



## Abridged Manual

[translation in progress]

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This Manual is only an abridged Manual.  
Check the German or French Manual for more Information.

## 1 Preface

KOST-Val is a Java-based application for validating the structure and content of TIFF (*Tagged Image File Format*), SIARD (*Software Independent Archiving of Relational Databases*), PDF/A, and JP2 (JPEG 2000; *Joint Photographic Experts Group 2000*) files as well as Submission Information Packages (SIP) for digital information ingest. It is an open source application under a GPL v3+ licence. KOST-Val uses unmodified components of other manufacturers by embedding them directly into the source code. Users of KOST-Val are requested to adhere to these components' terms of licence. Please refer to chapter 10 for further information.

KOST-Val complies with the following requirements.

TIFF validation: KOST-Val reads a TIFF file and uses JHOVE to validate the structure, the content, and ExifTool to validate the key properties such as compression, colour space, and multipage. These properties can be configured.

SIARD validation: KOST-Val reads a SIARD (eCH-0165<sup>1</sup> v1 and v2.1<sup>2</sup>) file and validates the structure and the content.

PDF/A validation: KOST-Val reads a PDF or PDF/A file (ISO 19005-1 and 19005-2) and uses 3-Heights™ PDF/A Validator by PDF-Tools or pdfaPilot by callas to validate the structure and the content of the PDF file. KOST-Val organises the different error messages into main categories such as fonts, graphics, and metadata. KOST-Val supplies only limited<sup>3</sup> versions from 3-Heights™ PDF/A Validator by PDF-Tools and pdfaPilot by callas.

Module J extracts and validates the JPEG and JP2 images contained in the PDF file (depending on the configuration)<sup>4</sup>. It is also possible to configure whether the JBIG2 compression<sup>5</sup> is accepted or not.

Module K checks whether the fonts can be searched and extracted (depending on the configuration).

JP2 validation: KOST-Val reads a JP2 file (ISO 15444) and uses Jpylyzer to validate the structure and the content.

JPEG validation: KOST-Val reads a JPEG file (ISO 10918-1) and uses BadPeggy to validate the structure and the content<sup>6</sup>.

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<sup>1</sup> The specification can be downloaded from the eCH website: <https://www.ech.ch/vechweb/page?p=dossier&documentNumber=eCH-0165&documentVersion=1.0>.

<sup>2</sup> The specification can be downloaded from the KOST website: [https://kost-ceco.ch/cms/index.php?siard\\_de](https://kost-ceco.ch/cms/index.php?siard_de).

<sup>3</sup> The restriction is related mainly to the maximum throughput by 72,000 pages per year. More information on the licenses see Chapter 10. This restriction may be lifted if the 3-Heights™ PDF/A Validator API license by PDF-Tools is acquired and activated with the license manager respectively if pdfaPilot by callas is acquired.

<sup>4</sup> ISO 19005 does not stipulate that the images contained must be valid. The validation of the images is an archival requirement, especially in the use of PDF/A as an image format.

<sup>5</sup> On the problem of the JBIG2 compression see [http://kost-ceco.ch/cms/index.php?jbig2-compression\\_de](http://kost-ceco.ch/cms/index.php?jbig2-compression_de). The KOST Preservation Planning Expert Group PPEG recommends to renounce the compression type JBIG2 when creating PDF files until further notice.

<sup>6</sup> KOST-Val further evaluates and interprets the error message "Not a JPEG file".

SIP validation: KOST-Val reads an SIP (eCH-0160<sup>7</sup> v1 and v1.1) and validates the mandatory requirements of the SIP specification. The validated requirements are organised into groups such as folder structure, schema validation, and checksum validation. At the outset, a file format validation is performed.

The results (including information on inconsistencies and errors) are output for every step and written into a validation log.

The validation steps are executed sequentially. Whenever possible the validation shall continue after an error has been detected in order to reduce the number of correction cycles.

Please refer to the Annex for more detailed information on the different formats and validation steps.

## **2 System requirements**

Microsoft Windows 98 or later

128 MB RAM or more (512 MB or more is recommended)

20 GB disk space or more

Java Runtime Environment (JRE) Version 8 resp. 1.8 (32bit)

## **3 Open issues / Feedback**

Open issues ranging including bugs, requested features, and questions, are listed on the software development platform GitHub at <https://github.com/KOST-CECO/KOST-Val/issues> and can also be communicated to [kost-val@kost-ceco.ch](mailto:kost-val@kost-ceco.ch).

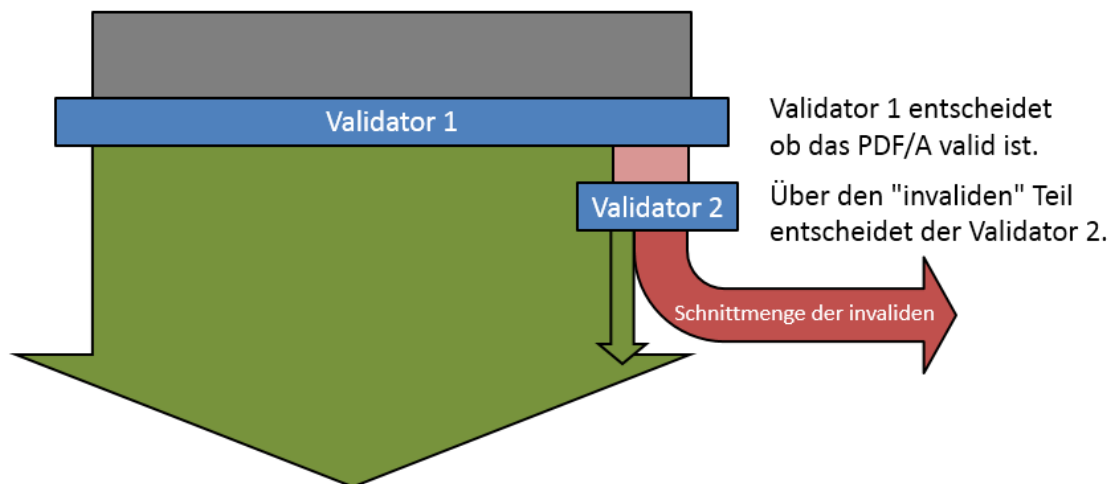
These issues are managed by the development team. Any and all contributions are welcome.

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<sup>7</sup> The specification can be downloaded from the eCH website:  
<https://www.ech.ch/vechweb/page?p=dossier&documentNumber=eCH-0160>.

#### 4 Einleitung duale PDF/A-Validierung [translation in progress]

Für PDF/A bietet KOST-Val die Möglichkeit einer dualen Validierung. Dabei wird eine PDF/A-Datei zunächst durch einen ersten Validator geprüft. Bei invalidem Resultat folgt eine Prüfung durch einen zweiten Validator. Die PDF/A-Datei gilt als valid, wenn mindestens einer der Validatoren sie als valid identifiziert, und als invalid, wenn beide Validatoren sie als invalid identifizieren.<sup>8</sup>



Die duale PDF/A-Validierung darf nur angewendet werden, wenn das Archiv es zulässt, dass potenziell invalide PDF/A-Dateien übernommen werden dürfen. Wenn dies nicht der Fall ist, dann sollte auf die duale PDF/A-Validierung verzichtet werden.

Für die duale Validierung wird sowohl 3-Heights™ PDF/A Validator von PDF-Tools als auch pdfaPilot von callas verwendet. Wenn nur ein Validator eingeschaltet (siehe 0) ist, wird automatisch eine einfache Validierung durchgeführt.

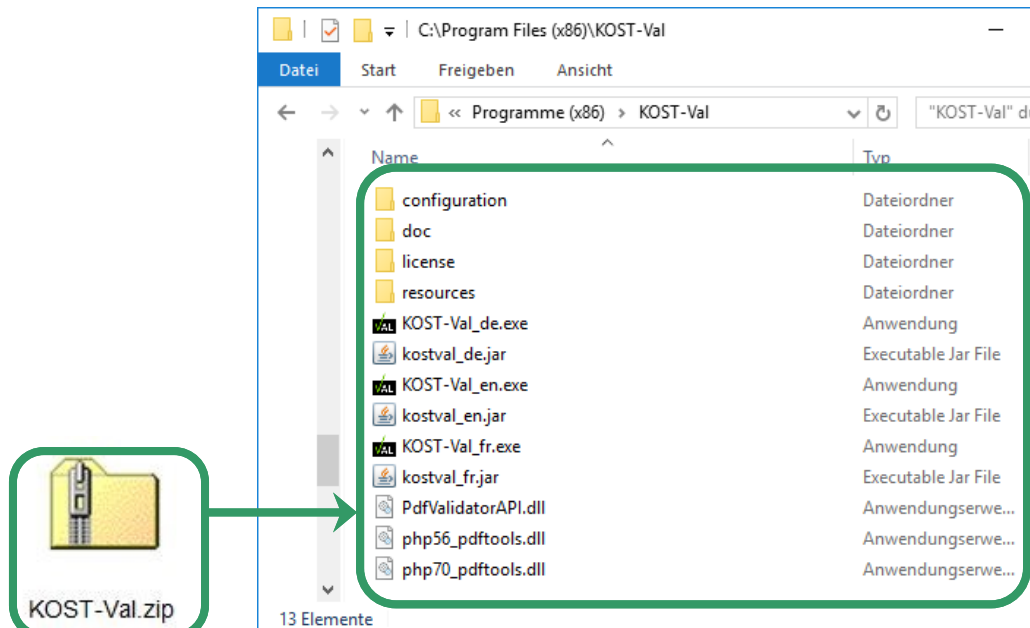
Konzeptionelle Grundlage für die duale Validierung ist die Feststellung, dass selbst qualitativ hochstehende PDF/A-Validatoren zu unterschiedlichen Resultaten kommen können. Dies liegt einerseits daran, dass der eigentliche PDF/A-Standard ein Set von anderen Standards einschliesst, welche in den Validatoren nicht zwingend bis in alle Details implementiert sind. Andererseits sind gewisse Vorgaben des Standards so formuliert, dass sie legitimerweise auf verschiedene Arten implementiert werden können. Dass sämtliche relevanten Tools die Spezifikation einheitlich und vollständig implementieren, bleibt vorerst Zukunftsmusik. Deshalb bietet KOST-Val als Zwischenlösung die duale Validierung an.

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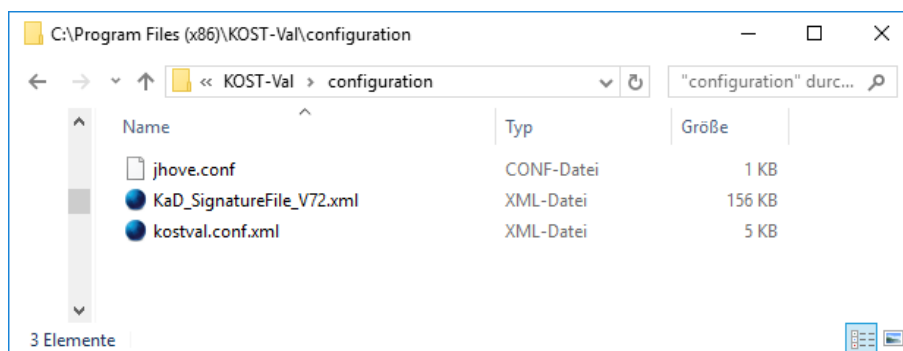
<sup>8</sup> Die duale Validierung kann nur mit qualitativ hochstehenden PDF/A-Validatoren in diesem Sinne durchgeführt werden. Diese hohen Anforderungen erfüllen unter anderem die neusten Versionen von 3-Heights™ PDF/A Validator von PDF-Tools und pdfaPilot von callas.

## 5 Installation of KOST-Val

- 1 Download KOST-Val and unpack the ZIP file into the relevant folder.



## 6 Configuration of KOST-Val



The „configuration“ subfolder contains the files "jhove.conf" and "KaD\_SignatureFile\_V72.xml" that are described in chapter 6.2.

The configuration file "kostval.conf.xml" is copied to the directory "USERHOME/.kost-val/configuration" if not available. All configurations of the KOST-Val can be made in the configuration file "USERHOME/.kost-val/configuration/kostval.conf.xml". Please use an XML editor or Notepad ++<sup>9</sup> to modify XML files.

<sup>9</sup> Portable Notepad ++ is available from [http://portableapps.com/de/apps/development/notepadpp\\_portable](http://portableapps.com/de/apps/development/notepadpp_portable).

## 6.1 Parts of the configuration file "kostval.conf.xml"

The configuration file "kostval.conf.xml" consists of several parts. The pre-installed configuration allows immediate validation of PDF/A, TIFF, SIARD, JP2, JPEG and SIP. The following is a short description of the configuration parts.

### 6.1.1 General

| xml-Tag              | Description: default value              |
|----------------------|---|
| <showprogressonwork> | Display that KOST-Val works: <b>yes</b> |

### 6.1.2 PDF/A

| xml-Tag        | Description: default value  |
|----------------|---|
| <pdftools>     | Specify whether a PDF/A validation with PDF Tools should take place: <b>yes</b><br>If both <callas> and <pdftools> are set to yes, a dual validation is performed (see also chapter 4). |
| <detail>       | Specifies whether an detail error message should be issued by PDF Tools: <b>yes</b>   |
| <callas>       | Specify whether a PDF/A validation with callas should take place: <b>yes</b><br>If both <callas> and <pdftools> are set to yes, a dual validation is performed (see also chapter 4).    |
| <nentry>       | Specifies whether an error or warning should be issued by callas if the N entry does not match: <b>W</b>  |
| <pdfa1>        | Specification of minimum conformity level for version 1: <b>1B</b>  |
| <pdfa2>        | Specification of minimum conformity level for version 2: <b>2U</b>  |
| <pdfafont>     | Specifies whether font validation (searchability and extractability) should take place: <b>no</b>   |
| <pdfaimage>    | Indicates if the image validation (JPEG and JP2) should be performed: <b>no</b>   |
| <jbig2allowed> | Indicates if the JBIG2 compression <sup>10</sup> is allowed: <b>yes</b>   |

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<sup>10</sup> On the problem of the JBIG2 compression see [http://kost-ceco.ch/cms/index.php?jbig2-compression\\_de](http://kost-ceco.ch/cms/index.php?jbig2-compression_de). The KOST Preservation Planning Expert Group PPEG recommends to renounce the compression type JBIG2 when creating PDF files until further notice.

### 6.1.3 SIARD

| xml-Tag           | Description: default value              |
|-------------------|---|
| <siardvalidation> | Switch for SIARD validation: <b>yes</b> |

### 6.1.4 JP2

| xml-Tag         | Description: default value            |
|-----------------|---------------------------------------|
| <jp2validation> | Switch for JP2 validation: <b>yes</b> |

### 6.1.5 JPEG

| xml-Tag          | Description: default value             |
|------------------|--|
| <jpegvalidation> | Switch for JPEG validation: <b>yes</b> |

### 6.1.6 TIFF<sup>11</sup>

| xml-Tag                 | Description: default value  |
|-------------------------|---|
| <tiffvalidation>        | Switch for TIFF validation: <b>yes</b>  |
| <allowedcompressionX>   | Indicates whether compression algorithm X is allowed. By default the following values are allowed: <b>Uncompressed, CCITT 1D, T4/Group 3 Fax, T6/Group 4 Fax, LZW, PackBits</b> |
| <allowedphotointerY>    | Indicates whether colour space Y is allowed. By default the following values are allowed: <b>WhiteIsZero, BlackIsZero, RGB, RGB Palette</b>                                     |
| <allowedbitspersampleZ> | Indicates whether Z bits per sample are allowed. By default the following values are allowed: <b>1, 4, 8, 16</b>  |
| <allowedmultipage>      | Indicates whether multipage TIFF is allowed: <b>1</b>   |
| <allowedtiles>          | Indicates whether tiled images are allowed: <b>0</b>  |
| <allowedsize>           | Indicates whether file sizes exceeding 1000MB (~1GB) are allowed: <b>0</b>  |

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<sup>11</sup> The default values are taken from KOST's Preservation Planning Recommendation ([http://kost-ceco.ch/cms/index.php?preservation\\_de](http://kost-ceco.ch/cms/index.php?preservation_de)). This uses the Baseline TIFF specification to which it applies restrictions and extensions.

### 6.1.7 SIP

| xml-Tag                | Description: default value  |
|------------------------|---|
| <allowedlengthofpaths> | Maximum number of characters in file paths: <b>179</b>  |
| <allowedsipname>       | Specification of the structure of SIP names:<br><b>SIP_[1-2][0-9]{3}[0-1][0-9][0-3][0-9]_\\w{3}</b>   |
| <allowedformats>       | Lists the allowed file formats. The list consists of sub-elements with detailed information. The following are allowed per default:<br><b>TXT, PDFA1, PDFA2, TIFF, JP2, JPEG, WAVE, MP3, MP4, MJ2, CSV, SIARD, WARC</b> |

### 6.1.8 Errors to ignore

| xml-Tag  | Description: default value  |
|----------|---|
| <ignore> | List the detailed error messages from PDF Tools to ignore: <b>The document does not conform to the requested standard. [PDF Tools: 0x83410612] The value of the key N is 4 but must be 3. [PDF Tools: 0x80410607]</b> |

## 6.2 "KaD\_SignatureFile\_V72.xml" and "jhove.conf"

The "configuration" folder also contains the files "KaD\_SignatureFile\_V72.xml" and "jhove.conf" that do not need adjustment.

"KaD\_SignatureFile\_V72.xml" is used for format recognition. It is based on DROID, version 28.08.2013, and has been adapted by KOST<sup>12</sup>.

"jhove.conf" is used for the internal validation by JHOVE.

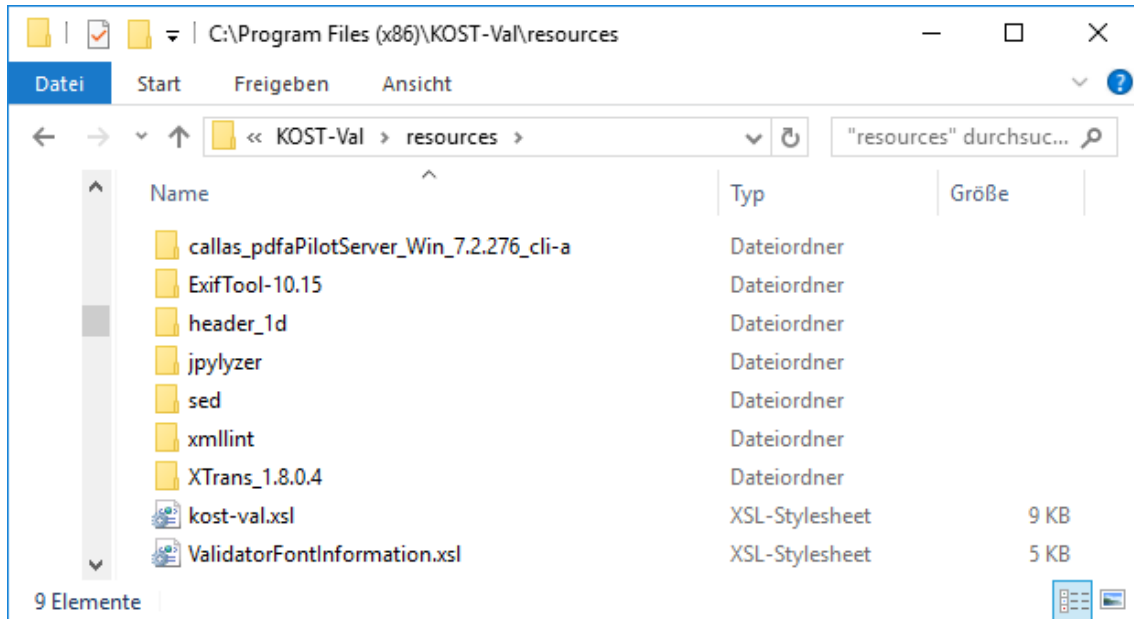
---

<sup>12</sup> KOST-Val uses KaD-SignatureFile by KOST instead of the DROID SignatureFile (see [https://github.com/KOST-CECO/KaD\\_SignatureFile](https://github.com/KOST-CECO/KaD_SignatureFile)). Both files are compatible. KaD-SignatureFile comprises only the formats analysed in the KOST Catalogue of archival file formats KaD and permits their recognition in the granularity recommended by KOST. It leads to a significant increase in efficiency as compared to the DROID SignatureFile and thus enhances usability.



## 7 Resources of KOST-Val

All resources of KOST-Val are stored in the subfolder "resources".



## 8 Start the validation

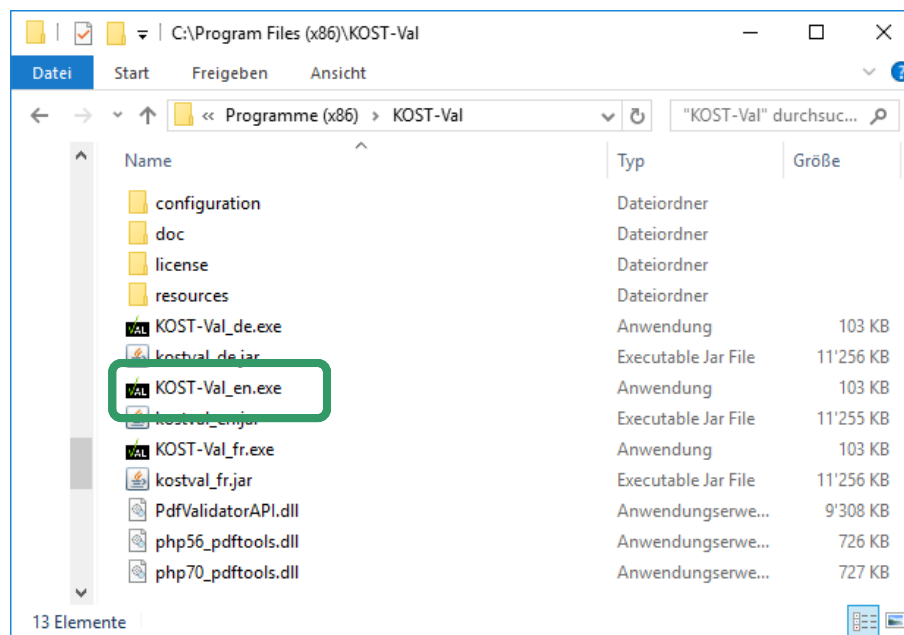


KOST-Val is not thread safe!

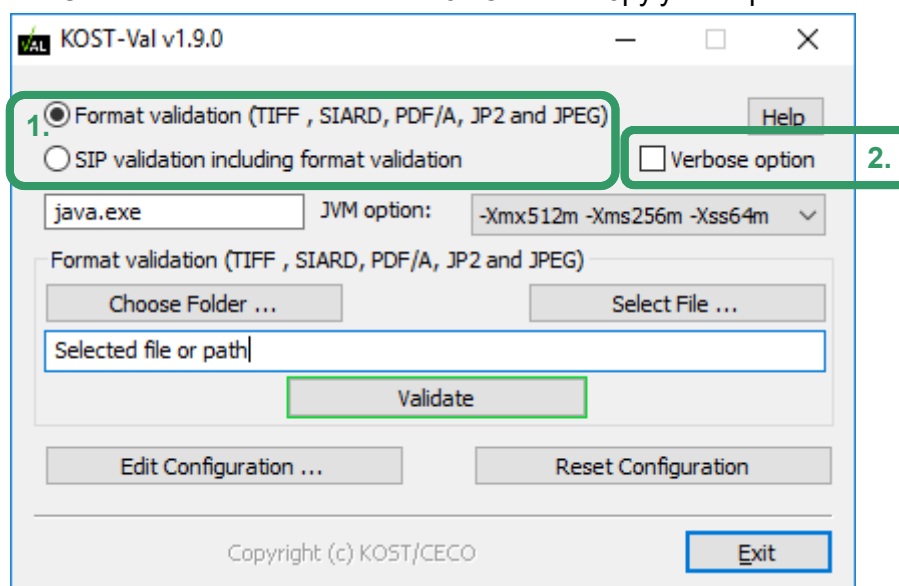
That is to say that concurrent instances of KOST-Val cannot be executed without interfering with each other. Concurrent execution of KOST-Val may lead to errors such as a missing working copy.

### 8.1 Start the validation using the "KOST-Val\_en.exe" GUI

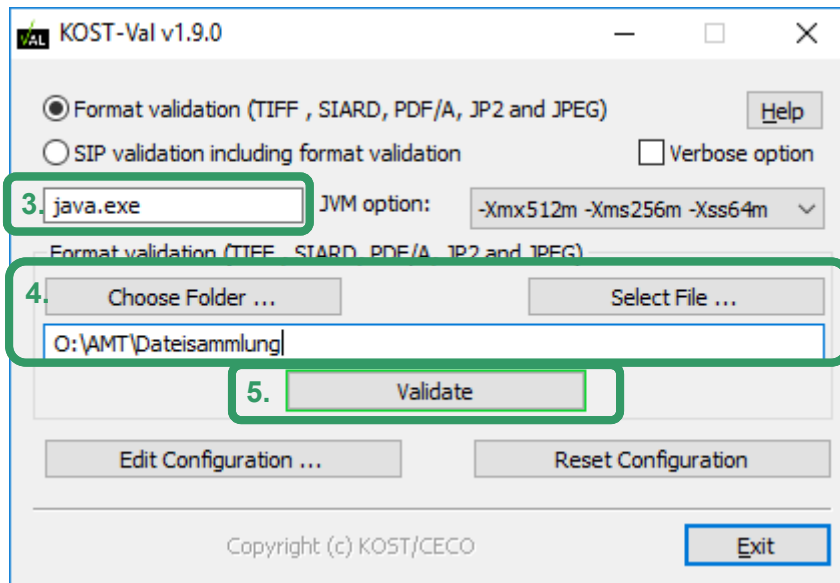
- 1 Double click on „KOST-Val\_en.exe“ in the folder „KOST-Val“ to start KOST-Val.



- 2
  1. Choose whether to validate only formats or to validate a SIP including the formats.
  2. Choose whether to retain the JHOVE and Jpylyzer report.



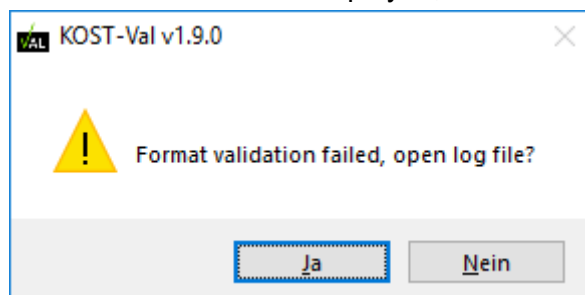
3. Enter the path to java.exe (jre8 32bit)
4. Choose or input the path to the file to be validated.
5. Start the validation.




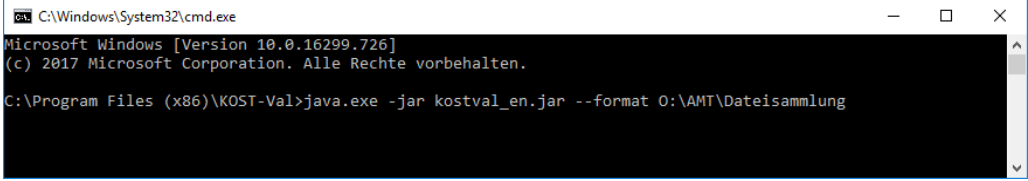
**Hint:**

If required, the Java Virtual Memory can be quickly adapted. -Xmx should be adjusted in "Out of Memory" and -Xss at "Stack Overflow" errors. The configuration can be adapted temporarily. The temporary configuration is reset to the default values by clicking on the "Exit" or "Reset Configuration" buttons.

- 3 The validation result is displayed, and the KOST-Val log file can be opened.



## 8.2 Start the validation manually

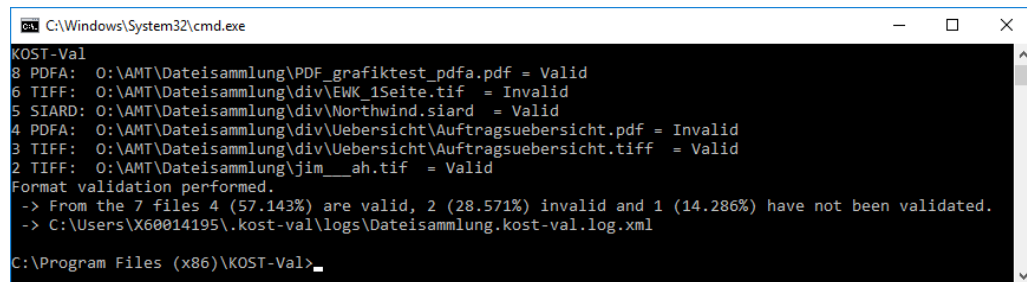
|   |  |
|---|--|
| 1 | <p>Prepare the folder or file to be validated.</p>   |
| 2 | <p>Open a command prompt and change to the desired working directory (CD C:\Program Files (x86)\KOST-Val)<sup>13</sup>.</p>  |
| 3 | <p>Invoke the KOST-Val command (separate command options with spaces).</p>  <p><b>A</b> java.exe<sup>14</sup> -jar<br/><b>B</b> kostval_en.jar<br/><b>C</b> --format (or --sip for SIP validation)<br/><b>D</b> O:\AMT\Dateisammlung or O:\AMT\Dateisammlung\jim__ah.tif<br/><b>E</b> nothing or -v (verbose)</p> <p><b>A</b> = Java jre8 32bit command (path to java.exe -jar),<br/><b>B</b> = relative path and file name kostval_en.jar,<br/><b>C</b> = --format (or --sip for SIP validation),<br/><b>D</b> = relative path and file name of the folder or file to be validated,<br/><b>E</b> = verbose option to retain the original reports.</p> <p><u>Notes:</u><br/>A command component that contains spaces needs to be enclosed in quotation marks.<br/>KOST-Val can be invoked from any location. However this may require using absolute paths.</p> |

<sup>13</sup> To change the drive type, e.g., CD /D.

<sup>14</sup> Invoking `java.exe -jar` is possible only if Java Runtime Environment (JRE) version 8 (32bit) is the standard version.

4

The file has been validated as soon as "Valid" or "Invalid" is displayed in the command window. The folder has been validated as soon as the prompt (C:\Program Files (x86)\KOST-Val>) is displayed.



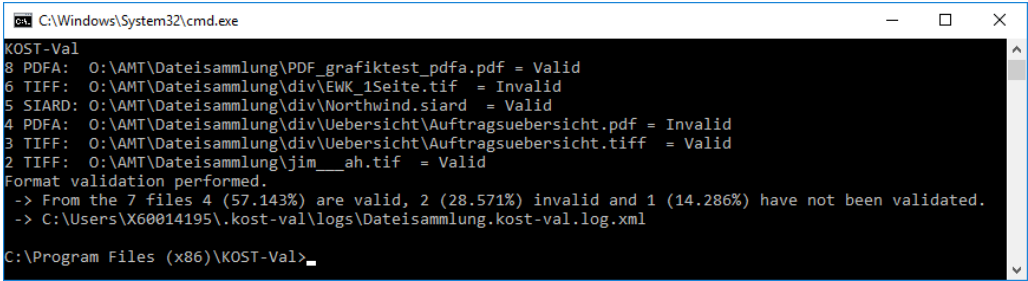
```
C:\Windows\System32\cmd.exe
KOST-Val
8 PDF_A: O:\AMT\Dateisammlung\PDF_grafiktest_pdfa.pdf = Valid
6 TIFF: O:\AMT\Dateisammlung\div\EWK_1Seite.tif = Invalid
5 SIARD: O:\AMT\Dateisammlung\div\Northwind.siard = Valid
4 PDF_A: O:\AMT\Dateisammlung\div\Uebersicht\Auftragsuebersicht.pdf = Invalid
3 TIFF: O:\AMT\Dateisammlung\div\Uebersicht\Auftragsuebersicht.tiff = Valid
2 TIFF: O:\AMT\Dateisammlung\jim__ah.tif = Valid
Format validation performed.
-> From the 7 files 4 (57.143%) are valid, 2 (28.571%) invalid and 1 (14.286%) have not been validated.
-> C:\Users\X60014195\.kost-val\logs\Dateisammlung.kost-val.log.xml
C:\Program Files (x86)\KOST-Val>
```

Detailed results are available in the file kost-val.log.xml.

The overall result (valid/invalid file) is output as well. In addition, it is visible in the program's exit status in order for the validation to be embedded into an automated process chain. The exit status can take the following values:

- 0 everything is ok
- 1 incorrect program call
- 2 not valid

## 9 Interpret the validation results

|  |  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
|--|--|---|--|----------|--|----------|---|----------------|---|--|--|------------|------------------------------|-------------|--|-------------|--|-------------|--|----------|--|----------|---|----------|---|----------|--|----------|---|----------|--|----------|---|----------|---|-------------|--|-------------|---|-------------|--|--|--|--|--|------------|--|------------|---|--|--|---|--|
| 1  | The validation steps are listed in chapter 11.1.   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| 2  | <p>KOST-Val first displays the validation type and the file name in the command prompt output. A validation that has completed without error returns “valid”. If a validation identifies an error it returns “Invalid”.</p>  <p>If the option „-v“ (verbose) has been activated, the original validation report is saved as well in the log folder. Since KOST-Val transmits all error messages without modification, this option is of interest only for the specialist user and in particular cases.</p>   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| 3  | <p>The log file lists additional detail on every invalid validation step in particular, the affected validation step and the corresponding error.</p> <p><b>KOST-Val</b></p> <p><b>Format ( PDF/A, TIFF, JP2, SIARD, JPEG ):</b></p> <p>From the 7 files 4 (57.143%) are valid, 2 (28.571%) invalid and 1 (14.286%) have not been validated.<br/>The following files were not validated (.db):<br/>- O:\AMT\Dateisammlung\Thumbs.db</p> <p><b>Invalid:</b></p> <table border="1"> <tr> <td colspan="2"><b>Validation: TIFF -&gt; O:\AMT\Dateisammlung\div\EWK_1Seite.tif</b></td> </tr> <tr> <td>B) Jhove</td> <td>The Jhove validation has failed ( Status: Well-Formed, but not valid).</td> </tr> <tr> <td>B) Jhove</td> <td>Jhove ErrorMessage: JPEGProc not defined for JPEG compression</td> </tr> <tr> <td>C) compression</td> <td>Compression: JPEG (old-style) is not allowed.</td> </tr> </table> <table border="1"> <tr> <td colspan="2"><b>Validation: PDF/A-2U -&gt; O:\AMT\Dateisammlung\div\Uebersicht\Auftragsuebersicht.pdf</b></td> </tr> <tr> <td>A) general</td> <td>PDF/A entry missing [callas]</td> </tr> <tr> <td>C) graphics</td> <td>Device process color used but no PDF/A OutputIntent [callas]</td> </tr> <tr> <td>C) graphics</td> <td>The document contains device-specific color spaces. [PDF Tools: iCategory_3]</td> </tr> <tr> <td>C) graphics</td> <td>- A device-specific color space (DeviceGray) without an appropriate output intent is used. [PDF Tools: 0x03418614]</td> </tr> <tr> <td>D) fonts</td> <td>Font not embedded (and text rendering mode not 3) [callas]</td> </tr> <tr> <td>D) fonts</td> <td>CIUser in subset font is incomplete (font contains glyphs that are not listed) [callas]</td> </tr> <tr> <td>D) fonts</td> <td>The document contains fonts without embedded font programs or encoding information (CMAPs) [PDF Tools: iCategory_8]</td> </tr> <tr> <td>D) fonts</td> <td>- The font ArialMT must be embedded. [PDF Tools: 0x00418704]</td> </tr> <tr> <td>D) fonts</td> <td>- The font Arial-BoldMT must be embedded. [PDF Tools: 0x00418704]</td> </tr> <tr> <td>D) fonts</td> <td>- The font Verdana must be embedded. [PDF Tools: 0x00418704]</td> </tr> <tr> <td>D) fonts</td> <td>- The font Verdana-Bold must be embedded. [PDF Tools: 0x00418704]</td> </tr> <tr> <td>D) fonts</td> <td>- The font Verdana-Italic must be embedded. [PDF Tools: 0x00418704]</td> </tr> <tr> <td>H) metadata</td> <td>The document's meta data is either missing or inconsistent or corrupt. [PDF Tools: iCategory_16]</td> </tr> <tr> <td>H) metadata</td> <td>- The required XMP property 'pdfaid:part' is missing. [PDF Tools: 0x8341052B]</td> </tr> <tr> <td>H) metadata</td> <td>- The required XMP property 'pdfaid:conformance' is missing. [PDF Tools: 0x8341052B]</td> </tr> </table> <p><b>Valid:</b></p> <table border="1"> <tr> <td colspan="2"><b>Validation: PDF/A-1B -&gt; O:\AMT\Dateisammlung\PDF_grafiktest_pdfa.pdf</b></td> </tr> <tr> <td colspan="2"><b>Validation: SIARD-v1.0 -&gt; O:\AMT\Dateisammlung\div\Northwind.siard</b></td> </tr> <tr> <td>W) Warning</td> <td>dataOwner contains the initial value "(...)" and should be filled correctly.</td> </tr> <tr> <td>W) Warning</td> <td>dataOriginTimespan contains the initial value "(...)" and should be filled correctly.</td> </tr> <tr> <td colspan="2"><b>Validation: TIFF -&gt; O:\AMT\Dateisammlung\div\Uebersicht\Auftragsuebersicht.tif</b></td> </tr> <tr> <td colspan="2"><b>Validation: TIFF -&gt; O:\AMT\Dateisammlung\jim_ah.tif</b></td> </tr> </table> | <b>Validation: TIFF -&gt; O:\AMT\Dateisammlung\div\EWK_1Seite.tif</b> |  | B) Jhove | The Jhove validation has failed ( Status: Well-Formed, but not valid). | B) Jhove | Jhove ErrorMessage: JPEGProc not defined for JPEG compression | C) compression | Compression: JPEG (old-style) is not allowed. | <b>Validation: PDF/A-2U -&gt; O:\AMT\Dateisammlung\div\Uebersicht\Auftragsuebersicht.pdf</b> |  | A) general | PDF/A entry missing [callas] | C) graphics | Device process color used but no PDF/A OutputIntent [callas] | C) graphics | The document contains device-specific color spaces. [PDF Tools: iCategory_3] | C) graphics | - A device-specific color space (DeviceGray) without an appropriate output intent is used. [PDF Tools: 0x03418614] | D) fonts | Font not embedded (and text rendering mode not 3) [callas] | D) fonts | CIUser in subset font is incomplete (font contains glyphs that are not listed) [callas] | D) fonts | The document contains fonts without embedded font programs or encoding information (CMAPs) [PDF Tools: iCategory_8] | D) fonts | - The font ArialMT must be embedded. [PDF Tools: 0x00418704] | D) fonts | - The font Arial-BoldMT must be embedded. [PDF Tools: 0x00418704] | D) fonts | - The font Verdana must be embedded. [PDF Tools: 0x00418704] | D) fonts | - The font Verdana-Bold must be embedded. [PDF Tools: 0x00418704] | D) fonts | - The font Verdana-Italic must be embedded. [PDF Tools: 0x00418704] | H) metadata | The document's meta data is either missing or inconsistent or corrupt. [PDF Tools: iCategory_16] | H) metadata | - The required XMP property 'pdfaid:part' is missing. [PDF Tools: 0x8341052B] | H) metadata | - The required XMP property 'pdfaid:conformance' is missing. [PDF Tools: 0x8341052B] | <b>Validation: PDF/A-1B -&gt; O:\AMT\Dateisammlung\PDF_grafiktest_pdfa.pdf</b> |  | <b>Validation: SIARD-v1.0 -&gt; O:\AMT\Dateisammlung\div\Northwind.siard</b> |  | W) Warning | dataOwner contains the initial value "(...)" and should be filled correctly. | W) Warning | dataOriginTimespan contains the initial value "(...)" and should be filled correctly. | <b>Validation: TIFF -&gt; O:\AMT\Dateisammlung\div\Uebersicht\Auftragsuebersicht.tif</b> |  | <b>Validation: TIFF -&gt; O:\AMT\Dateisammlung\jim_ah.tif</b> |  |
| <b>Validation: TIFF -&gt; O:\AMT\Dateisammlung\div\EWK_1Seite.tif</b>                        |  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| B) Jhove   | The Jhove validation has failed ( Status: Well-Formed, but not valid).   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| B) Jhove   | Jhove ErrorMessage: JPEGProc not defined for JPEG compression  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| C) compression   | Compression: JPEG (old-style) is not allowed.  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| <b>Validation: PDF/A-2U -&gt; O:\AMT\Dateisammlung\div\Uebersicht\Auftragsuebersicht.pdf</b> |  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| A) general   | PDF/A entry missing [callas]   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| C) graphics  | Device process color used but no PDF/A OutputIntent [callas]   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| C) graphics  | The document contains device-specific color spaces. [PDF Tools: iCategory_3]   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| C) graphics  | - A device-specific color space (DeviceGray) without an appropriate output intent is used. [PDF Tools: 0x03418614]   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| D) fonts   | Font not embedded (and text rendering mode not 3) [callas]   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| D) fonts   | CIUser in subset font is incomplete (font contains glyphs that are not listed) [callas]  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| D) fonts   | The document contains fonts without embedded font programs or encoding information (CMAPs) [PDF Tools: iCategory_8]  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| D) fonts   | - The font ArialMT must be embedded. [PDF Tools: 0x00418704]   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| D) fonts   | - The font Arial-BoldMT must be embedded. [PDF Tools: 0x00418704]  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| D) fonts   | - The font Verdana must be embedded. [PDF Tools: 0x00418704]   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| D) fonts   | - The font Verdana-Bold must be embedded. [PDF Tools: 0x00418704]  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| D) fonts   | - The font Verdana-Italic must be embedded. [PDF Tools: 0x00418704]  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| H) metadata  | The document's meta data is either missing or inconsistent or corrupt. [PDF Tools: iCategory_16]   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| H) metadata  | - The required XMP property 'pdfaid:part' is missing. [PDF Tools: 0x8341052B]  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| H) metadata  | - The required XMP property 'pdfaid:conformance' is missing. [PDF Tools: 0x8341052B]   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| <b>Validation: PDF/A-1B -&gt; O:\AMT\Dateisammlung\PDF_grafiktest_pdfa.pdf</b>               |  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| <b>Validation: SIARD-v1.0 -&gt; O:\AMT\Dateisammlung\div\Northwind.siard</b>                 |  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| W) Warning   | dataOwner contains the initial value "(...)" and should be filled correctly.   |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| W) Warning   | dataOriginTimespan contains the initial value "(...)" and should be filled correctly.  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| <b>Validation: TIFF -&gt; O:\AMT\Dateisammlung\div\Uebersicht\Auftragsuebersicht.tif</b>     |  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |
| <b>Validation: TIFF -&gt; O:\AMT\Dateisammlung\jim_ah.tif</b>                                |  |   |  |          |  |          |   |                |   |  |  |            |                              |             |  |             |  |             |  |          |  |          |   |          |   |          |  |          |   |          |  |          |   |          |   |             |  |             |   |             |  |  |  |  |  |            |  |            |   |  |  |   |  |

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|                |   |
|----------------|---|
| <b>Notice:</b> | This product includes software developed by the Apache Software Foundation ( <a href="http://www.apache.org/">http://www.apache.org/</a> ). |
|----------------|---|

KOST-Val uses the following unmodified components of other manufacturers by embedding them directly into the source code:

| Third party application / component   | Version           | License            |
|---|-------------------|--------------------|
| <b>3-Heights™ PDF/A Validator API</b><br><a href="http://www.pdf-tools.com">http://www.pdf-tools.com</a>  | 4.12.15           | see Chapter 0      |
| <b>Apache Commons</b> <a href="http://commons.apache.org/">http://commons.apache.org/</a><br>- commons-logging-1.2.jar<br>- commons-lang-3.7.jar<br>- commons-logging-1.2.jar | 1.2<br>3.7<br>1.2 | Apache License 2.0 |
| <b>Apache log4j</b> <a href="http://logging.apache.org/log4j/">http://logging.apache.org/log4j/</a>   | 1.2.12            | Apache License 2.0 |
| <b>Apache Xerces</b> <a href="http://xerces.apache.org/">http://xerces.apache.org/</a>  | 2.7.1             | Apache License 2.0 |
| <b>BadPeggy</b> <a href="http://coderslagoon.com/">http://coderslagoon.com/</a>   | 2.0               | GPL v3 License     |
| <b>DROID</b> <a href="http://digital-preservation.github.io/droid/">http://digital-preservation.github.io/droid/</a>  | 5.0.3             | 3c BSD- License    |
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| <b>Jhove</b> <a href="http://hul.harvard.edu/jhove/">http://hul.harvard.edu/jhove/</a>  | 1.5               | LGPL v2.1 License  |
| <b>Junit 4.12</b> <a href="http://www.junit.org/">http://www.junit.org/</a>   | 4.12              | CPL v1.0           |
| <b>Spring Framework API</b><br><a href="http://static.springsource.org/spring/docs/5.0.x/api/">http://static.springsource.org/spring/docs/5.0.x/api/</a>                      | 5.0.8             | Apache License 2.0 |
| <b>zip64</b> <a href="http://sourceforge.net/projects/zip64file/">http://sourceforge.net/projects/zip64file/</a>  | 1.02              | GPL v2+ License    |

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|--|---------|-------------------------------------|
| <b>ExifTool</b><br><a href="http://www.sno.phy.queensu.ca/~phil/exiftool/">http://www.sno.phy.queensu.ca/~phil/exiftool/</a> | 10.15   | PERL respective<br>GPL v3.0 License |
| <b>Jpylyzer</b> <a href="http://jpylyzer.openpreservation.org/">http://jpylyzer.openpreservation.org/</a>                    | 1.17.0  | LGPL v3.0 License                   |
| <b>NSIS v2.46</b> <a href="http://nsis.sourceforge.net/Main_Page">http://nsis.sourceforge.net/Main_Page</a>                  | 2.46    | zlib/libpng License                 |
| <b>pdfaPilot CLI</b> <a href="https://www.callassoftware.com">https://www.callassoftware.com</a>                             | 7.2     | see Chapter 10.2                    |
| <b>GNU sed</b> <a href="https://www.gnu.org/software/sed">https://www.gnu.org/software/sed</a>                               | 4.4     | GPL v3+ License                     |
| <b>XML.nsh</b> <a href="http://nsis.sourceforge.net/XML_plugin">http://nsis.sourceforge.net/XML_plugin</a>                   | 2.0     | zlib/libpng License                 |
| <b>Xmllint</b> <a href="http://xmlsoft.org/xmllint.html/">http://xmlsoft.org/xmllint.html/</a>                               | 20630   | MIT License                         |
| <b>XTrans</b> <a href="http://sourceforge.net/projects/xtrans/">http://sourceforge.net/projects/xtrans/</a>                  | 1.8.0.4 | GPL v2 License                      |

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## 10.1 3-Heights™ PDF/A Validator API License [translation in progress]

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## 10.2 pdfaPilot CLI License [translation in progress]

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- Die KOST darf den eigenen Quellcode von KOST-Val Open Source publizieren. callas pdfaPilot CLI ist hiervon ausgenommen.
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## 11 Annex

### 11.1 Program structure

KOST-Val is structured according to the following requirements:

Functional requirements:

TIFF validation: KOST-Val reads a TIFF file and uses JHOVE to validate the following:

| Validation step   | Description   |
|-------------------|---------------|
| A (exit on error) | Recognition   |
| B                 | Jhove         |
| C                 | Compression   |
| D                 | Colour space  |
| E                 | BitsPerSample |
| F                 | Multipage     |
| G                 | Tiles         |
| H                 | File size     |

SIARD validation: KOST-Val reads a SIARD file and validates the following:

| Validation step   | Description                 |
|-------------------|-----------------------------|
| A (exit on error) | Readability                 |
| B (exit on error) | Primary folder structure    |
| C (exit on error) | Validation of header        |
| D (exit on error) | Validation of structure     |
| E                 | Validation of table columns |
| F                 | Validation of table rows    |
| G                 | Validation of tables        |
| H                 | Validation of content       |
| I                 | Recognition of SIARD        |
| J                 | Additional primary data     |
| W                 | Warning                     |

PDF/A validation: KOST-Val reads a PDF file and uses 3-Heights™ PDF/A Validator by PDF-Tools or pdfaPilot by callas to validate the following:

| Validation step   | Description            |
|-------------------|------------------------|
| A (exit on error) | General                |
| B                 | Structure              |
| C                 | Graphics               |
| D                 | Fonts                  |
| E                 | Transparency           |
| F                 | Annotations            |
| G                 | Actions & Interactions |
| H                 | Metadata               |
| I                 | Accessibility          |
| J (configurable)  | Image & JBIG2          |
| K (configurable)  | Font validation        |

JP2 validation: KOST-Val reads a JP2 file and uses Jpylyzer to validate the following:

| Validation step       | Description              |
|-----------------------|--------------------------|
| A (may exit on error) | Recognition and Jpylyzer |
| B                     | Metadata                 |
| C                     | Image                    |
| D                     | Extended                 |

JPEG validation: KOST-Val reads a JPWG file and uses BadPeggy to validate the following:

| Validation step       | Description              |
|-----------------------|--------------------------|
| A (may exit on error) | Recognition and BadPeggy |
| B                     | Corrupt data             |
| C                     | Invalid file structure   |
| D                     | Other problems           |

SIP validation: KOST-Val reads an SIP file and validates the following requirements of the SIP specification:

| Validation step    | Description (name of step)        |
|--------------------|-----------------------------------|
| 1a (exit on error) | Readability                       |
| 1b (exit on error) | Primary folder structure          |
| 1c (exit on error) | Folder and file names             |
| 1d (exit on error) | Schema validation of metadata.xml |
| 1e                 | Determine type of SIP             |
| 1f                 | Primary data in folder            |
| 2a                 | Missing primary data              |
| 2b                 | Additional primary data           |
| 2c                 | Validation of checksums           |
| 2d                 | Recording of GEVER primary data   |
| 3a                 | Format recognition                |
| 3b                 | Additional formats                |
| 3c                 | Format validation                 |
| 3d                 | Validation of range of dates      |

For every step the results (including information on inconsistencies and errors) are output and written into a validation log.

The overall result (valid/invalid file) is output as well. In addition, it is visible in the program's exit status in order for the validation to be embedded into an automated process chain. The exit status can take the following values:

- 0 everything is ok
- 1 incorrect program call
- 2 not valid

The validation steps are executed sequentially. Whenever possible the validation shall continue after an error has been detected in order to reduce the number of correction cycles.

### Non-functional requirements:

External programs or java frameworks are used for particular tasks.

The application has a modular structure that allows for inserting additional validation modules without further ado.

The validation log and exit status permit an easy readout of a single validation result and allow the utilisation of the tool in a process chain.

The console output is limited on the validation module, the final results of either “valid” or “invalid” and the path to the file. All additional information is documented in the log file.

## 11.2 Functional Principle of Format Validation

