

# Reproducibility Study of "Amortized Tree Generation for Bottom-up Synthesis Planning and Synthesizable Molecular Design"

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## Abstract

To be written

## REPRODUCIBILITY SUMMARY

### SCOPE OF REPRODUCIBILITY

In this work, we reproduce some of the results obtained by SynNet (ref). We propose three main claims: reproducing table 1 and figure 4, testing the model on a different target molecules set, and training the model from scratch to obtain the same results as the default model. By stating these three objectives, we try to test and confirm the validity of the original results and the proposed model by checking different aspects. Our first claim tries to evaluate the robustness of the original models. The second claim tries to evaluate the model's ability to generalize to different chemical spaces. The third claim tries to confirm the validity of the original code to train the model from scratch and its robustness to obtain the same results.

### METHODOLOGY

We used the original code to run the models and train networks from scratch. We also partially used this code to analyze the results. We wrapped the code in notebooks to reproduce results easier and wrote code to download data, run models and analyze results. Overall, we roughly spent 130 GPU hours training the models and 20 hours in preprocessing and inference tasks (computational time is specified in detail in the corresponding section).

### RESULTS

Our results support the first claim. We reproduced recovery rates to within 5% of reported values for the reachable set and 2% for the unreachable set. We also got a difference of roughly 40% between both sets, similar to the one in the original work. The third claim is also supported by our results, as we have obtained recovery rates differing from the default models by less than 5% in all cases by using our trained models. Our second claim is partially supported by our results, as we obtain a lower recovery rate value for the ZINC set compared to the reachable molecules set (18-23% vs 47-51%), but not as low as the obtained for ChEMBL. Overall, our results support the validity and reproducibility of the results described in the original paper.

### WHAT WAS EASY

- The codebase was very well documented, we had no issue understanding each component's purpose and how to use it.
- The script used to compute the results shown in Table 1 was present and documented, which made the process easy to reproduce.

### WHAT WAS DIFFICULT

- The new version of PyTDC (3.8.0) has breaking issues, preventing any use of the Oracle feature. We had to downgrade it to version 3.7.0. [1]
- We were unable to use the computation in parallel feature as it was not following the library's guidelines [1]: The codebase uses global variables to pass fixed arguments to the parallelized function. This works on UNIX, but on Windows, every time a new thread is spawned, a new interpreter is created. Therefore, any global variable is reset, and it cannot be accessed by the thread. For the reason explained above, using global variables to pass arguments is a bad practice, and we had to modify the codebase and pass the arguments directly to address the issue.
- The Table 1 is generated using the test set of the model training. But, the test set is not provided. As we re-trained the model ourselves, we simply used the test set from this training.
- The computation time is very high. This prevented us from producing every result we wanted. We had to focus on the most important parts.

## I. INTRODUCTION

*De novo* molecular design involves creating new chemical structures through an automated process, with the goal of achieving some desired molecular characteristics. This approach can dramatically accelerate computer-assisted drug discovery. Recently, machine learning techniques have been applied to propose new molecules with desired properties (refs). Although these models are able to generate new chemical compounds with optimal properties, there is no guarantee that the proposed molecules can be synthesized in the lab. Therefore, synthesizability should be taken into account when designing new ML methods for *de novo* molecular design. There have been attempts to overcome this limitation by combining models for molecular optimization and models for synthesis planning in two steps (refs). However, no method has simultaneously addressed these two tasks. A method combining synthesis and property optimization tasks together would help to improve the progress of computer-assisted molecular design and the discovery of new high-value chemicals, facilitating its synthesis and further commercialization.

Coley et al. have recently proposed a new ML model for *de novo* molecular generation called SynNet(ref). This approach represents chemical synthesis as trees, where molecules are nodes and branches are chemical reactions linking one or more molecules that undergo a transformation. It is possible to define the tree generation task as a Markov Decision Process (MDP), where each state is independent of the previous states (the same way a new step in a chemical synthesis does not depend on past actions). The model takes a target molecule as an input and is able to build a synthetic tree to reconstruct this target from commercially available building blocks. It can also apply a genetic algorithm to optimize a molecular structure based on a given oracle function, providing a synthesizable molecule that has a high score for the selected oracle. This represents a significant step towards *de novo* synthesizable molecular design and should be evaluated to understand its current limitations and potential improvements. In this work, we investigate SynNet and reproduce some of the results from the original publication. Our results show ...

## II. SCOPE OF REPRODUCIBILITY

The addressed problem is optimizing a molecule for a specific property while guaranteeing its synthesizability, or proposing a synthetic route to make it. The model takes a vector embedding representing the molecule as input and generates a synthetic tree stepwise by combining the results given by 4 Multi-Layer Perceptrons (MLPs). The final result is a synthetic tree whose root is the target molecule or a similar one, or an optimized molecule in the case of synthetic design. In the synthesis planning, the molecule can be successfully reconstructed or an analogue can be obtained.

We propose three main objectives in this study:

- Reproduce table 1 and figure 4 results from the original publication by running the default trained models provided by the authors for synthesis planning.
- Test the model using a different unreachable molecules dataset as targets for synthesis, expecting a similar recovery rate to the one obtained for the ChEMBL dataset (4.5%) in the original publication.
- Train the networks from scratch following the instructions in the original repository, and use these results to run the model and obtain the same recovery rates as the ones obtained by running the default models.

## III. METHODOLOGY

For this project, we mostly used the code provided in the original SynNet repository. The code is well documented and modular, allowing a non-expert user with minimal knowledge of *de novo* molecular design to implement all the necessary steps efficiently. Original code was used to run the models, generate and featurize the synthetic trees, and train the models from scratch. We have written code to download and process all the necessary datasets, run the reproducibility experiments, and analyze the results. Our reproducibility results can be generated by running the notebooks that are included in the project repository (link to repo).

## A. Model descriptions

The system described in the paper is based on 4 Multi-Layer Perceptrons (MLPs). (Initialization) Each MLP is used to predict one feature for one step of the synthetic tree generation of the target molecule. These networks are called Action (act), Reactant 1 (rt1), Reaction (rxn), and Reactant 2 (rt2). Action (act) network uses as input a molecular embedding concatenating the target molecule and the current tree state, and samples from 4 possible actions (add, expand, merge or end) that define the next tree step. Reactant 1 (rt1) network samples from the list of possible building blocks and the intermediate root molecules, selecting a molecule to apply in the next reaction. Reaction network takes the rt1 molecule output as input and samples from all the available reaction templates (if the input molecule can react). Finally, Reactant 2 network samples from building blocks list if the reaction selected from rxn network is bimolecular. The synthetic step is added to the tree by merging the outputs generated in each MLP.

## B. Datasets

We used the same reaction templates and building blocks that were used in the original paper (we requested access to the building blocks file from the chemical company Enamine, as these data were not open access). Data used to train the models have been generated by running the corresponding scripts from the original repository. The resulting files contain 249000, 89000, and 89000 synthetic trees for train, validation and test sets, respectively. We analyze data distribution in the three sets, confirming their similarity and a predominance of depth=1 trees and root molecules with a molar weight between 250 and 500. The analysis is included in data.ipynb.

For model testing, we employed three different sets of 10000 molecules each randomly taken from the test set, ChEMBL and ZINC respectively. Molecules from the test set correspond to root molecules of synthetic trees, and are named as *reachable* because they come from the same data distribution that was used to train the model (thus, the model would be able to reach these targets). ChEMBL dataset [2] was used in the original paper as a source of unreachable molecules (molecules that the model would not easily recover). This database contains biologically active molecules with drug-like properties that have been manually curated. We also included a different set of unreachable molecules extracted from ZINC dataset to test the model. ZINC is a free database of commercially-available compounds for virtual screening [3] .

## C. Hyperparameters

Default hyperparameters were used to train the networks. All MLPs consist of 5 fully connected layers with 1000, 1200, 3000, and 3000 hidden neurons respectively. All hidden layers apply batch normalization before applying ReLU activation. Adam optimizer was used for training with a learning rate of 1e-4 and mini-batch size of 64.

## D. Experimental setup and code

To reproduce the original results, we have implemented the code in the form of . Here, we run the necessary preprocessing steps to get the original models, molecular embeddings and molecules sets and then we run . The analysis.ipynb notebook takes as input the files with the decoded molecules from the run.ipynb and computes and plots the relevant statistics.

## E. Computational requirements

The project was run on a node with AMD Ryzen 9 5900X 12-Core 2.2 GHz processors and 62 GB RAM. All models were trained on an NVIDIA GeForce RTX 3090 graphic card with 25 GB VRAM. The total GPU time spent to train each MLP model was: 30h (act), 22h (rt1), 40h (rxn) and 40h (rt2). Data preprocessing (building blocks precomputing, tree generation, filtering and featurization) took 3h in the workstation. Synthesis inference took 150 minutes for each set of 10000 molecules (with a total time of 15h for running the 6 sets of molecules). Optimization inference took 1h.

# IV. RESULTS

## A. Results reproducing original paper

Reproduced results are displayed in table 1 (corresponding to table 1 on the original paper). We used 10000 randomly sampled molecules for each testing set (reachable and unreachable) to run the synthesis planning. Although the original publication used a bigger sample size (69548 reachable and 20000 unreachable molecules, respectively), we used a smaller one to reduce the computing time by assuming a similar distribution and a sufficiently large sample size. We compare the recovery rate (percentage of target molecules that are correctly reconstructed) obtained by our reproduced model to the original recovery rate. In the case of the reachable set, the rate of recovered molecules is 47.2% (51% in the original paper). In the case of the unreachable set, we obtain a value of 5.97 % (4.5% in the original paper). The reachable average similarity is 0.581 (0.759 in the original paper), and the unreachable similarity is 0.479 (0.423 in the original paper).

| Dataset  | N     | Recovery Rate | Average Similarity | KL Divergence | FC Distance |
|----------|-------|---------------|--------------------|---------------|-------------|
| Test set | 10000 | 47.2 %        | 0.581              | 0.993         | 0.153       |
| ChEMBL   | 10000 | 5.97 %        | 0.479              | 0.961         | 1.897       |

Figure 1 shows the correlation between some properties of the targets and products for reachable and unreachable sets (corresponding to figure 4 in the original paper). Reachable correlation values are between 0.63-0.72 (0.60-0.70 in the original paper), while unreachable values are between 0.11-0.33 (0.03-0.28 in the original paper).

We have also run a simple optimization inference for GSK score using random molecules from ZINC as seed, but with a lower number of generations than in the default script due to a time constraint. The top molecule has a GSK score of 0.78 and a molar mass of...

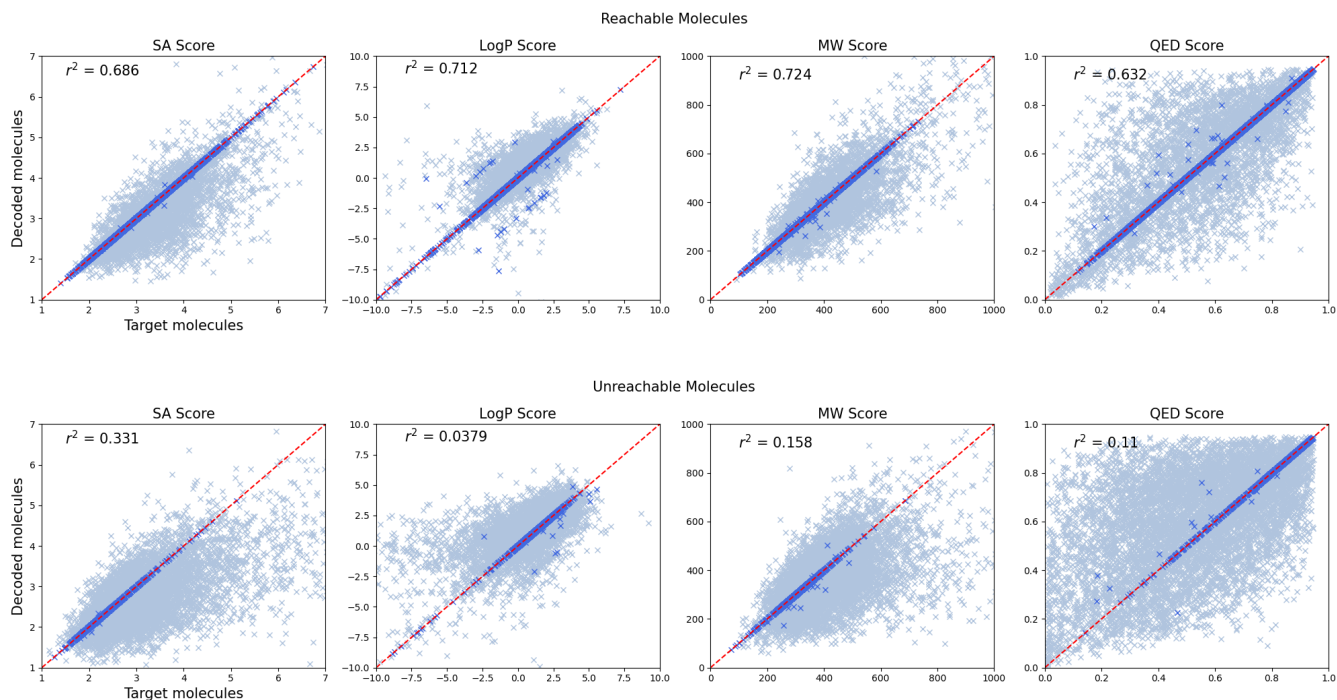


Fig. 1: Reproducibility of figure 4

| Dataset             | N     | Recovery Rate | Average Similarity | KL Divergence | FC Distance |
|---------------------|-------|---------------|--------------------|---------------|-------------|
| Test set (original) | 10001 | 42.41 %       | 0.549              | 0.991         | 0.182       |
| Test set (trained)  | 10000 | 47.2 %        | 0.581              | 0.993         | 0.153       |
| ChEMBL (original)   | 10000 | 6.05 %        | 0.466              | 0.963         | 1.943       |
| ChEMBL (trained)    | 10000 | 5.97 %        | 0.479              | 0.961         | 1.897       |
| Zinc (original)     | 10000 | 18.98 %       | 0.571              | 0.763         | 1.178       |
| Zinc (trained)      | 10000 | 23.73 %       | 0.579              | 0.742         | 0.921       |

## B. Results beyond original paper

Table 2 displays results for non . Synthesis planning with ZINC dataset shows a recovery rate of 19.0. Besides, we also run synthesis planning with the 3 previous molecule sets but using our trained models. Results show recovery rates of 2, 6.05, and 23.7 for unreachable, ChEMBL and ZINC sets respectively. We also provide average similarities and KL divergences and FC distances. In the last two cases, we compute the values separately for recovered and unrecovered molecules.

Note: for KL divergence and FC distance, the first value is computed with the recovered molecules, while the second is computed with the unrecovered molecules.

## C. Discussion

Table 1 and figure 1 results are in agreement with the original paper. First, recovery rates for the reachable set of molecules differ by less than 5% and have values around 50%, suggesting that the model can successfully propose synthetic routes for half of the molecules in the test set. Similarity values also confirm this trend. The recovery rates for ChEMBL (unreachable molecules) differ by less than 2 % and have values around 5%, proving that the model is not able to generally reconstruct molecules from this data distribution. The model was trained on a set of reaction templates, so test molecules are a combination of those reactions, while ChEMBL molecules may require different reactions for synthesis. This fact makes ChEMBL set harder for the model and originates a large difference in recovery rates between the two molecules set. The similarity of original and reproduced values also indicates that a sample size of 10000 is sufficiently large to reproduce the results, and confirms the consistency of our first claim. Besides, the optimization algorithm is able to generate molecules with high oracle function scores that are also structurally simpler and easier to synthesize than previous examples, as it was suggested by the authors.

The additional ZINC set used to evaluate the original model has a recovery rate between the reachable and unreachable sets (18 and 23 % for default and trained models, respectively). This may be due to the fact that molecules from this dataset are more general than the ChEMBL dataset and therefore may be reachable by the chemical reactions included in the training reaction templates. .. This partially supports our second claim, proving that the model is not able to perform as well as in

the reachable molecules set for different molecule distributions. It also supports the outlook provided by the authors, where they suggested that a more comprehensive reaction template set may expand model reachable chemical space and improve recovery rates.

Finally, all results obtained by our trained models show recovery rates similar to the ones obtained with the default models trained by the authors. Table 4 displays recovery rates for the three molecule sets in both default and trained models, showing that all differ by less than 5%. This suggests that the training process has been correctly done and that it is possible to train the models from scratch to obtain the same model as the original publication using the base code in the original repository. It also confirms our third claim and supports the reproducibility and robustness of the original code.

## V. CONCLUSION

We have studied and reproduced the results of SynNet, a model proposed by Coley et al. for synthesizable *de novo* molecular design (ref). We have proposed 3 claims (...). Our results confirm first and third claims, and partially the second claim. This project supports the validity and robustness of SynNet, and suggests this model as a baseline for future improvements and new models in this field.

## REFERENCES

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