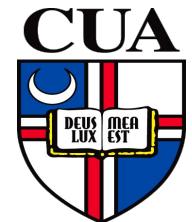


# Insights on 3D Nucleon Structure through Kaons

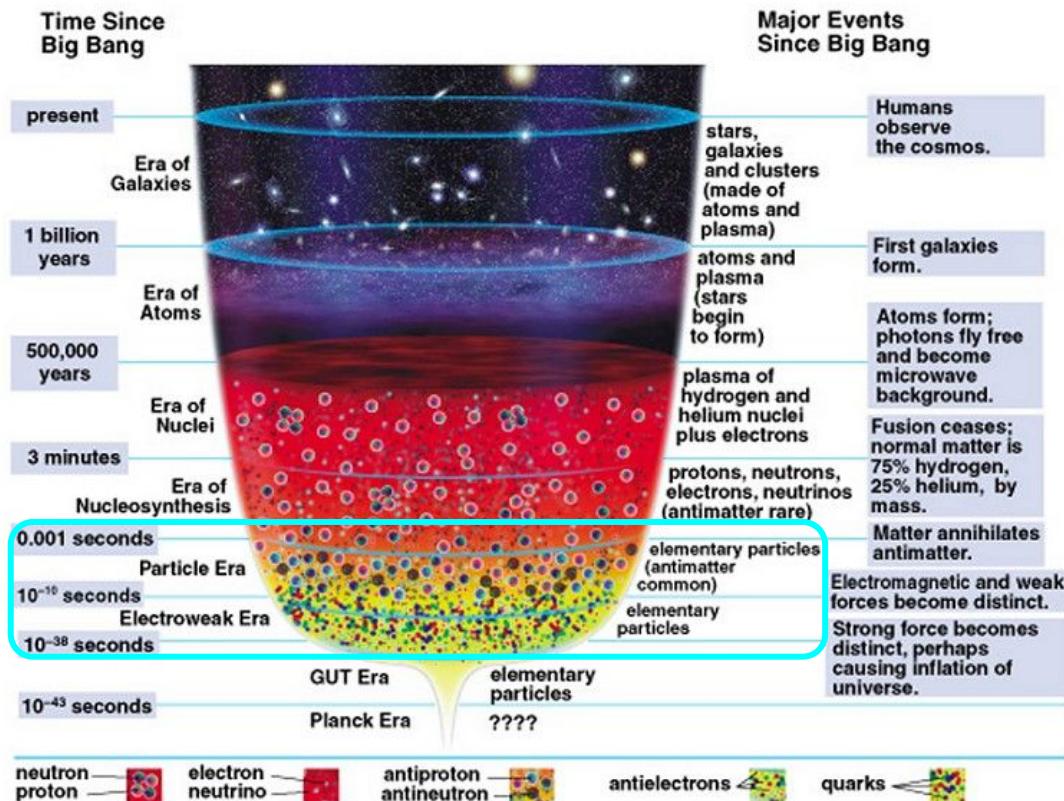
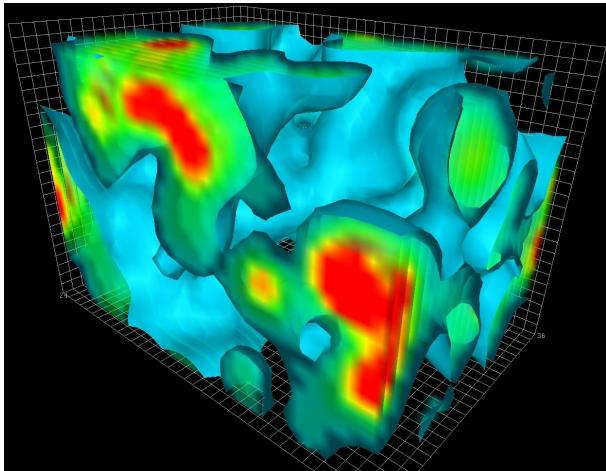
Richard Trotta

Spokespeople: Tanja Horn, Garth Huber, Pete Markowitz



# Particle and Nuclear Physics

- Nuclear physics seeks to
  - Understand the fundamental structure of **visible matter** (i.e. quarks, gluons)
  - Understand how hadrons (i.e. mesons, nucleons) are **formed**

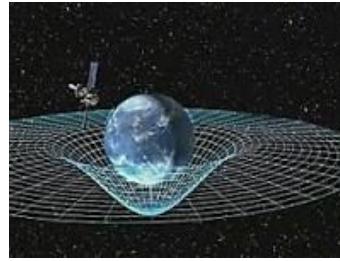


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# Four Fundamental Forces

- Gravity, general relativity

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} + \Lambda g_{\mu\nu} = \frac{8\pi G}{c^4} T_{\mu\nu}$$



- Electromagnetism, quantum electrodynamics (QED)

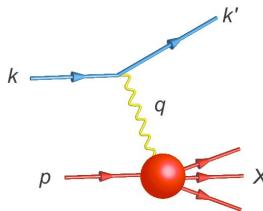
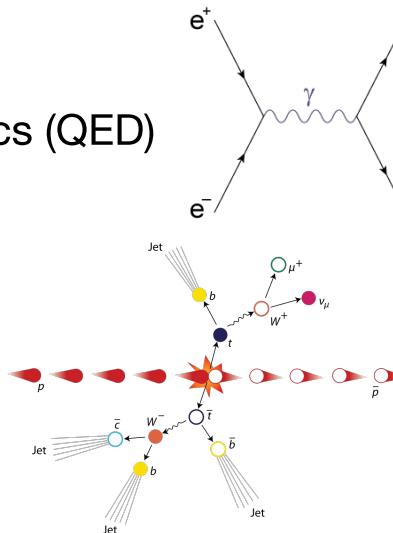
$$\mathcal{L}_{QED} = i\bar{\psi}\gamma^\mu D_\mu - m\bar{\psi}\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}$$

- Weak Force, electroweak theory (EW)

$$\mathcal{L}_{EW} = \mathcal{L}_g + \mathcal{L}_f + \mathcal{L}_h + \mathcal{L}_y$$

- Strong Force, quantum chromodynamics (QCD)

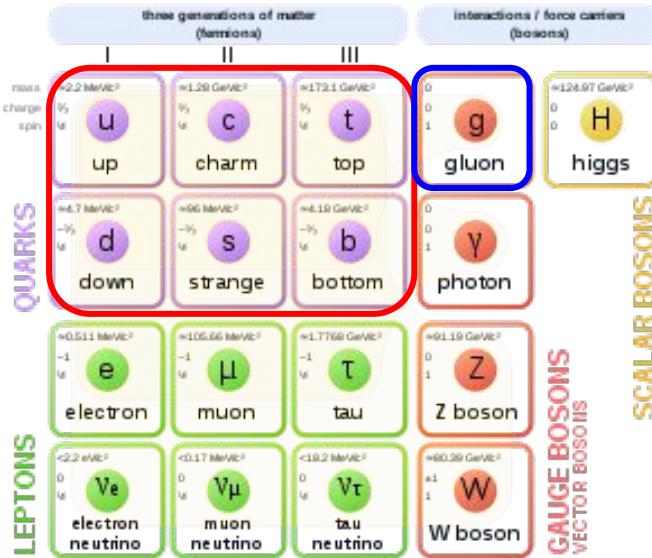
$$\mathcal{L}_{QCD} = \bar{q}_i [i\gamma^\mu [D_\mu]_{ij} - M\delta_{ij}] q_j - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu}$$



# Try All Six Flavors!

- Quarks, similar to leptons, have **six flavors**
- Everyday matter is made of **protons** and **neutrons**, which are made of the light up and down quarks
- The strong force mediates massless **gluons** between quarks, like how **photons** are the mediating force carrier between charged particles
- These gluons interact with the up and down quarks, rapidly changing colors to make what we see as protons and neutrons
  - Hadrons are color-neutral bound states of quarks
    - three valence quarks (**baryons**)
    - valence quark-antiquark pair (**mesons**)

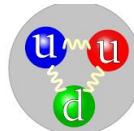
Standard Model of Elementary Particles



# Is the Proton Pointlike?

- A **microscope** uses photons to see tiny objects, can we do something similar to view the proton?
  - Very very very high momentum photons would be needed

$$\Delta x \geq \frac{\hbar}{2\Delta p}$$



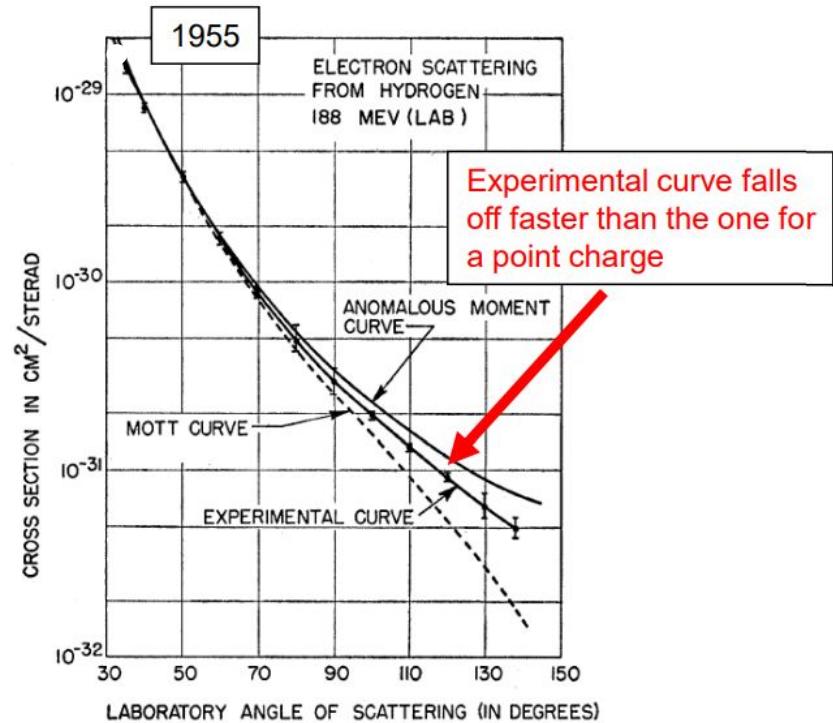
- Why not use a similar probe with easier to access momenta?
  - Let's use the **de Broglie Wavelength** for motivation

$$d_{probe} \propto \lambda = \frac{\hbar}{p}$$

- The proton interacts electromagnetically, so we use a **lepton** (e.g. electron) with a **high momentum** as a probe.

# Proton is Not Pointlike

- **1950's:** The exploration of the internal structure of the proton began with Hofstadter's experiments



$$\frac{d\sigma}{d\Omega} = \left( \frac{d\sigma}{d\Omega} \right)_{Mott} |F(Q^2)|^2$$

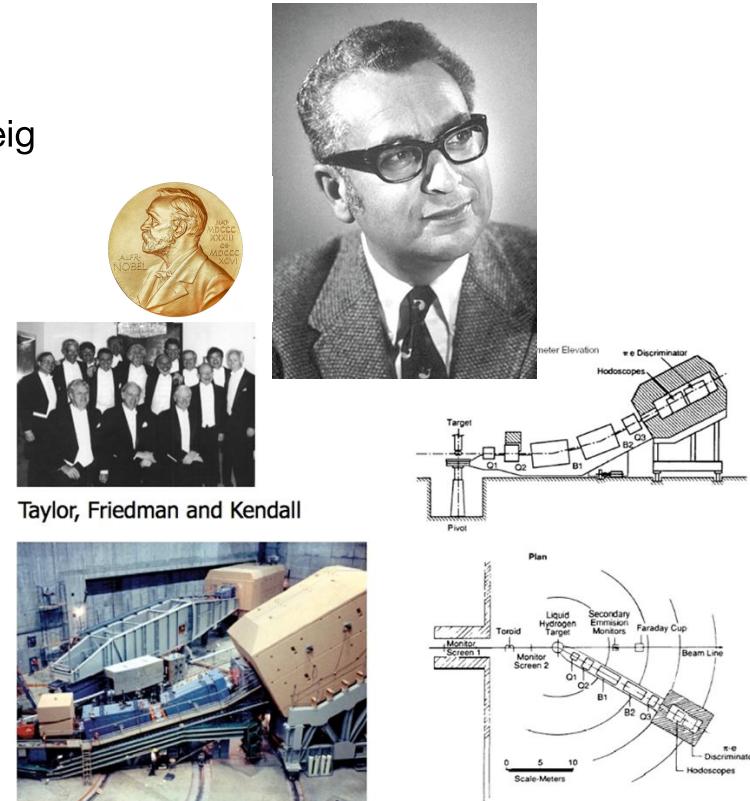
Point-like cross section

Form Factor

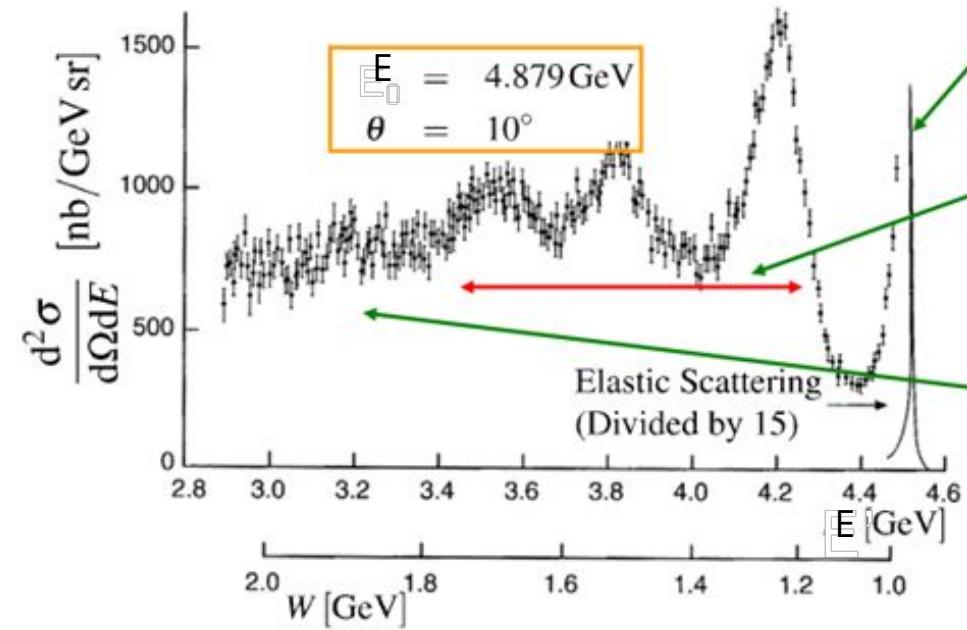
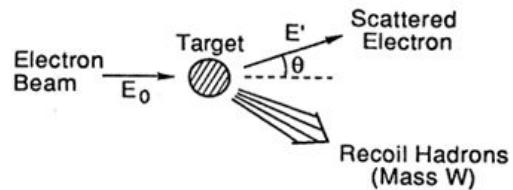


# Foundations of Proton's Internal Structure

- **1960s:** The proton's internal structure was described by the quark model
  - Proposed by Murray Gell-Mann and George Zweig
  - Point-like particles called quarks were what the proton was made of
- **1968:** Deep Inelastic scattering (DIS) at SLAC which observed scaling. The proton's internal structure is composed of point-like charged particles.
- **1972:** QCD is developed



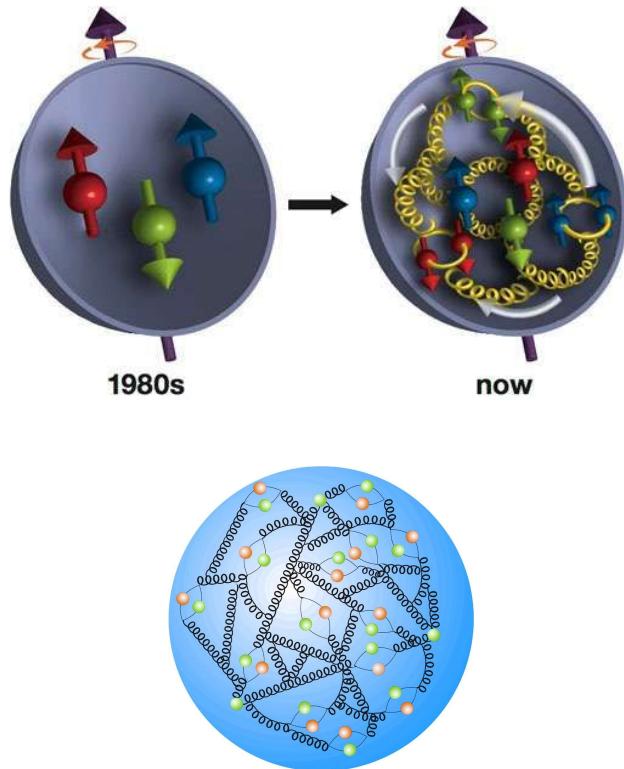
# Types of Scattering



- **Elastic Scattering:** proton stays intact
  - The initial and final states are the same, only the momenta change.
  - **Static image!**
- **Inelastic Scattering:** proton gets excited, produces excited states or proton's resonances
- **Deep Inelastic Scattering:** proton breaks up and end up with a many particle final state
  - **Dynamical image!**

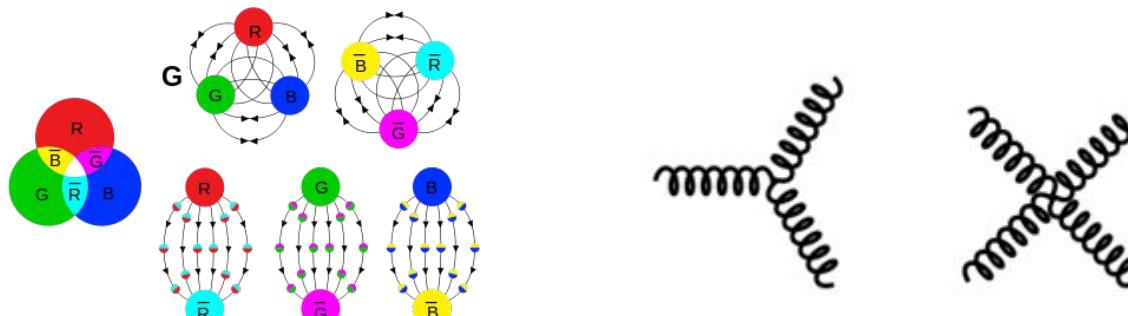
# Mysteries of the Proton

- Proton spin puzzle
  - 1980s: experiments performed by the European Muon Collaboration
  - They showed that the contribution of quark spin to the total spin of the proton was between 4-24%
  - This was later confirmed by other experiments to be around 30%
- Origin of hadron mass
  - The total is more than the sum of the parts, there's more than quarks making up the substructure
  - As we will see this is due to a quark-gluon sea and gluon dynamical interactions



# What is Quantum ChromoDynamics (QCD)?

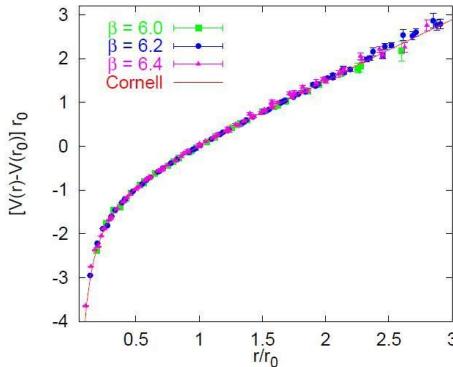
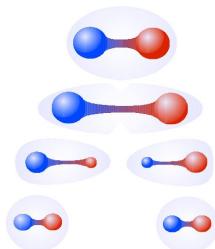
- QCD is the theory describing **strong force** interactions through color-charged particles (**quarks**)
  - The strong force holds together protons and neutrons
  - Color is a quantum number
    - Quarks come in three colors; **red**, **blue**, **green**
    - Antiquarks come in three anticolors; **antired**, **antiblue**, **antigreen**



- Gluons generate a color change for quarks (for the more advanced  $SU_c(3)$ ), but they also can **self interact** (unlike photons in E&M)

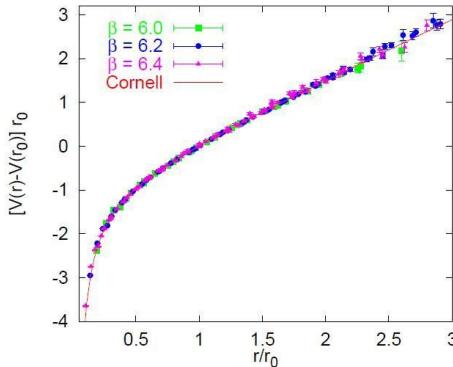
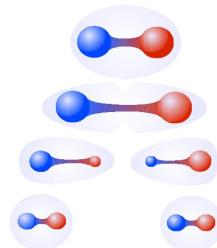
# Solitary Confinement...plus a friend or two

- Unlike photons in QED, the strong force does not get weaker the further apart two quarks are. It gets stronger!
- This property means an infinite amount of energy would be required to remove a quark from a proton
  - This has led to the confinement hypothesis, which states that color-charged particles cannot be isolated (i.e. no singlet states). They must only exist in color-neutral bound states.
- Coupling with the gluon field is  $\sim 5 \times 10^5$  times larger than coupling with the photon field...



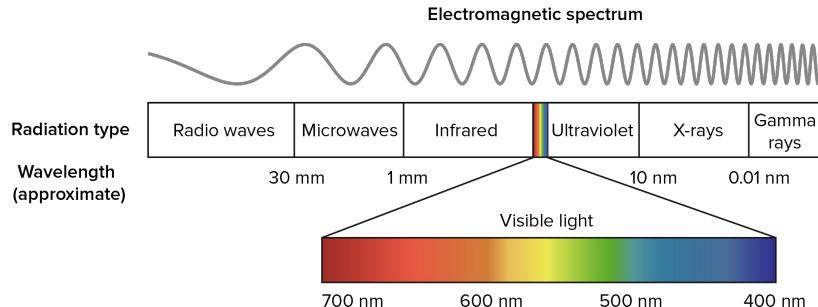
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- Coupling with the gluon field is  $\sim 5 \times 10^5$  times larger than coupling with the photon field...well sometimes



# Coming to a finer resolution

- QCD and QED are both **relativistic quantum gauge-field theories** which have the property that nothing is constant
  - Beloved quantum mechanical cornerstones are now **dynamic**, including charge distribution, mass, and even the number of particles
- This means that what you see depends on the **wavelength of the probe** used
  - Think about the wavelength of light for a photo vs. a xray



$$\lambda \simeq \frac{1}{\sqrt{Q^2}}$$

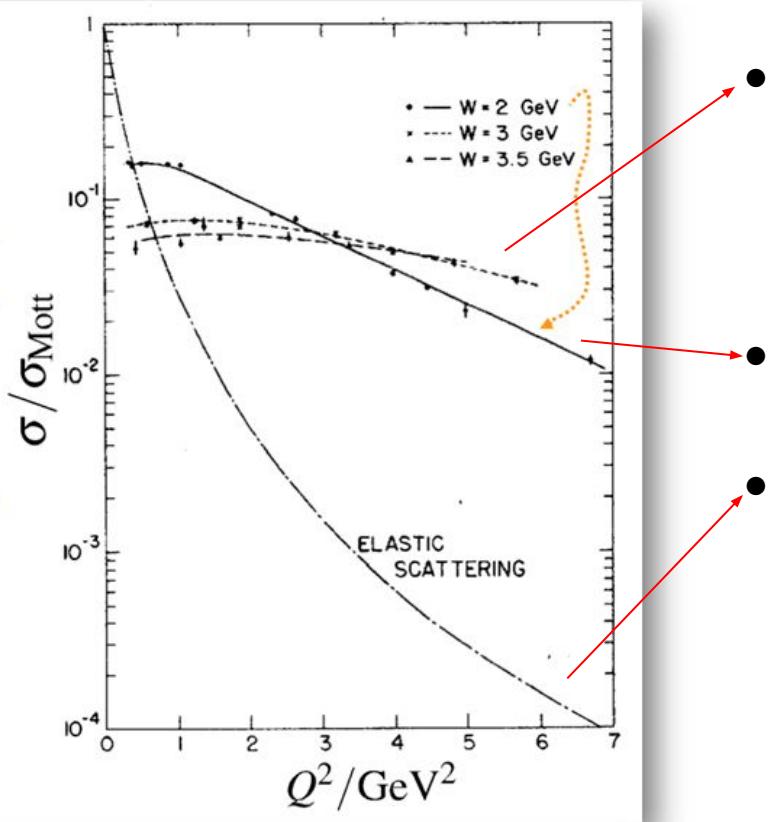
Momentum transfer



- This also means the strength of the force depends on the resolution, a so called **mass-scale**

# Exploring the Inner Proton

M.Breidenbach et al.,  
Phys. Rev. Lett. 23 (1969) 935



- Deep Inelastic Scattering: cross sections almost independent of transferred momentum,  $Q^2$ 
  - i.e. “Form factors”  $\rightarrow$  unity
  - Scattering off point-like objects within proton

- Inelastic Scattering: cross sections weakly dependent on  $Q^2$

- Elastic Scattering: falls off rapidly with  $Q^2$  due to proton not being point-like

$$\frac{\sigma}{\sigma_{Mott}} = \left( \frac{1}{(1 + \frac{Q^2}{0.71})^2} \right)^2 \propto Q^{-8}$$

# Dynamical Nature of QCD

- The dynamical nature of QCD also affects what we know as a “quark”
- The uncertainty principle can be viewed as an inequality of energy and time
  - Nearly **infinite energies** can exist as long as they disappear incredibly fast

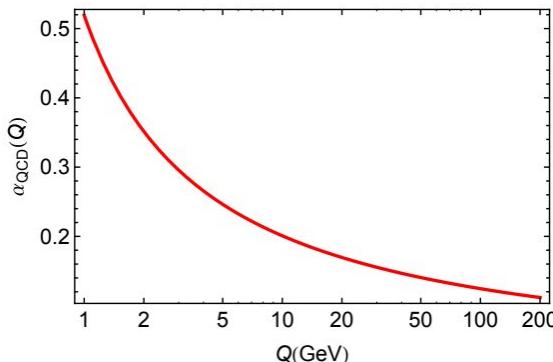
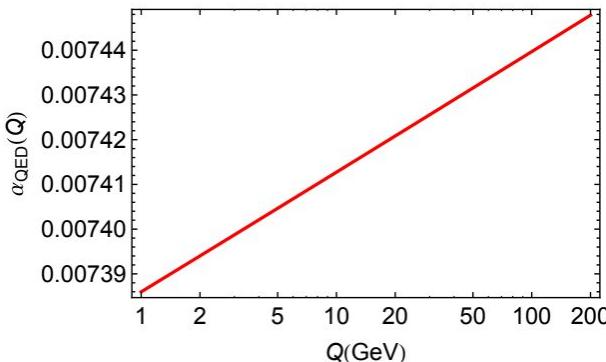
$$\Delta x \Delta p \geq \frac{\hbar}{2} \rightarrow \Delta E \Delta t \geq \frac{\hbar}{2}$$

- This is how **virtual particles** arise in quantum field theories
- But now in QCD these virtual particles (virtual quarks and gluons) interact with the **valence quarks** that make up a proton
- Probing at different scales we can see three distinct “quark” types
  - At ~30% the **longitudinal momentum of the proton** (a variable called  $x$ ) we see the valence quarks
  - At ~10% we see a cloud of pions where the valence quarks are hidden in. (**Partons** we call them)
  - At ~1% we see the singlet quarks and gluons



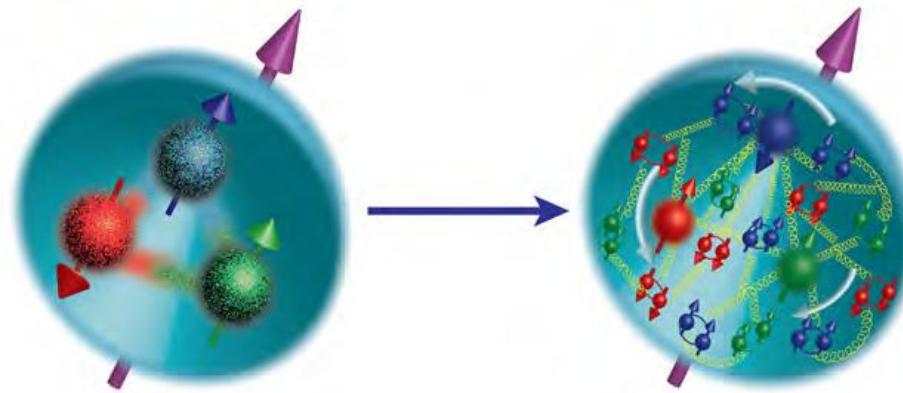
# Asymptotic Freedom

- At a higher mass-scale, the strong force asymptotically falls off. A feature known as **asymptotic freedom**.
- This allows QCD to be studied perturbatively at high energies
- Finding the onset of **hard** (perturbative QCD, pQCD) and **soft** (non-perturbative QCD) factorization is of critical importance in medium to high-energy physics
  - A scaling behavior in the parton model should show a dependence on transferred momentum ( $Q^2$ ) at **higher order corrections**

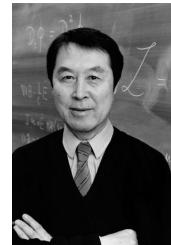


# Summary of QCD

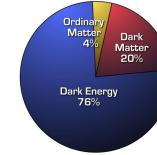
- QCD is characterized by two emergent phenomena:
  - confinement
  - dynamical chiral symmetry breaking (DCSB)
- The bound state degrees of freedom can be viewed through two lenses
  - Hadrons at **large** distance scales
  - Partons at **small** distance scales
- This transition from hadronic to partonic degrees of freedom is required to characterize hadrons **ab initio**
- The flavor degrees of freedom of the produced meson selectively probe aspects of the **reaction mechanism**



# Dynamical Chiral Symmetry Breaking

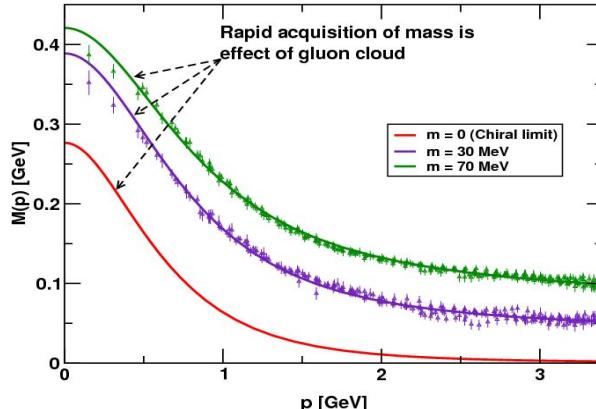


- But what is **Dynamical Chiral Symmetry Breaking (DCSB)???**
- **Higgs boson** gives valence quarks mass
  - This only contributes **<1%** the proton mass
  - Where does the rest of the mass come from? Well, DCSB!
  - This means that 98% of all visible matter comes from DCSB!
- This is because of a **spontaneously broken symmetry**, chirality (left and right “handedness”)
- The best way to study DCSB is primarily through the **pion** (and as we will subsequently the kaon)
- Chiral symmetry requires the pion to be partnered with a **scalar meson of equal mass**
  - There is no evidence for the existence of such a particle
  - No other properties of chiral symmetry are apparent in nature so the symmetry must be broken



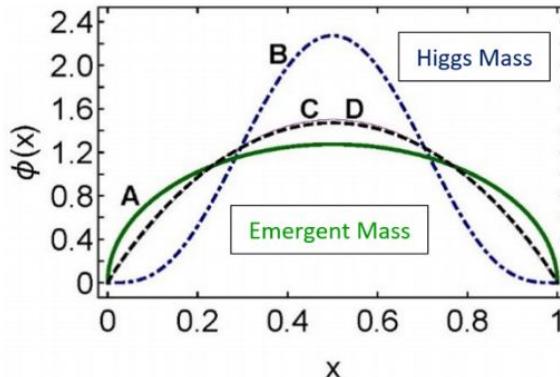
# Pion Mysteries

- The pion consists of an **up quark and down antiquark** so it is the simplest system to study light quarks, but also has many other unique properties
- The pion's mass is just a **fifth** what is expected in quantum mechanics
  - This can be contributed to symmetry **constraints** imposed by DCSB
  - These constraints lead to cancellations by (negative) **gluon binding effects**
  - This makes the pion a **pseudo Nambu-Goldstone boson**
- All this means that finding the origin of the proton's mass is the same as asking why the pion is so light, which is the connection between **confinement** and **DCSB**



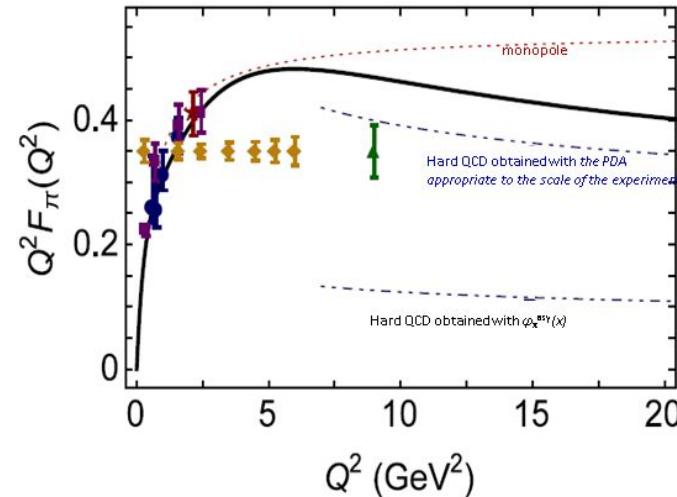
# What a Strange World!

- What about heavier quark bound states?
- The data suggests that **heavier flavor** quark mass is primarily generated through the **Higgs mechanism**
- The **kaon** sits in a unique position because its quark composition is both light and heavy
  - The kaon consists of an up quark and a **strange** antiquark
  - A competition seems to arise between DCSB and the Higgs mechanism
- The kaon is also a pseudo Nambu-Goldstone boson which makes it a prime candidate for deeper DCSB studies



# Meson Form Factors at Jlab

- Pion and kaon form factors are of special interest in hadron structure studies
  - Pion - lightest QCD quark system and crucial in understanding dynamic generation of mass
  - Kaon - next simplest system containing strangeness
- Clearest case for studying transition from non-perturbative to perturbative regions
- Jlab 6 GeV data showed form factor differs from hard QCD calculation
  - Evaluated with asymptotic valence-quark Distribution Amplitude (DA), but large uncertainties
- 12 GeV form factor extraction data require:
  - measurements over a range of  $t$ , which allow for interpretation of kaon pole contribution



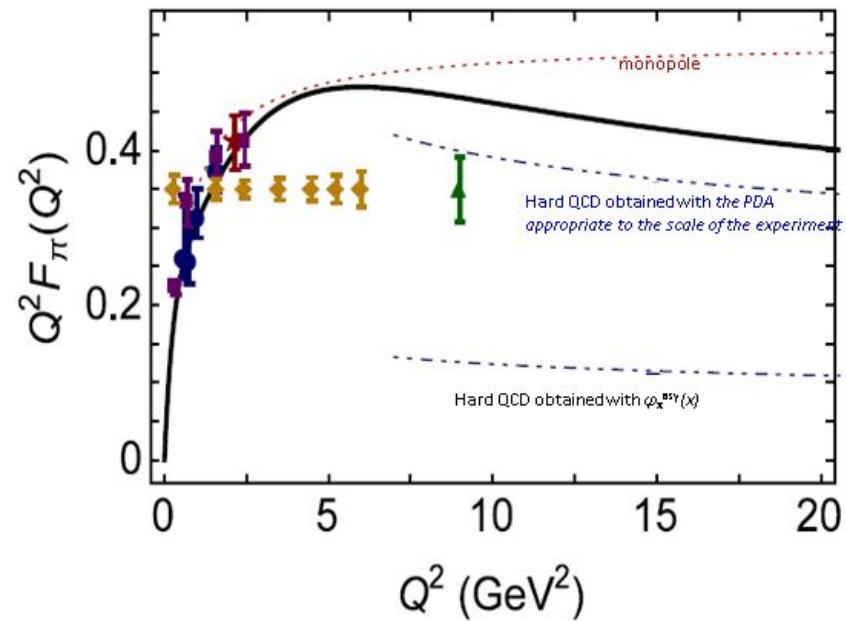
# What is the Form Factor?

- We want a way to see how quarks are distributed in the pion/kaon
- QED is well understood so we exploit the charge of quarks
- There are two types of form factors used
  - Electric form factor ( $G_E$ ), charge
  - Magnetic form factor ( $G_M$ ), current
- The form factor is the **fourier transform** of the transverse spatial charge density
  - **1D function** dependent on the transferred momentum ( $Q^2$ )
- We can get the form factor from the **cross-section**

$$\frac{d\sigma}{d\Omega} = \left( \frac{d\sigma}{d\Omega} \right)_{Mott} |F(Q^2)|^2$$

Diagram illustrating the decomposition of the differential cross-section:

- A red arrow points to the term  $\left( \frac{d\sigma}{d\Omega} \right)_{Mott}$  labeled "Point-like cross section".
- A blue arrow points to the term  $|F(Q^2)|^2$  labeled "Form Factor".

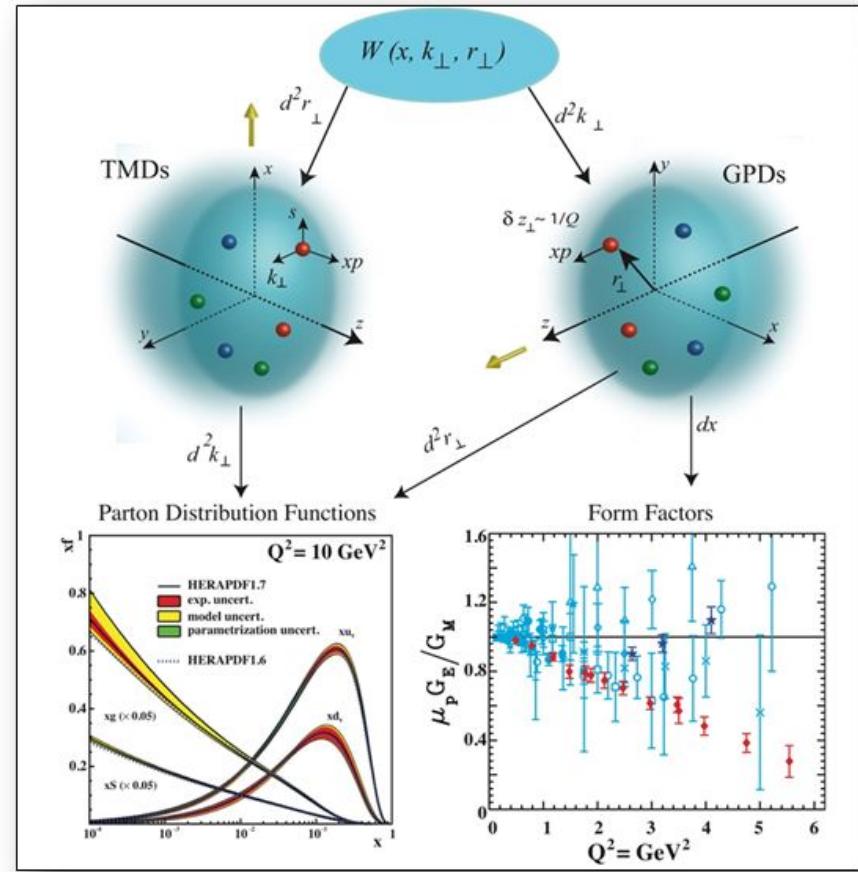


# Bringing it All Together

- We discovered that (nearly) massless quarks and gluons make up the nucleon and that QCD governs their interactions.
- We had hoped to find out how quarks and gluons and their interactions give rise to the characteristics of the nucleons.
  - Spin
  - Mass
- We also hoped that we would be able to find out how nucleon-nucleon interactions work in terms of QCD.
  - How nuclear forces arise?
  - How do nuclear characteristics come about?
- We were able to figure these out with E&M and atoms.
- Need something beyond a 1D form factor description...

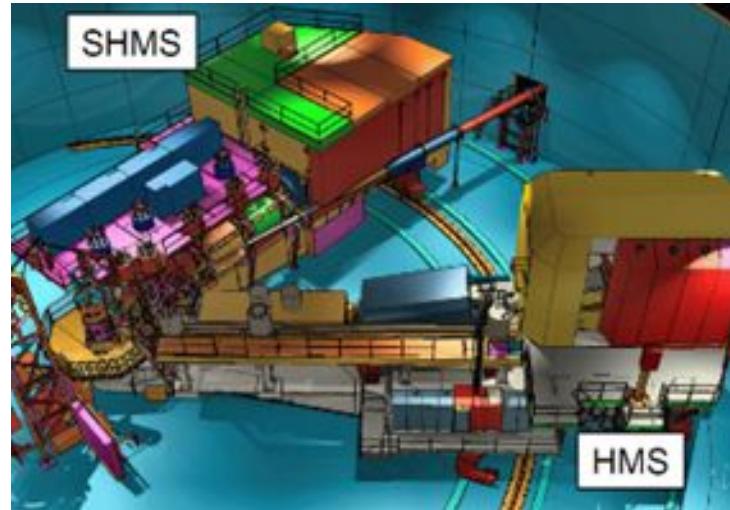
# Meson Structure in 3D

- Form factors are vital in understanding internal hadronic structure and dynamics
- The 3D imaging of a nuclei uses **Generalized Parton Distributions (GPDs)** and **Transverse Momentum-dependent parton Distributions (TMDs)**
- **TMDs:** Confined motion in nucleon (semi-inclusive DIS)
- **GPDs:** Spatial imaging (exclusive DIS)
- Requires...
  - High luminosity
  - Polarized beams and targets



# Review E12-09-011 (KaonLT) Goals

- First kaon experiment at high enough energies for non-perturbative studies
  - Need **very high precision**, which only focusing spectrometers can do
  - **SHMS** in Hall C was built for this purpose
- $Q^2$  dependence will allow studying the **scaling behavior** of the separated cross sections
- $t$ -dependence allows for detailed studies of the reaction mechanism
  - Contributes to understanding of the non-pole contributions, which should reduce the model dependence
  - If warranted by data, **extract the kaon form factor**

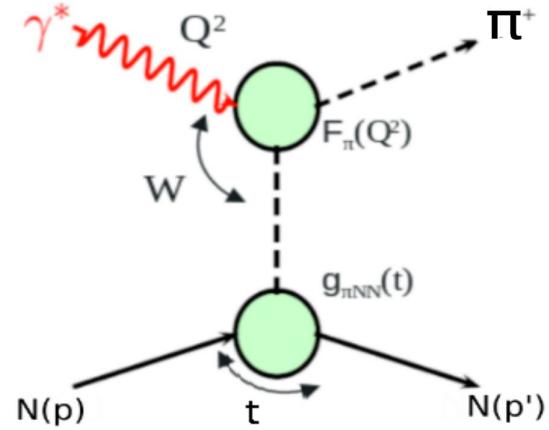


# Experimental Determination of the $\pi/K^+$ Form Factor

- At larger  $Q^2$ ,  $F_{\pi^+}^{-2}$  must be measured indirectly using the “pion cloud” of the proton via the  $p(e,e'\pi^+)n$  process
  - At small  $-t$ , the pion pole process dominates  $\sigma_L$
  - In the Born term model,  $F_{\pi^+}^{-2}$  appears as

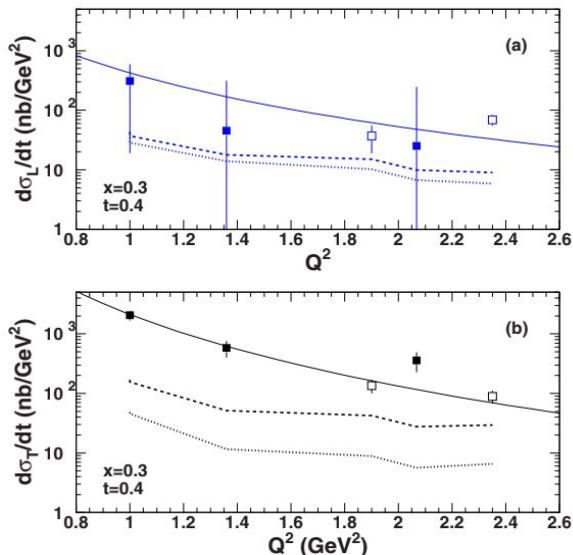
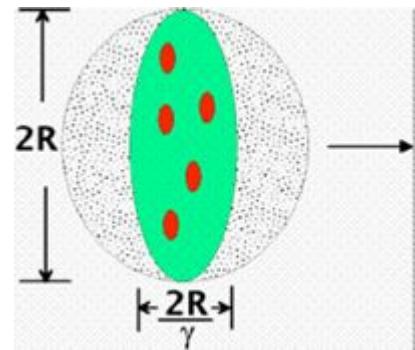
$$\frac{d\sigma_L}{dt} \propto \frac{-t}{(t - m_\pi^2)} g_{\pi NN}^2(t) Q^2 F_\pi^2(Q^2, t)$$

- Pole comes from the fact that  $\pi/K^+$  are **not real** (i.e. pion cloud), but can be treated as real if the pole **dominates  $\sigma_L$**  at small  $t$ 
  - This is needed for validation that the form factor can be extracted
- For the **same  $Q^2$  values**, one can fit several  $\sigma_L$  values for different virtual photon polarizations ( $\varepsilon$ ).



# Hard-Soft Factorization

- The  $K^+$  electroproduction cross section has a  $Q^2$  dependence at fixed  $x$  and  $-t$ 
  - Factorization of  $\sigma_L$  scales to leading order  $Q^{-6}$
  - In that regime expect  $\sigma_T$  to go as  $Q^{-8}$  and consequently  $\sigma_L \gg \sigma_T$
  - Important because partons are “frozen” transversely in the reference frame of pQCD (i.e. infinite momentum frame)
- Separated cross sections over a large range in  $Q^2$  are essential for:
  - Testing factorization and understanding dynamical effects in both  $Q^2$  and  $-t$  kinematics
  - Interpreting non-perturbative contributions in experimentally accessible kinematics



# Jefferson Lab Continuous Electron Beam Accelerator Facility (Jlab CEBAF)

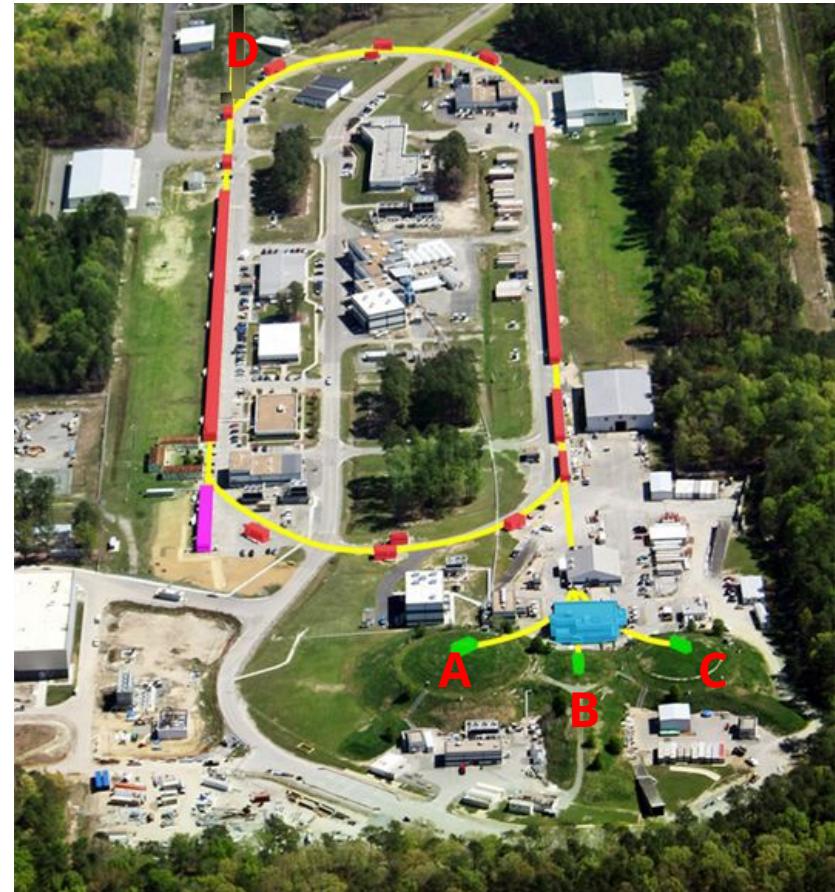
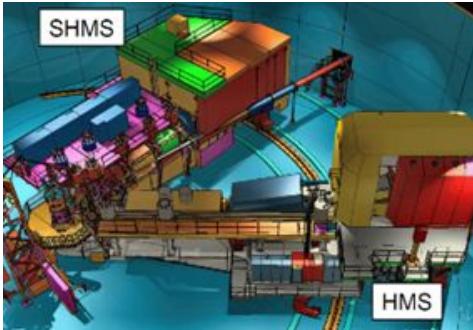
- 1995-2012:
  - Energies 0.4-6.0 GeV
  - $200 \mu\text{A}$ , 85% polarization
  - Simultaneous beam delivery to 3 halls



# Jefferson Lab Continuous Electron Beam Accelerator Facility (Jlab CEBAF)

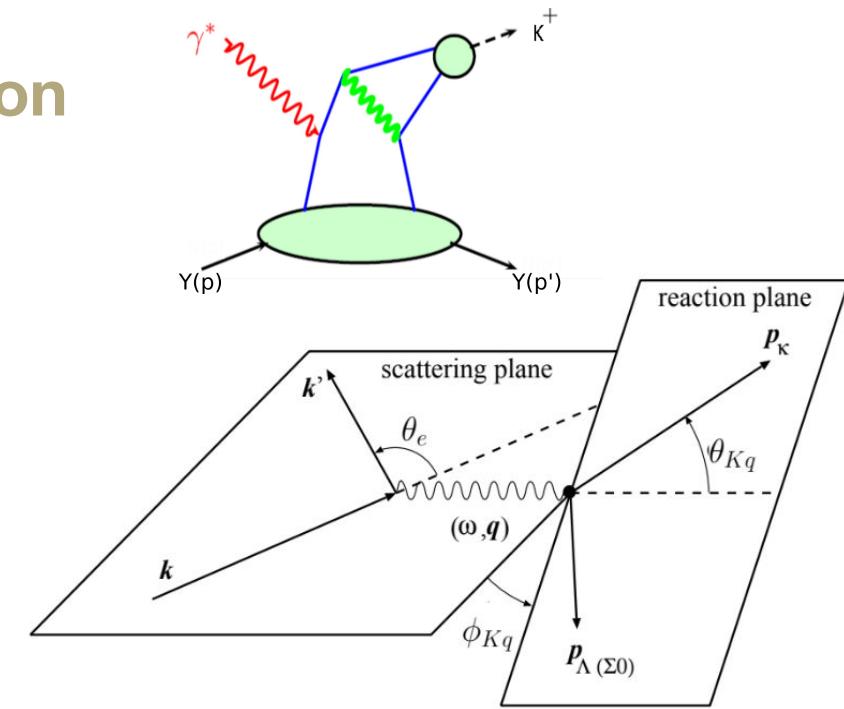
- 1995-2012:
  - Energies 0.4-6.0 GeV
  - $200 \mu\text{A}$ , 85% polarization
  - Simultaneous beam delivery to 3 halls
- 2016-:
  - Energies 0.4-**12.0** GeV
  - **150**  $\mu\text{A}$ , 85% polarization
  - Simultaneous beam delivery to **4 halls**

Hall C



# Exclusive $K^+$ Electroproduction

- $p(e, e' K^+) \Lambda(\Sigma^0)$
- Scattering Plane
  - Incident electron,  $k$
  - Virtual photon,  $q$
  - Scattered electron,  $k'$
  - The incident electron scatters off the proton at an angle  $\theta_e$ .
- Reaction Plane
  - Kaon,  $p_K$
  - The kaon ejectile scatters at angle  $\theta_{Kq}$
  - The remaining proton energy (now a hyperon),  $p_{\Lambda(\Sigma)}$
- $\Phi_{Kq}$  is the azimuthal angle between the planes



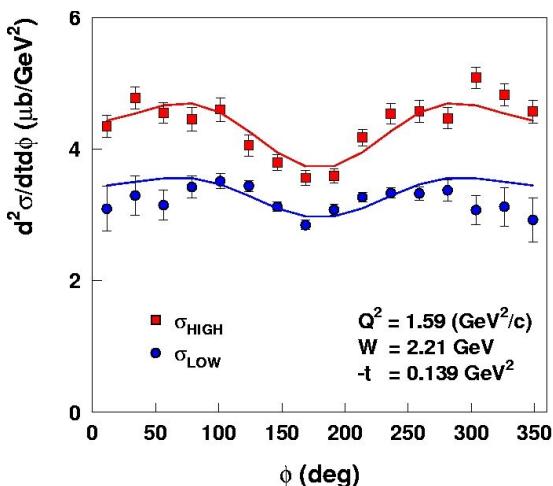
- The exclusive products are the **scattered electron** and **Kaon**, while the **missing hyperon mass** is calculated explicitly

# L/T Separation Basics

$$2\pi \frac{d^2\sigma}{dtd\phi} = \varepsilon \frac{d\sigma_L}{dt} + \frac{d\sigma_T}{dt} + \sqrt{2\varepsilon(\varepsilon+1)} \frac{d\sigma_{LT}}{dt} \cos \phi + \varepsilon \frac{d\sigma_{TT}}{dt} \cos 2\phi$$



$\sigma_L$  will give us  $F_{K+}^2$

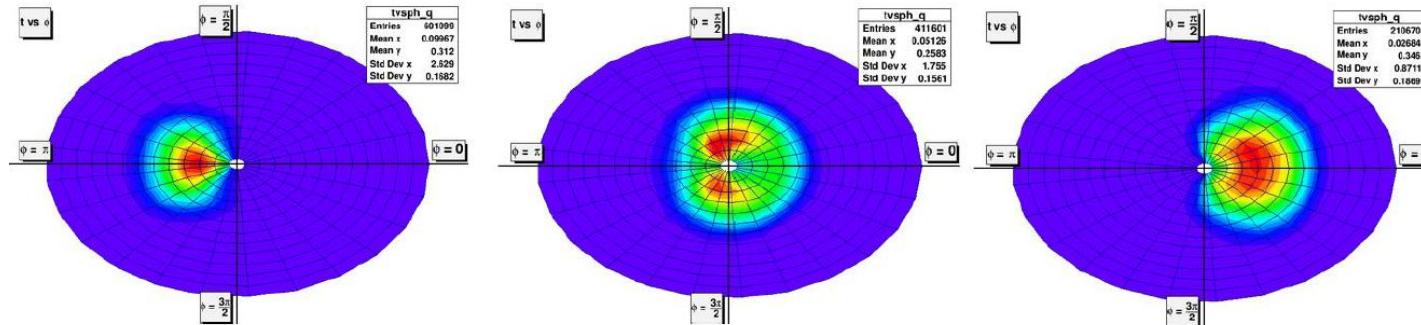


- $\sigma_L$  is isolated using the **Rosenbluth separation technique**
- Measure the cross section at two beam energies and fixed  $W, Q^2, -t$ 
  - A high and low  $\varepsilon$  value
- **Full azimuthal coverage**,  $\phi$ , will eliminate the interference terms
- Simultaneous fit using measured azimuthal angle ( $\phi$ ) allows for extracting L, T, LT, and TT
  - $\sigma_L \rightarrow$  slope
  - $\sigma_T \rightarrow$  y-intercept

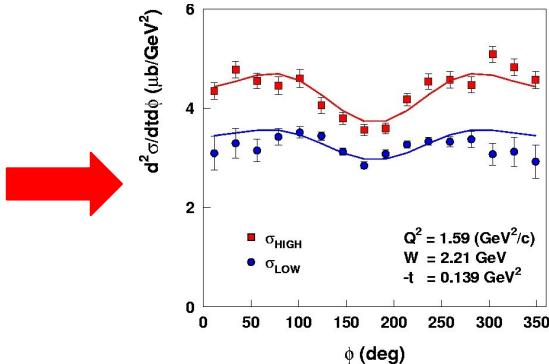
$$2\pi \frac{d^2\sigma}{dtd\phi} = \varepsilon \frac{d\sigma_L}{dt} + \frac{d\sigma_T}{dt}$$

# L/T Separation Example

$$2\pi \frac{d^2\sigma}{dt d\phi} = \varepsilon \frac{d\sigma_L}{dt} + \frac{d\sigma_T}{dt} + \sqrt{2\varepsilon(\varepsilon+1)} \frac{d\sigma_{LT}}{dt} \cos \phi + \varepsilon \frac{d\sigma_{TT}}{dt} \cos 2\phi$$



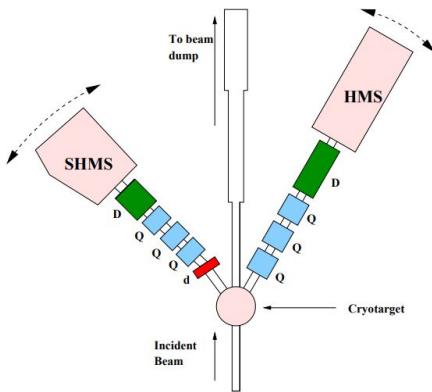
- Three SHMS angles for azimuthal ( $\phi$ ) coverage to determine the interference terms (LT, TT)
- Using the two beam energies ( $\varepsilon$ ) to separate longitudinal (L) from transverse (T) cross section



Fit using measured  $\varepsilon$  and  $\phi$  dependence

# Kaon LT - Data Collected

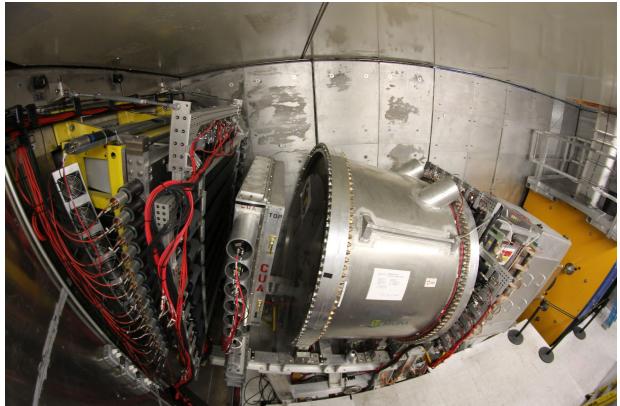
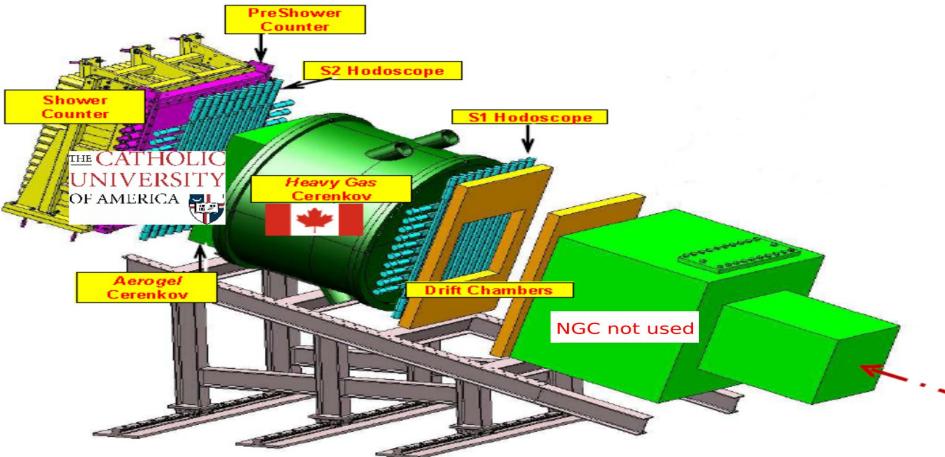
- The  $p(e, e' K^+) \Lambda, \Sigma^0$  experiment ran in Hall C at Jefferson Lab over the fall and spring.



E (GeV)	Q <sup>2</sup> (GeV <sup>2</sup> )	W (GeV)	x	$\epsilon_{\text{high}}/\epsilon_{\text{low}}$
10.6/8.2	5.5	3.02	0.40	0.53/0.18
10.6/8.2	4.4	2.74	0.40	0.72/0.48
10.6/8.2	3.0	3.14	0.25	0.67/0.39
10.6/6.2	3.0	2.32	0.40	0.88/0.57
10.6/6.2	2.115	2.95	0.21	0.79/0.25
4.9/3.8	0.5	2.40	0.09	0.70/0.45

# Experimental Details

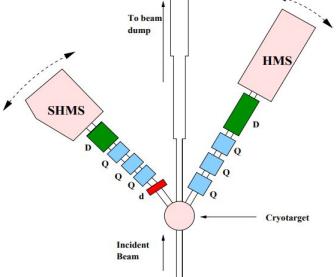
- Hall C:  $k_e = 3.8, 4.9, 6.4, 8.5, 10.6 \text{ GeV}$
- SHMS for kaon detection :
  - angles, 6 – 30 deg
  - momenta, 2.7 – 6.8  $\text{GeV}/c$
- HMS for electron detection :
  - angles, 10.7 – 31.7 deg
  - momenta, 0.86 – 5.1  $\text{GeV}/c$
- Particle identification:
  - Dedicated Aerogel Cherenkov detector for kaon/proton separation
    - Four refractive indices to cover the dynamic range required by experiments
  - Heavy gas Cherenkov detector for kaon/pion separation



# SHMS small angle operation

- Some issues with opening and small angle settings at beginning of run
  - SHMS at 6.01°
  - HMS at 12.7°

[12/17/18]



Work of many people ...



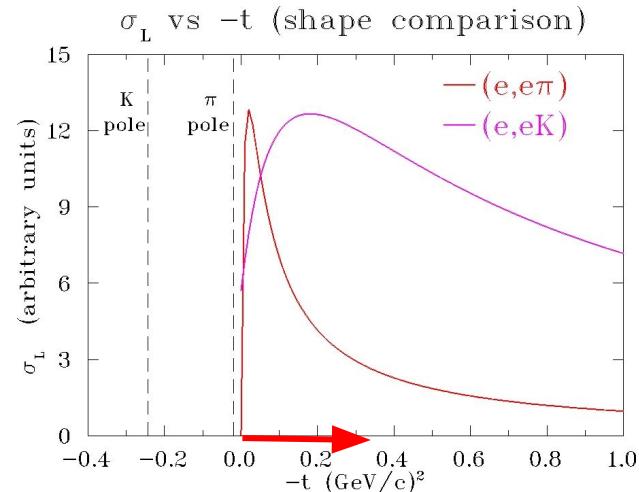
# Comparing $\pi$ and $K^+$ Form Factor

- Large pion  $t$  data lies a similar distance from the pole as kaon data
  - The Born term model should be **approximately valid for kaon form factor**
- The **hard scattering limit** in pQCD predicts a similar result

$$\frac{F_K(Q^2)}{F_\pi(Q^2)} \xrightarrow{Q^2 \rightarrow \infty} \frac{f_K^2}{f_\pi^2}$$

- Requirements:
  - Full L/T separation of the cross section – isolation of  $\sigma_L$  (which requires  $\sigma_L \gg \sigma_T$ )
  - Selection of the pion pole process
  - Extraction of the form factor using a model
  - Validation of the technique - model dependent checks

$$\frac{d\sigma_L}{dt} \propto \frac{-t}{(t - m_\pi^2)} g_{\pi NN}^2(t) Q^2 F_\pi^2(Q^2, t)$$



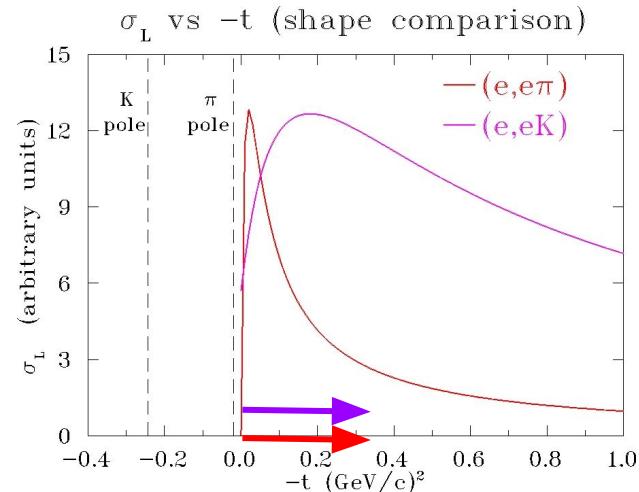
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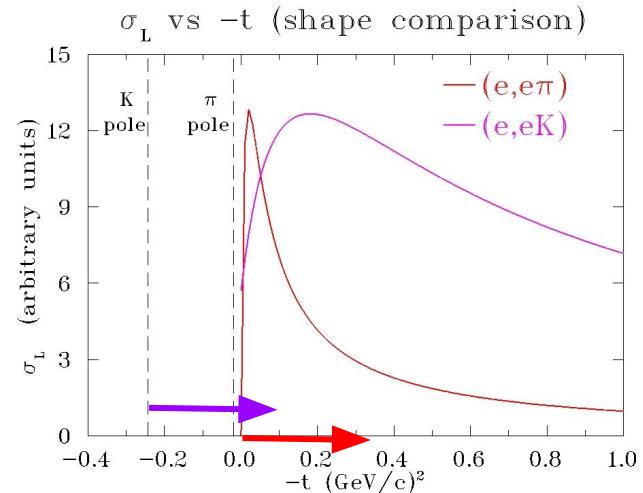
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# KaonLT Event Selection

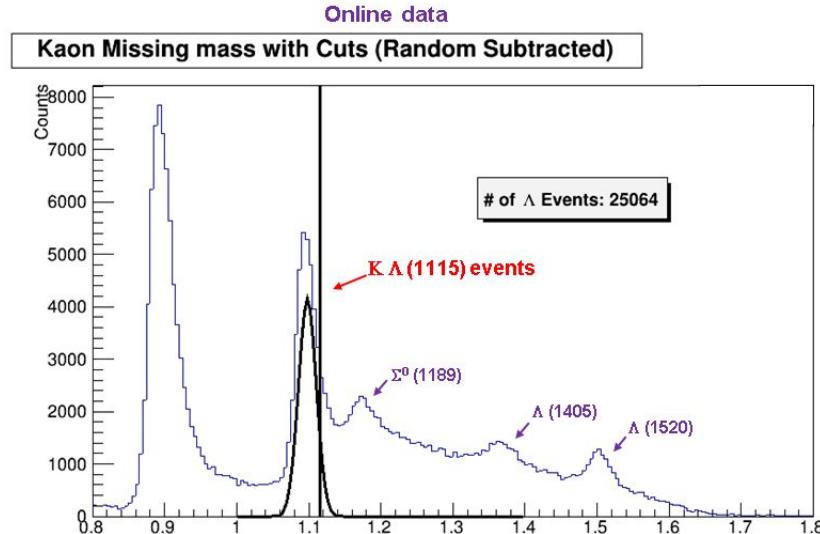
- Isolate **exclusive final states** through missing mass

$$M_x = \sqrt{(E_{det} - E_{init})^2 - (p_{det} - p_{init})^2}$$

- Coincidence measurement between kaons in SHMS and electrons in HMS
  - simultaneous studies of  $K\Lambda$  and  $K\Sigma^0$  channels...and a few others...
- Kaon **pole dominance** tests through

$$\frac{\sigma_L(\gamma^* p \rightarrow K^+ \Sigma^0)}{\sigma_L(\gamma^* p \rightarrow K^+ \Lambda)}$$

- Should be similar to ratio of coupling constants  $g^2_{pK\Sigma}/g^2_{pK\Lambda}$  in t-channel



Plot by R. Ambrose, S. Kay, R. Trotta

# Extra Slides