# Investor Questionnaire (9/20/21)

## Time Horizon

1. How long are you planning on investing your money?
   1. Less than 3 years
   2. 4-5 years
   3. 6-10 years
   4. More than 11 years

## Investment Objective

1. When it comes to investing, I am more interested in capital growth than maintaining the principal value
   1. Strongly Disagree
   2. Somewhat disagree
   3. Neutral
   4. Somewhat agree
   5. Strongly agree
2. If investing in a stock, would you rather:
   1. Buy Companies that may make significant technological advances that are still selling at their low initial offering price
   2. Established, well-known companies that have a potential for continued growth
   3. 'Blue chip' stocks that pay dividends

## Risk Tolerance:

1. Which scenario are you most comfortable with:
   1. Average Annual Return: 7% Best Case: 16%. Worst Case: -5%
   2. Average Annual Return: 9% Best Case: 25%. Worst Case: -12%
   3. Average Annual Return: 10% Best Case: 33%. Worst Case: -18%
   4. Average Annual Return: 11% Best Case: 42%. Worst Case: -24%
   5. Average Annual Return: 12% Best Case: 50%. Worst Case: -28%
2. If you had $10,000 to invest for ten years, which portfolio would you prefer?
   1. Low risk/low return. A portfolio that may grow 2-3% per year in most years but is likely to lose 2% - 5% in one of those years.
   2. Some risk/medium return. A portfolio that may grow at an average of 4% per year but is likely to lose 10% in one of those years.
   3. Higher risk/high return. A portfolio that may grow at an average of 6% per year but is likely to lose 20% in one of those years.
   4. Highest risk/highest return. A portfolio that may grow at an average of 7% per year but is likely to lose 25% in at least one of those years.
3. How would you describe your approach toward investing?
   1. I am uncomfortable with volatility. Lower rates of return are acceptable to have stable portfolio values.
   2. I expect a minor fluctuation in the value of my investments. I am willing to accept periodic, small losses in my portfolio, but I hope my long-term returns are competitive.
   3. I am comfortable seeing the value of my investments fluctuate as much or even more than the stock market. During some years, I might have a loss, but over time I expect my returns to be as high or higher than the historical return of stocks.
4. The price of your stocks has gone down 31% in the last three weeks. What would you do:
   1. Sell the remaining stock, since seeing go down keeps you up at night
   2. Do nothing - these things happen
   3. Buy the dip

## ESG

1. When it comes to investing, Environmental issues such as Sustainability, Renewable Energy, Natural resources, and land usage are important to me.
   1. Strongly Disagree
   2. Somewhat disagree
   3. Neutral
   4. Somewhat agree
   5. Strongly agree
2. I take into consideration or would like to, social aspects of companies that I invest in, such as Diversity, local community impact, as well as Labor standards, and employees
3. Strongly Disagree
4. Somewhat disagree
5. Neutral
6. Somewhat agree
7. Strongly agree
8. Investors should take into consideration ethical business practices, Executive Compensation, and Board Independence when choosing which companies to have a stake in
9. Strongly Disagree
10. Somewhat disagree
11. Neutral
12. Somewhat agree
13. Strongly agree
14. I like to support companies whose values align with mine
15. Strongly Disagree
16. Somewhat disagree
17. Neutral
18. Somewhat agree
19. Strongly agree