

A
Project Report On
THEORY & APPLICATIONS of SAMPLING THEOREM

Signals and Systems (2141005)

BACHELOR OF ENGINEERING
in
ELECTRONICS AND COMMUNICATION ENGINEERING

By
Chaitanya Tejaswi 140080111013
Drupad Pandya 140080111021

Under The Guidance of
Prof. Robinson Paul
Professor, ET Department.



ELECTRONICS & TELECOMMUNICATION ENGINEERING
DEPARTMENT
BVM ENGINEERING COLLEGE
GUJARAT TECHNOLOGICAL UNIVERSITY
VALLABH VIDYANAGAR-388120
Academic Year- 2015-16

CERTIFICATE

This is to certify that the project report entitled “**THEORY & APPLICATIONS of SAMPLING THEOREM**”, submitted by **Chaitanya Tejaswi (140080111013) & Drupad Pandya (140080111021)** in the subject of the Signals and Systems for the Bachelor of Engineering in Electronics and Communication of BVM Engineering College, Vallabh Vidyanagar, Gujarat Technological University, is the record of work carried out by them under my supervision and guidance. In my opinion, the submitted work has reached a level required for being accepted for examination.

Under The Guidance Of

Prof. Robinson Paul

Professor

ET Dept, BVM

V V Nagar, Anand.

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Introduction

1. The “*Sampling Theorem*”, which is a relatively straightforward consequence of the “*Modulation Theorem*”, is elegant in its simplicity. It basically states that a bandlimited time function can be exactly reconstructed from equally spaced samples provided that the sampling rate is sufficiently high—specifically, that it is greater than twice the highest frequency present in the signal.
2. A similar result holds for both *continuous time* and *discrete time*.
One of the important consequences of the sampling theorem is that it provides a mechanism for exactly representing a bandlimited continuous-time signal by a sequence of samples, that is, by a discrete-time signal. The reconstruction procedure consists of processing the impulse train of samples by an ideal lowpass filter.
3. Central to the sampling theorem is the assumption that the sampling frequency is greater than twice the highest frequency in the signal. The reconstructing lowpass filter will always generate a reconstruction consistent with this constraint, even if the constraint was purposely or inadvertently violated in the sampling process. Said another way, the reconstruction process will always generate a signal that is bandlimited to less than half the sampling frequency and that matches the given set of samples. If the original signal met these constraints, the reconstructed signal will be identical to the original signal.

4. On the other hand, if the conditions of the sampling theorem are violated, then frequencies in the original signal above half the sampling frequency become reflected down to frequencies less than half the sampling frequency. This distortion is commonly referred to as “*aliasing*”, a name suggestive of the fact that higher frequencies (above half the sampling frequency) take on the alias of lower frequencies.
5. The concept of aliasing is perhaps best understood in the context of simple sinusoidal signals. Given samples of a sinusoidal signal, many continuous time sinusoids can be threaded through the samples.

For example, if the samples were all of equal height, they could correspond to samples of a sinusoid of zero frequency or in fact a sinusoid at any frequency that is an integer multiple of the sampling frequency. From the samples alone there is clearly no way of determining which of the continuous sinusoids was sampled. The reconstruction filter, however, makes the assumption that the samples also correspond to a frequency less than half the sampling frequency; so for this particular example, the reconstructed output will be a constant. If, in fact, the original signal was a sinusoid at the sampling frequency, then through the sampling and reconstruction process we would say that a sinusoid at a frequency equal to the sampling frequency is aliased down to zero frequency (DC).

6. Thus, as we demonstrate in this project, if we sample the output of a sinusoidal oscillator and then reconstruct with a lowpass filter, as the oscillator frequency increases from zero, the output of the lowpass filter will correspondingly increase. The output frequency will match the input frequency until the oscillator frequency reaches half the sampling frequency. As the oscillator frequency continues to increase, the output of the lowpass filter will begin to decrease in frequency.
7. It is important to understand that in sampling and reconstruction with an ideal lowpass filter, the reconstructed output will not be equal to the original input in the presence of aliasing, but samples of the reconstructed output will always match the samples of the original signal.

Defining Sampling Theorem

Sampling Theorem

Equally Spaced Samples of $x(t)$

$$x(nT) \quad n=0, \pm 1$$

$x(t)$ Band limited

$$X(\omega) = 0 \quad |\omega| > \omega_m$$

$$\Rightarrow \frac{2\pi}{T} \triangleq \omega_s > 2\omega_m$$

then $x(t)$ uniquely recoverable

“ For a CT signal , if we have equally spaced samples and the signal is bandlimited, then, if the sampling frequency is greater than twice the maximum band frequency , we can uniquely recover the signal using its samples. ”

Understanding Sampling Theorem



$$x_p(t) = x(t) \sum_{n=-\infty}^{+\infty} \delta(t - nT)$$

$$= \sum_{n=-\infty}^{+\infty} x(nT) \delta(t - nT)$$

$$X_p(\omega) = \frac{1}{2\pi} [X(\omega) * P(\omega)]$$

$$P(\omega) = \frac{2\pi}{T} \sum_{k=-\infty}^{+\infty} \delta(\omega - k \frac{2\pi}{T})$$

$$P(\omega) = \frac{1}{T} \sum_{k=-\infty}^{+\infty} X(\omega - k \frac{2\pi}{T})$$

Observation

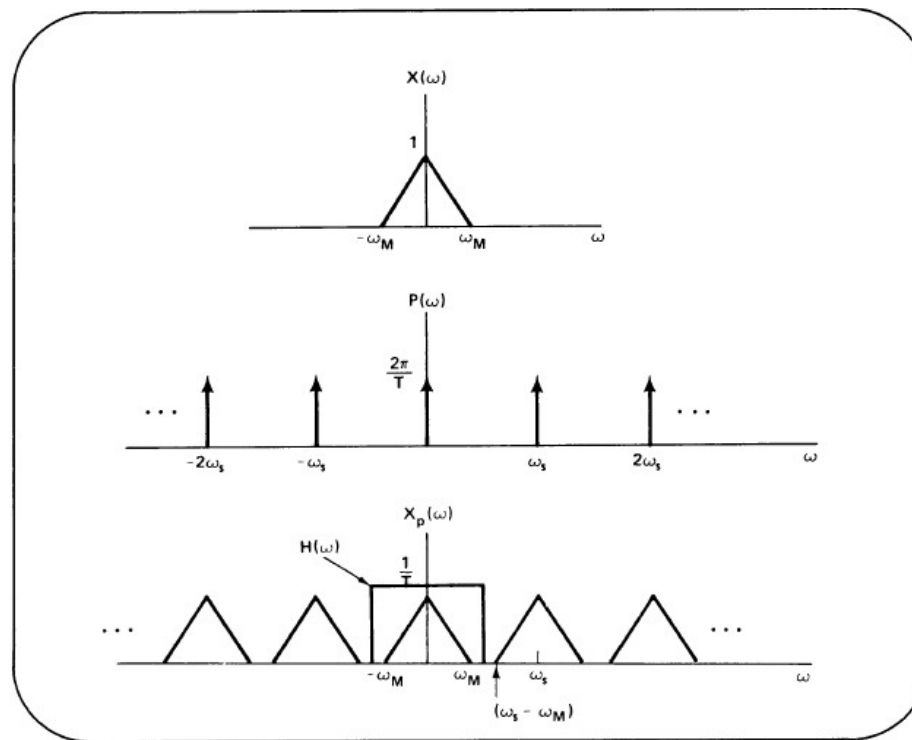
“ Fourier Transform of the sampled signal is a sum of frequency shifted replications of the Fourier Transform of the original signal.”

Conclusion

“ If a CT signal is sampled with the modulation train, the resulting spectrum is the original spectrum added to itself, shifted by integral multiples of the sampling frequency, . ”

Understanding Sampling Theorem vis-à-vis Recovery

TRANSPARENCY
2
lowpass filter used for recovery of the input signal.

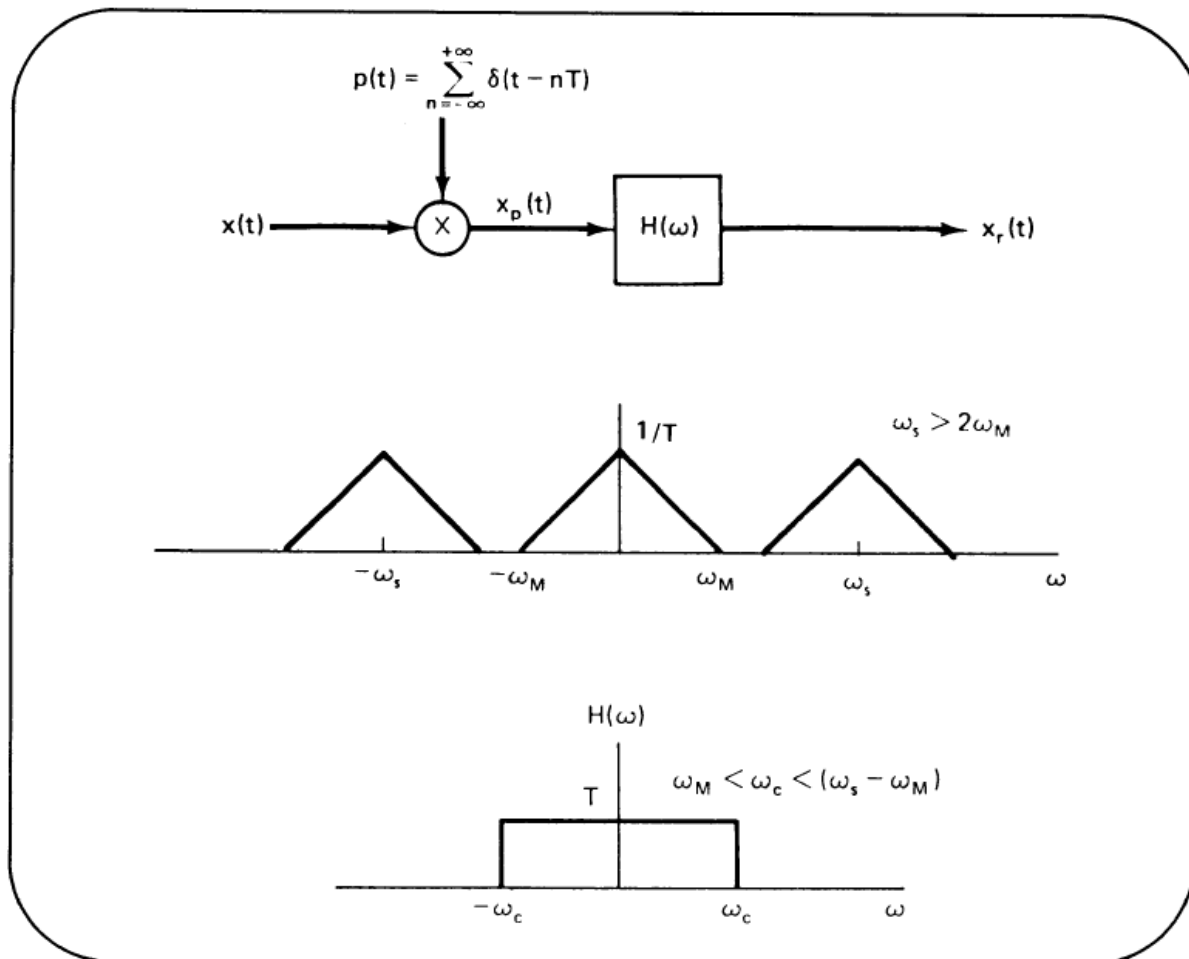


1. If we have an original signal with a spectrum as indicated in the first figure -- where it's band-limited with the highest frequency -- and if the time function is sampled so that in the frequency domain we convolve this spectrum with the spectrum shown below, which is the spectrum of the

impulse train, the convolution of these two is then the Fourier transform or spectrum of the sample time function.

2. Recall that, to recover the original time function from this -- as long as these individual triangles don't overlap -- to recover it just simply involves passing the impulse train through a low-pass filter, in effect extracting just one of these replications of the original spectrum.

Recovery of Original Signal



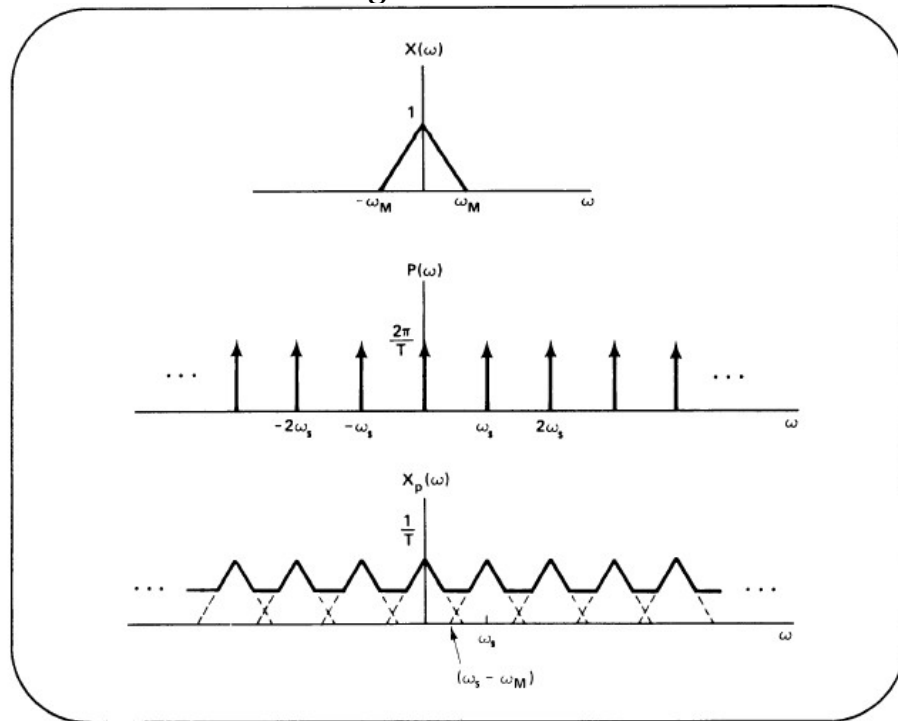
TRANSPARENCY 16.4
Block diagram of sampling and reconstruction using an ideal lowpass filter.

1. The overall system then for doing the sampling and then the reconstruction of the original signal from the samples, consists of multiplying the original time function by an impulse train. And that gives us then the sampled signal. The Fourier transform I show here of the original signal and after modulation with the impulse train, the resulting spectrum that we have is that replicated around integer multiples of the sampling frequency. And then finally, to recover the original signal or to generate a reconstructed signal, we then multiply this in the frequency domain by the frequency response of an ideal low-pass filter. And what that accomplishes for us then is recovering the original signal.
2. In doing the reconstruction-- what we've assumed-- is that in replicating these individual versions of the original signal, those replications don't overlap and so by passing this through a low-pass filter in fact, we can

recover the original signal. Well, what that requires is that this frequency,, be less than this frequency. And this frequency is . So, what we require is that the frequency . Or equivalently, what we require is that the sampling frequency be greater than twice the highest frequency in the original signal.

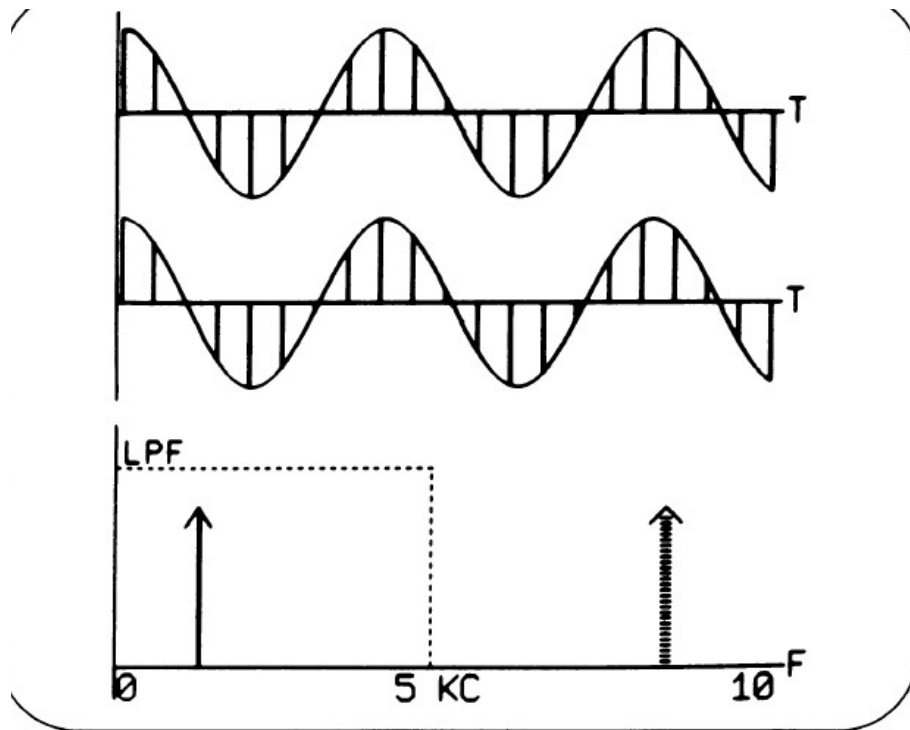
3. Now, if in fact that condition is violated, then we end up with a very important effect referred to as ***aliasing***.

TRANSPARENCY
3
Illustration of aliasing
when the sampling
frequency is too low.



4. What happens here then is that in effect, higher frequencies get folded down into lower frequencies. What would come out of the low-pass filter is the reflection of some higher frequencies into lower frequencies.
5. And in order to both understand that term better and to understand in fact the effect better, it's useful to examine this a little more closely for the specific example of a sinusoidal signal.

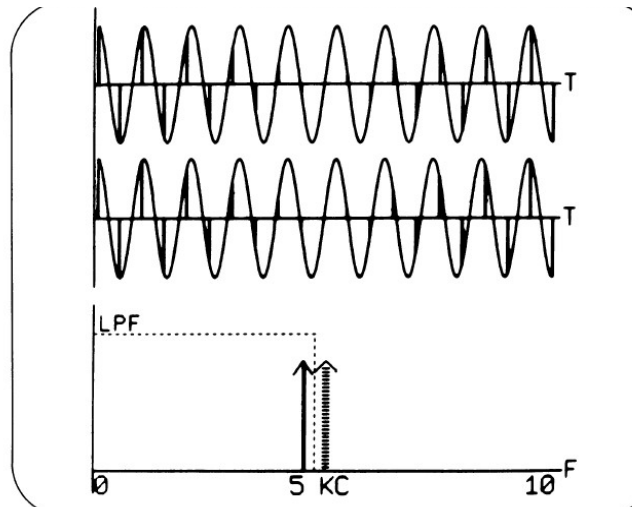
Effective Recovery of Original Signal



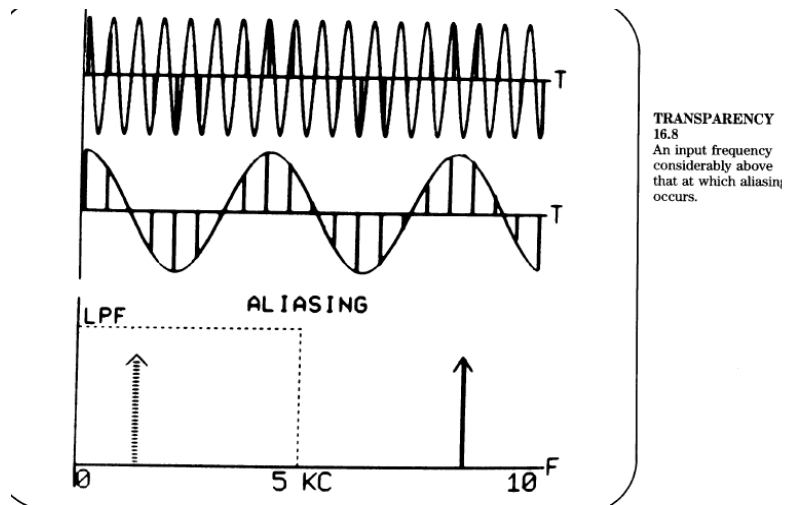
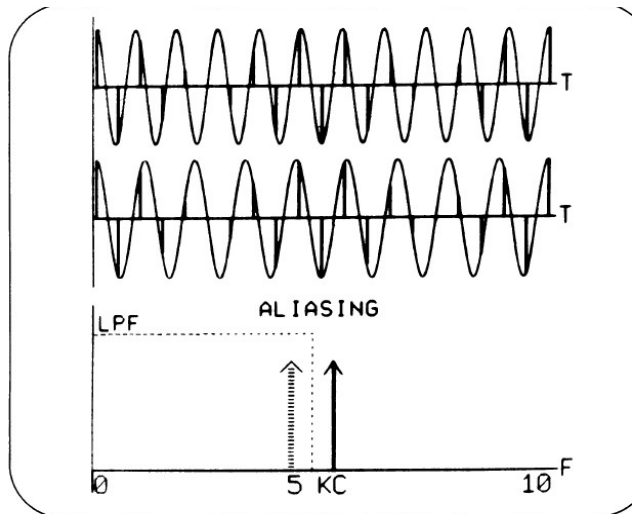
TRANSPARENCY
16.5
 Time waveforms and spectra for a sampled sinusoidal signal, with no aliasing.

Aliased Recovery of Original Signal

TRANSPARENCY
6
Same as transparency 16.5, but the frequency is increasing.



TRANSPARENCY
7
Input frequency increased to the point where aliasing occurs.



TRANSPARENCY
16.8
An input frequency considerably above that at which aliasing occurs.

MATLAB plots for Sampling

```

%           MATLAB code to demonstrate sampling theorem
% =====
clc; clear all; close all;

%% Specifications
Fc = 1; Fs1 = 100*Fc; Fs2 = 1.2*Fc; Fs3 = 2*Fc; Fs4 = 10*Fc;
t1 = 0:1/Fs1:10; t2 = 0:1/Fs2:10; t3 = 0:1/Fs3:10; t4 = 0:1/Fs4:10; % 10Sec

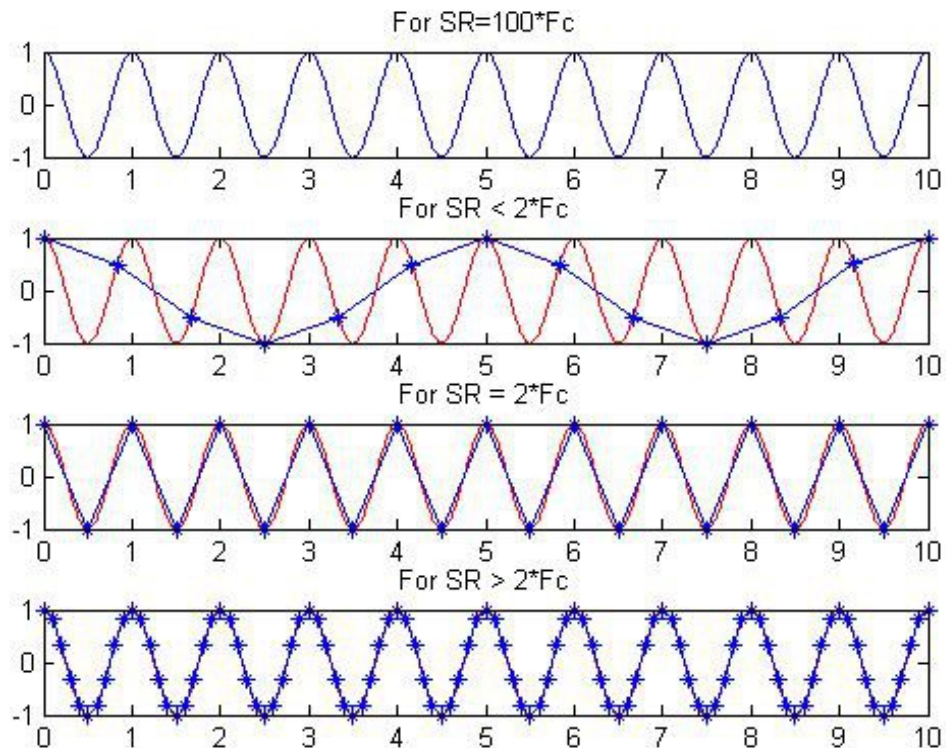
%% Signals
s1 = cos(2*pi*Fc*t1); % Original signal sampled at very high rate (100*Fc)
s2 = cos(2*pi*Fc*t2); % Original signal sampled at SR < 2*Fc (SR = Fc)
s3 = cos(2*pi*Fc*t3); % Original signal sampled at SR = 2*Fc
s4 = cos(2*pi*Fc*t4); % Original signal sampled at SR > 2*Fc (SR = 10*Fc)

%% Plot desired Signals
% plot(X1,Y1,LineStyle,...,Xn,Yn,LineStyle) plots lines defined by the
Xn,Yn,LineStyle triplets, where LineSpec specifies the line type, marker
symbol, and color.
subplot(411); plot(t1,s1); title('For SR=100*Fc');
subplot(412); plot(t1,s1,'r',t2,s2,'b*-'); title('For SR < 2*Fc');
subplot(413); plot(t1,s1,'r',t3,s3,'b*-'); title('For SR = 2*Fc');
subplot(414); plot(t1,s1,'r',t4,s4,'b*-'); title('For SR > 2*Fc');

% =====

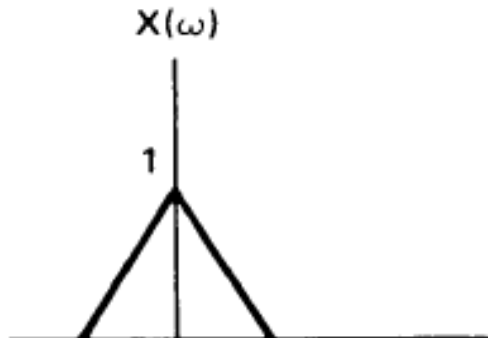
```

OUTPUT



MATLAB plots for Lowpass & Bandpass Filtered Sampling

To better understand the frequency domain of sampling theory a collection of MATLAB m-files was created for plotting the frequency spectrum following ideal sampling. The tools described in this note are useful in analyzing the spectra following ideal sampling of real lowpass and bandpass signals.



Using pre-defined MATLAB functions for lowpass “*lp_tri()*” and bandpass filters (“*lp_tri()*” and “*bp_tri()*”) for a signal with above triangular frequency plot, we design two equivalent functions, the MATLAB code for which are defined in the pages that follow.

m-File lp_samp(fb,fs,fmax,N)

```
function lp_samp(fb,fs,fmax,N)
%*****
%   Lowpass Sampling Theorem Plotting Function
%*****

% fb = spectrum lowpass bandwidth in Hz
% fs = sampling frequency in Hz
% fmax = plot over [-fmax,fmax]
% N = number of translates, N positive and N negative

% This function automatically creates a MATLAB plot using a predefined
% spectral shape taken from the function lp_tri( ).

% define the plot interval
f = -fmax:fmax/200:fmax;
% plot the lowpass spectrum in black
plot(f,lp_tri(f,fb),'k');
% overlay positive and negative frequency translates
hold
    for n = 1:N
        plot(f,lp_tri(f-n*fs,fb),'r');
        plot(f,lp_tri(f+n*fs,fb),'g');
    end
hold
title('Lowpass Sampling Theorem for a Real Signal: Blk = orig, dotted = translates')
ylabel('Spectrum Magnitude')
xlabel('Frequency in Hz')
%function x = lp_tri(f, fb):
function x = lp_tri(f, fb)
x = zeros(size(f));
    for k=1:length(f)
        if abs(f(k)) <= fb
            x(k) = 1 - abs(f(k))/fb;
        end;
    end
end
```

m-File bp_samp(fl,fh,fs,fmax,N,shape)

```
function bp_samp(fl,fh,fs,fmax,N,shape)
%function bp_samp(fl,fh,fs,fmax,N,shape)
%*****
%   Bandpass Sampling Theorem Plotting Function
%*****
% fl = spectrum lower frequency in Hz
% fh = spectrum upper frequency in Hz
% fs = sampling frequency in Hz
% fmax = plot over [-fmax,fmax]
% N = number of translates, N positive and N negative
% shape = basic spectral shape: 0-default upslope tri, 1-downslope tri

%This function automatically creates a MATLAB plot using a predefined
% spectral shape taken from the function bp_tri().

% use default shape for only 5 input arguments
if nargin == 5
    shape = 0;
end
% define the plot interval
f = -fmax:fmax/200:fmax;
% plot the bandpass spectrum
plot(f,bp_tri(f,fl,fh,shape),'k');
% overlay positive and negative frequency translates
hold
    for n = 1:N
        plot(f,bp_tri(f-n*fs,fl,fh,shape),':r');
        plot(f,bp_tri(f+n*fs,fl,fh,shape),':g');
    end
hold
title('Bandpass Sampling Theorem for a Real Signal: Blk = orig, dotted = translates')
ylabel('Spectrum Magnitude')
xlabel('Frequency in Hz')
```

```

% x = bp_tri(f, fl, fh, shape):
function x = bp_tri(f, fl, fh, shape)
x = zeros(size(f));
    if shape == 1
        for k=1:length(f)
            if abs(f(k)) <= fh
                if abs(f(k)) >= fl
                    x(k) = (fh- abs(f(k)))/(fh-fl);
                end;
            end;
        end
    else
        for k=1:length(f)
            if abs(f(k)) <= fh
                if abs(f(k)) >= fl
                    x(k) = (abs(f(k))-fl)/(fh-fl);
                end;
            end;
        end
    end
end

```

BIBLIOGRAPHY

We would like to mention certain sources from which we borrowed heavily in the making of this project.

BOOK

Chapter 16, Sampling (Signals & Systems – Alan Oppenheim, Alan Willsky, Hamid S. Nawab)

RESOURCES

Lecture 16, RE .6-007, 1987 (Signals & Systems – Alan V. Oppenheim)