

CSCI 104 Recursion

Mark Redekopp David Kempe



USC Viterbi School of Engineering

Recursion

- Problem in which the solution can be expressed in terms of itself (usually a smaller instance/input of the same problem) and a base/terminating case
- Input to the problem must be categorized as a:
 - Base case: Solution known beforehand or easily computable (no recursion needed)
 - Recursive case: Solution can be described using solutions to smaller problems of the same type
 - Keeping putting in terms of something smaller until we reach the base case
- Factorial: n! = n * (n-1) * (n-2) * ... * 2 * 1
 - n! = n * (n-1)!
 - Base case: n = 1



Recursive Functions

- ➤ Recall the system stack essentially provides separate areas of memory for each 'instance' of a function
- Thus each local variable and actual parameter of a function has its own value within that particular function instance's memory space

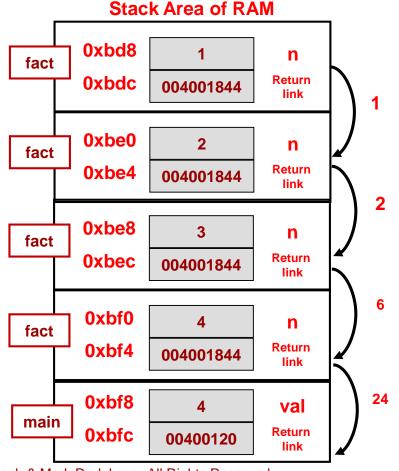
C Code:

```
int fact(int n)
  if(n == 1) {
     // base case
     return 1;
  else {
     // recursive case
     return = n * fact(n-1);
```



Recursion & the Stack

Must return back through the each call

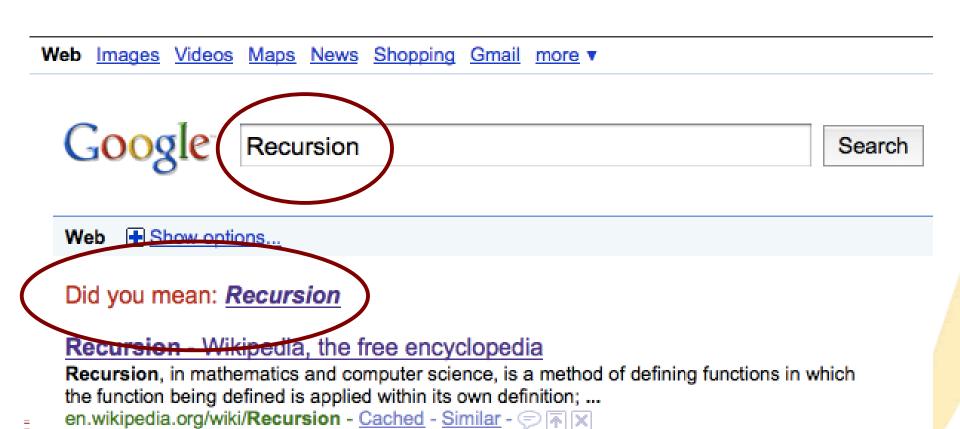


```
int fact(int n)
  if(n == 1) {
     // base case
     return 1;
  else {
     // recursive case
     return = n * fact(n-1);
int main()
  int val = 4;
  cout << fact(val) << endl;</pre>
}
```



Recursion

➤ Google is in on the joke too...





Recursive Functions

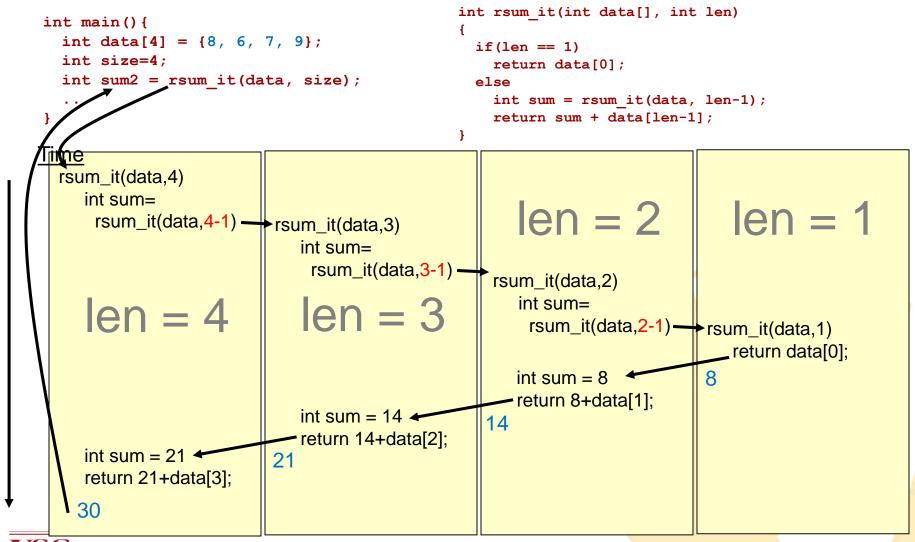
Many loop/iteration based approaches can be defined recursively as well

C Code:

```
int main()
  int data[4] = \{8, 6, 7, 9\};
  int size=4:
  int sum1 = isum it(data, size);
 int sum2 = rsum it(data, size);
int isum it(int data[], int len)
  sum = data[0];
  for(int i=1; i < len; i++) {
    sum += data[i];
int rsum it(int data[], int len)
  if(len == 1)
    return data[0];
 else
    int sum = rsum it(data, len-1);
    return sum + data[len-1];
```



Recursive Call Timeline



Each instance of rsum_it has its own len argument and sum variable



System Stack & Recursion

➤ The system stack makes recursion possible by providing separate memory storage for the local variables of each running instance of the function

System Memory

(RAM)

```
Data for rsum_it (data=800, len=1, sum=??) and return link

Data for rsum_it (data=800, len=2, sum=8) and return link

Data for rsum_it (data=800, len=3, sum=14) and return link

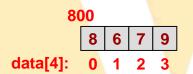
Data for rsum_it (data=800, len=4, sum=21) and return link

Data for main (data=800, size=4, sum1=??, sum2=??) and return link

System stack area
```

Code for all functions

```
int main()
  int data[4] = \{8, 6, 7, 9\};
 int size=4;
 int sum2 = rsum it(data, size);
int rsum it(int data[], int len)
  if(len == 1)
    return data[0];
 else
    int sum =
         rsum it(data, len-1);
    return sum + data[len-1];
```







Head vs. Tail Recursion

- Head Recursion: Recursive call is made before the real work is performed in the function body
- ➤ Tail Recursion: Some work is performed and then the recursive call is made

Tail Recursion

```
void doit(int n)
{
   if(n == 1) cout << "Stop";
   else {
     cout << "Go" << endl;
     doit(n-1);
   }
}</pre>
```

Head Recursion

```
void doit(int n)
{
   if(n == 1) cout << "Stop";
   else {
     doit(n-1);
     cout << "Go" << endl;
   }
}</pre>
```

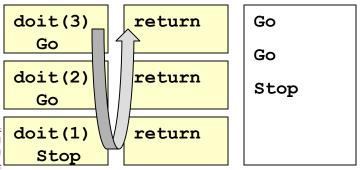


Head vs. Tail Recursion

- Head Recursion: Recursive call is made before the real work is performed in the function body
- ➤ Tail Recursion: Some work is performed and then the recursive call is made

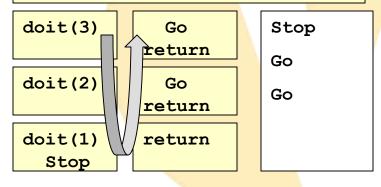
Tail Recursion

```
Void doit(int n)
{
   if(n == 1) cout << "Stop";
   else {
     cout << "Go" << endl;
     doit(n-1);
   }
}</pre>
```



Head Recursion

```
Void doit(int n)
{
   if(n == 1) cout << "Stop";
   else {
     doit(n-1);
     cout << "Go" << endl;
   }
}</pre>
```





Head or Tail

```
int main()
 int data[4] = \{8, 6, 7, 9\};
 int size=4;
 int sum2 = rsum it(data, size);
int rsum it(int data[], int len)
 if(len == 1)
    return data[0];
  else
    int sum =
         sum them(data, len-1);
    return sum + data[len-1];
```

Head

```
int main()
  int data[4] = \{1, 6, 7, 9\};
  int target = 3
 bsearch(data, 0, 4, target);
int bsearch(int data[],
            int start, int end,
            int target)
  if(end >= start)
    return -1;
  int mid = (start+end)/2;
  if(target == data[mid])
    return mid;
  else if(target < data[mid])</pre>
    return bsearch(data, start, mid,
                    target);
  else
    return bsearch(data, mid, end,
                    target);
```



Loops & Recursion

> Is it better to use recursion or iteration?

- ANY problem that can be solved using recursion can also be solved with iteration and vice versa
- Usually, a routine with a single recursive call can be implemented just as well or better with iteration
- When multiple recursive calls are made in the definition (i.e. in a loop), recursion often becomes <u>much</u> simpler

Why use recursion?

- Usually clean & elegant. Easier to read.
- Sometimes generates much simpler code than iteration would
- Sometimes iteration will be almost impossible

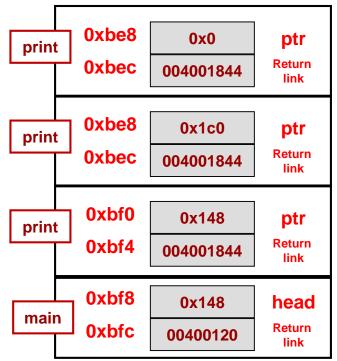
➤ How do you choose?

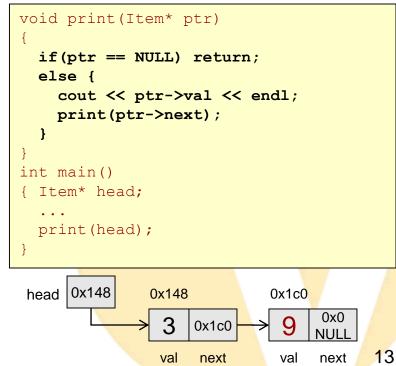
- Iteration is usually faster and uses less memory
- However, if iteration produces a very complex solution, consider recursion



Recursive Operations on Linked List

- Many linked list operations can be recursively defined
- Can we make a recursive iteration function to print items?
 - Recursive case: Print one item then the problem becomes to print the n-1 other items.
 - Notice that any 'next' pointer can be though of as a 'head' pointer to the remaining sublist
 - Base case: Empty list (i.e. Null pointer)
- How could you print values in reverse order?

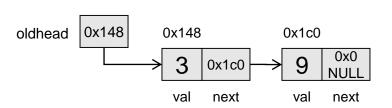






Recursive Copy

How could you make a copy of a linked list using recursion



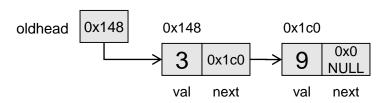
```
newhead ???
```

```
struct Item {
int val;
Item* next;
Item(int v, Item* n) {
  val = v; next = n;
};
Item* copyLL(Item* head)
 if(head == NULL) return NULL;
 else {
  }
int main()
{ Item* oldhead, *newhead;
 newhead = copyLL(oldhead);
```



Recursive Copy

How could you make a copy of a linked list using recursion



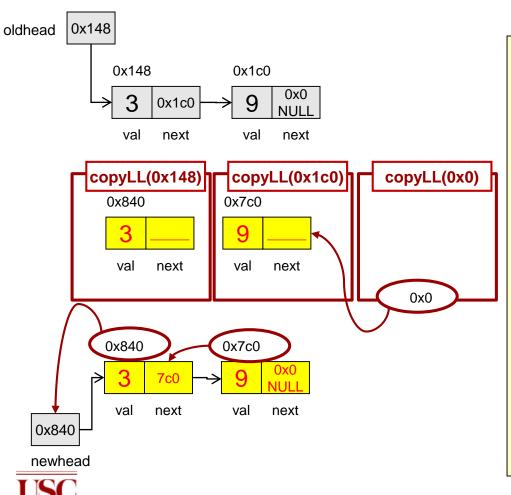
```
newhead ???
```

```
struct Item {
int val;
Item* next;
Item(int v, Item* n) {
  val = v; next = n;
Item* copyLL(Item* head)
 if(head == NULL) return NULL;
 else {
    return new Item(head->val,
                    copyLL(head->next));
int main()
{ Item* oldhead, *newhead;
 newhead = copyLL(oldhead);
```



Recursive Copy

How could you make a copy of a linked list using recursion



```
struct Item {
int val;
Item* next;
Item(int v, Item* n) {
  val = v; next = n;
Item* copyLL(Item* head)
 if(head == NULL) return NULL;
 else {
    return new Item (head->val,
                     copvLL(head->next));
int main()
{ Item* oldhead, *newhead;
 newhead = copyLL(oldhead);
```



BACKTRACK SEARCH ALGORITHMS





Generating All Combinations

- Recursion offers a simple way to generate all combinations of N items from a set of options, S
 - Example: Generate all 2-digit decimal numbers (N=2, S={0,1,...,9})





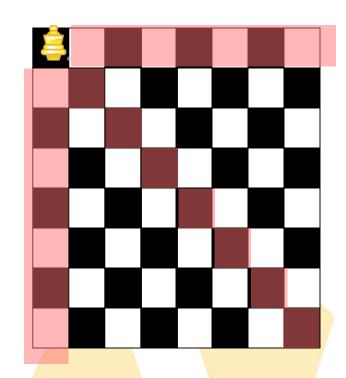
Recursive Backtracking Search

- Recursion allows us to "easily" enumerate all solutions to some problem.
- Backtracking algorithms...
 - Are often used to solve constraint satisfaction problem or optimization process
 - Several items that can be set to 1 of N values under some constraints
 - Stop searching down a path at the first indication that constraints won't lead to a solution
- Some common and important problems can be solved with backtracking
- Knapsack problem
 - You have a set of objects with a given weight and value. Suppose you have a knapsack that can hold N pounds, which subset of objects can you pack that maximizes the value.
 - Example:
 - Knapsack can hold 35 pounds
 - Object A: 7 pounds, \$12 ea.
 Object B: 10 pounds, \$18 ea.
 - Object C: 4 pounds, \$7 ea.
 Object D: 2.4 pounds, \$4 ea.
- Other examples:
 - Map Coloring
 - Traveling Salesman Problem
 - Sudoku
- USC N-Queens



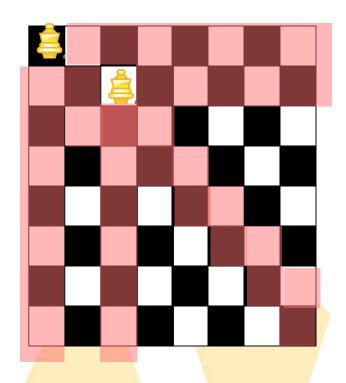
N-Queens Problem

- Problem: How to place N queens on an NxN chess board such that no queens may attack each other
- Fact: Queens can attack at any distance vertically, horizontally, or diagonally
- Observation: Different queen in each row and each column
- Backtrack search approach:
 - Place 1st queen in a viable option then, then try to place 2nd queen, etc.
 - If we reach a point where no queen can be placed in row i or we've exhausted all options in row i, then we return and change row i-1



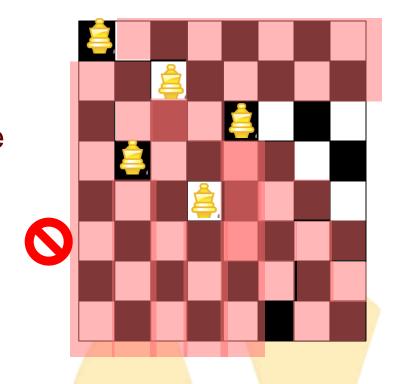


➤ Now place 2nd queen



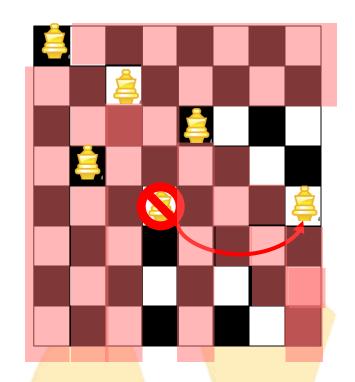


- Now place others as viable
- After this configuration here, there are no locations in row 6 that are not under attack from the previous 5
- BACKTRACK!!!



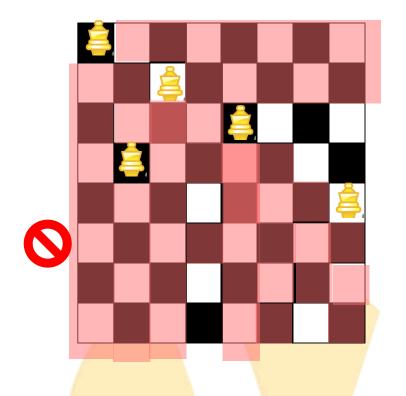


- Now place others as viable
- After this configuration here, there are no locations in row 6 that is not under attack from the previous 5
- So go back to row 5 and switch assignment to next viable option and progress back to row 6



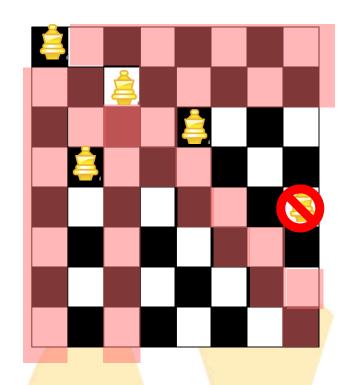


- Now place others as viable
- After this configuration here, there are no locations in row 6 that is not under attack from the previous 5
- Now go back to row 5 and switch assignment to next viable option and progress back to row 6
- But still no location available so return back to row 5





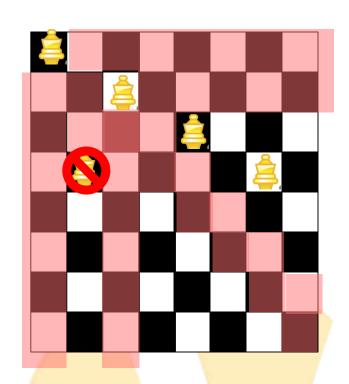
- Now place others as viable
- After this configuration here, there are no locations in row 6 that is not under attack from the previous 5
- Now go back to row 5 and switch assignment to next viable option and progress back to row 6
- ➤ But still no location available so return back to row 5
- But now no more options for row5 so return back to row 4
- ➤ BACKTRACK!!!!





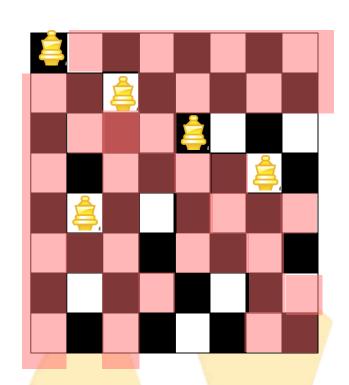


- Now place others as viable
- After this configuration here, there are no locations in row 6 that is not under attack from the previous 5
- Now go back to row 5 and switch assignment to next viable option and progress back to row 6
- ➤ But still no location available so return back to row 5
- But now no more options for row5 so return back to row 4
- ➤ Move to another place in row 4 USCand restart row 5 exploration



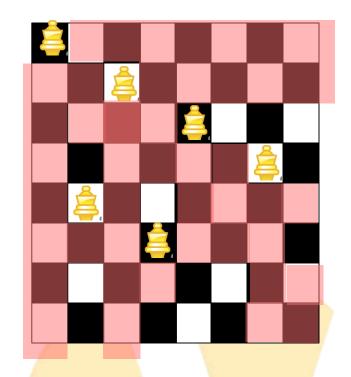


- Now place others as viable
- After this configuration here, there are no locations in row 6 that is not under attack from the previous 5
- Now go back to row 5 and switch assignment to next viable option and progress back to row 6
- But still no location available so return back to row 5
- But now no more options for row5 so return back to row 4
- Move to another place in row 4 USCand restart row 5 exploration



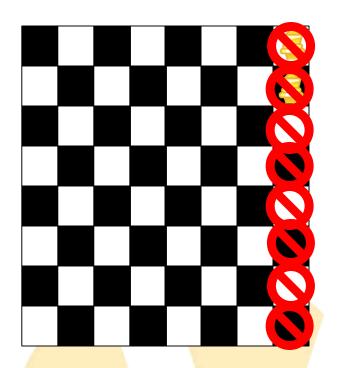


- Now a viable option exists for row6
- Keep going until you successfully place row 8 in which case you can return your solution
- What if no solution exists?





- Now a viable option exists for row6
- Keep going until you successfully place row 8 in which case you can return your solution
- What if no solution exists?
 - Row 1 queen would have exhausted all her options and still not find a solution

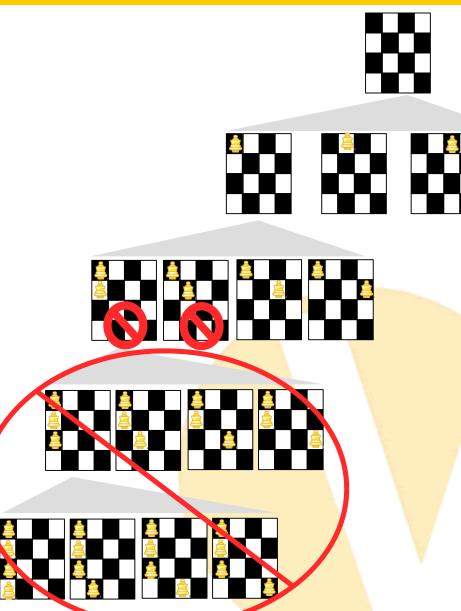




Backtracking Search

- Recursion can be used to generate all options
 - brute force' / test all options approach
 - Test for constraint satisfaction only at the bottom of the 'tree'
- But backtrack search attempts to 'prune' the search space
 - Rule out options at the partial assignment level

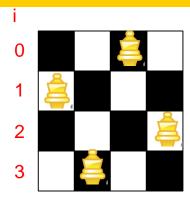
Brute force enumeration might test only once a possible complete assignment is made (i.e. all 4 queens on the board)





N-Queens Solution Development

- Let's develop the code
- > 1 queen per row
 - Use an array where index represents the queen (and the row) and value is the column
- Start at row 0 and initiate the search [i.e. search(0)]
- Base case:
 - Rows range from 0 to n-1 so STOP when row == n
 - Means we found a solution
- Recursive case
 - Recursively try all column options for that queen
 - But haven't implemented check of viable configuration...

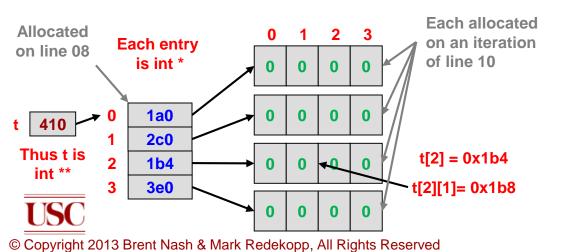


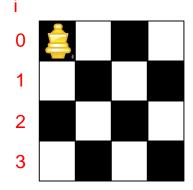
```
Index = Queen i in row i \begin{bmatrix} 0 & 1 & 2 & 3 \\ 0 & 1 & 2 & 3 \end{bmatrix}
q[i] = column of queen i \begin{bmatrix} 2 & 0 & 3 & 1 \\ 0 & 3 & 1 & 1 \end{bmatrix}
```



N-Queens Solution Development

- ➤ To check whether it is safe to place a queen in a particular column, let's keep a "threat" 2-D array indicating the threat level at each square on the board
 - Threat level of 0 means SAFE
 - When we place a queen we'll update squares that are now under threat
 - Let's name the array 't'
- Dynamically allocating 2D arrays in C/C++ doesn't really work
 - Instead conceive of 2D array as an "array of arrays" which boils down to a pointer to a pointer





0	1	1	1	
1	1	0	0	
1	0	1	0	
1	0	0	1	

Index = Queen i in row i 0 1 2 3
q[i] = column of queen i 0

```
// pointer to array storing
00
    int *q;
              // each gueens location
01
              // number of board / size
02
03
    int **t; // thread 2D array
04
0.5
    int main()
06
07
      q = new int[n];
0.8
       t = new int*[n];
09
      for (int i=0; i < n; i++) {
         t[i] = new int[n];
10
11
         for (int j = 0; j < n; j++) {
12
           t[i][i] = 0;
13
14
15
      search(0); // start search
16
      // deallocate arrays
17
       return 0;
18
```

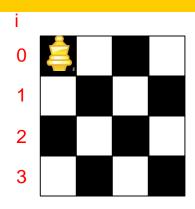


N-Queens Solution Development

iterate to next option

- After we place a queen in a location, let's check that it has no threats
- ➤ If it's safe then we "place" it by adding the new threats (+1) assuming we place it there
- Now recurse to next row
- ➤ If we return it means the problem was solved, or more often, that no solution existed given our placement so we remove the threats (-1)
- Then we iterate to try the next location for this queen

t	0	1	2	3	t	0	1	2	3	t	0	1	2	3
0	0	0	0	0	0	0	1	1	1	0	0	0	0	0
1	0	0	0	0	1	1	1	0	0	1	0	0	0	0
2	0	0	0	0	2	1	0	1	0	2	0	0	0	0
3	0	0	0	0	3	1	0	0	1	3	0	0	0	0
Safe to place queen in upper left					Now add threats				r	Upon return, remove threat and				



```
Index = Queen i in row i 0 1 2 3 q[i] = column of queen i 0
```



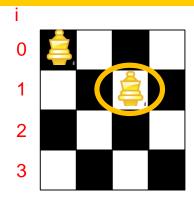
addToThreats Code

Observations

- Already a queen in every higher row so addToThreats only needs to deal with positions lower on the board
 - Iterate row+1 to n-1
- Enumerate all locations further down in the same column, left diagonal and right diagonal
- Can use same code to add or remove a threat by passing in change
- Can't just use 2D true/false array as a square might be under threat from two places and if we remove 1 piece we want to make sure we still maintain the threat

t	0	1	2	3
0	0	1	1	1
1	1	1 (0	0
2	1	0	1	0
3	1	0	0	1

t	0	1	2	3
0	0	1	1	1
1	1	1 (0	0
2	1	1	2	1
3	2	0	1	1



```
Index = Queen i in row i 0 1 2 3
q[i] = column of queen i 0
```

```
void addToThreats(int row, int col, int change)
{
  for(int j = row+1; j < n; j++) {
    // go down column
    t[j][col] += change;
    // go down right diagonal
    if( col+(j-row) < n )
        t[j][col+(j-row)] += change;
    // go down left diagonal
    if( col-(j-row) >= 0)
        t[j][col-(j-row)] += change;
}
```





N-Queens Solution

```
int *q; // queen location array
00
    int n; // number of board / size
01
02
    int **t; // n x n threat array
03
    int main()
0.4
05
06
      q = new int[n];
      t = new int*[n];
07
      for (int i=0; i < n; i++) {
0.8
09
        t[i] = new int[n];
        for (int j = 0; j < n; j++) {
10
11
           t[i][j] = 0;
12
13
      // do search
14
15
      if(! search(0))
         cout << "No sol!" << endl;</pre>
16
      // deallocate arrays
17
18
      return 0;
19
```

```
20
    void addToThreats(int row, int col, int change)
21
22
      for (int j = row+1; j < n; j++) {
2.3
        // go down column
24
        t[j][col] += change;
        // go down right diagonal
25
        if(col+(j-row) < n)
2.6
27
            t[j][col+(j-row)] += change;
        // go down left diagonal
28
29
        if(col-(j-row) >= 0)
            t[j][col-(j-row)] += change;
30
31
32
33
    bool search(int row)
34
35
      if(row == n) {
36
37
        printSolution(); // solved!
38
         return true;
39
40
      else {
41
       for(q[row]=0; q[row]<n; q[row]++) {</pre>
42
          // check that col: q[row] is safe
43
          if(t[row][q[row]] == 0){
44
            // if safe place and continue
45
            addToThreats(row, q[row], 1);
           bool status = search(row+1);
46
47
            if(status) return true;
            // if return, remove placement
48
49
            addToThreats(row, q[row], -1);
50
51
         return false;
52
53
```



General Backtrack Search Approach

- Select an item and set it to one of its options such that it meets current constraints
- Recursively try to set next item
- If you reach a point where all items are assigned and meet constraints, done...return through recursion stack with solution
- ➤ If no viable value for an item exists, backtrack to previous item and repeat step 1
- ➢ If viable options for the 1st item are exhausted, no solution exists

General Outline of Backtracking Sudoku Solver

```
bool sudoku(int **grid, int r, int c)
00
01
02
       if( allSquaresComplete(grid) )
0.3
         return true;
04
05
       // iterate through all options
06
       for (int i=1; i \le 9; i++) {
07
         qrid[r][c] = i;
         if( isValid(grid) ) {
0.8
           bool status = sudoku(...);
09
10
           if (status) return true;
11
12
13
       return false;
14
1.5
16
17
18
19
```

Assume r,c is current square to set and grid is the 2D array of values



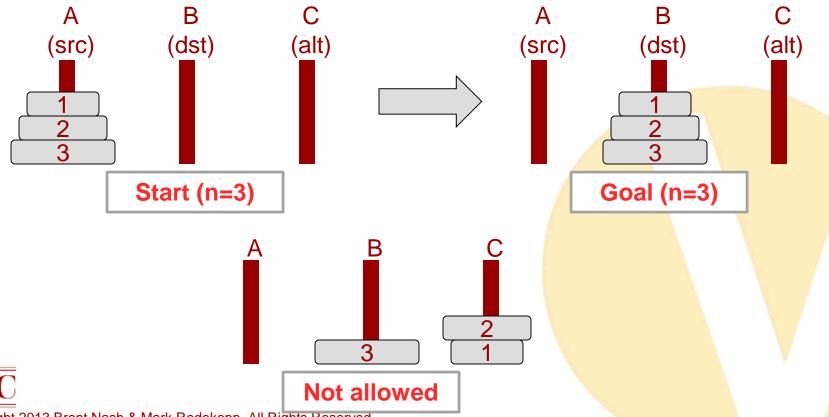
OTHER RECURSIVE EXAMPLES





Towers of Hanoi Problem

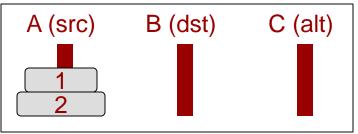
- ➤ Problem Statements: Move n discs from source pole to destination pole (with help of a 3rd alternate pole)
 - Cannot place a larger disc on top of a smaller disc
 - Can only move one disc at a time



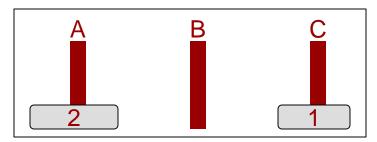


Observation 1

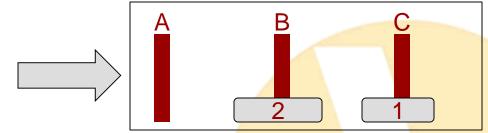
- Observation 1: Disc 1 (smallest) can always be moved
- ➤ Solve the n=2 case:



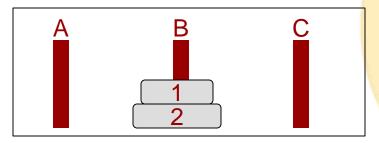
Start



Move 1 from src to alt



Move 2 from src to dst

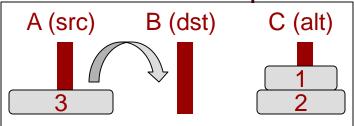


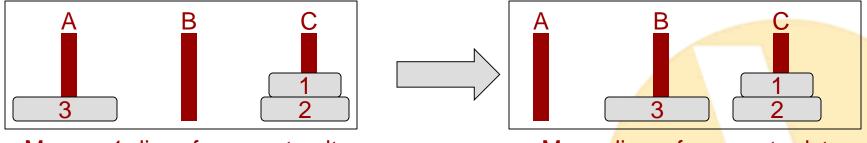
Move 1 from alt to dst



Observation 2

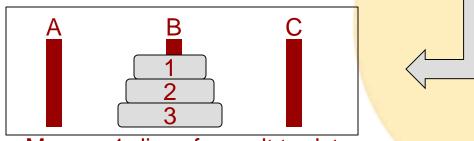
Observation 2: If there is only one disc on the src pole and the dest pole can receive it the problem is trivial





Move n-1 discs from src to alt

Move disc n from src to dst



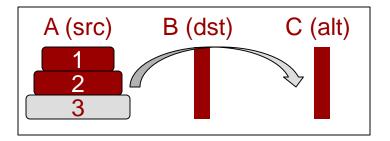


Move n-1 discs from alt to dst



Recursive solution

- But to move n-1 discs from src to alt is really a smaller version of the same problem with
 - n => n-1
 - src=>src
 - alt =>dst
 - dst=>alt



- Towers(n,src,dst,alt)
 - Base Case: n==1 // Observation 1: Disc 1 always movable
 - Move disc 1 from src to dst
 - Recursive Case: // Observation 2: Move of n-1 discs to alt & back
 - Towers(n-1,src,alt,dst)
 - Move disc n from src to dst
 - Towers(n-1,alt,dst,src)



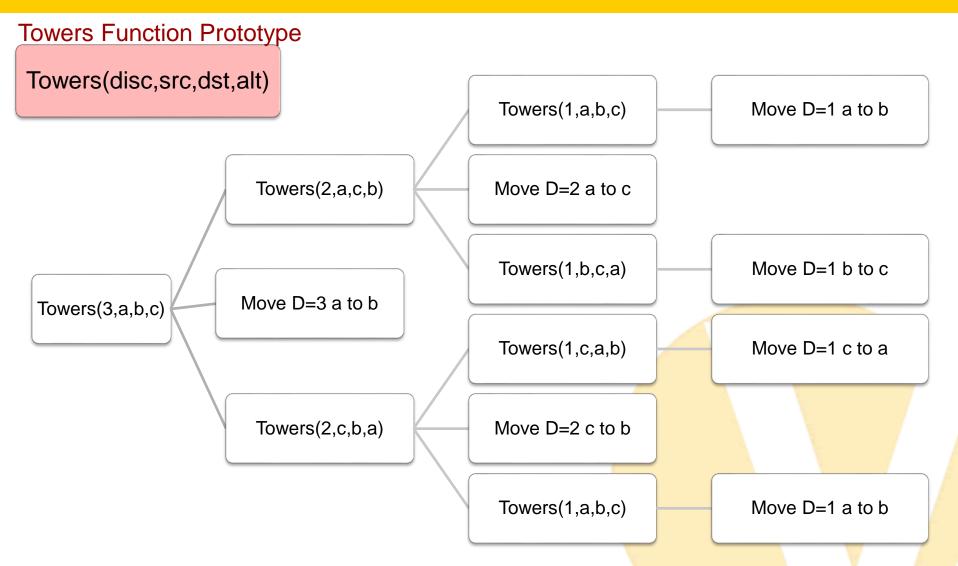
Exercise

- Implement the Towers of Hanoi code
 - \$ wget http://ee.usc.edu/~redekopp/cs104/hanoi.cpp
 - Just print out "move disc=x from y to z" rather than trying to "move" data values
 - Move disc 1 from a to b
 - Move disc 2 from a to c
 - Move disc 1 from b to c
 - Move disc 3 from a to b
 - Move disc 1 from c to a
 - Move disc 2 from c to b
 - Move disc 1 from a to b





Recursive Box Diagram





Recursive Definitions

- ➤ N = Non-Negative Integers and is defined as:
 - The number 0
 - n + 1 where n is some non-negative integer
- Palindrome (string that reads the same forward as backwards)
 - Example: dad, peep, level
 - Defined as:
 - Empty string
 - Single character
 - xPx where x is a character and P is a Palindrome
- Recursive definitions are often used in defining grammars for languages and parsers (i.e. your compiler)



Simple Paragraph Grammar

Substitution	Rule
subject	"I" "You" "We"
verb	"run" "walk" "exercise" "eat" "play" "sleep"
sentence	subject verb '.'
sentence_list	sentence sentence_list sentence
paragraph	[TAB = \t] sentence_list [Newline = \n]

Example:

I run. You walk. We exercise. subject verb. subject verb. subject verb.

sentence sentence
sentence_list sentence
sentence_list sentence
sentence_list
sentence_list
paragraph

Example:

I eat You sleep Subject verb subject verb Error



C++ Grammar

Rule	Expansion
expr	constant variable_id function_call assign_statement '(' expr ')' expr binary_op expr unary_op expr
expr_statement	';' expr ';'
assign_statement	variable_id '=' expr

Example:

Example:

$$x + 9 = 5;$$

 $expr + expr = expr,$
 $expr = expr,$

NO SUBSTITUTION Compile Error!



C++ Grammar

Rule	Substitution
statement	expr_statement compound_statement if (expr) statement while (expr) statement
compound_statement	'{' statement_list '}'
statement_list	statement statement_list statement

```
while(x > 0) { doit(); x = x-2; }
while(expr) { expr; assign_statement; }
while(expr) { expr; expr; }
while(expr) { expr_statement expr_statement }
while(expr) { statement statement }
while(expr) { statement_list statement }
while(expr) { statement_list }
while(expr) compound_statement
while(expr) statement
statement
```

Example:

while (x > 0) x--;x = x + 5;

while (expr)
statement
statement

statement statement

47



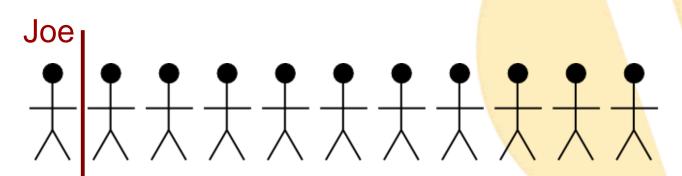
BACKUP





Combinatorics Examples

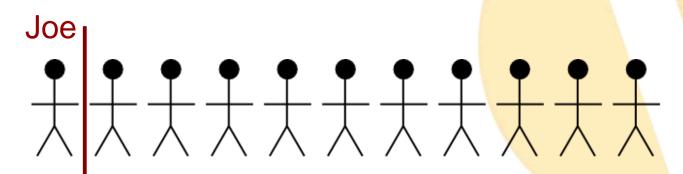
- Given n things, how can you choose k of them?
 - Written as C(n,k)
- How do we solve the problem?
 - Pick one person and single them out
 - Groups that contain Joe => ______
 - Groups that don't contain Joe => ______
 - Total number of solutions: _____
 - What are base cases?





Combinatorics Examples

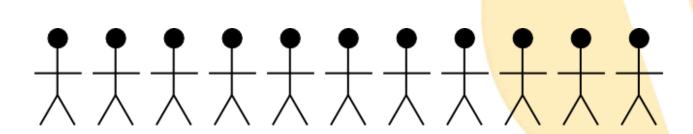
- Given n things, how can you choose k of them?
 - Written as C(n,k)
- How do we solve the problem?
 - Pick one person and single them out
 - Groups that contain Joe => C(n-1, k-1)
 - Groups that don't contain Joe => C(n-1, k)
 - Total number of solutions: C(n-1,k-1) + C(n-1,k)
 - What are base cases?





Combinatorics Examples

- You're going to Disneyland and you're trying to pick 4 people from your dorm to go with you
- > Given n things, how can you choose k of them?
 - Written as C(n,k)
 - Analytical solution: C(n,k) = n! / [k! * (n-k)!]
- How do we solve the problem?





Recursive Solution

- Sometimes recursion can yield an incredibly simple solution to a very complex problem
- Need some base cases
 - C(n,0) = 1
 - C(n,n) = 1

```
int C(int n, int k)
{
if(k == 0 || k == n)
  return 1;
else
  return C(n-1,k-1) + C(n-1,k);
}
```