

## Data Structures and Algorithms

### Lecture 3 – Lists

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# Lists



**Main content**

**Abstract data type of Lists**

**Array-based Lists**

**Linked Lists**

**Stacks**

**Queues**

**String**

**Application**

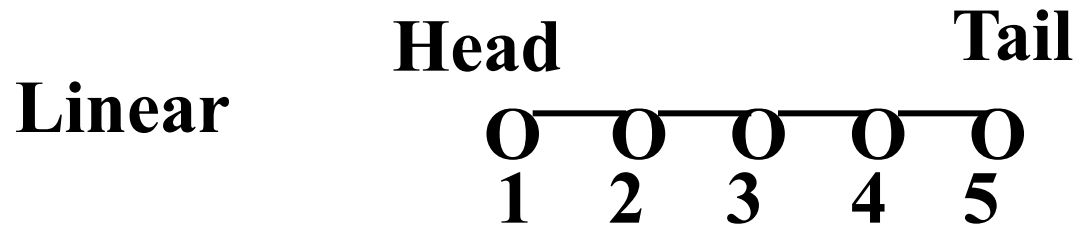
# Consider Every Day Lists



- Groceries to be purchased
- Job to-do list
- List of assignments for a course
- Dean's list
  
- Can you name some others??



## Characters of linear structure:



- Only one head item/element
- Only one tail item/element
- One direct previous item/element (except Head)
- One next item/element (except Tail)

- A list is a finite, ordered sequence of data items.
- Important concept: List elements have a position.
- Notation:  $(a_1, \dots, a_{i-1}, a_i, \dots, a_n)$

Where  $a_1$  is the head,  $a_n$  is the tail,  
 $a_{i-1}$  is the previous element of  $a_i$   
 $a_i$  is the next element of  $a_{i-1}$

When  $2 \leq i \leq n$ ,  $a_i$  has only one previous element

When  $1 \leq i \leq n-1$ ,  $a_i$  has only one next element

# Properties of Lists



- Can have a single element
- Can have no elements
- There can be lists in lists
  
- We will look at the list as an abstract data type
  - Homogeneous
  - Finite length
  - Sequential elements

# Basic Operations



- **Construct an empty list**
- **Determine whether or not empty**
- **Insert an element into the list**
- **Delete an element from the list**
- **Traverse (iterate through) the list to**
  - Modify
  - Output
  - Search for a specific value
  - Copy or save
  - Rearrange

# Designing a **List** Class



- **Should contain at least the following function members**
  - **Constructor**
  - **`isEmpty()`**
  - **`insert()`**
  - **`delete()`**
  - **`display()`**
- **Implementation involves**
  - **Defining data members**
  - **Defining function members from design phase**



# Abstract Data Type of Lists



**Data set:**  $\{ a_0, a_1, \dots, a_{n-1} \}$ ,  $a_i$  is Data Type

**operations:**

- (1) Clear()
- (2) Append(T value)
- (3) Insert(int p, T value)
- (4) Delete(int p)
- (5) GetValue(int p, T& value)
- (6) SetValue(int p, T value)
- (7) GetPos(int p, T value)

# Approaches to Implement Lists



## ➤ Sequential structure

**Array-Based List**

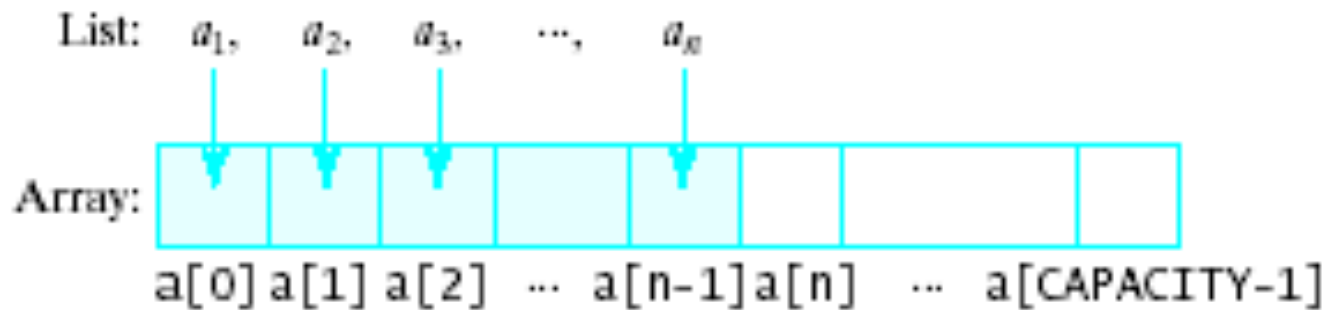
## ➤ Linked structure

**Linked List**

# Array-Based Lists



- An array is a viable choice for storing list elements
  - Element are sequential
  - It is a commonly available data type
  - Algorithm development is easy
- Normally sequential orderings of list elements match with array elements



# Array-Based List



A consecutive storage.

address	Memory
$b$	$a_1$
$b+l$	$a_2$
$\vdots$	$\vdots$
$b+(i-1)l$	$a_i$
$\vdots$	$\vdots$
$b+(n-1)l$	$a_n$
$b+nl$	

The memory size of each element is  $l$

$LOC(a_i)$  is the memory address of  $a_i$

$LOC(a_1)$  is the memory address of first element  $a_1$ , also the head of the list

$$LOC(a_{i+1}) = LOC(a_i) + l$$

$$LOC(a_i) = LOC(a_1) + (i - 1)l$$

# Implementing Operations (Insert)

## INSERT

EX., Insert  $b$  in front of  $a_i$

$a_1$	$\dots$	$a_{i-1}$	$a_i$	$\dots$	$a_n$
-------	---------	-----------	-------	---------	-------

$a_1$	$\dots$	$a_{i-1}$	$b$	$a_i$	$\dots$	$a_n$
-------	---------	-----------	-----	-------	---------	-------

### Process:

1. Shift the elements( **$i$ th... $n$ th**) right of insertion point
2. Insert the new element at the  **$i$ th** position

# Implementing Operations (Insert)

**Ex,** Insert **25** in front of the **forth** element

Insert 25 →

1	45
2	12
3	9
4	<b>25</b>
5	33
6	69
7	5



# Implementing Operations (Insert)

**The time complexity of insertion:**

**Under different conditions**

**$i=1$ , move  $n$  items;**

**$i=n+1$ , move  $0$  items;**

**$i=i$ , move  $n - i + 1$  items;**

# Implementing Operations (Insert)

Suppose  $p_i$  is the probability of inserting a new element in front of the  $i^{\text{th}}$  item

The **expectation** number of movement for inserting a new element in a list (length= $n$ )  $E_{is} = \sum_{i=1}^{n+1} p_i (n - i + 1)$

Suppose the probability of inserting an element at each position is **equal**,  $p_i = \frac{1}{n+1}$

$$E_{is} = \frac{1}{n+1} \sum_{i=1}^{n+1} (n - i + 1) = \frac{n}{2} \quad O(n)$$



# Implementing Operations (Delete)

## EX.2.5 Delete the $i$ th item

$a_1$	$\dots$	$a_{i-1}$		$a_{i+1}$	$\dots$	$a_n$
-------	---------	-----------	--	-----------	---------	-------

$a_1$	$\dots$	$a_{i-1}$	$a_{i+1}$	$\dots$	$a_n$
-------	---------	-----------	-----------	---------	-------

### Process:

1. Delete the  $i$ th element
2. Shift the elements( $i+1$ th... $n$ th) back

# Implementing Operations (Delete)



**EX., Delete the 4<sup>th</sup> item 25**

	1	45
	2	12
	3	9
Delete 25 →	4	33
	5	69
	6	5



# Implementing Operations (Delete)

**The time complexity of Delete:**

**The number of shifted elements depends on the position of the deleted element**

**$i=1$ , move  $n-1$  elements;**

**$i=n$ , move 0 element;**

**$i=i$ , move  $n-i$  elements;**

# Implementing Operations (Delete)

Suppose  $q_i$  is the probability of delete the  $i$ th item

The **expectation** number of movement for deleting an item in a list (length= $n$ ) :  $E_{dl} = \sum_{i=1}^n q_i (n - i)$

Suppose the probability of deleting an item at each position is **equal**,  $q_i = \frac{1}{n}$

$$E_{dl} = \frac{1}{n} \sum_{i=1}^n (n - i) = \frac{n-1}{2} \quad O(n)$$

# The time complexity of operations



- **Insert an item :  $O(n)$ ,**
- **Delete an item :  $O(n)$ ,**
- **Get value of an item :  $O(1)$**
- **Set value of an item :  $O(1)$**

# The characteristics of array-based lists



## Advantages:

- Access elements easily and efficiently;
- Get the length of list directly

## Disadvantages:

- Predefine the maximum size of the list
- Inserting and deleting is difficult, both of them need to shift elements

# Multidimensional Array



- Array is the sequential list with fixed size and element type
  - Setting the size and data type when the static array is defined
  - Allocate the memory space for dynamic array during the program running

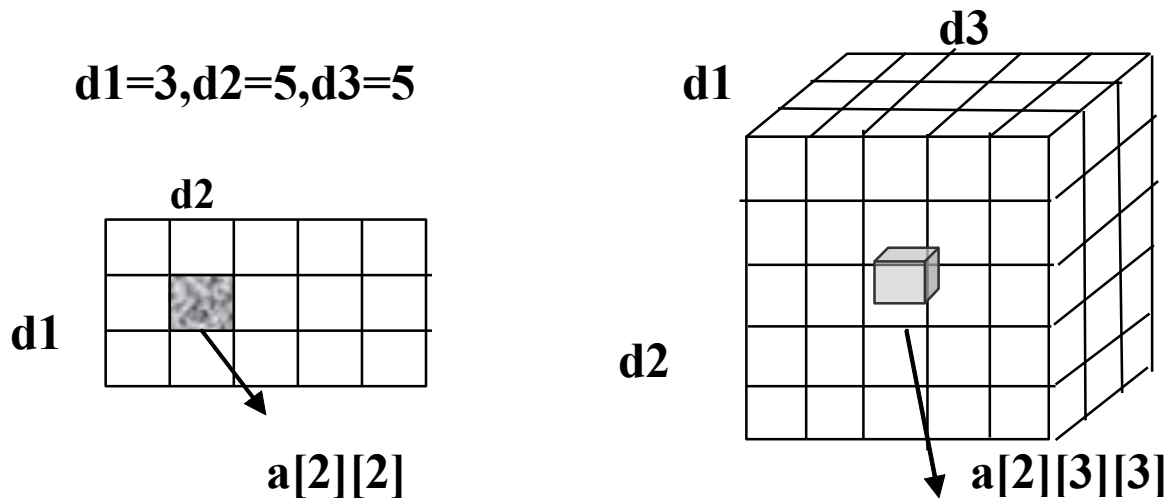
# Multidimensional Array

- Multi-array is the extended vector
  - The multi-array is made up of the vector of vector
  - It is represented as:  
ELEM A[c<sub>1</sub>..d<sub>1</sub>][c<sub>2</sub>..d<sub>2</sub>]...[c<sub>n</sub>..d<sub>n</sub>]
  - The number of items is:

$$\prod_{i=1}^n (d_i - c_i + 1)$$



# Structure of array



Two-dimension array

Three-dimension

$d1[1..3], d2[1..5], d3[1..5]$  is three dimensions

# The storage of array



- Logical characters of multi-array:

One element could has many direct previous elements and next elements

- The memory is one-dimensional. Therefore, the storage of array is one-dimension.

- Row priority

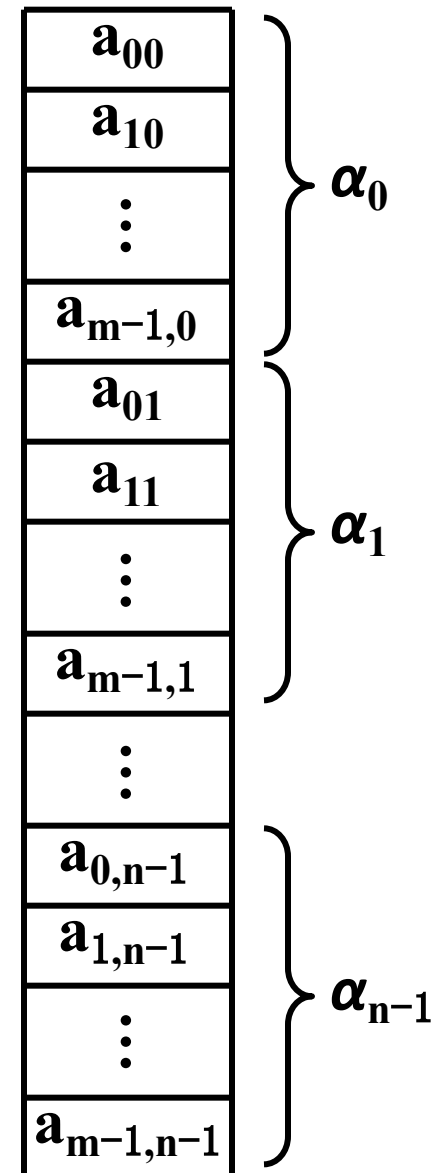
- Column priority

X=	1	2	3
	4	5	6
	7	8	9

# Column priority

$A_{m \times n}$

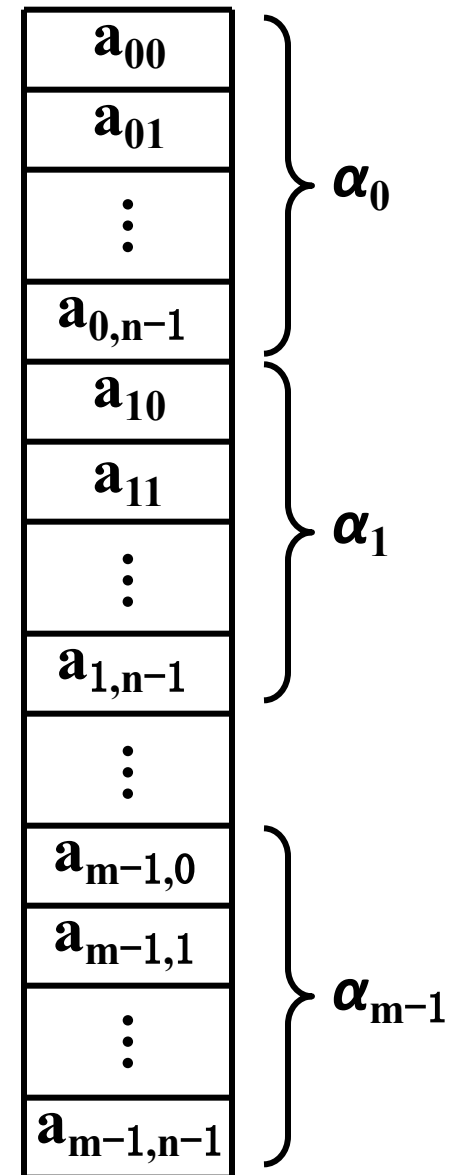
$$\begin{bmatrix} \begin{bmatrix} \mathbf{a}_{00} \\ \mathbf{a}_{10} \\ \vdots \\ \mathbf{a}_{m-1,0} \end{bmatrix} & \begin{bmatrix} \mathbf{a}_{01} \\ \mathbf{a}_{11} \\ \vdots \\ \mathbf{a}_{m-1,1} \end{bmatrix} & \begin{bmatrix} \mathbf{a}_{02} \\ \mathbf{a}_{12} \\ \vdots \\ \mathbf{a}_{m-1,2} \end{bmatrix} & \cdots & \begin{bmatrix} \mathbf{a}_{0,n-1} \\ \mathbf{a}_{1,n-1} \\ \vdots \\ \mathbf{a}_{m-1,n-1} \end{bmatrix} \end{bmatrix}$$



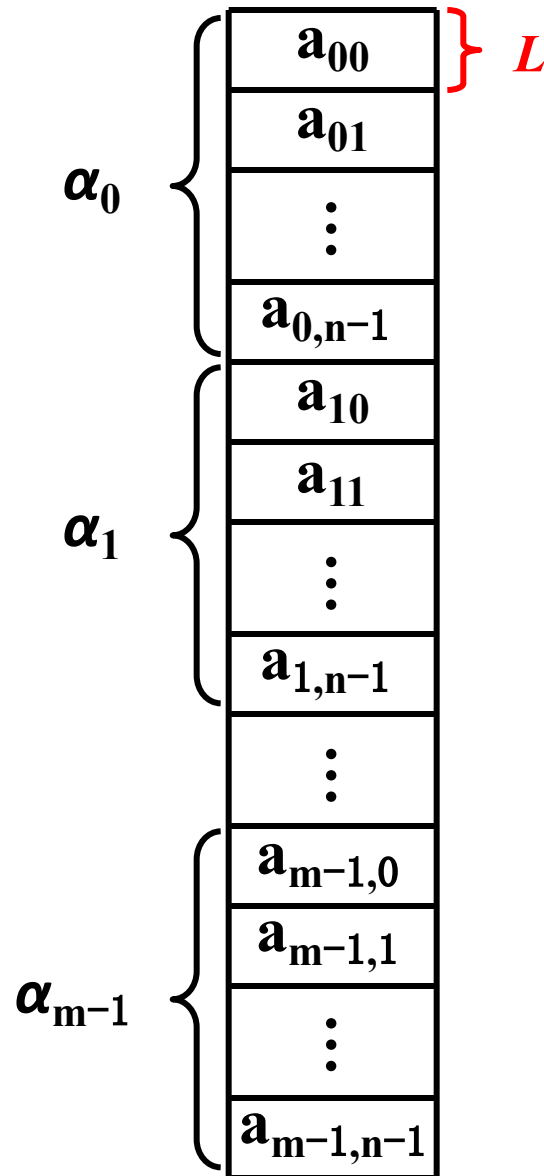
Row priority

$A_{m \times n}$

$$\begin{bmatrix} \begin{bmatrix} \mathbf{a}_{00} & \mathbf{a}_{01} & \mathbf{a}_{02} & \cdots & \mathbf{a}_{0,n-1} \end{bmatrix} \\ \begin{bmatrix} \mathbf{a}_{10} & \mathbf{a}_{11} & \mathbf{a}_{12} & \cdots & \mathbf{a}_{1,n-1} \end{bmatrix} \\ \vdots \\ \begin{bmatrix} \mathbf{a}_{m-1,0} & \mathbf{a}_{m-1,1} & \mathbf{a}_{m-1,2} & \cdots & \mathbf{a}_{m-1,n-1} \end{bmatrix} \end{bmatrix}$$



# How to calculate the memory address of the item in the array



Suppose it is **row** priority

Array :  **$A(m \times n)$**

The memory address of  **$a_{ij}$**  is

$$\text{LOC}(i, j) = \text{LOC}(0, 0) + (n \times i + j) L$$

Where,  **$\text{LOC}(0, 0)$**  is the memory address of  **$a_{00}$** ;

**$L$**  is the length of an item in the memory

**EX,**  $\text{LOC}(1, 1) = \text{LOC}(0, 0) + (n \times 1 + 1) L$

# Recall Inefficiency of Array-Implemented List



- **insert()** and **delete()** functions inefficient for dynamic lists
  - Those that change frequently
  - Those with many insertions and deletions

So ...

**We look for an alternative implementation.**

# Linked List



For the array-based implementation:

1. First element is at location 0
2. Successor of the item at location  $i$  is  $i + 1$
3. End is at location  $size - 1$

Fix:

1. Remove requirement that list elements should be stored in **consecutive location**.
2. But then need a "link" that connects each element to its successor

**Linked Lists !!**

## **The characteristics of linked structure:**

- **The memory units for data elements are selected randomly.**
- **The memory units could be sequential or not.**



# Single Linked List

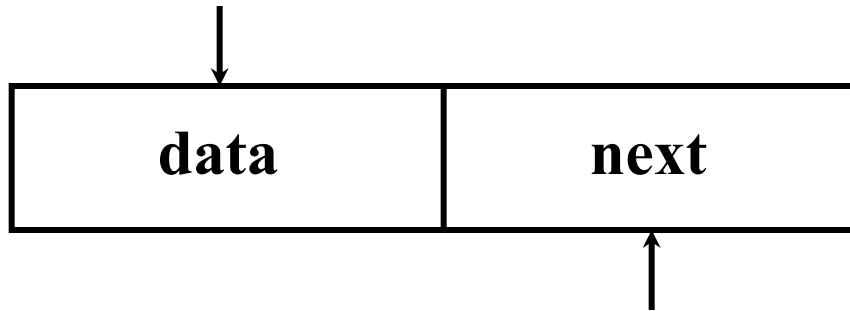


**Node:** Two parts,

element field for data information,

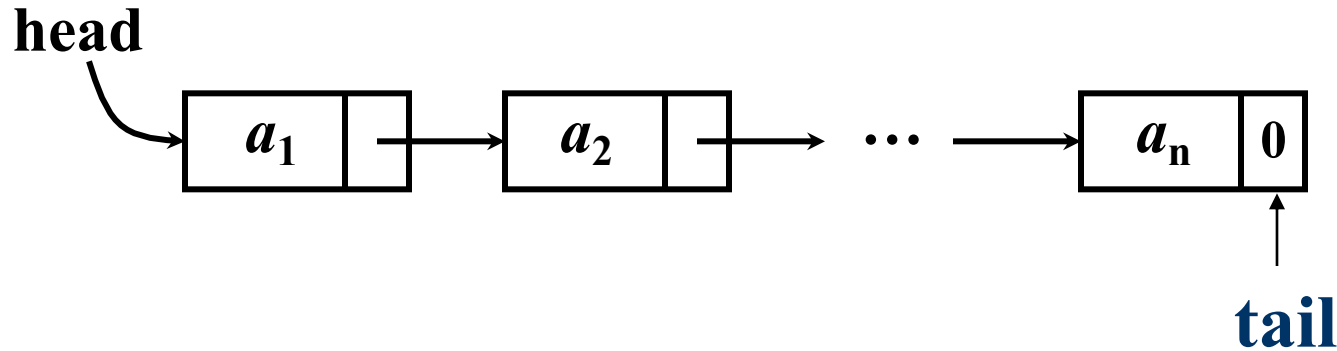
next field for the address of direct next node.

**Element field**, data information



**Next field**, the address of next node

# Single Linked List

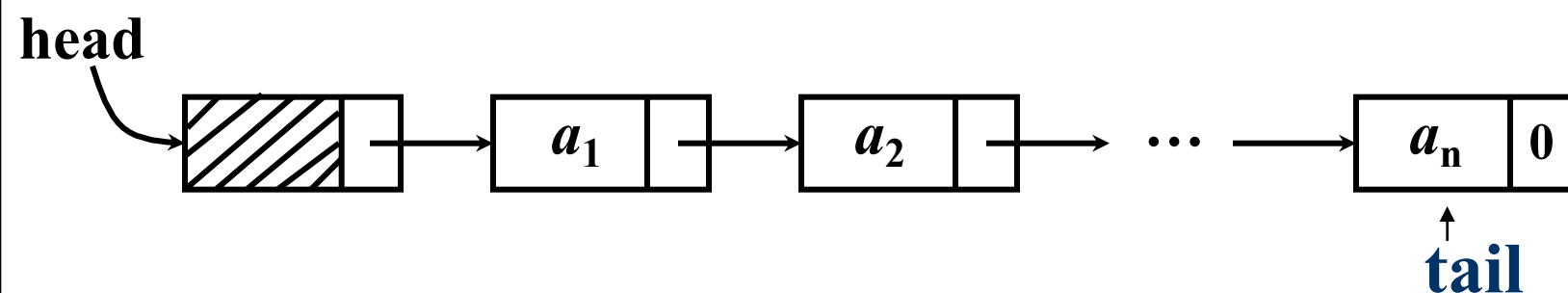


**head: head pointer**, points to the first node of linked list.

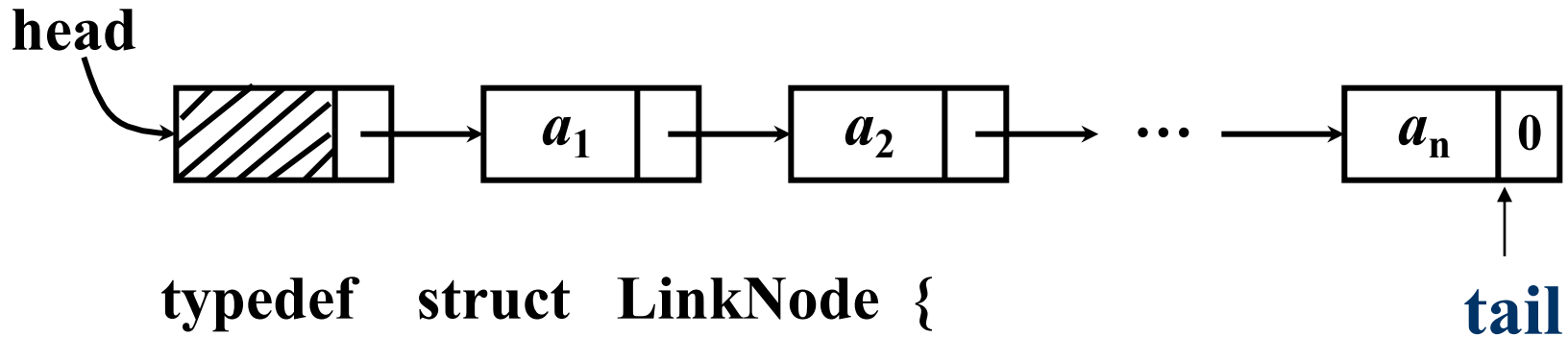
**Tail: tail pointer**, points to the last node of the linked list

**0: Null**, or “ $\wedge$ ”

**Head node:** contain some information of list (length)

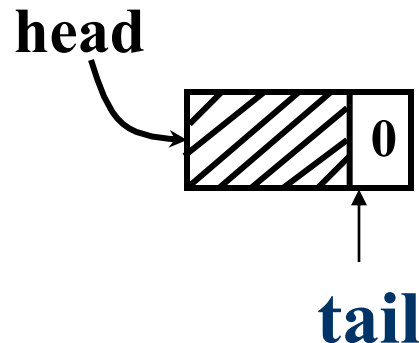


# Single Linked List



```
typedef struct LinkNode {  
    ELEM      data ;  
    ListNode  * next ;  
} ;
```

**Null list:**



# Single Linked List



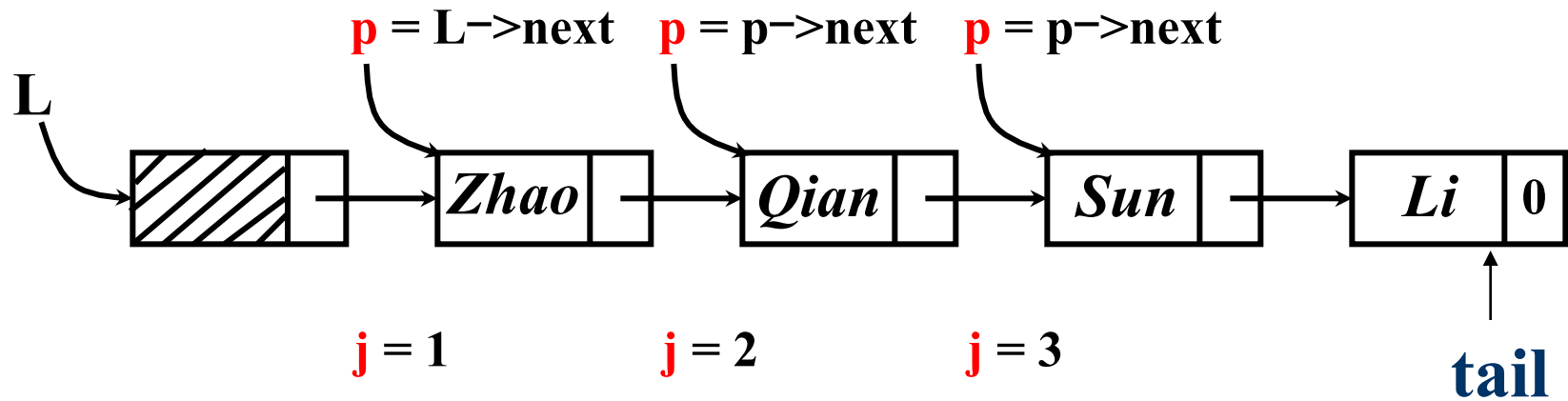
## Disadvantages:

- Not able to randomly access an arbitrary data in the linked list
- Not able to directly acquire the length the the linked list

## Advantages:

- Efficient to implement insert/delete operations

**EX,** get the third element



$e = p \rightarrow \text{data} = \textit{Sun}$

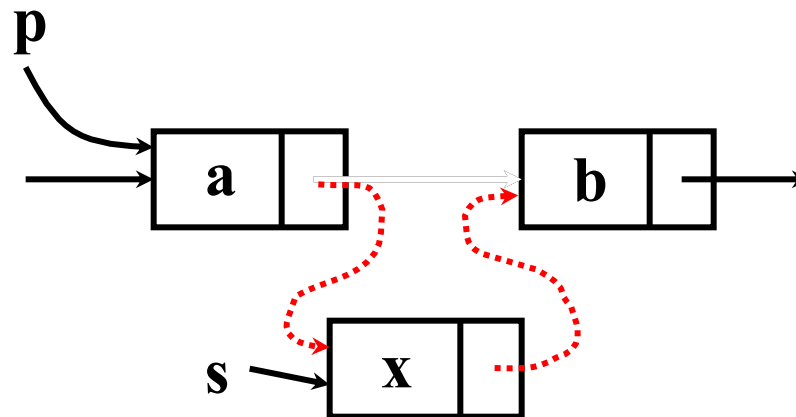
Time complexity:  $O(n)$

# Single Linked List



**Advantages:** Efficient for inserting and deleting

Insert x between a and b:



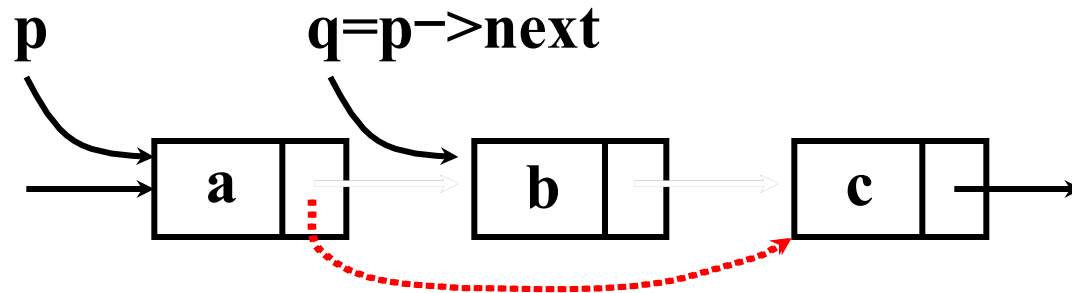
$s \rightarrow \text{next} = p \rightarrow \text{next}$

$p \rightarrow \text{next} = s$

# Single Linked List



Delete item b :



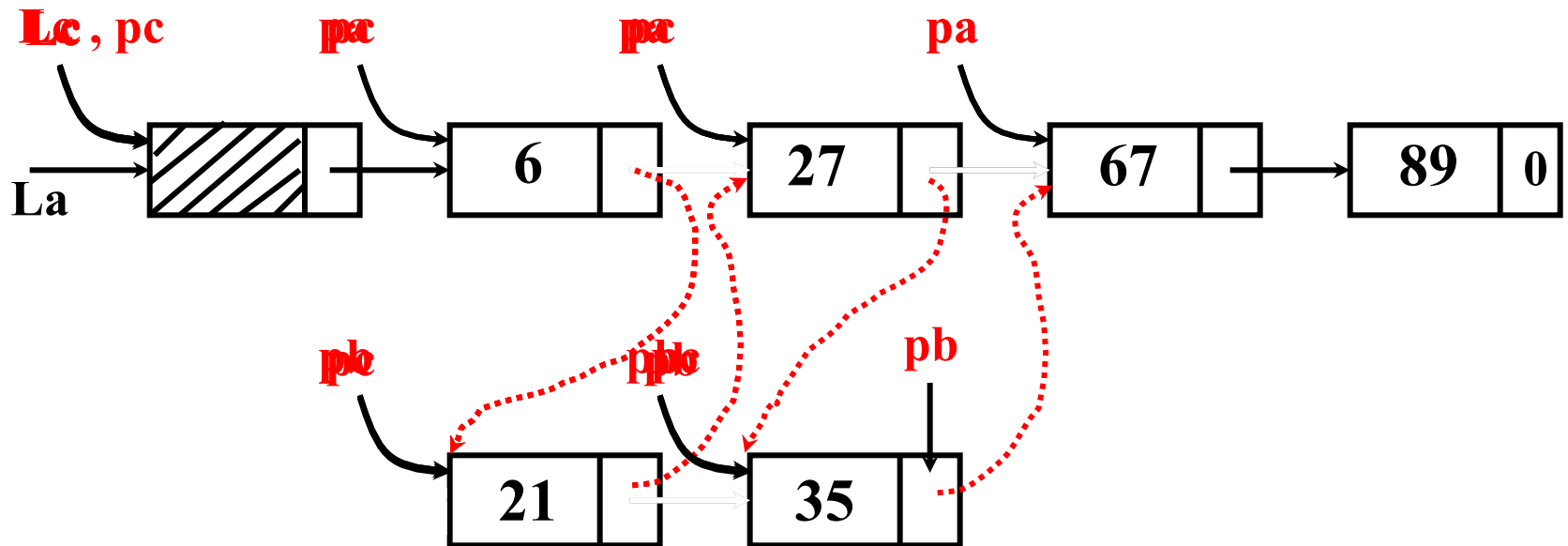
1)  $p \rightarrow \text{next} = p \rightarrow \text{next} \rightarrow \text{next}$

2)  $q = p \rightarrow \text{next}$

$p \rightarrow \text{next} = q \rightarrow \text{next}$

# The operations of single linked list

- Merge two single linked lists to an ordered linked lists
  - shift the pointer by comparing the value





```
void MergeList_L (LinkList &La, LinkList &Lb, LinkList &Lc) {  
  
    pa = La->next ;   pb = Lb->next ; //point to two first node  
    Lc = pc = La ;  
    while ( pa && pb ) {  
        if ( pa->data <= pb->data ) {  
            pc->next = pa ; pc = pa ; pa = pa->next; }  
            else { pc->next = pb ; pc = pb ; pb = pb->next ; }  
        }  
        pc->next = pa ? pa : pb ; //deal with the remain  
  
        delete Lb ;  
    }  
}
```

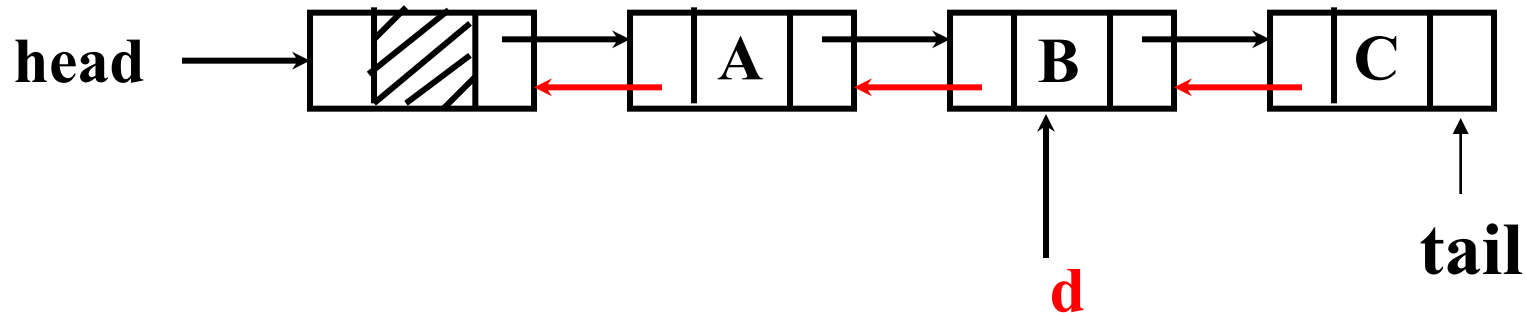
# Double Linked List



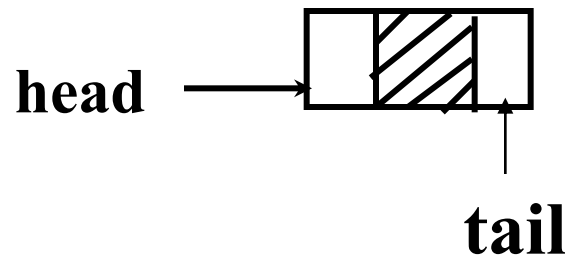
**Double linked list allows convenient access from a list node to the next node and also to the preceding node on the list.**

**Double linked list node accomplishes this in the obvious way by storing two pointers**





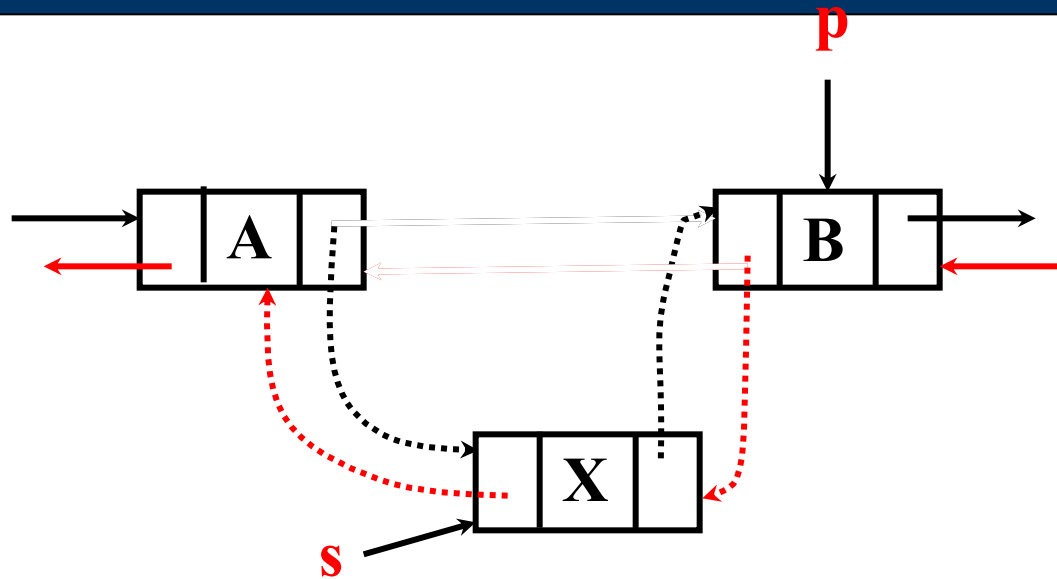
Empty list:



Property: suppose **d** is the pointer that points to some node, then

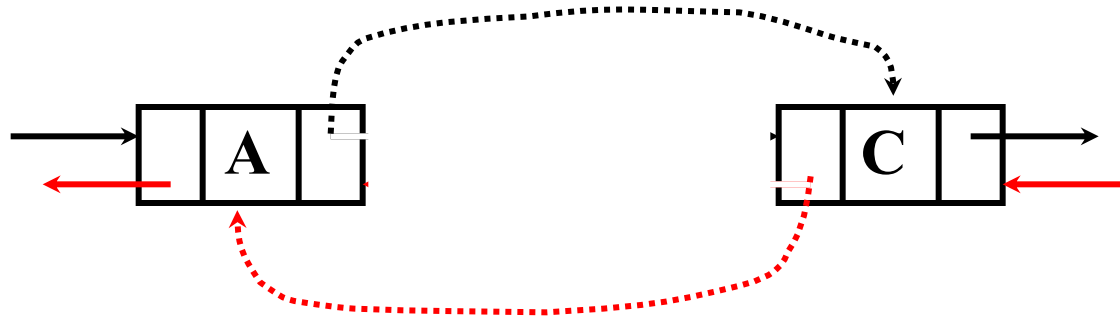
$$\mathbf{d \rightarrow next \rightarrow prior = d \rightarrow prior \rightarrow next = d}$$

## 1) insert



1. Find the node before which you want to insert, p
2.  $s \rightarrow \text{prior} = p \rightarrow \text{prior} ;$
3.  $p \rightarrow \text{prior} \rightarrow \text{next} = s ;$
4.  $s \rightarrow \text{next} = p ;$
5.  $p \rightarrow \text{prior} = s ;$

## 2) Delete



1. Find the deleting node,  $p$
2.  $p \rightarrow \text{prior} \rightarrow \text{next} = p \rightarrow \text{next}$  ;
3.  $p \rightarrow \text{next} \rightarrow \text{prior} = p \rightarrow \text{prior}$  ;
4. delete  $p$  ;

# Homework



- **Please refer to Icourse, Huawei Cloud.**
- **Due date for quiz: 23:30 2022/3/15**
- **Due date for homework: 23:30 2022/3/20**
- **Due data for online lab assignment: 2022/3/20 23: 30**