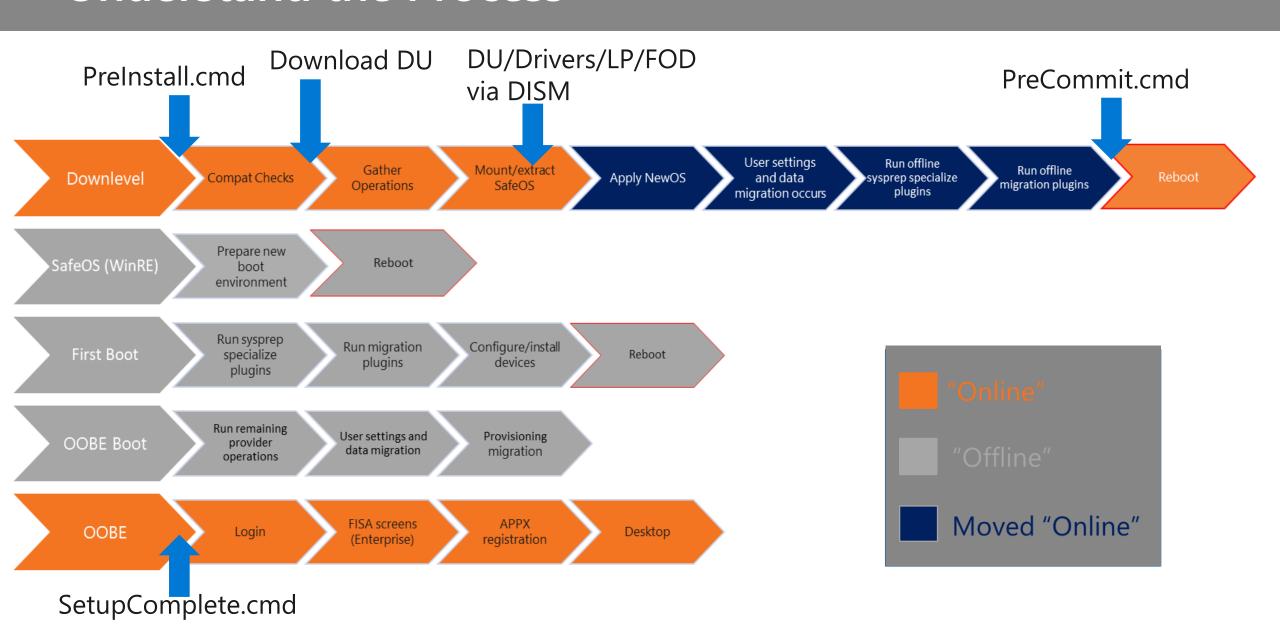
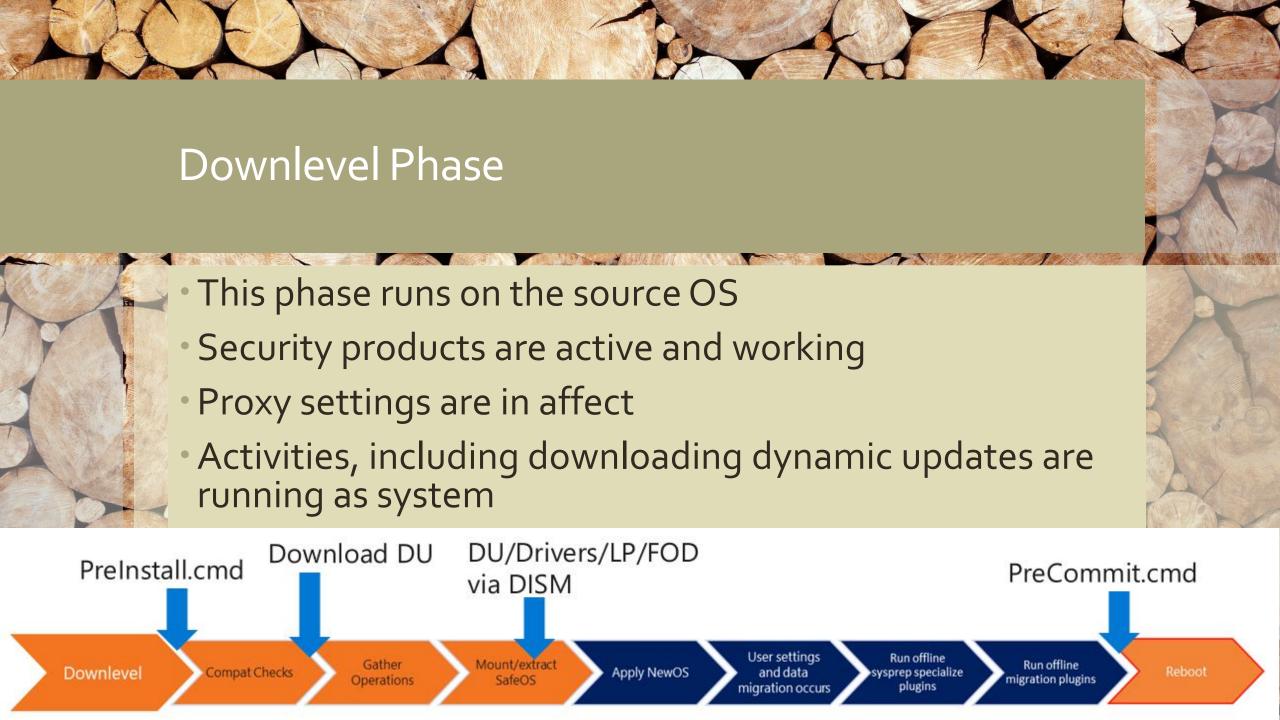
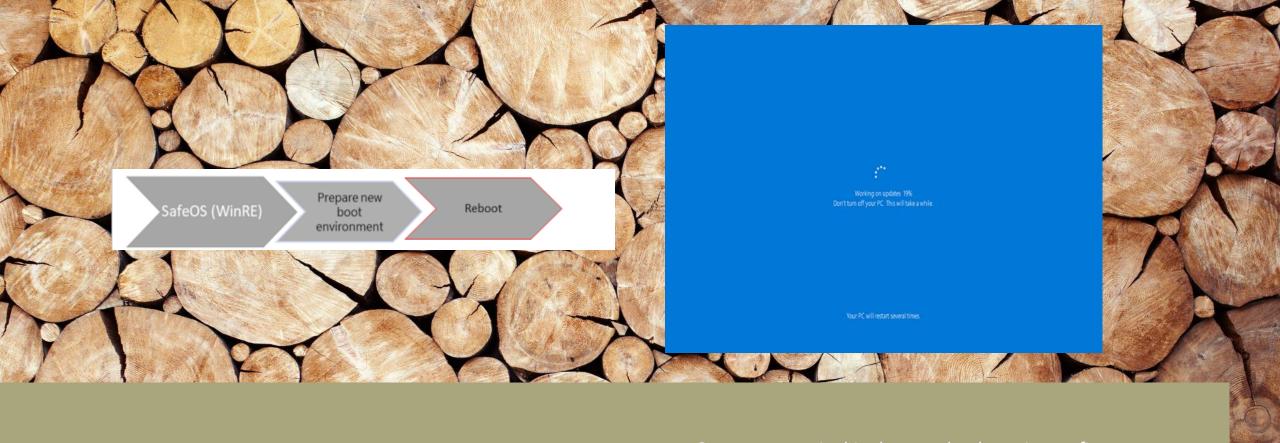


Understand the Process







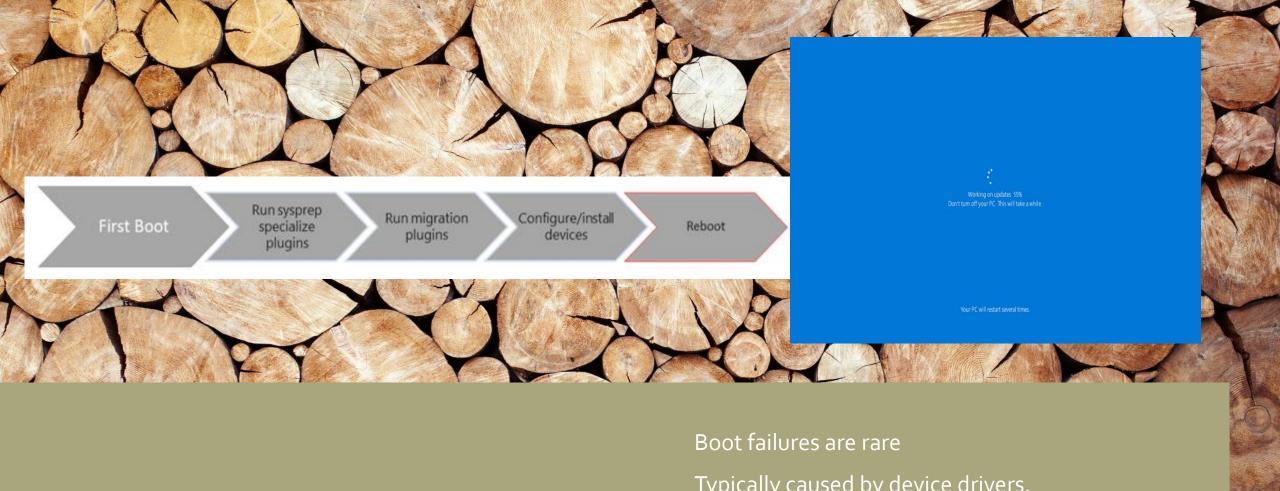
SafeOS phase

Common errors in this phase are hardware issues, firmware issues, or non-microsoft disk encryption software.

Since the computer is booted into Windows PE, use similar troubleshooting techniques.

The source OS is not running

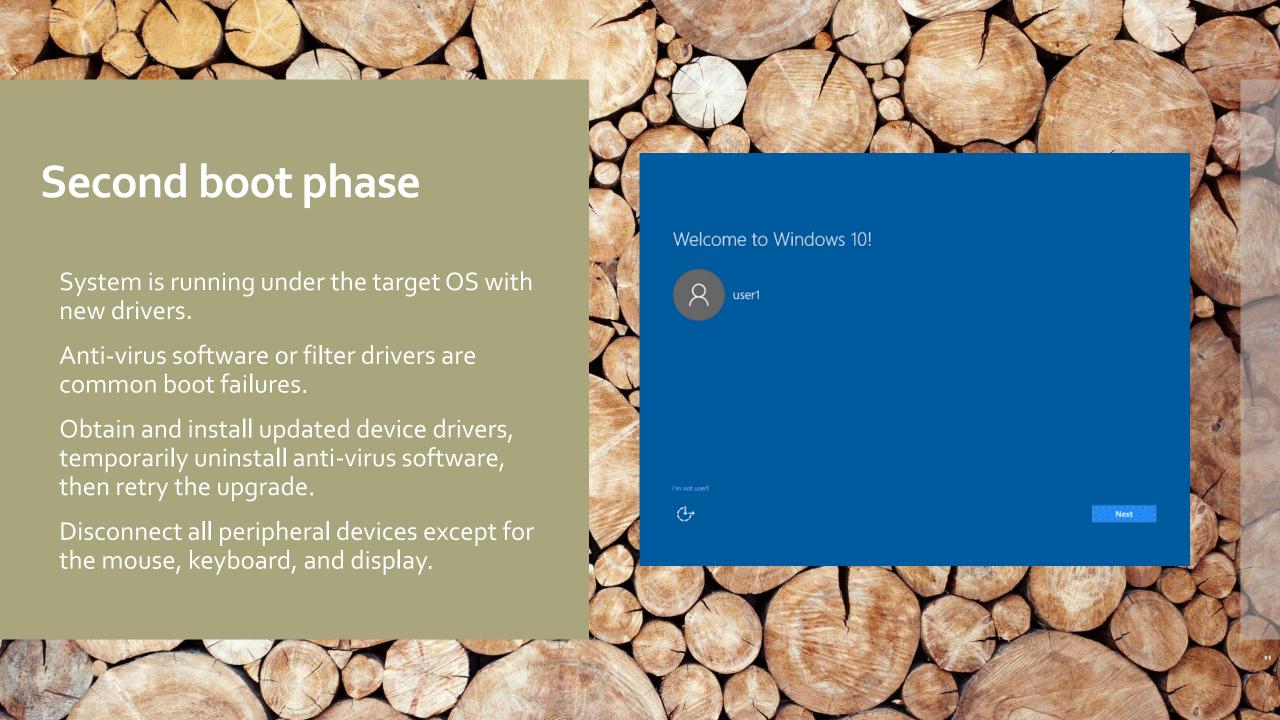
The new OS is not running

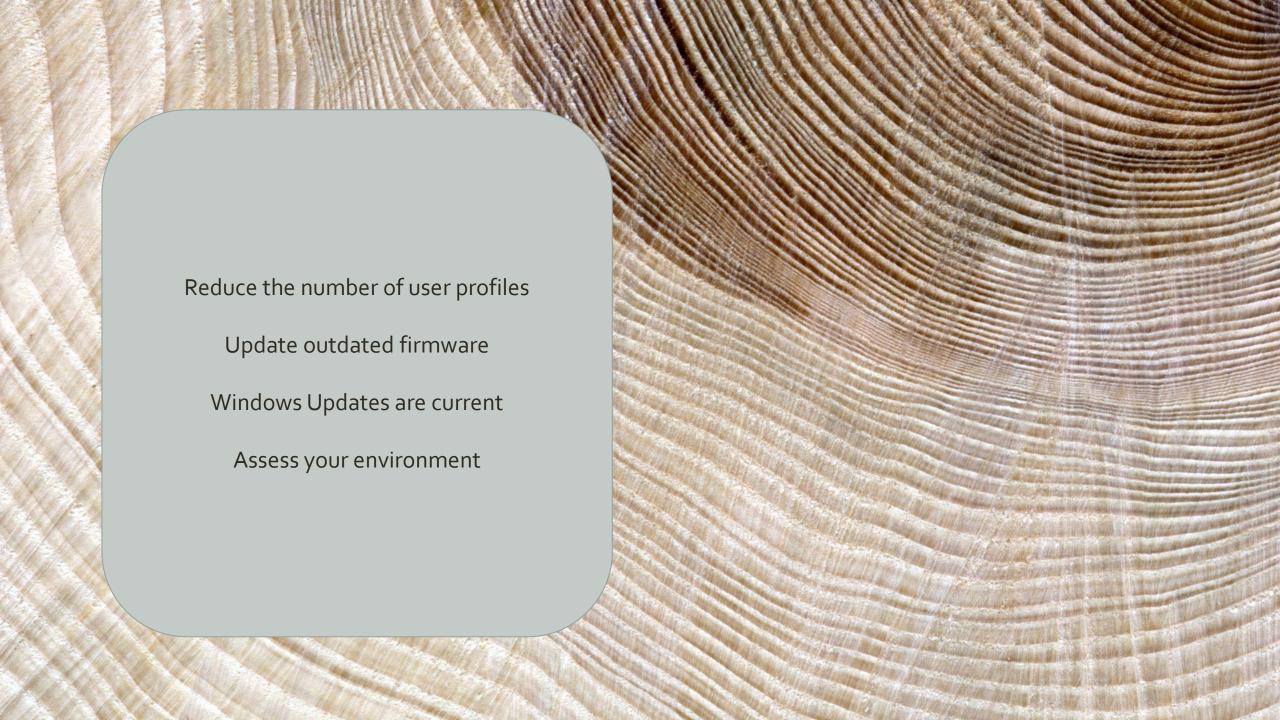


First boot phase

Typically caused by device drivers.

Disconnect all peripheral devices except for the mouse, keyboard, and display. Obtain and install updated device drivers, then retry the upgrade.





Recommended Exclusions



Engage with your security teams

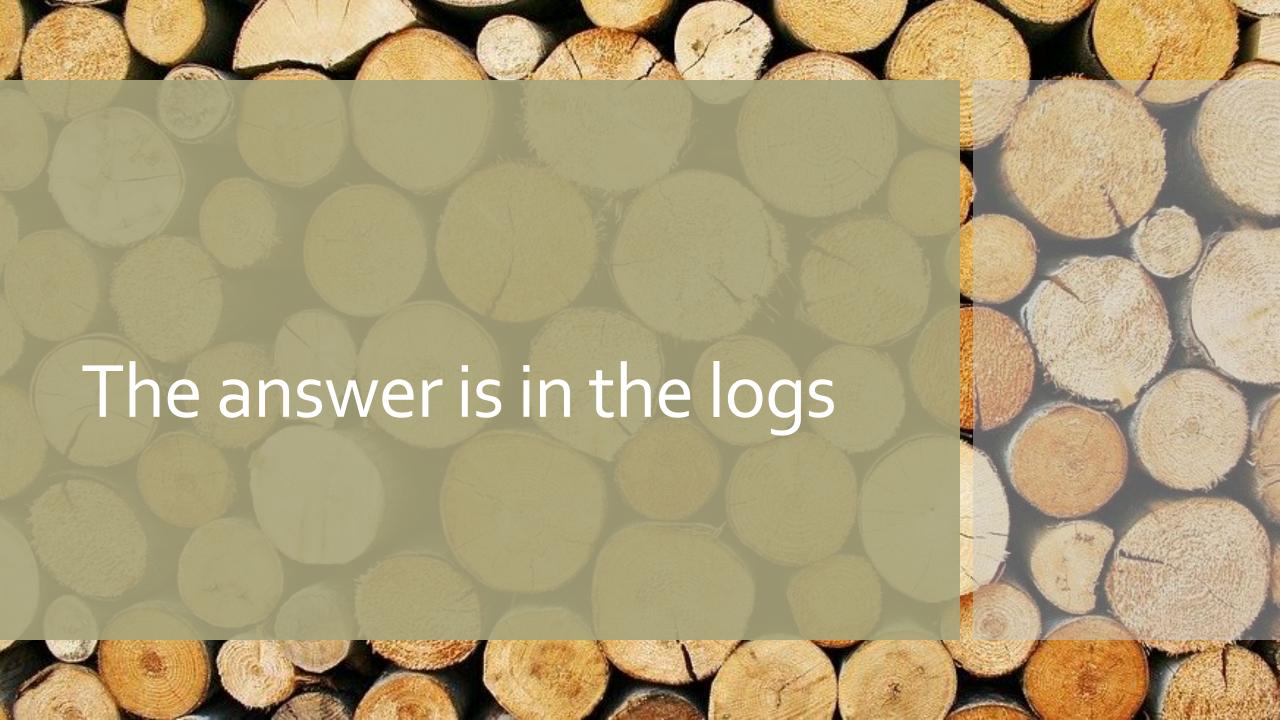


Talk to the security product vendors for their recommended settings

Path
C:\\$WINDOWS.~BT\Sources
C:\\$WINDOWS.~BT\Sources
%system%\Dism
C:\\$WINDOWS.~BT\Sources\
c:\windows\system32
C:\Windows\servicing
C:\WINDOWS\CCM
C:\WINDOWS\CCM
%System%\oobe
%System%\oobe
%System%\oobe

- \\$Windows~.BT*.*
- \\$Windows~.LS*.*
- \Windows\CCM

Be aware! Security software is active during the upgrade process



SetupDiag

Useful switches

https://docs.microsoft.com/en-us/windows/deployment/upgrade/setupdiag

<mark>/Verbose</mark>	This optional parameter will output much more data to a log file. By default, SetupDiag will only produce a log file entry for serious errors. Using /Verbose will cause SetupDiag to always produce an additional log file with debugging details. These details can be useful when reporting a problem with SetupDiag.
/Output: <path file="" results="" to=""></path>	This optional parameter enables you to specify the output file for results. This is where you will find what SetupDiag was able to determine. Only text format output is supported. UNC paths will work, provided the context under which SetupDiag runs has access to the UNC path. If the path has a space in it, you must enclose the entire path in double quotes Default: If not specified, SetupDiag will create the file SetupDiagResults.log in the same directory where SetupDiag.exe is run.
/AddReg	This optional parameter instructs SetupDiag.exe to add failure information to the registry in offline mode. By default, SetupDiag will add failure information to the registry in online mode only. Registry data is added to the following location on the system where SetupDiag is run: HKLM\SYSTEM\Setup\MoSetup\Volatile\SetupDiag.

Logs to Analyze

Tip – Don't use CMTrace

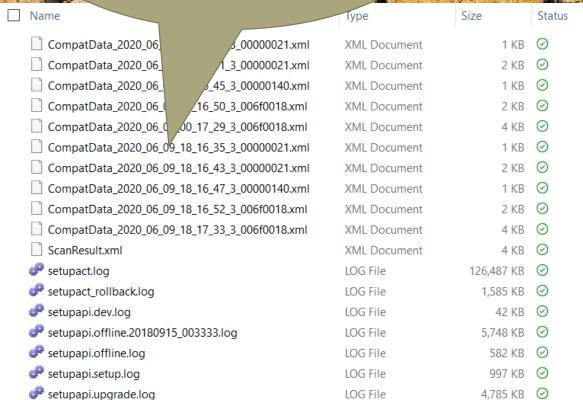
Notepad works well or another log reader that can take large log files

setupact.log*	Contains information about setup upgrade process during the installation.
setuperr.log*	Contains information about setup errors during the installation.
miglog.xml	Contains information about what was migrated during the installation.
BlueBox.log	Contains information communication between setup.exe and Windows Update.
Supplemental rollback logs:	Additional logs collected during rollback.
Setupmem.dmp	If OS bug checks during upgrade, setup will attempt to extract a mini-dump.
setupapi.dev.log	Device install issues - 0x30018
Event logs (*.evt)	Generic rollbacks (oxC1900101) or unexpected reboots.

^{*} Logs collected automatically by SetupDiag







LOG File

SetupDiagResults.log



6/7/2020 12:42 AM

6/7/2020 12:48 AM

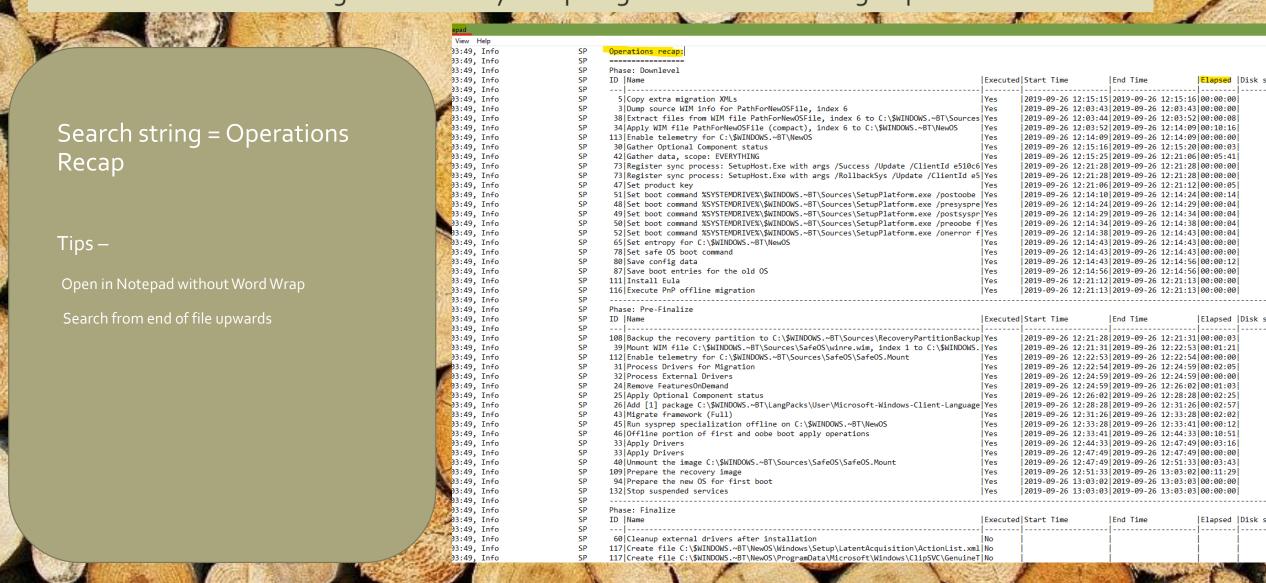
6/7/2020 12:28 AM

6/10/2020 8:32 AM



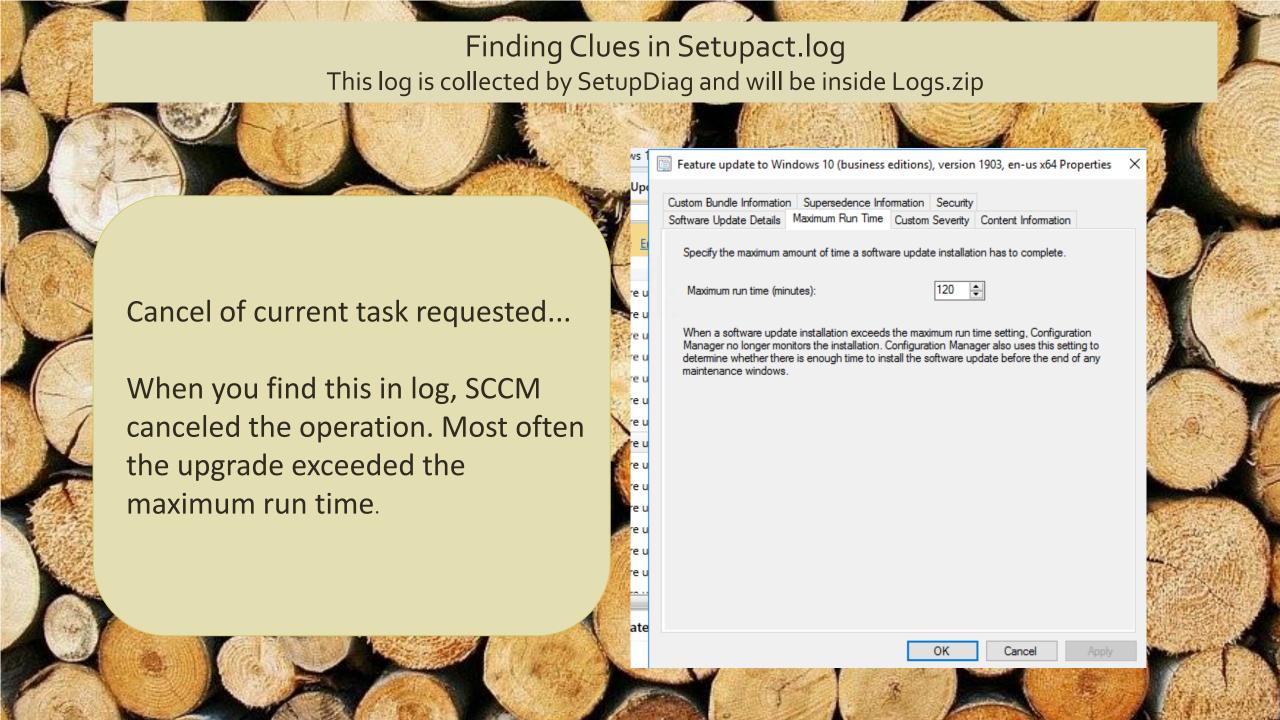


Finding Clues in Setupact.log This log is collected by SetupDiag and will be inside Logs.zip

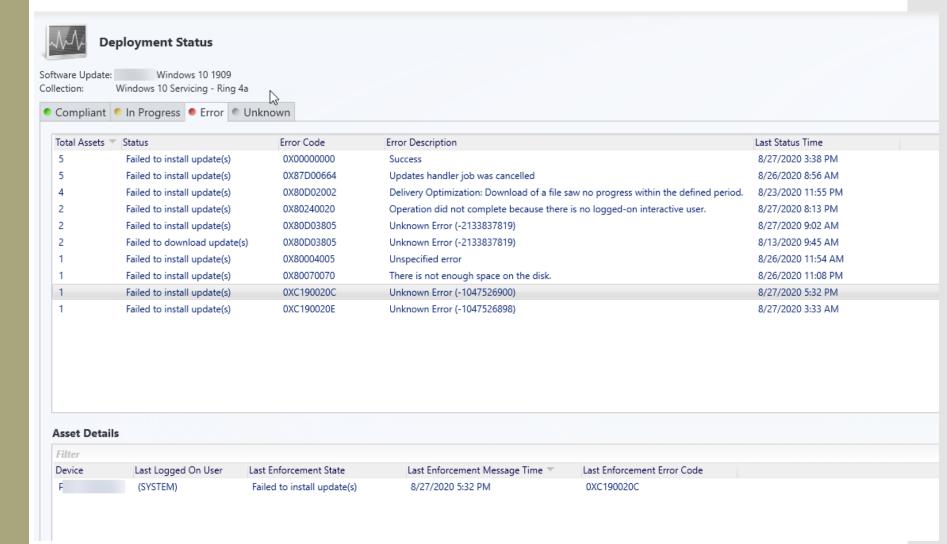


Finding Clues in Setupact.log This log is collected by SetupDiag and will be inside Logs.zip

Search String	Notes	Example
SetupHost::Initialize: CmdLine	Full command line passed with setup.exe There will be multiple cmd lines throughout the log	SetupHost::Initialize: CmdLine = [/Install /Update /Quiet /InstallFile "C:\\$WINDOWS.~BT\Sources\Install.esd" /progressCLSID df46cc43-1efc-401a-a293-625b332f17d6 /ReportId {8CBEAC10-A818-4C54-B461-A2EAFAD7F592}.200 "/ClientId" "a2a673da-9d62-4bf4-9514-2406b392fb5a" "/CorrelationVector" "ly8ycY7oiU+f2stz.17.0.0.3" /WUCachedFileName "18363.418.191007-0143.19h2_release_svc_refresh_CLIENTBUSINESS_VOL_x64FRE_en-us.esd" /BitLocker AlwaysSuspend /Compat IgnoreWarning /Priority Normal /DynamicUpdate Enable /ShowOOBE None /Telemetry Enable /DiagnosticPrompt Enable /PostOOBE C:\~FeatureUpdateTemp\Scripts\SetupComplete.cmd /CopyLogs \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
Processing profile	Shows how many profiles on machine The more profiles, the longer the upgrade	08-25-2020 16:16:37.000 Processing profile: C:\WINDOWS\ServiceProfiles\LocalService 08-25-2020 16:16:37.000 Processing profile: C:\WINDOWS\ServiceProfiles\NetworkService 08-25-2020 16:16:37.000 Processing profile: C:\Users\mxxxxx 08-25-2020 16:16:37.000 Processing profile: C:\Users\ad-xxxxxx 08-25-2020 16:16:37.000 Processing profile: C:\Users\ad-yyyyyy 08-25-2020 16:16:37.000 Processing profile: C:\WINDOWS\ServiceProfiles\HiveStreamingService 08-25-2020 16:16:37.000 Processing profile: C:\WINDOWS\system32\config\systemprofile
MoSetupPlatform: Package	Filter to see size of downloads if DU is used	MoSetupPlatform: Package [Windows10.0-KB4569073-x64.cab] -> install size [52784902] MoSetupPlatform: Package [Windows10.0-KB4565351-x64.cab] -> install size [4217585374] MoSetupPlatform: Package [Windows10.0-KB4513661-x64.cab] -> install size [134358200] MoSetupPlatform: Package [Windows10.0-KB4569073-x64.cab] -> install size [52784902] MoSetupPlatform: Package [Microsoft-Windows-NetFx3-OnDemand-Package~31bf3856ad364e35~amd64~~.cab] -> install size [247885750]
TargetLanguageIsCompatibleForUpgrade	Can ID multiple language conflicts	08-25-2020 15:45:10.000 CONX ConX::Compatibility::CSystemAbstraction::TargetLanguageIsCompatibleForUpgrade: Target language en-US is compatible with the host language (lang.ini path: C:\\$WINDOWS.~BT\Sources\lang.ini).
Last boot time:	This machine had both expected reboots	08-02-2020 08:27:42.000 CBS TI: Last boot time: 2020-08-02 08:26:58.500 08-02-2020 08:29:45.000 CBS TI: Last boot time: 2020-08-02 08:29:28.500



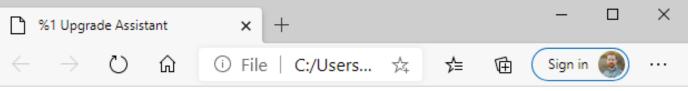
Deployment Monitoring



SetupDiag Reporting

All	nt Name	∨ ResourceID ∨ All	✓ Profile Name ✓ All		~			6336		53
ran-		Z. I. OH.					Set	apDiag Succ	ess S	etupDiag Failures
ailure Count	Failure Profile	Failure Details			Cust	tom upDiag Result	ResourceID	Error	Extend Code	Log Entry Date
	DiskSpaceBlockInDownLevel, FindAbruptDownlevelFailure	DiskSpace = 10151, Finalize	ErrorCode = 0xC190020E, ExC	ode = 0x4001E, Oper =	Faile	ed	16839767	0xC190020E	0x4001E	2020-08-27 16:33:3
	DiskSpaceBlockInDownLevel, FindAbruptDownlevelFailure	DiskSpace = 8065, I	ErrorCode = 0xC190020E, ExCo	de = 0x4001E, Oper = Finalize	Faile	ed	16829144	0xC190020E	0x4001E	2020-08-27 21:26:5
	DiskSpaceBlockInDownLevel, FindAbruptDownlevelFailure	DiskSpace = 9437, I	ErrorCode = 0xC190020E, ExCo	de = 0x4001E, Oper = Finalize	Faile	ed	16839556	0xC190020E	0x4001E	2020-07-26 12:38:10
1	DISMI mage Session Failure	Function = CDISMN	to get the IDismimage instanc Manager::CloselmageSession, Eart art suspended services, LastPha	rror = 0x800401fd,	Faile	ed	16817474			
1	DISMImageSessionFailure	= CDISMManager::	e occurred during shutdown of CloselmageSession, Error = 0x8 rvices, LastPhase = End		Faile	ed	16847108			
54	7.2011				-					
Resource	eID Assignment Name	Status Error Code	Status Description	Hex Error Code	^	ProfileName()			Count of Resource
	eID Assignment Name	Status Error Code	Status Description	Hex Error Code	^					Count of Resource
168389	963 Windows 10 1909 - Ring 4a	-2147467259	Failed to install update(s)	80004005	^	ProfileName0				58
168389	963 Windows 10 1909 - Ring 4a 980 Windows 10 1909 - Ring 4a	-2147467259 -2147024784	Failed to install update(s) Failed to install update(s)	80004005 80070070	^	FindSuccessfu				58
168389 168439 16821	963 Windows 10 1909 - Ring 4a 980 Windows 10 1909 - Ring 4a 138 Windows 10 1909 - Ring 1	-2147467259 -2147024784 -2145124320	Failed to install update(s) Failed to install update(s) Failed to install update(s)	80004005 80070070 80240020		FindSuccessful BareMetal	ulUpgrade	n Diemiecahla		58
168389 168439 168211 168513	963 Windows 10 1909 - Ring 4a 980 Windows 10 1909 - Ring 4a 138 Windows 10 1909 - Ring 1 388 Windows 10 1909 - Ring 4a	-2147467259 -2147024784 -2145124320 -2145124320	Failed to install update(s) Failed to install update(s) Failed to install update(s) Failed to install update(s)	80004005 80070070 80240020 80240020	î	FindSuccessfo BareMetal CompatBlock	ulUpgrade edApplication	n Dismissable,		58
168389 168439 168211 168513 16846	963 Windows 10 1909 - Ring 4a 980 Windows 10 1909 - Ring 4a 138 Windows 10 1909 - Ring 1 388 Windows 10 1909 - Ring 4a 121 Windows 10 1909 - Ring 3	-2147467259 -2147024784 -2145124320 -2145124320 -2145123262	Failed to install update(s)	80004005 80070070 80240020 80240020 80240442	THE STATE OF THE S	FindSuccessful BareMetal CompatBlock FindSuccessful	ulUpgrade edApplication ulUpgrade	n Dismissable,		58 6
168389 168439 16821 168513 16846 168299	963 Windows 10 1909 - Ring 4a 980 Windows 10 1909 - Ring 4a 138 Windows 10 1909 - Ring 1 388 Windows 10 1909 - Ring 4a 121 Windows 10 1909 - Ring 3 508 Windows 10 1909 - Ring 4a	-2147467259 -2147024784 -2145124320 -2145124320 -2145123262 -2133843966	Failed to install update(s)	80004005 80070070 80240020 80240020 80240442 80D02002		FindSuccessful BareMetal CompatBlock FindSuccessful NoMatchFou	ulUpgrade edApplication ulUpgrade nd			58
168389 16846 16846 16830	963 Windows 10 1909 - Ring 4a 980 Windows 10 1909 - Ring 4a 138 Windows 10 1909 - Ring 1 388 Windows 10 1909 - Ring 4a 121 Windows 10 1909 - Ring 3 508 Windows 10 1909 - Ring 4a 161 Windows 10 1909 - Ring 4a	-2147467259 -2147024784 -2145124320 -2145124320 -2145123262 -2133843966 -2133843966	Failed to install update(s)	80004005 80070070 80240020 80240020 80240442 80D02002 80D02002		FindSuccessful BareMetal CompatBlock FindSuccessful NoMatchFou FindAbruptDo	ulUpgrade edApplication ulUpgrade nd ownlevelFailu			58 6
16838 16843 16821 16851 16846 16829 16830 16843	963 Windows 10 1909 - Ring 4a 980 Windows 10 1909 - Ring 4a 138 Windows 10 1909 - Ring 1 388 Windows 10 1909 - Ring 4a 121 Windows 10 1909 - Ring 3 508 Windows 10 1909 - Ring 4a 161 Windows 10 1909 - Ring 4a 862 Windows 10 1909 - Ring 4a	-2147467259 -2147024784 -2145124320 -2145124320 -2145123262 -2133843966 -2133843966 -2133843966	Failed to install update(s)	80004005 80070070 80240020 80240020 80240442 80D02002 80D02002 80D02002		FindSuccessful BareMetal CompatBlock FindSuccessful NoMatchFou	ulUpgrade edApplication ulUpgrade nd ownlevelFailure	re		58 6
168389 168439 16821 168513 16846 168299 16830 168430 168434	963 Windows 10 1909 - Ring 4a 980 Windows 10 1909 - Ring 4a 138 Windows 10 1909 - Ring 1 388 Windows 10 1909 - Ring 4a 121 Windows 10 1909 - Ring 3 508 Windows 10 1909 - Ring 4a 161 Windows 10 1909 - Ring 4a 862 Windows 10 1909 - Ring 4a 874 Windows 10 1909 - Ring 4a	-2147467259 -2147024784 -2145124320 -2145124320 -2145123262 -2133843966 -2133843966 -2133843966 -2133843966	Failed to install update(s)	80004005 80070070 80240020 80240020 80240442 80D02002 80D02002 80D02002 80D02002		FindSuccessful BareMetal CompatBlock FindSuccessful NoMatchFour FindAbruptDo DISMImageSo	ulUpgrade ulUpgrade ulUpgrade nd ownlevelFailure eckInDownLev	re el,		58 6
168389 168439 168513 16846 16829 16830 168433 168433	963 Windows 10 1909 - Ring 4a 980 Windows 10 1909 - Ring 4a 138 Windows 10 1909 - Ring 1 388 Windows 10 1909 - Ring 4a 121 Windows 10 1909 - Ring 3 508 Windows 10 1909 - Ring 4a 161 Windows 10 1909 - Ring 4a 862 Windows 10 1909 - Ring 4a 874 Windows 10 1909 - Ring 4a 179 Windows 10 1909 - Ring 4a	-2147467259 -2147024784 -2145124320 -2145124320 -2145123262 -2133843966 -2133843966 -2133843966 -2133843966 -2133843966 -2133837819	Failed to install update(s)	80004005 80070070 80240020 80240020 80240442 80D02002 80D02002 80D02002 80D02002 80D02002 80D03805		FindSuccessfor BareMetal CompatBlock FindSuccessfor NoMatchFour FindAbruptDr DISMImageScopiskSpaceBlo	edApplication ulUpgrade nd ownlevelFailur essionFailure ockInDownLev ownlevelFailur	re el, re		58 6
168389 168439 16821 16851 16846 16829 16830 168431 16847 16842	963 Windows 10 1909 - Ring 4a 980 Windows 10 1909 - Ring 4a 138 Windows 10 1909 - Ring 1 388 Windows 10 1909 - Ring 4a 121 Windows 10 1909 - Ring 3 508 Windows 10 1909 - Ring 4a 161 Windows 10 1909 - Ring 4a 162 Windows 10 1909 - Ring 4a 179 Windows 10 1909 - Ring 4a 179 Windows 10 1909 - Ring 4a 184 Windows 10 1909 - Ring 4a	-2147467259 -2147024784 -2145124320 -2145124320 -2145123262 -2133843966 -2133843966 -2133843966 -2133843966 -2133837819 -2133837819	Failed to install update(s)	80004005 80070070 80240020 80240020 80240442 80D02002 80D02002 80D02002 80D02002 80D03805 80D03805		FindSuccessfor BareMetal CompatBlock FindSuccessfor NoMatchFour FindAbruptDo DISMImageSo DiskSpaceBlo FindAbruptDo	edApplication ulUpgrade nd ownlevelFailure eckInDownLev ownlevelFailure wedApplication	re el, re		58 6
168389 168439 16821 16851 16846 16829 16830 16843 16842 16842 16842	963 Windows 10 1909 - Ring 4a 980 Windows 10 1909 - Ring 4a 138 Windows 10 1909 - Ring 1 388 Windows 10 1909 - Ring 4a 121 Windows 10 1909 - Ring 3 508 Windows 10 1909 - Ring 4a 161 Windows 10 1909 - Ring 4a 862 Windows 10 1909 - Ring 4a 874 Windows 10 1909 - Ring 4a 179 Windows 10 1909 - Ring 4a	-2147467259 -2147024784 -2145124320 -2145123262 -2133843966 -2133843966 -2133843966 -2133843966 -2133837819 -2133837819 -2133837819	Failed to install update(s)	80004005 80070070 80240020 80240020 80240442 80D02002 80D02002 80D02002 80D02002 80D03805 80D03805 80D03805		FindSuccessformats of the compatible of the comp	edApplication ulUpgrade nd ownlevelFailure eckInDownLev ownlevelFailure wedApplication	re el, re		58 6

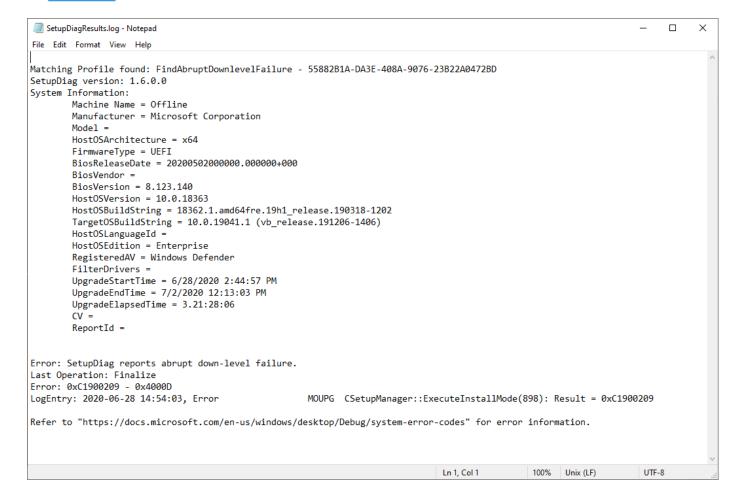
Finding the Source of Hard Blocks



This PC can't be upgraded to Windows 10.

Your PC settings aren't supported yet on this version of Windows 10. Microsoft is working to support your settings soon. No action is needed. Windows Update will offer this version of Windows 10 automatically when these settings are supported.

Learn More



Demo FU.WhyAmIBlocked

```
\Output 20200828 010313\Bin/
++ copying C:\Users\grossac\OneDrive - A Square Dozen\Shared\Microsoft\Suda\$WINDOWS.~BT\$WINDOWS.~BT\Sources\Panther\P6MUWe3Hb0aa0MLV.9.8.0.0 APPRAISER EverythingAppUninterest
ks\Output 20200828 010313\Bin/
++ copying C:\Users\grossac\OneDrive - A Square Dozen\Shared\Microsoft\Suda\$WINDOWS.~BT\$WINDOWS.~BT\Sources\Panther\P6MUNe3Hb0aa0MLV.9.8.0.0 APPRAISER EverythingDevInteresting
\Output 20200828 010313\Bin\
++ copying C:\Users\grossac\OneDrive - A Square Dozen\Shared\Microsoft\Suda\$WINDOWS.~BT\$WINDOWS.~BT\Sources\Panther\P6MUWe3Hb0aa0MLV.9.8.0.0 APPRAISER EverythingDevUninterest
ks\Output 20200828 010313\Bin\
+ Converting C:\FeatureUpdateBlocks\Output 20200828 010313\Bin\AltSrc P6MUWe3Hb0aa0MLV.9.4.0.0 APPRAISER EverythingSys.bin to .xml .. v
+ Converting C:\FeatureUpdateBlocks\Output 20200828 010313\Bin\AltSrc P6MUWe3Hb0aa0MLV.9.8.0.0 APPRAISER EverythingAppInteresting.bin to .xml .. √
+ Converting C:\FeatureUpdateBlocks\Output 20200828 010313\Bin\AltSrc P6MUNe3Hb0aa0MLV.9.8.0.0 APPRAISER EverythingAppUninteresting.bin to .xml .. v
+ Converting C:\FeatureUpdateBlocks\Output 20200828 010313\Bin\AltSrc P6MUNe3Hb0aa0MLV.9.8.0.0 APPRAISER EverythingDevInteresting.bin to .xml .. √
+ Converting C:\FeatureUpdateBlocks\Output 20200828 010313\Bin\AltSrc P6MUNe3Hb0aa0MLV.9.8.0.0 APPRAISER EverythingDevUninteresting.bin to .xml .. v
+ Finding block entries in C:\FeatureUpdateBlocks\Output 20200828 010313\XML\AltSrc P6MUWe3Hb0aa0MLV.9.4.0.0 APPRAISER EverythingSys.bin HUMANREADABLE.XML files.. V
+ Finding block entries in C:\FeatureUpdateBlocks\Output 20200828 010313\XML\AltSrc P6MUWe3Hb0aa0MLV.9.4.0.0 APPRAISER HumanReadable.xml files.. v
+ Finding block entries in C:\FeatureUpdateBlocks\Output 20200828 010313\XML\AltSrc P6MUWe3Hb0aa0MLV.9.8.0.0 APPRAISER EverythingAppInteresting.bin HUMANREADABLE.XML files.. V
+ Finding block entries in C:\FeatureUpdateBlocks\Output 20200828 010313\XML\AltSrc P6MUWe3Hb0aa0MLV.9.8.0.0 APPRAISER EverythingAppUninteresting.bin HUMANREADABLE.XML files...
+ Finding block entries in C:\FeatureUpdateBlocks\Output 20200828 010313\XML\AltSrc P6MUWe3Hb0aa0MLV.9.8.0.0 APPRAISER EverythingDevInteresting.bin HUMANREADABLE.XML files.. V
+ Finding block entries in C:\FeatureUpdateBlocks\Output 20200828 010313\XML\AltSrc P6MUNe3Hb0aa0MLV.9.8.0.0 APPRAISER EverythingDevUninteresting.bin HUMANREADABLE.XML files..
+ Finding block entries in C:\FeatureUpdateBlocks\Output 20200828 010313\XML\AltSrc P6MUWe3Hb0aa0MLV.9.8.0.0 APPRAISER HumanReadable.xml files.. v
+ Results output to C:\FeatureUpdateBlocks\Output 20200828 010313\Results.txt.. \
+ Extracting C:\FeatureUpdateBlocks\Output 20200828 010313\CABs\AltSrc AltData.cab.. V
+ Finding .sdb files.. √
+ Expanding C:\FeatureUpdateBlocks\Output 20200828 010313\AppraiserData\AltSrc AltData\appraiser.sdb to C:\FeatureUpdateBlocks\Output 20200828 010313\AppraiserData\AltSrc AltData
416.sdb.. √
+ Converting sdb to xml.. √
+ Expanding C:\FeatureUpdateBlocks\Output 20200828 010313\AppraiserData\AltSrc appraiser.sdb to C:\FeatureUpdateBlocks\Output 20200828 010313\AppraiserData\AltSrc appraiser.sdb
+ Converting sdb to xml.. √
+ Finding block entries in Appraiser database...
++ Finding block entries in C:\FeatureUpdateBlocks\Output 20200828 010313\AltSrc AltData appraiser.sdb ver 2416.XML..
++ Matches output to C:\FeatureUpdateBlocks\Output 20200828 010313\AltSrc AltData appraiser.sdb ver 2416 Matches.txt..
++ Finding block entries in C:\FeatureUpdateBlocks\Output 20200828 010313\AppraiserData AltSrc appraiser.sdb ver unknown.XML..
++ Matches output to C:\FeatureUpdateBlocks\Output 20200828 010313\AppraiserData AltSrc appraiser.sdb ver unknown Matches.txt..
+ Finding and exporting block bypass.. √
```

Resources

- 100 Level
 - https://docs.microsoft.com/en-us/windows/deployment/upgrade/quickfixes
 - https://support.microsoft.com/en-us/help/928901/log-files-that-are-created-when-you-upgrade-to-a-new-version-of-window
- 200 Level
 - https://docs.microsoft.com/enus/windows/deployment/upgrade/resolution-procedures
- 300 Level
 - https://docs.microsoft.com/enus/windows/deployment/upgrade/troubleshoot-upgrade-errors
 - https://docs.microsoft.com/enus/windows/deployment/upgrade/windows-error-reporting
- 400 Level
 - https://docs.microsoft.com/enus/windows/deployment/upgrade/upgrade-error-codes
 - https://docs.microsoft.com/en-us/windows/deployment/upgrade/logfiles

Links to Adam's Stuff

- https://www.asquaredozen.com/2020/07/26/demystifying-windows-10-feature-update-blocks/
- https://www.asquaredozen.com/2019/10/05/windows-10-feature-updates-the-challenge-of-servicing-in-the-enterprise/
- https://www.asquaredozen.com/2019/08/25/windows-10-feature-updates-in-updates-using-setupconfig-ini-to-manage-feature-updates-in-the-enterprise/
- https://www.asquaredozen.com/2019/08/25/windows-10-feature-updates-using-custom-action-scripts/
- https://www.asquaredozen.com/2019/08/22/windows-10-feature-updates-leveraging-setupdiag-for-better-reporting/
- https://github.com/AdamGrossTX/Windows1oFeatureUpdates
- https://youtu.be/B8pLD337fbE?t=3484