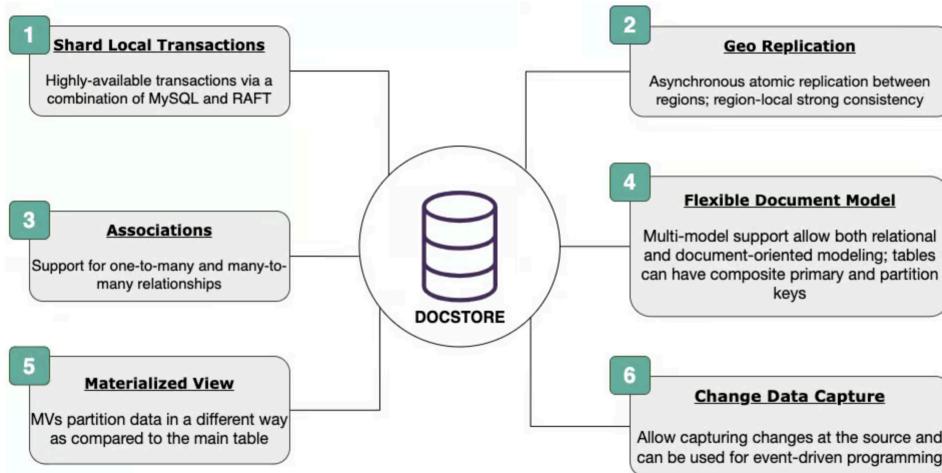


Engineering

Evolving Schemaless into a Distributed SQL Database

February 23, 2021 / Global



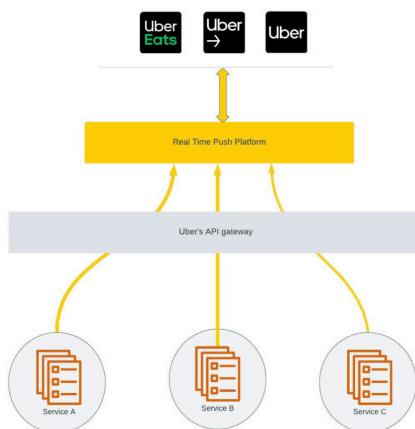
- Flexible schema, not no schema!
- Centralizing the complexity of consistency
- Operational reality may not be “beautiful”

<https://www.uber.com/blog/schemaless-sql-database/>

Engineering, Backend, Mobile

Uber's Next Gen Push Platform on gRPC

August 16, 2022 / Global



In our last blog post we talked about how we went from polling for refreshing the app to a push-based flow to build our app experience.

- Not request/response but streaming
- From REST-like to RPC-like
- Transport is as important as anything else
- Don't reinvent the wheel

Protocol Buffers

- API Schema definition language
- Provides libraries for convenience
- Comparable to JSON and XML
 - But better!
- Binary on the wire

```
edition = "2023";  
  
package tutorial;  
  
message Person {  
    string name = 1;  
    int32 id = 2;  
    string email = 3;  
  
enum PhoneType {  
    PHONE_TYPE_UNSPECIFIED  
= 0;  
    PHONE_TYPE_MOBILE = 1;  
    PHONE_TYPE_HOME = 2;  
    PHONE_TYPE_WORK = 3;  
}  
  
message PhoneNumber {  
    string number = 1;  
    PhoneType type = 2;  
}  
  
repeated PhoneNumber  
phones = 4;  
}  
  
message AddressBook {  
    repeated Person people =  
1;  
}
```

Protocol Buffers

- API Schema definition language
- Provides libraries for convenience
- Comparable to JSON and XML
 - But better!
- Binary on the wire

JSON vs. Protobuf

- Example person record in JSON (105 bytes minified)

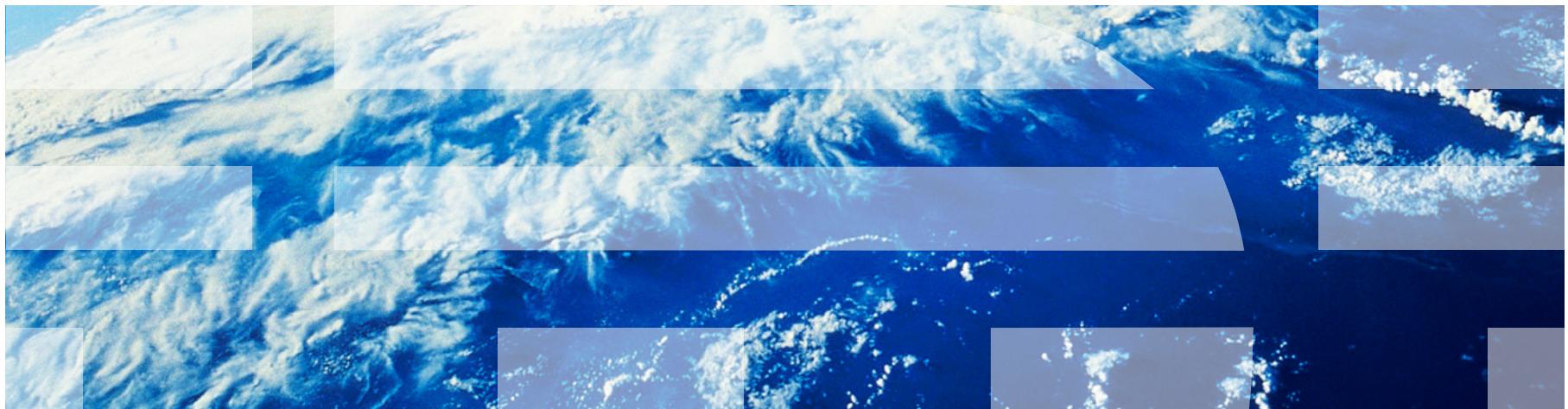
```
{"name": "Alice Smith", "id": 12345, "email": "alice@example.com", "phones":  
[{"number": "555-1234", "type": 1}, {"number": "555-5678", "type": 2}]}  
0a 0b 41 6c 69 63 65 20 53 6d 69 74 68 10 b9 60 1a 11 61 6c 69 63 65 ...
```

- Same record in Protobuf (45 bytes)

- Why Is Protobuf Smaller?

	JSON	Protobuf
Field names	Repeated as strings ("name", "id")	Integer tags (1, 2, 3...)
Integers	ASCII digits (12345 = 5 bytes)	Varint encoding (2 bytes)
Schema required	No (self-describing)	Yes
Human readable	Yes	No

Single Table Query



SQL Query

Basic form (there are many many more bells and whistles)

SELECT <attributes>

FROM <one or more relations>

WHERE <conditions>

Call this a **SFW** query.

Simple SQL Query: Selection

Selection is the operation of filtering a relation's tuples on some condition

PName	Price	Category	Manuf
Gizmo	\$19.99	Gadgets	GWorks
Powergizmo	\$29.99	Gadgets	GWorks
SingleTouch	\$149.99	Photography	Canon
MultiTouch	\$203.99	Household	Hitachi

```
SELECT *
```

```
FROM Product
```

```
WHERE Category = 'Gadgets'
```

Simple SQL Query: Selection

Selection is the operation of filtering a relation's tuples on some condition

```
SELECT *
FROM Product
WHERE Category = 'Gadgets'
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PName	Price	Category	Manuf
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PName	Price	Category	Manuf
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Simple SQL Query: Projection

Projection is the operation of producing an output table with tuples that have a subset of their prior attributes

PName	Price	Category	Manuf
Gizmo	\$19.99	Gadgets	GWorks
Powergizmo	\$29.99	Gadgets	GWorks
SingleTouch	\$149.99	Photography	Canon
MultiTouch	\$203.99	Household	Hitachi

```
SELECT Pname, Price, Manufacturer
```

```
FROM Product
```

```
WHERE Category = 'Gadgets'
```

Simple SQL Query: Projection

Projection is the operation of producing an output table with tuples that have a subset of their prior attributes

```
SELECT Pname, Price, Manufacturer  
FROM Product  
WHERE Category = 'Gadgets'
```

PName	Price	Category	Manuf
Gizmo	\$19.99	Gadgets	GWorks
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PName	Price	Manuf
Gizmo	\$19.99	GWorks
Powergizmo	\$29.99	GWorks

Notation

Input Schema

Product(PName, Price, Category, Manufacturer)

```
SELECT Pname, Price, Manufacturer  
FROM Product  
WHERE Category = 'Gadgets'
```

Notation

Input Schema

Product(PName, Price, Category, Manufacturer)

```
SELECT Pname, Price, Manufacturer  
FROM Product  
WHERE Category = 'Gadgets'
```



Output Schema

Answer(PName, Price, Manfacturer)

A Few Details

- **SQL commands** are case insensitive:

Same: SELECT, Select, select

Same: Product, product

A Few Details

- **SQL commands** are case insensitive:
Same: SELECT, Select, select
Same: Product, product
- **Values** are not:
Different: ‘Seattle’, ‘seattle’

A Few Details

- **SQL commands** are case insensitive:
Same: SELECT, Select, select
Same: Product, product
- **Values** are **not**:
Different: ‘Seattle’, ‘seattle’
- Use single quotes for constants:
‘abc’ - yes
“abc” - no

LIKE: Simple String Pattern Matching

```
SELECT *
FROM Products
WHERE PName LIKE '%gizmo%'
```

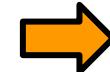
LIKE: Simple String Pattern Matching

```
SELECT *
FROM Products
WHERE PName LIKE '%gizmo%'
```

- s **LIKE** p: pattern matching on strings
- p may contain two special symbols:
 - % = any sequence of characters
 - _ = any single character

DISTINCT: Eliminating Duplicates

```
SELECT DISTINCT Category  
FROM Product
```



Category

Gadgets

Photography

Household

Versus

```
SELECT Category  
FROM Product
```



Category

Gadgets

Gadgets

Photography

Household

ORDER BY: Sorting the Results

ORDER BY: Sorting the Results

```
SELECT      PName, Price, Manufacturer  
FROM        Product  
WHERE       Category='gizmo' AND Price > 50  
ORDER BY    Price, PName
```

ORDER BY: Sorting the Results

```
SELECT      PName, Price, Manufacturer  
FROM        Product  
WHERE       Category='gizmo' AND Price > 50  
ORDER BY    Price, PName
```

Ties are broken by the second attribute on the ORDER BY list, etc.

ORDER BY: Sorting the Results

```
SELECT      PName, Price, Manufacturer  
FROM        Product  
WHERE       Category='gizmo' AND Price > 50  
ORDER BY    Price, PName
```

Ties are broken by the second attribute on the ORDER BY list, etc.

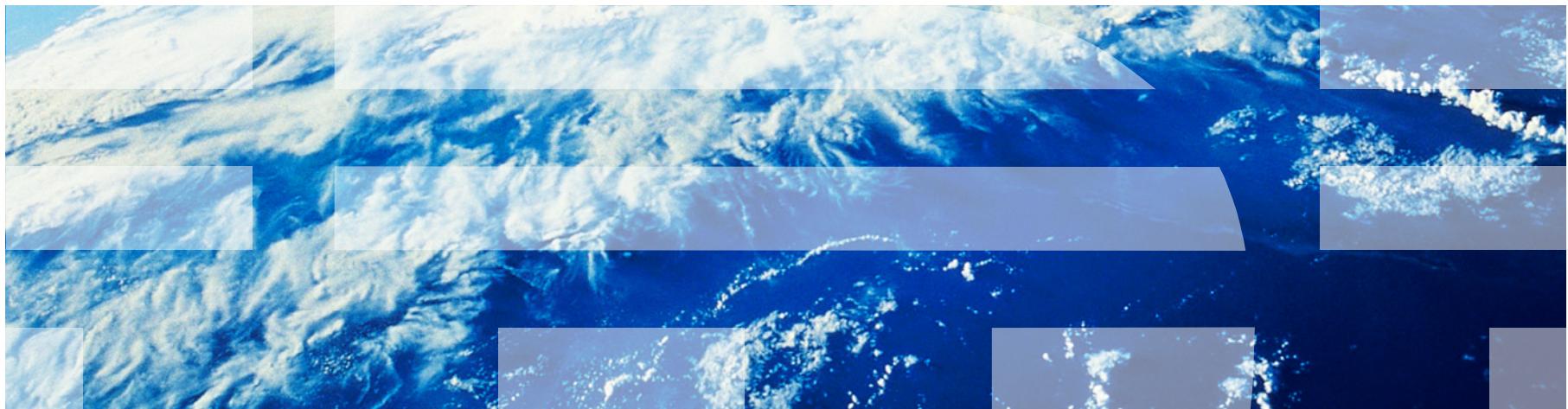
Ordering is ascending, unless you specify the DESC keyword.

LIMIT

LIMIT

```
SELECT      PName, Price, Manufacturer  
FROM        Product  
WHERE       Category='gizmo' AND Price > 50  
ORDER BY    Price, PName  
LIMIT       5
```

Multi-Table Query



Foreign Key constraints

- Suppose we have the following schema :

```
Students(cuid: string, name: string, gpa: float)
```

```
Enrolled(student_id: string, cid: string, grade: string)
```

Foreign Key constraints

- Suppose we have the following schema :

```
Students(cuid: string, name: string, gpa: float)
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- And we want to impose the following constraint:

Only bona fide students may enroll in courses' i.e. a student must appear in the Students table to enroll in a class

Foreign Key constraints

- Suppose we have the following schema :

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Students(cuid: string, name: string, gpa: float)  
Enrolled(student_id: string, cid: string, grade: string)
```

- And we want to impose the following constraint:
Only bona fide students may enroll in courses' i.e. a student must appear in the Students table to enroll in a class

Students		
cuid	name	gpa
102	Bob	3.9
123	Mary	3.8

Enrolled		
student_id	cid	grade
102	CS1	A
123	CS4	A+

Foreign Key constraints

- Suppose we have the following schema :

```
Students(cuid: string, name: string, gpa: float)  
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Enrolled		
student_id	cid	grade
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We say that cuid is a foreign key that refers to Students

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Students		
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102	Bob	3.9
123	Mary	3.8

Enrolled		
student_id	cid	grade
102	CS1	A
123	CS4	A+

We say that cuid is a foreign key that refers to Students

Declaring Foreign Keys

```
Students(cuid: string, name: string, gpa: float)
Enrolled(student_id: string, cid: string, grade: string)
```

```
CREATE TABLE Enrolled (
    student_id CHAR(20),
    cid CHAR(20),
    grade CHAR(10),
    PRIMARY KEY (student_id, cid),
    FOREIGN KEY (student_id) REFERENCES Students(cuid)
)
```

Foreign Keys and update operations

```
Students(cuid: string, name: string, gpa: float)
```

```
Enrolled(student_id: string, cid: string, grade: string)
```

Foreign Keys and update operations

```
Students(cuid: string, name: string, gpa: float)
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Enrolled(student_id: string, cid: string, grade: string)
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- What if we insert a tuple into Enrolled, but no corresponding student?
INSERT is rejected (foreign keys are constraints)!

Foreign Keys and update operations

```
Students(cuid: string, name: string, gpa: float)
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- What if we insert a tuple into Enrolled, but no corresponding student?
INSERT is rejected (foreign keys are constraints)!
- What if we delete a student?
 - 1.Disallow the delete
 - 2.Remove all of the courses for that student
 - 3.SQL allows a *third via NULL*

Foreign Keys and update operations

`Students(cuid: string, name: string, gpa: float)`

`Enrolled(student_id: string, cid: string, grade: string)`

- What if we insert a tuple into Enrolled, but no corresponding student?
INSERT is rejected (foreign keys are constraints)!
- What if we delete a student?
 - 1.Disallow the delete
 - 2.Remove all of the courses for that student
 - 3.SQL allows a *third via NULL*

DBA chooses

Keys and Foreign Keys

Keys and Foreign Keys

Company

<u>CName</u>	StockPrice	Country
GizmoWorks	25	USA
Canon	65	Japan
Hitachi	15	Japan

Keys and Foreign Keys

Company

<u>CName</u>	StockPrice	Country
GizmoWorks	25	USA
Canon	65	Japan
Hitachi	15	Japan

Product

<u>PName</u>	Price	Category	Manufacturer
Gizmo	\$19.99	Gadgets	GizmoWorks
Powergizmo	\$29.99	Gadgets	GizmoWorks
SingleTouch	\$149.99	Photography	Canon
MultiTouch	\$203.99	Household	Hitachi

Keys and Foreign Keys

Company

<u>CName</u>	StockPrice	Country
GizmoWorks	25	USA
Canon	65	Japan
Hitachi	15	Japan

What is a foreign key vs. a key here?

Product

<u>PName</u>	Price	Category	Manufacturer
Gizmo	\$19.99	Gadgets	GizmoWorks
Powergizmo	\$29.99	Gadgets	GizmoWorks
SingleTouch	\$149.99	Photography	Canon
MultiTouch	\$203.99	Household	Hitachi

At GitHub we do not use foreign keys, ever, anywhere.

Personally, it took me quite a few years to make up my mind about whether foreign keys are good or evil, and for the past 3 years I'm in the unchanging strong opinion that foreign keys should not be used. Main reasons are:

- FKs are in your way to shard your database. Your app is accustomed to rely on FK to maintain integrity, instead of doing it on its own. It may even rely on FK to cascade deletes (shudder). When eventually you want to shard or extract data out, you need to change & test the app to an unknown extent.
- FKs are a performance impact. The fact they require indexes is likely fine, since those indexes are needed anyhow. But the lookup made for each `insert / delete` is an overhead.
- FKs don't work well with online schema migrations.

This last bullet is not a chicken and an egg, as you might think. FKs impose a lot of constraints on what's possible and what's not possible.

Here's an old post of mine, reviewing the first appearance of Facebook's OSC, and which includes some thoughts on foreign keys: <http://code.openark.org/blog/mysql/mk-schema-change-check-out-ideas-from-oak-online-alter-table>

Let's say you have two tables, P & C, standing for Parent & Child, respectively. There's a foreign key in C such that each row in C points to some "parent" value in P.

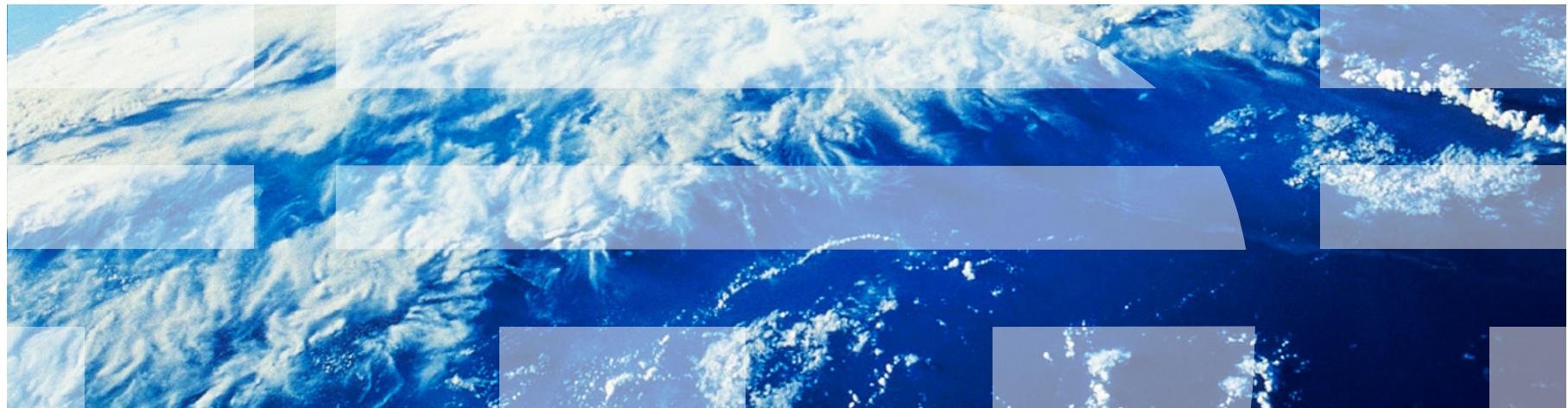
Doing schema migration of C is possible. However since foreign keys have unique names, the new (migrated) C table will have a FK with a different name than the original one.

Doing schema migration of P is just not going to work. Recall that `gh-ost` renames the table at the end. Alas, when renaming a table away, the FK will move with the renamed table. To create a parent-side FK on the *ghost* table, one would need to migrate C ; and because `gh-ost` uses async approach, P and P-ghost are never in complete sync at any point in time (except at lock time) which makes it impossible for C to have both a FK to P and to P-ghost. some integrity will be broken.

There's more discussion on the documentation of [pt-online-schema-change](#)

<https://github.com/github/gh-ost/issues/331>

JOINs and Aggregations



Trade off between table complexity and query complexity

```
Students(cuid: string, name: string, gpa: float)
```

```
Enrolled(student_id: string, cid: string, grade: string)
```

- What is the GPA of all students enrolled in CSEE 4121?

Trade off between table complexity and query complexity

```
Students(cuid: string, name: string, gpa: float)
```

```
Enrolled(student_id: string, cid: string, grade: string)
```

- What is the GPA of all students enrolled in CSEE 4121?
- A possible (cumbersome solution) → create a new franken-table
 - A single attribute for each possible class:

Trade off between table complexity and query complexity

```
Students(cuid: string, name: string, gpa: float)
```

```
Enrolled(student_id: string, cid: string, grade: string)
```

- What is the GPA of all students enrolled in CSEE 4121?
- A possible (cumbersome solution) → create a new franken-table
 - A single attribute for each possible class:

```
FrankenTable(student_id: string, grade_course1: string, grade_course2: string, ...)
```

- Hundreds of attributes, most columns are NULL

Joins

Product(PName, Price, Category, Manufacturer)

Company(CName, StockPrice, Country)

Ex: Find all products under \$200
manufactured in Japan; return
product names and prices.

Joins

```
Product(PName, Price, Category, Manufacturer)  
Company(CName, StockPrice, Country)
```

Ex: Find all products under \$200
manufactured in Japan; return
product names and prices.

```
SELECT PName, Price  
FROM Product, Company  
WHERE Manufacturer = CName  
      AND Country='Japan'  
      AND Price <= 200
```

Joins

```
Product(PName, Price, Category, Manufacturer)  
Company(CName, StockPrice, Country)
```

*Ex: Find all products under \$200
manufactured in Japan; return
product names and prices.*

```
SELECT PName, Price  
FROM Product, Company  
WHERE Manufacturer = CName  
      AND Country='Japan'  
      AND Price <= 200
```

Joins

```
Product(PName, Price, Category, Manufacturer)  
Company(CName, StockPrice, Country)
```

Ex: Find all products under \$200 manufactured in Japan; return product names and prices.

```
SELECT PName, Price  
FROM Product, Company  
WHERE Manufacturer = CName  
      AND Country='Japan'  
      AND Price <= 200
```

A **join** between tables returns all unique combinations of their tuples **which meet some specified join condition**

Joins

Product

PName	Price
Gizmo	\$19
Powergizmo	\$29
SingleTouch	\$149
MultiTouch	\$203

Company

PName	Category	Manufacturer	CName	Stock Price	Country
Gizmo	Gadgets	GizmoWorks			
Powergizmo	Gadgets	GizmoWorks	GizmoWorks	25	USA
SingleTouch	Photography	Canon	Canon	65	Japan
MultiTouch	Household	Hitachi	Hitachi	15	Japan

```
SELECT PName, Price  
FROM Product, Company  
WHERE Manufacturer = CName  
AND Country='Japan'  
AND Price <= 200
```

Joins

Product

PName	Price
Gizmo	\$19
Powergizmo	\$29
SingleTouch	\$149
MultiTouch	\$203

Company

PName	Price	Category	Manufacturer	CName	Stock Price	Country
Gizmo	\$19	Gadgets	GizmoWorks			
Powergizmo	\$29	Gadgets	GizmoWorks	GizmoWorks	25	USA
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```
SELECT PName, Price  
FROM Product, Company  
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```

Joins

Product

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Company

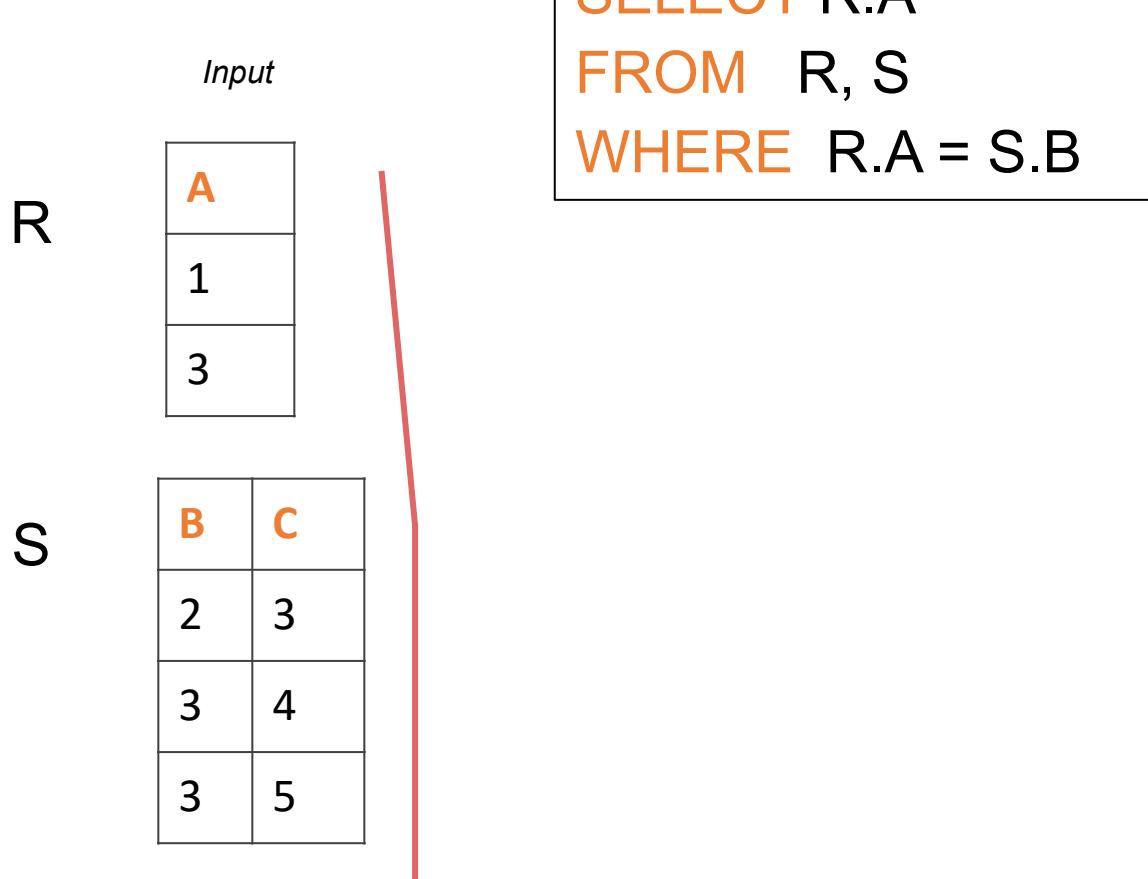
PName	Price	Category	Manufacturer	CName	Stock Price	Country
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```
SELECT PName, Price  
FROM Product, Company  
WHERE Manufacturer = CName  
AND Country='Japan'  
AND Price <= 200
```

PName Price

SingleTouch \$149

An example of SQL semantics



An example of SQL semantics

Input

R

A
1
3

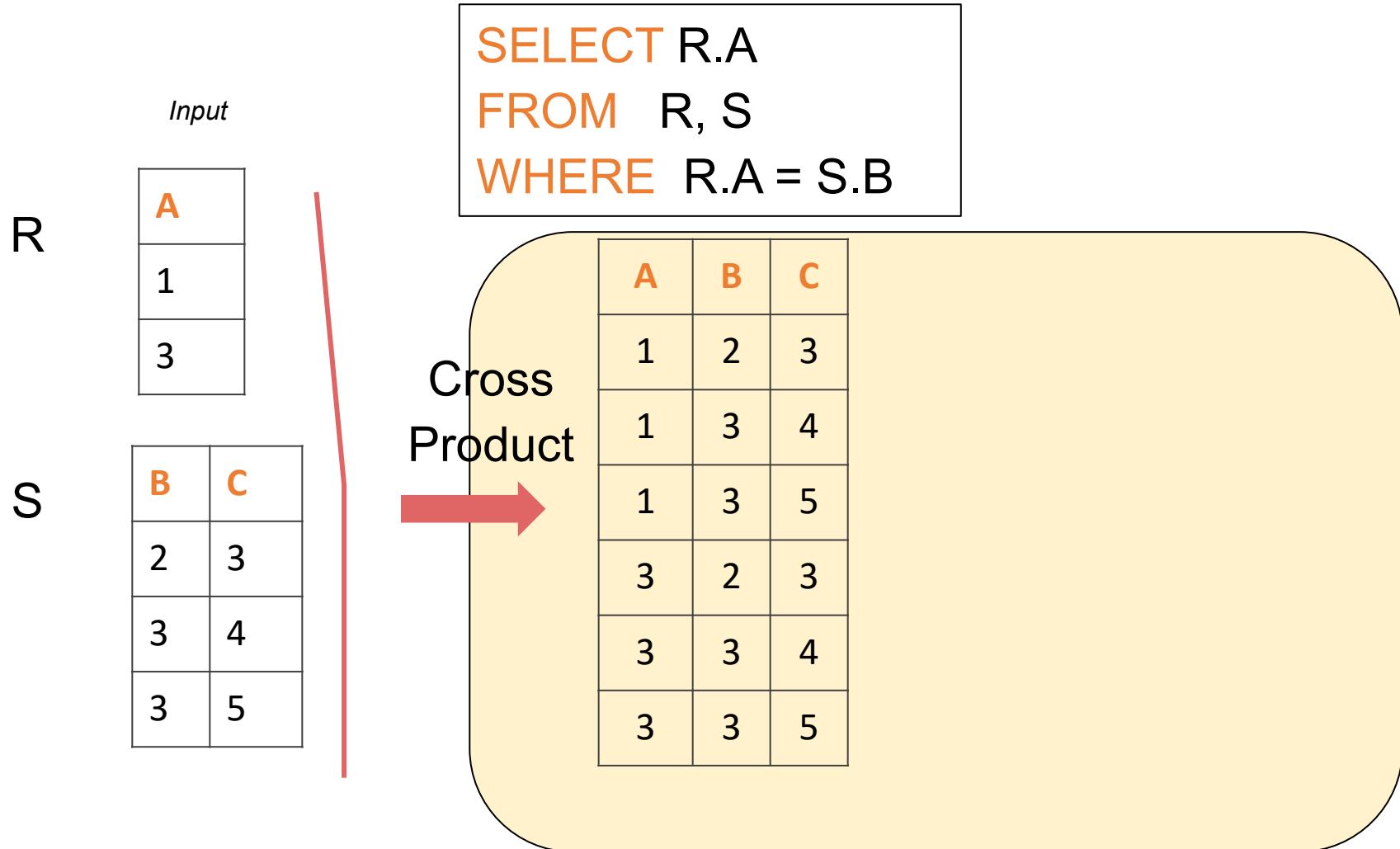
S

B	C
2	3
3	4
3	5

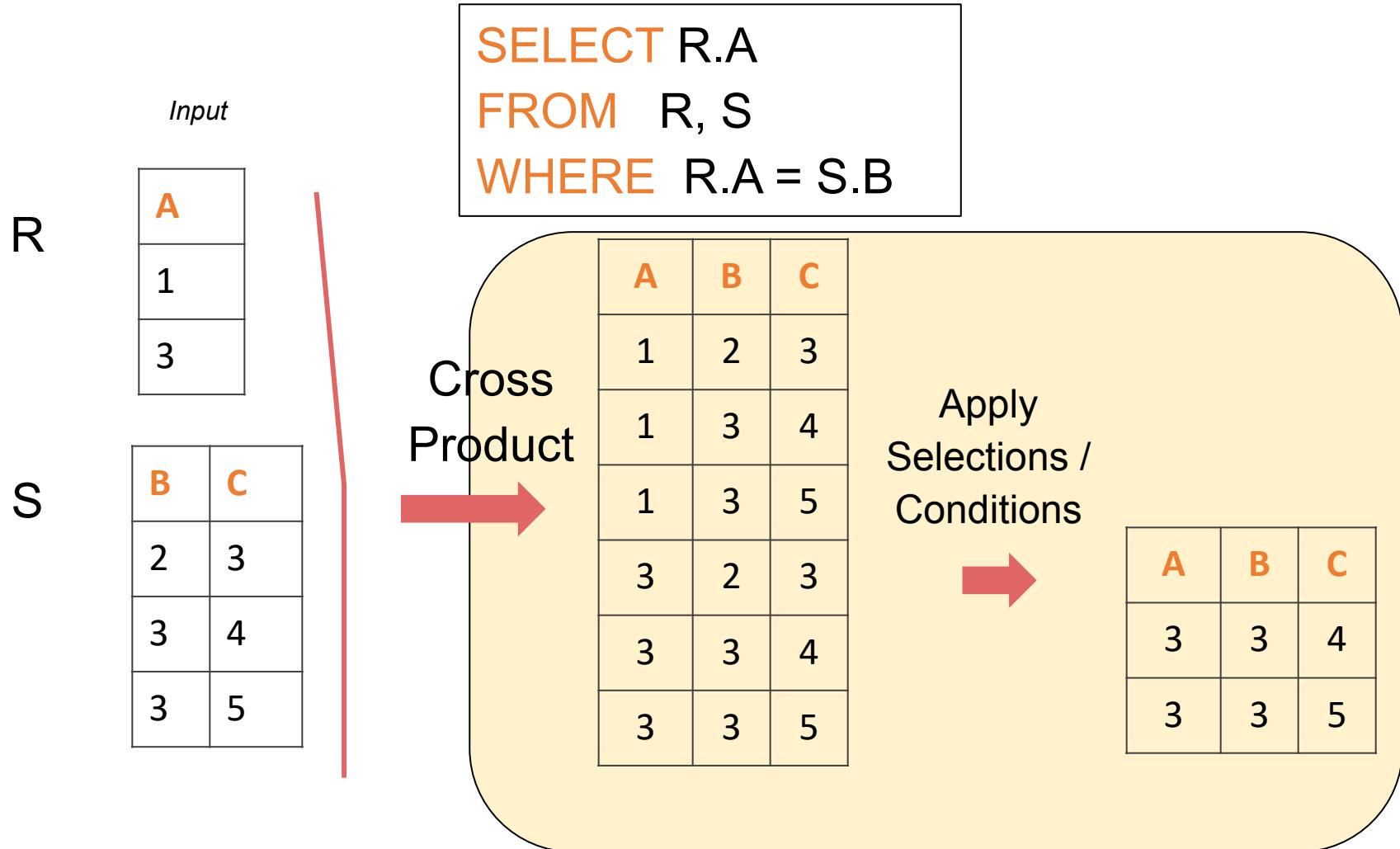
```
SELECT R.A  
FROM R, S  
WHERE R.A = S.B
```



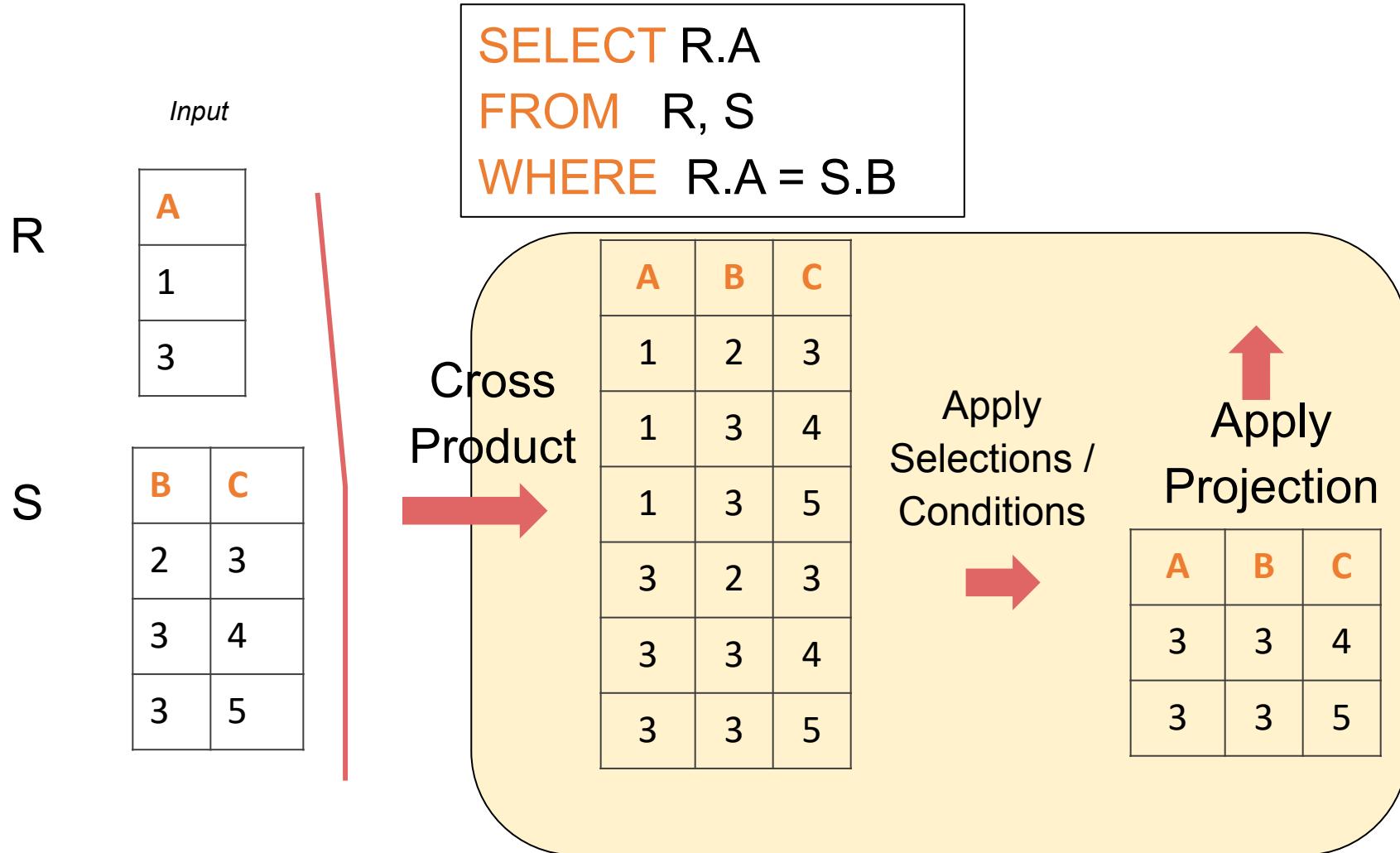
An example of SQL semantics



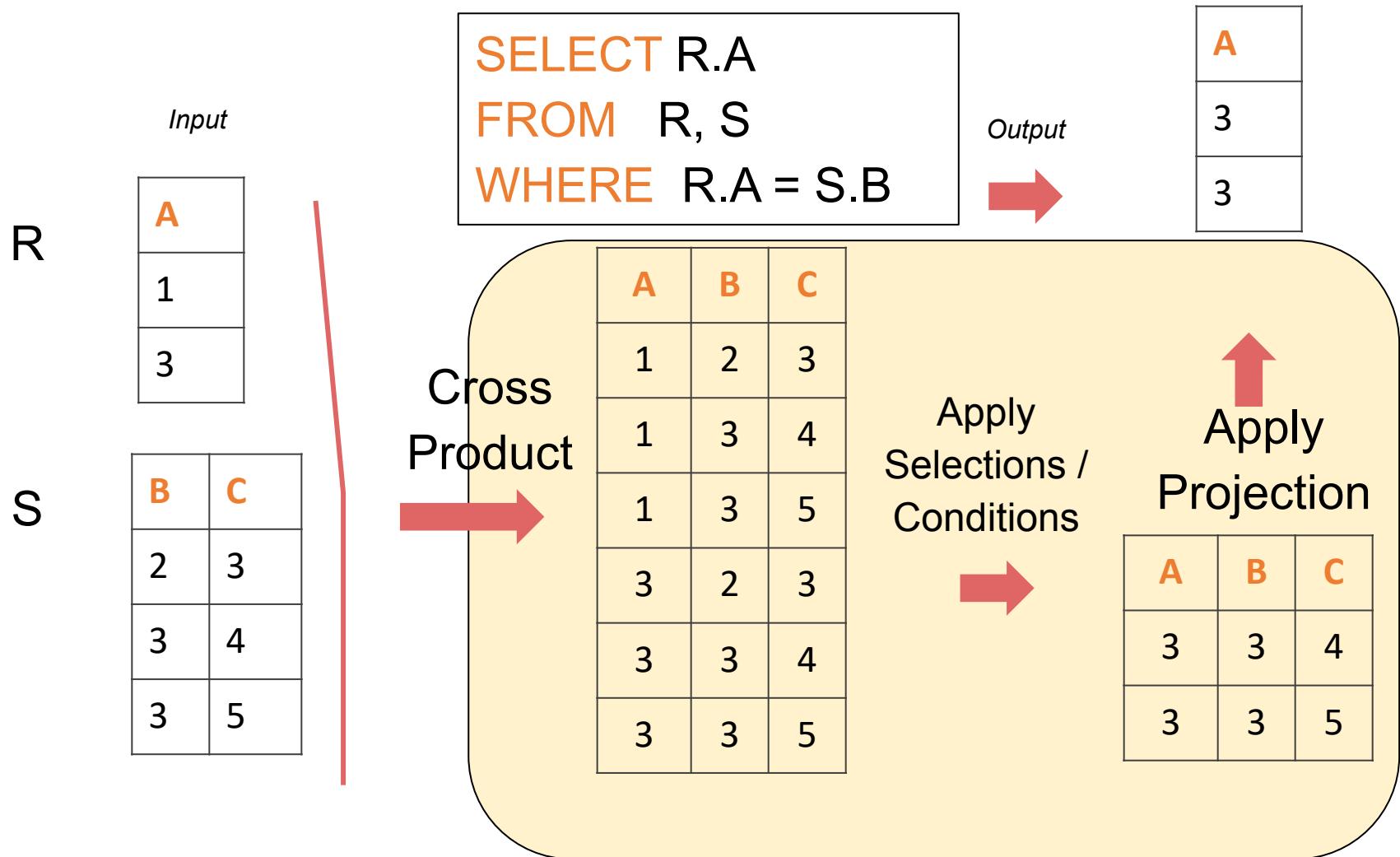
An example of SQL semantics



An example of SQL semantics



An example of SQL semantics



Note: this is how SQL logically works, not actually how it's implemented

- The preceding slide show *what a join means*
- Not actually how the database executes it under the covers

Aggregations

Product

<u>PName</u>	Price	Category	Manufacturer
Gizmo	\$10	Gadgets	GizmoWorks
Powergizmo	\$20	Gadgets	GizmoWorks
SingleTouch	\$10	Photography	Canon
MultiTouch	\$203	Household	Hitachi

Aggregations

Product

PName	Price	Category	Manufacturer
Gizmo	\$10	Gadgets	GizmoWorks
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SingleTouch	\$10	Photography	Canon
MultiTouch	\$203	Household	Hitachi

```
SELECT AVG(price)
FROM Product
WHERE Manufacturer = "GizmoWorks"
```

Aggregations

Product

PName	Price	Category	Manufacturer
Gizmo	\$10	Gadgets	GizmoWorks
Powergizmo	\$20	Gadgets	GizmoWorks
SingleTouch	\$10	Photography	Canon
MultiTouch	\$203	Household	Hitachi

```
SELECT AVG(price)
FROM Product
WHERE Manufacturer = "GizmoWorks"
```

Output: \$15

Aggregations

Product

PName	Price	Category	Manufacturer
Gizmo	\$10	Gadgets	GizmoWorks
Powergizmo	\$20	Gadgets	GizmoWorks
SingleTouch	\$10	Photography	Canon
MultiTouch	\$203	Household	Hitachi

```
SELECT AVG(price)  
FROM Product  
WHERE Manufacturer = "GizmoWorks"
```

```
SELECT COUNT(*)  
FROM Product  
WHERE Price > 15
```

Output: \$15

Aggregations

Product

PName	Price	Category	Manufacturer
Gizmo	\$10	Gadgets	GizmoWorks
Powergizmo	\$20	Gadgets	GizmoWorks
SingleTouch	\$10	Photography	Canon
MultiTouch	\$203	Household	Hitachi

```
SELECT AVG(price)  
FROM Product  
WHERE Manufacturer = "GizmoWorks"
```

Output: \$15

```
SELECT COUNT(*)  
FROM Product  
WHERE Price > 15
```

Output: 2

Aggregations

Product

PName	Price	Category	Manufacturer
Gizmo	\$10	Gadgets	GizmoWorks
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MultiTouch	\$203	Household	Hitachi

```
SELECT AVG(price)  
FROM Product  
WHERE Manufacturer = "GizmoWorks"
```

Output: \$15

```
SELECT COUNT(*)  
FROM Product  
WHERE Price > 15
```

Output: 2

- SQL supports several **aggregation** operations:
 - SUM, COUNT, MIN, MAX, AVG

Aggregations

Product

PName	Price	Category	Manufacturer
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```
SELECT AVG(price)  
FROM Product  
WHERE Manufacturer = "GizmoWorks"
```

Output: \$15

```
SELECT COUNT(*)  
FROM Product  
WHERE Price > 15
```

Output: 2

- SQL supports several **aggregation** operations:
 - SUM, COUNT, MIN, MAX, AVG
- All operators ignore NULL, **except COUNT**

Aggregations

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MultiTouch	\$203	Household	Hitachi

```
SELECT AVG(price)  
FROM Product  
WHERE Manufacturer = "GizmoWorks"
```

Output: \$15

```
SELECT COUNT(*)  
FROM Product  
WHERE Price > 15
```

Output: 2

- SQL supports several **aggregation** operations:
 - SUM, COUNT, MIN, MAX, AVG
- All operators ignore NULL, **except COUNT**

Aggregations

Product

PName	Price	Category	Manufacturer
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Powergizmo	\$20	Gadgets	GizmoWorks
SingleTouch	\$10	Photography	Canon
MultiTouch	\$203	Household	Hitachi

```
SELECT AVG(price)  
FROM Product  
WHERE Manufacturer = "GizmoWorks"
```

Output: \$15

```
SELECT COUNT(*)  
FROM Product  
WHERE Price > 15
```

Output: 2

- SQL supports several **aggregation** operations:
 - SUM, COUNT, MIN, MAX, AVG
- All operators ignore NULL, **except COUNT**

Simple Aggregations

Purchase

Product	Date	Price	Quantity
bagel	10/21	1	20
banana	10/3	0.5	10
banana	10/10	1	10
bagel	10/25	1.50	20

Simple Aggregations

Purchase

Product	Date	Price	Quantity
bagel	10/21	1	20
banana	10/3	0.5	10
banana	10/10	1	10
bagel	10/25	1.50	20

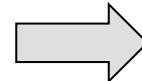
```
SELECT SUM(price * quantity)
FROM Purchase
WHERE product = 'bagel'
```

Simple Aggregations

Purchase

Product	Date	Price	Quantity
bagel	10/21	1	20
banana	10/3	0.5	10
banana	10/10	1	10
bagel	10/25	1.50	20

```
SELECT SUM(price * quantity)
FROM Purchase
WHERE product = 'bagel'
```



$$1*20 + 1.50*20 = 50$$

Grouping and Aggregation

Purchase(product, date, price, quantity)

Grouping and Aggregation

```
Purchase(product, date, price, quantity)
```

```
SELECT product,  
       SUM(price * quantity) AS TotalSales  
FROM   Purchase  
WHERE  date > '10/1/2005'  
GROUP BY product
```

Grouping and Aggregation

Purchase(product, date, price, quantity)

```
SELECT product,  
           SUM(price * quantity) AS TotalSales  
FROM Purchase  
WHERE date > '10/1/2005'  
GROUP BY product
```

Find total sales
after 10/1/2005
per product.

Grouping and Aggregation

Purchase(product, date, price, quantity)

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SELECT product,  
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FROM Purchase  
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GROUP BY product
```

Find total sales
after 10/1/2005
per product.

Let's see what this means...

Grouping and Aggregation

```
SELECT product,
       SUM(price * quantity) AS TotalSales
  FROM Purchase
 WHERE date > '10/1/2005'
 GROUP BY product
```

Semantics of the query:

Grouping and Aggregation

```
SELECT product,
       SUM(price * quantity) AS TotalSales
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 WHERE date > '10/1/2005'
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Semantics of the query:

1. Compute the **FROM** and **WHERE** clauses

Grouping and Aggregation

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SELECT product,
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```

Semantics of the query:

1. Compute the **FROM** and **WHERE** clauses
2. Group by the attributes in the **GROUP BY**

Grouping and Aggregation

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Semantics of the query:

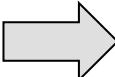
1. Compute the **FROM** and **WHERE** clauses
2. Group by the attributes in the **GROUP BY**
3. Compute the **SELECT** clause: grouped attributes and aggregates

1. Compute the **FROM** and **WHERE** clauses

```
SELECT product, SUM(price*quantity) AS TotalSales  
FROM Purchase  
WHERE date > '10/1/2005'  
GROUP BY product
```

1. Compute the **FROM** and **WHERE** clauses

```
SELECT product, SUM(price*quantity) AS TotalSales  
FROM Purchase  
WHERE date > '10/1/2005'  
GROUP BY product
```

FROM


Product	Date	Price	Quantity
Bagel	10/21	1	20
Bagel	10/25	1.50	20
Banana	10/3	0.5	10
Banana	10/10	1	10

2. Group by the attributes in the GROUP BY

```
SELECT product, SUM(price*quantity) AS TotalSales
FROM Purchase
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```

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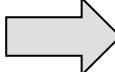
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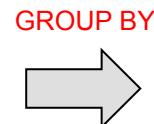
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Banana	10/10	1	10

GROUP BY


2. Group by the attributes in the GROUP BY

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SELECT product, SUM(price*quantity) AS TotalSales  
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GROUP BY product
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3. Compute the **SELECT** clause: grouped attributes and aggregates

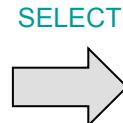
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SELECT product, SUM(price*quantity) AS TotalSales  
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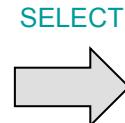
Product	Date	Price	Quantity
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	10/10	1	10



3. Compute the **SELECT** clause: grouped attributes and aggregates

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SELECT product, SUM(price*quantity) AS TotalSales  
FROM Purchase  
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```

Product	Date	Price	Quantity
Bagel	10/21	1	20
	10/25	1.50	20
Banana	10/3	0.5	10
	10/10	1	10



Product	TotalSales
Bagel	50
Banana	15

HAVING Clause

HAVING Clause

```
SELECT product, SUM(price*quantity)
FROM Purchase
WHERE date > '10/1/2005'
GROUP BY product
HAVING SUM(quantity) > 100
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HAVING clauses contains conditions on **aggregates**

HAVING Clause

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```

Same query as before, except that we consider only products that have more than 100 buyers

HAVING clauses contains conditions on **aggregates**

*Whereas WHERE clauses condition on **individual tuples**...*

RECAP: Joins

By default, joins in SQL are “**inner joins**”:

Product(name, category)
Purchase(prodName, store)

RECAP: Joins

By default, joins in SQL are “**inner joins**”:

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SELECT Product.name, Purchase.store  
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Purchase(prodName, store)
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SELECT Product.name, Purchase.store  
FROM Product, Purchase  
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```

```
.....  
SELECT Product.name, Purchase.store  
FROM Product  
JOIN Purchase ON Product.name = Purchase.prodName  
.....
```

RECAP: Joins

By default, joins in SQL are “**inner joins**”:

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Product(name, category)  
Purchase(prodName, store)
```

```
SELECT Product.name, Purchase.store  
FROM Product, Purchase  
WHERE Product.name = Purchase.prodName
```

```
.....  
SELECT Product.name, Purchase.store  
FROM Product  
JOIN Purchase ON Product.name = Purchase.prodName
```

Both equivalent:
Both INNER JOINS!

Outer Joins

- An **outer join** returns tuples from the joined relations that don't have a corresponding tuple in the other relations

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Product.name = Purchase.prodName
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Now we'll get products even if they didn't sell

INNER JOIN

Product

name	category
iPhone	media
Tesla	car
Ford Pinto	car

Purchase

prodName	store
iPhone	Apple store
Tesla	Dealer
iPhone	Apple store

INNER JOIN

Product

name	category
iPhone	media
Tesla	car
Ford Pinto	car

Purchase

prodName	store
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SELECT Product.name, Purchase.store  
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INNER JOIN

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Purchase

prodName	store
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```



name	store
iPhone	Apple store
iPhone	Apple store
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INNER JOIN

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Purchase

prodName	store
iPhone	Apple store
Tesla	Dealer
iPhone	Apple store

```
SELECT Product.name, Purchase.store  
FROM Product  
INNER JOIN Purchase  
ON Product.name = Purchase.prodName
```

Note: another equivalent way to
write an INNER JOIN!



name	store
iPhone	Apple store
iPhone	Apple store
Tesla	Dealer

LEFT OUTER JOIN

Product

name	category
iPhone	media
Tesla	car
Ford Pinto	car

Purchase

prodName	store
iPhone	Apple store
Tesla	Dealer
iPhone	Apple store

LEFT OUTER JOIN

Product

name	category
iPhone	media
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Ford Pinto	car

Purchase

prodName	store
iPhone	Apple store
Tesla	Dealer
iPhone	Apple store

```
SELECT Product.name, Purchase.store
FROM Product
LEFT OUTER JOIN Purchase
    ON Product.name = Purchase.prodName
```

LEFT OUTER JOIN

Product

name	category
iPhone	media
Tesla	car
Ford Pinto	car

Purchase

prodName	store
iPhone	Apple store
Tesla	Dealer
iPhone	Apple store

```
SELECT Product.name, Purchase.store  
FROM Product  
LEFT OUTER JOIN Purchase  
ON Product.name = Purchase.prodName
```



name	store
iPhone	Apple store
iPhone	Apple store
Tesla	Dealer
Ford Pinto	NULL

Other Outer Joins

- Left outer join:
 - Include the left tuple even if there's no match
- Right outer join:
 - Include the right tuple even if there's no match
- Full outer join:
 - Include the both left and right tuples even if there's no match

How many entries will output table have?

- Left table has L entries
- Right table has R entries

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- Left table has L entries
- Right table has R entries
- Inner join:

How many entries will output table have?

- Left table has L entries
- Right table has R entries
- Inner join:
 - Minimum number of entries: 0

How many entries will output table have?

- Left table has L entries
- Right table has R entries
- Inner join:
 - Minimum number of entries: 0
 - Maximum number of entries: $L * R$

How many entries will output table have?

- Left table has L entries
- Right table has R entries
- Inner join:
 - Minimum number of entries: 0
 - Maximum number of entries: $L * R$
- Left outer join:

How many entries will output table have?

- Left table has L entries
- Right table has R entries
- Inner join:
 - Minimum number of entries: 0
 - Maximum number of entries: $L \times R$
- Left outer join:
 - Minimum number of entries: L

How many entries will output table have?

- Left table has L entries
- Right table has R entries
- Inner join:
 - Minimum number of entries: 0
 - Maximum number of entries: $L \times R$
- Left outer join:
 - Minimum number of entries: L
 - Maximum number of entries: $L \times R$

How many entries will output table have?

- Left table has L entries
- Right table has R entries
- Inner join:
 - Minimum number of entries: 0
 - Maximum number of entries: $L \times R$
- Left outer join:
 - Minimum number of entries: L
 - Maximum number of entries: $L \times R$
- Right outer join:

How many entries will output table have?

- Left table has L entries
- Right table has R entries
- Inner join:
 - Minimum number of entries: 0
 - Maximum number of entries: $L \times R$
- Left outer join:
 - Minimum number of entries: L
 - Maximum number of entries: $L \times R$
- Right outer join:
 - Minimum number of entries: R

How many entries will output table have?

- Left table has L entries
- Right table has R entries
- Inner join:
 - Minimum number of entries: 0
 - Maximum number of entries: $L \times R$
- Left outer join:
 - Minimum number of entries: L
 - Maximum number of entries: $L \times R$
- Right outer join:
 - Minimum number of entries: R
 - Maximum number of entries: $L \times R$

How many entries will output table have?

- Left table has L entries
- Right table has R entries
- Inner join:
 - Minimum number of entries: 0
 - Maximum number of entries: $L \times R$
- Left outer join:
 - Minimum number of entries: L
 - Maximum number of entries: $L \times R$
- Right outer join:
 - Minimum number of entries: R
 - Maximum number of entries: $L \times R$
- Full outer join:

How many entries will output table have?

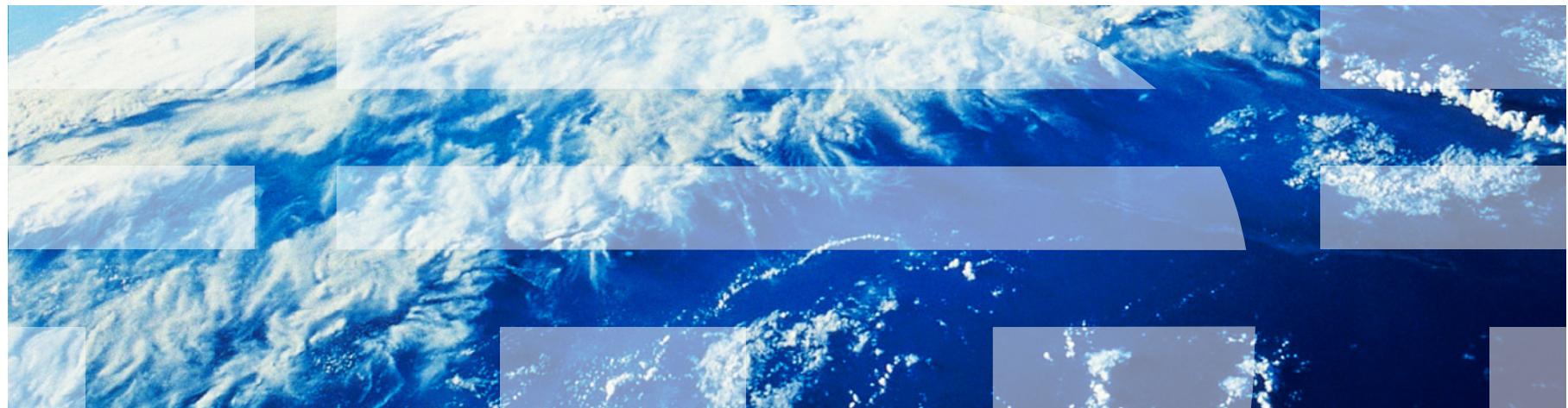
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- Left outer join:
 - Minimum number of entries: L
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- Right outer join:
 - Minimum number of entries: R
 - Maximum number of entries: $L \times R$
- Full outer join:
 - Minimum number of entries: $L + R$

How many entries will output table have?

- Left table has L entries
- Right table has R entries
- Inner join:
 - Minimum number of entries: 0
 - Maximum number of entries: $L \times R$
- Left outer join:
 - Minimum number of entries: L
 - Maximum number of entries: $L \times R$
- Right outer join:
 - Minimum number of entries: R
 - Maximum number of entries: $L \times R$
- Full outer join:
 - Minimum number of entries: $L + R$
 - Maximum number of entries: $L \times R$

Borrowed from Shiva Shivakumar and Theodoros Rekatsinas

Nested Queries



SQL is Compositional

Can construct powerful query chains (e.g., $f(g(\dots(x)))$)

Inputs / outputs are multisets

⇒ Output of one query can be input to another (nesting)!

⇒ Including on same table

Nested queries: Sub-queries Return Relations

```
Company(name, city)
Product(name, manufacturer)
Purchase(id, product, buyer)
```

```
SELECT Product.manufacturer
FROM Purchase, Product
WHERE Purchase.product = Product.name
    AND Purchase.buyer = 'Alice'
```

Nested queries: Sub-queries Return Relations

```
Company(name, city)
Product(name, manufacturer)
Purchase(id, product, buyer)
```

```
SELECT Product.manufacturer
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```

1. Companies making products bought by 'Alice'
2. Location of companies?

Nested queries: Sub-queries Return Relations

```
Company(name, city)
Product(name, manufacturer)
Purchase(id, product, buyer)
```

```
SELECT Company.city
FROM Company
WHERE Company.name IN (
    SELECT Product.manufacturer
    FROM Purchase, Product
    WHERE Purchase.product = Product.name
        AND Purchase.buyer = 'Alice')
```

1. Companies making products bought by 'Alice'
2. Location of companies?

Subqueries Return Relations

You can also use operations of the form:

- $s > \text{ALL } R$
- $s < \text{ANY } R$
- $\text{EXISTS } R$

Example:

Product(name, price, category,
maker)

Note the scoping
of the variables!

Subqueries Return Relations

You can also use operations of the form:

- $s > \text{ALL } R$
- $s < \text{ANY } R$
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Example:

Product(name, price, category,
maker)

```
SELECT name  
FROM Product  
WHERE price > ALL(  
  
    SELECT price  
    FROM Product  
    WHERE maker = 'Gizmo-Works')
```

Find products that are more
expensive than all those produced by
“Gizmo-Works”

Note the scoping
of the variables!

Subqueries Return Relations

You can also use operations of the form:

- $s > \text{ALL } R$
- $s < \text{ANY } R$
- $\text{EXISTS } R$

Example:

```
Product(name, price, category,  
maker)
```

```
SELECT name  
FROM Product  
WHERE price > ALL(  
  
    SELECT price  
    FROM Product  
    WHERE maker = 'Gizmo-Works')
```

Find products that are more expensive than all those produced by “Gizmo-Works”

```
SELECT p1.name  
FROM Product AS p1  
WHERE p1.maker = 'Gizmo-Works'  
AND EXISTS(  
    SELECT p2.name  
    FROM Product AS p2  
    WHERE p2.maker <> 'Gizmo-Works'  
        AND p1.name = p2.name)
```

<> means \neq

Find ‘copycat’ products, i.e. products made by competitors with the same names as products made by “Gizmo-Works”

Note the scoping of the variables!

Example: Complex Correlated Query

Product(name, price, category, maker, year)

Example: Complex Correlated Query

Product(name, price, category, maker, year)

```
SELECT DISTINCT x.name, x.maker
FROM Product AS x
WHERE x.price > ALL(
    SELECT y.price
    FROM Product AS y
    WHERE x.maker = y.maker
    AND y.year < 1972)
```

Find products (and their manufacturers) that are more expensive than all products made by the same manufacturer before 1972

Example: Complex Correlated Query

Product(name, price, category, maker, year)

```
SELECT DISTINCT x.name, x.maker
FROM Product AS x
WHERE x.price > ALL(
    SELECT y.price
    FROM Product AS y
    WHERE x.maker = y.maker
    AND y.year < 1972)
```

Find products (and their manufacturers) that are more expensive than all products made by the same manufacturer before 1972

Can be very powerful (also much harder to optimize)

Aggregates inside nested queries

1. Aggregates inside nested queries. Remember SQL is **compositional**
2. Hint 1: Break down query description to steps (subproblems)
3. Hint 2: Whenever in doubt always go back to the definition

Aggregates inside nested queries: example

Precipitation

station_id	day	precipitation
122	1	33
122	4	20
351	1	10
191	7	45

Aggregates inside nested queries: example

Example:

Precipitation

station_id	day	precipitation
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Aggregates inside nested queries: example

Example:

Precipitation

station_id	day	precipitation
122	1	33
122	4	20
351	1	10
191	7	45

```
SELECT station_id, day
FROM Precipitation,
     (SELECT day AS maxd, MAX(precipitation) AS maxp
      FROM precipitation
      GROUP BY day)
WHERE day = maxd AND precipitation = maxp
```

Aggregates inside nested queries: example

Example:

“Using a *single SQL query*, find all of the stations that had the highest daily precipitation (across all stations) on any given day.”

Precipitation

station_id	day	precipitation
122	1	33
122	4	20
351	1	10
191	7	45

```
SELECT station_id, day
FROM Precipitation,
     (SELECT day AS maxd, MAX(precipitation) AS maxp
      FROM precipitation
      GROUP BY day)
WHERE day = maxd AND precipitation = maxp
```

Step 1

```
(SELECT day AS maxd, MAX(precipitation) AS maxp  
FROM precipitation  
GROUP BY day)
```

maxd	maxp
1	33
4	20
7	45

Step 2

station_id	day	precipitation
122	1	33
122	4	20
351	1	10
191	7	45

JOIN

maxd	maxp
1	33
4	20
7	45

Step 2

```
SELECT station_id, day  
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station_id	day	precipitation
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JOIN

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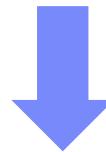
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SELECT station_id, day  
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station_id	day	precipitation
122	1	33
122	4	20
351	1	10
191	7	45

JOIN

maxd	maxp
1	33
4	20
7	45



station_id	day
122	1
122	4
191	7

Postmortem: Service disruption on January 21-22, 2020



John Firebaugh Lead Infrastructure Engineer, Figma

INSIDE FIGMA ENGINEERING

The root cause of our recent service outage and our next steps



TL;DR: Why did it happen?

Tuesday's incident was primarily caused by a long-running, expensive query. To prevent this issue from recurring, we will be improving our monitoring of expensive queries and setting tighter bounds on allowed running time.

The root cause of Wednesday's incident was that a routine change in database statistics caused PostgreSQL 9 to mis-plan the execution of one of our queries, causing expensive table scans and writes to temporary buffers. This was exacerbated by concurrent aggressive autovacuuming operations, which were happening because of a vacuuming backlog from the long-running query that had been terminated on Tuesday.

The upgrade to PostgreSQL 11 mitigated both of these causes: improvements to the query planner eliminated the possibility of the bad query plan, and the performance characteristics of autovacuuming have been significantly improved between versions 9 and 11.

<https://www.figma.com/blog/post-mortem-service-disruption-on-january-21-22-2020/>