheras the second contrast would not detect this, since the parameters sum to zero.

The test for an effect of the factor "word category" is an F-test with 3-1=2 "dimensions", or degrees of freedom.

\* Testing the significance of effects modelled by multiple columns

A conceptially similar situation arises when one wonders whether a set of coufound effects are explaining any variance in the data. One important advantage of testing the with F contrasts rather than one by one using SPMT's is the following. Say you have two covariates that you would like to know whether they can "predict" the brain responses, and these two are correlated (even a small correlation would be important in this instance). Testing one and then the other may lead you to conclude that there is no effect. However, testing with an F test the two covariates may very well show a not suspected effect. This is because by testing one covariate after the other, one never tests for what is COMMON to these covariates (see Andrade et al, Ambiguous results in functional neuroimaging, NeuroImage, 1999).

More generally, F-tests reflect the usual analysis of variance, while t-tests are traditionally post hoc tests, useful to see in which direction is an effect going (positive or negative). The introduction of F-tests can also be viewed as a first means to do model selection.

Technically speaking, an F-contrast defines a number of directions (as many as the rank of the contrast) in the space spanned by the column vectors of the design matrix. These directions are simply given by X\*c if the vectors of X are orthogonal, if not, the space define by c is a bit more complex and takes care of the correlation within the design matrix. In essence, an F-contrast is defining a reduced model by imposing some linear constraints (that have to be estimable, see below) on the parameters estimates. Sometimes, this reduced model is simply made of a subset of the column of the original design matrix but generally, it is defined by a combination of those columns. (see spm\_FcUtil for what (I hope) is an efficient handling of F-contrats computation).

#### Name

Name of contrast

#### Contrast vectors

F contrasts are defined by a series of vectors.

**F** contrast vector Enter F contrast vector. This is done similarly to the SPM2 contrast manager. One or multiline contrasts may be entered.

Part IV

Util

## Display Image

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|------|---------|----|---------|--|--|--|--|--|--|--|--|--|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|---|----------|
|------|---------|----|---------|--|--|--|--|--|--|--|--|--|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|---|----------|

This is an interactive facility that allows orthogonal sections from an image volume to be displayed. Clicking the cursor on either of the three images moves the point around which the orthogonal sections are viewed. The co-ordinates of the cursor are shown both in voxel co-ordinates and millimeters within some fixed framework. The intensity at that point in the image (sampled using the current interpolation scheme) is also given. The position of the crosshairs can also be moved by specifying the co-ordinates in millimeters to which they should be moved. Clicking on the horizontal bar above these boxes will move the cursor back to the origin (analogous to setting the crosshair position (in mm) to  $[0\ 0\ 0]$ ).

The images can be re-oriented by entering appropriate translations, rotations and zooms into the panel on the left. The transformations can then be saved by hitting the "Reorient images..." button. The transformations that were applied to the image are saved to the header information of the selected images. The transformations are considered to be relative to any existing transformations that may be stored. Note that the order that the transformations are applied in is the same as in spm\_matrix.m.

The "Reset..." button next to it is for setting the orientation of images back to transverse. It retains the current voxel sizes, but sets the origin of the images to be the centre of the volumes and all rotations back to zero.

The right panel shows miscellaneous information about the image. This includes:

Dimensions - the x, y and z dimensions of the image.

Datatype - the computer representation of each voxel.

Intensity - scalefactors and possibly a DC offset.

Miscellaneous other information about the image.

Vox size - the distance (in mm) between the centres of neighbouring voxels.

Origin - the voxel at the origin of the co-ordinate system

DIr Cos - Direction cosines. This is a widely used representation of the orientation of an image.

There are also a few options for different resampling modes, zooms etc. You can also flip between voxel space (as would be displayed by Analyze) or world space (the orientation that SPM considers the image to be in). If you are re-orienting the images, make sure that world space is specified. Blobs (from activation studies) can be superimposed on the images and the intensity windowing can also be changed.

If you have put your images in the correct file format, then (possibly after specifying some rigid-body rotations):

The top-left image is coronal with the top (superior) of the head displayed at the top and the left shown on the left. This is as if the subject is viewed from behind.

The bottom-left image is axial with the front (anterior) of the head at the top and the left shown on the left. This is as if the subject is viewed from above.

The top-right image is sagittal with the front (anterior) of the head at the left and the top of the head shown at the top. This is as if the subject is viewed from the left.

## 12.1 Image to Display

Image to display.

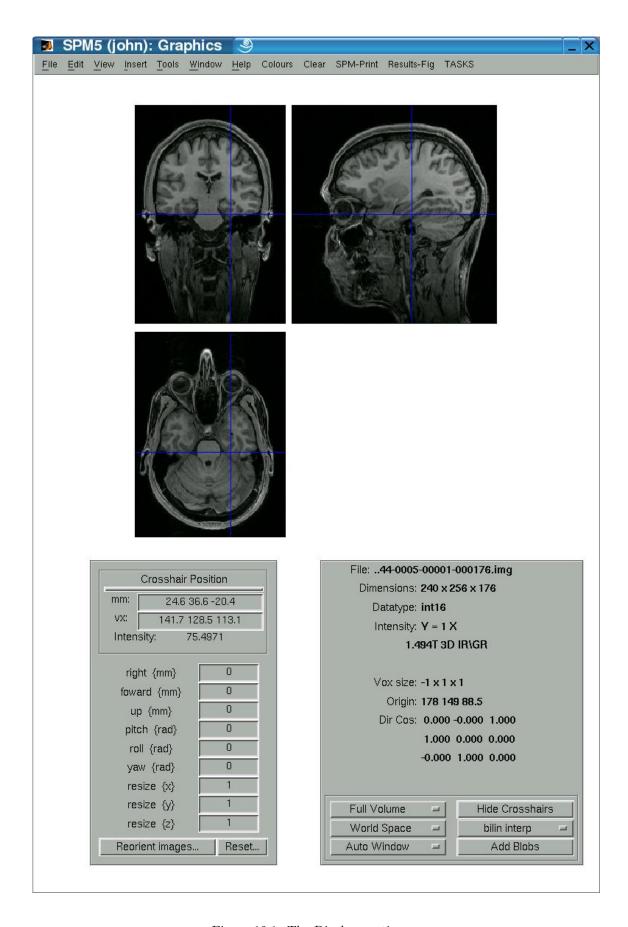


Figure 12.1: The Display routine.

## Check Registration

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|------------------------|---|----|

Orthogonal views of one or more images are displayed. Clicking in any image moves the centre of the orthogonal views. Images are shown in orientations relative to that of the first selected image. The first specified image is shown at the top-left, and the last at the bottom right. The fastest increment is in the left-to-right direction (the same as you are reading this).

If you have put your images in the correct file format, then (possibly after specifying some rigid-body rotations):

The top-left image is coronal with the top (superior) of the head displayed at the top and the left shown on the left. This is as if the subject is viewed from behind.

The bottom-left image is axial with the front (anterior) of the head at the top and the left shown on the left. This is as if the subject is viewed from above.

The top-right image is sagittal with the front (anterior) of the head at the left and the top of the head shown at the top. This is as if the subject is viewed from the left.

## 13.1 Images to Display

Images to display.

## Image Calculator

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The image calculator is for performing user-specified algebraic manipulations on a set of images, with the result being written out as an image. The user is prompted to supply images to work on, a filename for the output image, and the expression to evaluate. The expression should be a standard matlab expression, within which the images should be referred to as i1, i2, i3,... etc.

## 14.1 Input Images

These are the images that are used by the calculator. They are referred to as i1, i2, i3, etc in the order that they are specified.

## 14.2 Output Filename

The output image is written to current working directory unless a valid full pathname is given

## 14.3 Expression

Example expressions (f):

- \* Mean of six images (select six images)
- f = '(i1+i2+i3+i4+i5+i6)/6'
- \* Make a binary mask image at threshold of 100
- f = i1>100
- \* Make a mask from one image and apply to another
- f = i2.\*(i1>100)
- here the first image is used to make the mask, which is applied to the second image

```
* Sum of n images f = \text{'i1} + \text{i2} + \text{i3} + \text{i4} + \text{i5} + \dots \text{'} * Sum of n images (when reading data into a data-matrix - use dmtx arg) f = \text{'sum}(X)\text{'}
```

## 14.4 Options

Options for image calculator

### 14.4.1 Data Matrix

If the dmtx flag is set, then images are read into a data matrix X (rather than into seperate variables i1, i2, i3,...). The data matrix should be referred to as X, and contains images in rows. Computation is plane by plane, so in data-matrix mode, X is a NxK matrix, where X is the number of input images [prod(size(Vi))], and X is the number of voxels per plane [prod(Vi(1).dim(1:2))].

### 14.4.2 Masking

For data types without a representation of NaN, implicit zero masking assummes that all zero voxels are to be treated as missing, and treats them as NaN. NaN's are written as zero (by spm\_write\_plane), for data types without a representation of NaN.

### 14.4.3 Interpolation

With images of different sizes and orientations, the size and orientation of the first is used for the output image. A warning is given in this situation. Images are sampled into this orientation using the interpolation specified by the hold parameter.

The method by which the images are sampled when being written in a different space.

Nearest Neighbour

- Fastest, but not normally recommended.

Bilinear Interpolation

- OK for PET, or realigned fMRI.

Sinc Interpolation

- Better quality (but slower) interpolation, especially with higher degrees.

### 14.4.4 Data Type

Data-type of output image

# **DICOM Import**

| $\operatorname{nte}$ | $_{ m nts}$          |
|----------------------|----------------------|
|                      |                      |
|                      | $\operatorname{nte}$ |

| 15.1 DICOM files |
|------------------|
|------------------|

DICOM Conversion. Most scanners produce data in DICOM format. Thios routine attempts to convert DICOM files into SPM compatible image volumes, which are written into the current directory. Note that not all flavours of DICOM can be handled, as DICOM is a very complicated format, and some scanner manufacturers use their own fields, which are not in the official documentation at http://medical.nema.org/

## 15.1 DICOM files

Select the DICOM files to convert.

# MINC Import

### Contents

| 16.1 MIN  | C files    | <br> | <br> | • | <br> | • | <br>• | <br>• | • | <br>• | • | • | • | <br>• | • | • |
|-----------|------------|------|------|---|------|---|-------|-------|---|-------|---|---|---|-------|---|---|
| 16.2 Opti | ons        | <br> | <br> |   | <br> |   | <br>  |       |   |       |   |   |   |       |   |   |
| 16.2.1    | Data Type  | <br> | <br> |   |      |   |       |       |   |       |   |   |   |       |   |   |
|           | NIFTI Type |      |      |   |      |   |       |       |   |       |   |   |   |       |   |   |

MINC Conversion. MINC is the image data format used for exchanging data within the ICBM community, and the format used by the MNI software tools. It is based on NetCDF, but due to be superceded by a new version relatively soon. MINC is no longer supported for reading images into SPM, so MINC files need to be converted to NIFTI format in order to use them. See http://www.bic.mni.mcgill.ca/software/ for more information.

## 16.1 MINC files

Select the MINC files to convert.

## 16.2 Options

Conversion options

### 16.2.1 Data Type

Data-type of output images. Note that the number of bits used determines the accuracy, and the abount of disk space needed.

### **16.2.2** NIFTI Type

Output files can be written as .img + .hdr, or the two can be combined into a .nii file.

# **ECAT Import**

### Contents

| 17.1 ECAT files |       |  | <br> |      |  |  | <br> |  |      |  |      |  | 91 |
|-----------------|-------|--|------|------|--|--|------|--|------|--|------|--|----|
| 17.2 Options .  |       |  | <br> |      |  |  | <br> |  |      |  |      |  | 91 |
| 17.2.1 NIFTI    | Type. |  | <br> | <br> |  |  |      |  | <br> |  | <br> |  | 91 |

ECAT 7 Conversion. ECAT 7 is the image data format used by the more recent CTI PET scanners.

## 17.1 ECAT files

Select the ECAT files to convert.

## 17.2 Options

Conversion options

## 17.2.1 NIFTI Type

Output files can be written as .img + .hdr, or the two can be combined into a .nii file.

## **Execute Batch Jobs**

| 18.1 Batch Files |
|------------------|
|------------------|

This facilty allows previously created batch jobs to be run. These are simply created by the batch user interface (which you are currently using).

## 18.1 Batch Files

Select the batch job files to be run.

# Change Directory

| Contents |
|----------|
|----------|

| 19.1 Select a directory | · | 95 |
|-------------------------|---|----|

This facilty allows programming a directory change. Directories are selected in the right listbox.

## 19.1 Select a directory

Select a directory to change to.

# Make Directory

### Contents

| 20.1 Select a base directory |  |   |   |  |   |   |   |   |   |   |   |   |   |  |   |   |   |   |  | 97 |
|------------------------------|--|---|---|--|---|---|---|---|---|---|---|---|---|--|---|---|---|---|--|----|
| 20.2 Enter a directory name  |  | • | • |  | • | • | • | • | • | • | • | • | • |  | • | • | • | • |  | 97 |

This facilty allows programming a directory change. Directories are selected in the right listbox.

## 20.1 Select a base directory

Select a base directory.

## 20.2 Enter a directory name

Enter a directory name

## **Deformations**

#### Contents

| 21.1.1    | Imported $\_sn.$ | $\max$ . |  |  |  | <br> | <br> |  |  |      |  | <br> |       |
|-----------|------------------|----------|--|--|--|------|------|--|--|------|--|------|-------|
| 21.1.2    | Deformation 1    | Field    |  |  |  | <br> |      |  |  |      |  | <br> | 1     |
| 21.1.3    | Inverse          |          |  |  |  | <br> |      |  |  |      |  | <br> | 1     |
| 21.1.4    | Composition      |          |  |  |  |      |      |  |  |      |  | <br> | 1     |
| 21.2 Save | as               |          |  |  |  |      |      |  |  | <br> |  |      | <br>1 |

This is a utility for working with deformation fields. They can be loaded, inverted, combined etc, and the results either saved to disk, or applied to some image.

Note that ideal deformations can be treated as members of a Lie group. Future versions of SPM may base its warping on such principles.

## 21.1 Composition

Deformation fields can be thought of as mappings. These can be combined by the operation of "composition", which is usually denoted by a circle "o". Suppose x:A->B and y:B->C are two mappings, where A, B and C refer to domains in 3 dimensions. Each element a in A points to element x(a) in B. This in turn points to element y(x(a)) in C, so we have a mapping from A to C. The composition of these mappings is denoted by yox:A->C. Compositions can be combined in an associative way, such that zo(yox) = (zoy)ox.

In this utility, the left-to-right order of the compositions is from top to bottom (note that the rightmost deformation would actually be applied first). i.e. ...((first o second) o third)...o last. The resulting deformation field will have the same domain as the first deformation specified, and will map to voxels in the codomain of the last specified deformation field.

#### 21.1.1 Imported sn.mat

Spatial normalisation, and the unified segmentation model of SPM5 save a parameterisation of deformation fields. These consist of a combination of an affine transform, and nonlinear warps that are parameterised by a linear combination of cosine transform basis functions. These are saved in \*\_sn.mat files, which can be converted to deformation fields.

### Parameter File

Specify the \_sn.mat to be used.

#### Voxel sizes

Specify the voxel sizes of the deformation field to be produced. Non-finite values will default to the voxel sizes of the template image that was originally used to estimate the deformation.

### Bounding box

Specify the bounding box of the deformation field to be produced. Non-finite values will default to the bounding box of the template image that was originally used to estimate the deformation.

### 21.1.2 Deformation Field

Deformations can be thought of as vector fields. These can be represented by three-volume images.

### 21.1.3 Inverse

Creates the inverse of a deformation field. Deformations are assumed to be one-to-one, in which case they have a unique inverse. If y':A->B is the inverse of y:B->A, then y' o y=y o y'=Id, where Id is the identity transform.

Deformations are inverted using the method described in the appendix of:

\* Ashburner J, Andersson JLR & Friston KJ (2000) "Image Registration using a Symmetric Prior - in Three-Dimensions." Human Brain Mapping 9(4):212-225

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**Image to base inverse on** Specify the image file on which to base the dimensions, orientation etc of the inverse.

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**Deformation Field** Deformations can be thought of as vector fields. These can be represented by three-volume images.

### 21.2 Save as

Save the result as a three-volume image. "y\_" will be prepended to the filename. The result will be written to the current directory.

21.3. APPLY TO 105

## 21.3 Apply to

Apply the resulting deformation field to some images. The warped images will be written to the current directory, and the filenames prepended by "w". Note that trilinear interpolation is used to resample the data, so the original values in the images will not be preserved.

## User Interface

### Contents

| 22.1.1 | Background C | Colour | 1 | <br> |  | <br> | <br> |  |  |  |  |
|--------|--------------|--------|---|------|--|------|------|--|--|--|--|
| 22.1.2 | Background C | Colour | 2 | <br> |  | <br> | <br> |  |  |  |  |
| 22.1.3 | Foreground C | olour  |   | <br> |  | <br> | <br> |  |  |  |  |
| 22.1.4 | Font Size    |        |   | <br> |  | <br> | <br> |  |  |  |  |

## 22.1 Appearance

Appearance of user interface

- 22.1.1 Background Colour 1
- 22.1.2 Background Colour 2
- 22.1.3 Foreground Colour
- **22.1.4** Font Size

## 22.2 Printing

Select the printing option you want. The figure will be printed to a file named spm5\*.\*, in the current directory. PostScript files will be appended to, but other files will have "page numbers" appended to them.

 $\mathbf{Part}\ \mathbf{V}$ 

Tools

# 3D to 4D

| Contents  |
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| Componies |

| 23.1 3D Volumes | 111 |
|-----------------|-----|
|-----------------|-----|

Concatenate a number of 3D volumes into a single 4D file. Note that output time series are stored as big-endian int16.

## 23.1 3D Volumes

Select the volumes to concatenate

## Toolboxes

### Contents

Toolbox configuration files should be placed in the toolbox directory, with their own spm\_config\_\*.m files. If you write a toolbox, then you can include it in this directory - but remember to try to keep the function names unique (to reduce clashes with other toolboxes. See spm\_config.m for information about the form of SPM's configuration files.

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