

# Satisfiability of Dolev-Yao Constraints

Laurent Mazaré

`laurent.mazare@imag.fr`

Laboratoire VERIMAG

Grenoble, France

# Motivation

- Constraints are used to verify secrecy with a bounded number of sessions.

# Motivation

- Constraints are used to verify secrecy with a bounded number of sessions.
- Needham-Schroeder constraint:

$$\begin{aligned} NS = & \quad E, \{N_C, A\}_{K_C} \Vdash \{x, A\}_{K_B} \\ & \wedge \quad E, \{N_C, A\}_{K_C}, \{x, N_B\}_{K_A} \Vdash \{N_C, y\}_{K_A} \\ & \wedge \quad E, \{N_C, A\}_{K_C}, \{x, N_B\}_{K_A}, \{y\}_{K_C} \Vdash N_B \end{aligned}$$

# Motivation

- Constraints are used to verify secrecy with a bounded number of sessions.
- Needham-Schroeder constraint:

$$\begin{aligned} NS = & \quad E, \{N_C, A\}_{K_C} \Vdash \{x, A\}_{K_B} \\ & \wedge \quad E, \{N_C, A\}_{K_C}, \{x, N_B\}_{K_A} \Vdash \{N_C, y\}_{K_A} \\ & \wedge \quad E, \{N_C, A\}_{K_C}, \{x, N_B\}_{K_A}, \{y\}_{K_C} \Vdash N_B \end{aligned}$$

- Extensions: Inequations, Multiple Intruders, Opacity (Strong Secrecy).

# Messages and Constraints

- Messages are defined by:

$$m ::= a|x|f(m_1, \dots, m_n) | \langle m_1, m_2 \rangle | \{m_1\}_{m_2}$$

$a$  : atom,  $x$ : variable,  $f$  : first order symbol

# Messages and Constraints

- Messages are defined by:

$$m ::= a|x|f(m_1, \dots, m_n) | \langle m_1, m_2 \rangle | \{m_1\}_{m_2}$$

$a$  : atom,  $x$ : variable,  $f$  : first order symbol

- Constraints are defined by:

$$C ::= \perp | \top | C \vee C | C \wedge C | C_A$$

$$C_A ::= T \vdash m[U] | m \neq n$$

$m, n$ : messages,  $T, U$ : finite sets of messages.

# Models

A substitution  $\sigma$  is a model of constraint  $C$  iff  $\sigma \models C$  where  $\models$  is the smallest relation defined by:

- Usual definitions for  $\top$ ,  $\wedge$ ,  $\vee$

# Models

A substitution  $\sigma$  is a model of constraint  $C$  iff  $\sigma \models C$  where  $\models$  is the smallest relation defined by:

- Usual definitions for  $\top$ ,  $\wedge$ ,  $\vee$



$$\frac{m\sigma \neq n\sigma}{\sigma \models m \neq n}$$



# Models

A substitution  $\sigma$  is a model of constraint  $C$  iff  $\sigma \models C$  where  $\models$  is the smallest relation defined by:

- Usual definitions for  $\top$ ,  $\wedge$ ,  $\vee$



$$\frac{m\sigma \neq n\sigma}{\sigma \models m \neq n}$$



$$\frac{T\sigma \vdash m\sigma[U\sigma]}{\sigma \models T \vdash m[U]}$$

where  $T \vdash m[U]$  is defined as  $\vdash$  except the decode rule:

$$\frac{T \vdash \{m\}_u[U] \quad u \in U}{T \vdash m[U]}$$

# Well-Formed Constraints

- A constraint  $C$  is well-formed iff  $C = \bigvee C_i$  and for each  $C_i$ :

# Well-Formed Constraints

- A constraint  $C$  is well-formed iff  $C = \bigvee C_o$  and for each  $C_o$ :
  - If  $T \Vdash m[U]$  and  $T' \Vdash m'[U']$  are in  $C_o$ , then  $T \subseteq T'$  or  $T' \subseteq T$  (Environment Inclusion).

# Well-Formed Constraints

- A constraint  $C$  is well-formed iff  $C = \bigvee C_o$  and for each  $C_o$ :
  - If  $T \Vdash m[U]$  and  $T' \Vdash m'[U']$  are in  $C_o$ , then  $T \subseteq T'$  or  $T' \subseteq T$  (Environment Inclusion).
  - If  $T \Vdash m[U] \in C_o$  and  $x \in \text{var}(T)$ , then there exists  $T' \Vdash m'[U'] \in C_o$  such that  $x \in \text{var}(m')$ ,  $U' \subseteq U$  and  $T' \subsetneq T$  (Variable Introduction).

# Well-Formed Constraints

- A constraint  $C$  is well-formed iff  $C = \bigvee C_o$  and for each  $C_o$ :
  - If  $T \Vdash m[U]$  and  $T' \Vdash m'[U']$  are in  $C_o$ , then  $T \subseteq T'$  or  $T' \subseteq T$  (Environment Inclusion).
  - If  $T \Vdash m[U] \in C_o$  and  $x \in \text{var}(T)$ , then there exists  $T' \Vdash m'[U'] \in C_o$  such that  $x \in \text{var}(m')$ ,  $U' \subseteq U$  and  $T' \subsetneq T$  (Variable Introduction).
- A constraint  $C$  quasi-well-formed iff

# Well-Formed Constraints

- A constraint  $C$  is well-formed iff  $C = \bigvee C_o$  and for each  $C_o$ :
  - If  $T \Vdash m[U]$  and  $T' \Vdash m'[U']$  are in  $C_o$ , then  $T \subseteq T'$  or  $T' \subseteq T$  (Environment Inclusion).
  - If  $T \Vdash m[U] \in C_o$  and  $x \in \text{var}(T)$ , then there exists  $T' \Vdash m'[U'] \in C_o$  such that  $x \in \text{var}(m')$ ,  $U' \subseteq U$  and  $T' \subsetneq T$  (Variable Introduction).
- A constraint  $C$  quasi-well-formed iff
  - Variable Introduction.

# Well-Formed Constraints

- A constraint  $C$  is well-formed iff  $C = \bigvee C_o$  and for each  $C_o$ :
  - If  $T \Vdash m[U]$  and  $T' \Vdash m'[U']$  are in  $C_o$ , then  $T \subseteq T'$  or  $T' \subseteq T$  (Environment Inclusion).
  - If  $T \Vdash m[U] \in C_o$  and  $x \in \text{var}(T)$ , then there exists  $T' \Vdash m'[U'] \in C_o$  such that  $x \in \text{var}(m')$ ,  $U' \subseteq U$  and  $T' \subsetneq T$  (Variable Introduction).
- A constraint  $C$  quasi-well-formed iff
  - Variable Introduction.
  - There exists a closed message  $m$  that occurs in any environment of  $C_o$ .

# Constraints

Reducing usual well-formed constraints to our constraints:

$$\begin{aligned} & \bigwedge_{1 \leq i \leq n} T_i \sigma \vdash m_i \sigma \Leftrightarrow \\ \sigma \models & \bigvee_{\substack{k_1, \dots, k_n \in \text{keys}(T, m) \\ 1 \leq i_1 \leq i_2 \leq \dots \leq i_n \leq n}} \left( T_1 \Vdash k_1 [] \wedge \dots \wedge T_1 \Vdash m_1[k_1, \dots, k_{i_1}] \right) \\ & \wedge \left( T_2 \Vdash k_{i_1+1}[k_1, \dots, k_{i_1}] \wedge \dots \wedge T_2 \Vdash m_2[k_1, \dots, k_{i_2}] \right) \\ & \wedge \dots \\ & \wedge \left( T_n \Vdash k_{i_{n-1}+1}[k_1, \dots, k_{i_{n-1}}] \wedge \dots \wedge T_n \Vdash m_n[k_1, \dots, k_{i_n}] \right) \end{aligned}$$



# Satisfiability 1 : Rewriting

$$T \Vdash a[U] \rightarrow \top$$

$$T \Vdash a[U] \rightarrow \perp$$

$$T \Vdash f(m_1, \dots, m_n)[U] \rightarrow \top$$

$$T \Vdash f(m_1, \dots, m_n)[U] \rightarrow \perp$$

$$T \Vdash \langle m, n \rangle [U] \rightarrow T \Vdash m[U] \wedge T \Vdash n[U]$$

$$T \Vdash \{m\}_n[U] \rightarrow \top$$

$$T \Vdash \{m\}_n[U] \rightarrow T \Vdash m[U] \wedge T \Vdash n[U]$$

# Satisfiability 2 : Properties

- $C_1 \rightarrow C_2$ , if  $C_1$  is well-formed, then  $C_2$  is well-formed too.

# Satisfiability 2 : Properties

- $C_1 \rightarrow C_2$ , if  $C_1$  is well-formed, then  $C_2$  is well-formed too.
- Correctness and Completeness

# Satisfiability 2 : Properties

- $C_1 \rightarrow C_2$ , if  $C_1$  is well-formed, then  $C_2$  is well-formed too.
- Correctness and Completeness
- Termination

# Satisfiability 2 : Properties

- $C_1 \rightarrow C_2$ , if  $C_1$  is well-formed, then  $C_2$  is well-formed too.
- Correctness and Completeness
- Termination
- Normal Forms:

$$\bigvee \left( (T \Vdash x[U]) \wedge \left( \bigwedge m \neq n \right) \right)$$

# Satisfiability 3 : Inequations

- Let  $P$  be the constraint

$$m_1 \neq n_1 \wedge \dots \wedge m_j \neq n_j$$

If  $P$  is satisfiable, then for any substitution  $\sigma$  such that  $P\sigma$  is closed and  $x\sigma = y\sigma \Rightarrow x = y$ ,

There exists an integer  $k$  such that  $k \leq j + 1$  and  $\sigma^k$  is a model of  $P$ .

# Satisfiability 3 : Inequations

- Let  $P$  be the constraint

$$m_1 \neq n_1 \wedge \dots \wedge m_j \neq n_j$$

If  $P$  is satisfiable, then for any substitution  $\sigma$  such that  $P\sigma$  is closed and  $x\sigma = y\sigma \Rightarrow x = y$ ,

There exists an integer  $k$  such that  $k \leq j + 1$  and  $\sigma^k$  is a model of  $P$ .

- Application:

$$x\sigma = \langle m, \dots, m \rangle$$

# Satisfiability 4 : Results

- Satisfiability for well-formed constraints is decidable (and NP-complete).



# Satisfiability 4 : Results

- Satisfiability for well-formed constraints is decidable (and NP-complete).
- Same thing for quasi-well-formed constraints.

# Satisfiability 4 : Results

- Satisfiability for well-formed constraints is decidable (and NP-complete).
- Same thing for quasi-well-formed constraints.
- Security for protocols with inequations (bounded number of sessions).

# Opacity: Definitions

- An intruder  $C$  observes a protocol session between  $A$  and  $B$ . Could he deduce any property on the parameters of this protocol ?

# Opacity: Definitions

- An intruder  $C$  observes a protocol session between  $A$  and  $B$ . Could he deduce any property on the parameters of this protocol ?
- Example : electronic vote.

$$A \rightarrow B : \{vote\}_k$$

If  $vote \in \{yes, no\}$ , the intruder could infer *yes* or *no* using Dolev-Yao, could he guess the value of *vote* ?

# Opacity: Definitions

- An intruder  $C$  observes a protocol session between  $A$  and  $B$ . Could he deduce any property on the parameters of this protocol ?
- Example : electronic vote.

$$A \rightarrow B : \{vote\}_k$$

If  $vote \in \{yes, no\}$ , the intruder could infer *yes* or *no* using Dolev-Yao, could he guess the value of *vote* ?

- Intuitive definition of opacity of property  $\phi$ .

# Similarity

## ● Simultaneous Deductions: $E, E' \vdash m, m'$

$$\frac{}{\{n_1, \dots, n_k\}_j, \{n'_1, \dots, n'_k\}_j \vdash n_i, n'_i} \quad \frac{E, E' \vdash n_1, n'_1 \quad E, E' \vdash n_2, n'_2}{E, E' \vdash \langle n_1, n_2 \rangle, \langle n'_1, n'_2 \rangle}$$

$$\frac{E, E' \vdash \langle n_1, n_2 \rangle, \langle n'_1, n'_2 \rangle}{E, E' \vdash n_1, n'_1} \quad \frac{E, E' \vdash \langle n_1, n_2 \rangle, \langle n'_1, n'_2 \rangle}{E, E' \vdash n_2, n'_2}$$

$$\frac{E, E' \vdash \{n_1\}_{n_2}, \{n'_1\}_{n'_2} \quad E, E' \vdash n_2, n'_2}{E, E' \vdash n_1, n'_1} \quad \frac{E, E' \vdash n_1, n'_1 \quad E, E' \vdash n_2, n'_2}{E, E' \vdash \{n_1\}_{n_2}, \{n'_1\}_{n'_2}}$$

# Similarity

## ● Simultaneous Deductions: $E, E' \vdash m, m'$

$$\frac{}{\{n_1, \dots, n_k\}_j, \{n'_1, \dots, n'_k\}_j \vdash n_i, n'_i} \quad \frac{E, E' \vdash n_1, n'_1 \quad E, E' \vdash n_2, n'_2}{E, E' \vdash \langle n_1, n_2 \rangle, \langle n'_1, n'_2 \rangle}$$

$$\frac{E, E' \vdash \langle n_1, n_2 \rangle, \langle n'_1, n'_2 \rangle}{E, E' \vdash n_1, n'_1}$$

$$\frac{E, E' \vdash \langle n_1, n_2 \rangle, \langle n'_1, n'_2 \rangle}{E, E' \vdash n_2, n'_2}$$

$$\frac{E, E' \vdash \{n_1\}_{n_2}, \{n'_1\}_{n'_2} \quad E, E' \vdash n_2, n'_2}{E, E' \vdash n_1, n'_1}$$

$$\frac{E, E' \vdash n_1, n'_1 \quad E, E' \vdash n_2, n'_2}{E, E' \vdash \{n_1\}_{n_2}, \{n'_1\}_{n'_2}}$$

## ● Similarity:

$$\frac{a \in \text{Atomes}}{a \sim a}$$

$$\frac{u_1 \sim u_2 \quad v_1 \sim v_2}{\langle u_1, v_1 \rangle \sim \langle u_2, v_2 \rangle}$$

$$\frac{\text{env}_1, \text{env}_2 \vdash k, k \quad u \sim v}{\{u\}_k \sim \{v\}_k}$$

$$\frac{\neg \text{env}_1 \vdash k \quad \neg \text{env}_2 \vdash k'}{\{u\}_k \sim \{v\}_{k'}}$$

# Hypothesis

- The intruder  $C$  follows Dolev-Yao model against a protocol implying  $A$  and  $B$  (Active Intruder).



# Hypothesis

- The intruder  $C$  follows Dolev-Yao model against a protocol implying  $A$  and  $B$  (Active Intruder).
- The intruder knows the protocol used and the trace of the protocol followed during this session.

# Hypothesis

- The intruder  $C$  follows Dolev-Yao model against a protocol implying  $A$  and  $B$  (Active Intruder).
- The intruder knows the protocol used and the trace of the protocol followed during this session.
- The intruder has an initial knowledge  $c_0$ . For example :

$$c_0 = (k_1 \neq k_2)$$

# Hypothesis

- The intruder  $C$  follows Dolev-Yao model against a protocol implying  $A$  and  $B$  (Active Intruder).
- The intruder knows the protocol used and the trace of the protocol followed during this session.
- The intruder has an initial knowledge  $c_0$ . For example :

$$c_0 = (k_1 \neq k_2)$$

- Protocols without branching (if).

# Opacity Constraints

- Two sessions are not similar iff  $C$  is satisfiable.

# Opacity Constraints

- Two sessions are not similar iff  $C$  is satisfiable.
- Constraint related to the active intruder is:

$$C_{AI} = T_1, T'_1 \Vdash m_1, m'_1 \wedge T_2, T'_2 \Vdash m_2, m'_2 \wedge \dots \Vdash m_\alpha$$

# Opacity Constraints

- Two sessions are not similar iff  $C$  is satisfiable.
- Constraint related to the active intruder is:

$$C_{AI} = T_1, T'_1 \Vdash m_1, m'_1 \wedge T_2, T'_2 \Vdash m_2, m'_2 \wedge \dots \Vdash m_\alpha$$

- Sessions are not similar:

$$C_S = \left( \bigvee_i T_\alpha, T'_\alpha \Vdash n_i \not\approx n'_i \right)$$

# Opacity Constraints

- Two sessions are not similar iff  $C$  is satisfiable.
- Constraint related to the active intruder is:

$$C_{AI} = T_1, T'_1 \Vdash m_1, m'_1 \wedge T_2, T'_2 \Vdash m_2, m'_2 \wedge \dots \Vdash m_\alpha$$

- Sessions are not similar:

$$C_S = \left( \bigvee_i T_\alpha, T'_\alpha \Vdash n_i \not\approx n'_i \right)$$

- Eventually,

$$C = C_{AI} \wedge C_S$$

# Restricted Version: Vote Protocol

- Only two possible sessions:  $v = y$  (for  $T$ ) and  $v = n$  (for  $T'$ ).



# Restricted Version: Vote Protocol

- Only two possible sessions:  $v = y$  (for  $T$ ) and  $v = n$  (for  $T'$ ).
- New constraint:

$$C_S = T_\alpha, T'_\alpha \Vdash y, n \vee T_\alpha, T'_\alpha \Vdash n, y$$

# Restricted Version: Vote Protocol

- Only two possible sessions:  $v = y$  (for  $T$ ) and  $v = n$  (for  $T'$ ).
- New constraint:

$$C_S = T_\alpha, T'_\alpha \Vdash y, n \vee T_\alpha, T'_\alpha \Vdash n, y$$

- The vote value is opaque ( $\phi = (v = y)$ ) iff  $C$  is not satisfiable.

# Restricted Version: Vote Protocol

- Only two possible sessions:  $v = y$  (for  $T$ ) and  $v = n$  (for  $T'$ ).
- New constraint:

$$C_S = T_\alpha, T'_\alpha \Vdash y, n \vee T_\alpha, T'_\alpha \Vdash n, y$$

- The vote value is opaque ( $\phi = (v = y)$ ) iff  $C$  is not satisfiable.
- Constraint  $C$  only uses  $\Vdash$  so satisfiability is decidable.

# Dumb Vote Protocol

- $S$  is the authority collecting votes,  $A$  is a voter.

$$S \rightarrow A : k$$

$$A \rightarrow S : \{v\}_k$$

And  $T = T' = \{y, n, k_C, k_C^{-1}\}$ .

# Dumb Vote Protocol

- $S$  is the authority collecting votes,  $A$  is a voter.

$$S \rightarrow A : \quad k$$

$$A \rightarrow S : \quad \{v\}_k$$

And  $T = T' = \{y, n, k_C, k_C^{-1}\}$ .

- Constraint  $C$  is:

$$T, T' \Vdash x, x' \wedge T; \{y\}_x \quad , \quad T'; \{n\}_{x'} \Vdash y, n$$

# Dumb Vote Protocol

- $S$  is the authority collecting votes,  $A$  is a voter.

$$S \rightarrow A : \quad k$$

$$A \rightarrow S : \quad \{v\}_k$$

And  $T = T' = \{y, n, k_C, k_C^{-1}\}$ .

- Constraint  $C$  is:

$$T, T' \Vdash x, x' \wedge T; \{y\}_x, \quad T'; \{n\}_{x'} \Vdash y, n$$

- $C$  is satisfiable ( $x = x' = k_C$ ). Immediate attack.

# Conclusion

- Decision procedure for constraint with inequations, first order symbols, multiple "knowledges".

# Conclusion

- Decision procedure for constraint with inequations, first order symbols, multiple "knowledges".
- Decision procedure for opacity with an active (Dolev-Yao) intruder.



# Conclusion

- Decision procedure for constraint with inequations, first order symbols, multiple "knowledges".
- Decision procedure for opacity with an active (Dolev-Yao) intruder.
- Future Works:

# Conclusion

- Decision procedure for constraint with inequations, first order symbols, multiple "knowledges".
- Decision procedure for opacity with an active (Dolev-Yao) intruder.
- Future Works:
  - General opacity problem.

# Conclusion

- Decision procedure for constraint with inequations, first order symbols, multiple "knowledges".
- Decision procedure for opacity with an active (Dolev-Yao) intruder.
- Future Works:
  - General opacity problem.
  - General constraints (allow to modelize Fairness/Protocol Composition...).

# Conclusion

- Decision procedure for constraint with inequations, first order symbols, multiple "knowledges".
- Decision procedure for opacity with an active (Dolev-Yao) intruder.
- Future Works:
  - General opacity problem.
  - General constraints (allow to modelize Fairness/Protocol Composition...).
  - Add equational theories.