

# Merge Sort / Recursion

# Runtime Analysis

- Last time we said Selection sort is inefficient
- Let's be more specific
- We'll measure the asymptotic runtime of the algorithm
  - Often use big-O notation
- Count the number of "steps" the algorithm take
  - A step is typically a basic operation (+, -, &&, etc)

# Runtime Analysis

- Asymptotic runtime
  - Measures the order of magnitude of the runtime in relation to the size of the input
  - Name the input size  **$n$**
  - For sorting - Size of the input is the number of values in the data structure
  - Ignore constants
- Ex. Runtime of  $O(n)$  grows linearly with the size of the input

# Selection Sort - Runtime

- Abridged runtime analysis

**Outer loop  
runs once  
for each  
index**

**Runs  $O(n)$   
times**

```
def selectionSort[T](inputData: List[T], comparator: (T, T) => Boolean): List[T] = {  
  var data: List[T] = inputData  
  for (i <- data.indices) {  
    var minFound = data.apply(i)  
    var minIndex = i  
    for (j <- i until data.size) {  
      val currentValue = data.apply(j)  
      if (comparator(currentValue, minFound)) {  
        minFound = currentValue  
        minIndex = j  
      }  
    }  
    data = data.updated(minIndex, data.apply(i))  
    data = data.updated(i, minFound)  
  }  
  data  
}
```

# Selection Sort - Runtime

- Abridged runtime analysis

Inner loop  
runs once  
for each  
index from  
i to the end  
of the list

Runs for  
each  
iteration of  
the outer  
loop with  
a worst  
case of  
 $O(n)$

```
def selectionSort[T](inputData: List[T], comparator: (T, T) => Boolean): List[T] = {  
  var data: List[T] = inputData  
  for (i <- data.indices) {  
    var minFound = data.apply(i)  
    var minIndex = i  
    for (j <- i until data.size) {  
      val currentValue = data.apply(j)  
      if (comparator(currentValue, minFound)) {  
        minFound = currentValue  
        minIndex = j  
      }  
    }  
    data = data.updated(minIndex, data.apply(i))  
    data = data.updated(i, minFound)  
  }  
  data  
}
```

# Selection Sort - Runtime

- Abridged runtime analysis

**Run  $O(n)$   
iterations  
 $O(n)$  times  
results in  
an  $O(n^2)$   
total  
runtime**

```
def selectionSort[T](inputData: List[T], comparator: (T, T) => Boolean): List[T] = {  
  var data: List[T] = inputData  
  for (i <- data.indices) {  
    var minFound = data.apply(i)  
    var minIndex = i  
    for (j <- i until data.size) {  
      val currentValue = data.apply(j)  
      if (comparator(currentValue, minFound)) {  
        minFound = currentValue  
        minIndex = j  
      }  
    }  
    data = data.updated(minIndex, data.apply(i))  
    data = data.updated(i, minFound)  
  }  
  data  
}
```

# Selection Sort - Runtime

- More mathematical analysis
  - Inner loop runs  $\sum i$  times where  $i$  ranges from  $n$  to  $1$
  - $n + n-1 + n-2 + \dots + 2 + 1 = n^2/2 + n/2$
  - For asymptotic we only consider the highest order term and ignore constant multipliers
  - Therefore  $n^2/2 + n/2$  is  $O(n^2)$
  - Selection Sort has  $O(n^2)$  runtime

# Merge Sort

- We briefly saw in CSE115 that we can do better by using merge sort and reaching  $O(n \log(n))$  runtime
- Let's analyze this in more depth



# Merge Sort

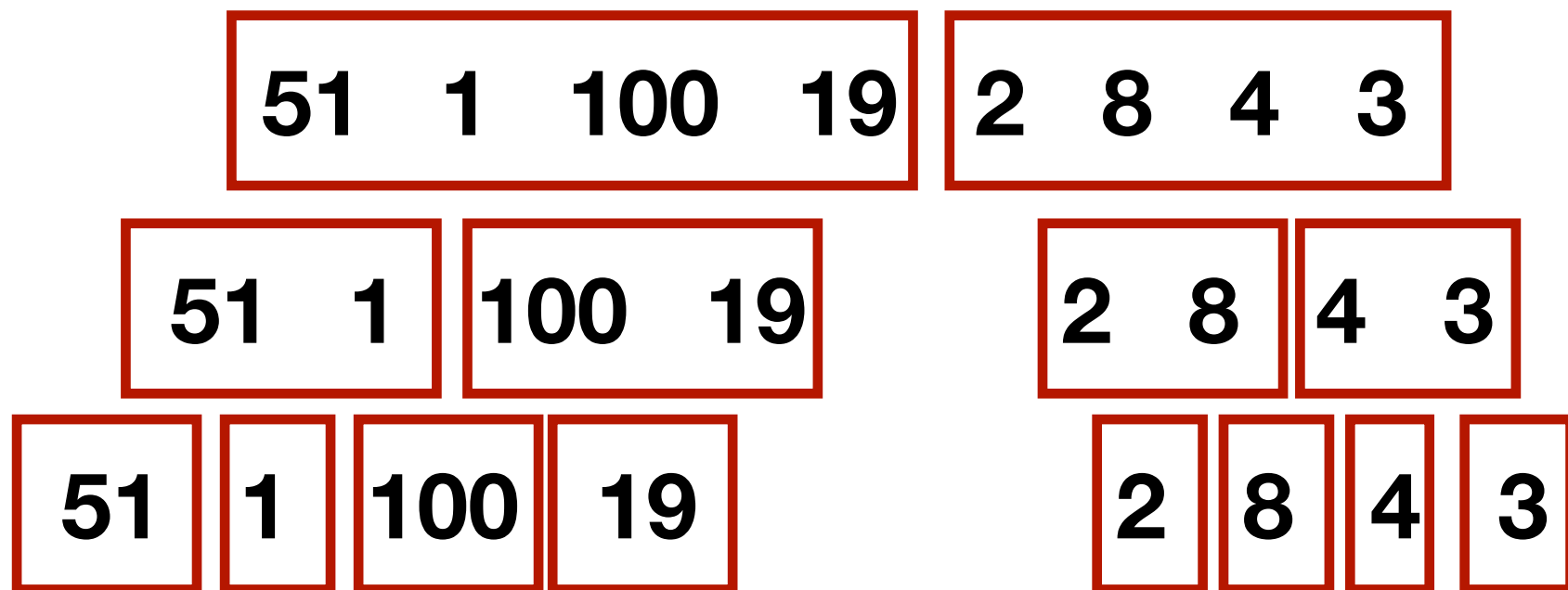
- The algorithm
  - If the input list has  $< 2$  elements, return it (It's already sorted)
  - Divide the input list in two half
  - Recursively call merge sort on each half (Repeats until the lists are size 1)
  - Merge the two sorted lists together into a single sorted list

# Merge Sort

- Given an input

**51 1 100 19 2 8 4 3**

- Divide into two lists recursively until  $n=1$



# Merge Sort

- Merge lists until the original input is sorted

51 1 100 19

2 8 4 3

1 51 19 100

2 8 3 4

1 19 51 100 2 3 4 8

1 2 3 4 8 19 51 100

# Merge Sort - Merge

```
def mergeSort[T](inputData: List[T], comparator: (T, T) => Boolean): List[T] = {  
  if (inputData.length < 2) {  
    inputData  
  } else {  
    val mid: Int = inputData.length / 2  
    val (left, right) = inputData.splitAt(mid)  
    val leftSorted = mergeSort(left, comparator)  
    val rightSorted = mergeSort(right, comparator)  
    merge(leftSorted, rightSorted, comparator)  
  }  
}
```

**Recursion!**

# Merge Sort - Runtime

- Each level of the recursion has  $2^i$  lists of size  $n/2^i$
- Recursion ends when  $n/2^i == 1$ 
  - $i = \log(n)$
  - $\log(n)$  levels of recursion
- Each level needs to merge a total of  $n$  elements across all sub-lists
- If we can merge in  $O(n)$  time we'll have  $O(n \log(n))$  total runtime

# Merge Sort - Merge

- Merge two sorted lists in  $O(n)$  time
- Take advantage of each list being sorted
- Start with pointers at the beginning of each list
- Compare the two values at the pointers and find which come first based on the comparator
  - Append it to a new list and advance that pointer
- When a pointer reaches the end of a list copy the rest of the contents

# Merge Sort - Merge

1 19 51 100



2 3 4 8



# Merge Sort - Merge

1 19 51 100



2 3 4 8




1




# Merge Sort - Merge

1 19 51 100




2 3 4 8




1 2

# Merge Sort - Merge

1 19 51 100



2 3 4 8



1 2 3

# Merge Sort - Merge

1 19 51 100



2 3 4 8



1 2 3 4

# Merge Sort - Merge

1 19 51 100



2 3 4 8



When a pointer reaches the end of a list,  
copy the rest of the other list to the result

1 2 3 4 8

# Merge Sort - Merge

**1   19   51   100**



**2   3   4   8**



**When a pointer reaches the end of a list,  
copy the rest of the other list to the result**

**1   2   3   4   8   19   51   100**

# Merge Sort - Merge

```
def merge[T](left: List[T], right: List[T], comparator: (T, T) => Boolean): List[T] = {  
  var leftPointer = 0  
  var rightPointer = 0  
  
  var sortedList: List[T] = List()  
  
  while (leftPointer < left.length && rightPointer < right.length) {  
    if (comparator(left.apply(leftPointer), right.apply(rightPointer))) {  
      sortedList = sortedList :+ left.apply(leftPointer)  
      leftPointer += 1  
    } else {  
      sortedList = sortedList :+ right.apply(rightPointer)  
      rightPointer += 1  
    }  
  }  
  
  while (leftPointer < left.length) {  
    sortedList = sortedList :+ left.apply(leftPointer)  
    leftPointer += 1  
  }  
  while (rightPointer < right.length) {  
    sortedList = sortedList :+ right.apply(rightPointer)  
    rightPointer += 1  
  }  
  
  sortedList  
}
```

**Use the comparator to make ordering decisions**

# Lecture Question

## **Task: Think about those recursive calls**

- Recursion take a long time to get used to. Take some time to get comfortable with those recursive calls in merge sort. This question is free, but there's a lot of recursion coming up in the next few weeks. For today, get used to reading a recursive function/method so will be able to write them when the time comes

\* This question will be open until midnight