

# Ten Simple Rules for Writing Dockerfiles for Reproducible Data Science

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## Abstract

Containers are greatly improving computational science by packaging software and data dependencies. In a scholarly context, transparency and support of reproducibility are the largest drivers for using these containers. It follows that choices that are made with respect to building containers can make or break a workflow's reproducibility. The build for the container image is often created based on the instructions in the **Dockerfile** format. The rules presented here help researchers to write understandable **Dockerfiles** for typical data science workflows. By following the rules in this article researchers can create containers suitable for sharing with fellow scientists, for inclusion in scholarly communication such as education or scientific papers, and for an effective and sustainable personal workflow.

## Introduction

Computing infrastructure has advanced to the point where not only can we share data underlying research articles, we can share the code that processes these data. This sharing of code files is enabled by collaboration platforms such as GitHub or GitLab and has become quite common. The sharing of the computing environment, on the other hand, is enabled by containerisation, which allows for documenting and sharing entire workflows in a comprehensive way. This sharing of computational assets is paramount to increase reproducibility of computational research. While “papers” based on the traditional journal article format can share high level details of research, computational research is often far too complicated to be disseminated alongside in this format [1]. In that the actual contribution to knowledge includes the full computing environment that produced a result [2], containerisation is needed.

Containerisation plays an important role in providing instructions for packaging the building blocks of computer-based research (i.e. code, data, documentation, and the computing environment). Containers are built from plain text files that represent a human- *and* machine-readable recipe for creating the computing environment and interacting with data. By providing this recipe, authors of scientific articles greatly improve their work's level of documentation, transparency, and reusability. Such practice is one important part of common practices for scientific computing [3,4], with the result that it is much more likely both the author and others are able to reproduce and extend an analysis workflow. The containers built from these recipes are portable encapsulated snapshots of a specific computing environment, which are both more lightweight and transparent than virtual machines. Such containers have been demonstrated for capturing scientific notebooks [5] and reproducible workflows [6].

While there are several tutorials for using containers for reproducible research [7–11], there is no detailed *manual for how to write the actual instructions to create the containers for computational research* besides generic best practices [12,13]. Guening et al. [14] give very helpful recommendations for packaging reusable software in a container. This article introduces these instructions for the popular **Dockerfile** format with a focus on containers encapsulating data science workflows.

## Prerequisites & scope

To start with, we assume you have a scripted scientific workflow, i.e. you can, at least at a certain point in time, execute the full process with a fixed set of commands, for example `make prepare_data` followed by `Rscript analysis.R`, or only `python3 my-workflow.py`. Since containers that you eventually share with others can only run open source software, tools like Mathematica and Matlab are out of scope for this example. A workflow that does not support scripted execution is also out of scope for reproducible research, as it does not fit well with containerisation. Furthermore, workflows interacting with many petabytes of data and executed in high performance computing (HPC) infrastructures are out of scope. Using such HPC job managers or cloud infrastructures would require a collection of “Ten Simple Rules” articles in their own right. For this article, we focus on workflows that typically run on single machine, e.g., a researchers laptop computer or a virtual server. The reader might scope the data requirement to less than a terabyte, and compute requirement to a machine with 16 cores running over the weekend.

While out of scope for this article, we point the reader to **docker-compose** [15] in the case of needing container orchestration for multiple applications, e.g., web servers, databases, and worker containers. A **docker-compose.yml** configuration file allows for defining volumes, environment variables, and exposed ports and helps to stick to *one purpose per container*, which often means one process running in the container, and to combine existing stable building blocks instead of bespoke massive containers for specific purposes.

Because “*the number of unique research environments approximates the number of researchers*” [16], sticking to conventions helps every researcher to understand, modify, and eventually write container recipes suitable for their needs. Even if they are not sure how the technology behind them actually works, researchers should leverage containerisation following good practices. The practices that are to be discussed in this article are strongly related to software engineering in general and research software engineering (RSEng) in particular, which is concerned with quality, training, and recognition of software in science [17]. We encourage you to reach out to your local or national RSE community if your questions on software development in research go beyond the rules of this work.

While there are many different container technologies, this article focuses on Docker [18]. Docker is a highly suitable tool for reproducible research (e.g., [19]) and our observations indicate it is the most widely used container technology in academic data science. The goal of this article is to guide you to write a **Dockerfile**, which is a file format for creating container images. The rules help you to ensure the **Dockerfile** allows for interactive development as well as the higher goals of reproducibility and preservation of knowledge. Such practices are generally not part of generic containerisation tutorials and are rarely found in **Dockerfiles** published as part of software projects, which are often used as templates by novices. The differences between a helpful, stable **Dockerfile** and one that is misleading, prone to failure, and full of potential obstacles, are not obvious, especially for researchers who do not have extensive software development experience or formal training. A commitment to this

article’s rules can ensure that workflows are reproducible and reusable, that computing environments are understandable by others, and researchers can collaborate effectively. Their application should not be triggered by the publication of a finished project, but be weaved into day-to-day habits (cf. thoughts on openness as an afterthought by [20] and on computational reproducibility by [2]).

## Docker & Dockerfiles

Docker [18] is a container technology that is widely adopted and supported on many platforms, and has become highly useful for research. Containers are distinct from virtual machines (VM) or hypervisors as they do not emulate hardware or operating system kernels, and thus do not require the same system resources. Several solutions for facilitating reproducible research are built on top of containers [16,21–24], but they intentionally hide most of the complexity from the researcher.

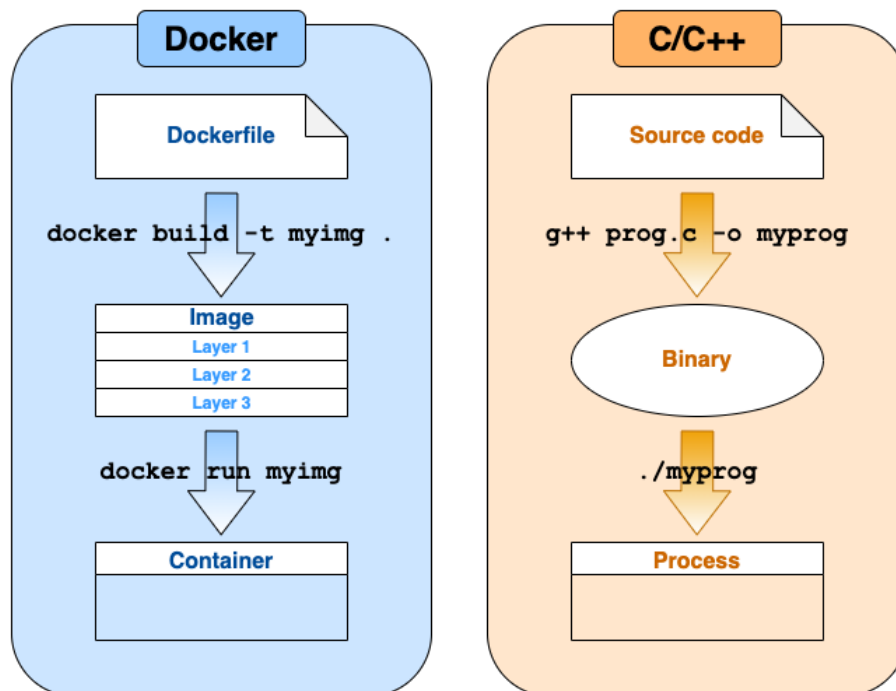
To create Docker containers for specific workflows, we write text files that follow a particular format called **Dockerfile** [25]. A **Dockerfile** is a machine- and human-readable recipe for building images, comparable to a **Makefile** [26]. Container images include the application, e.g., the programming language interpreter needed to run a workflow, and the system libraries required by an application to run. A **Dockerfile** consists of a sequence of instructions to copy files and install software. Each instruction adds a layer to the image, which can be cached across image builds for minimizing build and download times. The images have a main executable exposed as an “entrypoint” that is started when they are run as stateful containers, which are the running instances of Docker images. Containers can be modified, stopped, restarted and purged. A visual analogy for building and running a container is provided in Figure 1. Akin to compiling source code for a programming language, creating a container also starts with a plain text file (Dockerfile), which provides instructions for building an image. Similarly to using a compiled binary file to launch a program, the image is then run to create a container instance. See Listing 1 for a full **Dockerfile**, which we will refer to throughout this article.

While Docker was the original technology to support the **Dockerfile** format, other container technologies with support include podman/buildah supported by RedHat, kaniko, img, and buildkit. The Singularity container software [27] is optimised for scientific computing and the security needs of HPC environments. Although Singularity uses its own format, the *Singularity recipe*, it can import and run Docker images. The rules here are transferable to Singularity recipes to some extent.

While some may argue for reasons to not publish reproducibly, e.g., lack of time and incentives, reluctance to share (cf. [28]) and there are substantial technical challenges to maintain software and documentation, providing a **Dockerfile**, a pre-built Docker image, or other type of container should become an increasingly easier task for the average researcher. If a researcher is able to find and create containers or write a **Dockerfile** to address their most common use cases, then arguably it will not be extra work after this initial set up (cf. README of [29]). In fact, the **Dockerfile** itself can be a powerful documentation to show from where data and code was derived, i.e. downloaded or installed, and consequently where a third party might obtain them again.

```
FROM rocker/verse:3.6.2

# install Java, needed for package rJava
RUN apt-get update \
    && apt-get install -y default-jdk \
    && rm -rf /var/lib/apt/lists/*
```



**Fig 1.** The workflow to create Docker containers by analogy. Containers begin with a Dockerfile, a recipe for building the computational environment (analogous to source code in a compiled programming language). This is used to build an image with the ‘docker build’ command (analogous to compiling the source code into an executable binary). Finally the image is used to launch one or more containers with the ‘docker run’ command (analogous to running an instance of the compiled binary as a process).

```

# install system dependencies for R packages
RUN apt-get update \
    && apt-get install \
        # needed for RNetCDF:
        netcdf udunits-2 \
        # needed for ...:
        more \
        arguments

# Taken from https://github.com/rocker-org/geospatial/blob/master/Dockerfile
RUN install2.r --error \
    RColorBrewer \
    RandomFields \
    RNetCDF

WORKDIR /tmp

# Install latest version of crucial tool with bugfix from source
RUN apt-get update \
    && apt-get install -y \
        build-essential \
    && wget https://download.url/crucialware/version-1.2.3.zip \
    && unzip *.zip -d crucialware \
    && cd crucialware \
    && ./configure && make && make install \
    && rm -rf /tmp/crucialware version-*.zip \
    && rm -rf /var/lib/apt/lists/*

# Install Python tools
COPY requirements.txt requirements.txt
RUN pip install -r requirements.txt

# Add workflow scripts
WORKDIR /work
COPY myscript.sh myscript.sh
COPY plots.R plots.R

# Uncomment the following lines to execute preprocessing and processing tasks during build
#RUN snakemake --use-conda <other params>
#RUN neatflow workflow.nf --in 'dataset/*.fa'
#RUN java -jar cromwell-XY.jar run myWorkflow.wdl
#RUN Rscript plots.R

# CMD from base image used for development, uncomment the following lines to have a
# "run workflow only" container
# CMD["./myscript.sh"]

### Usage instructions ###
# Build the images with
# > docker build --tag great_workflow:1.0.0 .
# Run the image:
# > docker run --it --port 80:8787 --volume ./input:/input \
#     --name gwf great_workflow
# Extract the data:
# > docker cp gwf:/output/ ./outputData
# Extract the figures:
# > docker cp gwf:/work/figures/ ./figures

```

Listing 1: Dockerfile full example.

# 1. Consider tools to assist with Dockerfile generation

Rule 1 could informally be described as “Don’t bother to write a Dockerfile!”. Writing a **Dockerfile** from scratch can be difficult and even experts sometimes take shortcuts. Thus, it is a good strategy to first look to tools that can help to generate a **Dockerfile** for you. Such tools have likely thought about and implemented good practices, and they may have added newer practices when reapplied at a later point in time. Therefore the most important rule is to apply a multi-step process for your specific use case.

First, you want to determine if there is an already existing container that you can use, and in this case, use it and add to your workflow documentation instructions for doing so. As an example, you might be doing some kind of interactive development. For interactive development environments such as notebooks and development servers or databases, you can readily find containers that come installed with all the software that you need. You can look for information about images in (a) the documentation of the used software, (b) the Docker image registry *Docker Hub*, <https://hub.docker.com/>, or (c) the source code projects of the used software, as many developers today rely on containers for development, testing, and teaching.

Second, in the case that there is no suitable pre-existing container for your needs, you might next look to well-maintained tools to help with **Dockerfile** generation. These tools can add required software packages to an existing image without you having to manually write a **Dockerfile** at all. “Well-maintained” references the tool’s own stability and usability, but also that suitable base images are used, likely from the official Docker library [30], to ensure that the container has the most recent security fixes for the operating system in question. See below box “Tools for container generation” for details.

Third, you may want to write you own **Dockerfile** if these tools do not suffice for your needs. *In this case, follow the remaining rules.*

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## Tools for container generation

Repo2docker [24] is a tool that is maintained by Project Jupyter that can help to transform a source code or data repository, e.g. GitHub, GitLab, or Zenodo, into a container. The tool relies on common configuration files for defining software dependencies and versions, and supports a few more special files for, see the supported configuration files. As an example, we might install `jupyter-repo2docker` and then run it against a repository with a `requirements.txt` file, an indication of being a Python workflow with dependencies on the Python Package Index (PyPI) with the following command.

```
jupyter-repo2docker https://github.com/norvig/pytudes
```

The resulting container image installs the dependencies listed in the requirements file, along with providing an entrypoint to run a notebook server to interact with any existing workflows in the repository. Since repo2docker is used within MyBinder.org, if you make sure your workflow is “Binder-ready”, you and others can also get an online workspace with a single click. A precaution that needs to be taken is that the default command above will create a home for the current user, meaning that the container itself would not be ideal to share, but rather any researchers interested in interaction with the code inside should run repo2docker themselves and create their own container. Because repo2docker is deterministic, the environments are the same (see Rule 6 for ensuring the same software versions).

Additional tools to assist with writing **Dockerfiles** include **containerit** [31] and **dockta** [32]. **containerit** automates the generation of a standalone **Dockerfile** for workflows in R. It can provide a starting point for users unfamiliar with writing a **Dockerfile**, or together with other R packages provide a full image creation and execution process without having to leave an R session. **dockta** supports multiple programming languages and configurations files, just as **repo2docker**, but attempts to create a readable **Dockerfile** compatible with plain Docker and to improve user experience by cleverly adjusting instructions to reduce build time. For any tool that you use, be sure to look at documentation for usage and configuration options, and options to add metadata (e.g., labels see Rule 3).

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## 2. Use versioned images

A good understanding of how base images and image tags work is crucial, as the image and tag that you choose has important implications for your container. It is good practice to use base images that are maintained by the Docker library, so called “*official images*” [33], which benefit from a review for best practices and vulnerability scanning [12]. You can identify these images by the missing the user portion of the image name, which comes before the /, e.g., **r-base** or **python**. However, these images only provide basic programming languages or very widely used software, so you will likely use images maintained by organisations or fellow researchers. While some organisations can be trusted to update containers with security fixes (see list below), for most individual accounts that provide ready to use images, it is likely that these will not be updated regularly. It is even possible that an image or a **Dockerfile** may disappear, or that an image is published with malicious intent, though we have not heard of any such case in academia. Therefore, for security, transparency, and reproducibility, you should only use images where you have access to the **Dockerfile**. We suggest to save a copy of the **Dockerfile** within your project (see Rule 7) in the case that a repository goes away.

Images have *tags* associated with them with specific meanings, e.g., a version indicator such as **3.7** or **dev**, or variants such as **slim** attempting to reduce image size. Tags are defined at image build time and appear in image name after the : when you use an image, e.g., **python:3.7**. By *convention* a missing tag is assumed to be the word **latest**, which gives you the latest updates but also a moving target for your computing environment that can break your workflow. Note that a version tag means that the tagged software is frozen, but it does not mean the image will not change, as backwards compatible fixes (cf. semantic versioning, [34]), e.g., version **1.2.3** fixing a security problem in version **1.2.2** or updates to an underlying system library, would be published to the parent tag **1.2**.

For data science workflows, you should always rely on version-specific image tags both for base images that you use, and for images that you build yourself and then run (see usage instructions in Listing 1 for an example of the **--tag** parameter of **docker build**). With keeping different versions (tags) available, it is good practice to publish an image in an image registry. We refer you to the documentation on automated builds for details, see Docker Hub Builds or GitLab’s Container Registry as well as continuous integration (CI) services such as GitHub actions, or CircleCI that can help you get started. Do not **docker push** a locally built image, because that counteracts the considerations outlined above. If a pre-built image is provided in a public image registry, do not forget to direct the user to it in your documentation, e.g. in the **README** file or in an article.

The following list a selection of communities that produce widely used regularly

updated images, including ready-to-use images with preinstalled software stacks. Do  
take advantage of images with complex software environments, e.g., machine learning  
tool stacks, or a specific BLAS library.

- Rocker for R and RStudio images [19]
- Bioconductor Docker images for bioinformatics with R
- NeuroDebian images for neuroscience [35]
- Jupyter Docker Stacks for Notebook-based computing
- Taverna Server for running Taverna workflows

For example, here is how we would use a base image `verse`, which provides the  
popular Tidyverse suite of packages [36], with R version 3.5.2 from the `rocker`  
organisation on Docker Hub (`docker.io`, which is the default and can be omitted).

```
FROM docker.io/rocker/r-ver:3.5.2
```

### 3. Format intentionally and favour clarity

First, it is good practice to think of the `Dockerfile` as a human *and* machine readable  
file. This means that you should use indentation, new lines, and comments to make  
your `Dockerfile` well documented and readable. Specifically, carefully indent  
commands and their arguments to make clear what belongs together, especially when  
connecting multiple commands in a `RUN` instruction with `&&`. Use `\` at the end of a line  
to break a single command into multiple lines. This will ensure that no single line gets  
too long to comfortably read. Use long versions of parameters for readability (e.g.,  
`--input` instead of `-i`). When you need to change a directory, use `WORKDIR`, because it  
not only creates the directory if it does not exist but also persists the change across  
multiple `RUN` instructions.

Second, clarity is nearly always more important than brevity. For example, if your  
container uses a script to run a complex install routine, instead of removing it from the  
container upon completion, which is commonly seen in production `Dockerfiles` aiming  
at small image size (cf. [14]), you should keep the script in the container for a future  
user to inspect. The script size is negligible compared to the image size. However, a  
common pattern you will encounter is a single and very lengthy `RUN` instruction  
chaining multiple commands, which installs software and cleans up afterwards. For  
example (a) the instruction updates the database of available packages, installs a  
software from a package repository, and purges the cache of the package manager, or (b)  
the instruction downloads a software's source archive, unpacks it, builds and installs the  
software, and then removes the downloaded archive and all temporary files. This  
pattern creates instructions that are harder to read, but it is very common and can even  
increase clarity within the image file system because installation and build artifacts are  
gone. In general, if your container is mostly software dependencies you should not need  
to worry about image size because (a) your data is likely to have much larger storage  
requirements, and (b) transparency and inspectability outweigh storage concerns in data  
science. If you really need to reduce the size, you may look into using multiple  
containers (cf. [14]) or multi-stage builds [37].

Depending on the programming language used, your project may already contain  
files to manage dependencies and you may use a package manager to control this aspect  
of the computing environment. This is a very good practice and helpful, though you  
should consider the externalisation of content to outside of the `Dockerfile` (see Rule 7).  
A single long `Dockerfile` with sections and helpful comments can be more  
understandable than a collection of separate files.



Generally, aim to design the `RUN` instructions so that each performs one scoped action, e.g., download, compile, and install *one tool*. This makes the lines of your `Dockerfile` a well-documented recipe for the user as well as a machine. Each instruction will result in a new layer, and reasonably grouped changes increase readability of the `Dockerfile` and facilitate inspection of the image, e.g., with tools like `dive` [38]. Convolutional `RUN` instructions can be acceptable to reduce the number of layers, but careful layout and consistent formatting should be applied.

While you will find `Dockerfiles` using *build-time variables* to dynamically change parameters at build time, such a customisation option reduces clarity for data science workflows.

## 4. Document within the Dockerfile

### Explain in comments

As you are writing the `Dockerfile`, be mindful of how other people (including future you!) will read it and why. Are your choices and commands being executed clearly, or is further comment warranted? To assist others in making sense of your `Dockerfile`, you can add comments that include links to online forums, code repository issues, or version control commit messages to give context for your specific decisions. For example this `Dockerfile` by Kaggle does a good job at explaining the reasoning and steps of the contained instructions. If you copy instructions from another `Dockerfile`, acknowledge the source in a comment. It can even be helpful to include comments about commands that did not work so you do not repeat past mistakes. If you find that you need to remember an undocumented step, that is an indication that it should be documented in the `Dockerfile`. All instructions can be grouped starting with a short comment, which also makes it easier to spot changes if your `Dockerfile` is managed in some version control system (see Rule 9). Here is a selection of typical kinds of comments that are useful to include in a `Dockerfile`:

```
# apt-get install specific version, use 'apt-cache madison <pkg>'
# to see available versions
RUN apt-get install python3-pandas=0.23.3+dfsg-4ubuntu1

# RUN command spreading several lines
RUN R -e 'getOption("repos")' \
    && install2.r \
    fortunes \
    here

# this library must be installed from source to get version newer
# than in sources
RUN git clone http://url.of/repo \
    && cd repo \
    && make build \
    && make install

# following commands from instructions at <LINK HERE>
```

*Listing 2:* Example comments.

### Add metadata as labels

Docker captures useful information in the image metadata automatically, such as the version of Docker used for building the image. The `LABEL` instruction can add *custom metadata* to images. You can view all labels with `docker inspect` command. Labels

serve as structured metadata that can be leveraged by services, e.g., <https://microbadger.com/labels>. For example, software versions of containerised applications (cf. [14]), licenses, and maintainer contact information are commonly seen and very useful if a `Dockerfile` is discovered out of context. Licensing information should especially cover the license of your own code, and may point to a `LICENSE` file within the image (cf. [14]). While you can add arbitrarily complex information with labels, for data science scenarios the user-facing documentation is much more important. Relevant metadata that might be more utilised with future tools includes global identifiers such as ORCID identifiers, DOIs of the research compendium (cf. <https://research-compendium.science>), e.g., reserved on Zenodo, or a funding agency’s grant number.

The OCI Image Format Specification provides some common label keys (see the “Annotations” section in [39]) to help standardise field names across container tools, as shown below. Some keys hold specific content, e.g., `org.opencontainers.image.documentation` is a URL as character string pointing to documentation on the image and `org.opencontainers.image.licenses` is the SPDX license identifier. You may also commonly find labels in the deprecated `org.label-schema-specification` format e.g., `org.label-schema.description`. However, we encourage the use of the OCI schema in all new and unlabelled projects.

```

LABEL maintainer='D. Nüst <daniel.nuest@uni-muenster.de>' \
  org.opencontainers.image.authors='Nüst (daniel.nuest@uni-muenster.de), Sochat, Marwick, Eglen, H
  org.opencontainers.image.url='https://github.com/nuest/ten-simple-rules' \
  org.opencontainers.image.documentation=
'https://nuest.github.io/ten-simple-rules-dockerfiles/ten-simple-rules-dockerfiles.pdf' \
  org.opencontainers.image.version='1.0.0'

# This ARG instruction adds a build-time variable with the default value 'unspecified'
# Set it during 'docker build' as follows to insert the current date and time into the
# label 'org.opencontainers.image.created':
# --build-arg BUILD_DATE=$(date -u +%Y-%m-%dT%H:%M:%SZ)
ARG BUILD_DATE unspecified

LABEL org.opencontainers.image.vendor='Ten Simple Institute, Uni of Rules' \
  org.opencontainers.image.description='Reproducible workflow image' \
  org.opencontainers.image.created=$BUILD_DATE \
  org.opencontainers.image.licenses='Apache-2.0'

LABEL edu.science.data.group.project='Find out something (Grant #123456)' \
  edu.science.data.group.name='Data Science Lab' \
  author.orcid='0000-0002-1825-0097'

```

Listing 3: Example labels.

## Include usage instructions

It is often helpful to provide usage instructions, i.e., how to `docker build` and `docker run` the image, *within* the `Dockerfile`, either at the top or bottom where the reader is likely to find it. Such documentation is especially relevant if volume mounts, specific names, or ports are important for using the container, see for example the final lines of Listing 1. These instructions are not limited to `docker <command>`, but include usage of bespoke scripts, a `Makefile`, or `docker-compose` (see Rule 8 and Rule 10). Following a common coding aphorism, we might say “A *Dockerfile* written three months ago may just as well have been written by someone else”. It helps others, because it quickly gets them running your workflow and interacting with the container in the intended way without reading all of the instructions (a “tl;dr”-kind of usage). The `Dockerfile` alongside your documentation strategy is a demonstration of your careful work habits and good intentions for transparency and computational reproducibility.

## 5. Order instructions

You will regularly build an image during development of your workflow. You can take advantage of *build caching* to avoid execution of time-consuming instructions, e.g., install from a remote resource or a copying a file that gets cached. Therefore you should keep instructions *in order* of least likely to change to most likely to change. Docker will execute the instructions in the order as they appear in the **Dockerfile**. When one instruction is completed, the result is cached, and the build moves to the next one. If you change something in the Dockerfile, and rebuild the container, each instruction is inspected in turn. If it has not changed, the cached layer is used and the build progresses. If the line has changed, that build step is executed afresh and then every following instruction will have to be executed in case the changed line influences a later instruction. You should regularly re-build the image using the **--no-cache** option to learn about broken instructions as soon as possible (cf. Rule 10). Such a re-build is also a good occasion to revisit the order of instructions, e.g., if you appended an instruction at the end to save time while iteratively developing the **Dockerfile**, and the formatting. You can add a version tag to the image before the re-build to make sure to keep a working environment at hand. A recommended ordering based on these considerations is as follows.

1. System libraries
2. Language-specific libraries or modules
3. from repositories (i.e., binaries)
4. from source (e.g., GitHub)
5. Installation of own software and scripts (if not mounted)
6. Copying data and configuration (if not mounted)
7. Labels
8. Default commands and entrypoints

## 6. Specify software versions

The reproducibility of your **Dockerfile** heavily depends on how well you define the versions of software to be installed in the image. The more specific the better, because using the desired version leads to reproducible builds. The practice of specifying versions of software is called *version pinning* (e.g., on **apt**: <https://blog.backslasher.net/my-pinning-guidelines.html>). For stable workflows in a scientific context, it is generally advised to freeze the computing environment explicitly and not rely on the “current” or “latest” software, which is a moving target.

### System libraries

System library versions can largely come from the base image tag that you choose to use, e.g., **ubuntu:18.04**, because the operating system’s software repositories are very unlikely to introduce breaking changes, but predominantly fix errors with newer versions. However, you can also install specific versions of system packages with the respective package manager. For example, you might want to demonstrate a bug, prevent a bug in an updated version, or pin a working version if you suspect an update could lead to a problem. Generally, system libraries are more stable than software modules supporting analysis scripts, but in some cases they can be highly relevant to your workflow. *Installing from source* is a useful way to install very specific versions, however it comes at the cost of needing to build libraries. Here are some examples of terminal commands that will list the currently installed versions of software on your system:

- Debian/Ubuntu: `dpkg --get-selections` 371
- Alpine: `apk -vv info|sort` 372
- CentOS: `yum list installed` or `rpm -qa` 373

When you install several system libraries, it is good practice to add comments about why the dependencies are needed (see Listing 1). This way, if a piece of software is removed from the container, it will be easier to remove the system dependencies that are no longer needed, thereby reducing maintenance overhead: you will not unnecessarily fix problems with a library that is not needed anymore or include long-running installations. 374 375 376 377 378 379

## Extension packages and programming language modules 380

In the case of needing to install packages or dependencies for a specific language, package managers are a good option. Package managers generally provide reliable mirrors or endpoints to download software, many packages are tested before release, and most importantly they provide access to specific versions. Most package managers have a command line interface that can be used from RUN commands in your Dockerfile, along with various flavours of “freeze” commands that can output a text file listing all software packages and versions (cf. <https://markwoodbridge.com/2017/03/05/jupyter-reproducible-science.html> cited by [5]). The biggest risk with using package managers with respect to a Dockerfile is outsourcing configuration. As an example, here are configuration files supported by commonly used languages in scientific programming: 381 382 383 384 385 386 387 388 389 390 391

- Python: `requirements.txt` (pip tool, [40]), `environment.yml` (Conda, [41]) 392
- R: DESCRIPTION file format [42] and `r` (“little R”, [43]) 393
- JavaScript: `package.json` of npm [44] 394
- Julia: `Project.toml` and `Manifest.toml` [45] 395

In some cases (e.g., Conda) the package manager is also able to make decisions about what versions to install, which is likely to lead to a non-reproducible build. In all of the above, the user is required to inspect the file or the build to see what is installed. For this reason, in the case of having few packages, it is suggested to write the install steps and versions directly into the Dockerfile (also for clarity, see Rule 3). For example, the RUN instruction here: 396 397 398 399 400 401

```
RUN pip install \
    geopy==1.20.0 \
    uszipcode==0.2.2
```

serves as more clear documentation in a Dockerfile than a `requirements.txt` file that lists the same: 402 403

```
RUN pip install -r requirements.txt
```

This modularisation is a potential risk for understandability and consistency, which can be mitigated by carefully managing all these files in the same version-controlled project. You can also use package managers to install software from source code COPYied into the image (see Rule 7). And finally, you can use many package managers to install software from source obtained from code management repositories, e.g., installing a specific tool identified by a GitHub version tag or commit hash. Be aware of the risk of depending on such repositories, especially if they are out of your control, although the installation command with a full URL should allow readers of your Dockerfile to dig deeper if problems arise. Such an installation from source gives you many freedoms, 404 405 406 407 408 409 410 411 412

including the one to shoot yourself in the foot! The version pinning capabilities of these file formats and package managers are described in their respective documentation.

As a final note on software installation, you should be aware of the **USER** instruction in a **Dockerfile** and how your base image might adjust the user to enable particular usages. It is common to use images with the default user **root**, which is required for installing system dependencies. We recommend making sure that the image works without specifying any users, and to document precisely if your image deviates from that. One reason you will encounter base images running a non-root user (popular examples are the Jupyter and Rocker image stacks) is to avoid permission problems when mounting files into the container, especially for “output” files (see Rule 7).

## 7. Mount user scripts and data

The role of containers is to provide the computing environment, not to encapsulate datasets or custom scripts. It is better to include data files and custom scripts from the local machine in the container at run time, and use the container image primarily for the software and dependencies. This insertion is achieved by using *bind mounts*. Mounting these files is preferable to using the **ADD/COPY** instructions in the **Dockerfile**, because files persist when the container instance or image is removed from your system, and the files are more accessible when the workspace is published. If you want to add local files to the container, prefer **COPY** because it is explicit and you do not need **ADD**’s extra features, which are (i) URL as a source, for which you may use it instead of a **RUN wget** or **RUN curl** to download files, and (ii) a local tar file as a source, which you should avoid because such an archive file will be harder for future readers of your **Dockerfile** to make sense of and cannot be properly version controlled. Unless specific features are needed, bind mounts are also preferable to storage volumes.

Standalone *script files* are distinct from other software as they are treated as files and managed software, i.e. installed with a package manager and versioned. If you developed extensive software for a specific analysis, you should ideally publish it as a software package and follow Rule 6 for installing it (cf. “A package first” in [14]). Consider using a suitable package system with pinned versions even for small scripts if the functions are reusable across datasets or workflows by you or others. If you cannot publish a software project in the appropriate language’s package structure, you should make sure the source code files you **COPY** into the image are published together with the **Dockerfile** (see Rule 9). If the code and **Dockerfile** are in the same repository, the origin and results of the **COPY** instruction and subsequent installation code are understandable in the future.

Storing *data files* outside of the container allows handling of very large datasets and datasets with data worthy of protection, e.g., proprietary data or private information. Do not include such data in an image. To avoid publishing sensitive data by accident, you can add the data directory to the **.dockerignore** file that excludes files and directories from the build context, i.e., the set of files considered by **docker build**. Ignoring data files also speeds up the build in case of very large files or many small files, the latter of which makes it common to ignore for example the **.git** folder of the Git version control system. You should include dummy or test data into the image to be able to ensure that a container is functional without a larger custom dataset, e.g., for automated tests or instructions in the user manual (see also “functional testing logic” in [14]). For all these cases you should provide clear instructions for users in the **README** how to obtain actual or dummy data. When publishing your workspace, e.g., on Zenodo, having data and script contents as regular files outside of the container makes them more accessible to others, for example for reuse or analysis.

A mount can also be used to access *output data* from a container. This can be an

extra mount, or the same `data` directory. Alternatively, you can use the `docker cp` command to access files from a running or stopped container, but this requires a specific handling, e.g., naming the container when starting it or using multiple shells, that require very detailed instructions for users.

You can use the `-v/--volume` or `--mount` flags to `docker run` to configure bind mounts of directories or files [46], including options, as shown in the following examples. If the target path exists within the image, the bind mount will replace it for the started container.

```
# mount directory
docker run --volume /home/user/project:/project mycontainer

# mount directory as read-only
docker run --volume /home/user/project:/project:ro mycontainer

# mount multiple directories, one with write access relative to current path (Linux)
docker run --volume /home/user/article-x-supplement/data:/data:ro \
--volume $(pwd)/outputs:/output-data:rw mycontainer
```

How your container expects external resources to be mounted into the container should be included in the example commands (see Rule 3). In these commands you can also make sure to avoid issues with file permissions by using Docker’s `--user` option. For example, by default, writing a new file from inside the container will be owned by user `root` on your host, because that is the default user within the container.

## 8. Enable interactive usage and one-click execution

Containers are very well suited for day-to-day development tasks (see also Rule 10), because they support common interactive environments for data science and software development. But they are also useful for a “headless” execution of a full workflows. For example, [47] demonstrates a container for running an agent-based model with video files as outputs, or this article’s R Markdown source, which could include cells with analysis code, is rendered into a PDF in a container. A workflow that does not support headless execution may even be seen as irreproducible.

These two usages can be configured by the `Dockerfile`’s author and exposed to the user based on the `Dockerfile`’s `CMD` and `ENTRYPOINT` instructions. An image’s main purpose is reflected by the default process and configuration, though the `CMD` and `ENTRYPOINT` can also be changed at runtime. It is considered good practice to have a combination of default command and entrypoint that meets reasonable user expectations. For example, a container known to be a workflow should execute the entrypoint to the workflow, and perhaps use `--help` as the command to print out usage. The container entrypoint should *not* execute the workflow, as the user is likely to run the container for basic inspection, and starting an analysis as a surprise that might write files is undesired. As the maintainer of the workflow, you should write clear instructions for how to properly interact with the container, both for yourself and others. A possible weakness with using containers is the limitation on only providing one default command and entrypoint. However tools, e.g., The Scientific Filesystem [48], have been developed to expose multiple entrypoints, environments, help messages, labels, and even install sequences. With plain Docker, you can override the defaults as part of the `docker run` command or in an extra `Dockerfile` using the primary image as a base, as shown in Listing 4. In any case you should document different variants very well, potentially capture build and run commands in a `Makefile` [26]. If you use a `Makefile`, then keep it in the same repository (see Rule 7) and include instructions for its usage (see Rule 3). To support advanced custom configuration, it is helpful to expose

settings via a configuration file, which can be bind mounted from the host [47], via environment variables [49], or via wrappers using Docker, such as Kliko [50].

```
# base image (interactive)
FROM jupyter/datascience-notebook:python-3.7.6

# Usage instructions:
# £ docker build --tag workflow:1.0 .
# £ docker run workflow:1.0

# interactive image
FROM workflow:1.0

ENTRYPOINT ["python"]
CMD ["/workspace/run-all.sh"]

# Usage instructions:
# £ docker build --tag workflow-runner:1.0 --file Dockerfile.runner .
# £ docker run -e ITERATIONS=10 -e ALGORITHM=advanced \
#   --volume /tmp/results:/workspace/output_data workflow-runner:1.0
```

*Listing 4:* Workflow Dockerfile and derived “runner image” Dockerfile with file name `Dockerfile.runner`.

*Interactive graphical interfaces*, such as RStudio, Jupyter, or Visual Studio Code, can run in a container to be used across operating systems and both locally and remote via a regular web browser. The HTML-based user interface is exposed over HTTP. Use the `EXPOSE` instruction to document the ports of interest for both humans and tools, because they need to be bound to the host to be accessible to the user using the `docker run` option `-p/--publish <host port>:<container port>`. The container should also print to the screen the used ports along with any login credentials needed. For example, as done in the last few lines of the output of running a Jupyter Notebook server locally (lines abbreviated).

```
docker run -p 8888:8888 jupyter/datascience-notebook:7a0c7325e470

[...]
```

```
[I 15:44:31.323 NotebookApp] The Jupyter Notebook is running at:
[I 15:44:31.323 NotebookApp] http://9027563c6465:8888/?token=6a92d [...]
[I 15:44:31.323 NotebookApp] or http://127.0.0.1:8888/?token=6a92 [...]
[I 15:44:31.323 NotebookApp] Use Control-C to stop this server and [...]
```

A person who is unfamiliar with Docker but wants to use your image may rely on graphical tools like ContainDS, Portainer or the Docker Desktop Dashboard for assistance in managing containers on their machine without using the Docker CLI.

*Interactive usage of a command-line interfaces* is quite straightforward to access from containers, if users are familiar with this style of user interface. Running the container will provide a shell where a tool can be used and help or error messages can assist the user. For example, complex workflows in any programming language can, with suitable pre-configuration, be triggered by running a specific script file. If your workflow can be executed via a command line client you may use that to validate correct functionality of an image in automated builds, e.g. using a small toy example and checking the output, by checking successful responses from HTTP endpoints provided by the container, e.g. via an HTTP response code of 200, or by using a controller such as Selenium [51].

The following example runs a simple R command counting the lines in this articles source file. The file path is passed as an environment variable.

```

docker run \
  --env CONFIG_PARAM="/data/ten-simple-rules-dockerfiles.Rmd" \
  --volume $(pwd):/data \
  jupyter/datascience-notebook:7a0c7325e470 \
  R --quiet -e "
1 = length(readLines(Sys.getenv('CONFIG_PARAM')));
print(paste('Number of lines: ', 1))
"

> 1 = length(readLines(Sys.getenv('CONFIG_PARAM')));
> print(paste('Number of lines: ', 1))
[1] "Number of lines: 568"

```

If there is only a regular desktop application, the hosts window manager can be connected to the container. This has notable security implications, which are reduced by using the “X11 forwarding” natively supported by Singularity [52], which can execute Docker containers, or by leveraging supporting tools such as `x11docker` [53]. Bridge containers [54] and exposing a regular desktop via the browser (e.g., for Jupyter Hub [55]) are further alternatives. This variety of approaches render seemingly more convenient uncontainerised environments, i.e. just using the local machine, unnecessary in favour of reproducibility and portability.

## 9. Use a Dockerfile per project and publish it with a version control system

Because a `Dockerfile` is a plain text-based format, it works well with version control systems. Including a `Dockerfile` alongside your code and data is an effective way to consistently build your software, to show visitors to the repository how it is built and used, to solicit feedback and collaborate with your peers, and to increase the impact and sustainability of your work (cf. [56]). Online collaboration platforms (e.g., GitHub, GitLab) also make it easy to use CI services to test building and executing your image in an independent environment. Continuous integration increases stability and trust, and gives the ability to publish images automatically. Automation strategies exist to build and test images for multiple platforms and software versions, even with CI. Such approaches are often used when developing popular software packages for a broad user base operating across a wide range of target platforms and environments, and they can be leveraged if you expect your workflow to fall into this category. Furthermore, the commit messages in your version controlled repository preserve a record of all changes to the `Dockerfile`, and you can use the same versions in tags for both the container image and the git repository.

While there are exceptions to the rule (cf. [57]), it is generally a simple and clear approach to provide one `Dockerfile` per project. Alternatively, you could build, or even better contribute to existing, *image stacks* to serve different use cases with a common base image, but this reduces understandability and scatters information across multiple places. We recommend avoiding this at the cost of a longer `Dockerfile`, which can be mitigated with consistent form (see Rule 3). Importantly, you should publish *all* files `COPY`ied into the container, e.g., test data or files for software installation from source (see Rule 7) in the same public repository as the `Dockerfile`, e.g., in a research compendium.

It is likely going to be the case that over time you will develop workflows that are similar in nature to one another. When developing or working on projects with containers you can switch between isolated project environments by stopping the container and restarting it when you are ready to work again, even on another machine or in a cloud environment. You can even run projects in parallel that do not share ports



without interference. To avoid constantly repeating yourself, you should consider adopting a standard workflow that will give you a clean slate for a new project. As an example, cookie cutter templates [58] or community templates (e.g., [59]) can provide required structure and files, e.g., for documentation, CI, and licenses, for getting started. If you decide to build your own cookie cutter template, consider collaborating with your community during development of the standard to ensure it will be useful to others. Part of your project template should be a protocol for publishing the `Dockerfile` and even exporting the image to a suitable location, e.g., a container registry or data repository, taking into consideration how your workflow can receive a DOI for citation.

## 10. Use the container daily, rebuild the image weekly, clean up and preserve if need be

Using containers for research workflows does not only require technical understanding, but also an awareness of risks that can be managed effectively by following a number of good *habits*, discussed in this section. While there is no firm rule, if you use a container daily, is good practice to rebuild that container every one or two weeks. At the time of publication of research results it is good practice to save a copy of the image in a public data repository so that readers of the publication can access the resources that produced the published results.

First, it is a good habit to use your container every time you work on a project and not just as a final step during publication. If the container is the only platform you use, the confidence in proper documentation of the computing environment can be very high [60]. You should prioritise this usage over others, e.g., non-interactive execution of a full workflow, because it gives you personally the highest value and does not limit your use or others' use of your data and code at all (see Rule 8).

Second, for reproducibility, we can treat containers as transient and disposable, and even intentionally rebuild an image at regular intervals. Ideally, containers that we built years ago should rebuild seamlessly, but this is not necessarily the case, especially with rapidly changing technology relevant to machine learning and data science. A habitual deletion of a container and cache-less rebuild of the image (a) increases security due to updating underlying software, (b) helps to reveal issues requiring manual intervention, e.g., changes to code or configuration that are not documented in the `Dockerfile` but perhaps should be, and (c) allows you to more incrementally debug issues. This habit can be supported by using continuous deployment or CI strategies.

In the case of needing setup or configuration for the first two habits, it is good practice to provide a `Makefile` alongside your container, which can capture the specific commands. Furthermore, when you rebuild the image, you can take a fresh look at the `Dockerfile` and improve it over time, because it will be hard to apply all rules at once. A linter can help you with such optimisations, e.g., as a web service such as `Dockerfilelint`, `hadolint` or `fromlatest`, as an extension to your integrated development environment, such as `vscode-docker`, or as standalone tools like `dockerfile-lint`, which you can integrate with your `Makefile`.

Third, from time to time you can reduce the system resources occupied by Docker images and their layers or unused containers, volumes and networks by running `docker system prune --all`. After a prune is performed, it follows naturally to rebuild a container for local usage, or to pull it again from a newly built registry image. This habit can be automated with a cron job [61].

Fourth, you can export the image to file and deposit it in a public data repository, where it not only becomes citable but also provides a snapshot of the *actual* environment you used at a specific point in time. You should include instructions for how to import

and run the workflow based on the image archive and add your own image tags using semantic versioning (see Rule 2) for clarity. Depositing the image next to other project files, i.e., data, code, and the used **Dockerfile**, in a public repository makes them likely to be preserved, but it is highly unlikely that over time you will be able to recreate it precisely from the accompanying **Dockerfile**. Publishing the image and the contained metadata therein (e.g., the Docker version used) may even allow future science historians to emulate the Docker environment. Sharing the actual image via a registry and a version controlled **Dockerfile** together allows you to freely experiment and continue development of your workflow and keep the image up to date, e.g., updating versions of pinned dependencies (see Rule 6) and regular image building (see above).

Finally, for a sanity check and to foster even higher trust in the stability and documentation of your project, you can ask a colleague or community member to be your code copilot (see [https://twitter.com/Code\\_Copilot](https://twitter.com/Code_Copilot)) to interact with your workflow container on a machine of their own. You can do this shortly before submitting your reproducible workflow for peer-review, so you are well positioned for the future of scholarly communication and open science where these may be standard practices required for publication [20,62–64].

## Example Dockerfiles

To demonstrate the ten rules, we maintain a collection of annotated example **Dockerfiles** in a GitHub repository, some of which we took from public repositories and updated to adhere better to the rules (see **Dockerfile.original**): <https://github.com/nuest/ten-simple-rules-dockerfiles/>

## Conclusion

In this article we have provided guidance for using **Dockerfiles** to create containers for use and communication in smaller scaled data science research. Reproducibility in research is an endeavor of incremental improvement and best efforts, not about achieving the perfect solution, which may be not achievable for many researchers with limited resources, and the definition of which may change over time. Even if imperfect, the effort to create and document scientific workflows provides incredibly useful and valuable transparency for the project. We encourage researchers to value these steps taken by their peers to use **Dockerfiles** to practice reproducible research, and promote change in the way scholars communicate towards an open and friendly “preproducibility” [65]. So please, make a best effort with your current knowledge, and strive to write readable **Dockerfiles** for functional containers that are realistic about what might break and what is unlikely to break. In a similar vein, we accept that you should freely break these rules if another way makes more sense *for your use case*. Also do not overwhelm yourself by trying to follow all rules right away, but set up an iterative process to increase your computing environment’s manageability over time. Most importantly, share and exchange your **Dockerfile** freely and collaborate in your community to spread the knowledge about containers as a tool for research and scholarly collaboration and communication.

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## Contributions

DN conceived the idea, outlined the first rules, and contributed to all rules. VS wrote the first draft and contributed to all rules. BM revised the text and contributed to all rules. SJE contributed to the overall structure and selected rules. THE contributed to the rule structure and particularly Rule 1. THi gave extensive feedback on early drafts and contributed to the discussion. This articles was written collaboratively on GitHub, where all contributions in form of text or discussions comments are documented: <https://github.com/nuest/ten-simple-rules-dockerfiles/>.

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