

Sorting

CS 201

Importance of sorting

Why don't CS profs ever stop talking about sorting?

1. Computers spend more time sorting than anything else, historically 25% on mainframes.
2. Sorting is the best studied problem in computer science, with a variety of different algorithms known.
3. Most of the interesting ideas we will encounter in the course can be taught in the context of sorting, such as divide-and-conquer, randomized algorithms, and lower bounds.

(slide by Steven Skiena)

Sorting

- Organize data into ascending / descending order
 - Useful in many applications
 - Any examples can you think of?
- Internal sort vs. external sort
 - We will analyze only internal sorting algorithms
- Sorting also has other uses. It can make an algorithm faster.
 - e.g., find the intersection of two sets

Efficiency of sorting

- Sorting is important because once a set of items is sorted, many other problems become easy.
- Furthermore, using $O(n \log n)$ sorting algorithms leads naturally to sub-quadratic algorithms for these problems.
- Large-scale data processing would be impossible if sorting took $O(n^2)$ time.

n	$n^2/4$	$n \lg n$
10	25	33
100	2,500	664
1,000	250,000	9,965
10,000	25,000,000	132,877
100,000	2,500,000,000	1,660,960

(slide by Steven Skiena)

Applications of sorting

- Closest pair: Given n numbers, find the pair which are closest to each other.
 - Once the numbers are sorted, the closest pair will be next to each other in sorted order, so an $O(n)$ linear scan completes the job.
- Element uniqueness: Given a set of n items, are they all unique or are there any duplicates?
 - Sort them and do a linear scan to check all adjacent pairs.
 - This is a special case of closest pair above.
 - Complexity?
- Mode: Given a set of n items, which element occurs the largest number of times? More generally, compute the frequency distribution.
 - How would you solve it?

Sorting algorithms

- There are many sorting algorithms, such as:
 - Selection Sort
 - Insertion Sort
 - Bubble Sort
 - Merge Sort
 - Quick Sort
- First three sorting algorithms are not so efficient, but the last two are efficient sorting algorithms.

Selection sort

- List divided into two sublists, *sorted* and *unsorted*.
- Find the biggest element from the unsorted sublist. Swap it with the element at the end of the unsorted data.
- After each selection and swapping, imaginary wall between the two sublists move one element back.
- Sort pass: Each time we move one element from the unsorted sublist to the sorted sublist, we say that we have completed a sort pass.
- A list of n elements requires $n-1$ passes to completely sort data.

Selection sort

Shaded elements are selected;
boldface elements are in order.

Unsorted

Sorted

Initial array:

29	10	14	37	13
----	----	----	----	----

After 1st swap:

29	10	14	13	37
----	----	----	----	-----------

After 2nd swap:

13	10	14	29	37
----	----	----	-----------	-----------

After 3rd swap:

13	10	14	29	37
----	----	-----------	-----------	-----------

After 4th swap:

10	13	14	29	37
-----------	-----------	----	----	----

Selection sort

```
typedef type-of-array-item DataType;
```

```
void selectionSort(DataType theArray[], int n) {  
    for (int last = n-1; last >= 1; --last) {  
        int largest = indexOfLargest(theArray, last+1);  
        swap(theArray[largest], theArray[last]);  
    }  
}
```

Selection sort

```
int indexOfLargest(const DataType theArray[], int size) {  
    int indexSoFar = 0;  
    for (int currentIndex=1; currentIndex<size;++currentIndex){  
        if (theArray[currentIndex] > theArray[indexSoFar])  
            indexSoFar = currentIndex;  
    }  
    return indexSoFar;  
}
```

```
-----  
void swap(DataType &x, DataType &y) {  
    DataType temp = x;  
    x = y;  
    y = temp;  
}
```

Selection sort - analysis

- To analyze sorting, count simple operations.
- For sorting, important simple operations: **key comparisons** and **number of moves**.
- In **selectionSort()** function, the **for** loop executes **$n-1$** times.
- In **selectionSort()** function, we invoke **swap()** **once at each iteration**.
 - Total Swaps: **$n-1$**
 - Total Moves: **$3*(n-1)$** (Each swap has three moves)

Selection sort - analysis

- In `indexOfLargest()` function, the for loop executes (for each size from n to 2), and in each iteration we make one key comparison.
 - # of key comparisons = $n-1 + n-2 + \dots + 2 + 1 = (n-1)*n/2$
 - So, Selection sort is $O(n^2)$
- The best case, worst case, and average case are the same → all $O(n^2)$
 - Meaning: behavior of selection sort does not depend on initial organization of data.
 - Since $O(n^2)$ grows so rapidly, the selection sort algorithm is appropriate only for small n .
- Although selection sort requires $O(n^2)$ key comparisons, it only requires $O(n)$ moves.
 - Selection sort is good choice if data moves are costly but key comparisons are not costly (short keys, long records).

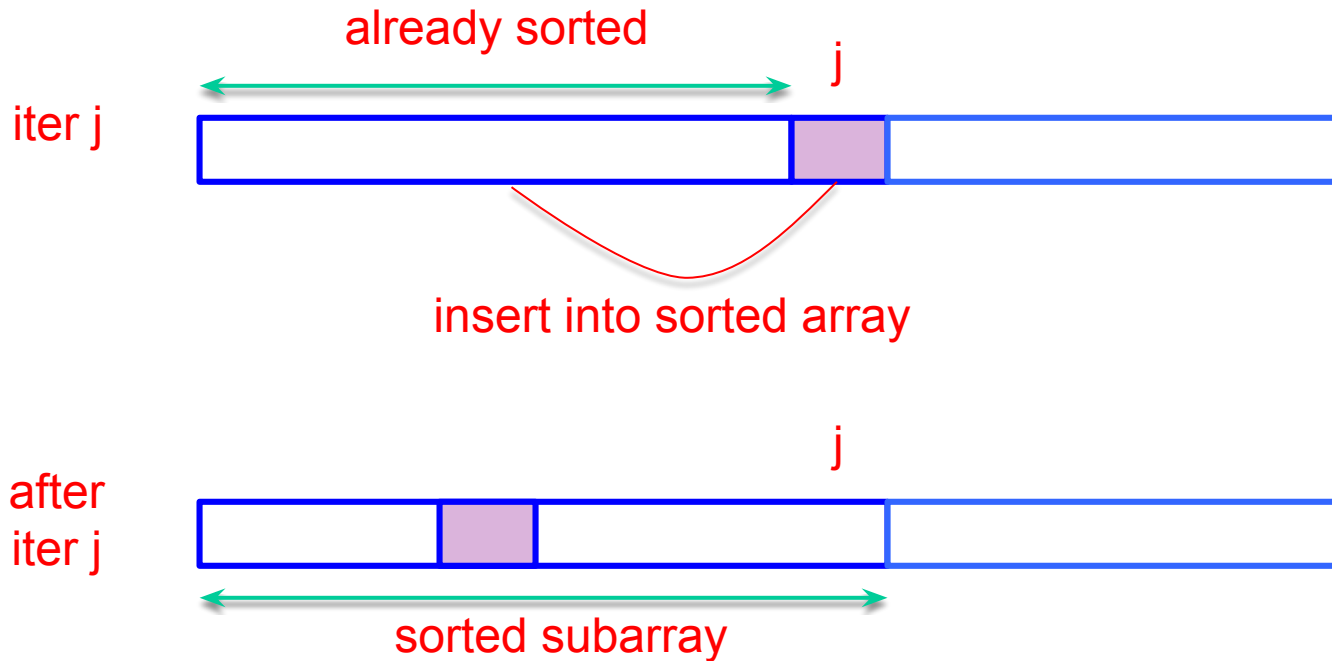
Insertion sort

- Insertion sort is a simple sorting algorithm appropriate for small inputs.
 - Most common sorting technique used by card players.
- List divided into two parts: *sorted* and *unsorted*.
- In each pass, **the first element of the unsorted part** is picked up, transferred to the sorted sublist, and inserted in place.
- List of n elements will take **at most $n-1$ passes** to sort data.



Insertion sort

- Assume input array: $A[1..n]$
- Iterate j from 2 to n



Insertion sort

Insertion-Sort (A)

1. **for** $j \leftarrow 2$ **to** n **do**
2. $\text{key} \leftarrow A[j];$
3. $i \leftarrow j - 1;$
4. **while** $i > 0$ **and** $A[i] > \text{key}$
 do
5. $A[i+1] \leftarrow A[i];$
6. $i \leftarrow i - 1;$
- endwhile**
7. $A[i+1] \leftarrow \text{key};$
- endfor**

Insertion sort

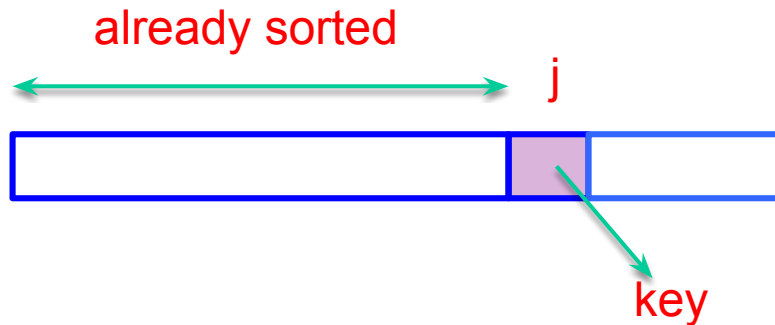
Insertion-Sort (A)

```
1. for j ← 2 to n do
2.   key ← A[j];
3.   i ← j - 1;
4.   while i > 0 and A[i] > key
     do
5.     A[i+1] ← A[i];
6.     i ← i - 1;
   endwhile
7.   A[i+1] ← key;
endfor
```

} Iterate over array elements j

Loop invariant:

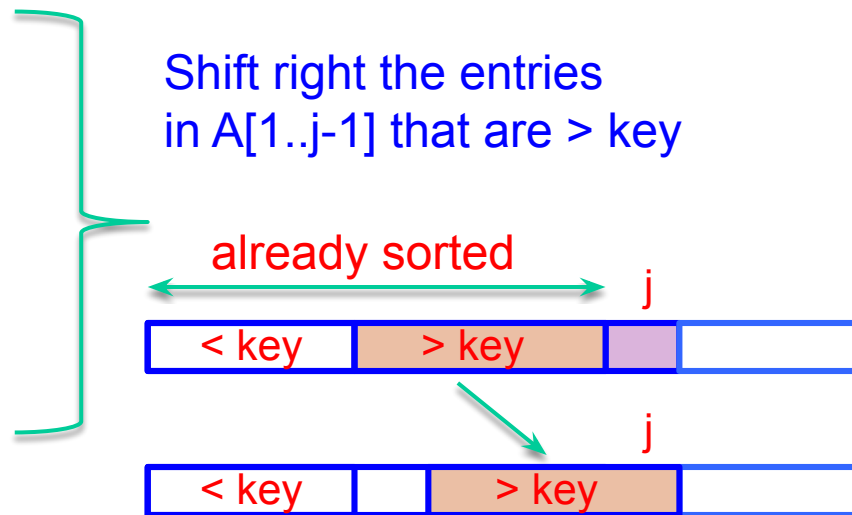
The subarray A[1..j-1]
is always sorted



Insertion sort

Insertion-Sort (A)

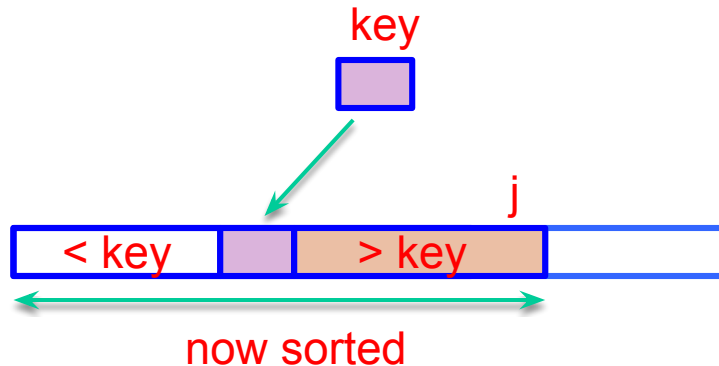
```
1. for j ← 2 to n do
2.   key ← A[j];
3.   i ← j - 1;
4.   while i > 0 and A[i] > key
     do
5.     A[i+1] ← A[i];
6.     i ← i - 1;
     endwhile
7.   A[i+1] ← key;
endfor
```



Insertion sort

Insertion-Sort (A)

1. **for** $j \leftarrow 2$ **to** n **do**
2. $\text{key} \leftarrow A[j];$
3. $i \leftarrow j - 1;$
4. **while** $i > 0$ **and** $A[i] > \text{key}$ **do**
5. $A[i+1] \leftarrow A[i];$
6. $i \leftarrow i - 1;$
7. **endwhile**
8. $A[i+1] \leftarrow \text{key};$
9. **endfor**



Insert key to the correct location
End of iter j : $A[1..j]$ is sorted

Insertion sort - example

Insertion-Sort (A)

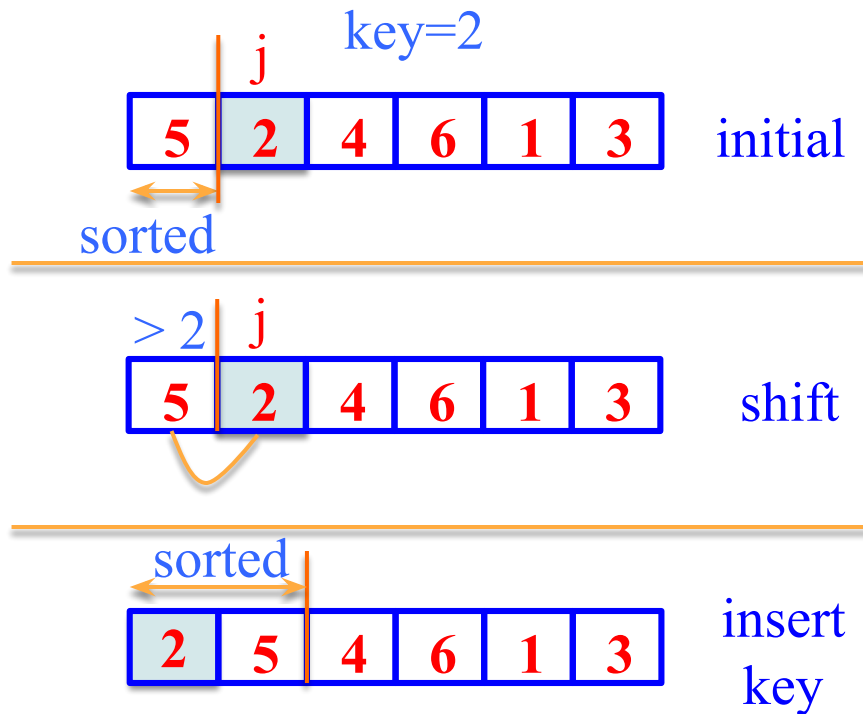
1. **for** $j \leftarrow 2$ **to** n **do**
2. $\text{key} \leftarrow A[j];$
3. $i \leftarrow j - 1;$
4. **while** $i > 0$ **and** $A[i] > \text{key}$
 do
5. $A[i+1] \leftarrow A[i];$
6. $i \leftarrow i - 1;$
- endwhile**
7. $A[i+1] \leftarrow \text{key};$
- endfor**

5	2	4	6	1	3
---	---	---	---	---	---

Insertion sort - example: iteration j=2

Insertion-Sort (A)

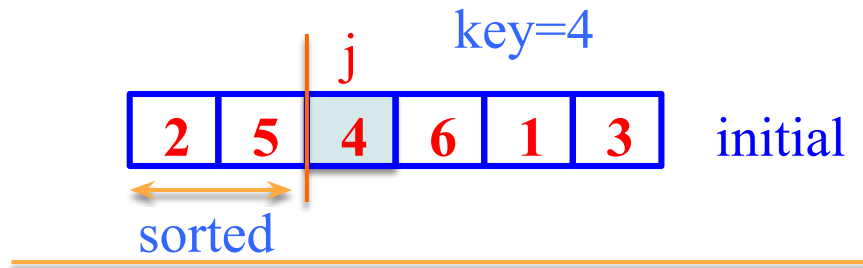
1. **for** $j \leftarrow 2$ **to** n **do**
2. $\text{key} \leftarrow A[j];$
3. $i \leftarrow j - 1;$
4. **while** $i > 0$ **and** $A[i] > \text{key}$ **do**
5. $A[i+1] \leftarrow A[i];$
6. $i \leftarrow i - 1;$
7. **endwhile**
8. $A[i+1] \leftarrow \text{key};$
9. **endfor**



Insertion sort - example: iteration j=3

Insertion-Sort (A)

1. **for** $j \leftarrow 2$ **to** n **do**
2. $\text{key} \leftarrow A[j];$
3. $i \leftarrow j - 1;$
4. **while** $i > 0$ **and** $A[i] > \text{key}$ **do**
5. $A[i+1] \leftarrow A[i];$
6. $i \leftarrow i - 1;$
7. **endwhile**
8. $A[i+1] \leftarrow \text{key};$
9. **endfor**



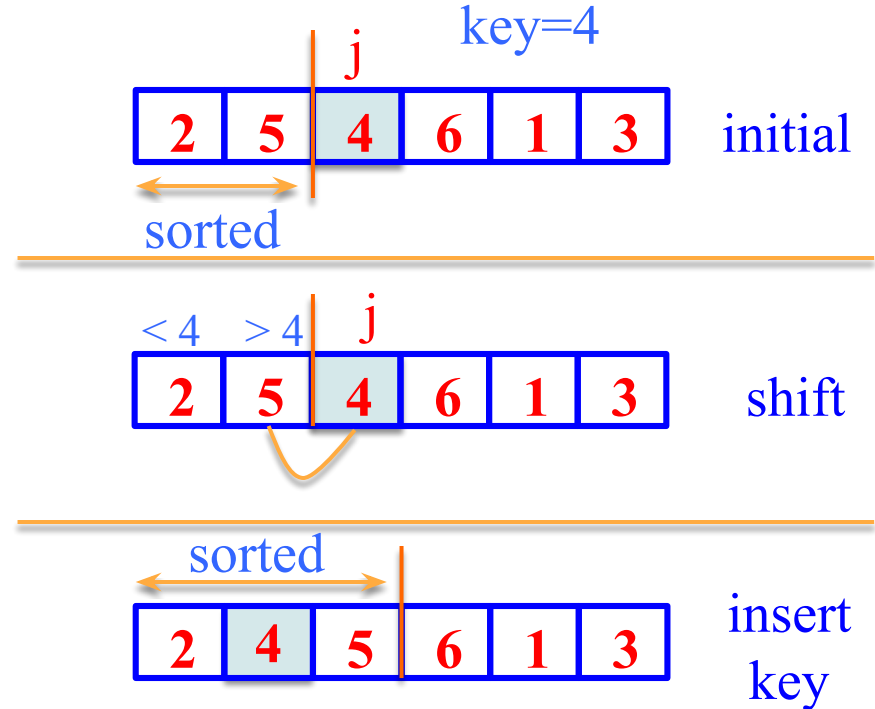
What are the entries at the end of iteration $j=3$?



Insertion sort - example: iteration j=3

Insertion-Sort (A)

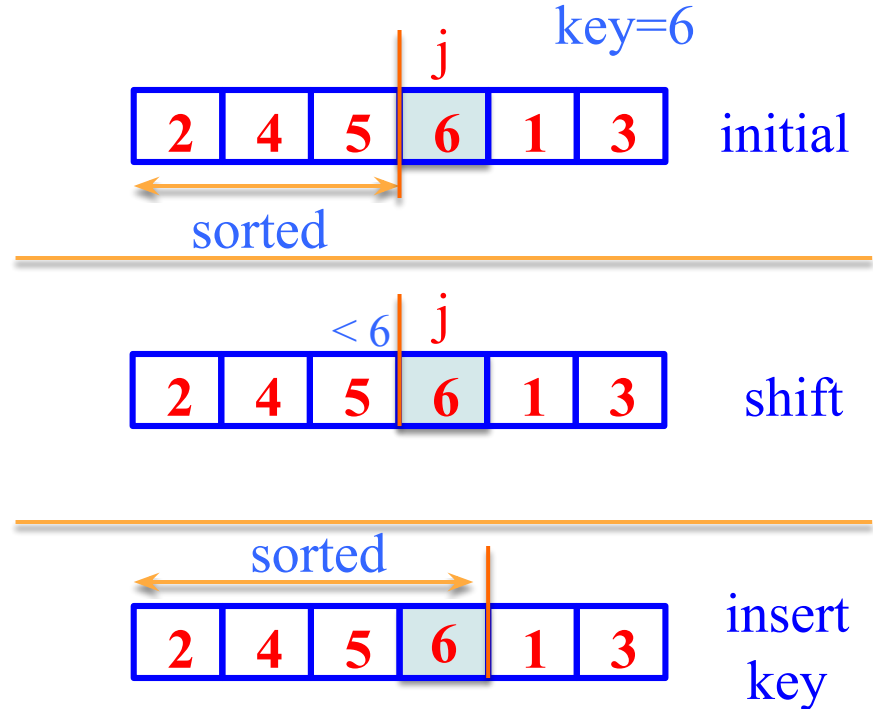
1. **for** $j \leftarrow 2$ **to** n **do**
2. $\text{key} \leftarrow A[j];$
3. $i \leftarrow j - 1;$
4. **while** $i > 0$ **and** $A[i] > \text{key}$ **do**
5. $A[i+1] \leftarrow A[i];$
6. $i \leftarrow i - 1;$
7. **endwhile**
8. $A[i+1] \leftarrow \text{key};$
9. **endfor**



Insertion sort - example: iteration j=4

Insertion-Sort (A)

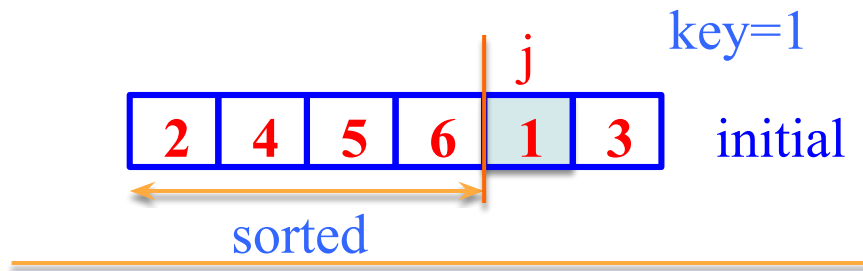
1. **for** $j \leftarrow 2$ **to** n **do**
2. $\text{key} \leftarrow A[j];$
3. $i \leftarrow j - 1;$
4. **while** $i > 0$ **and** $A[i] > \text{key}$ **do**
5. $A[i+1] \leftarrow A[i];$
6. $i \leftarrow i - 1;$
- endwhile**
7. $A[i+1] \leftarrow \text{key};$
- endfor**



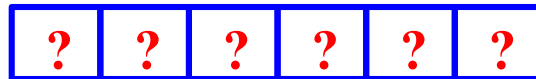
Insertion sort - example: iteration $j=5$

Insertion-Sort (A)

1. **for** $j \leftarrow 2$ **to** n **do**
2. $\text{key} \leftarrow A[j];$
3. $i \leftarrow j - 1;$
4. **while** $i > 0$ **and** $A[i] > \text{key}$ **do**
5. $A[i+1] \leftarrow A[i];$
6. $i \leftarrow i - 1;$
7. **endwhile**
8. $A[i+1] \leftarrow \text{key};$
9. **endfor**



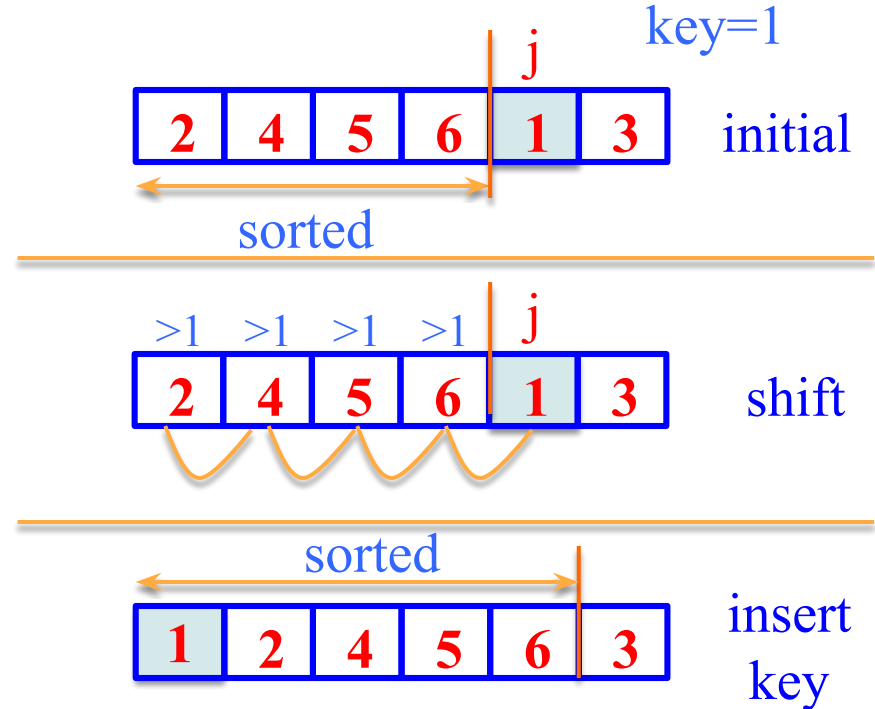
What are the entries at the end of iteration $j=5$?



Insertion sort - example: iteration j=5

Insertion-Sort (A)

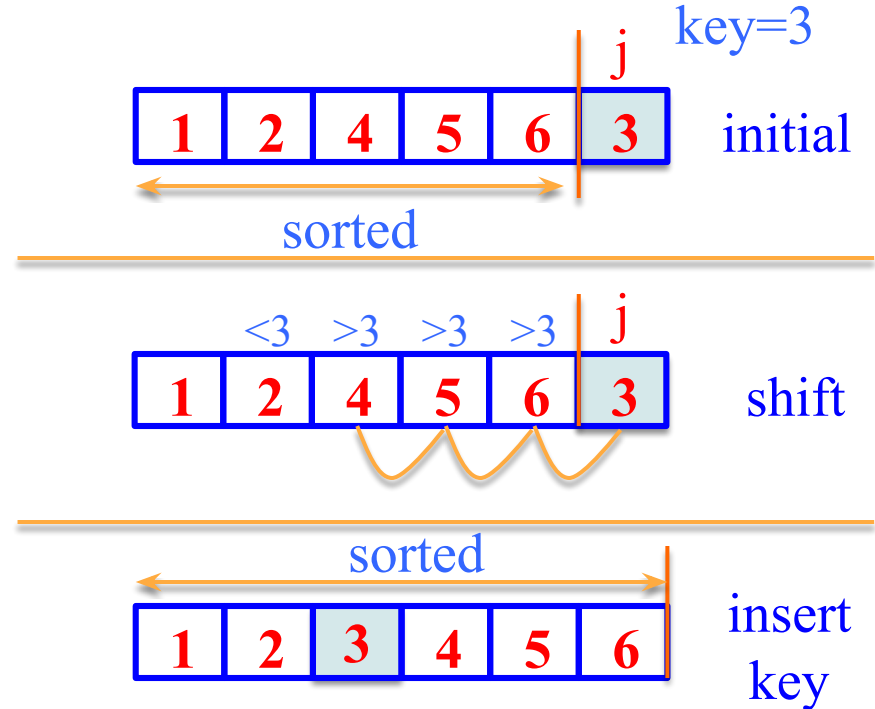
1. **for** $j \leftarrow 2$ **to** n **do**
2. $\text{key} \leftarrow A[j];$
3. $i \leftarrow j - 1;$
4. **while** $i > 0$ **and** $A[i] > \text{key}$ **do**
5. $A[i+1] \leftarrow A[i];$
6. $i \leftarrow i - 1;$
7. **endwhile**
8. $A[i+1] \leftarrow \text{key};$
9. **endfor**



Insertion sort - example: iteration j=6

Insertion-Sort (A)

1. **for** $j \leftarrow 2$ **to** n **do**
2. $\text{key} \leftarrow A[j];$
3. $i \leftarrow j - 1;$
4. **while** $i > 0$ **and** $A[i] > \text{key}$ **do**
5. $A[i+1] \leftarrow A[i];$
6. $i \leftarrow i - 1;$
- endwhile**
7. $A[i+1] \leftarrow \text{key};$
- endfor**



Insertion sort - notes

- Items sorted **in-place**
 - Elements rearranged within array
 - At most constant number of items stored outside the array at any time (e.g., the variable *key*)
 - Input array A contains sorted output sequence when the algorithm ends
- **Incremental** approach
 - Having sorted $A[1..j-1]$, place $A[j]$ correctly so that $A[1..j]$ is sorted

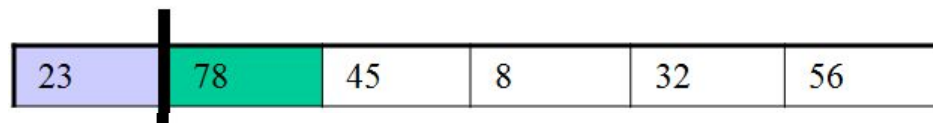
Insertion sort

```
void insertionSort(DataType theArray[], int n) {  
    for (int unsorted = 1; unsorted < n; ++unsorted) {  
        DataType nextItem = theArray[unsorted];  
        int loc = unsorted;  
  
        for ( ; (loc > 0) && (theArray[loc-1] > nextItem); --loc)  
            theArray[loc] = theArray[loc-1];  
  
        theArray[loc] = nextItem;  
    }  
}
```

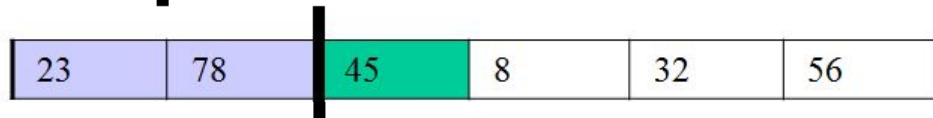
Insertion sort

Sorted

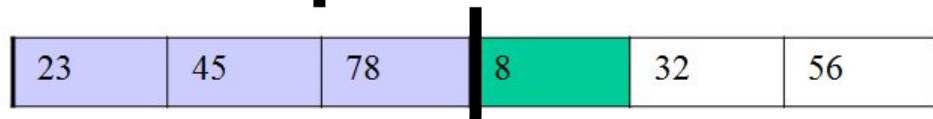
Unsorted



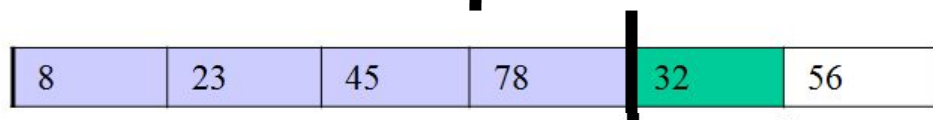
Original List



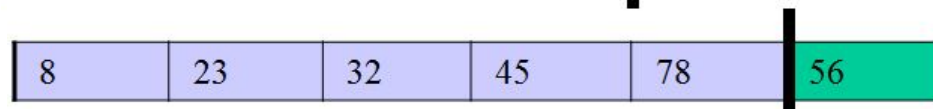
After pass 1



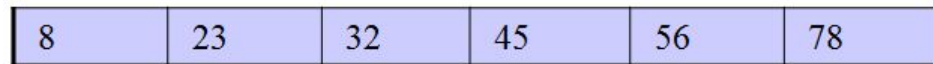
After pass 2



After pass 3




After pass 4



After pass 5

Insertion sort - analysis

- What is the complexity of insertion sort? → 
- **Best-case:** → $O(n)$
 - Array is already sorted in ascending order.
 - Inner loop will not be executed.
 - The number of moves: $2*(n-1)$ → $O(n)$
 - The number of key comparisons: $(n-1)$ → $O(n)$
- **Worst-case:** → $O(n^2)$
 - Array is in reverse order.
 - Inner loop is executed $p-1$ times, for $p = 2, 3, \dots, n$
 - The number of moves: $2*(n-1) + (1+2+\dots+n-1) = 2*(n-1) + n*(n-1)/2$ → $O(n^2)$
 - The number of key comparisons: $(1+2+\dots+n-1) = n*(n-1)/2$ → $O(n^2)$
- **Average-case:** → $O(n^2)$
 - We have to look at all possible initial data organizations.
- **So, Insertion Sort is $O(n^2)$**

Insertion sort - analysis

- Which running time will be used to characterize this algorithm?
 - Best, worst or average?

→ Worst case:

- Longest running time (this is the upper limit for the algorithm)
 - It is guaranteed that the algorithm will not be worse than this.
- Sometimes we are interested in average case. But there are problems:
 - Difficult to figure out average case, i.e., what is the average input?
 - Are we going to assume all possible inputs are equally likely?
 - In fact, for most algorithms average case is the same as the worst case.

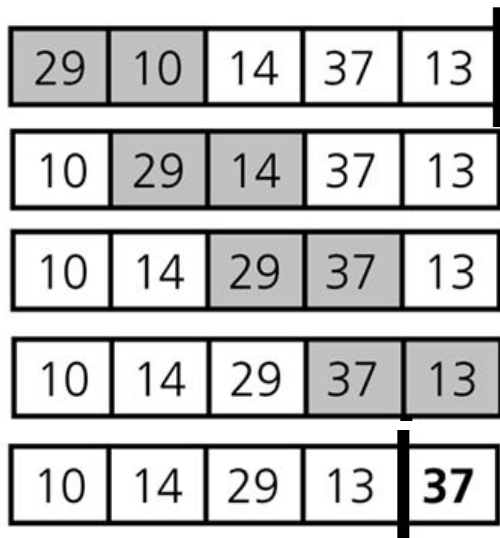
Bubble sort

- List divided into two sublists: *sorted* and *unsorted*.
- The largest element is **bubbled from the unsorted list** and moved to the sorted sublist.
- After that, the wall moves one element back, increasing the number of sorted elements and decreasing the number of unsorted ones.
- **One sort pass**: each time an element moves from the unsorted part to the sorted part.
- Given a list of n elements, bubble sort requires up to **$n-1$ passes** (maximum passes) to sort data.

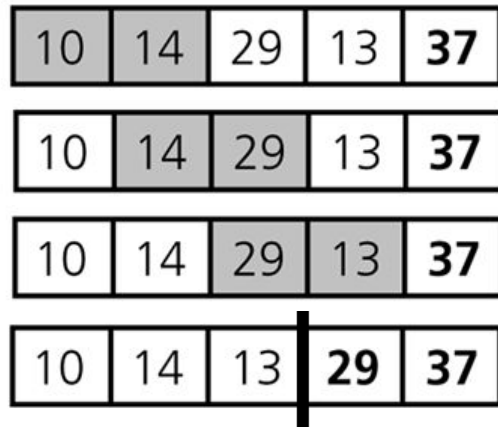
Bubble sort

(a) Pass 1

Initial array:



(b) Pass 2



Bubble sort

```
void bubbleSort(DataType theArray[], int n) {  
    bool sorted = false;  
  
    for (int pass = 1; (pass < n) && !sorted; ++pass) {  
        sorted = true;  
        for (int index = 0; index < n-pass; ++index) {  
            int nextIndex = index + 1;  
            if (theArray[index] > theArray[nextIndex]) {  
                swap(theArray[index], theArray[nextIndex]);  
                sorted = false; // signal exchange  
            }  
        }  
    }  
}
```

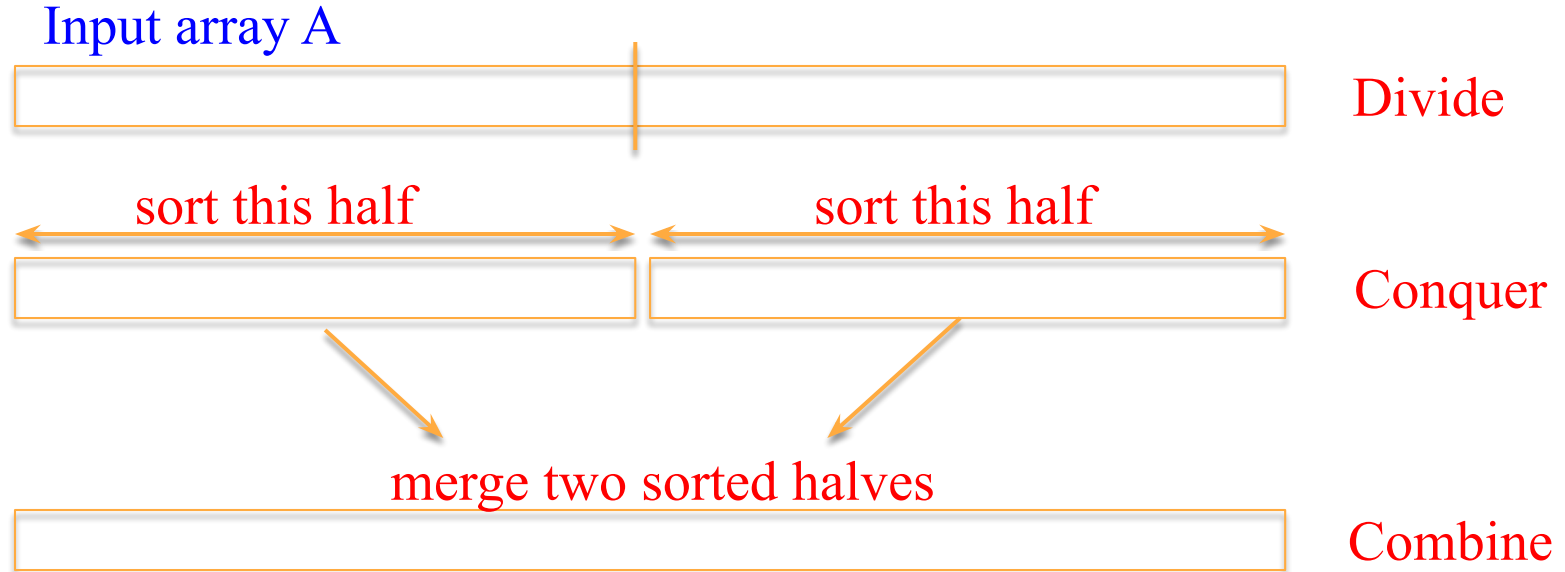
Bubble sort - analysis

- **Worst-case:** $\rightarrow O(n^2)$
 - Array is in reverse order.
 - Inner loop is executed $n-1$ times,
 - The number of moves: $3 \cdot (n-1+n-2+\dots+2+1) = 3 \cdot n \cdot (n-1)/2 \rightarrow O(n^2)$
 - The number of key comparisons: $(n-1+n-2+\dots+2+1) = n \cdot (n-1)/2 \rightarrow O(n^2)$
- **Best-case:** $\rightarrow O(n)$
 - Array is already sorted in ascending order.
 - The number of moves: 0 $\rightarrow O(1)$
 - The number of key comparisons: $(n-1) \rightarrow O(n)$
- **Average-case:** $\rightarrow O(n^2)$
 - We have to look at all possible initial data organizations.
- **So, Bubble Sort is $O(n^2)$**

Merge sort

- One of two important **divide-and-conquer** sorting algorithms
 - Other one is Quick sort
- It is a **recursive algorithm**.
 - Divide the list into halves,
 - Sort each half separately, and
 - Then merge the sorted halves into one sorted array.

Merge sort - basic idea



Merge sort

Merge-Sort (A, p, r)

if $p = r$ then return;

else

$q \leftarrow \lfloor (p+r)/2 \rfloor$; *(Divide)*

 Merge-Sort (A, p, q); *(Conquer)*

 Merge-Sort (A, q+1, r); *(Conquer)*

Merge (A, p, q, r); *(Combine)*

endif

- Call Merge-Sort(A, 1, n) to sort A[1..n]
- Recursion bottoms out when subsequences have length 1

Merge sort - example

Merge-Sort (A, p, r)

→ **if** $p = r$ **then**

return

else

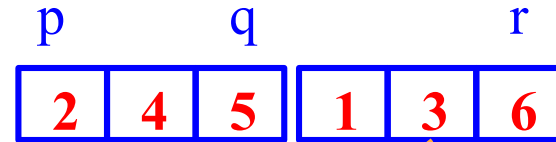
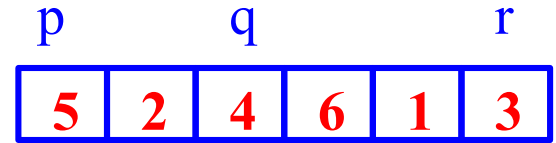
$q \leftarrow \lfloor (p+r)/2 \rfloor$

Merge-Sort (A, p, q)

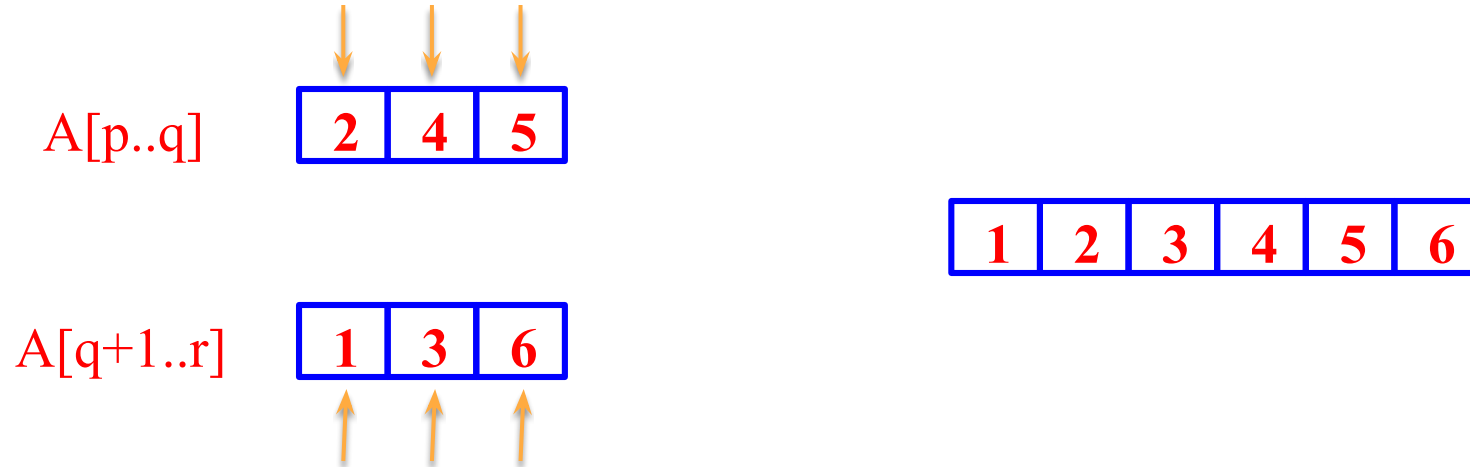
Merge-Sort (A, q+1, r)

Merge(A, p, q, r)

endif



How to merge 2 sorted subarrays?



- What is the complexity of this step?

$\Theta(n)$

Merge sort - correctness

Merge-Sort (A, p, r)

if $p = r$ then

 return

else

$q \leftarrow \lfloor (p+r)/2 \rfloor$

Merge-Sort (A, p, q)

Merge-Sort (A, q+1, r)

Merge(A, p, q, r)

endif

Base case: $p = r$

→ Trivially correct

Inductive hypothesis: Merge-Sort is correct for any subarray that is a **strict** (smaller) **subset** of $A[p, r]$.

General case: Merge-Sort is correct for $A[p, r]$.

→ From inductive hypothesis and correctness of Merge.

Merge sort - another example

theArray:

8	1	4	3	2
---	---	---	---	---

Divide the array in half

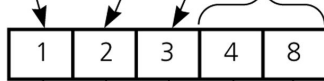


Sort the halves

Merge the halves:

- a. $1 < 2$, so move 1 from left half to tempArray
- b. $4 > 2$, so move 2 from right half to tempArray
- c. $4 > 3$, so move 3 from right half to tempArray
- d. Right half is finished, so move rest of left half to tempArray

Temporary array
tempArray:



Copy temporary array back into
original array

theArray:



Merge sort

```
void mergesort(DataType theArray[], int first, int last) {  
    if (first < last) {  
  
        int mid = (first + last)/2;        // index of midpoint  
  
        mergesort(theArray, first, mid);  
        mergesort(theArray, mid+1, last);  
  
        // merge the two halves  
        merge(theArray, first, mid, last);  
    }  
} // end mergesort
```

Merge

```
const int MAX_SIZE = maximum-number-of-items-in-array;

void merge(DataType theArray[], int first, int mid, int last) {

    DataType tempArray[MAX_SIZE];    // temporary array

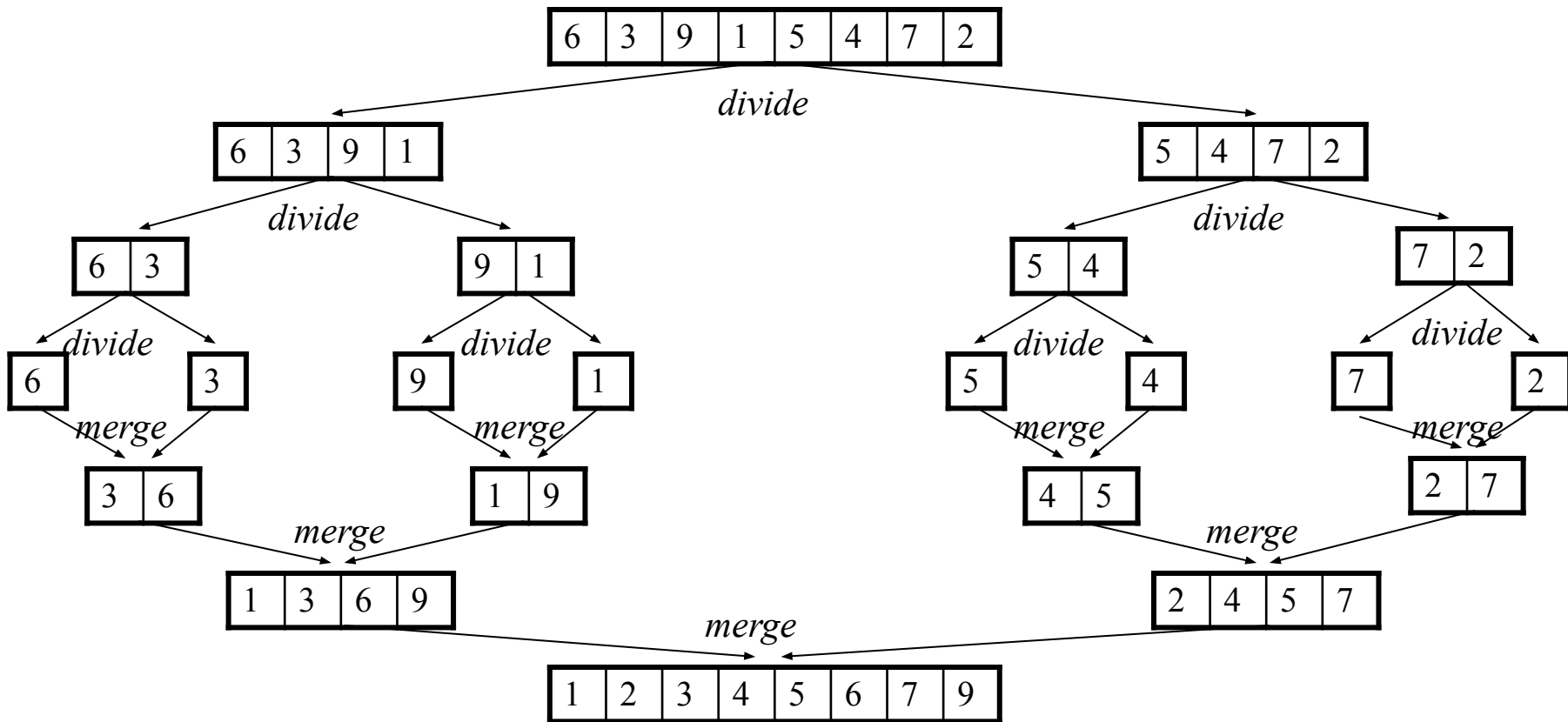
    int first1 = first;    // beginning of first subarray
    int last1 = mid;    // end of first subarray
    int first2 = mid + 1;    // beginning of second subarray
    int last2 = last;    // end of second subarray
    int index = first1; // next available location in tempArray

    for ( ; (first1 <= last1) && (first2 <= last2); ++index) {
        if (theArray[first1] < theArray[first2]) {
            tempArray[index] = theArray[first1];
            ++first1;
        }
        else {
            tempArray[index] = theArray[first2];
            ++first2;
        }
    } ...
}
```

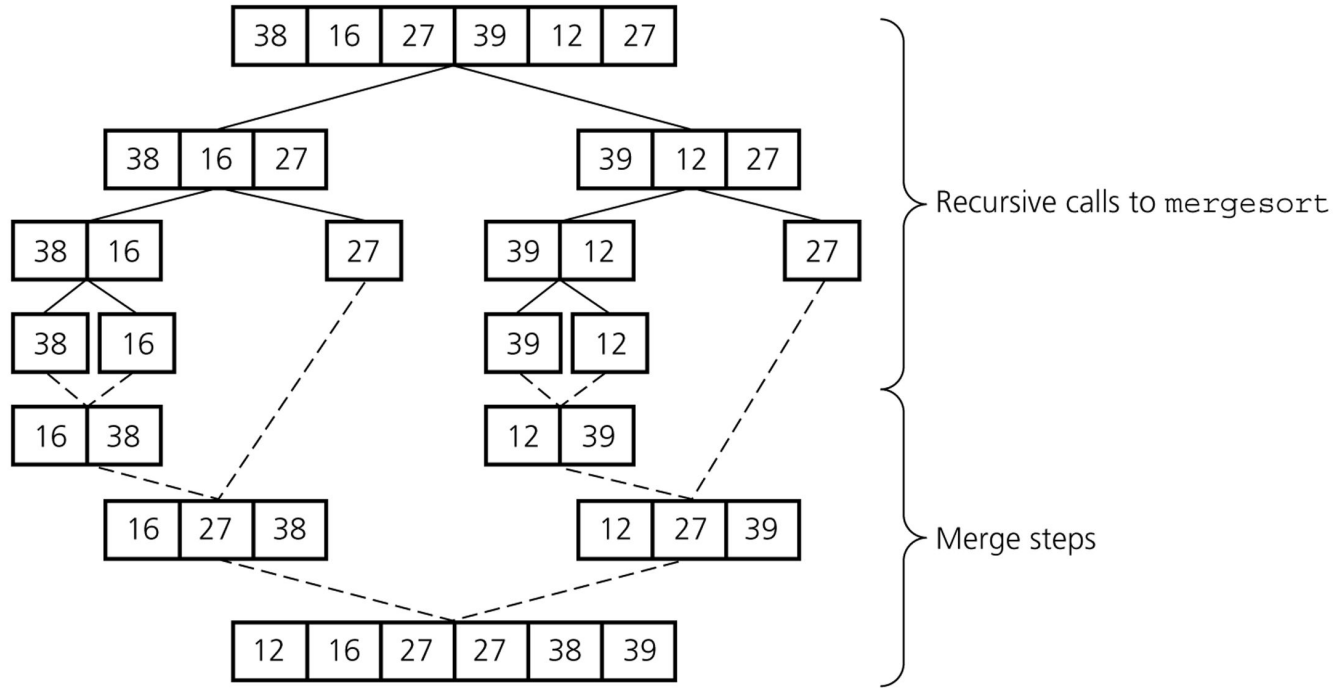
Merge (cont.)

```
...  
    // finish off the first subarray, if necessary  
    for (; first1 <= last1; ++first1, ++index)  
        tempArray[index] = theArray[first1];  
  
    // finish off the second subarray, if necessary  
    for (; first2 <= last2; ++first2, ++index)  
        tempArray[index] = theArray[first2];  
  
    // copy the result back into the original array  
    for (index = first; index <= last; ++index)  
        theArray[index] = tempArray[index];  
  
} // end merge
```

Merge sort - another example

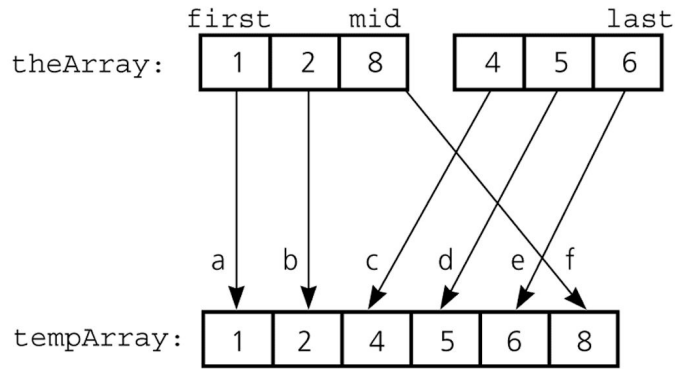


Merge sort - another example



Merge sort - analysis of merge

A **worst-case** instance of the **merge** step in *mergesort*

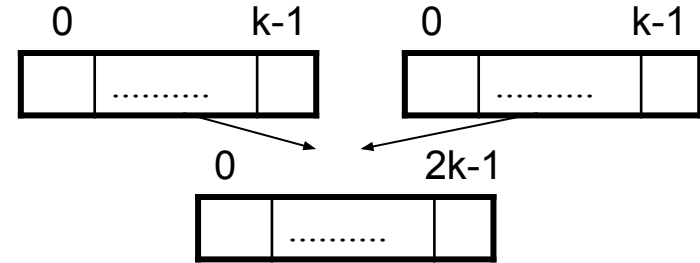


Merge the halves:

- a. $1 < 4$, so move 1 from theArray[first..mid] to tempArray
- b. $2 < 4$, so move 2 from theArray[first..mid] to tempArray
- c. $8 > 4$, so move 4 from theArray[mid+1..last] to tempArray
- d. $8 > 5$, so move 5 from theArray[mid+1..last] to tempArray
- e. $8 > 6$, so move 6 from theArray[mid+1..last] to tempArray
- f. theArray[mid+1..last] is finished, so move 8 to tempArray

Merge sort - analysis of merge

Merging two sorted arrays of size k



- **Best-case:**

- All the elements in the first array are smaller (or larger) than all the elements in the second array.
- The number of moves: $2k + 2k$
- The number of key comparisons: k

- **Worst-case:**

- The number of moves: $2k + 2k$
- The number of key comparisons: $2k-1$

Merge sort - complexity

Merge-Sort (A, p, r)



$T(n)$

if $p = r$ **then**

return



$\Theta(1)$

else

$q \leftarrow \lfloor (p+r)/2 \rfloor$



$\Theta(1)$

Merge-Sort (A, p, q)



$T(n/2)$

Merge-Sort (A, q+1, r)



$T(n/2)$

Merge(A, p, q, r)



$\Theta(n)$

endif

Merge sort - recurrence

- Describe a function recursively in terms of itself
- To analyze the performance of recursive algorithms
- For merge sort:

$$T(n) = \begin{cases} \Theta(1) & \text{if } n=1 \\ 2T(n/2) + \Theta(n) & \text{otherwise} \end{cases}$$

How to solve for $T(n)$?

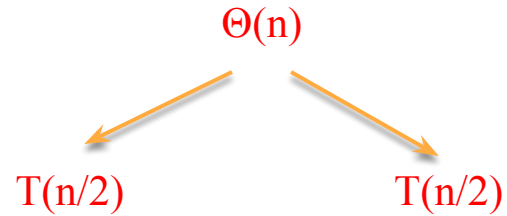
- Generally, we will assume $T(n) = \Theta(1)$ for sufficiently small n

- The recurrence can be rewritten as:

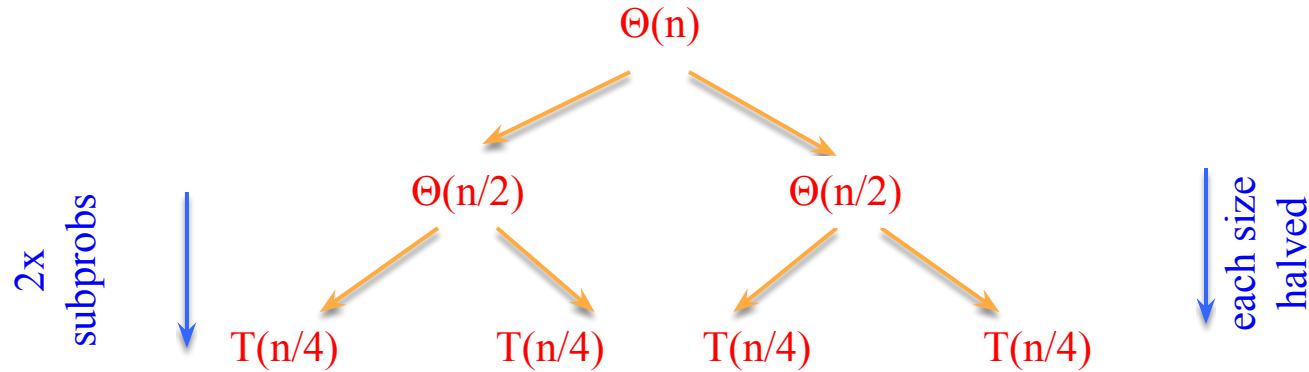
$$T(n) = 2 T(n/2) + \Theta(n)$$

- How to solve this recurrence?

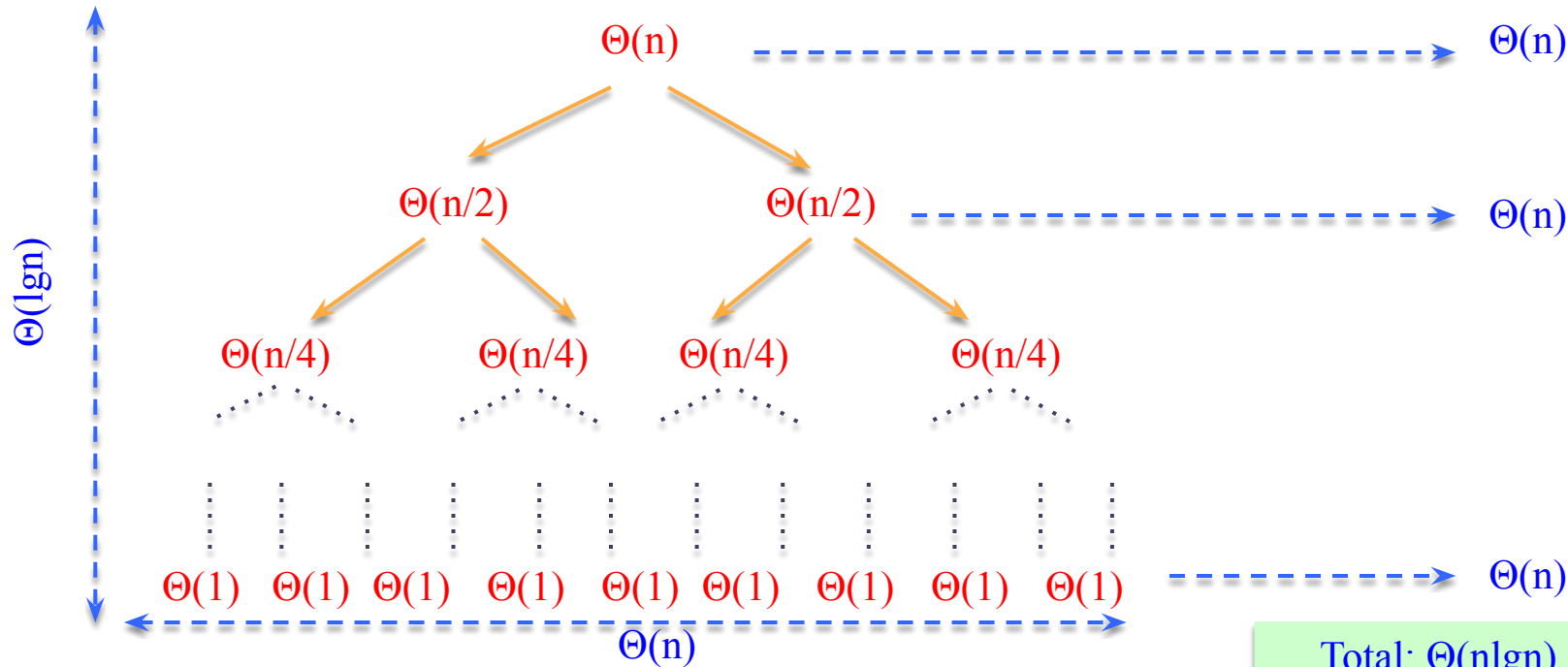
Solve recurrence: $T(n) = 2T(n/2) + \Theta(n)$



Solve recurrence: $T(n) = 2T(n/2) + \Theta(n)$

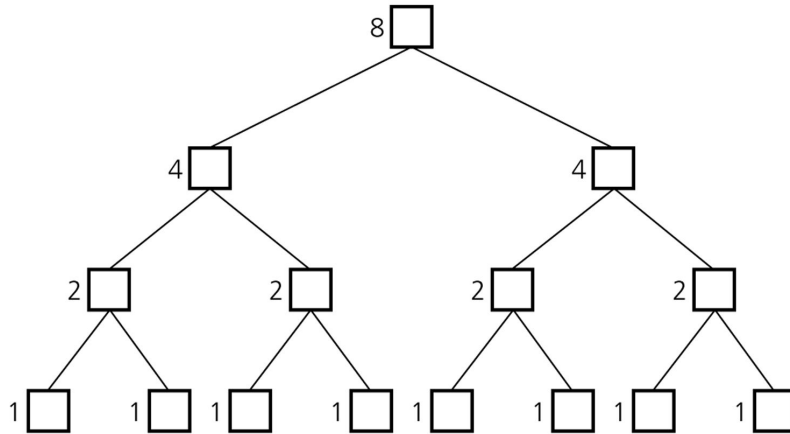


Solve recurrence: $T(n) = 2T(n/2) + \Theta(n)$



Merge sort - analysis

Levels of recursive calls to *mergesort*, given an array of eight items



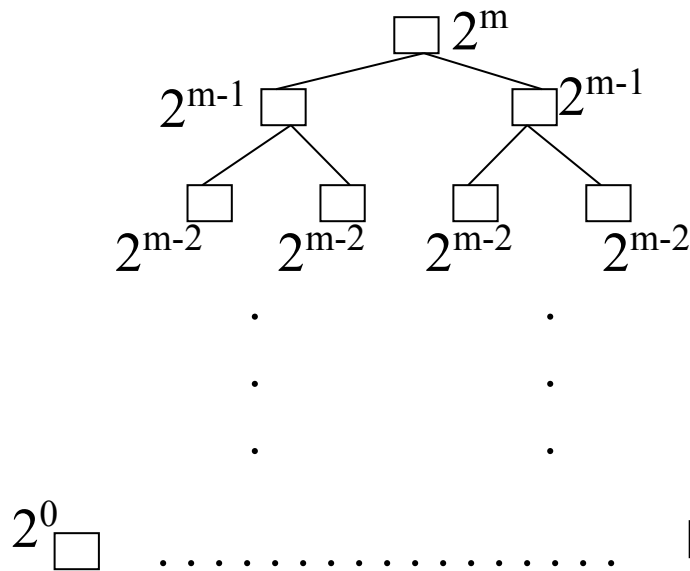
Level 0: mergesort 8 items

Level 1: 2 calls to mergesort with 4 items each

Level 2: 4 calls to mergesort with 2 items each

Level 3: 8 calls to mergesort with 1 item each

Merge sort - analysis



level 0 : 1 merge (size 2^{m-1})

level 1 : 2 merges (size 2^{m-2})

level 2 : 4 merges (size 2^{m-3})

level $m-1$: 2^{m-1} merges (size 2^0)

level m

Merge sort - analysis

- **Worst-case:**

The number of key comparisons:

$$= 2^0 * (2 * 2^{m-1} - 1) + 2^1 * (2 * 2^{m-2} - 1) + \dots + 2^{m-1} * (2 * 2^0 - 1)$$

$$= (2^m - 1) + (2^m - 2) + \dots + (2^m - 2^{m-1}) \quad (m \text{ terms})$$

$$= m * 2^m - \sum_{i=0}^{m-1} 2^i$$

$$= m * 2^m - (2^m - 1) \quad \text{note that } n = 2^m$$

$$= n * \log_2 n - n + 1$$

$$\rightarrow \mathbf{O(n * \log_2 n)}$$

Merge sort - analysis

- There are $\binom{2k}{k}$ possibilities when merging two sorted lists of size k .
- $k=2 \rightarrow \binom{4}{2} = \frac{4!}{2! \cdot 2!} = 6$ different cases

$$\# \text{ of key comparisons} = ((2 \cdot 2) + (4 \cdot 3)) / 6 = 16/6 = 2 + 2/3$$

Average # of key comparisons in merge sort is

$$n * \log_2 n - 1.25 * n - O(1)$$

$$\rightarrow O(n * \log_2 n)$$

Merge sort - analysis

- Merge sort is an extremely efficient algorithm with respect to time.
 - Both worst-case and average-case are $O(n * \log_2 n)$
- But, merge sort **requires an extra array** whose size equals to the size of the original array.
- If we use a linked list, we do not need an extra array
 - But, we need space for the links
 - And, it will be difficult to divide the list into half ($O(n)$)

Quick sort

- Like Merge sort, Quick sort is based on *divide-and-conquer* paradigm.
- But somewhat opposite to Merge sort
 - Merge sort: Hard work done *after* recursive call
 - Quick sort: Hard work done *before* recursive call
- Algorithm
 1. First, partition an array into two parts.
 2. Then, sort each part independently.
 3. Finally, combine sorted parts by a simple concatenation.

Quick sort

The Quick sort algorithm consists of the following three steps:

1. **Divide:** Partition the list.

- 1.1 Choose some element from list. Call this element the ***pivot***.

- We hope about half the elements will come before and half after.

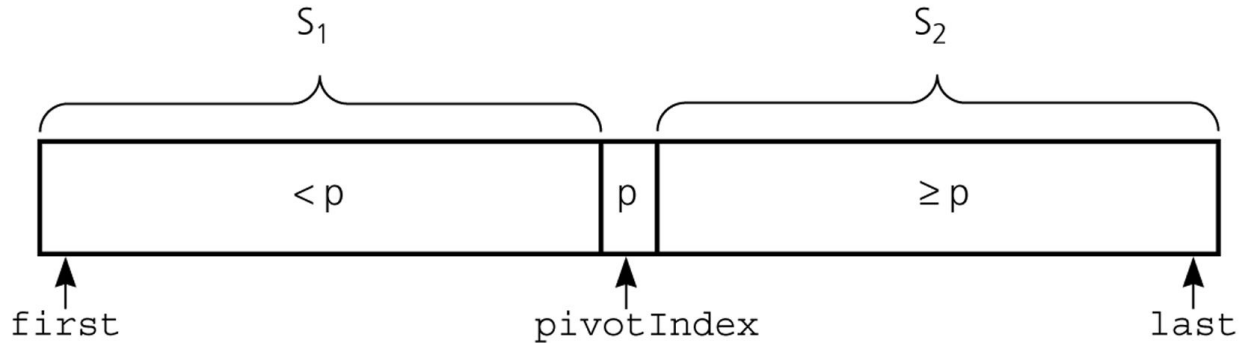
- 1.2 Then partition the elements so that all those with values less than the pivot come in one sublist and all those with values greater than or equal to come in another.

2. **Recursion:** Recursively sort the sublists separately.

3. **Conquer:** Put the sorted sublists together.

Partition

- Partitioning places the pivot in its correct position within the array.



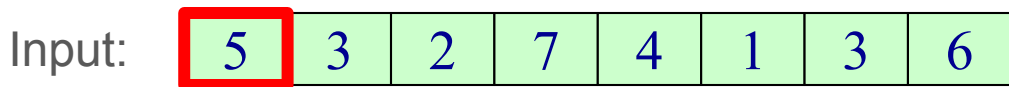
- Arranging elements around pivot p generates two smaller sorting problems.
 - Sort left section of the array, and sort right section of the array.
 - When these two smaller sorting problems are solved recursively, our bigger sorting problem is solved.

Divide: partition the array around a pivot element

1. Choose a **pivot** element x
2. Rearrange the array such that:

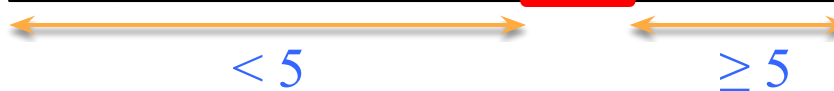
Left subarray: All elements $< x$

Right subarray: All elements $\geq x$



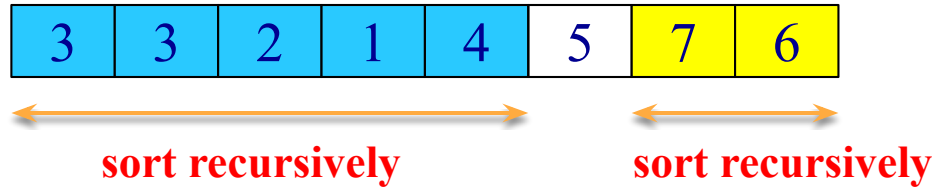
e.g. $x = 5$

After partitioning:



Conquer: recursively sort the subarrays

Note: Everything in the left subarray < everything in the right subarray



After conquer:



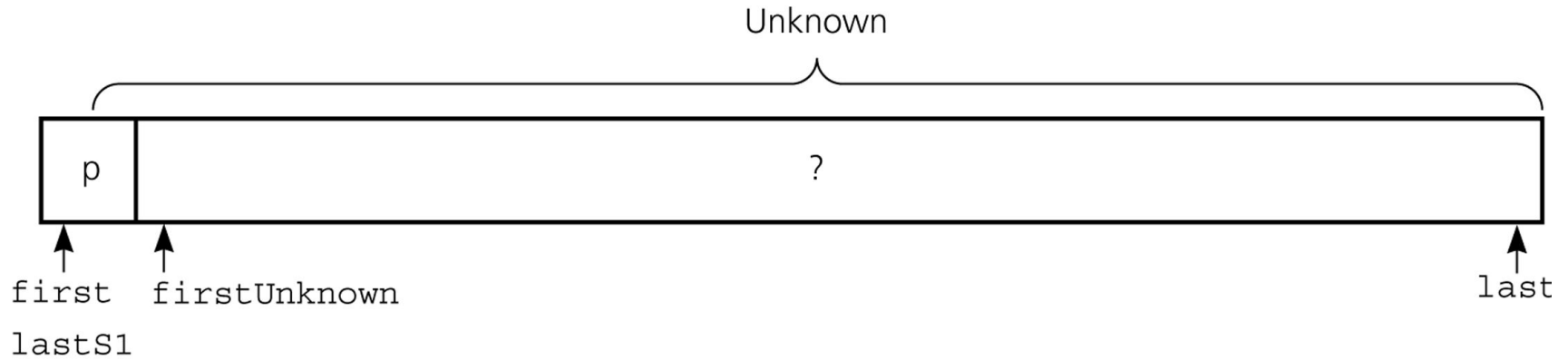
Note: Combine is trivial after conquer. Array already sorted.

Partition - choosing the pivot

- First, select a **pivot element** among the elements of the given array, and **put the pivot into the first location** of the array before partitioning.
- Which array item should be selected as pivot?
 - Somehow we have to select a pivot, and we hope that we will get a good partitioning.
 - If the items in the array arranged randomly, we choose a pivot randomly.
 - We can choose the first or last element as a pivot (it may not give a good partitioning).
 - We can use different techniques to select the pivot.

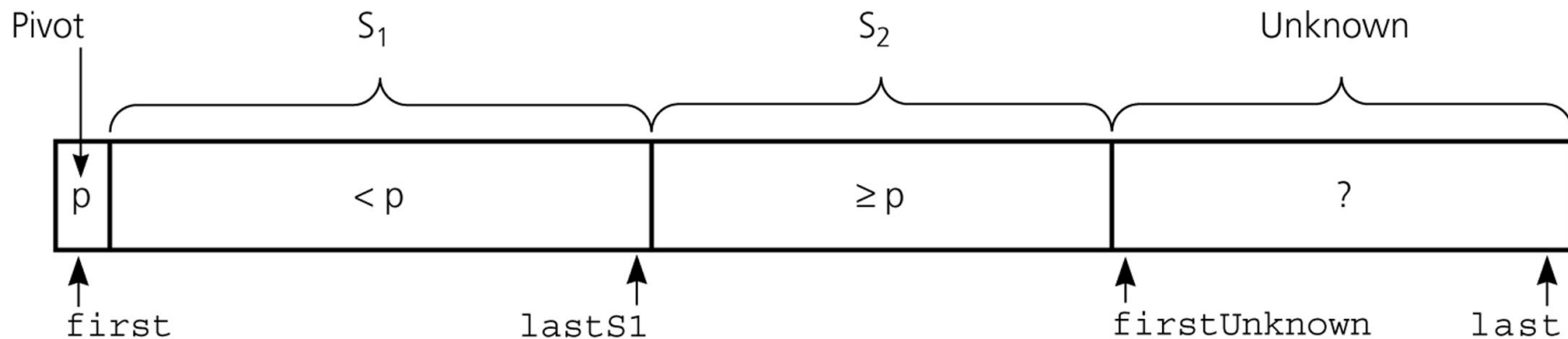
Partition function

Initial state of the array



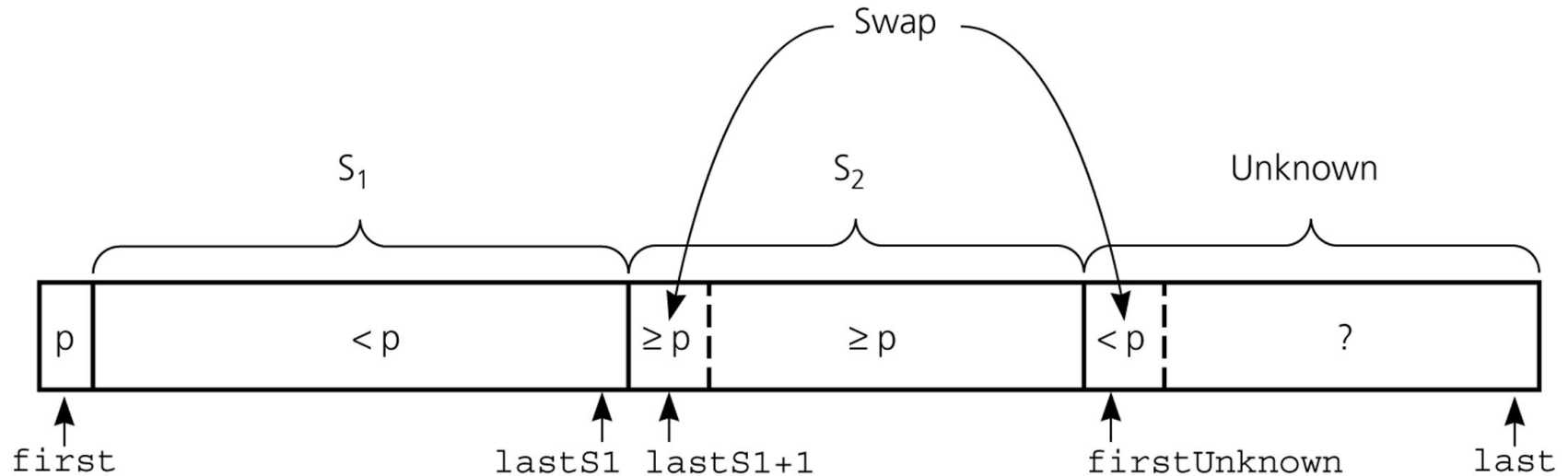
Partition function

Invariant for the partition algorithm



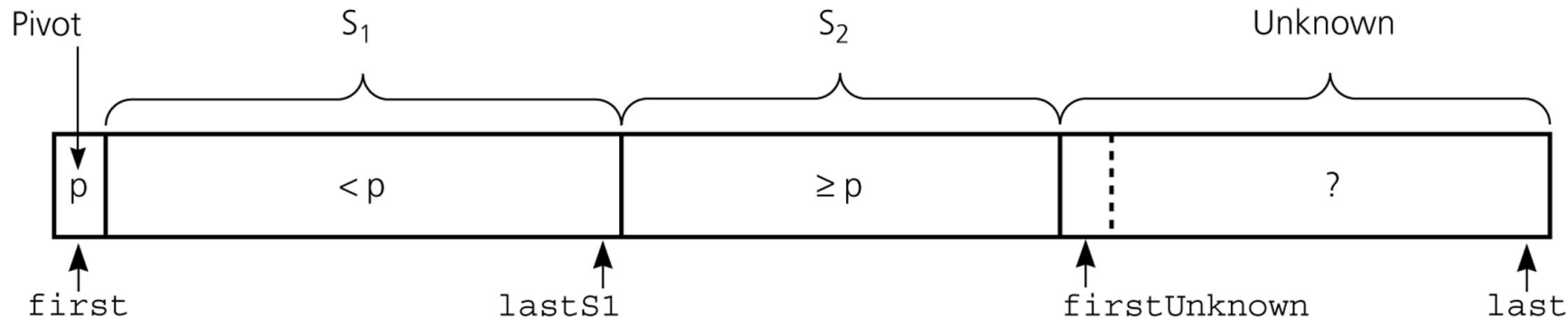
Partition function

Moving `theArray[firstUnknown]` into S_1 by swapping it with `theArray[lastS1+1]` and by incrementing both `lastS1` and `firstUnknown`.



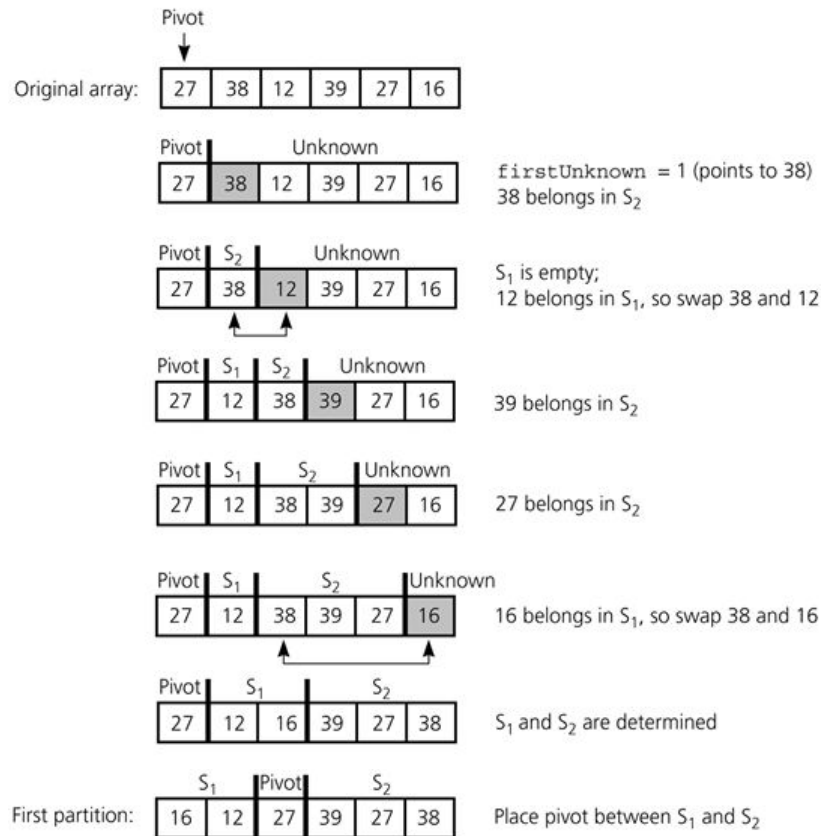
Partition function

Moving `theArray[firstUnknown]` into S_2 by incrementing `firstUnknown`.



Partition function

Developing the first partition of an array when the pivot is the first item



Quick sort function

```
void quicksort(DataType theArray[], int first, int last) {  
    // Precondition: theArray[first..last] is an array.  
    // Postcondition: theArray[first..last] is sorted.  
  
    int pivotIndex;  
  
    if (first < last) {  
  
        // create the partition: S1, pivot, S2  
        partition(theArray, first, last, pivotIndex);  
  
        // sort regions S1 and S2  
        quicksort(theArray, first, pivotIndex-1);  
        quicksort(theArray, pivotIndex+1, last);  
    }  
}
```


Partition function

```
void partition(DataType theArray[], int first, int last, int &pivotIndex) {  
    // Precondition: theArray[first..last] is an array; first <= last.  
    // Postcondition: Partitions theArray[first..last] such that:  
    //     S1 = theArray[first..pivotIndex-1] < pivot  
    //     theArray[pivotIndex] == pivot  
    //     S2 = theArray[pivotIndex+1..last] >= pivot  
  
    // place pivot in theArray[first]  
    choosePivot(theArray, first, last);  
  
    DataType pivot = theArray[first]; // copy pivot  
  
    ...  
}
```

Partition function

```
// initially, everything but pivot is in unknown
int lastS1 = first;           // index of last item in S1
int firstUnknown = first + 1; // index of first item in unknown

// move one item at a time until unknown region is empty
for ( ; firstUnknown <= last; ++firstUnknown) {
    // Invariant: theArray[first+1..lastS1] < pivot
    //             theArray[lastS1+1..firstUnknown-1] >= pivot

    // move item from unknown to proper region
    if (theArray[firstUnknown] < pivot) { // belongs to S1
        ++lastS1;
        swap(theArray[firstUnknown], theArray[lastS1]);
    } // else belongs to S2
}
// place pivot in proper position and mark its location
swap(theArray[first], theArray[lastS1]);
pivotIndex = lastS1;
}
```

Quick sort - analysis

- **Worst-case:** (assume that we are selecting the first element as pivot)

- The pivot divides the list of size n into two sublists of sizes 0 and $n-1$.

- The number of key comparisons

$$= n-1 + n-2 + \dots + 1$$

$$= n^2/2 - n/2 \quad \rightarrow O(n^2)$$

- The number of swaps

$$= n-1 \quad + \quad n-1 + n-2 + \dots + 1$$

swaps outside of the for loop swaps inside of the for loop

$$= n^2/2 + n/2 - 1 \quad \rightarrow O(n^2)$$

- **So, Quick sort is $O(n^2)$ in worst case**

Quick sort - analysis

- Quick sort is $O(n \cdot \log_2 n)$ in the best case and average case.
- Quick sort is **slow** when the array is **already sorted** and we choose the **first element as the pivot**.
- Although the worst-case behavior is not so good, its average-case behavior is much better than its worst-case.
 - So, Quick sort is one of best sorting algorithms using key comparisons.

Quick sort - analysis

A worst-case partitioning with quicksort

Original array:

5	6	7	8	9
---	---	---	---	---

Pivot | Unknown

5	6	7	8	9
---	---	---	---	---

Pivot | S_2 | Unknown

5	6	7	8	9
---	---	---	---	---

S_1 is empty

Pivot | S_2 | Unknown

5	6	7	8	9
---	---	---	---	---

S_1 is empty

Pivot | S_2 | Unknown

5	6	7	8	9
---	---	---	---	---

S_1 is empty

Pivot | S_2

First partition:

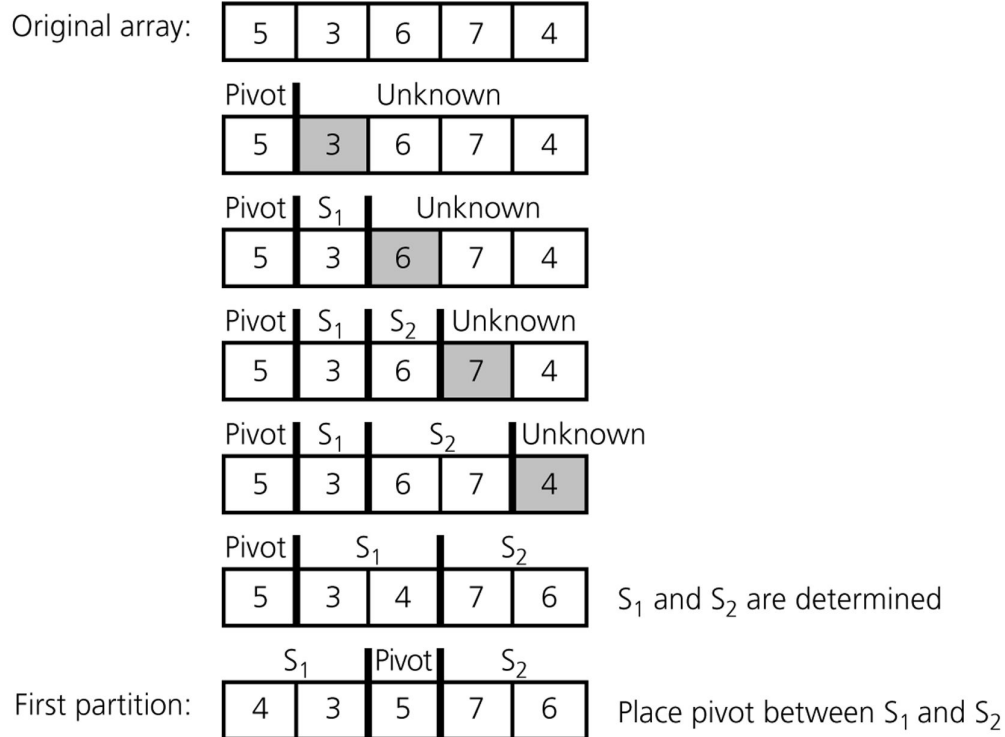
5	6	7	8	9
---	---	---	---	---

S_1 is empty

4 comparisons, 0 exchanges

Quick sort - analysis

An average-case partitioning with quicksort



Other sorting algorithms?

Many! For example:

- Radix sort
 - Shell sort
 - Comb sort
 - Heapsort
 - Counting sort
 - Bucket sort
 - Distribution sort
 - Timsort
-
- e.g., Check http://en.wikipedia.org/wiki/Sorting_algorithm for a table that compares sorting algorithms.

Radix sort

- **Radix sort algorithm** is different from other sorting algorithms that we saw.
 - **It does not use key comparisons** to sort an array.
- Radix sort :
 - Treats each data item as a character string.
 - First, group data items according to their rightmost character, and put these groups into order w.r.t. this rightmost character.
 - Then, combine these groups.
 - Repeat these grouping and combining operations for all other character positions in the data items **from the rightmost to the leftmost** character position.

Radix sort - example

0123, 2154, 0222, 0004, 0283, 1560, 1061, 2150

(156**0**, 215**0**) (106**1**) (022**2**) (012**3**, 028**3**) (215**4**, 000**4**)

1560, 2150, 1061, 0222, 0123, 0283, 2154, 0004

(000**4**) (022**2**, 012**3**) (215**0**, 215**4**) (156**0**, 106**1**) (028**3**)

0004, 0222, 0123, 2150, 2154, 1560, 1061, 0283

(000**4**, 106**1**) (012**3**, 215**0**, 215**4**) (022**2**, 028**3**) (156**0**)

0004, 1061, 0123, 2150, 2154, 0222, 0283, 1560

(000**4**, 012**3**, 022**2**, 028**3**) (106**1**, 156**0**) (215**0**, 215**4**)

0004, 0123, 0222, 0283, 1061, 1560, 2150, 2154

Original integers

Grouped by fourth digit

Combined

Grouped by third digit

Combined

Grouped by second digit

Combined

Grouped by first digit

Combined (sorted)

Radix sort - example

mom, dad, god, fat, bad, cat, mad, pat, bar, him
(**dad**,**god**,**bad**,**mad**) (**mom**,**him**) (**bar**) (**fat**,**cat**,**pat**)

dad,god,bad,mad,mom,him,bar,fat,cat,pat

(**dad**,**bad**,**mad**,**bar**,**fat**,**cat**,**pat**) (**him**) (**god**,**mom**)

dad,bad,mad,bar,fat,cat,pat,him,god,mom

(**bad**,**bar**) (**cat**) (**dad**) (**fat**) (**god**) (**him**) (**mad**,**mom**) (**pat**)

bad,bar,cat,dad,fat,god,him,mad,mom,par

original list

group strings by rightmost letter

combine groups

group strings by middle letter

combine groups

group strings by middle letter

combine groups (SORTED)

Radix sort - algorithm

```
radixSort(int theArray[], in n:integer, in d:integer)
// sort n d-digit integers in the array theArray
  for (j=d down to 1) {
    Initialize 10 groups to empty
    Initialize a counter for each group to 0
    for (i=0 through n-1) {
      k = jth digit of theArray[i]
      Place theArray[i] at the end of group k
      Increase kth counter by 1
    }
    Replace the items in theArray with all the items in
      group 0, followed by all the items in group 1, and so on.
  }
```

Radix sort - analysis

- The Radix sort algorithm requires $2 \cdot n \cdot d$ moves to sort n strings of d characters each.
→ So, Radix sort is $O(n)$
- Although Radix sort is $O(n)$, it is not appropriate as a general-purpose sorting algorithm.
 - Its memory requirement is **$d \cdot \text{original size of data}$** (because each group should be big enough to hold the original data collection).
 - For example, to sort strings of uppercase letters, we need 27 groups.
 - Radix sort is more appropriate for a linked list than an array (we will not need the huge memory in this case).

Comparison of sorting algorithms

	<u>Worst case</u>	<u>Average case</u>
Selection sort	n^2	n^2
Bubble sort	n^2	n^2
Insertion sort	n^2	n^2
Mergesort	$n * \log n$	$n * \log n$
Quicksort	n^2	$n * \log n$
Radix sort	n	n
Treesort	n^2	$n * \log n$
Heapsort	$n * \log n$	$n * \log n$