

System Design

5.1 Database Basics

Database

- A database is a collection of related data
- The collected data could be in any number of formats (electronic, printed, graphic, audio, statistical, combinations).
- There are physical (paper/print) and electronic databases.
- **Examples:**
 - phone book
 - address book
 - Census Bureau data

| 1989 | 1990 | 1991 |
|--------|--------|--------|
| 20,032 | 19,156 | 18,232 |
| 62,034 | 59,345 | 56,345 |
| 40,788 | 39,165 | 38,556 |
| 36,034 | 35,021 | 35,758 |
| 16,224 | 12,334 | 11,207 |

| es — Joyner 200 | |
|-----------------|--------------|
| ny mede... | 404-555-8689 |
| St. W..... | 404-555-8932 |
| St. W..... | 404-555-8932 |

Database Management System

A database management system (DBMS) is a collection of programs that enables users to create and maintain a database.

examples: MySQL, Microsoft Access, SQL Server, Oracle, dBASE.

Database System:

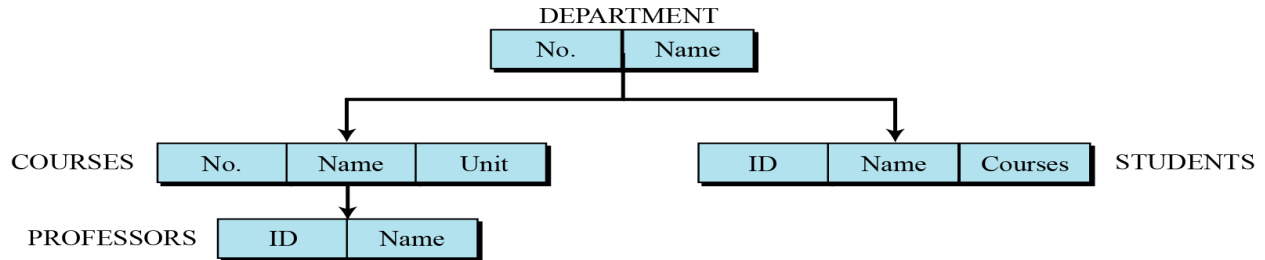
Database and DBMS software together

Database Models

- A database model defines the logical design of data.
- In the history of database design, three models have been in use: the hierarchical model, the network model and the relational model.

Hierarchical database model

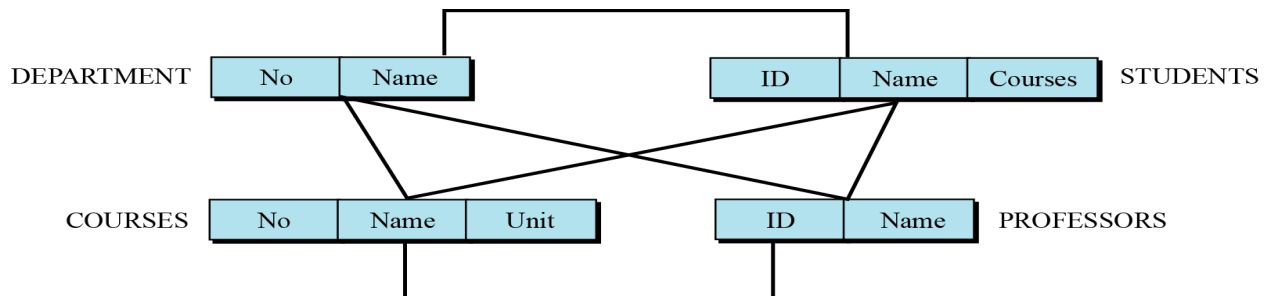
In the hierarchical model, data is organized as an inverted tree. Each entity has only one parent but can have several children. At the top of the hierarchy, there is one entity, which is called the root.



An example of the hierarchical model representing a university

Network database model

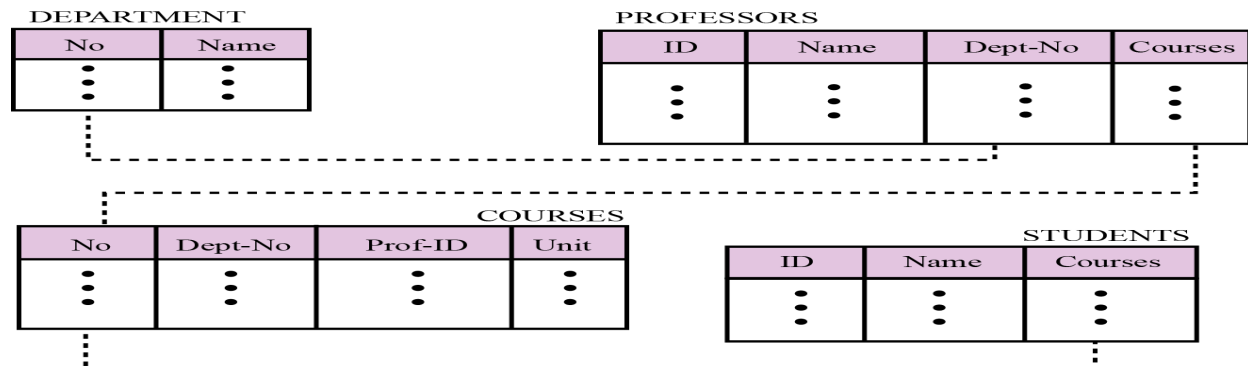
In the network model, the entities are organized in a graph, in which some entities can be accessed through several paths.



An example of the network model representing a university

Relational database model

In the relational model, data is organized in two-dimensional tables called relations. The tables or relations are related to each other.



An example of the relational model representing a university

Relational Database Model

- In the relational database management system (RDBMS), the data is represented as a set of *relations*.
- **Relational Database:** data represented as a set of related tables (or relations)
- **Relation:** a named, two-dimensional table of data. Each relation consists of a set of named columns and an arbitrary number of unnamed rows
- **Well-Structured Relation:** a relation that contains a minimum amount of redundancy and allows users to insert, modify, and delete the rows without errors or inconsistencies

Properties of a Relation

- Entries in cells are simple.
- Entries in columns are from the same set of values.
- Each row is unique.
- The sequence of columns can be interchanged without changing the meaning or use of the relation.
- The rows may be interchanged or stored in any sequence.

Keys

- Primary Key
 - An attribute whose value is unique across all occurrences of a relation.
- A primary key may involve a single attribute or be composed of multiple attributes.
- Foreign Key
 - An attribute that appears as a non primary key attribute in one relation and as a primary key attribute (or part of a primary key) in another relation
- Referential Integrity
 - An integrity constraint specifying that the value of an attribute in one relation depends on the value of the same attribute in another relation

5.2 Designing Database

5.2.1 Normalization

- Database Normalization is a technique of organizing the data in the database.
- Normalization is a systematic approach of decomposing tables to eliminate data redundancy and undesirable characteristic like insertion, Update and Deletion Anomalies.
- Normalization is used for mainly two purposes
 - Eliminating redundant(useless) data
 - Ensuring data dependencies make sense

5.2.1.1 First Normal Form (1NF)

A table (relation) is in 1NF if

1. There are no duplicated rows in the table.
2. Each cell is single-valued.
3. Entries in a column (attribute, field) are of the same kind.

| Student | Age | Subject |
|---------|-----|----------------|
| Adam | 15 | Biology, Maths |
| Alex | 14 | Maths |
| Stuart | 17 | Maths |

| Student | Age | Subject |
|---------|-----|---------|
| Adam | 15 | Biology |
| Adam | 15 | Maths |
| Alex | 14 | Maths |
| Stuart | 17 | Maths |

5.2.1.2 Second Normal Form (2NF)

- A table is in 2NF if it is in 1NF and if all non-key attributes are dependent on all of the key.
- A table is in 2NF if it is in 1NF and if it has no partial dependencies.

Functional Dependencies and Determinants

- Functional Dependency
 - For a given relation, attribute B is functionally dependent on attribute A is, for every valid value of A, that value of A uniquely determines the value of B
- Determinant: an attribute that determines the values of other attributes
 - All primary keys are determinants
- Symbolic notation:
 - $A \rightarrow B$
 - A is the determinant
 - B is functionally dependent on A
- Functional dependency is not a mathematical dependency.
- Instances (or sample data) in a relation do not prove the existence of a functional dependency.
- Knowledge of problem domain is most reliable method for identifying functional dependency.

1NF but not 2NF

Figure 10-6 Relation with redundancy

EMPLOYEE2

| <u>Emp_ID</u> | Name | Dept | Salary | <u>Course</u> | Date_Completed |
|---------------|------------------|--------------|--------|---------------|----------------|
| 100 | Margaret Simpson | Marketing | 42,000 | SPSS | 6/19/2005 |
| 100 | Margaret Simpson | Marketing | 42,000 | Surveys | 10/7/2005 |
| 140 | Alan Beeton | Accounting | 39,000 | Tax Acc | 12/8/2005 |
| 110 | Chris Lucero | Info Systems | 41,500 | SPSS | 1/22/2005 |
| 110 | Chris Lucero | Info Systems | 41,500 | C++ | 4/22/2005 |
| 190 | Lorenzo Davis | Finance | 38,000 | Investments | 5/7/2005 |
| 150 | Susan Martin | Marketing | 38,500 | SPSS | 6/19/2005 |
| 150 | Susan Martin | Marketing | 38,500 | TQM | 8/12/2005 |

EMPLOYEE2(Emp_ID, Name, Dept, Salary, Course, Date_Completed)

Functional dependencies:

1. $\text{Emp_ID} \rightarrow \text{Name, Dept, Salary}$ ← partial key dependency
2. $\text{Emp_ID, Course} \rightarrow \text{Date_Completed}$

Figure 10-5 EMPLOYEE1 relation with sample data

EMPLOYEE1

| <u>Emp_ID</u> | Name | Dept | Salary |
|---------------|------------------|--------------|--------|
| 100 | Margaret Simpson | Marketing | 42,000 |
| 140 | Allen Beeton | Accounting | 39,000 |
| 110 | Chris Lucero | Info Systems | 41,500 |
| 190 | Lorenzo Davis | Finance | 38,000 |
| 150 | Susan Martin | Marketing | 38,500 |

EMPLOYEE1(Emp_ID, Name, Dept, Salary)

Functional dependencies:

- ♦ $\text{Emp_ID} \rightarrow \text{Customer_Name, SalesPerson}$

Figure 10-7 EMP COURSE relation

EMP COURSE

| <u>Emp_ID</u> | <u>Course</u> | Date_Completed |
|---------------|---------------|----------------|
| 100 | SPSS | 6/19/2005 |
| 100 | Surveys | 10/7/2005 |
| 140 | Tax Acc | 12/8/2005 |
| 110 | SPSS | 1/22/2005 |
| 110 | C++ | 4/22/2005 |
| 190 | Investments | 5/7/2005 |
| 150 | SPSS | 6/19/2005 |
| 150 | TQM | 8/12/2005 |

EMPCOURSE(Emp_ID, Course, Date_Completed)

Functional dependency:

- ♦ $\text{Emp_ID, Course} \rightarrow \text{Date_Completed}$

5.2.1.3 Third Normal Form (3NF)

- A table is in 3NF if it is in 2NF and if it has no transitive dependencies.
- A relation is in third normal form (3NF) if it is in second normal form (2NF) and there are no functional (transitive) dependencies between two (or more) non primary key attributes.

2NF but not 3NF

Figure 10-9a Removing transitive dependencies - Relation with transitive dependency

SALES

| <u>Customer_ID</u> | Customer_Name | Salesperson | Region |
|--------------------|---------------|-------------|--------|
| 8023 | Anderson | Smith | South |
| 9167 | Bancroft | Hicks | West |
| 7924 | Hobbs | Smith | South |
| 6837 | Tucker | Hernandez | East |
| 8596 | Eckersley | Hicks | West |
| 7018 | Arnold | Faulb | North |

SALES(Customer_ID, Customer_Name, SalesPerson, Region)

Functional dependencies:

1. $\text{Customer_ID} \rightarrow \text{Customer_Name, SalesPerson, Region}$
 2. $\text{SalesPerson} \rightarrow \text{Region}$
- } transitive

Converted to 3NF

Figure 10-9b Removing transitive dependencies - Relations in 3NF

SALES1

| <u>Customer_ID</u> | Customer_Name | <u>Salesperson</u> |
|--------------------|---------------|--------------------|
| 8023 | Anderson | Smith |
| 9167 | Bancroft | Hicks |
| 7924 | Hobbs | Smith |
| 6837 | Tucker | Hernandez |
| 8596 | Eckersley | Hicks |
| 7018 | Arnold | Faulb |

SPERSON

| <u>Salesperson</u> | Region |
|--------------------|--------|
| Smith | South |
| Hicks | West |
| Hernandez | East |
| Faulb | North |

SALES1(Customer_ID, Customer_Name,
SalesPerson)

Functional dependencies:

- Customer_ID \rightarrow Customer_Name,
SalesPerson

SPERSON(SalesPerson, Region)

Functional dependency:

- SalesPerson \rightarrow Region

Foreign Key Example

Figure 10-9b Removing transitive dependencies - Relations in 3NF

| SALES1 | | | SPERSON | |
|--------------------|---------------|--------------------|--------------------|--------|
| <u>Customer_ID</u> | Customer_Name | <u>Salesperson</u> | <u>Salesperson</u> | Region |
| 8023 | Anderson | Smith | Smith | South |
| 9167 | Bancroft | Hicks | Hicks | West |
| 7924 | Hobbs | Smith | Hernandez | East |
| 6837 | Tucker | Hernandez | Faulb | North |
| 8596 | Eckersley | Hicks | | |
| 7018 | Arnold | Faulb | | |



The foreign key

The foreign key establishes a one-to-many relationship between SPERSON (one) and SALES1 (many)

There can be no SalesPerson value in SALES1 that does not exist in SPERSON (referential integrity)

| *EmployeeID | LastName | FirstName | *ProjectNumber | ProjectTitle |
|-------------|----------|-----------|----------------|-------------------|
| EN1-26 | O'Brien | Sean | 30-452-T3 | STAR manual |
| EN1-26 | O'Brien | Sean | 30-457-T3 | ISO procedures |
| EN1-26 | O'Brien | Sean | 31-124-T3 | Employee handbook |
| EN1-33 | Guya | Amy | 30-452-T3 | STAR manual |
| EN1-33 | Guya | Amy | 30-482-TC | Web Site |
| EN1-33 | Guya | Amy | 31-241-TC | New catalog |
| EN1-35 | Baranco | Steven | 30-452-T3 | STAR manual |
| EN1-35 | Baranco | Steven | 31-238-TC | STAR prototype |
| EN1-36 | Roslyn | Elizabeth | 35-152-TC | STAR pricing |
| EN1-38 | Schaaf | Carol | 36-272-TC | Order system |
| EN1-40 | Wing | Alexandra | 31-238-TC | STAR prototype |
| EN1-40 | Wing | Alexandra | 31-241-TC | New catalog |

| *ProjectNum | ProjectTitle |
|-------------|-------------------|
| 30-452-T3 | STAR manual |
| 30-457-T3 | ISO procedures |
| 30-482-TC | Web site |
| 31-124-T3 | Employee handbook |
| 31-238-TC | STAR prototype |
| 31-238-TC | New catalog |
| 35-152-TC | STAR pricing |
| 36-272-TC | Order system |

| *EmployeeID | *ProjectNum |
|-------------|-------------|
| EN1-26 | 30-452-T3 |
| EN1-26 | 30-457-T3 |
| EN1-26 | 31-124-T3 |
| EN1-33 | 30-328-TC |
| EN1-33 | 30-452-T3 |
| EN1-33 | 32-244-T3 |
| EN1-35 | 30-452-T3 |
| EN1-35 | 31-238-TC |
| EN1-36 | 35-152-TC |
| EN1-38 | 36-272-TC |
| EN1-40 | 31-238-TC |
| EN1-40 | 31-241-TC |

| *EmployeeID | Last Name | First Name |
|-------------|-----------|------------|
| EN1-26 | O'Brien | Sean |
| EN1-33 | Guya | Amy |
| EN1-35 | Baranco | Steven |
| EN1-36 | Roslyn | Elizabeth |
| EN1-38 | Schaaf | Carol |
| EN1-40 | Wing | Alexandra |

| *ProjectNum | ProjectTitle | ProjectMgr | Phone |
|-------------|-------------------|------------|-------|
| 30-452-T3 | STAR manual | Garrison | 2756 |
| 30-457-T3 | ISO procedures | Jacanda | 2954 |
| 30-482-TC | Web site | Friedman | 2846 |
| 31-124-T3 | Employee handbook | Jones | 3102 |
| 31-238-TC | STAR prototype | Garrison | 2756 |
| 31-241-TC | New catalog | Jones | 3102 |
| 35-152-TC | STAR pricing | Vance | 3022 |
| 36-272-TC | Order system | Jacanda | 2954 |

| *ProjectNum | ProjectTitle | ProjectMgr |
|-------------|-------------------|------------|
| 30-452-T3 | STAR manual | Garrison |
| 30-457-T3 | ISO procedures | Jacanda |
| 30-482-TC | Web site | Friedman |
| 31-124-T3 | Employee handbook | Jones |
| 31-238-TC | STAR prototype | Garrison |
| 31-241-TC | New catalog | Jones |
| 35-152-TC | STAR pricing | Vance |
| 36-272-TC | Order system | Jacanda |

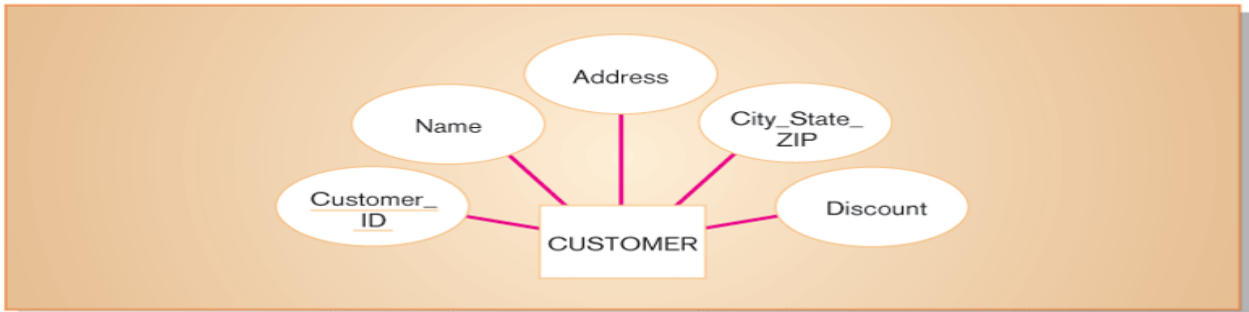
| *ProjectMgr | Phone |
|-------------|-------|
| Friedman | 2846 |
| Garrison | 2756 |
| Jacanda | 2954 |
| Jones | 3102 |
| Vance | 3022 |

5.2.2 Transforming E-R Diagrams into Relations

- Steps
 - Represent entities
 - Represent relationships
 - Normalize the relations
 - Merge the relations

Representing Entities

- Each regular entity is transformed into a relation.
- The identifier of the entity type becomes the primary key of the corresponding relation.
- The primary key must satisfy the following two conditions.
 - a. The value of the key must uniquely identify every row in the relation.
 - b. The key should be non redundant.

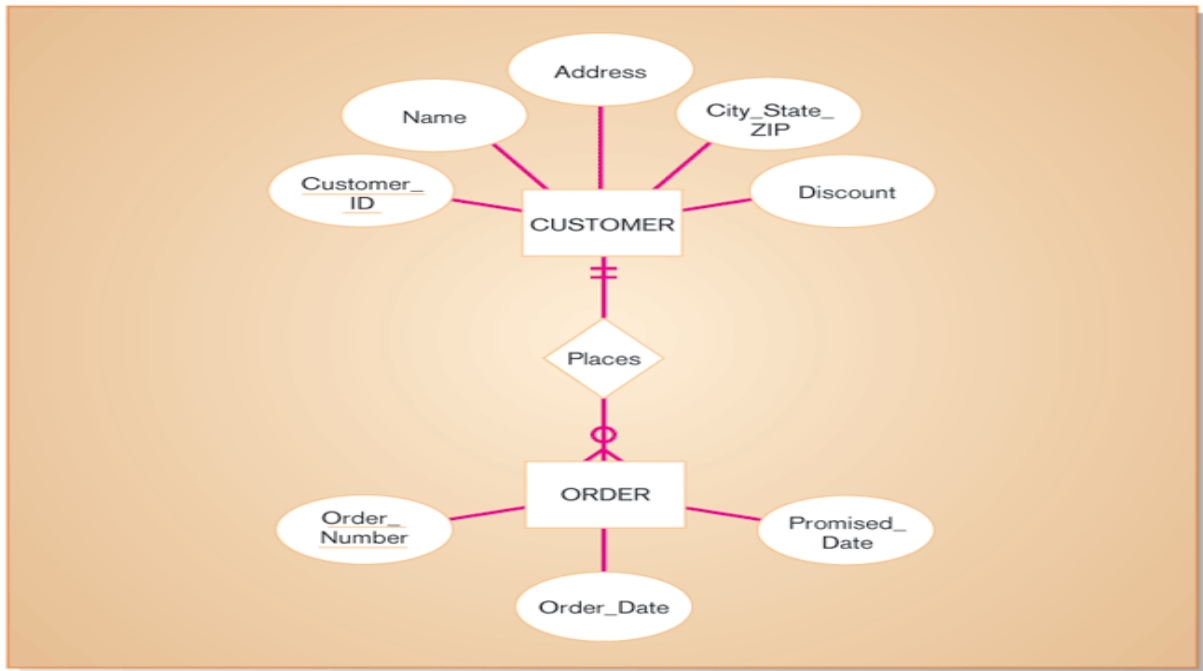
Figure 10-10a Transforming an entity type to a relation - E-R Diagram**Figure 10-10b** Transforming an entity type to a relation - Relation

CUSTOMER

| <u>Customer_ID</u> | Name | Address | City_State_ZIP | Discount |
|--------------------|----------------------|----------------|-----------------------|----------|
| 1273 | Contemporary Designs | 123 Oak St. | Austin, TX 28384 | 5% |
| 6390 | Casual Corner | 18 Hoosier Dr. | Bloomington, IN 45821 | 3% |

Represent Relationships

- Binary 1:N Relationships
 - Add the primary key attribute (or attributes) of the entity on the one side of the relationship as a foreign key in the relation on the right side.
- Binary or Unary 1:1
 - Three possible options
 - a. Add the primary key of A as a foreign key of B.
 - b. Add the primary key of B as a foreign key of A.
 - c. Both of the above.

Figure 10-11a Representing a 1:N relationship - E-R Diagram**Figure 10-11b** Representing a 1:N relationship - Relations

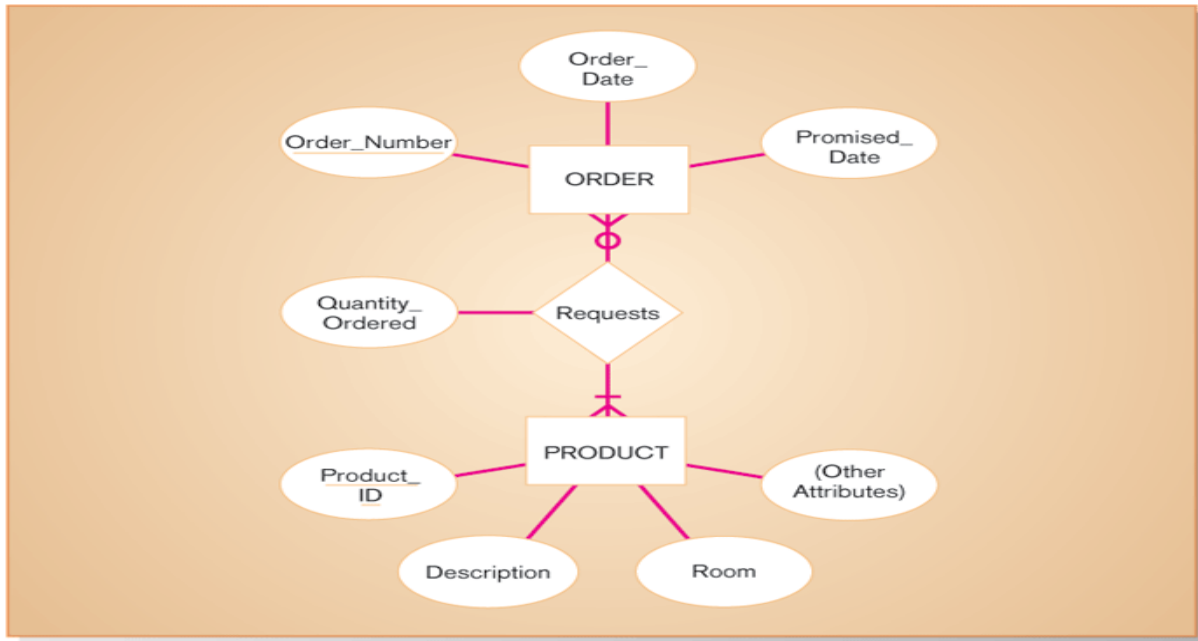
CUSTOMER

| <u>Customer_ID</u> | Name | Address | City_State_ZIP | Discount |
|--------------------|----------------------|----------------|-----------------------|----------|
| 1273 | Contemporary Designs | 123 Oak St. | Austin, TX 28384 | 5% |
| 6390 | Casual Corner | 18 Hoosier Dr. | Bloomington, IN 45821 | 3% |

ORDER

| <u>Order_Number</u> | Order_Date | Promised_Date | Customer_ID |
|---------------------|------------|---------------|-------------|
| 57194 | 3/15/0X | 3/28/0X | 6390 |
| 63725 | 3/17/0X | 4/01/0X | 1273 |
| 80149 | 3/14/0X | 3/24/0X | 6390 |

- Binary and Higher M:N relationships
 - Create another relation and include primary keys of all relations as primary key of new relation.

Figure 10-12a Representing an M:N relationship - E-R Diagram**Figure 10-12b** Representing an M:N relationship - Relations

ORDER

| <u>Order_Number</u> | Order_Date | Promised_Date |
|---------------------|------------|---------------|
| 61384 | 2/17/2005 | 3/01/2005 |
| 62009 | 2/13/2005 | 2/27/2005 |
| 62807 | 2/15/2005 | 3/01/2005 |

ORDER LINE

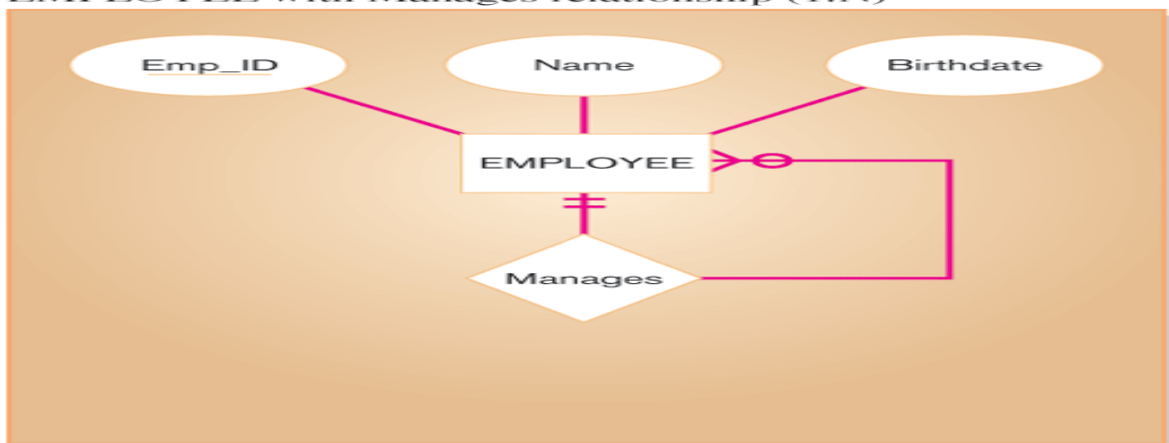
| <u>Order_Number</u> | <u>Product_ID</u> | Quantity_Ordered |
|---------------------|-------------------|------------------|
| 61384 | M128 | 2 |
| 61384 | A261 | 1 |

PRODUCT

| <u>Product_ID</u> | Description | Room | (Other Attributes) |
|-------------------|-------------|--------|--------------------|
| M128 | Bookcase | Study | — |
| A261 | Wall unit | Family | — |
| R149 | Cabinet | Study | — |

- Unary 1:N Relationships
 - Utilize a recursive foreign key
 - A foreign key in a relation that references the primary key values of that same relation.
- Unary M:N Relationships
 - Create a separate relation.
 - Primary key of new relation is a composite of two attributes that both take their values from the same primary key.

Figure 10-13a Two unary relations - EMPLOYEE with Manages relationship (1:N)



EMPLOYEE(Emp_ID, Name, Birthdate, Manager_ID)

Figure 10-13b Two unary relations - Bill-of-materials structure (M:N)



ITEM(Item_Number, Name, Cost)

ITEMCOMPONENT(Item_Number, Component_Number, Quatity)

Merging Relations (View Integration)

- **Purpose is to remove redundant relations**
- View Integration Problems
 - Synonyms
 - Two different names used for the same attribute
 - **When merging, get agreement from users on a single, standard name**
 - Homonyms
 - A single attribute name that is used for two or more different attributes
 - **Resolved by creating a new name**
 - Dependencies between nonkeys
 - Dependencies may be created as a result of view integration
 - **In order to resolve, the new relation must be normalized**

5.3 Designing Forms and Reports

5.3.1 Forms vs. Reports

- Form
 - A business document that contains some predefined data and may include some areas where additional data are to be filled in.
 - An instance of a form is typically based on one database record.
- Report
 - A business document that contains only predefined data.
 - A passive document for reading or viewing data.
 - Typically contains data from many database records or transactions.

5.3.2 The Process of Designing Forms and Reports

- User-focused activity
- Follows a prototyping approach
- Requirements determination:
 - Who will use the form or report?
 - What is the purpose of the form or report?
 - When is the report needed or used?
 - Where does the form or report need to be delivered and used?

- How many people need to use or view the form or report?
- Prototyping
 - Initial prototype is designed from requirements
 - Users review prototype design and either accept the design or request changes
 - If changes are requested, the construction-evaluation-refinement cycle is repeated until the design is accepted

Figure 11-3

A data input screen designed in Microsoft's Visual Basic .NET

The screenshot shows a Windows-style application window titled "Customer Information Entry". In the top right corner of the window, it says "Today: 11-OCT-05". The main content area has a title "Customer Information" underlined. Below this, there is a section titled "CUSTOMER INFORMATION" in all caps. This section contains several labeled text boxes: "Customer Number:" with the value "1273", "Name:" with "Contemporary Designs", "Address:" with "123 Oak Street", "City:" with "Austin", "State:" with "TX", and "Zip:" with "28384". At the bottom of the window, there are three buttons: "Save", "Help", and "Exit".

Guidelines for Form and Report Design

- Meaningful titles: clear, specific, version information, current date
- Meaningful information– include only necessary information, with no need to modify
- Balanced layout: adequate spacing, margins, and clear labels
- Easy navigation system: show how to move forward and backward, and where you are currently

Uses of Highlighting in Forms and Reports

- Notify users of errors in data entry or processing.

Compiled by Er. Tula Deo, M.E.(Computer Engineering)

- Provide warnings regarding possible problems.
- Draw attention to keywords, commands, high-priority messages, unusual data values.

Methods for Highlighting

- Blinking
- Audible tones
- Intensity differences
- Size differences
- Font differences
- Reverse video
- Boxing
- Underlining
- All capital letters
- Offset positions of nonstandard information

Color vs. No Color

- **Benefits from Using Color**
 - Strikes the eye
 - Facilitates precise as to be difficult to analyze in complex displays
 - Emphasizes the logical organization of information
 - Draws attention to warnings
- **Problems from Using Color**
 - Color pairings may wash out or cause problems for some users
 - Resolution may degrade with different displays
 - Color fidelity may degrade on different displays
 - Printing or conversion to other media may not easily translate

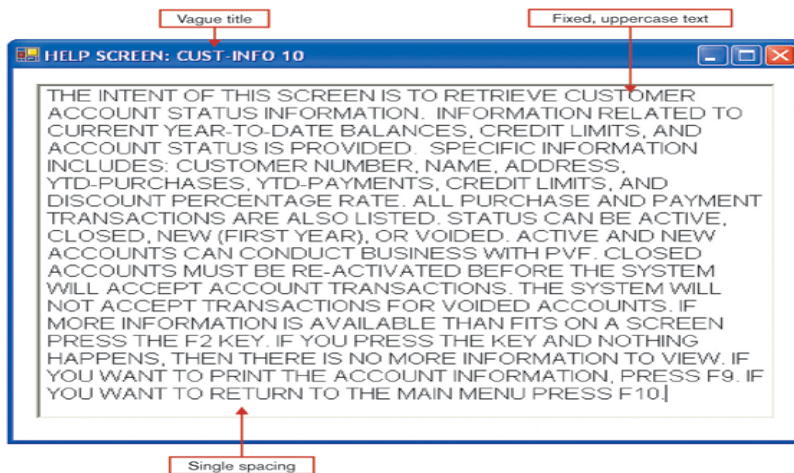
Guidelines for Displaying Text

- Case: mixed upper and lower case, use conventional punctuation

Compiled by Er. Tula Deo, M.E.(Computer Engineering)

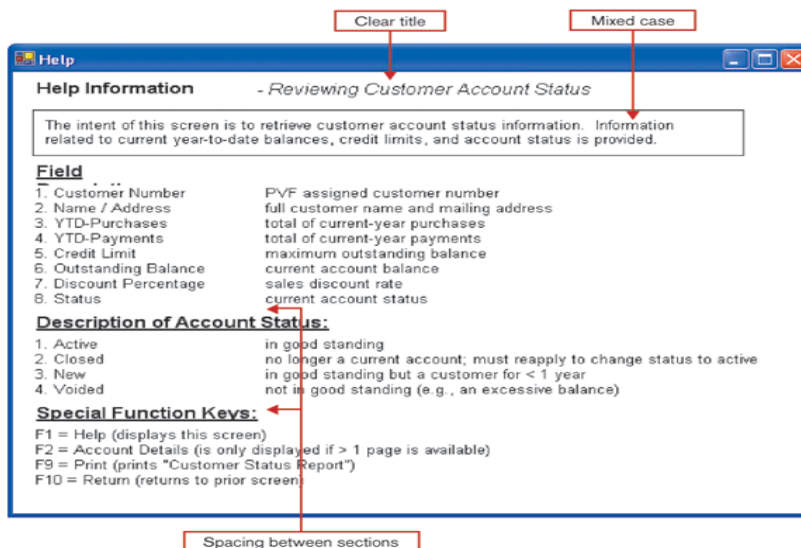
- Spacing: double spacing if possible, otherwise blank lines between paragraphs
- Justification: left justify text, ragged right margins
- Abbreviations: only when widely understood and significantly shorter than full text

Figure 11-7a Contrasting the display of textual help information - Poorly designed help screen with many violations of the general guidelines for displaying text



A poor help screen design

Figure 11-7b Contrasting the display of textual help information - An improved design for a help screen



A better help screen design

Guidelines for Tables and Lists

- Labels
 - All columns and rows should have meaningful labels.
 - Labels should be separated from other information by using highlighting.
 - Redisplay labels when the data extend beyond a single screen or page.
- **Formatting columns, rows and text:**
 - Sort in a meaningful order.
 - Columns should have at least two spaces between them.
 - Allow white space on printed reports for user to write notes.
 - Avoid overly fancy fonts.
- **Formatting numeric, textual and alphanumeric data:**
 - Right justify numeric data and align columns by decimal points or other delimiter.
 - Left justify textual data. Use short line length, usually 30 to 40 characters per line.

Figure 11-8a Contrasting the display of tables and lists
(Pine Valley Furniture) - Poorly designed form

The screenshot shows a window titled "Pine Valley Furniture" with a section labeled "CUSTOMER INFORMATION". The data is presented in a list-like format with labels on the left and values on the right. Annotations highlight design flaws:

- "No column labels" points to the top of the data section.
- "Single column for all types of data" points to the date column.
- "Numeric data are left justified" points to the numeric values in the date column.

| Label | Value |
|-----------------|----------------------|
| CUSTOMER NO: | 1273 |
| NAME: | CONTEMPORARY DESIGNS |
| ADDRESS: | 123 OAK ST. |
| CITY-STATE-ZIP: | AUSTIN, TX 28384 |
| YTD-PURCHASE: | 47,285.00 |
| CREDIT LIMIT: | 10,000.00 |
| YTD-PAYMENTS: | 42,656.65 |
| DISCOUNT %: | 5.0 |
| PURCHASE: | 21-JAN-05 22,000.00 |
| PAYMENT: | 21-JAN-05 13,000.00 |
| PURCHASE: | 02-MAR-05 16,000.00 |
| PAYMENT: | 02-MAR-05 16,500.00 |
| PAYMENT: | 23-MAY-05 5,000.00 |
| PURCHASE: | 12-JUL-05 9,285.00 |
| PAYMENT: | 12-JUL-05 3,785.00 |
| PAYMENT: | 21-SEP-05 5,371.65 |
| STATUS: | ACTIVE |

A poor
table
design

Figure 11-8b Contrasting the display of tables and lists
(Pine Valley Furniture) - Improved design for form

Clear and separate column labels for each data type

Pine Valley Furniture

Detail Customer Account Information

Page: 2 of 2

Today: 11-OCT-05

Customer Number: 1273

Name: Contemporary Designs

| DATE | PURCHASE | PAYMENT | CURRENT BALANCE |
|-------------|-------------|-----------|-----------------|
| 01-Jan-05 | | | 0.00 |
| 21-Jan-05 | (22,000.00) | | (22,000.00) |
| 21-Jan-05 | | 13,000.00 | (9,000.00) |
| 02-Mar-05 | (16,000.00) | | (25,000.00) |
| 02-Mar-05 | | 15,500.00 | (9,500.00) |
| 23-May-05 | | 5,000.00 | (4,500.00) |
| 12-Jul-05 | (9,285.00) | | (13,785.00) |
| 12-Jul-05 | | 3,785.00 | (10,000.00) |
| 21-Jul-05 | | 5,371.65 | (4,628.35) |
| YTD-SUMMARY | (47,285.00) | 42,656.65 | (4,628.35) |

Help Prior Screen Exit

Numeric data are right justified

A better
table
design

5.4 File Organization

- A file is a collection of related sequence of records.
- A file organization is way of arranging the records in a file when the file is stored on the secondary storage(disk, tape, etc).
- The organization of records in a file is influenced by number of factors that must be taken into consideration while choosing a particular technique.
- These factors are :
 - Fast retrieval, updating and transfer of records
 - Efficient use of disk space
 - Efficient manipulation
 - Security from unauthorized access
 - Reduction in cost
 - Protection from failure

5.4.1 Heap File organization

- In this file organization , the records are stored in the file in the order in which they are inserted.
- All the new records are stored at the end of the file . This file organization is also called PILE FILE.
- Inserting a new record is very fast and efficient. But searching a record using any search condition involves a linear search through the file, which is comparatively more time consuming.
- It is generally used to store small files or in cases where data is difficult to organize.

5.4.2 Sequential File Organization

- In sequential file organization, records are stored in a sequential order according to the search key.
- A search key is an attribute or a set of attributes which are used to serialize the records. It is not necessary that search key must be primary key.
- It is simplest method of file organization, Sequential method is based on tape model.
- Devices who support sequential access are magnetic tapes, card readers etc.
- The records are stored in sequential order one after another.
- To reach at the consecutive record from any record pointers are used.
- The pointers are used for fast retrieval of records.

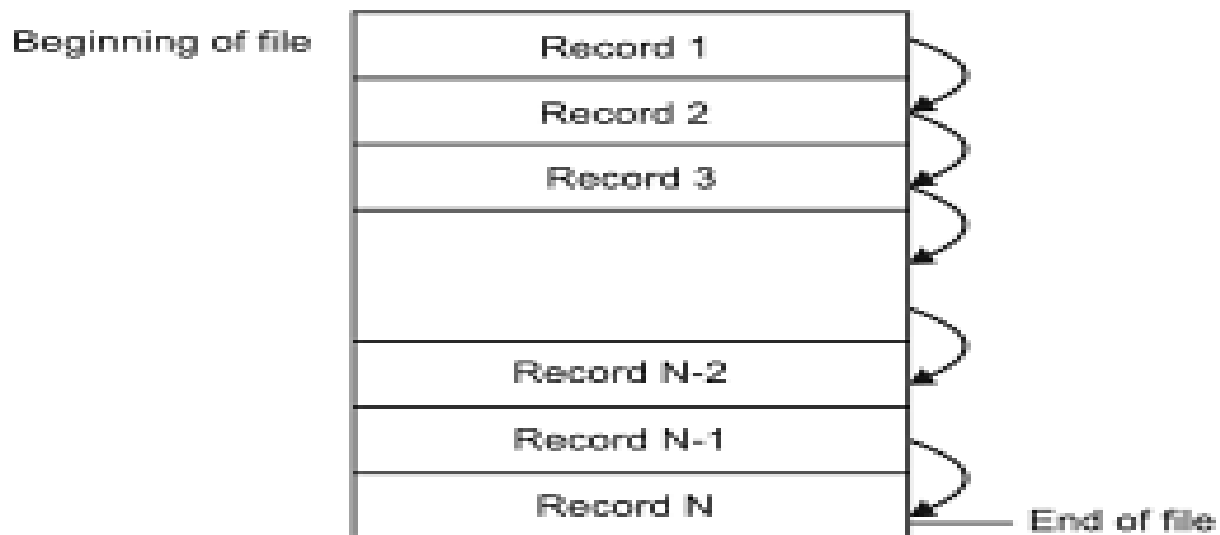


FIGURE 3.3. Sequential file organization.

5.4.3 Index sequential File

- Index sequential file organization is used to overcome the disadvantage of sequential file organization.
- It also preserves the advantages of sequential access. This organization enables fast searching of records with the use of index.
- Some basic terms used:
 - **Block:** Block is a unit of storage in which records are saved.
 - **Index:** Index is a table with a search key by which block of a record can be found.
 - **Pointer:** Pointer is a variable which points from index entry to starting address of block.

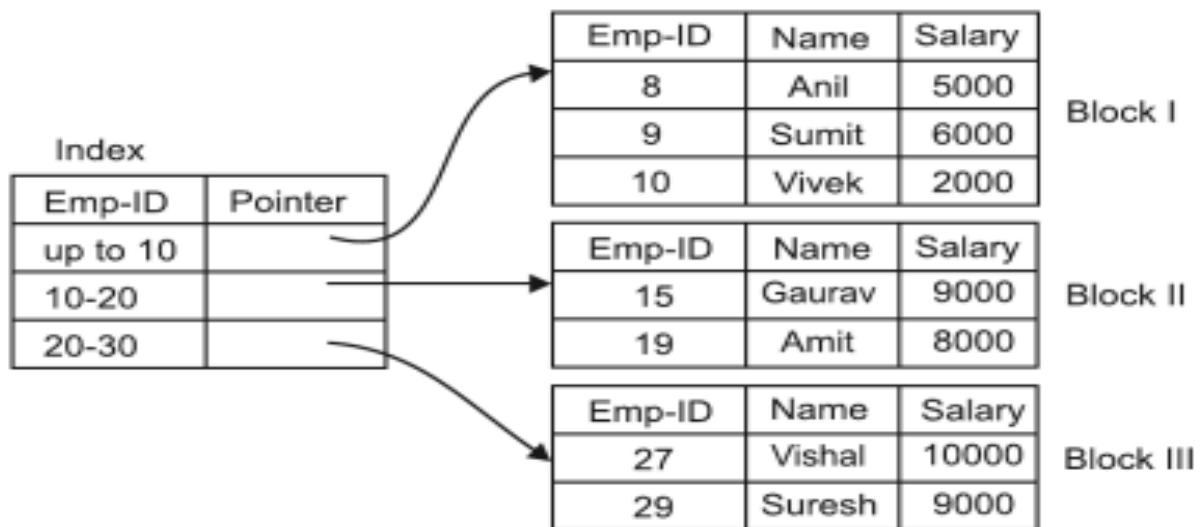


FIGURE 3.13. Index sequential file organization.

To manipulate any record, search key of index is entered to find the starting address of block and then required record is searched sequentially within the block

5.4.5 Hashing

Hashing is a technique by which key field is converted into address of physical location or record by using any function known as hash function.



- (i) **Mid square method** : In mid square hash method, first compute the square of key value and then take the central digits, which is the address of that record. Suppose you have 100 records in one file and the key value is 81. First compute square of 81 which is $(81)^2 = 6561$. After that take central digits of 6561. So, address of record having key value 81 is 56.
- (iii) **Division method** : In division method, divide the key value with any number and take quotient as address of that record. Mostly prime number is taken as divisor. Consider a record having key value 550 which is divided by 5 gives 110 as quotient which is taken as address of that record.
- (iv) **Division-remainder method** : In division-remainder method, divide the key value with any number (Prime number) and take remainder as address of that record. The divisor must be greater than total number of records and small then all key values. Suppose there are 100 records in any file. A record has key value 660 which is divided by 109 gives 6 as remainder which is taken as address of that record.

5.4.5 B Tree Index File

B-tree of order m is a tree which satisfies the following properties:

- Every node has at most m children.
- Every non-leaf node (except root) has at least $\lceil m/2 \rceil$ children.
- The root has at least two children if it is not a leaf node.

5.4.6 Direct File organization

- To meet the requirement to access records randomly direct file organization is used.
- In direct file organization records can be stored anywhere in the storage area but can be accessed directly without any sequential searching.

Assignment V

1. What is the difference between a 2 NF and 3NF relations?
2. What do you mean by file organization? Explain its types
3. Explain the six types of files used in information systems.
4. What is the normalization of a relation? Explain with example.
5. How can you normalize a relation? Explain with suitable example.
6. What do you mean by database normalization? Why is it important?
7. How can you transforming E-R diagram into relations? Explain with suitable example.
8. What are the process for designing forms and reports?
9. You have recently been hired by an appliance repair company. Your first task is to normalize the following relation.

| Client No. | Last Name | Street Address | City | State | Technician No. | Technician Last Name | Service Date | Type of Service |
|---------------|--------------|-------------------|------|-------|-------------------|----------------------------|-----------------|--------------------|
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