

# Computer Security: Principles and Practice

## Chapter 19 – Legal and Ethical Aspects

First Edition

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# Legal and Ethical Aspects

- touch on a few topics including:
  - cybercrime and computer crime
  - intellectual property issues
  - privacy
  - ethical issues

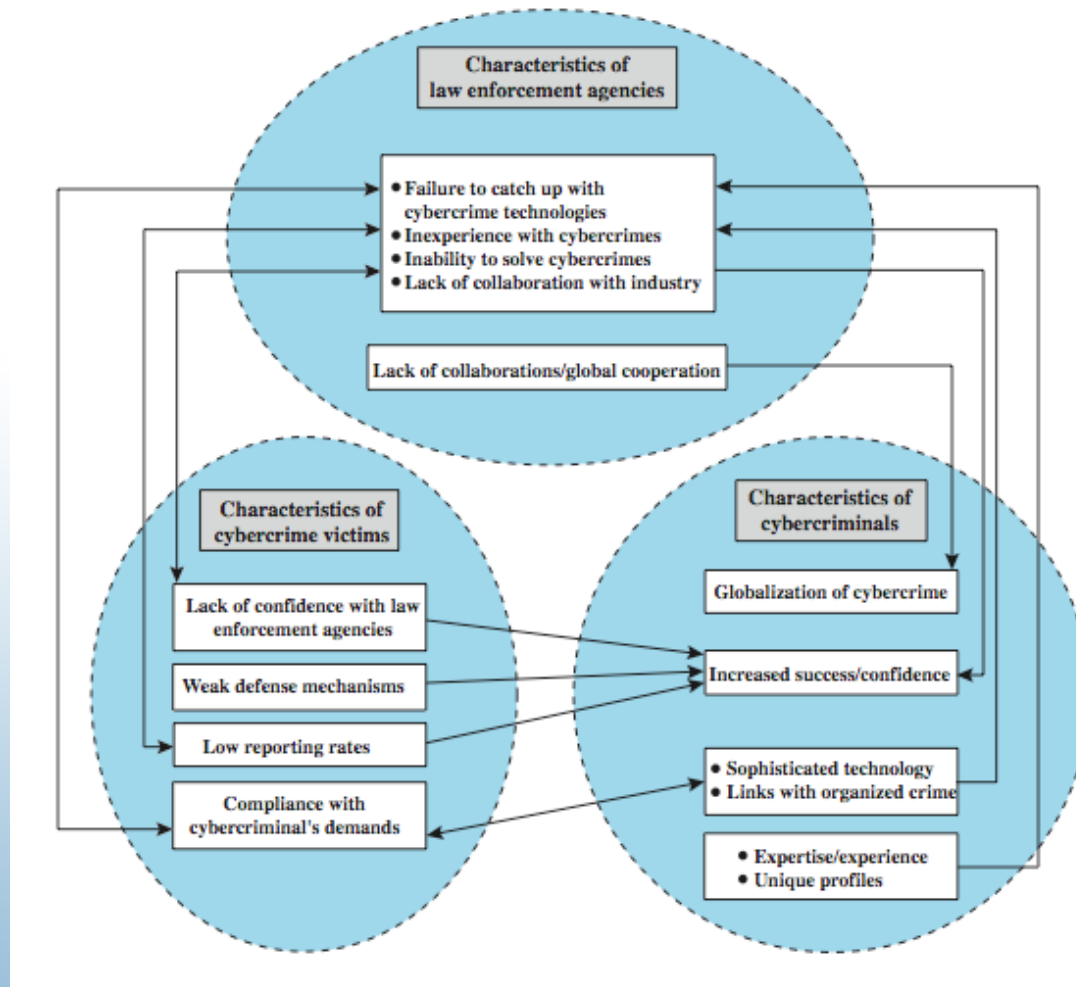


# Cybercrime / Computer Crime

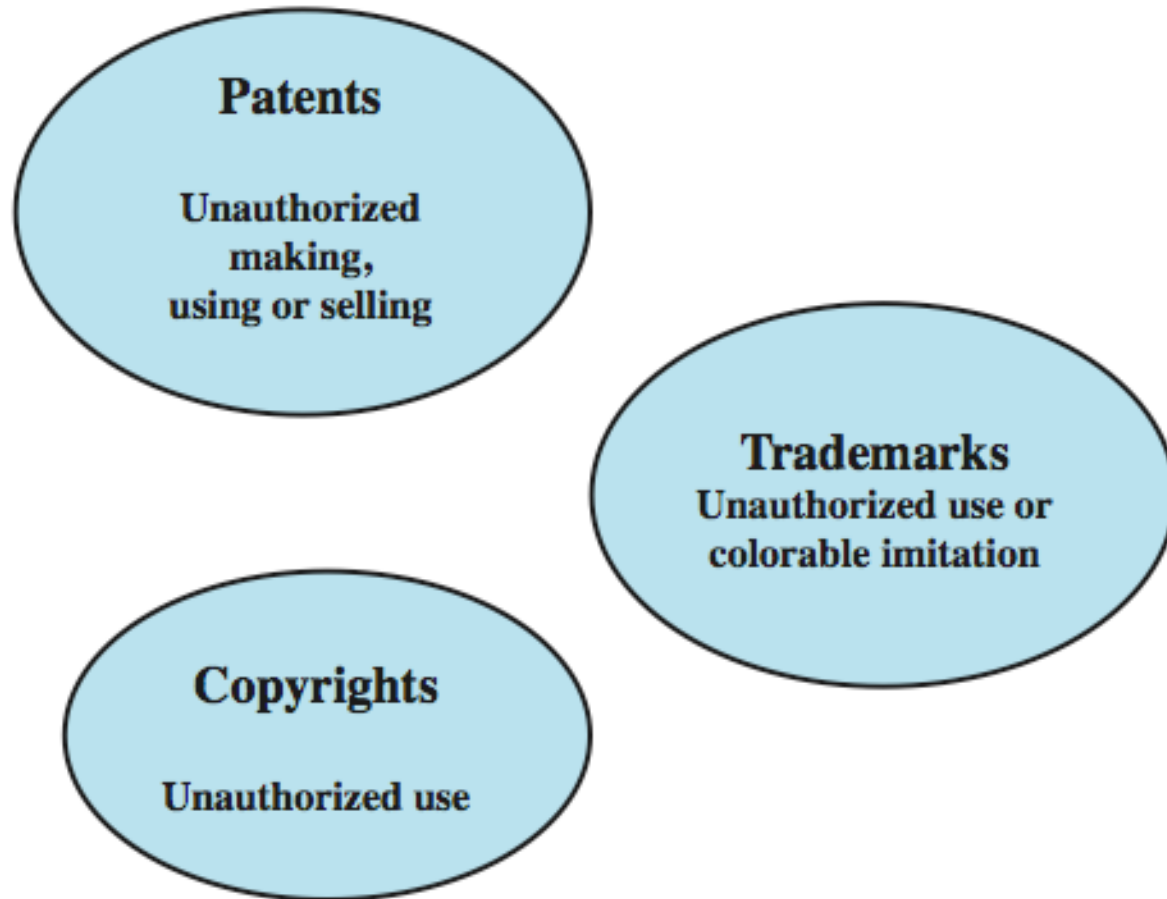
- “criminal activity in which computers or computer networks are a tool, a target, or a place of criminal activity”
- categorize based on computer’s role:
  - as target
  - as storage device
  - as communications tool
- more comprehensive categorization seen in Cybercrime Convention, Computer Crime Surveys



# Law Enforcement Challenges



# Intellectual Property



# Copyright

- protects tangible or fixed expression of an idea but not the idea itself
- is automatically assigned when created
- may need to be registered in some countries
- exists when:
  - proposed work is original
  - creator has put original idea in concrete form
  - e.g. literary works, musical works, dramatic works, pantomimes and choreographic works, pictorial, graphic, and sculptural works, motion pictures and other audiovisual works, sound recordings, architectural works, software-related works.



# Copyright Rights

- copyright owner has these exclusive rights, protected against infringement:
  - reproduction right
  - modification right
  - distribution right
  - public-performance right
  - public-display right





# Patents

- grant a property right to the inventor
  - to exclude others from making, using, offering for sale, or selling the invention
- types:
  - utility - any new and useful process, machine, article of manufacture, or composition of matter
  - design - new, original, and ornamental design for an article of manufacture
  - plant - discovers and asexually reproduces any distinct and new variety of plant

➤ e.g. RSA public-key cryptosystem patent





# Trademarks

- a word, name, symbol, or device
  - used in trade with goods
  - indicate source of goods
  - to distinguish them from goods of others
- trademark rights may be used to:
  - prevent others from using a confusingly similar mark
  - but not to prevent others from making the same goods or from selling the same goods or services under a clearly different mark



# Intellectual Property Issues and Computer Security

- software programs
  - protect using copyright, perhaps patent
- database content and arrangement
  - protect using copyright
- digital content audio / video / media / web
  - protect using copyright
- algorithms
  - may be able to protect by patenting



# U.S. Digital Millennium Copyright ACT (DMCA)

- implements WIPO treaties to strengthens protections of digital copyrighted materials
- encourages copyright owners to use technological measures to protect their copyrighted works, including:
  - measures that prevent access to the work
  - measures that prevent copying of the work
- prohibits attempts to bypass the measures
  - have both criminal and civil penalties for this



# DMCA Exemptions

- certain actions are exempted from the DMCA provisions:
  - fair use
  - reverse engineering
  - encryption research
  - security testing
  - personal privacy
- considerable concern exists that DMCA inhibits legitimate security/crypto research

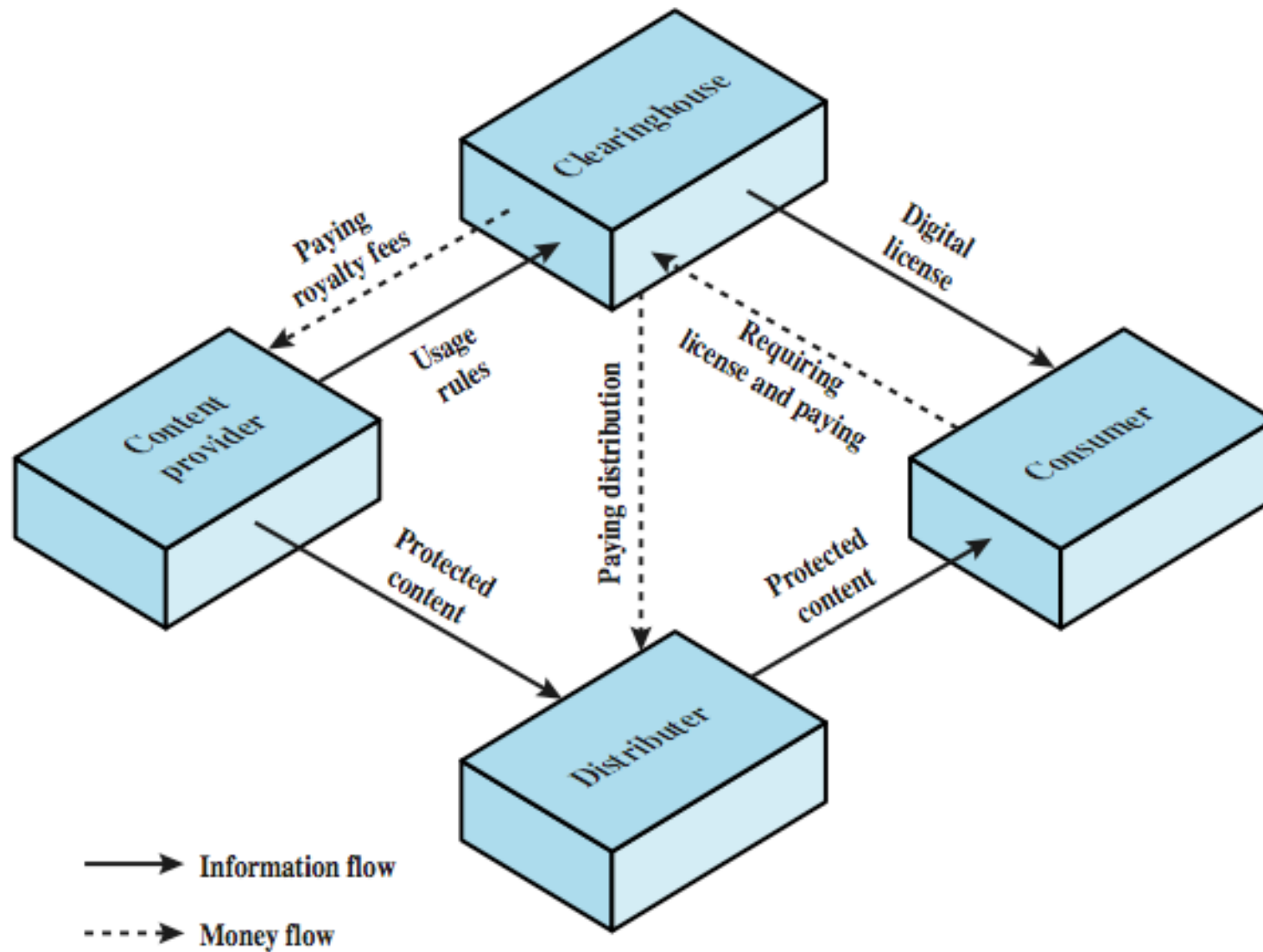


# Digital Rights Management (DRM)

- systems and procedures ensuring digital rights holders are clearly identified and receive stipulated payment for their works
  - may impose further restrictions on their use
- no single DRM standard or architecture
- goal often to provide mechanisms for the complete content management lifecycle
- provide persistent content protection for a variety of digital content types / platforms / media

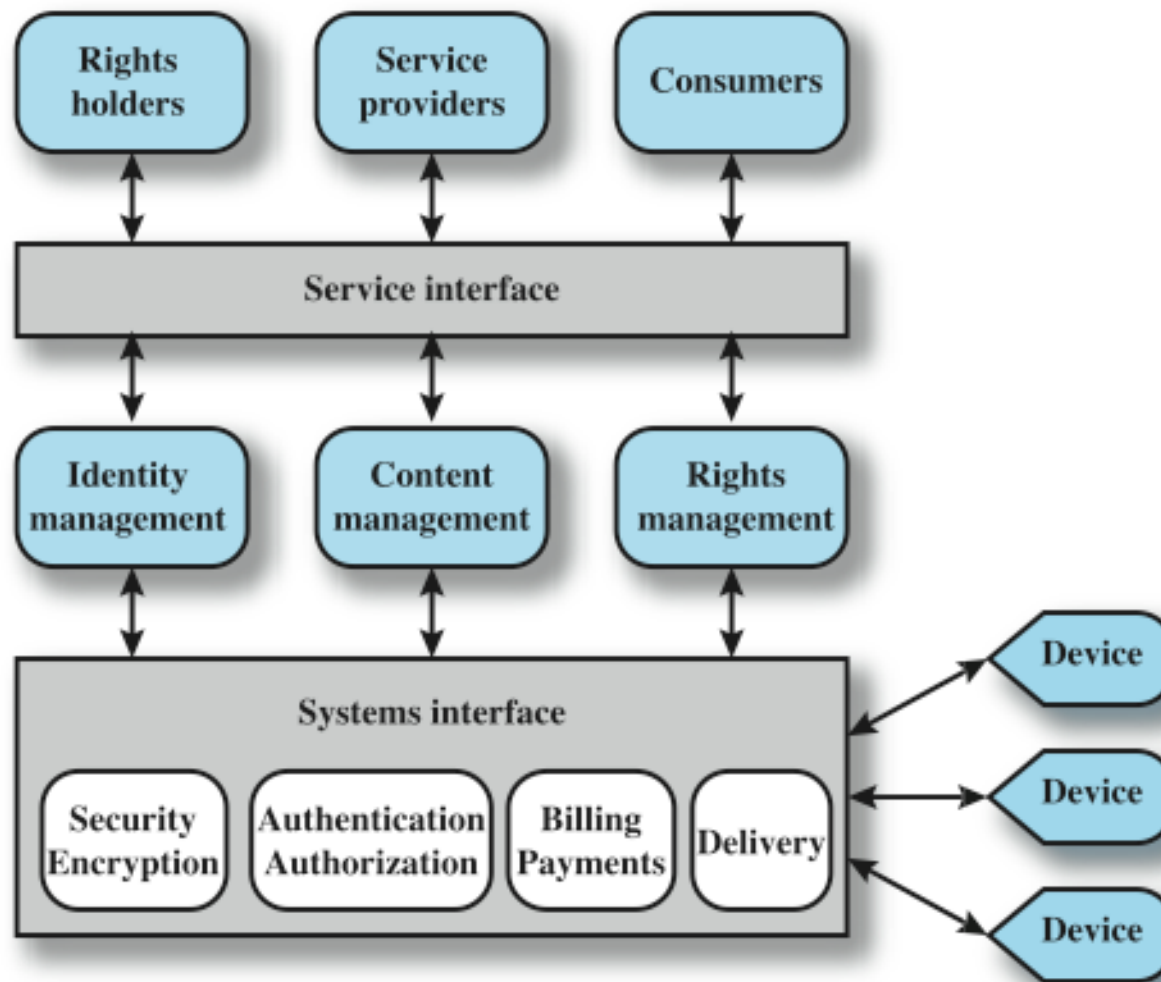


# DRM Components





# DRM System Architecture





# Privacy

- overlaps with computer security
- have dramatic increase in scale of info collected and stored
  - motivated by law enforcement, national security, economic incentives
- but individuals increasingly aware of access and use of personal / private info
- concerns on extent of privacy compromise have seen a range of responses



# EU Privacy Law

- European Union Data Protection Directive was adopted in 1998 to:
  - ensure member states protect fundamental privacy rights when processing personal info
  - prevent member states from restricting the free flow of personal info within EU
- organized around principles of:
  - notice, consent, consistency, access, security, onward transfer, enforcement



# US Privacy Law

- have Privacy Act of 1974 which:
  - permits individuals to determine records kept
  - permits individuals to forbid records being used for other purposes
  - permits individuals to obtain access to records
  - ensures agencies properly collect, maintain, and use personal info
  - creates a private right of action for individuals
- also have a range of other privacy laws

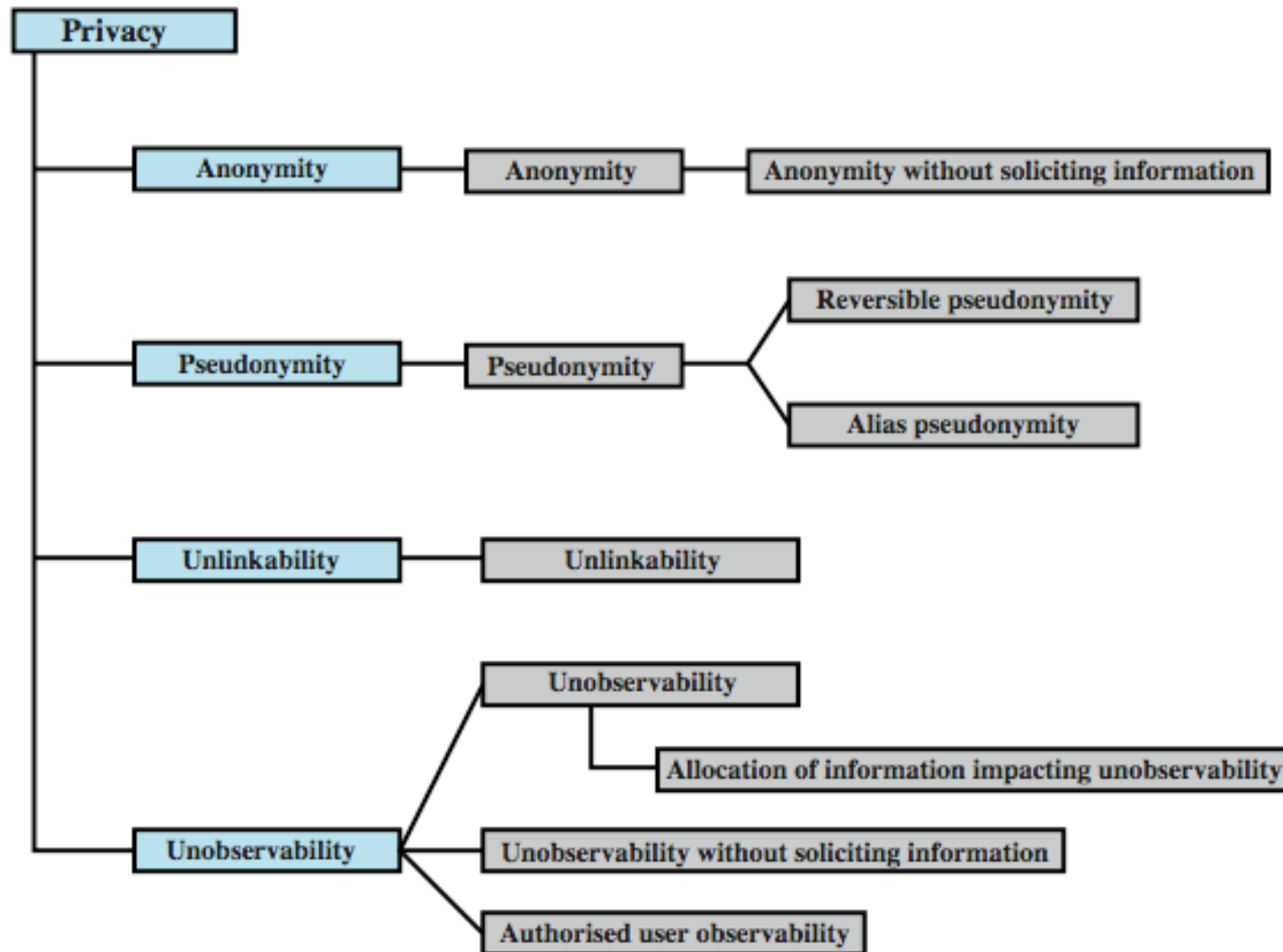


# Organizational Response

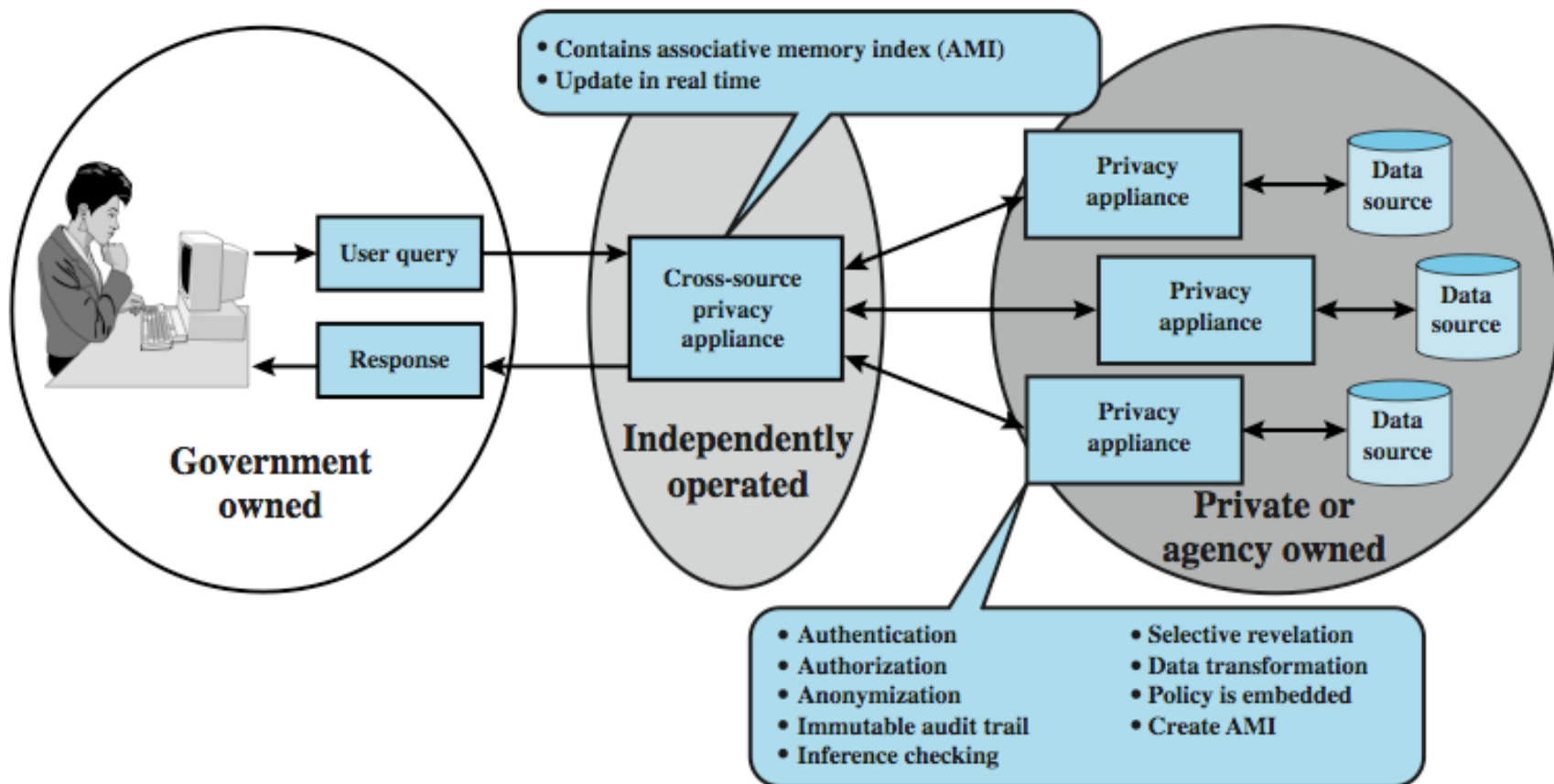
- “An organizational data protection and privacy policy should be developed and implemented. This policy should be communicated to all persons involved in the processing of personal information. Compliance with this policy and all relevant data protection legislation and regulations requires appropriate management structure and control. Often this is best achieved by the appointment of a person responsible, such as a data protection officer, who should provide guidance to managers, users, and service providers on their individual responsibilities and the specific procedures that should be followed. Responsibility for handling personal information and ensuring awareness of the data protection principles should be dealt with in accordance with relevant legislation and regulations. Appropriate technical and organizational measures to protect personal information should be implemented.”



# Common Criteria Privacy Class



# Privacy and Data Surveillance





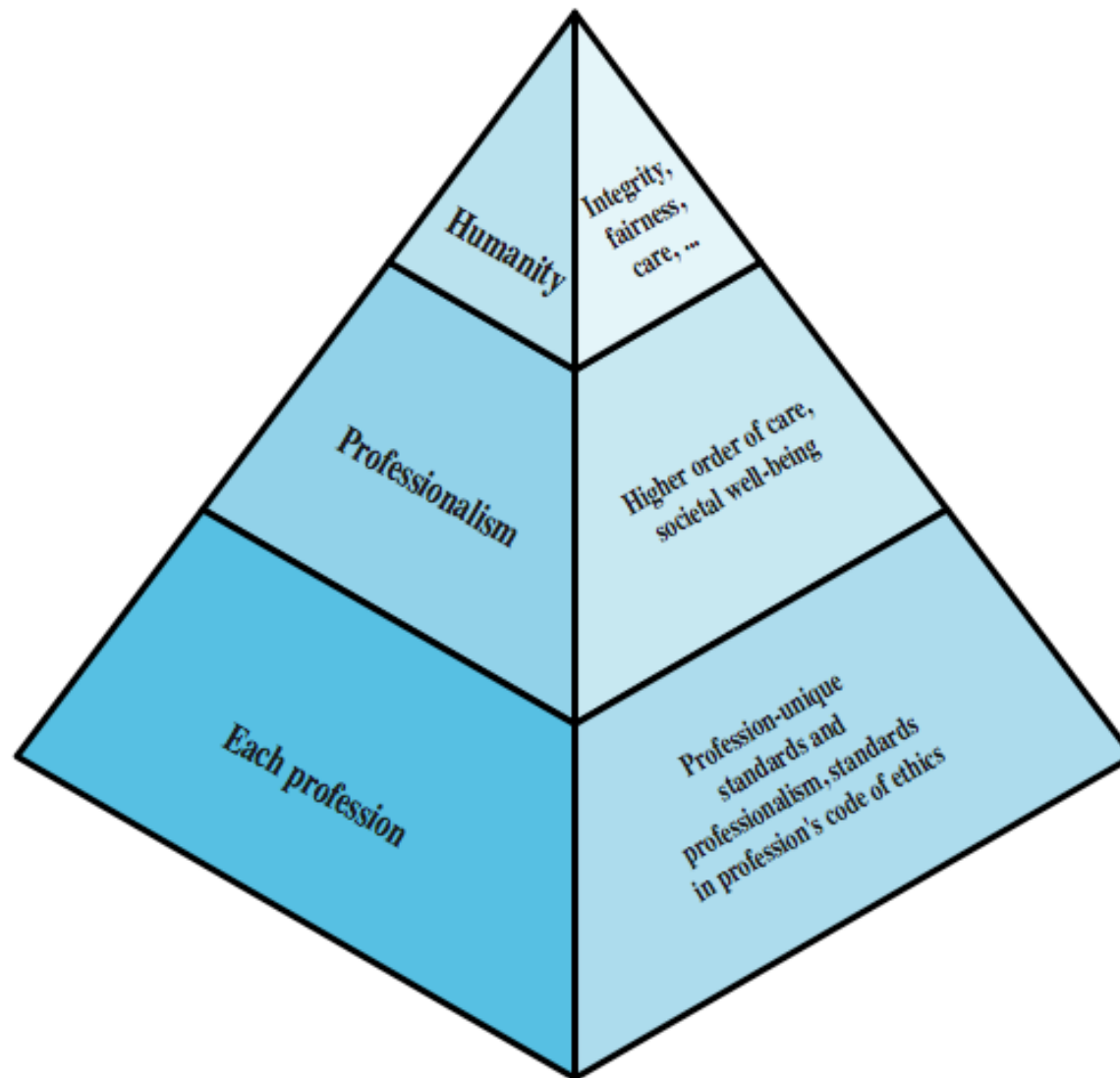
# Ethical Issues

- have many potential misuses / abuses of information and electronic communication that create privacy and security problems
- ethics:
  - a system of moral principles relating benefits and harms of particular actions to rightness and wrongness of motives and ends of them
- ethical behavior here not unique
- but do have some unique considerations
  - in scale of activities, in new types of entities





# Ethical Hierarchy



# Ethical Issues Related to Computers and Info Systems

- some ethical issues from computer use:
  - repositories and processors of information
  - producers of new forms and types of assets
  - instruments of acts
  - symbols of intimidation and deception
- those who understand / exploit technology, and have access permission, have power over these
- issue is balancing professional responsibilities with ethical or moral responsibilities



# Ethical Question Examples

## ➤ whistle-blower

- when professional ethical duty conflicts with loyalty to employer
- e.g. inadequately tested software product
- organizations and professional societies should provide alternative mechanisms

## ➤ potential conflict of interest

- e.g. consultant has financial interest in vendor which should be revealed to client



# Codes of Conduct

- ethics not precise laws or sets of facts
- many areas may present ethical ambiguity
- many professional societies have ethical codes of conduct which can:
  1. be a positive stimulus and instill confidence
  2. be educational
  3. provide a measure of support
  4. be a means of deterrence and discipline
  5. enhance the profession's public image



# Codes of Conduct

- see ACM, IEEE and AITP codes
- place emphasis on responsibility other people
- have some common themes:
  1. dignity and worth of other people
  2. personal integrity and honesty
  3. responsibility for work
  4. confidentiality of information
  5. public safety, health, and welfare
  6. participation in professional societies to improve standards of the profession
  7. the notion that public knowledge and access to technology is equivalent to social power



# Summary

- reviewed a range of topics:
  - cybercrime and computer crime
  - intellectual property issues
  - privacy
  - ethical issues

