NLP for the Web

Lecture 5
Web Genre Identification and
Sequence Tagging



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Readings for This Lecture

For this week:

Mandatory: http://nlp.stanford.edu/IR-book/

- Chapter 13.1-13.2 (pages 253-262): Text Classification and Naïve Bayes
- Chapter 13.4 (pages 253-262): Feature Selection
- Chapter 13.5 (pages 279-284): Text Classification Evaluation

Optional:

Dillon, A. and Gushrowski, B. (2000) Genres and the Web - is the home page the first digital genre? Journal of the American Society for Information Science, 51(2), 202-205

Today's lecture

- Web Genre
 - basics about genres and web genres
 - characteristics of web genres
- Machine Learning:Genre Identification as Text Categorization
- Machine Learning:Sequence Tagging

Document Types and Genres

- One of the important characteristics of any document type is the role it plays in supporting a discourse community
- Document types evolve over a long time (decades or even centuries) of use to constitute highly conventional forms that are recognized as being of a specific type or genre

■ A genre is:

- from French "kind" or "sort", from Latin: *genus* (stem *gener-*)
- a loose set of criteria for a category of composition
- often used to categorize literature and speech

There is no standard inventory of genres in the web context.

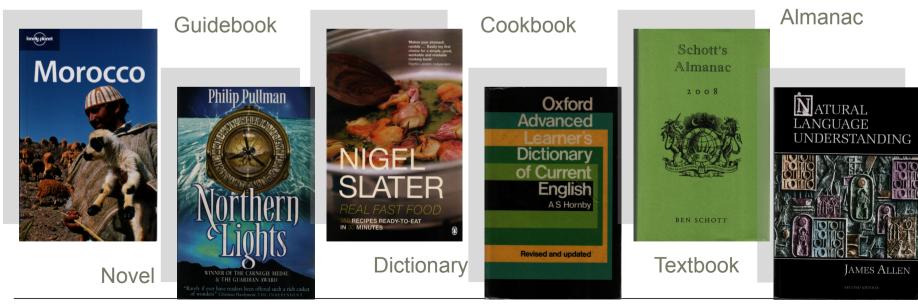
Genres: Dimensions and Examples

- Genre examples: detective stories, scientific articles, newspapers, catalogs, shopping list, flyer, project proposal, etc.
- The characteristic properties of a discourse genre:

■ Content topic

Form layout, design, text structure etc.

• Function communicative purpose etc.



Motivation for Genres

- Genres serve a specific community: Reiffel (1999) describes how the highly stylized form of mathematics writing serves the community of scholars in this domain
- Genre conventions enhance memorability of discourse (van Dijk and Kintsch, 1983)
- Genre conventions lead to greater user satisfaction (Bazerman, 1988)
- The genre form is highly tied to the behavior of its users (Reiffel, 1999)
- User orientation and navigation in the information space is contingent on the user's perception of genre conventions
- A lack of genre conventions in the digital world is a potentially significant source of user difficulty

Bazerman, C. (1988) Shaping Written Knowledge. The Genre and Activity of the Experimental Article in Science. Madison WI: University of Wisconsin Press.

van Dijk, T.A. and Kintsch, W. (1983) Strategies of Discourse Comprehension. London: Academic Press Reiffel, E. (1999) The genre of mathematics writing and it's implications for digital documents. In Proc. of the 32nd Annual Hawaii International Conference on System Sciences. Los Alamitos, CA: IEEE Computer Society (published on CD-ROM)

Web Genres

- Adoption of many existing paper-based conventions: familiarity of form leverages user comprehension
- Web versions of such paper formats as newspapers and magazines frequently adhere closely to this type
 - to guide interaction
 - to locate sections of interest
 - to browse the available information
- IT technologies enable new affordances. Therefore, utilize the power of the new medium to provide **innovative information structures**
- Merely inheriting genre conventions from the paper world may be of disadvantage to support adequate design of new information types

Definition: Web Genre

Definition Web Genre:

Information spaces that do not have paper equivalents on which they may be modeled yet which manifest genre properties of conventional form, features and organization (A. Dillon and B. Gushrowski, 2000)

- First unique web genre: personal homepage
- The web is still relatively new, so it is NOT quite clear how to apply traditional notions of genre to web pages

Dillon, A. and Vaughan, M. (1997) "It's the journey and the destination": shape and the emergent property of genre in evaluating digital documents. New Review of Hypermedia & Multimedia, 3, 91-106. Dillon, A. and Gushrowski, B. (2000) Genres and the Web - is the home page the first digital genre? Journal of the American Society for Information Science, 51(2), 202-205

Genres and Corpus-Based Research

- Many corpora used for NLP research, but:
 - very few large corpora indicate genres
 - when they do, the typology of genres varies widely
- For example:
 - the Brown corpus uses 15 textual categories added after the corpus construction, from press reportage (a text genre) to religion or skills and hobbies (domains)
 - the British National Corpus (BNC) uses 70 classes, such as academic or non-academic scientific texts or biography, also written vs. spoken
- The genre attribute included in a few collections used in Information Retrieval (TREC HARD 2003 & 2004)

Applications for Genres in NLP

Information Retrieval

- Filter out irrelevant documents returned by keywords
- Keywords mostly express the topic of a document (e.g. politics, sports, football, finance, etc.)
- Genre expresses the type of the text (e.g. newspaper article, technical report, PhD thesis, weather report, etc.)
 - textbook web pages that contain "Dijkstra algorithm"
 - PhD thesis web pages that contain "web genres"

Information Extraction

- Identify & extract useful relevant content from web pages
- Use genre and layout characteristics

Applications for Genres in NLP and vice versa

Information Science

- Automatic extraction of metadata for better management and use of digital documents
- filter in field-based search masks (e.g. library book search)
- Accuracy of NLP tools can be increased if targeted for genres
 - Certain types of entities (newspaper vs. biomedical papers)
 - Words and word meanings (tagging, WSD)
 - Certain constructions occur only in certain types of text (parsing)
- Accuracy of Genre Identification can be increased with NLP-based features

Web Genre Category Sets in the Literature

| (Meyer zu Eissen and Stein, 2004) | Help; Article; Discussion; Shop; Portrayal (non-private); Portrayal (private); Link Collection; Download |
|--------------------------------------|--|
| (Lim et al., 2005) | Personal homepages; Public homepages; Commercial homepages; Bulletin collections; Link collections; Image collections; Simple tables/lists; Input pages; Journalistic materials; Research reports; Official materials; Informative materials; FAQs; Discussions; Product specifications; Others |
| (Stubbe et al., 2007a) | Journalism (Commentary; Review; Portrait; Marginal Note; Interview; News; Feature Story; Reportage); Literature (Poem; Prose; Drama); Information (Science Report; Explanation; Recipe; FAQ; Lexicon; Word List; Bilingual Dictionary; Presentation; Statistics; Code); Documentation (Law; Official Report; Protocol); Directory (Person; Catalog; Resources; Timeline); Communcation (Mail/Talk; Forum; Blog; Form); Nothing |
| (Vidulin et al., 2007) | Pornographic; Blog; Childrens'; Commercial/Promotional; Community; Content Delivery; Entertainment; Error Message; FAQ; Gateway; Index; Informative; Journalistic; Official; Personal; Poetry; Prose Fiction; Scientific; Shopping; User Input |
| (Braslavski, 2007) | Official, academic, journalistic, literary, and everyday communication style |

Georg Rehm, Marina Santini, Alexander Mehler, Pavel Braslavski, Rüdiger Gleim, Andrea Stubbe, Svetlana Symonenko, Mirko Tavosanis, Vedrana Vidulin: Towards a Reference Corpus of Web Genres for the Evaluation of Genre Identification Systems. Proceedings of LREC 2008, Marrakech, Morocco

Characteristics of Web Genres

- Higher complexity in comparison with traditional genres:
 - Hypertext links
 - Interactive features
 - Multimedia
 - Web 2.0 Elements
- **Examples** of web genres:
 - Personal homepage
 - FAQ
 - Blog
 - Search engine
 - Encyclopedia
 - Web shop

Corpus-Based Genre Study (Rehm et al. 2008)

■ Task: assigning genre labels to web documents

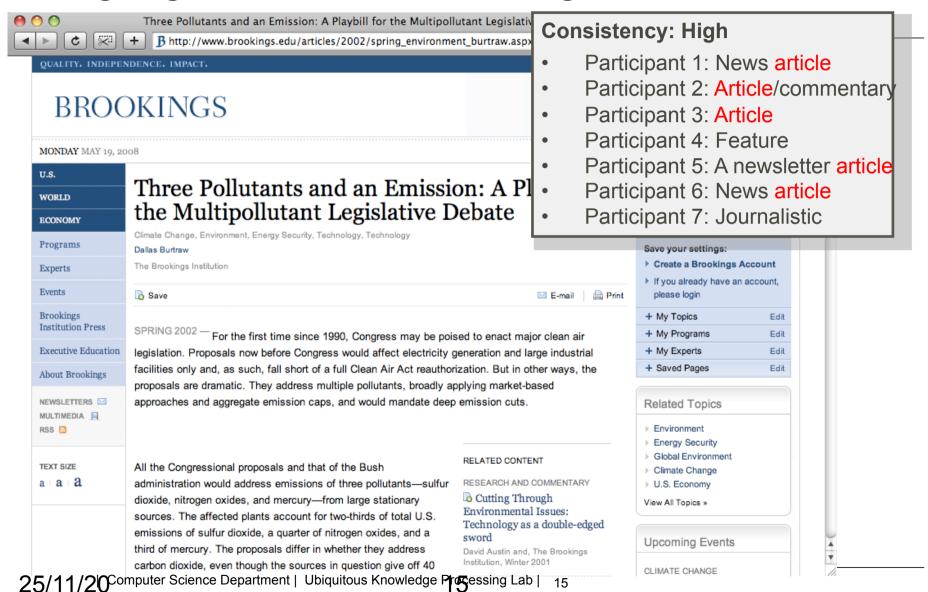
Experimental setup:

- 50 randomly selected web pages
- 7 annotators noted their genre labels in a spreadsheet
- NO guidelines to assign genre labels
- Multi-labeling allowed

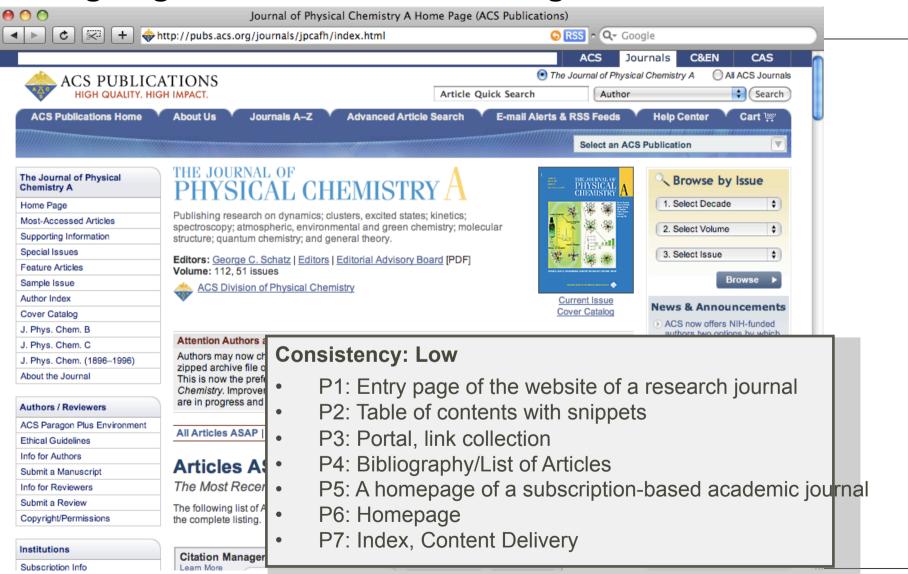
• Finding:

 A high number of disparate labels, from genres and super-genres to descriptions, functional or purpose-oriented properties of documents, even topical categories

Assigning Genre Labels to Web Pages



Assigning Genre Labels to Web Pages



Summary of Explorative Annotation Study

| Consistency | No. of annotators who used same genre label | No. of d | locuments |
|-------------|---|----------|-----------|
| High | 5 to 7 | 6 | 12% |
| Medium | 3 or 4 | 26 | 52% |
| Low | 1 or 2 | 18 | 36% |

- **Problem:** different level of abstraction or generalization, e.g. "article", "review", "reportage", "a new product", or "journalistic" for one web page
- Still, a certain level of agreement exists for **familiar genres** with very large discourse communities, e.g. *blog*, *academic article*, *newspaper article*

Conclusions:

- assigning genre labels to web documents is hard
- clear annotation guidelines to specify "ground-truth" are crucial

Automatic Web Genre Identification

- Frequent classification methods: Naïve Bayes (NB) & Support Vector Machines (SVM)
- Classification features employed:
 - function words (or simply the most frequent words)
 - punctuation
 - POS trigrams
 - trigrams of function words only (all other words are represented by their POS codes or as NON-FUNCTION)
 - more complex syntactic features (e.g. the number of that clauses)
 - links to other pages with similar properties
 - page structure and *html* (e.g. length, headlines, lists, avg. line length)
 - specific wordlists (e.g. names, cities, keywords for programming languages)
 - specific POS (e.g. positive ADJ, female pronouns)
 - non-textual items: dates, ordinal numbers, numbers, images, emoticons
 - language models

Supervised Machine Learning and NLP Features

Needed:

- A working definition of "web genre"
- An experimental document collection
- A set of web genre labels
- A corpus annotated with these labels

Machine Learning Setup:

- Learn a classifier function from feature representations to labels
- Train the classifier on a training set
- Test the classifier on a test set

Feature Sources:

- by external knowledge
- by its appearance: surface features
- by a preprocessing step
- by surrounding items
- by smaller items it is composed of
- by combining several features

Value ranges:

- binary
- numeric
- nominal

Example: knowledge-based features

| | list of cities | list of countries | combine | database |
|-----------|----------------|-------------------|--------------------|-------------|
| WORD | Is-CITY? | Is-COUNTRY? | Is-CITYor COUNTRY? | Inhabitants |
| Darmstadt | TRUE | FALSE | TRUE | 142K |
| is | FALSE | FALSE | FALSE | -1 |
| located | FALSE | FALSE | FALSE | -1 |
| in | FALSE | FALSE | FALSE | -1 |
| GERMANY | FALSE | TRUE | TRUE | 80M |
| | FALSE | FALSE | FALSE | -1 |

Example: surface features

feature function

IDENTITY FUNCTION



boolean[] cap (String word)
{.. }

word.s ubstr(length (word) -2,2)

log(len gth(wor d)

| WORD | WORD | CAP | CAP-IC | CAP-LC | CAP- AC | CAP-NL | last-2 | loglength |
|-----------|-----------|-----|--------|--------|------------|--------|--------|-----------|
| Darmstadt | Darmstadt | IC | TRUE | FALSE | FALSE | FALSE | dt | 2.197 |
| is | is | LC | FALSE | TRUE | FALSE | FALSE | is | 0.6931 |
| located | located | LC | FALSE | TRUE | FALSE | FALSE | ed | 1.9459 |
| in | in | LC | FALSE | TRUE | FALSE | FALSE | in | 0.6931 |
| GERMANY | GERMANY | AC | FALSE | FALSE | TRUE | FALSE | NY | 1.9459 |
| | | NL | FALSE | FALSE | FALSE | TRUE | | 0 |

Example: Features by preprocessing steps

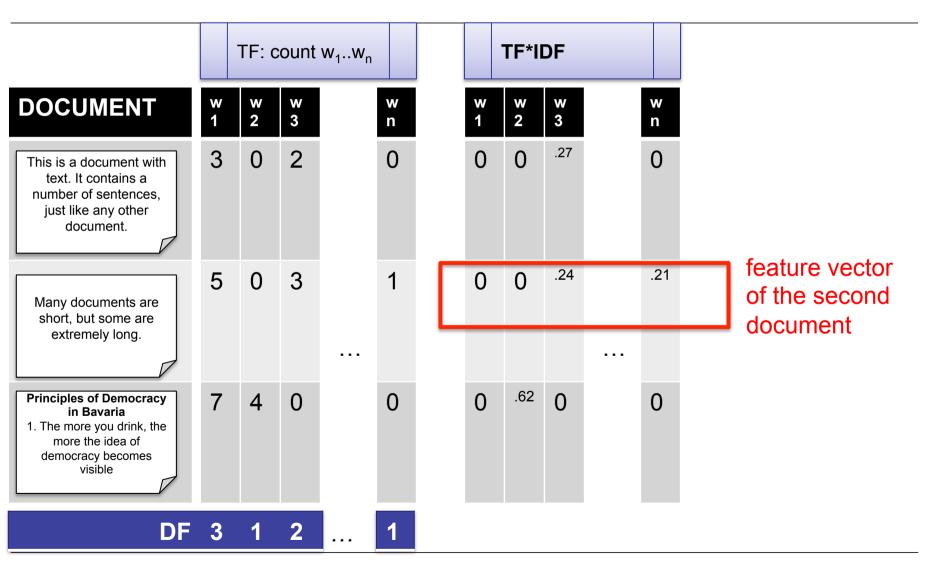
| | Tree | Tagger | NER | Dep. Parser |
|-----------|------|-----------|---------|-------------|
| WORD | POS | lemma | BIO-NER | HEAD POS |
| Darmstadt | NNP | Darmstadt | B-LOC | VBN |
| is | VBZ | are | 0 | VBN |
| located | VBN | locate | 0 | root |
| in | IN | in | 0 | NNP |
| GERMANY | NNP | GERMANY | B-LOC | VBN |
| | \$. | | 0 | root |

Example: by surrounding items

| | | L- I | t-1 t-2 | (-1) (-2) |
|-----------|-----|-----------|-----------------|------------------------|
| WORD | POS | WORD-1 | POS-1,-2 | is-located- pattern |
| Darmstadt | NNP | | , | - |
| is | VBZ | Darmstadt | ,NNP | - |
| located | VBN | is | NNP,VBZ | - |
| in | IN | located | VBZ,VBN | - |
| GERMANY | NNP | in | VBN,IN | located_in |
| | \$. | GERMANY | IN,NNP | - |

list of patterns

Example: by smaller items it is composed of



So, more features is better?

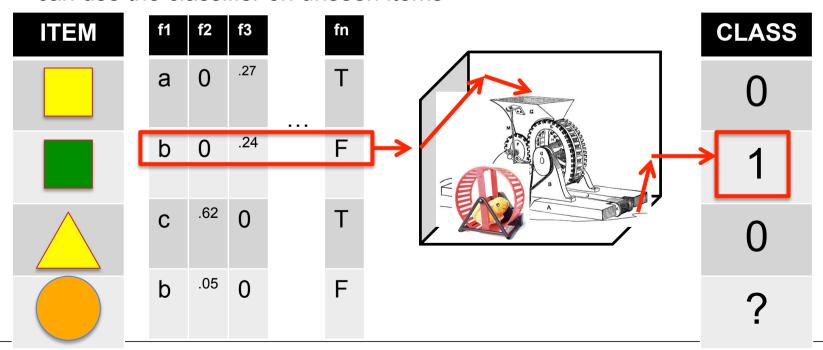
- When learning a classifier, every feature introduces a parameter that has to be learned
- The more parameters must be estimated, the more training data we need to do this reliably
- → might have introduced too many features for the data available

Further problems

- some ML algorithms assume features to be independent. Dependent features lead to deficient models
- some ML algorithms only deal with binary (numeric, nominal) features
- complex features take long to compute
- sparse features don't help in many cases
- → need **feature selection** phase to determine the 'good' features

Supervised learning of a classifier

- Classification: assigning (predefined) classes to items
- supervised learning:
 - have a training set with items and their classes
 - train a ML classifier
 - can use the classifier on unseen items



Bayes' Theorem and the Naïve Bayes Classifier

Bayes' Theorem lets us swap the order of dependence between events: We can calculate P(B|A) in terms of P(A|B). It follows from the definition of conditional probability and the chain rule that:

$$P(C \mid A) = \frac{P(A \mid C)P(C)}{P(A)}$$
 or for disjoint C_j forming a **partition** of A:
$$P(C_j \mid A) = \frac{P(A \mid C_j)P(C_j)}{\sum_{i=1}^{n} P(A \mid C_i)P(C_i)}$$

Naïve Bayes Classifier:

- The Naïve Bayes classifier assigns an instance s_k with attribute values $(A_1=v_1, A_2=v_2, ..., A_m=v_m)$ to class C_i with maximum $P(C_i|(v_1, v_2, ..., v_m))$ for all i.
- The Naïve Bayes classifier exploits the Bayes's rule and assumes independence of attributes.

Naïve Bayes Classifier

- Likelihood of s_k belonging to C_i $= P(C_i | (v_1, v_2, ..., v_m)) = \frac{P((v_1, v_2, ..., v_m) | C_i)P(C_i)}{P((v_1, v_2, ..., v_m))}$
- Likelihood of s_k belonging to C_i

$$= P(C_{j} | (v_{1}, v_{2}, ..., v_{m})) = \frac{P((v_{1}, v_{2}, ..., v_{m}) | C_{j})P(C_{j})}{P((v_{1}, v_{2}, ..., v_{m}))}$$

■ Therefore, when comparing $P(C_i|(v_1, v_2, ..., v_m))$ and $P(C_j|(v_1, v_2, ..., v_m))$, we only need to compute $P((v_1, v_2, ..., v_m)|C_i)P(C_i)$ and $P((v_1, v_2, ..., v_m)|C_j)P(C_j)$

Naïve Bayes Classifier

Under the assumption of independent attributes

$$P((v_{1}, v_{2}, ..., v_{m}) | C_{j})$$

$$= P(A_{1} = v_{1} | C_{j}) \cdot P(A_{2} = v_{2} | C_{j}) \cdot ... \cdot P(A_{m} = v_{m} | C_{j})$$

$$= \prod_{h=1}^{m} P(A_{h} = v_{h} | C_{j})$$

■ Furthermore, $P(C_i)$ can be computed by

number of training samples belonging to C_j total number of training samples

Example: Naïve Bayes Classifier

| | Outlook | Temperature | Humidity | Windy | Class |
|---|----------|-------------|----------|-------|-------|
| | sunny | hot | high | false | N |
| | sunny | hot | high | true | Ν |
| | overcast | hot | high | false | Р |
| • | rain | mild | high | false | Р |
| | rain | cool | normal | false | Р |
| | rain | cool | normal | true | Ν |
| | overcast | cool | normal | true | ₽ |
| | | | | | |

high

N

false

The weather data, with counts and probabilities

| The weather data, with counts and probabilities | | | | | | | | mgn | IdioC | 1 1 | | | |
|---|-------|-----|------|---------|-----|--------|------------------------|-----------------|--------------|-------|------------------|---------------------------|------|
| ou | tlook | | ten | nperatu | ıre | hı | umidity _{aiı} | nny n | cool mild | windy | normal normal | false fal gla : | y P |
| | yes | no | | yes | no | | yes | nny ercast | mild mild | yes | normal high | yes | no P |
| sunny | 2 | 3 | hot | 2 | 2 | high | 3 0 | erc a st | false | 6 | n o mal | galse | 5 P |
| overcast | 4 | 0 | mild | 4 | 2 | normal | 6 | 1 | true | 3 | 3 | tiue | 11 |
| rainy | 3 | 2 | cool | 3 | 1 | | | | | | | | |
| sunny | 2/9 | 3/5 | hot | 2/9 | 2/5 | high | 3/9 | 4/5 | false | 6/9 | 2/5 | 9/14 | 5/14 |
| overcast | 4/9 | 0/5 | mild | 4/9 | 2/5 | normal | 6/9 | 1/5 | true | 3/9 | 3/5 | | |
| rainy | 3/9 | 2/5 | cool | 3/9 | 1/5 | | | | | | | | |

| | | A new day | | |
|---------|-------------|-----------|-------|------|
| outlook | temperature | humidity | windy | play |
| sunny | cool | high | true | ? |

Likelihood of "yes":
$$=\frac{2}{9} \times \frac{3}{9} \times \frac{3}{9} \times \frac{3}{9} \times \frac{9}{14} = 0.0053$$

Likelihood of "no":
$$=\frac{3}{5} \times \frac{1}{5} \times \frac{4}{5} \times \frac{3}{5} \times \frac{5}{14} = 0.0206$$

→ Classifier says "no"

Naïve Bayes for Text Classification based on Words as Features

• Multinomial Naïve Bayes Model: The probability of document d belonging to class c is proportional to the product of the probabilities of terms t belonging to class c, and to the class prior P(c):

$$P(c|d) \propto P(c) \prod_{1 \le k \le n_d} P(t_k|c)$$

■ The best class *c* for a document *d* is found by selecting the class, for which the maximum a posteriori (map) probability is maximal:

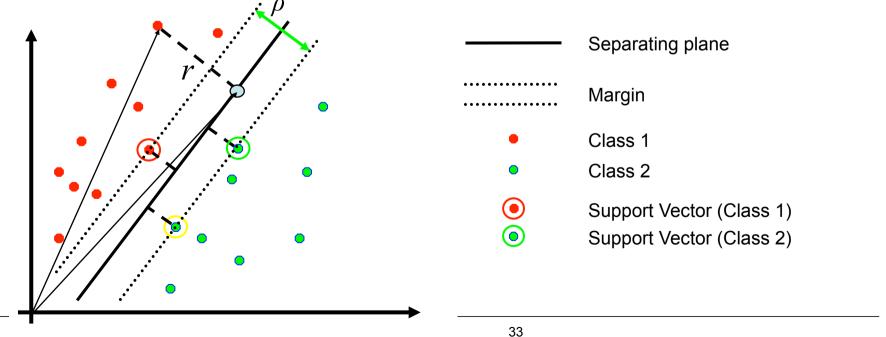
$$c_{\text{map}} = \underset{c \in \mathbb{C}}{\operatorname{arg\,max}} \hat{P}(c|d) = \underset{c \in \mathbb{C}}{\operatorname{arg\,max}} \hat{P}(c) \prod_{1 \le k \le n_d} \hat{P}(t_k|c).$$

Summary on Naïve Bayes

- Bayesian methods provide the basis for probabilistic learning methods that use knowledge about the prior probabilities of hypotheses and about the probability of observing data given the hypothesis
- Bayesian methods can be used to determine the most probable hypothesis given the data
- The Naïve Bayes classifier is useful in many practical applications, e.g. text classification
- Training of Naïve Bayes classifiers is very fast
- Binary, numeric and nominal features can be mixed
- Naïve Bayes fails if the independence assumption is violated too much. Especially identical or highly overlapping features pose a problem that has to be addressed with proper feature selection

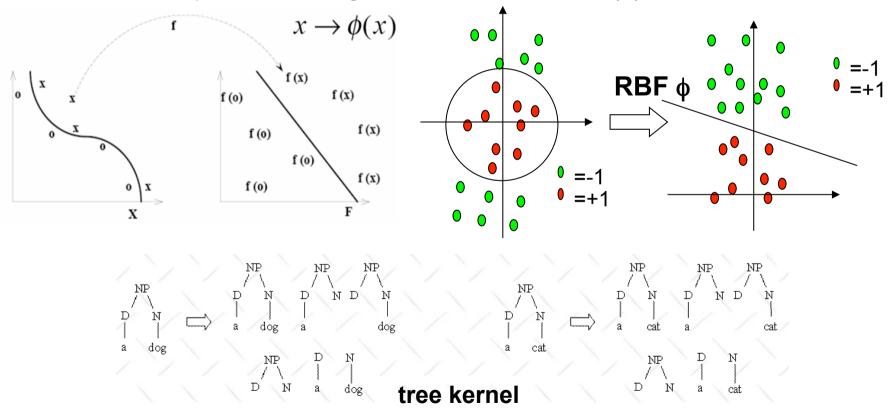
Support Vector Machines

- 2-class classifier, classes +1 and -1; numeric features
- finds the max margin separating hyperplane between two linearly separable classes
- by finding support vectors for classes: Find a separating hyperplane with largest margin
- margin is "soft": there can be errors that still do not cause the margin to change



Non-linear data: SVM Kernel

- data can be transformed into another (high-dimensional) space
- In this space, data is linearly separable; we train the SVN on this and transform the input data using a kernel function Φ(x)



SVM advantages and limitations

Advantages

- deals well with high-dimensional, sparse vectors: can convert nominal features into e.g. Boolean representation
- very flexible: different kernel functions, variation in number of support vectors
- robust classifiers, noise tolerant
- efficient implementations available

Limitations

- only two classes: must use "1 vs. all" or other schemes for multiclass problems
- high computational complexity
- choice of kernel function and its parameters is a trial-and-error enterprise
- black box

Applications of Text Classification:

Applications of text classification in the IR context:

- Content vs. boilerplates for zoning
- Spam detection
- SafeSearch content filtering
- email sorting
- vertical search: scholar, books, shopping, maps, Q&A ...

All these classification tasks can be realized by statistical text classification

Sequence Tagging

- We want to know properties of words for further processing, e.g. word classes, names, etc.
- It is possible to learn a method that assigns these properties from labeled training text.
- In Machine Learning, this is a classification task. If the sequence of events is taken into account, this is called **sequence tagging**

Examples for tagged text:

Part-of-Speech:

```
I/PRO saw/V the/DET man/N with/P the/DET saw/N ./P
```

Name tagging:

```
Valerie/B-PERS and/O Rose/B-PERS travel/O to/O New/B-LOC York/I-LOC ./O
```

The Sequence tagging problem: Ambiguity, as usual

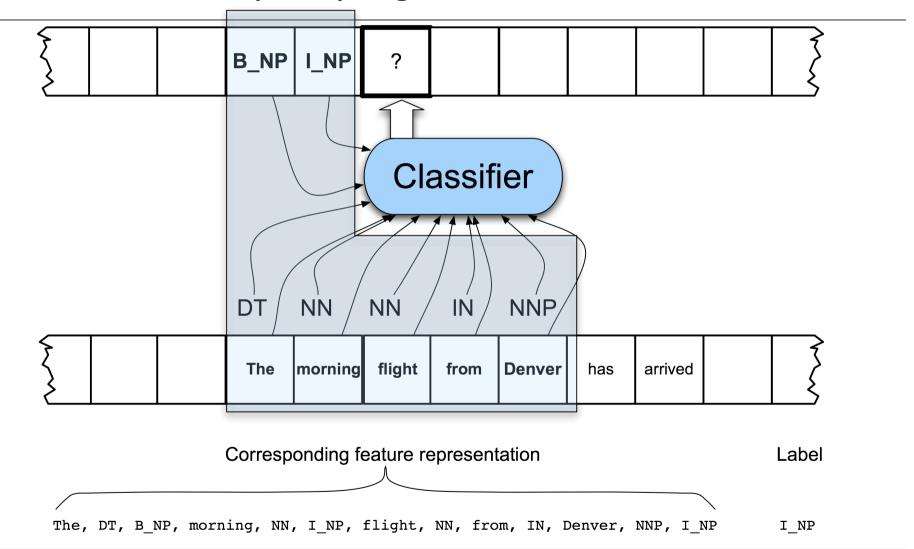
Words often have more than one POS: back

- The *back* door = JJ
- On my back = NN
- Win the voters back = RB
- Promised to back the bill = VB

The sequence tagging problem is to determine the label sequence L for a particular sequence of words W:

$$L_{\text{max}} = (I_{\text{max}}^1, I_{\text{max}}^2, \dots I_{\text{max}}^T) = \begin{array}{c} \text{argmax} \\ L \end{array} P(L \mid W)$$

Sequence classification with Conditional Markov Models (CMM), e.g. MEMM



Linear Chain Conditional Random Field (CRF)

linear chain CRF

- Simplest form of CRF: every hidden state has two neighbors
- Markov property in linear chain CRFs: use a variant of Viterbi decoding for computing the optimal label sequence, conditioned on the observed features. This makes efficient decoding possible
- Linear chain CRF subsumes Hidden Markov Model (HMM) but is more expressive, since it allows arbitrary dependencies on the observation sequence

$$P(\mathbf{L} \mid o^{1}...o^{T}) \propto \exp \left(\sum_{e \in E,k} \lambda_{k} f_{k}(e, \mathbf{L} \mid_{e}, o^{1}...o^{T}) + \sum_{v \in V,k} \mu_{k} g_{k}(v, \mathbf{L} \mid_{v}, o^{1}...o^{T}) \right)$$

(see Algorithms of Language Technology for more details)

Properties of CRF

$$L_{\max}^{CRF} = \underset{I^{1},...I^{T}}{\operatorname{argmax}} \frac{1}{Z(o^{1}..o^{T})} \prod_{t=1}^{T} \exp(\mathbf{w}^{T} \mathbf{f}(I^{t}, I^{t-1}, o^{t})) = \underset{I^{1},...I^{T}}{\operatorname{argmax}} \exp(\sum_{t=1}^{T} \sum_{i=1}^{k} \lambda_{i} f_{i}(I^{t}, I^{t-1}, o^{t}))$$

- Idea: Allow some transitions to vote more strongly than others, depending on the observations
- CRF solves the label bias problem by normalizing over the whole observation sequence, unlike the CMM
- like CMM, it is a **discriminative exponential** model
- the marginal probability of the observation sequence is not modeled.
- it is straightforward to implement features on the observation sequence, this includes modeling of dependencies on previous and future observations

Evaluation on POS tagging

| model | error | oov error |
|-------------------|-------|-----------|
| HMM | 5.69% | 45.99% |
| MEMM | 6.37% | 54.61% |
| CRF | 5.55% | 48.05% |
| MEMM ⁺ | 4.81% | 26.99% |
| CRF ⁺ | 4.27% | 23.76% |

⁺Using spelling features

- First order models (bigrams), 45-tagset Penn Treebank, 50% train/test
- With no additional features, HMM and CRF are about equal, and much better than MEMM
- Using additional features, CRF is much better than MEMM.

Summary on Statistical Sequence Tagging

- CMMs are an alternative to HMMs that make it easier to incorporate arbitrary features on the observations
 - discriminative model: can use any classifier, e.g. MaxEnt, SVM etc
 - per-state normalization leads to the label bias problem
- CRF subsumes the advantages of HMM and CMM:
 - per sequence normalization: no label bias problem
 - discriminative: arbitrary features possible
 - downside: slow training
- →especially for small amounts of training data, CRFs are the framework of choice for modern sequence taggers

Next Lecture

Introduction to Information Retrieval

Readings for This Lecture

Christopher D. Manning, Prabhakar Raghavan and Hinrich Schütze, Introduction to Information Retrieval, Cambridge University Press. 2008. http://nlp.stanford.edu/IR-book/

Mandatory:

- Chapter 3 (pages 49-65): Dictionaries and tolerant retrieval
- Chapter 4.1-4.3 (pages 67-73): Index construction
- Chapter 20 (pages 405-419): Web crawling and indexes