# On weight-equitable partitions of graphs

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Indo-Spanish CALDAM 2025 Pre-conference School

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### Outline

Introduction

Spectral properties

Characterizations

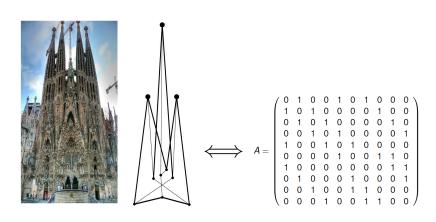
An application to graph theory

Computing weight-equitable partitions

Closing remarks

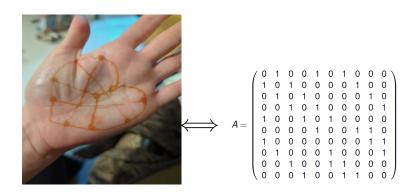
# Introduction

### Graph spectrum



spectrum (eigenvalues):  $\lambda_1 \geq \cdots \geq \lambda_n$ 

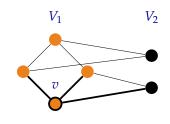
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# Equitable partitions

$$\mathcal{P} = \{V_1, V_2\}$$



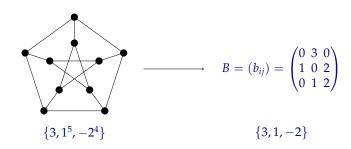
$$b_{11}(v) = 2, b_{12}(v) = 1$$

$$B = (b_{ij}) = \begin{pmatrix} 2 & 1 \\ 2 & 0 \end{pmatrix}$$

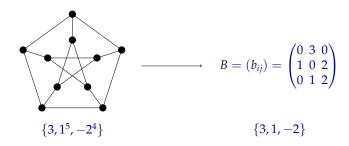
Equitable if  $b_{ij}$  only depends on i and j.

### Representing partitions

# Shrinking graphs while preserving (part) of the spectrum



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Theorem (e.g. Cvetković, Doob, Sachs 1980) Every eigenvalue of B is also an eigenvalue of A(G).

# Equitable partitions in algebraic combinatorics

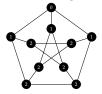
# Equitable partitions in algebraic combinatorics

▶ Naturally occur in graphs with rich algebraic structures:



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▶ Naturally occur in graphs with rich algebraic structures:



► Useful for proving eigenvalue bounds on graph parameters like the *k*-independence number (Cvetković 1972), (Haemers 1995), (A., Coutinho, Fiol 2019)



# Extending equitable partitions

Equitable: every neighbor contributes to  $b_{ij}$  equally.

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What if we assign weights to the vertices?

Use weights which 'regularize' the graph.

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#### Perron-Frobenius Theorem

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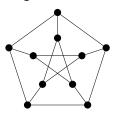
- $\triangleright \lambda_1$  is simple;
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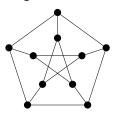


$$\lambda_1 = 3$$
,  $\nu = 1$ 

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We call  $\nu$  the Perron eigenvector.

$$\mathcal{P} = \{V_1, V_2, \dots, V_m\}$$

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Weight quotient matrix  $B^* = (b_{ij}^*)$  with entries (weight-intersection numbers):

$$b_{ij}^*(u) := \frac{1}{\nu_u} \sum_{v \in G(u) \cap V_i} \nu_v \qquad u \in V_i$$

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Note that the sum of the weight-intersection numbers for all  $1 \le j \le m$  gives the weight-degree of each vertex  $u \in V_i$ :

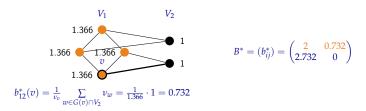
$$\sum_{j=1}^{m} b_{ij}^{*}(u) = \frac{1}{\nu_{u}} \sum_{v \in G(u)} \nu_{v} = \delta_{u}^{*} = \lambda_{1}$$

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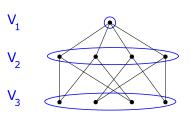


Weight-equitable if  $b_{ij}^*$  only depends on i and j.

Note: 
$$\sum_{j} b_{ij}^* = \lambda_1$$
.

# Example weight-equitable partition

$$\nu = (2j \mid \sqrt{2}j \mid 1j)$$



$$b_{ij}^*(u) := \frac{1}{\nu_u} \sum_{v \in G(u) \cap V_j} \nu_v$$

$$b_{12}^*(1) = \frac{1}{2}(\sqrt{2} + \sqrt{2} + \sqrt{2} + \sqrt{2})$$

$$b_{21}^*(2) = \frac{1}{\sqrt{2}}2$$

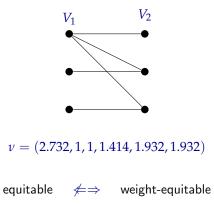
$$b_{21}^*(3) = \frac{1}{\sqrt{2}}2$$

$$b_{21}^*(4) = \frac{1}{\sqrt{2}}2$$

$$b_{21}^*(5) = \frac{1}{\sqrt{2}}2$$

...

# Example weight-equitable partition but not equitable



# Origin of weight-equitable partitions

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### Ratio bound (Hoffman 1970)

If G is regular with eigenvalues  $\lambda_1 \geq \cdots \geq \lambda_n$ , then

$$\chi(G) \geq 1 - \frac{\lambda_1}{\lambda_n}$$
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### (Haemers 1979)

If G is regular with eigenvalues  $\lambda_1 > \cdots > \lambda_n$ , then

$$\chi(G) \geq 1 - \frac{\lambda_1}{\lambda_n}$$
.



600

number  $\chi(G)$  of G, is bounded below by  $n/(\alpha(G))$ . Thus upper bounds for  $\alpha(G)$  give lower bounds for  $\chi(G)$ . For instance, if G is regular, Theorem 3.2 implies that  $\chi(G) \geqslant 1 - \lambda_1/\lambda_n$ . This bound, however, remains valid for nonregular graphs (but note that it does not follow from Theorem 3.3).

#### THEOREM 4.1.

- (i) If G is not the empty graph, then  $\chi(G) \ge 1 (\lambda_1/\lambda_n)$ .
- (ii) If  $\lambda_2 > 0$ , then  $\chi(G) \ge 1 (\lambda_{n-\chi(G)+1}/\lambda_2)$ .

*Proof.* Let  $X_1, \ldots, X_{\chi}$  [ $\chi = \chi(G)$ ] denote the color classes of G and let  $u_1, \ldots, u_n$  be an orthonormal set of eigenvectors of A (where  $u_i$  corresponds to  $\lambda_i$ ). For  $i = 1, \ldots, \chi$ , let  $s_i$  denote the restriction of  $u_1$  to  $X_i$ , that is,

$$(s_i)_j = \begin{cases} (u_1)_j, & \text{if } j \in X_i, \\ 0, & \text{otherwise,} \end{cases}$$

and put  $\tilde{S} = [s_1 \cdots s_\chi]$  (if some  $s_i = 0$ , we delete it from  $\tilde{S}$  and proceed similarly) and  $D = \tilde{S}^{\mathsf{T}} \tilde{S}$ ,  $S = \tilde{S} D^{-1/2}$ , and  $B = S^{\mathsf{T}} A S$ . Then B has zero diagonal (since each color class corresponds to a zero submatrix of A) and an eigenvalue  $\lambda_1$  ( $d = D^{1/2} \underline{1}$  is a  $\lambda_1$ -eigenvector of B). Moreover, interlacing Theorem 2.1 gives that the remaining eigenvalues of B are at least  $\lambda_n$ . Hence

$$0 = \operatorname{tr}(B) = \mu_1 + \cdots + \mu_{\chi} \ge \lambda_1 + (\chi - 1)\lambda_n,$$

which proves (i), since  $\lambda_n < 0$ . The proof of (ii) is similar, but a bit more

## Origin weight-equitable partitions

Formally defined and used by (Garriga, Fiol 1999)





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Theory of eigenvalue interlacing extended (Fiol 1999)



### Motivation

Why using weight-equitable partitions?

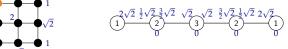
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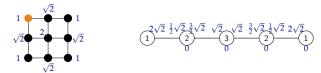
Powerful tool used to extend several spectral bounds known for regular graphs also for **non-regular graphs**.

► (Fiol, Garriga, Yebra 1996) (Locally) pseudo-distance-regular graphs.



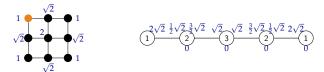


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- ► (Lee, Weng 2012) Spectral excess theorem for irregular graphs.

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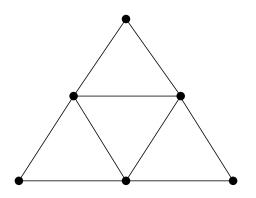
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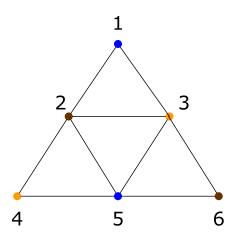
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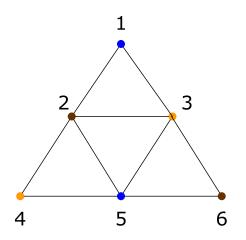
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- ► (A., Zeijlemaker 2024) Expander Mixing Lemma for irregular graphs.







weight-equitable BUT NOT equitable

Equitable  $\Longrightarrow$  Weight-equitable

Equitable ⇒ Weight-equitable

Converse not true!

Equitable #= Weight-equitable

## Relation between (weight-)equitable partitions

	graph class admitting $\dots$ partition with $m$ cells	
number of cells $m$	equitable	weight-equitable
1	← regular	all
2	biregular	bipartite
n	all	all

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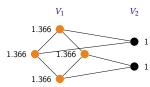
### **Proposition**

Weight-equitable and  $\nu$  constant over all cells  $\ \Leftrightarrow$  equitable



# Spectral properties

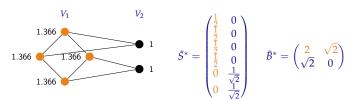
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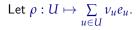
Normalized weight-characteristic matrix: 
$$\bar{s}_{ui}^* = \begin{cases} \frac{\nu_u}{\|\rho(V_i)\|} & \text{if } u \in V_i, \\ 0 & \text{otherwise.} \end{cases}$$

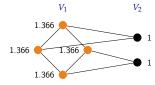
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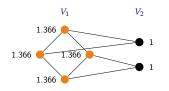
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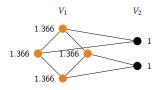
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$$\bar{S}^* = \begin{pmatrix} \frac{1}{2} & 0\\ \frac{1}{2} & 0\\ \frac{1}{2} & 0\\ \frac{1}{2} & 0\\ 0 & \frac{1}{\sqrt{2}}\\ 0 & \frac{1}{\sqrt{2}} \end{pmatrix}$$

Normalized weight-characteristic matrix: 
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$$\begin{aligned} &\textit{Normalized weight-characteristic matrix: } \bar{s}_{ui}^* = \begin{cases} \frac{\nu_u}{\|\rho(V_i)\|} & \text{if } u \in V_i, \\ 0 & \text{otherwise.} \end{cases} \\ &\textit{Normalized weight-quotient matrix: } \bar{b}_{ij}^* = \frac{\sum\limits_{(u,v) \in E(V_i,V_j)}^{\nu_u \nu_v}}{\|\rho(V_i)\| \|\rho(V_j)\|}. \end{aligned}$$

Let 
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 $V_1 \qquad V_2 \qquad \qquad 1$ 
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1.

$$(\bar{S}^*)^{\top} \bar{S}^* = I \qquad \bar{B}^* = (\bar{S}^*)^{\top} A \bar{S}^*$$

#### **Theorem**

- $\bar{B}^*$  has largest eigenvalue  $\lambda_1$ ;
- All eigenvalues of  $\bar{B}^*$  are eigenvalues of G.

### Motivation

It is often useful (why, in next section) to know whether a graph admits a weight-equitable partition:

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→ Find characterizations and conditions.

## Characterization I:

generalized double stochastic matrices and weight-regularity

### Known characterizations

```
Theorem (Fiol 1999) AS^* = S^*B^* \iff \mathcal{P} weight-equitable partition
```

### Double stochastic matrices

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Note:  $\Omega(A)$  is a convex polytope since it consists of all matrices X such that

$$XA = AX$$
,  $X1 = 1X = 1$ ,  $X \ge 0$ .

# Double stochastic matrices and equitable partitions

#### Lemma (Godsil 1997)

Let A be the adjacency matrix of a graph G, and let  $\mathcal{P}$  be a partition of the vertex set with normalized characteristic matrix S. Then,  $\mathcal{P}$  is equitable if and only if A and  $SS^{\top}$  commute.

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**Question:** Can we extend this result to weight-equitable partitions?

#### Generalized double stochastic matrices

A matrix is *generalized double stochastic* if it is nonnegative and each of its rows and each of its columns sums up to the same constant.

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A matrix is *generalized double stochastic* if it is nonnegative and each of its rows and each of its columns sums up to the same constant.

Note:  $\Omega^*(A)$  is also a convex polytope since it consists of all matrices X such that

$$XA = AX$$
,  $X\mathbf{1} = \mathbf{1}X$ ,  $X \ge 0$ .

# Generalized double stochastic matrices and weight-equitable partitions

## Lemma (A. 2019)

Let A be the adjacency matrix of a graph G, and let  $\mathcal P$  be a weight partition of the vertex set with normalized weight-characteristic matrix  $\overline{S}^*$ . Then,  $\mathcal P$  is weight-equitable if and only if A and  $\overline{S}^*\overline{S}^{*\top}$  commute.

## Corollary (A. 2019)

Let  $\mathcal P$  be a weight partition of the vertex set of a graph with normalized weight-characteristic matrix  $\overline S^*$ . Then  $\mathcal P$  is weight-equitable if and only if  $\overline S^*\overline S^{*\top}\in\Omega^*(A)$ .

# Characterization II:

# Fractional automorphisms and weight-regularity

 $\boldsymbol{A}$  adjacency matrix of a graph

## A adjacency matrix of a graph

Graph automorphism:

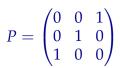
Permutation matrix *P* 

s.t. 
$$PA = AP$$

Fractional automorphism:

Doubly stochastic matrix X

s.t. 
$$XA = AX$$





$$X = \begin{pmatrix} \frac{1}{2} & 0 & \frac{1}{2} \\ 0 & 1 & 0 \\ \frac{1}{2} & 0 & \frac{1}{2} \end{pmatrix}$$

Let  $X=(x_{ij})$  be doubly stochastic and define the directed graph  $G_A$  with adjacency matrix

$$A=(a_{ij})=egin{cases} 1 & ext{if } x_{ij}
eq 0, \ 0 & ext{otherwise,} \end{cases}$$

and let  $\mathcal{P}_X$  be the partition of [n] into the strongly connected components of  $G_A$ .



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Proposition (A., Hojny, Zeijlemaker 2022) If X commutes with A(G), then  $\mathcal{P}_X$  is weight-equitable.

Unfortunately no hope for an iff result ...

## Proposition (A., Hojny, Zeijlemaker 2022)

Given a partition  $\mathcal{P}$ , let  $X_{\mathcal{P}}$  be a matrix with entries  $x_{vw} = \begin{cases} \frac{v_v v_w}{\|\rho(P)\|^2} & \text{if } v, w \in P \text{ for some } P \in \mathcal{P}, \\ 0 & \text{otherwise.} \end{cases}$  If  $\mathcal{P}$  is a weight-equitable partition, then  $X_{\mathcal{P}}A = AX_{\mathcal{P}}$ .

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If  $\mathcal{P}$  is a weight-equitable partition, then  $X_{\mathcal{P}}A = AX_{\mathcal{P}}$ .

$$X_{\mathcal{P}} = \begin{pmatrix} 0.276 & 0 & 0.447 & 0 \\ 0 & 0.724 & 0 & 0.447 \\ 0.447 & 0 & 0.724 & 0 \\ 0 & 0.447 & 0 & 0.276 \end{pmatrix} \qquad A = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

 $X_{\mathcal{P}}$  not a double stochastic, but quite symmetric ...

# Characterization III:

# Hoffman-type polynomial and weight-regularity

## (Hoffman 1963)

Characterization of regular graphs in terms of the Hoffman polynomial.

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## (A., Dalfó, Fiol 2013)

Hoffman's-like characterization for biregular graphs.

## Theorem (A., Dalfó, Fiol 2013)

A bipartite graph  $G=(V_1\cup V_2,E)$ , with  $n=n_1+n_2$  vertices in  $(\delta_1,\delta_2)$ -biregular if and only if the odd part of its preHoffman polynomial satisfies

$$H_1(A) = \alpha \left( \begin{array}{cc} \mathbf{O} & J \\ J & \mathbf{O} \end{array} \right)$$

with 
$$\alpha = \frac{n_1 + n + 2}{2\sqrt{n_1 n_2}} = \frac{\delta_1 + \delta_2}{2\sqrt{\delta_1 \delta_2}}$$
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**Question:** Can we find a Hoffman-like polynomial to characterize weight-regularity?

# Polynomials and weight-regularity

## Theorem (A. 2019)

Let G be a connected graph with a partition of its vertices into m sets,  $\mathcal{P}=\{V_1,\ldots,V_m\}$ , such that  $n=n_1+\cdots+n_m$  and such that the map on V, denoted by  $\rho:u\to\nu_u$ , is constant over each  $V_k$ . Then there exists a polynomial  $H\in\mathbb{R}_d[x]$  such that

$$H(A) = \begin{pmatrix} b_{11}^*J & b_{12}^*J & \cdots & b_{1m}^*J \\ b_{21}^*J & b_{22}^*J & \cdots & b_{2m}^*J \\ \vdots & & \ddots & \\ b_{m1}^*J & b_{m2}^*J & \cdots & b_{mm}^*J \end{pmatrix}$$

if and only if  $\mathcal{P}$  is a weight-equitable partition of G.

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Weight-equitable partitions maintain the structure of the Perron eigenvector  $oldsymbol{
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As a corollary, for a regular graph  $\nu = 1$ : (Hoffman 1963)

# An application to graph theory: improvement of Hoffman's bound

#### Hoffman's ratio bound

## Theorem (Hoffman 1970)

If G has at least one edge, then

$$\chi(G) \geq 1 - \frac{\lambda_1}{\lambda_n}$$
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When equality holds we call the coloring a Hoffman coloring.

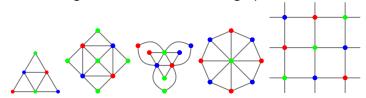
# Examples of Hoffman colorable graphs

Trivially Hoffman colorable graphs:

- ► Bipartite graphs;
- ▶ Regular complete multipartite graphs (e.g.  $K_{3,3,3}$ ), including complete graphs.

**BUT** not many non-trivial infinite families of Hoffman colorable graphs are known!

Some irregular Hoffman colorable graphs:



► (Hoffman 1970) Regular graphs: Hoffman color partitions are equitable.

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- ► (A., Bosma, Van Veluw 2025) Structural properties of Hoffman colorings of irregular graphs.

# Motivation to study Hoffman colorings

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- ► Sandwiching:

$$h(G) \le \chi_v(G) \le \chi_{sv}(G) \le \chi_q(G) \le \chi(G)$$

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- ► Improving Hoffman's bound,
- ▶ Sandwiching: if  $h(G) = \chi(G)$  then

$$h(G)=\chi_v(G)=\chi_{sv}(G)=\chi_q(G)=\chi(G)$$

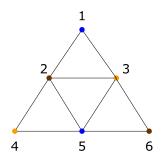
Exact values of chromatic parameters for free, even some that are not known to be computable like  $\chi_q(G)$ !

#### Theorem (A. 2019)

If G has chromatic number  $\chi(G)$  and a Hoffman coloring, then the partition defined by the color classes is weight-equitable.

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$$\mathcal{P} = \{V_1, V_2, V_3\} = \{\{2, 6\}, \{1, 5\}, \{3, 4\}\}\$$

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#### Corollary (A. 2019)

If G has at least one edge and the vertex partition defined by the  $\chi$  color classes is not weight-equitable, then

$$\chi(G) \geq 2 - \frac{\lambda_1}{\lambda_n}$$
.

#### Theorem (A. 2019)

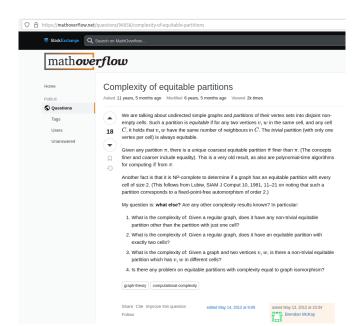
If G has chromatic number  $\chi(G)$  and a Hoffman coloring, then the partition defined by the color classes is weight-equitable.

What can we do with this theorem?

If G does not have a weight-regular partition  $\implies G$  cannot have a Hoffman coloring  $\implies$  useful for finding families of non-regular Hoffman colorable graphs (A., Bosma, Van Veluw 2025)

# Computing weight-equitable partitions

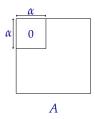
#### Complexity equitable partitions



Depending on the application, different levels of coarseness are required:

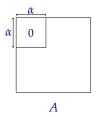
Depending on the application, different levels of coarseness are required:

- ▶ Bounds on  $\alpha$ : two cells;
- ▶ Pseudo-distance-regular graphs: #cells = diameter + 1.



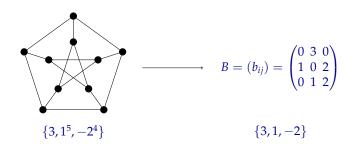
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In general, coarser means more shrinkage.

## Shrinking graphs while preserving (part) of the spectrum



### Our focus

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#### Coarse(st) weight-equitable partitions

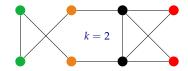
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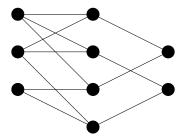
#### *k*-homogeneous weight-equitable partitions



► Finding general complexity results is hard, so start with a regular case.

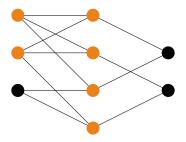
#### Theorem (Bastert 1999)

The coarsest equitable partition of a graph can be found in polynomial time.



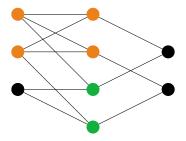
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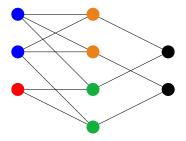
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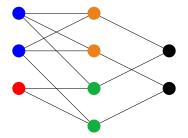
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Color splitting:



Based on algorithm for minimising finite automata (Hopcroft 1971).

Can be computed in  $O(m \log n)$  time (Cardon, Crochemore 1982).

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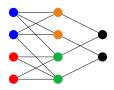
..but the starting partition is already weight-equitable!

	graph class admitting $\dots$ partition with $m$ cells	
number of cells $m$	equitable	weight-equitable
1	← regular	all
2	biregular	bipartite
n	all	all

## Computational results for equitable partitions: 2-homogeneous

#### Lemma

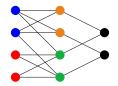
2-homogeneous equitable partition  $\Leftrightarrow$  the graph has an automorphism being an *involution without fixed points* (autom of the graph where every vertex is in a pair).



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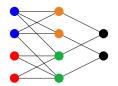
#### (Lubiw 1981)

Deciding whether a given graph has a fixed-point-free automorphism of order two is NP-complete.

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#### Corollary

Finding 2-homogeneous equitable partitions is NP-complete.

## Overview computational results equitable partitions

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2-homogeneous equitable partition NP-completeness Cannot extend proof unless the partition also happens to be equitable.

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Question: When does this happen?

## Maybe a polynomial algorithm for some graph class?

We just saw that in general, we cannot decide in polynomial time whether a graph admits an equitable partition with cells of size two.

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**Question:** Are there graph classes for which we can obtain an efficient algorithm to compute such 2-homogeneous equitable partitions?

# A small example



### A small example



(and hence weight-equitable)

## A small example



Not equitable (but weight-equitable)

Graphs without  $P_4$ ?

# Cographs

Graphs without induced  $P_4$ 





# Cographs

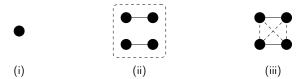
#### Graphs without induced $P_4$





#### (Corneil, Lerchs, Burlingham 1981)

- (i)  $K_1$  is a cograph
- (ii) If  $G_1, \ldots, G_k$  cographs, then  $G_1 \cup \cdots \cup G_k$  cograph
- (iii) The join of cographs is a cograph



# Cographs

Graphs without induced  $P_4$ 



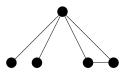


#### Proposition (A., Hojny, Zeijlemaker 2022)

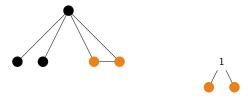
In cographs, all 2-homogeneous weight-equitable partitions are equitable.

**Goal:** devise algorithm to find 2-homogeneous weight-equitable partitions of cographs.

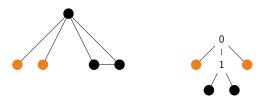
Cotree: Represent union with 0, join with 1



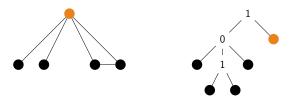
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Note that leaves of the cotree are the vertices in the cograph.

(Corneil, Lerchs, Burlingham 1981) If 0 and 1 alternate, this tree is unique.

#### Lemma (A., Hojny, Zeijlemaker 2022)

Automorphism  $\phi$  of cograph  $G \Leftrightarrow$  automorphism of the cotree which:

- $\triangleright$  acts as the original  $\phi$  on the leaves,
- respects the 0/1-labeling.

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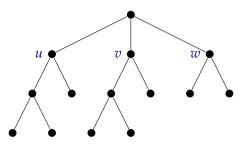
This allows us to translate the problem of finding 2-homogeneous partitions to the problem of finding automorphisms in a tree ...

# Computing 2-homogeneous equitable partitions: intuition

2-homogeneous equitable partition

 $\stackrel{\text{Lubiw}}{\Longleftrightarrow} \text{ involution of the graph without fixed points (automorphisms of order 2 in the graph)}$ 

Lemma automorphism of cotree which is a fixed-point-free involution on the leaves.

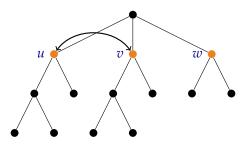


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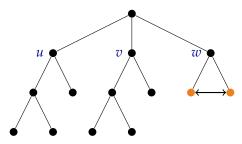


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 $\stackrel{\text{Lubiw}}{\Longleftrightarrow} \text{ involution of the graph without fixed points (automorphisms of order 2 in the graph)}$ 

Lemma automorphism of cotree which is a fixed-point-free involution on the leaves.



# Computing 2-homogeneous equitable partitions: algorithm

```
Input: Labeled (co)tree T, root vertex r

for each child of r with distinct subtree do

if an odd number of children have the same subtree then

if the child is a leaf then

return false

else
recurse
return true
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Edmonds' algorithm

# Computing 2-homogeneous equitable partitions: algorithm

Edmonds' algorithm (Busacker, Saaty 1965) Algorithm for detecting isomorphic subtrees.

#### (Colbourn and Booth 1981)

Linear time extension of Edmonds' algorithm.

#### Theorem (A., Hojny, Zeijlemaker 2022)

Let G be a cograph. The problem of deciding whether G admits a (weight-) equitable partition with  $\frac{n}{2}$  cells of size 2 can be solved in  $O(n^2)$  time.

# Closing remarks

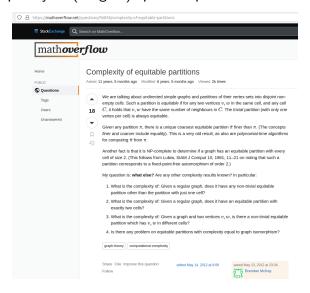
# Open problems: algebraic flavour

▶ New characterizations of weight-equitable partitions.

Find new applications of weight(-equitable) partitions.

#### Open problems: algorithmic flavour

► Complexity of (weight-)equitable partitions?



# Thank you for your attention!

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#### Further reading:

A. Abiad

A characterization and an application of weight-regular partitions of graphs *Linear Algebra and Appl.* 569 (2019).

A. Abiad, C. Hojny, S. Zeijlemaker. Characterizing and computing weight-equitable partitions of graphs *Linear Algebra and Appl.* 645 (2022).