

Situation Theory and the Situation-Theoretic Approach to Information

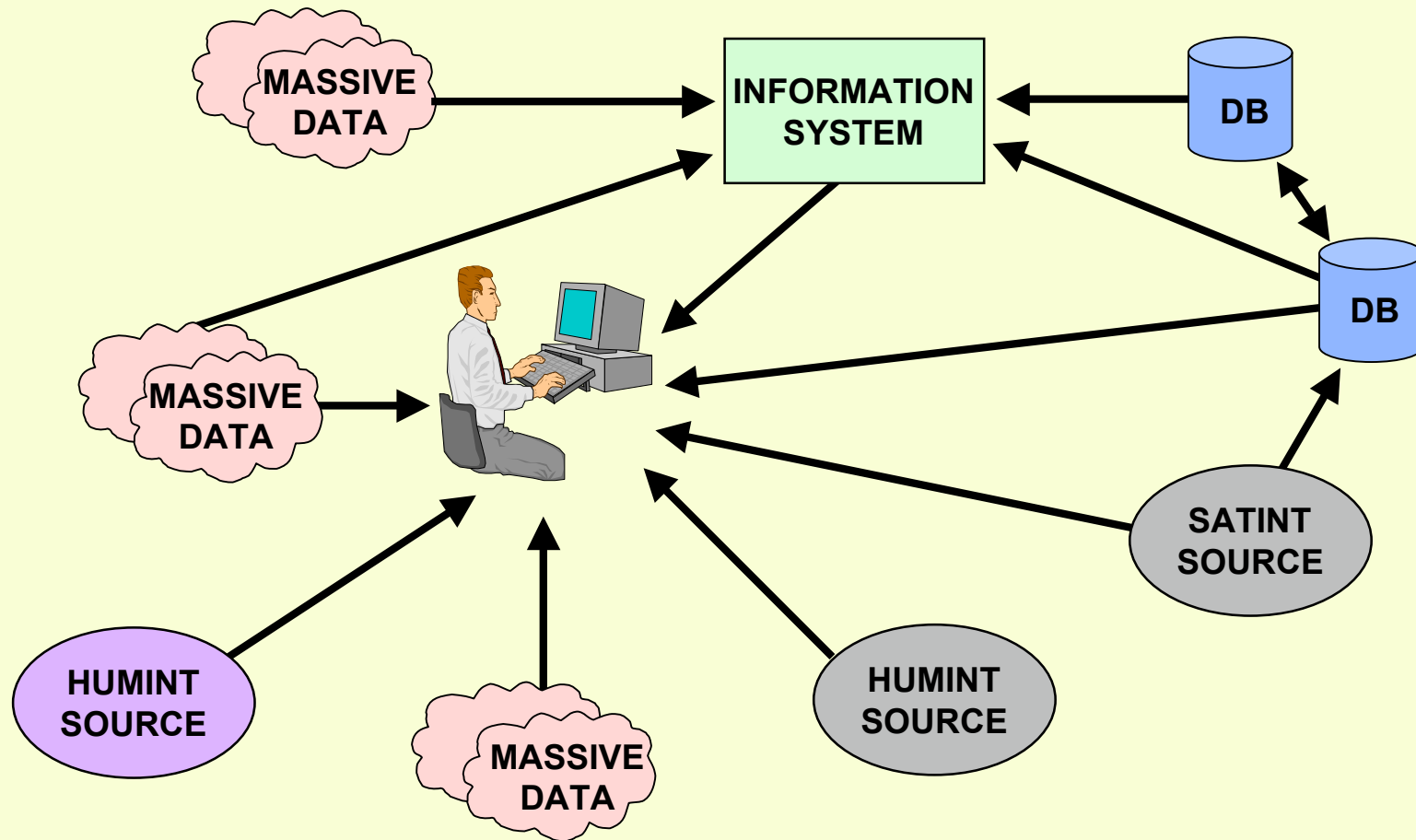
PART 1: OVERVIEW

Keith Devlin
Stanford University

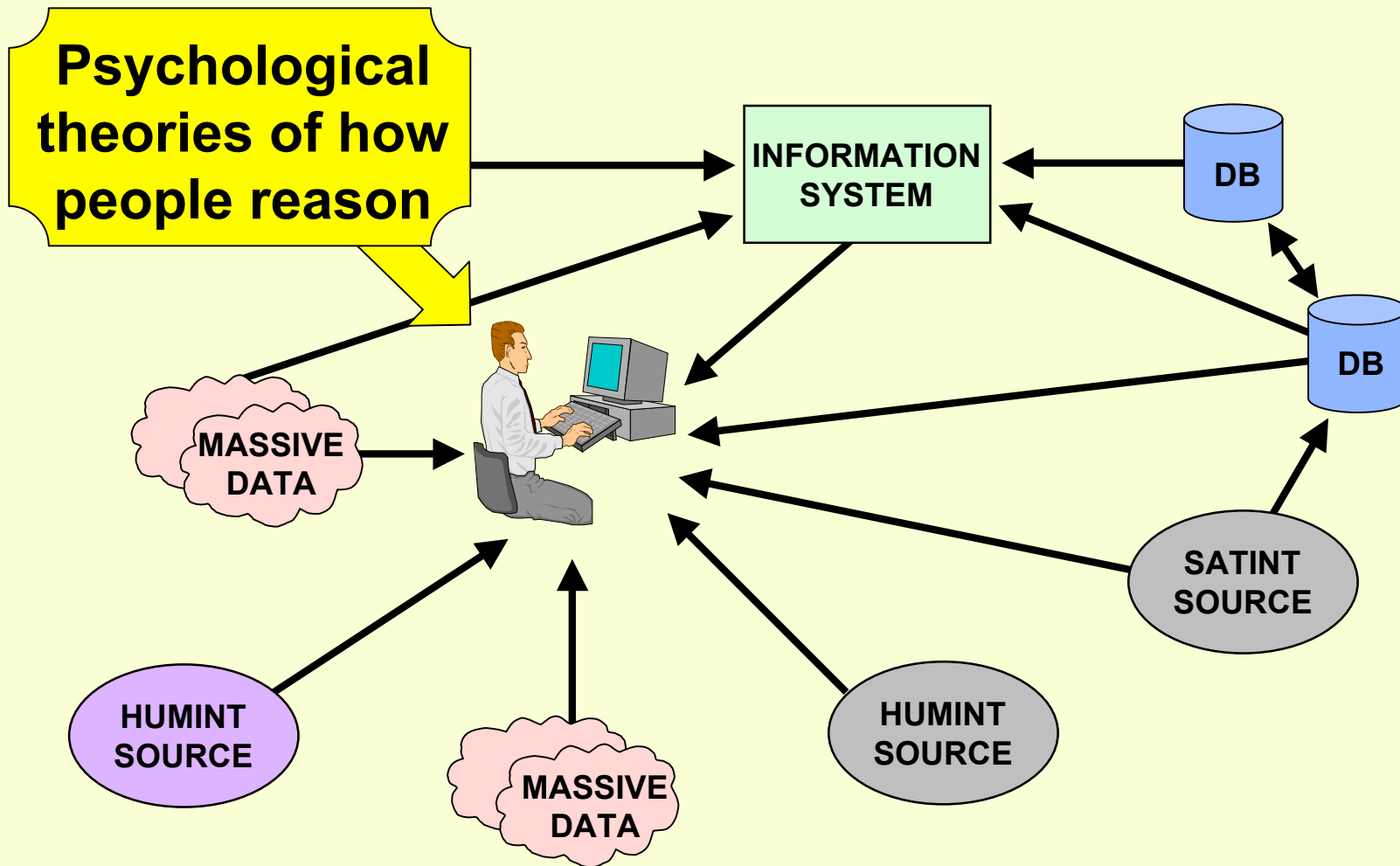
Situation Theory 2000

Not a theory of information; rather a framework for describing, analyzing, and understanding information flow.

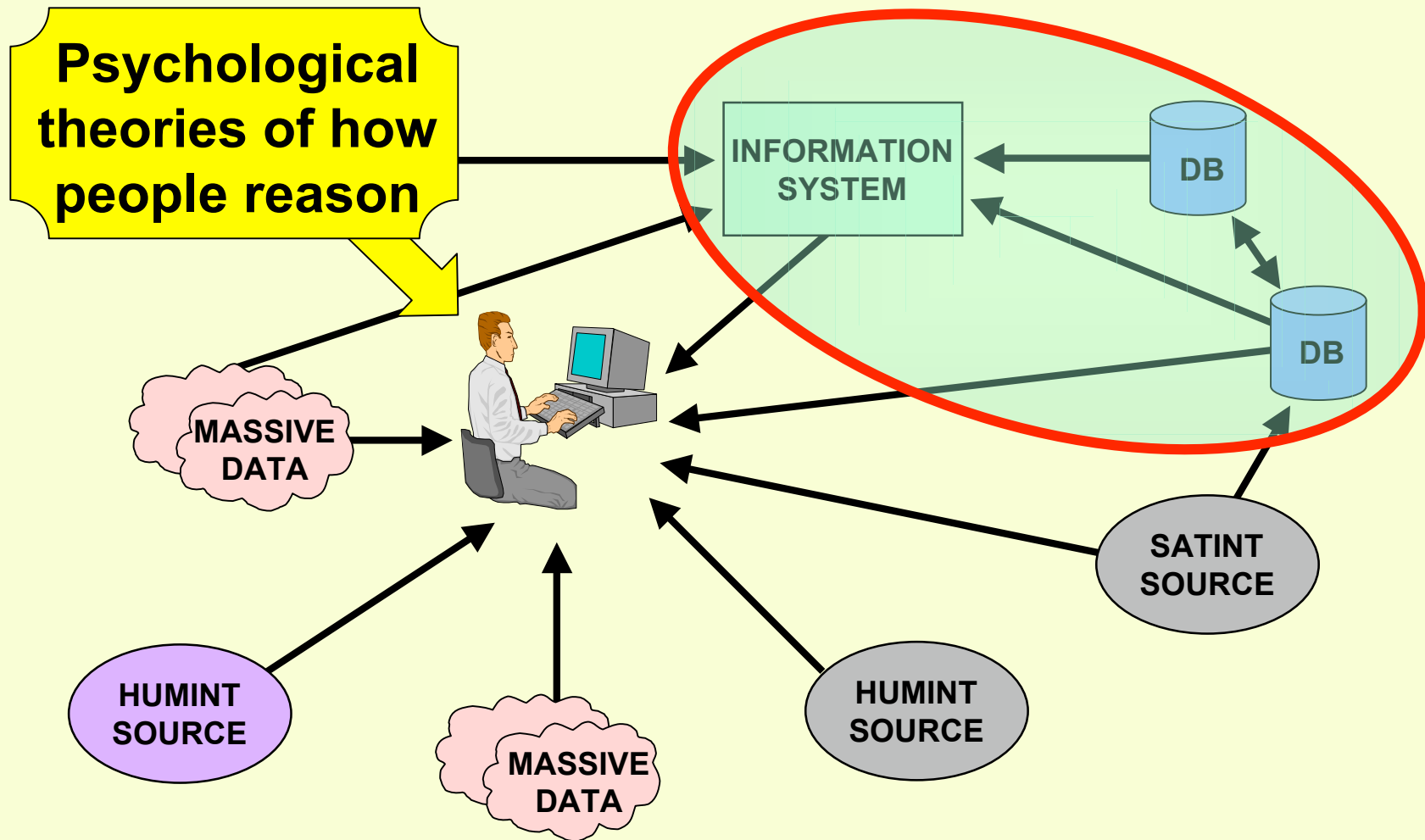
Defense Intelligence Analysis



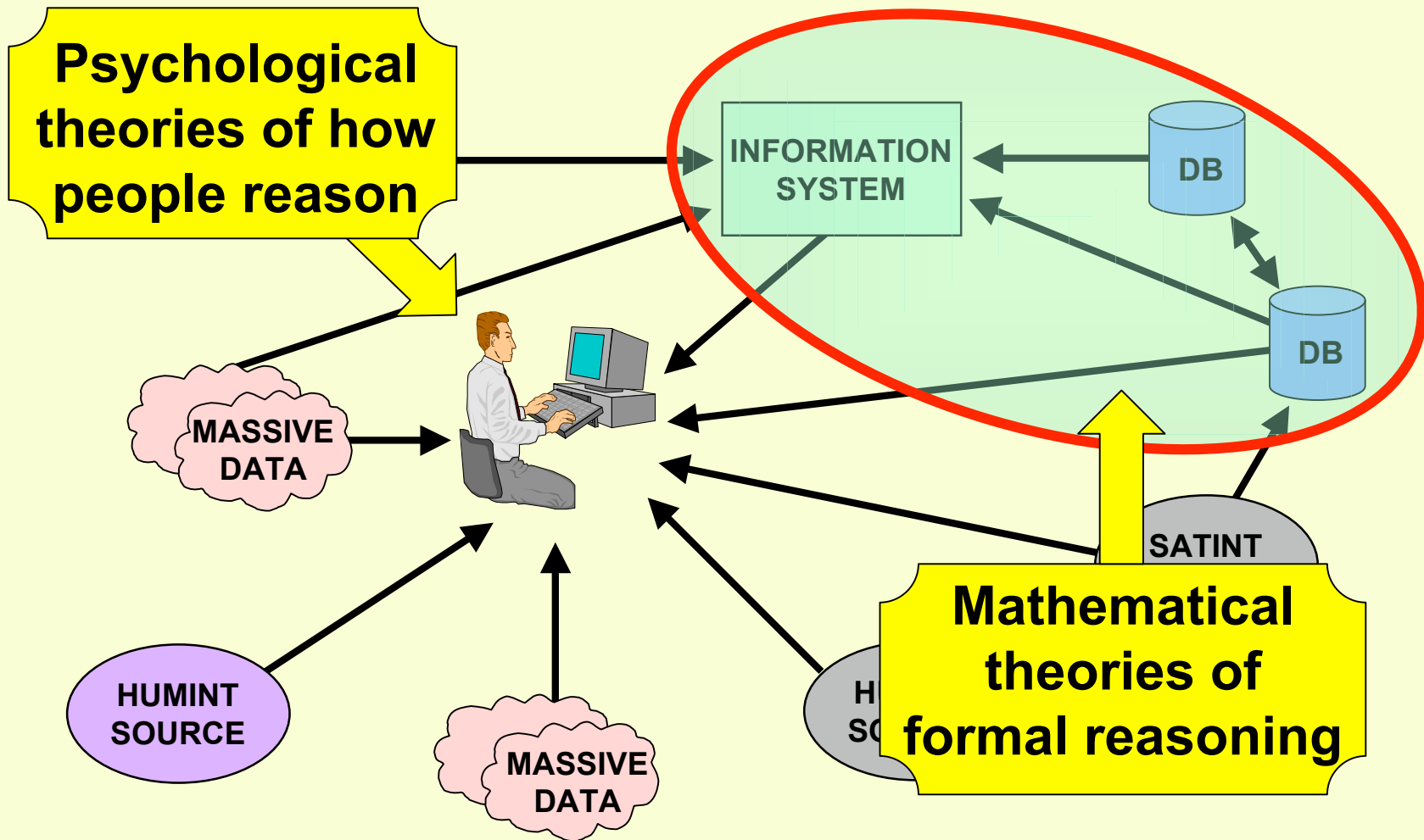
Defense Intelligence Analysis



Defense Intelligence Analysis



Defense Intelligence Analysis



What is information?

What is information?

Knowledge

What is information?

Knowledge



What is information?

Knowledge



Data

What is information?

Knowledge

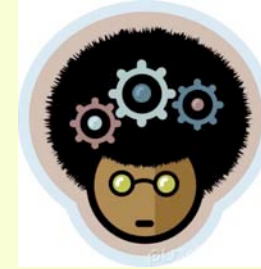


Data



What is information?

Knowledge



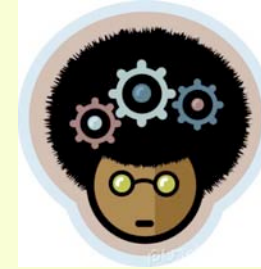
Information

Data



What is information?

Knowledge

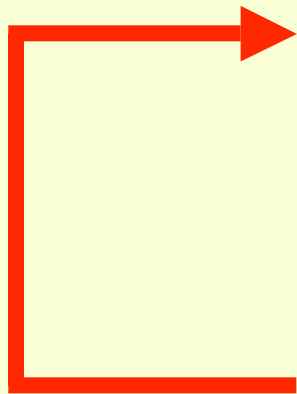


Information

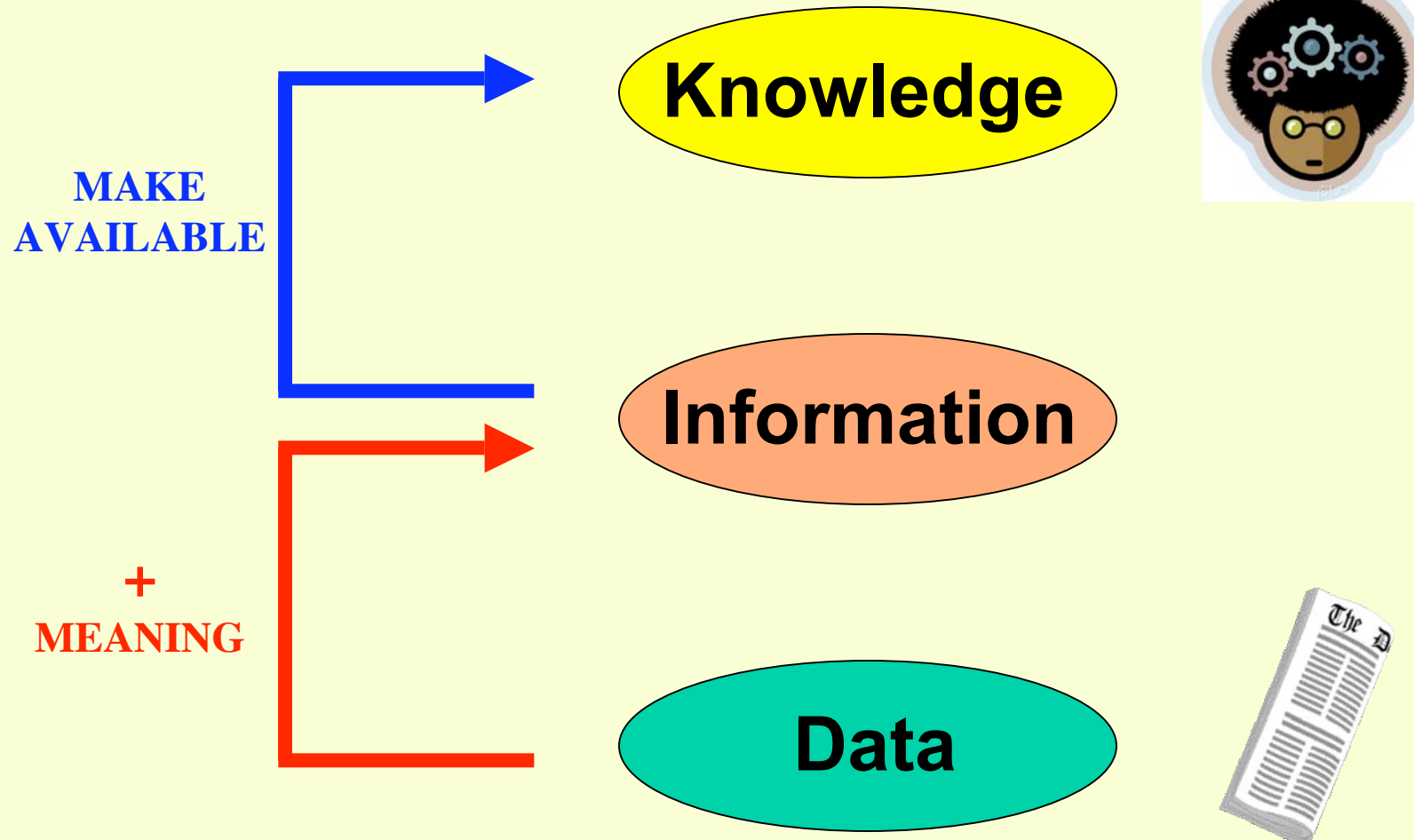


Data

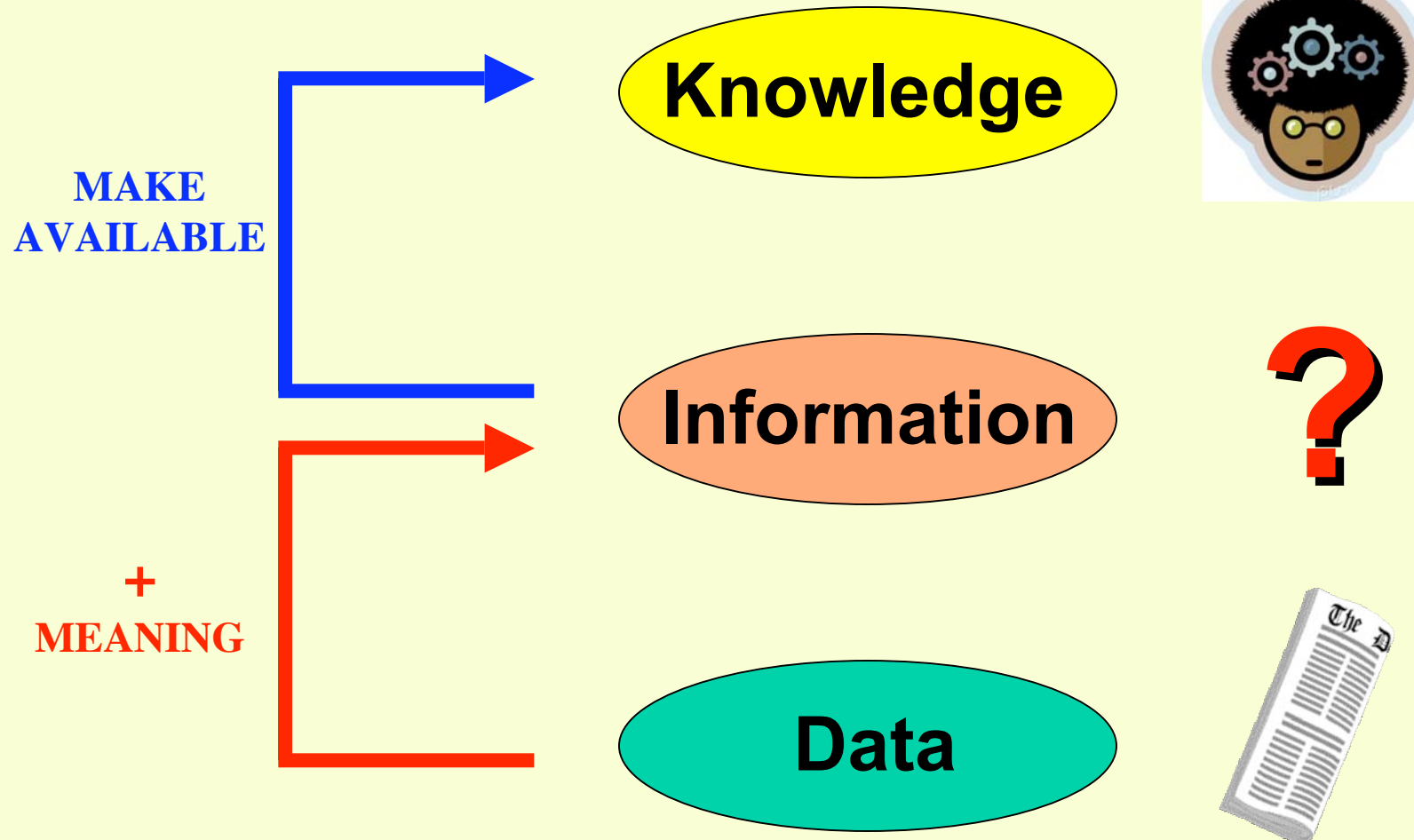
**+
MEANING**



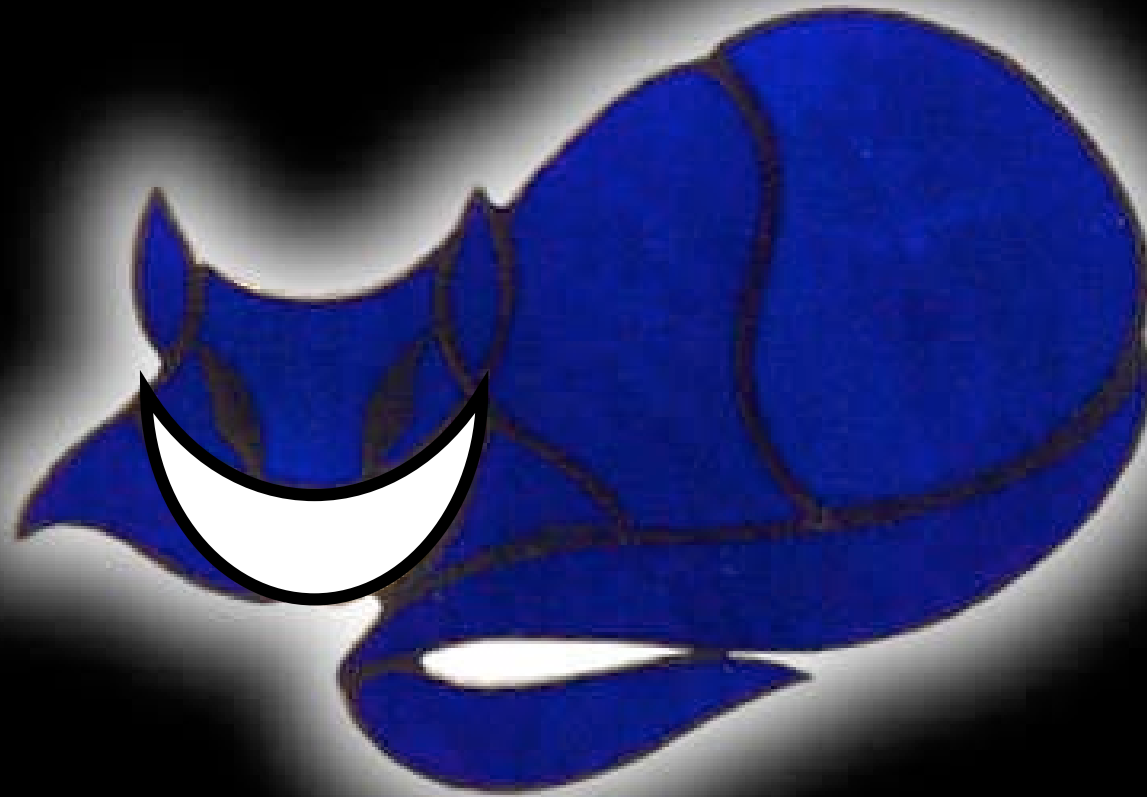
What is information?



What is information?

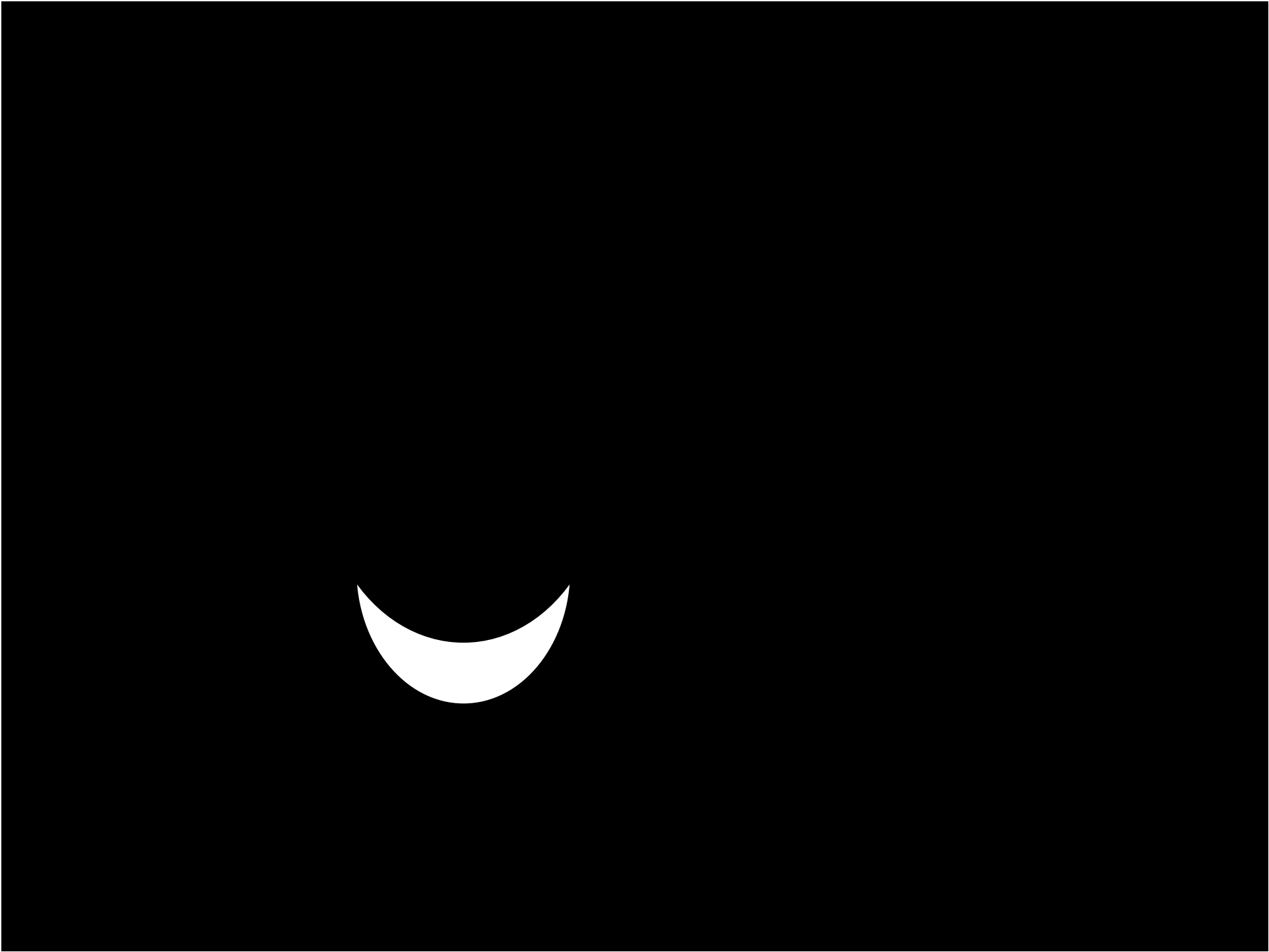


**So what is this stuff
called information?**



**So what is this stuff
called information?**



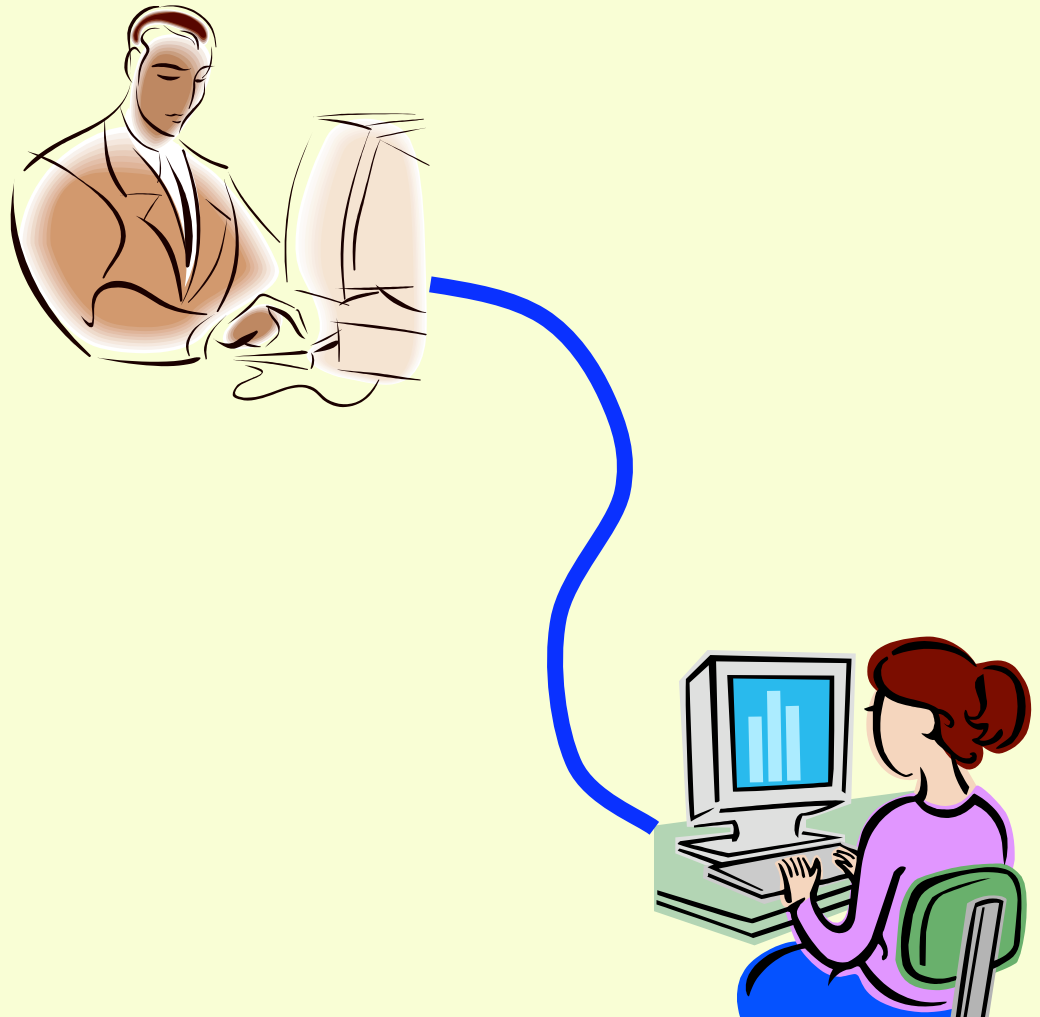


**Information seems to arise when people
communicate “at a distance” (across space or time)**

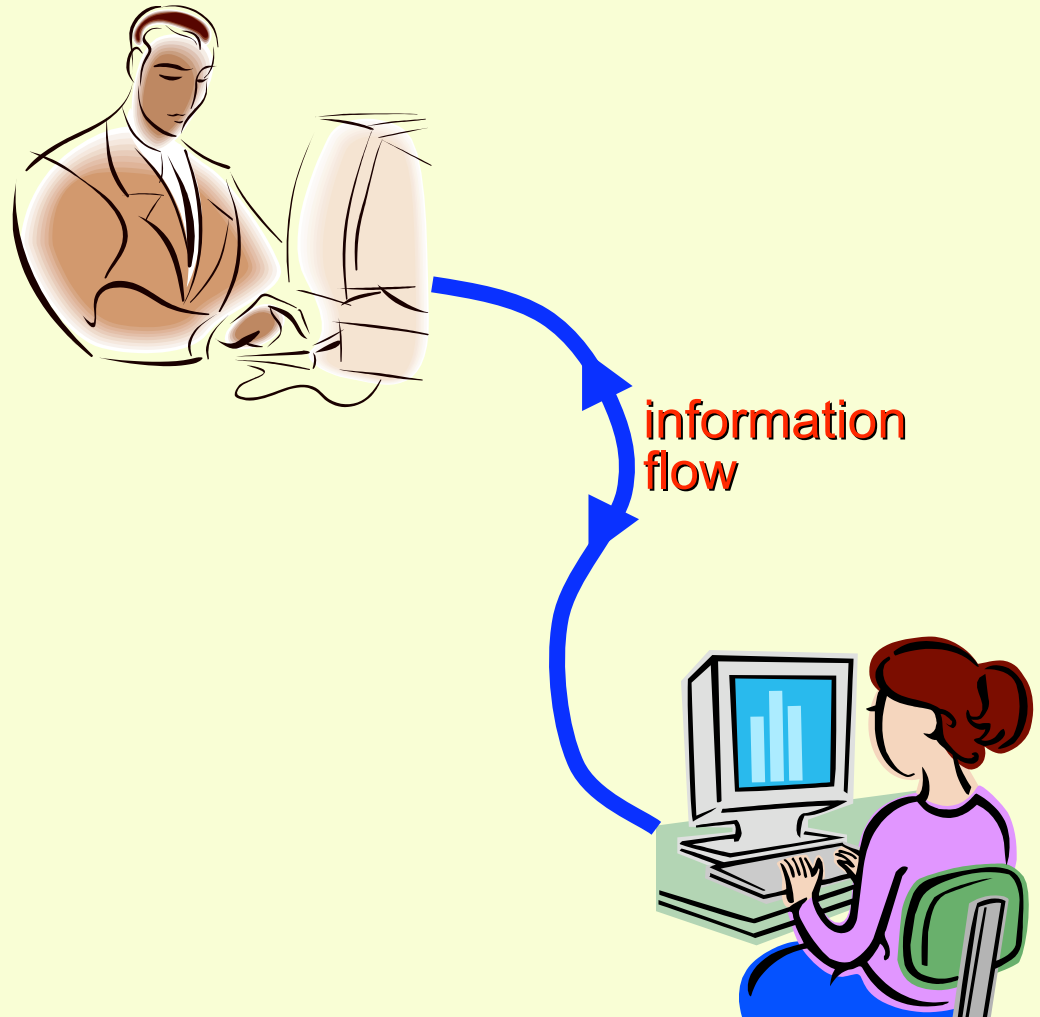
Information seems to arise when people communicate “at a distance” (across space or time)



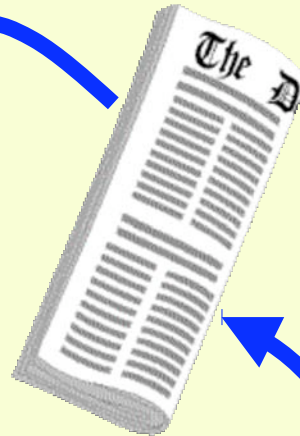
Information seems to arise when people communicate “at a distance” (across space or time)



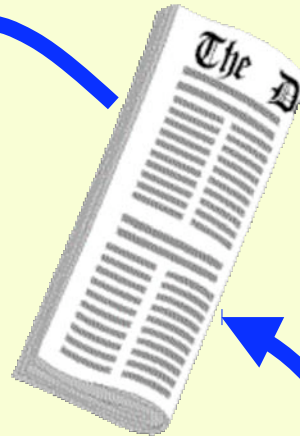
Information seems to arise when people communicate “at a distance” (across space or time)



Information flow: people communicating across space and/or time



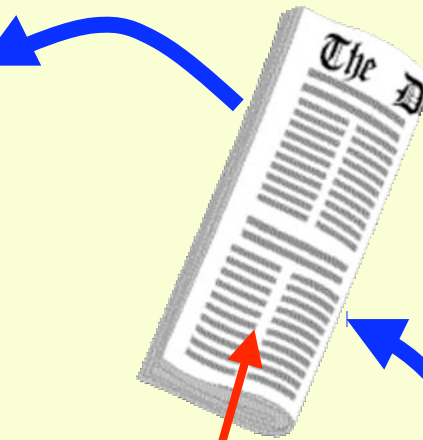
Information flow: people communicating across space and/or time



producing
information



Information flow: people communicating across space and/or time



information
storage

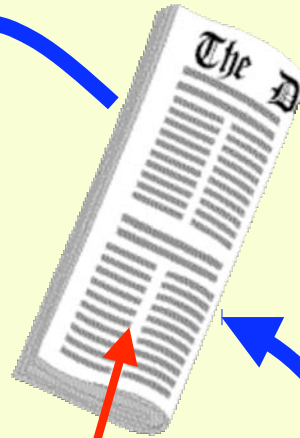
producing
information



Information flow: people communicating across space and/or time



acquiring
information

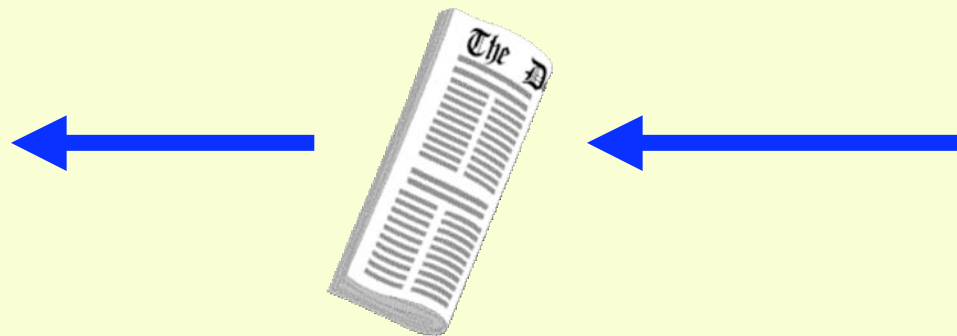


information
storage

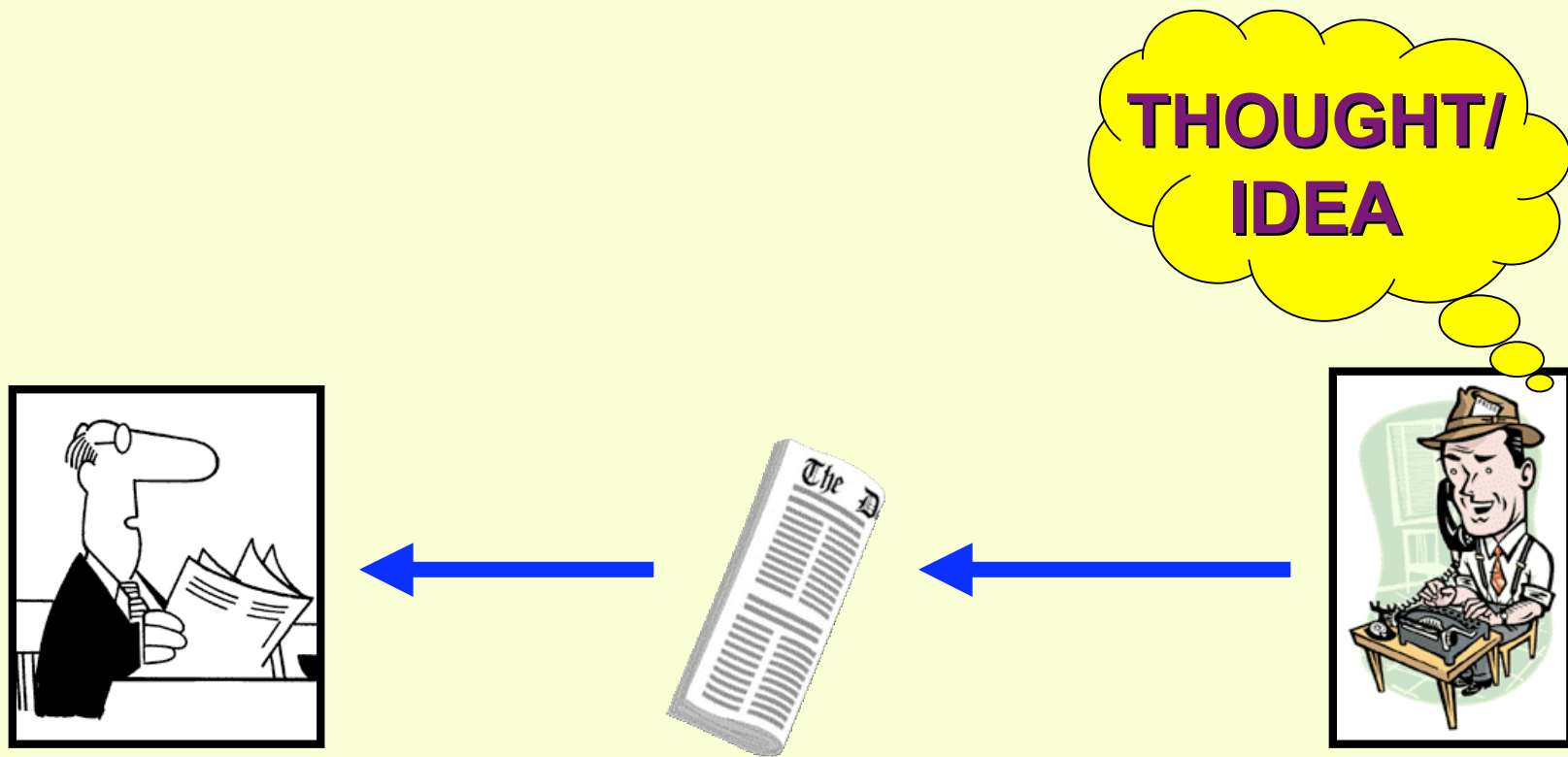
producing
information



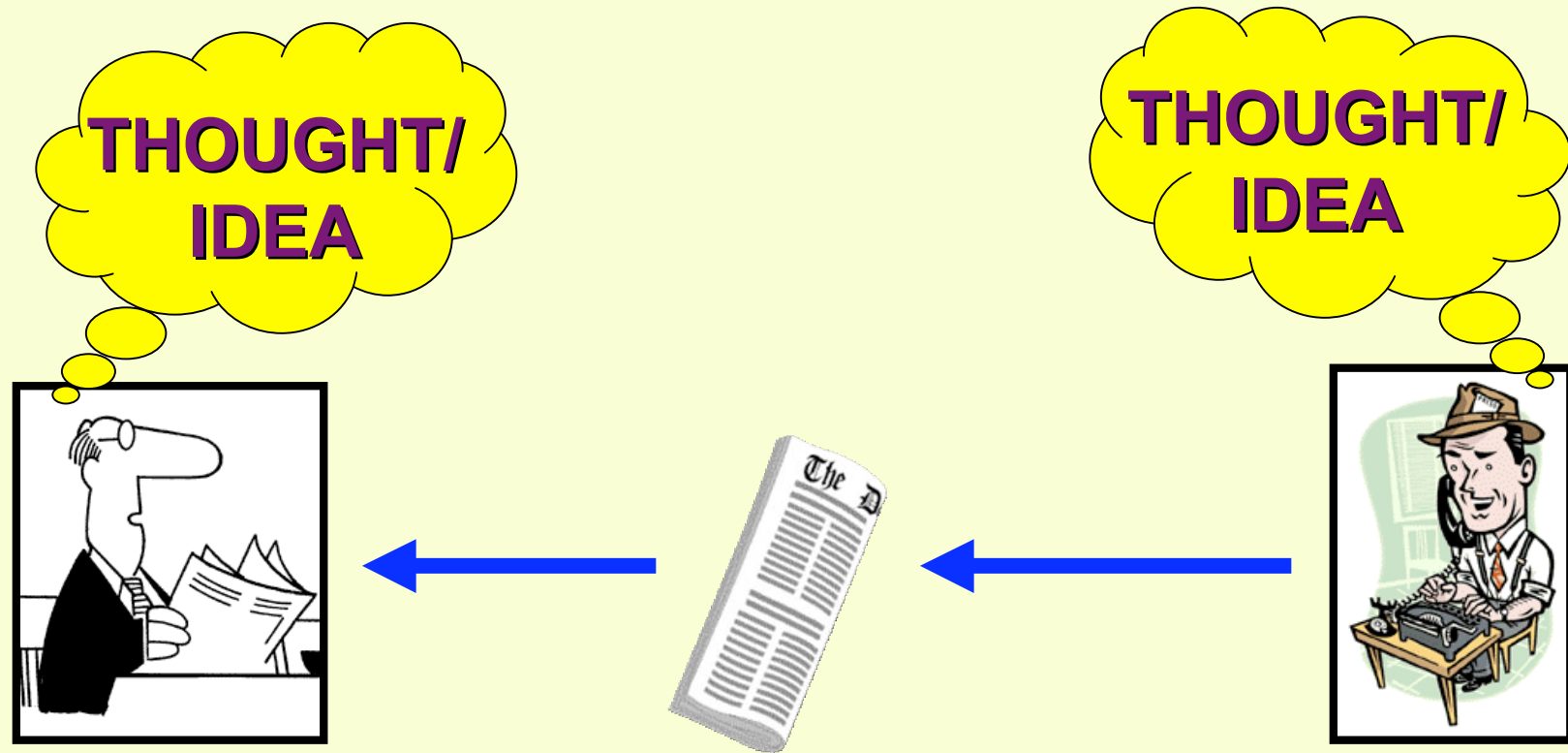
Why do we feel we need information?



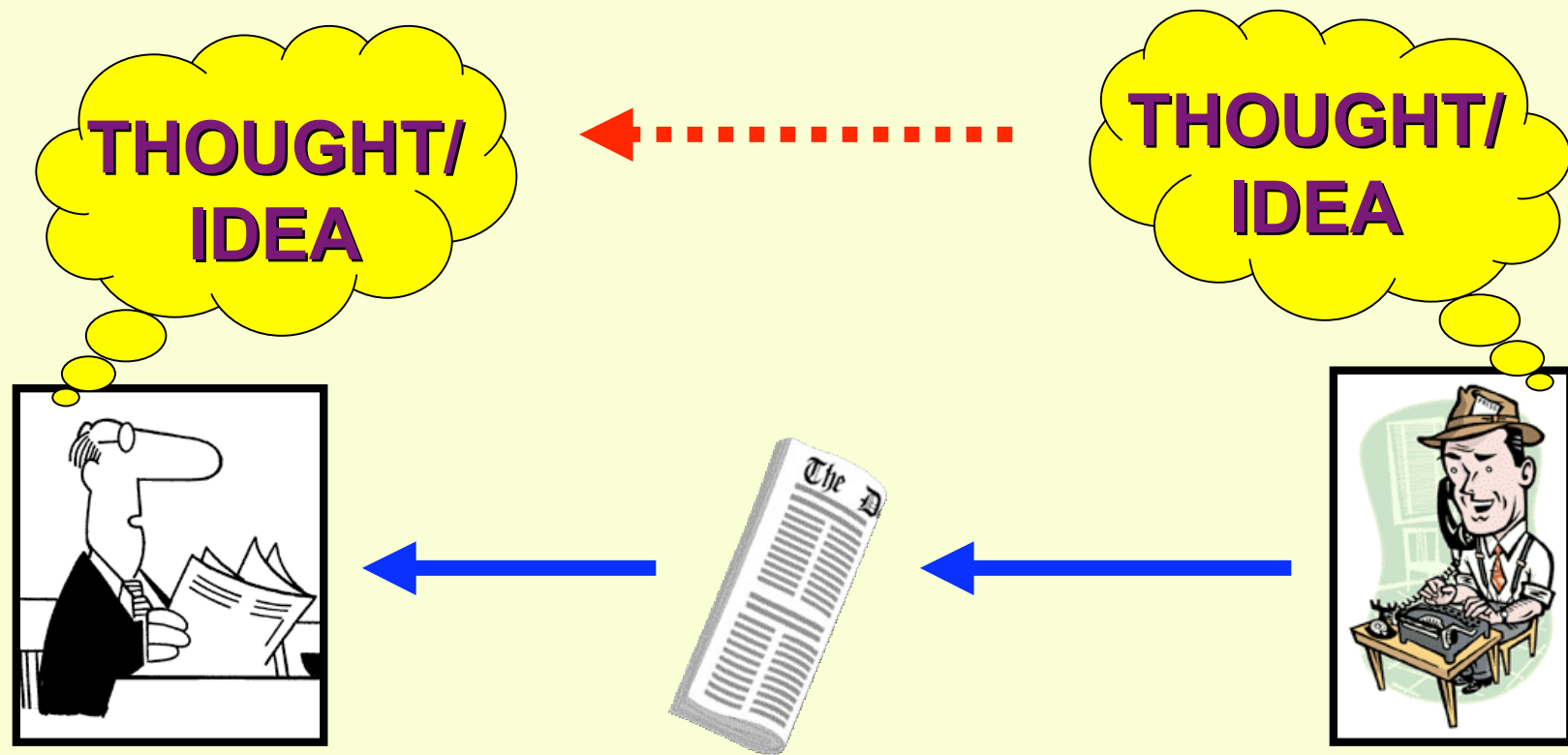
Why do we feel we need information?



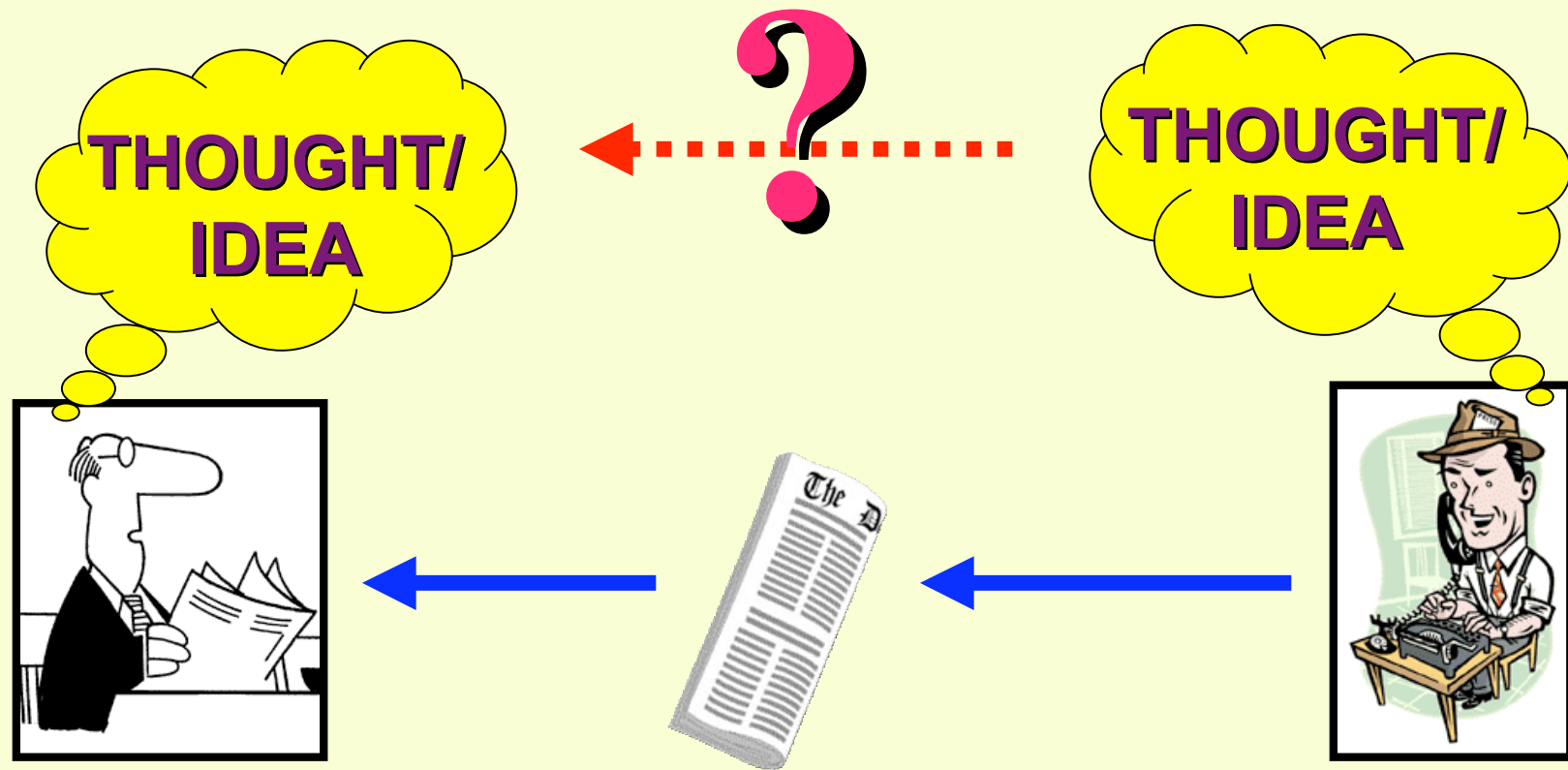
Why do we feel we need information?



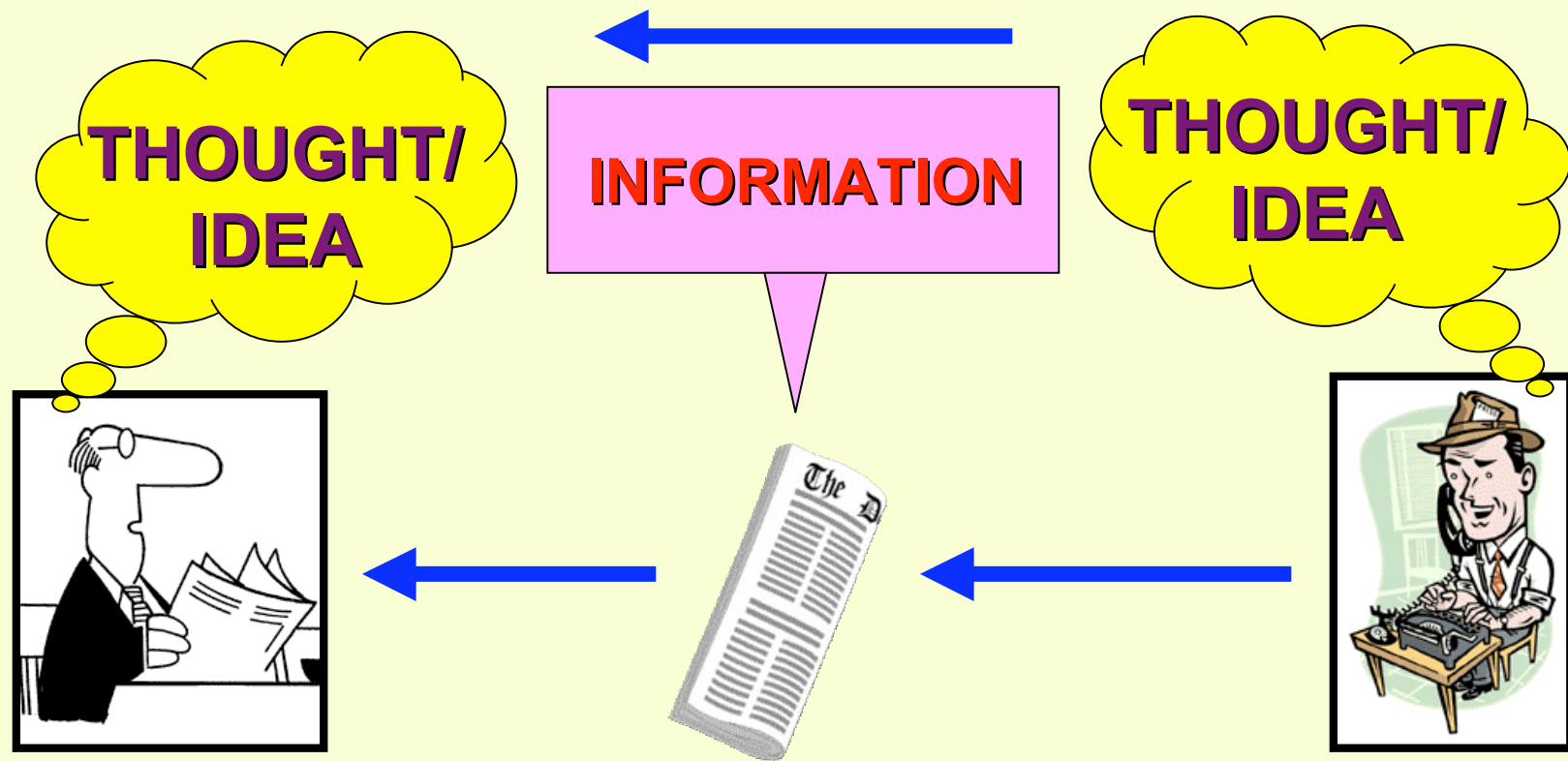
Why do we feel we need information?



Why do we feel we need information?



Why do we feel we need information?



But ...

there is a problem.

What is the information in a message?



What is the information in a message?

There are infinitely many prime numbers.



What is the information in a message?

There are infinitely many prime numbers.



What information does my utterance convey?

What is the information in a message?

**There are infinitely
many prime numbers.**



What is the information in a message?

A mathematical fact.

**There are infinitely
many prime numbers.**



What is the information in a message?

A mathematical fact.

Keith Devlin is alive.

**There are infinitely
many prime numbers.**



What is the information in a message?

A mathematical fact.

Keith Devlin is alive.

**Keith Devlin
speaks English.**

**There are infinitely
many prime numbers.**



What is the information in a message?

A mathematical fact.

Keith Devlin is alive.

**Keith Devlin
speaks English.**

**Keith Devlin knows
about mathematics.**

**There are infinitely
many prime numbers.**



What is the information in a message?

A mathematical fact.

**The microphone
is (not) working.**

Keith Devlin is alive.

**Keith Devlin
speaks English.**

**There are infinitely
many prime numbers.**

**Keith Devlin knows
about mathematics.**



What is the information in a message?

A mathematical fact.

**The microphone
is (not) working.**

Keith Devlin is alive.

etc...

**Keith Devlin
speaks English.**

**There are infinitely
many prime numbers.**

**Keith Devlin knows
about mathematics.**



If you don't know who I am

A mathematical fact.

**The microphone
is (not) working.**

Keith Devlin is alive.

**Keith Devlin
speaks English.**

There are infinitely
many prime numbers.

**Keith Devlin knows
about mathematics.**



If you don't know who I am

A mathematical fact.

**The microphone
is (not) working.**

There are infinitely
many prime numbers.

The speaker
~~Keith Devlin~~ is alive.

**Keith Devlin
speaks English.**

**Keith Devlin knows
about mathematics.**



If you don't know who I am

A mathematical fact.

**The microphone
is (not) working.**

There are infinitely
many prime numbers.

The speaker
~~Keith Devlin~~ is alive.

The speaker
~~Keith Devlin~~
speaks English.

**Keith Devlin knows
about mathematics.**



If you don't know who I am

A mathematical fact.

**The microphone
is (not) working.**

There are infinitely
many prime numbers.

The speaker
~~Keith Devlin~~ is alive.

The speaker
~~Keith Devlin~~
speaks English.

The speaker
~~Keith Devlin~~ knows
about mathematics.



If you don't know any mathematics

A mathematical fact.

**The microphone
is (not) working.**

There are infinitely
many prime numbers.

The speaker
~~Keith Devlin~~ is alive.

The speaker
~~Keith Devlin~~
speaks English.

The speaker
~~Keith Devlin~~ knows
about mathematics.



If you don't know any mathematics

The microphone
is (not) working.

There are infinitely
many prime numbers.

The speaker
~~Keith Devlin~~ is alive.

The speaker
~~Keith Devlin~~
speaks English.

The speaker
~~Keith Devlin~~ knows
about mathematics.



If you don't know English

The microphone
is (not) working.

There are infinitely
many prime numbers.

The speaker
~~Keith Devlin~~ is alive.

The speaker
~~Keith Devlin~~
speaks English.

The speaker
~~Keith Devlin~~ knows
about mathematics.



If you don't know English

The microphone
is (not) working.

The speaker
~~Keith Devlin~~ is alive.

@!##¢£*% ^\$((??
&&**??£∞§JJ•≧



What is the information in a message?

**There are infinitely
many prime numbers.**



What is the information in a message?

No single unique answer.

**There are infinitely
many prime numbers.**



What is the information in a message?

No single unique answer.

There are infinitely many prime numbers.

A lot depends on the recipient and his/her circumstances.



**How does
information arise?**

The information level

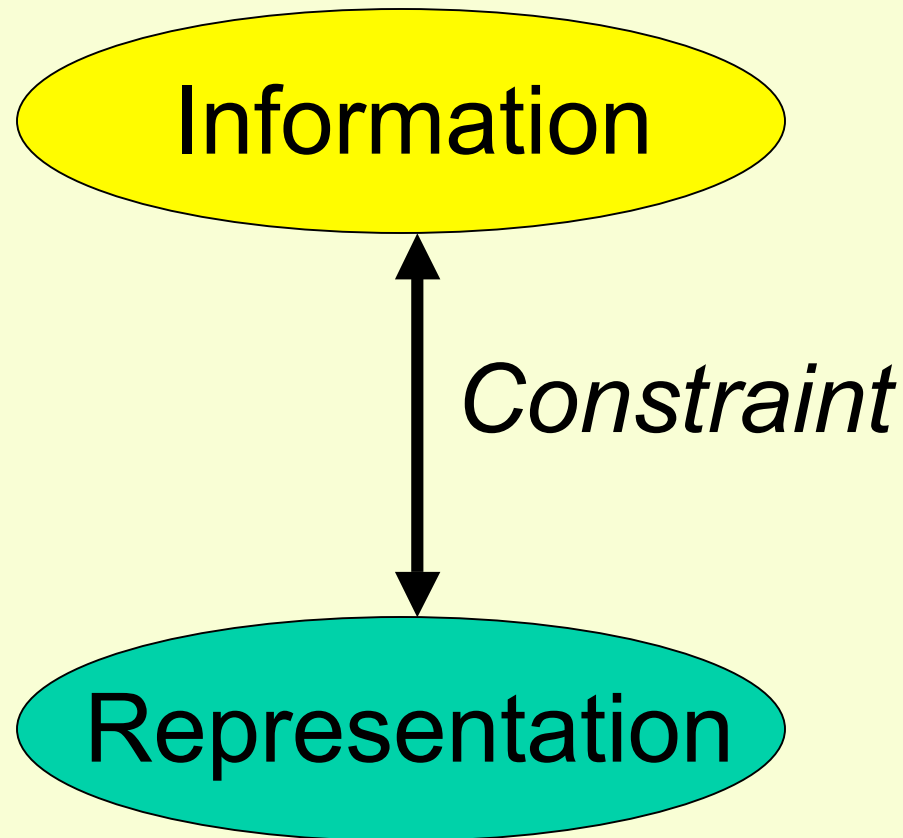


Mental world

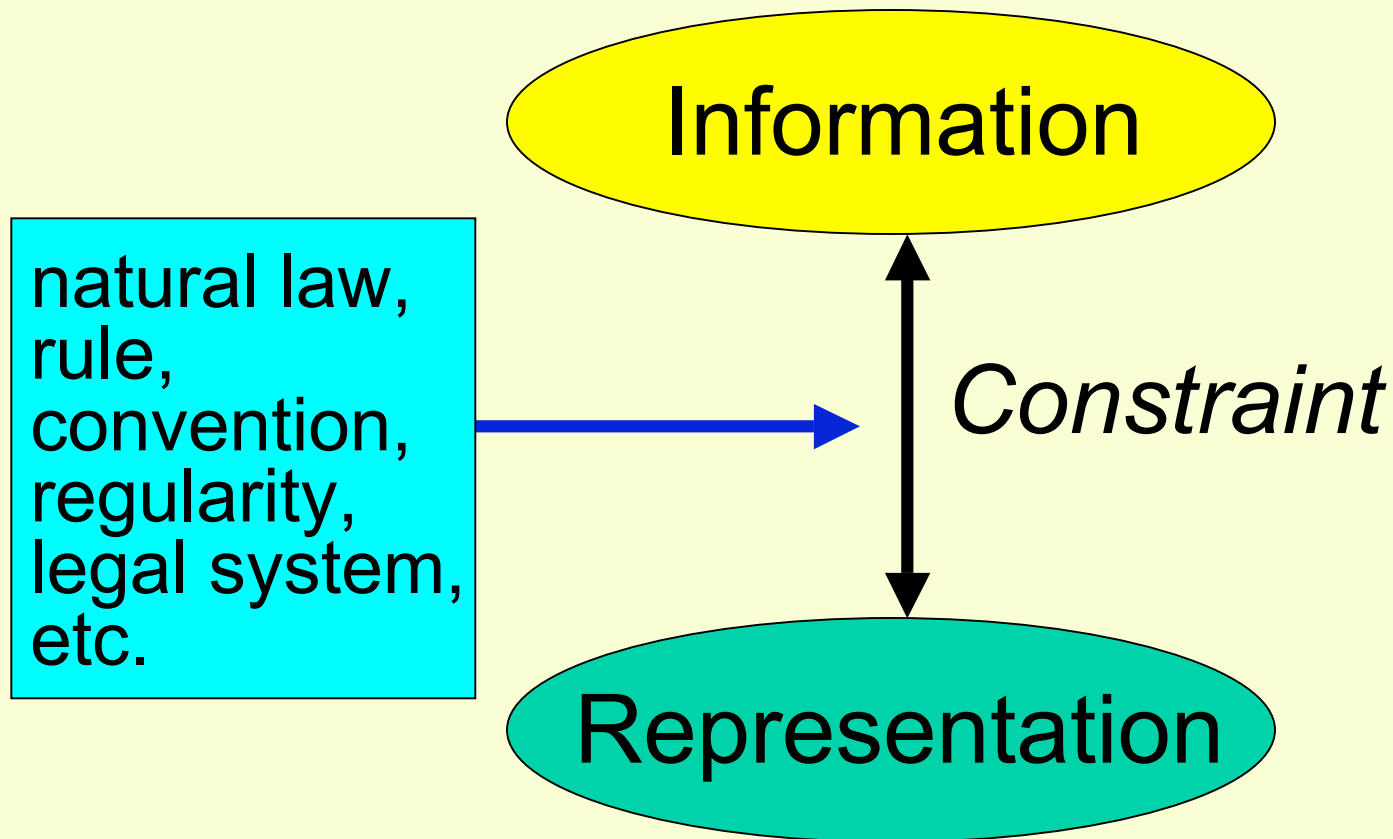
Information

Physical world

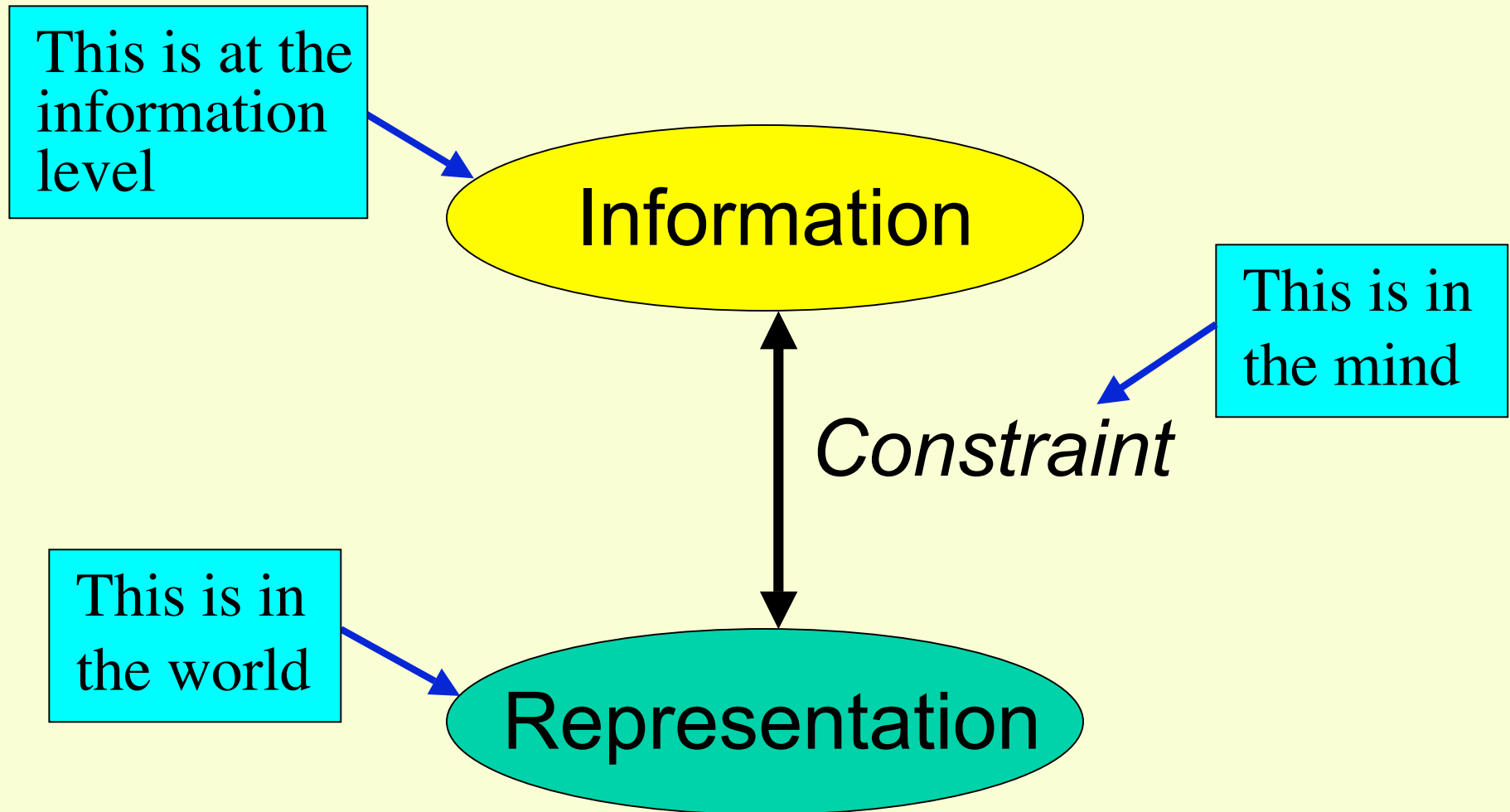
The representation of information



The representation of information



The representation of information



Task

We have to develop a framework to analyze the way signals encode (represent) information.

Situation theory

In the early 1980s, Jon Barwise and John Perry introduced a new mathematical theory — **situation theory** — to support an analysis of the way things in the world can represent and convey information.

Situation theory

- Basic framework (ontology) consists of: individuals, relations, situations, types, infons.
- Individuals are unanalyzed.
- Relations are complex, structured objects, and are not identified with sets of n -tuples.
- Situations are limited parts of the world; it may often be impossible to specify them extensionally.
- Cognitive agents use types to classify the world.
- Infons are items of information.

Infons

$$\sigma = \langle\langle R, a_1, \dots, a_n, i \rangle\rangle$$

Infons

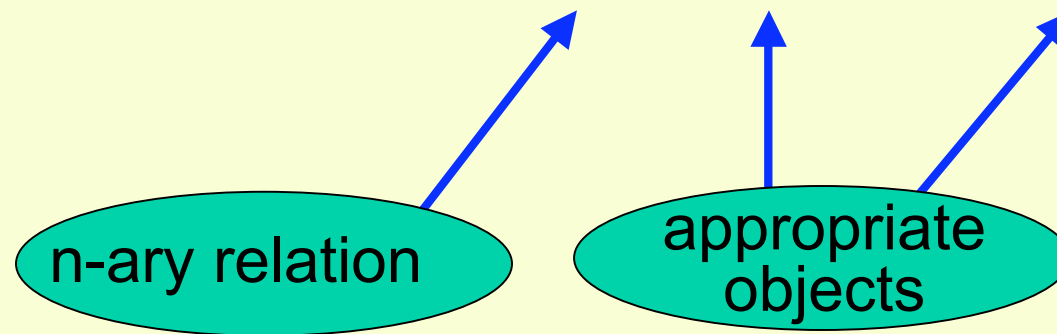
$$\sigma = \langle\langle R, a_1, \dots, a_n, i \rangle\rangle$$



n-ary relation

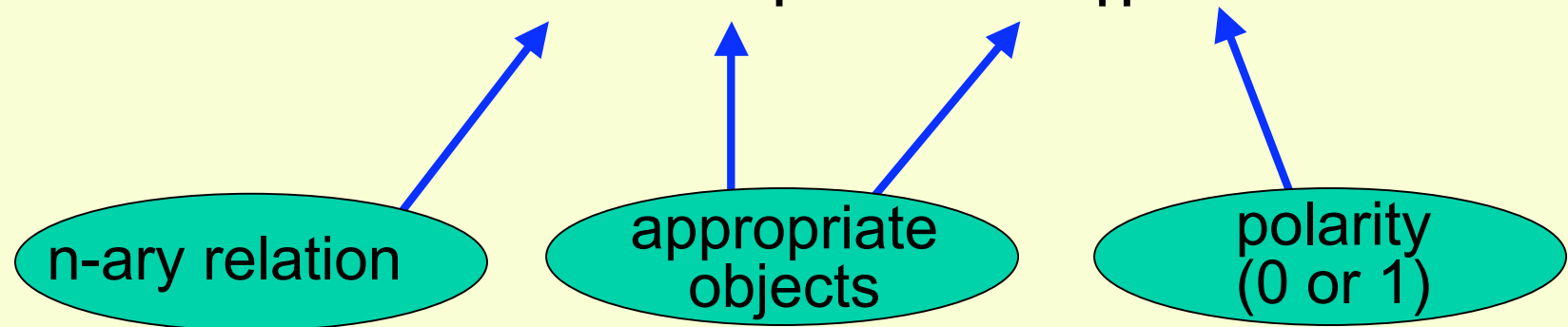
Infons

$$\sigma = \langle\langle R, a_1, \dots, a_n, i \rangle\rangle$$



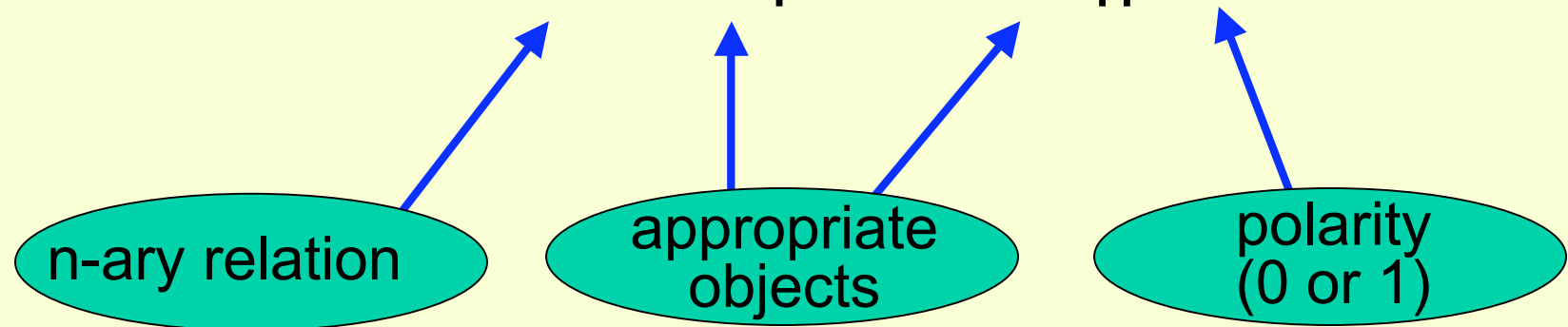
Infons

$$\sigma = \langle\langle R, a_1, \dots, a_n, i \rangle\rangle$$



Infons

$$\sigma = \langle\langle R, a_1, \dots, a_n, i \rangle\rangle$$



Infons may be combined using AND, OR, and situation-bounded quantification.

Situation Theory

- Classification (entities can be typed):

$$s : T$$

Situation Theory

- Classification (entities can be typed):

$$s : T$$

- Two-sorted logic:

$$S \models \sigma$$

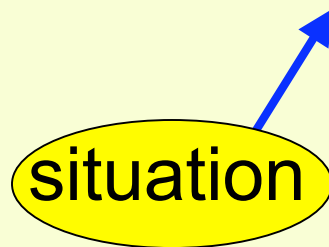
Situation Theory

- Classification (entities can be typed):

$$s : T$$

- Two-sorted logic:

$$S \models \sigma$$

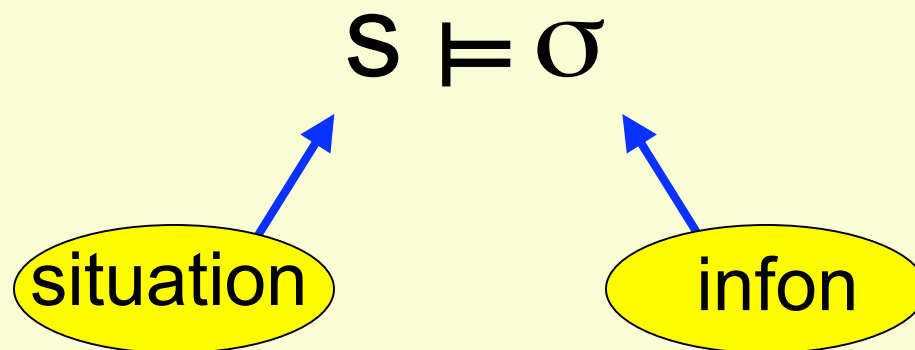


Situation Theory

- Classification (entities can be typed):

$$s : T$$

- Two-sorted logic:



Situation Theory

- Classification (entities can be typed):

$$s : T$$

- Two-sorted logic:

$$s \models \sigma$$

situation

infor

Read this as
s supports σ

Deductions

σ_1

σ_2

σ_3

\vdots

σ_n

Deductions

$$s_1 \models \sigma_1$$

$$s_2 \models \sigma_2$$

$$s_3 \models \sigma_3$$

\vdots

$$s_n \models \sigma_n$$

Deductions

$$s_1 \models \sigma_1$$

$$s_2 \models \sigma_2$$

$$s_3 \models \sigma_3$$

⋮

$$s_n \models \sigma_n$$

infons
 σ_i is the
target
information

Deductions

situations

s_i is the
context
for σ_i

$$s_1 \models \sigma_1$$

$$s_2 \models \sigma_2$$

$$s_3 \models \sigma_3$$

⋮

$$s_n \models \sigma_n$$

infons

σ_i is the
target
information

Deductions

$$s_1 \models \sigma_1$$

$$s_2 \models \sigma_2$$

$$s_3 \models \sigma_3$$

$$\vdots$$

$$s_n \models \sigma_n$$

Types and information flow



s

situation



r

situation

Types and information flow

**How can situation
s provide information
about situation r ?**

s

situation

r

situation

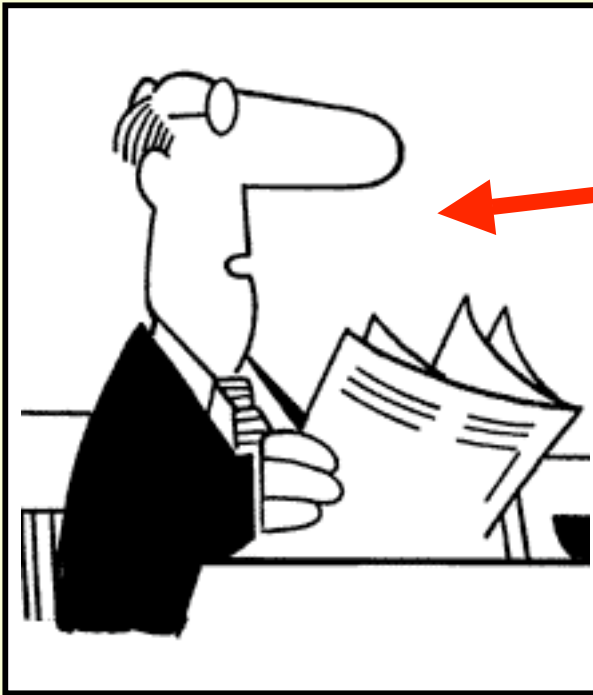
Types and information flow



S

situation

Types and information flow



Me, reading a
newspaper article about
the launch of a rocket
to Mars.

S

situation

Types and information flow



s

situation



r

situation

Types and information flow



s

situation

Information



r

situation

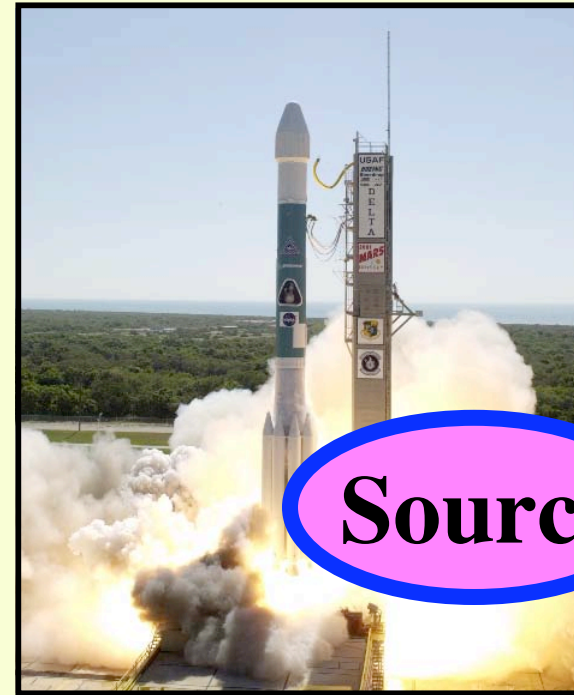
Types and information flow



s

situation

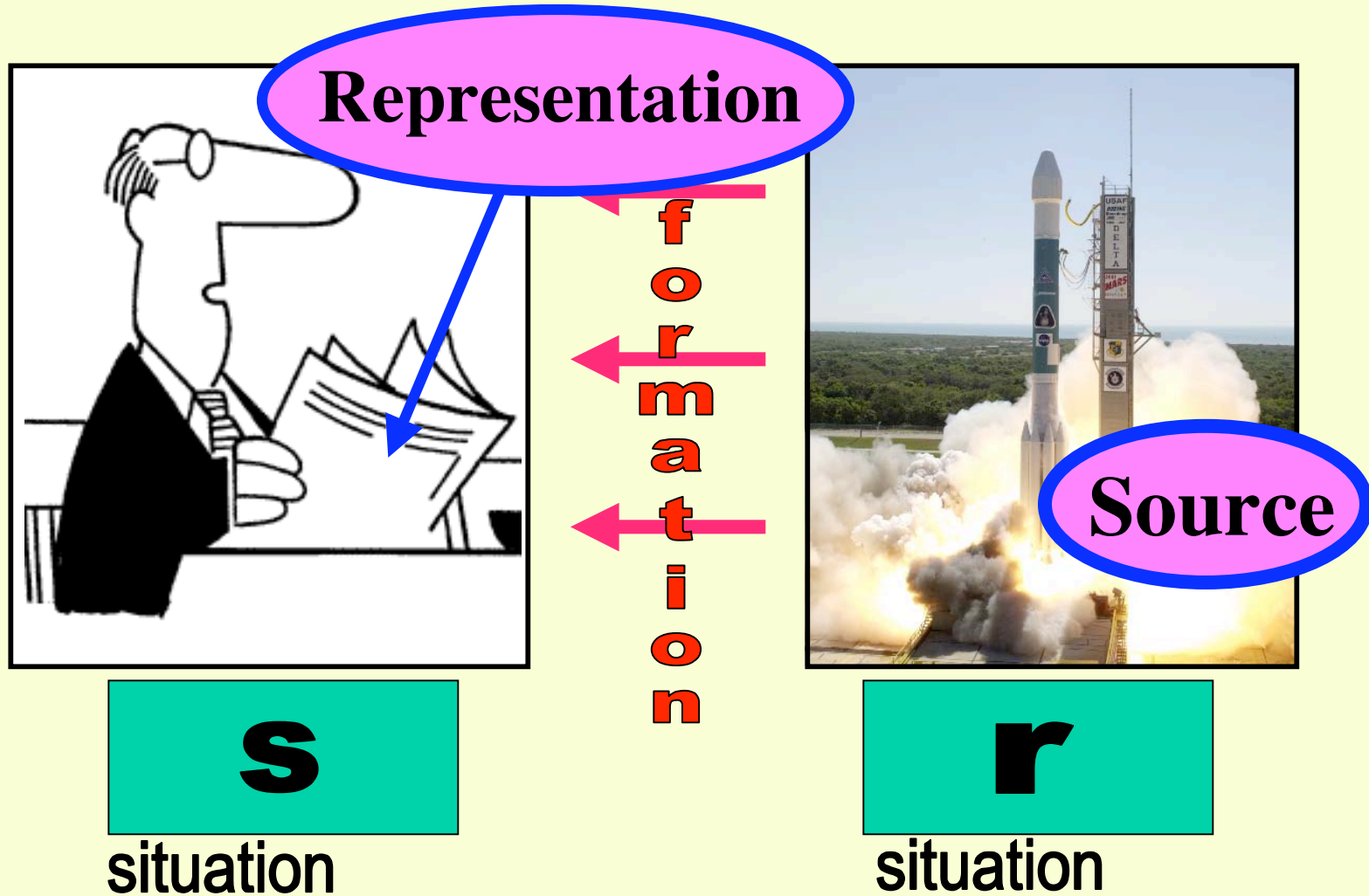
Information
←
←
←



r

situation

Types and information flow



Types and information flow



Palo Alto

Information



Florida

Types and information flow



Palo Alto

Something about this

**I
n
f
o
r
m
a
t
i
o
n**



Florida

Types and information flow



Palo Alto

**I
n
f
o
r
m
a
t
i
o
n**



Florida

Something about this gives me information

Types and information flow



Palo Alto

**I
n
f
o
r
m
a
t
i
o
n**



Florida

Something about this gives me information about that.

Types and information flow



Palo Alto

**I
n
f
o
r
m
a
t
i
o
n**

Three pink arrows point from the right towards the word 'information', one for each of the first three letters 'I', 'n', and 'f'.



Florida

Something



Call this something a constraint

Types and information flow

**How can situation
s provide information
about situation r ?**

s

situation

r

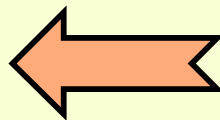
situation

Types and information flow

EQUIVALENTLY
How can information
flow from situation r
to situation s?



situation



situation

The key insight was provided by Fred Dretske in his 1981 book *Knowledge and the Flow of Information* (MIT Press):

The key insight was provided by Fred Dretske in his 1981 book *Knowledge and the Flow of Information* (MIT Press):

A signal ***S*** carries the information ***X*** by virtue of ***S*** being of a certain type ***T***.

Examples

A signal S carries the information X
by virtue of S being of a certain type T .

Examples

A signal **S** carries the information **X** by virtue of **S** being of a certain type **T**.

- It is by virtue of **there being black clouds** that the **sky** gives us the information that **it might rain**.

Examples

A signal **S** carries the information **X** by virtue of **S** being of a certain type **T**.

- It is by virtue of **there being black clouds** that the **sky** gives us the information that **it might rain**.
- It is by virtue of it **ringing** that the **door bell** provides information that **there is someone at the door**.

Examples

A signal **S** carries the information **X** by virtue of **S** being of a certain type **T**.

- It is by virtue of **there being black clouds** that the **sky** gives us the information that **it might rain**.
- It is by virtue of it **ringing** that the **door bell** provides information that **there is someone at the door**.
- It is by virtue of the marks on the paper **being of a certain shape (type)** that the **newspaper** provides me with information about **NASA's rocket launch**.

Inference

- Inference and reasoning are facilitated by ***constraints***.
- Constraints are binary relations between situation types.
- An informational constraint:
[RINGING BELL] \Rightarrow [CLASS IS OVER]
- An action constraint:
[COMMAND TO SIT] \Rightarrow [SITTING DOWN]

Types and information flow



s

situation

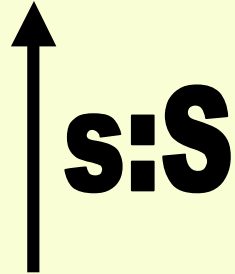
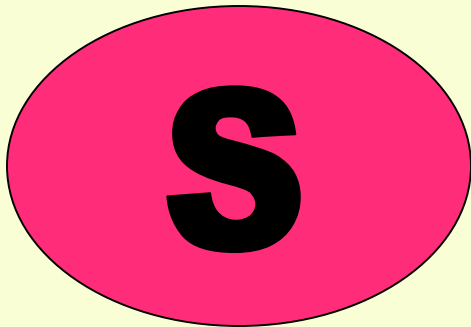


r

situation

Types and information flow

type

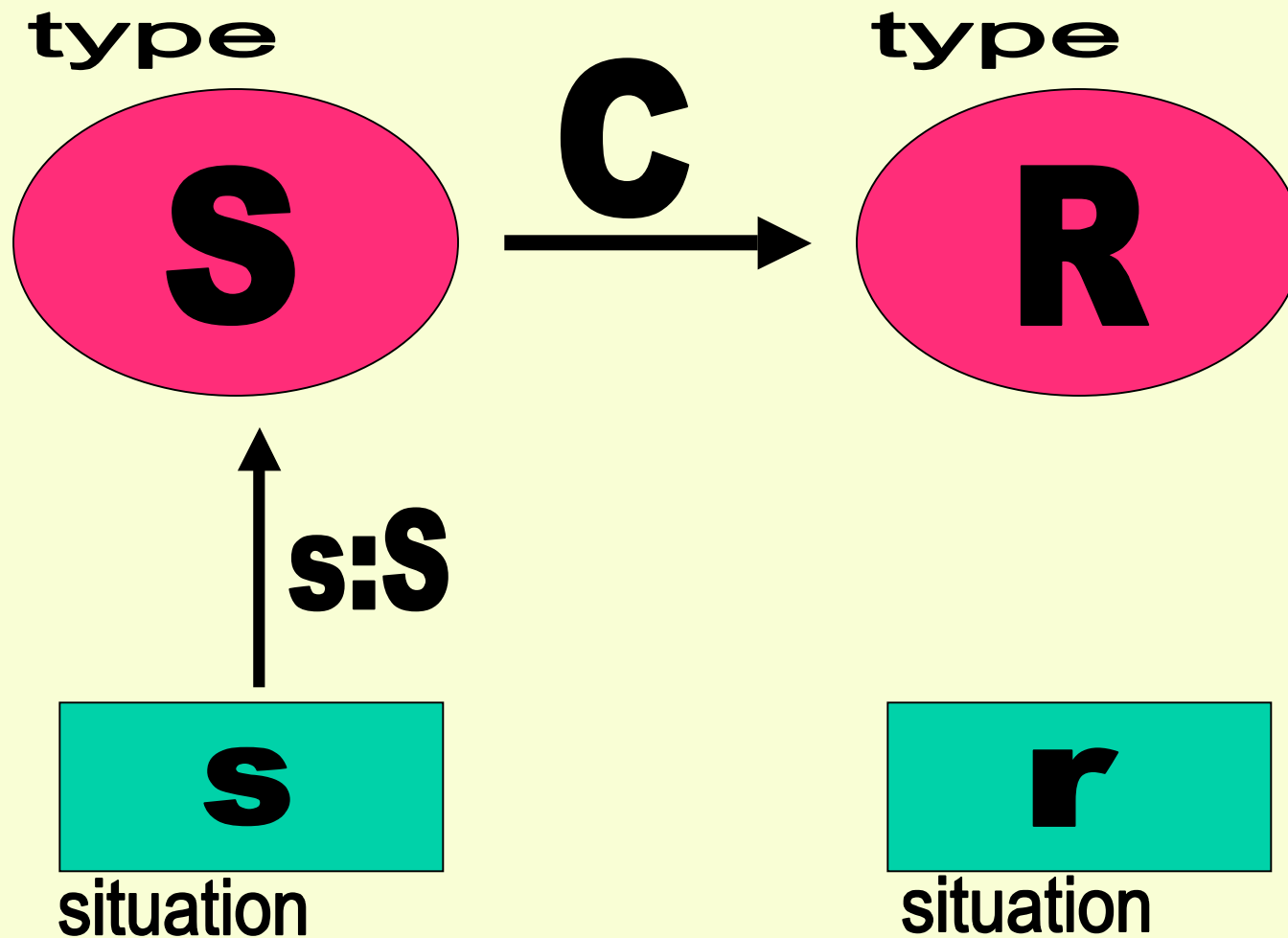


situation

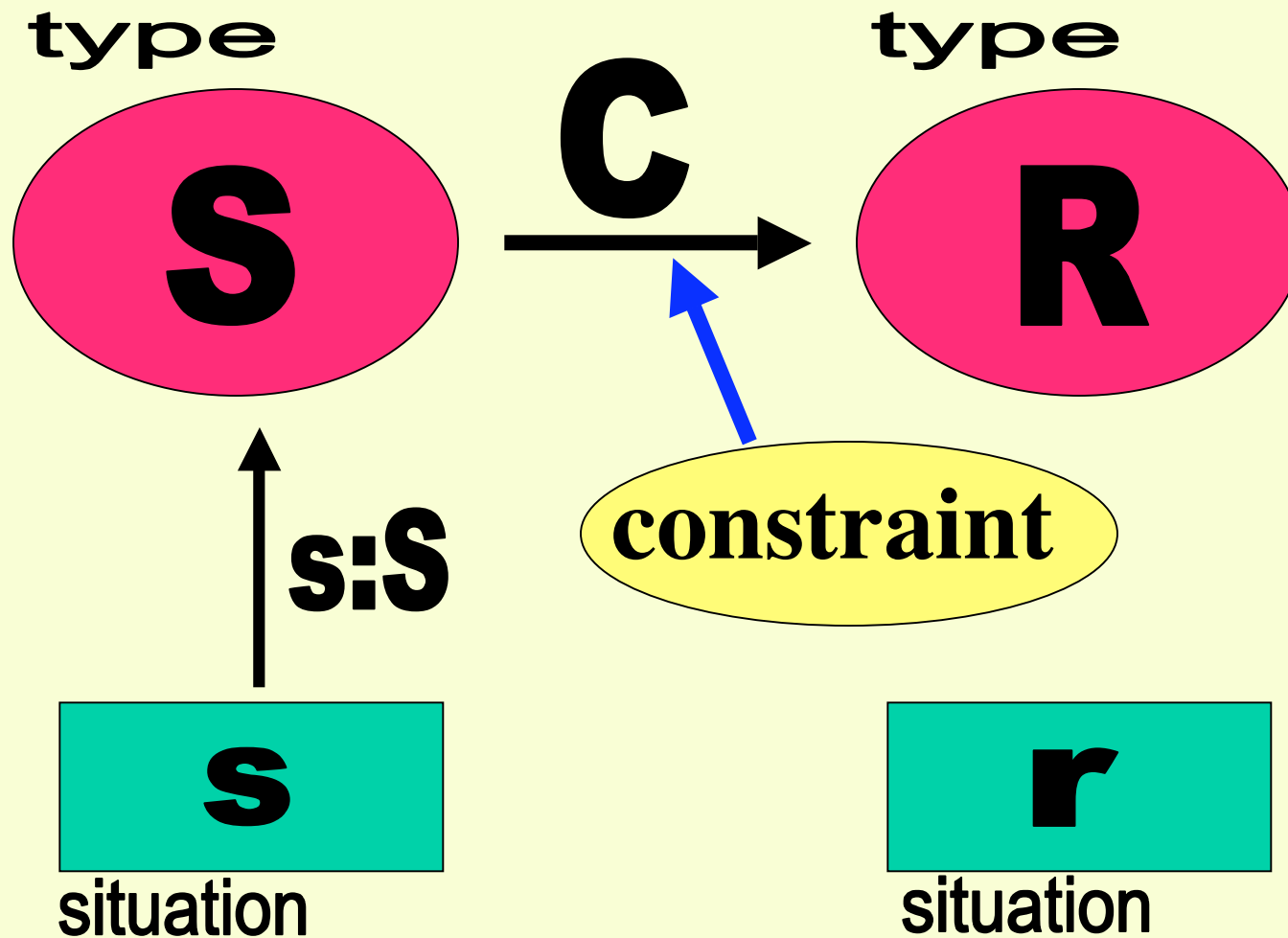


situation

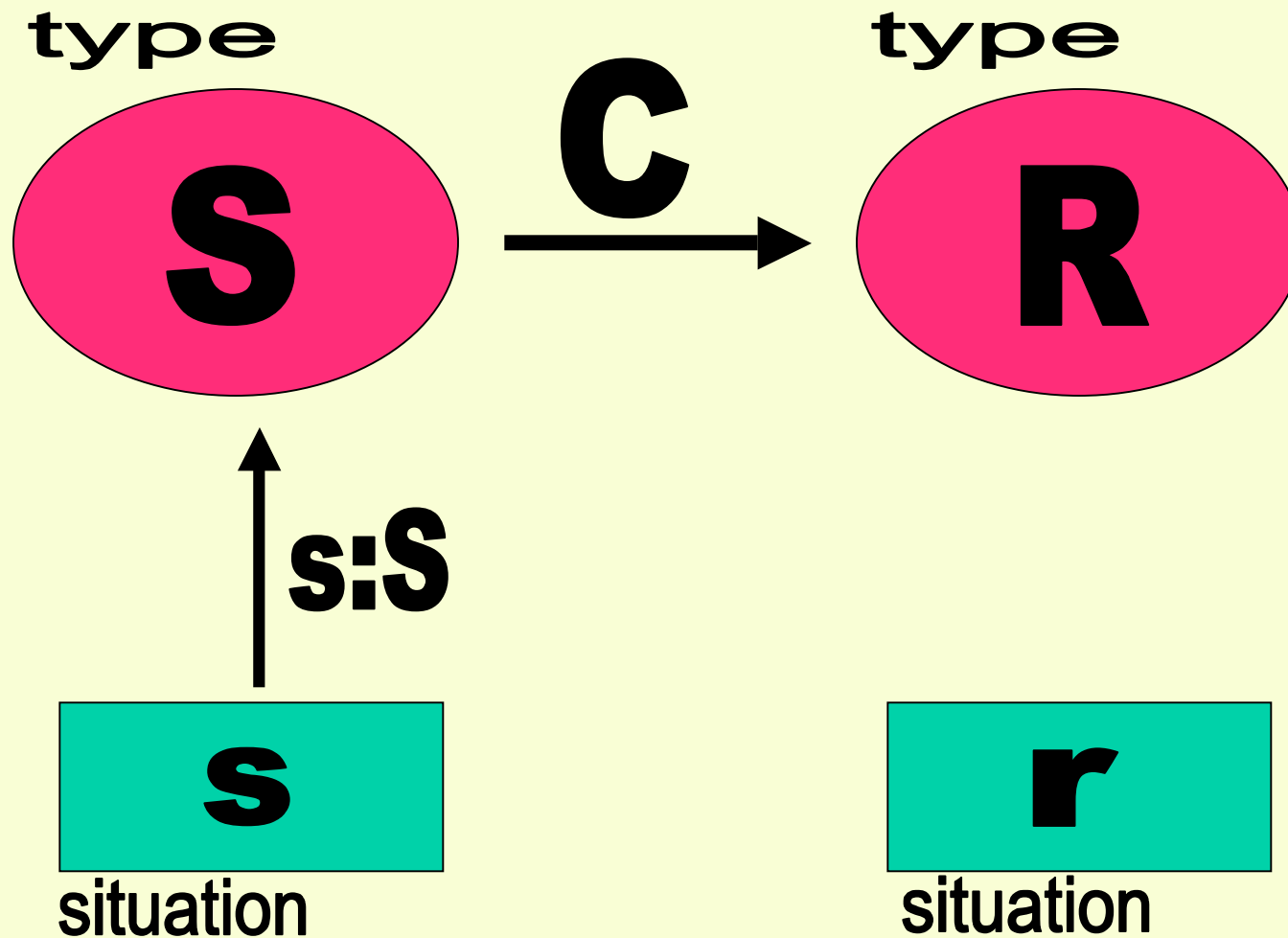
Types and information flow



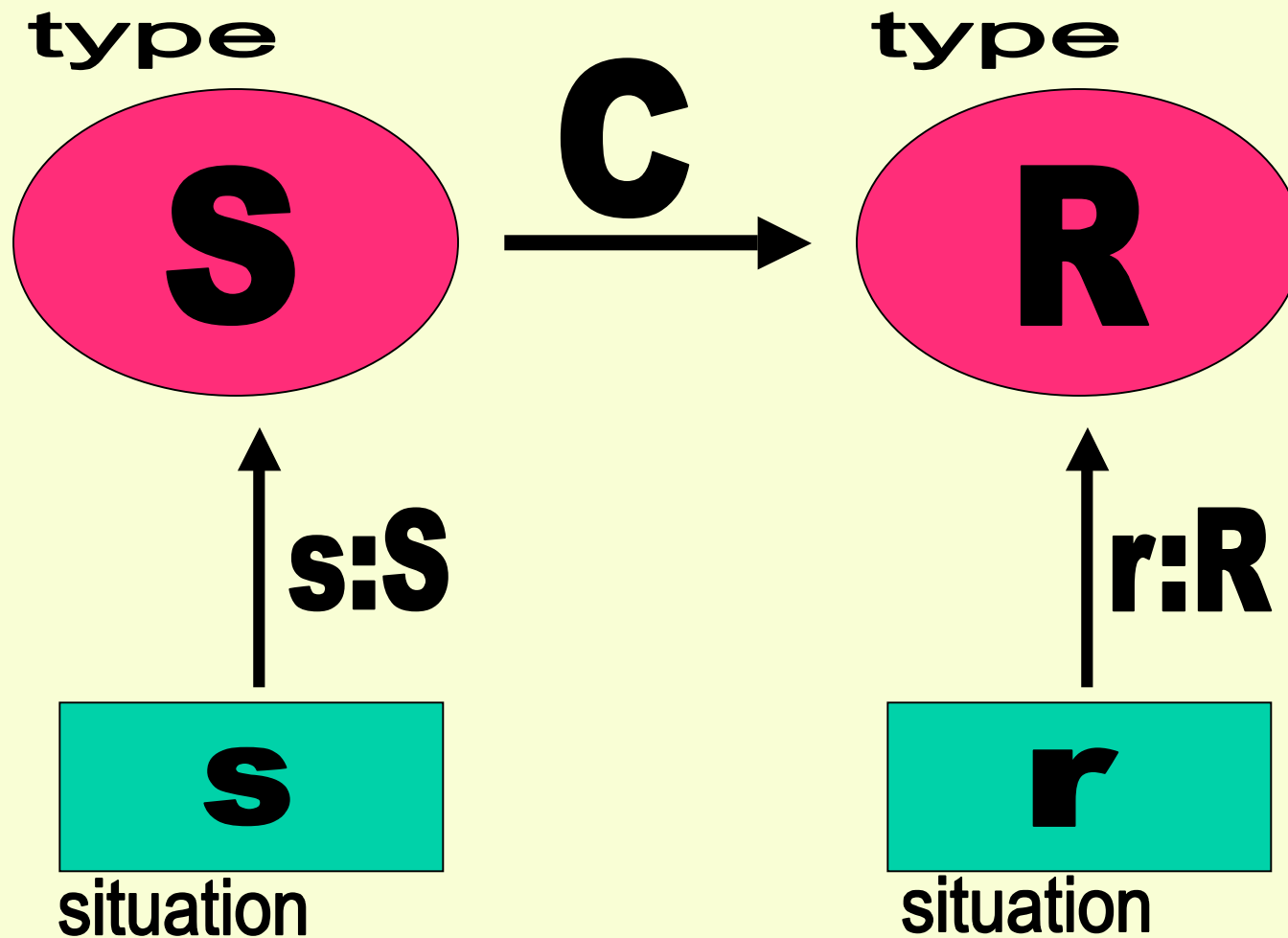
Types and information flow



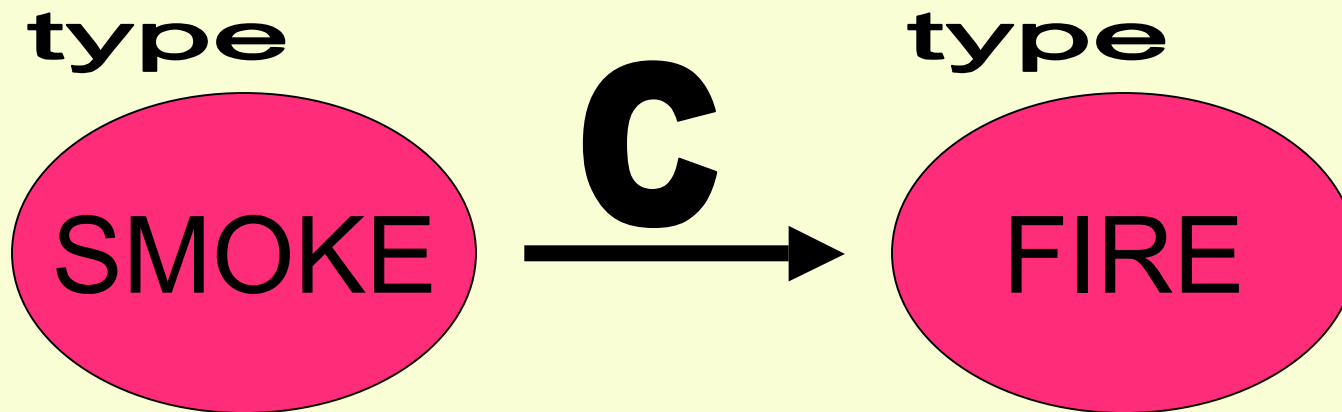
Types and information flow



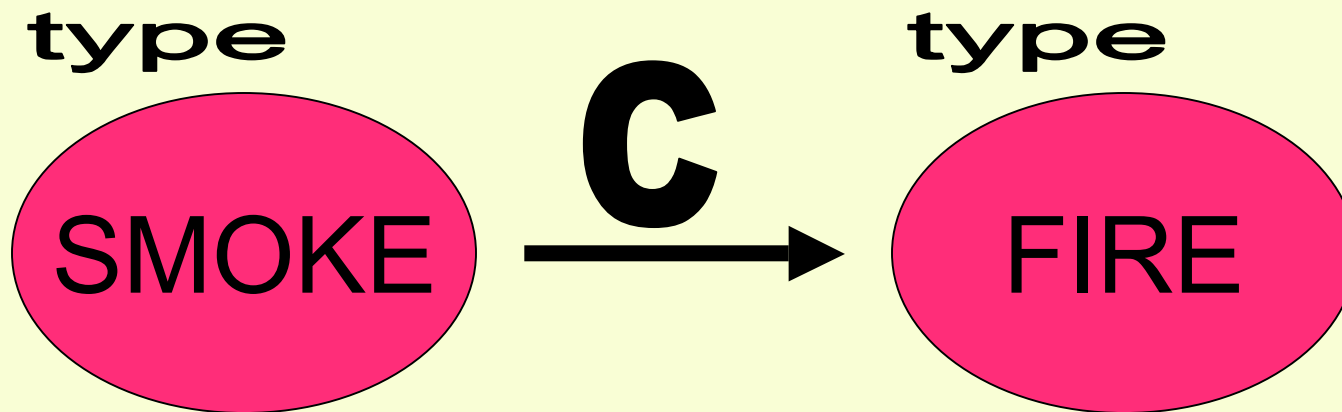
Types and information flow



Example: Smoke implies fire

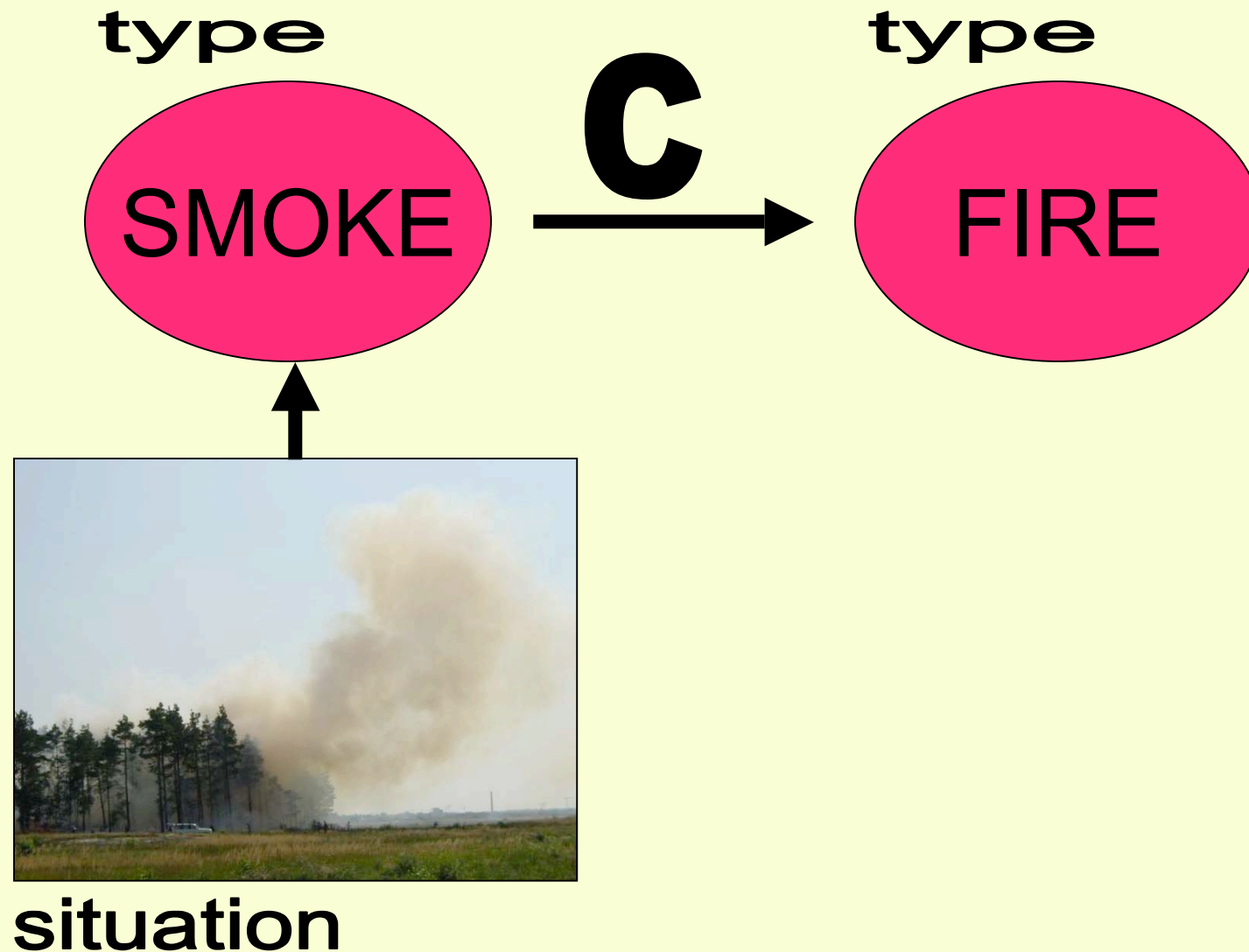


Example: Smoke implies fire

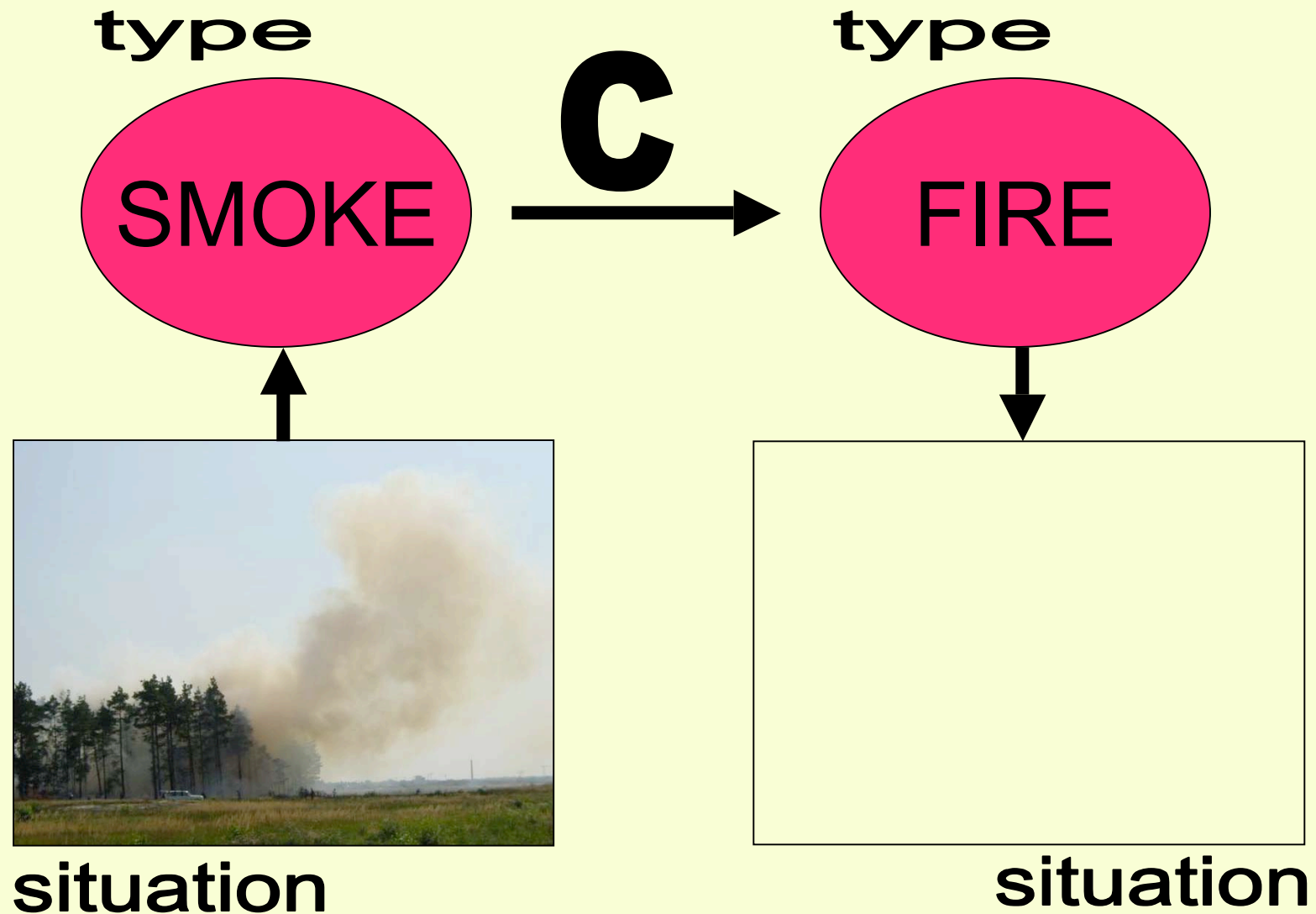


situation

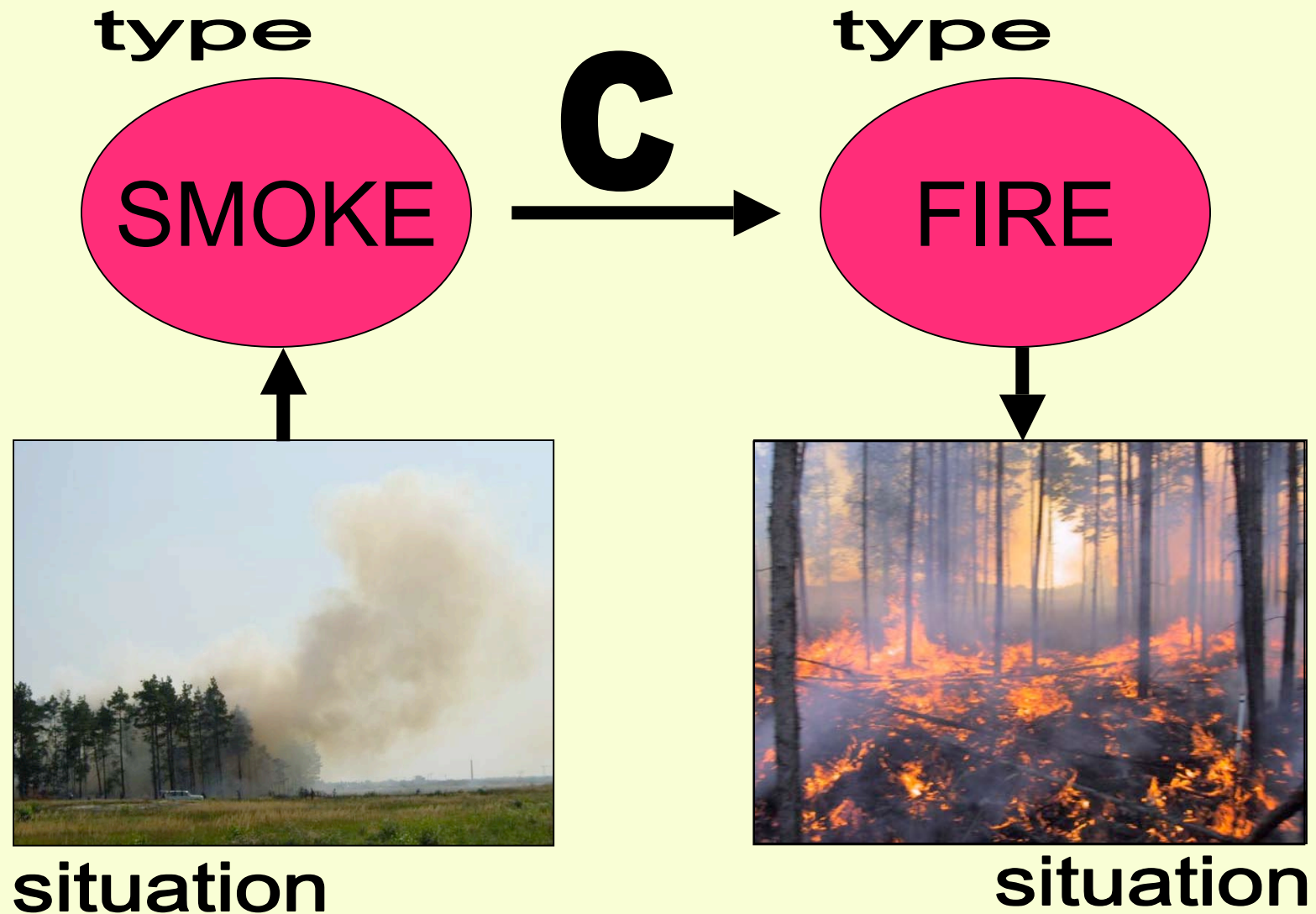
Example: Smoke implies fire



Example: Smoke implies fire



Example: Smoke implies fire



FORMAL DEFINITION:

Transmission of information

FORMAL DEFINITION:

Transmission of information

Given a constraint C that links a situation type S with a situation type R .

FORMAL DEFINITION:

Transmission of information

Given a constraint C that links a situation type S with a situation type R .

C can give rise to the acquisition or transmission of information as follows:

FORMAL DEFINITION:

Transmission of information

Given a constraint C that links a situation type S with a situation type R .

C can give rise to the acquisition or transmission of information as follows:

If s is a situation of type S ,

FORMAL DEFINITION:

Transmission of information

Given a constraint C that links a situation type S with a situation type R .

C can give rise to the acquisition or transmission of information as follows:

If s is a situation of type S ,
then the constraint C tells you

FORMAL DEFINITION:

Transmission of information

Given a constraint C that links a situation type S with a situation type R .

C can give rise to the acquisition or transmission of information as follows:

If s is a situation of type S ,
then the constraint C tells you
there is a situation r of type R .

FORMAL DEFINITION:

Transmission of information

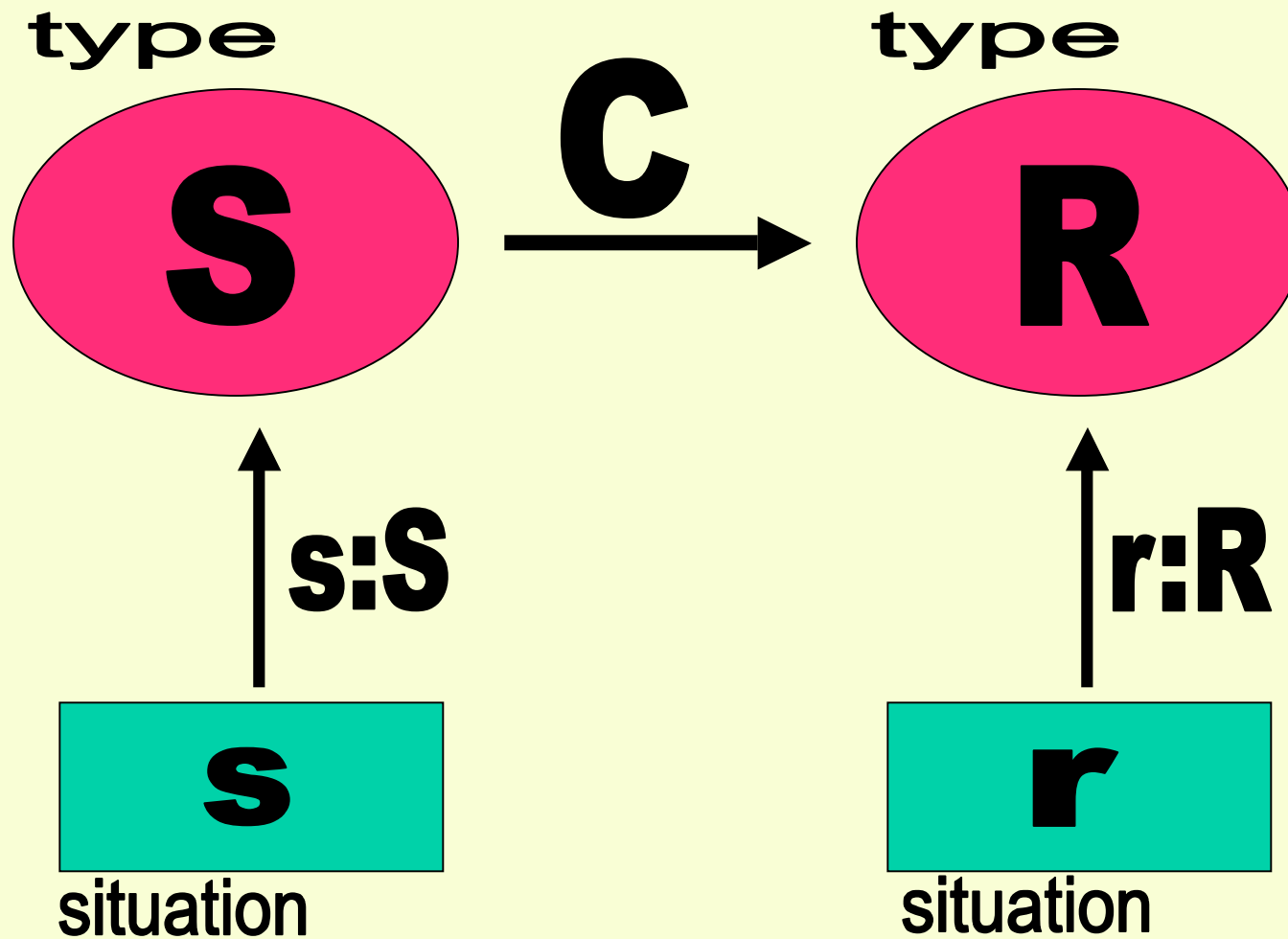
Given a constraint C that links a situation type S with a situation type R .

C can give rise to the acquisition or transmission of information as follows:

If s is a situation of type S ,
then the constraint C tells you
there is a situation r of type R .

Note: r may be equal to s , or be s at a later time, or be some entirely separate situation.

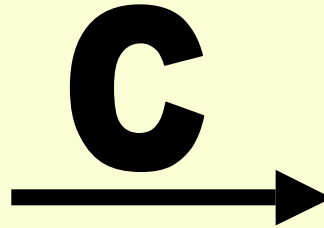
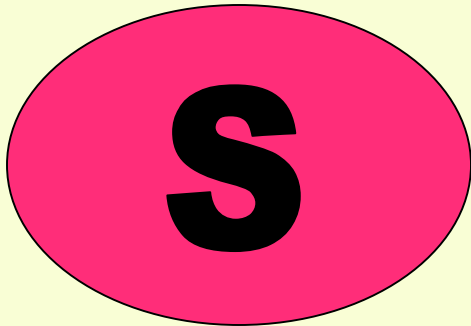
The two levels



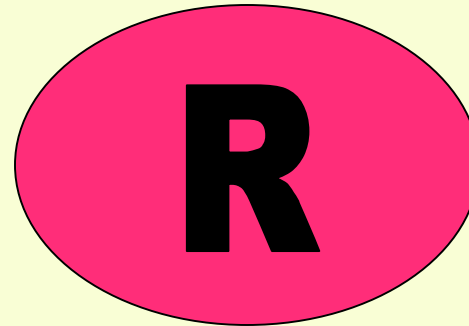
The two levels

M
i
n
d

type



type



w
o
r
d

s:S



situation

r:R



situation

The two levels

Information arises and flows as a result of the interplay of things in the **world and things in **minds**.**

The two levels

Information arises and flows as a result of the interplay of things in the **world and things in **minds**.**

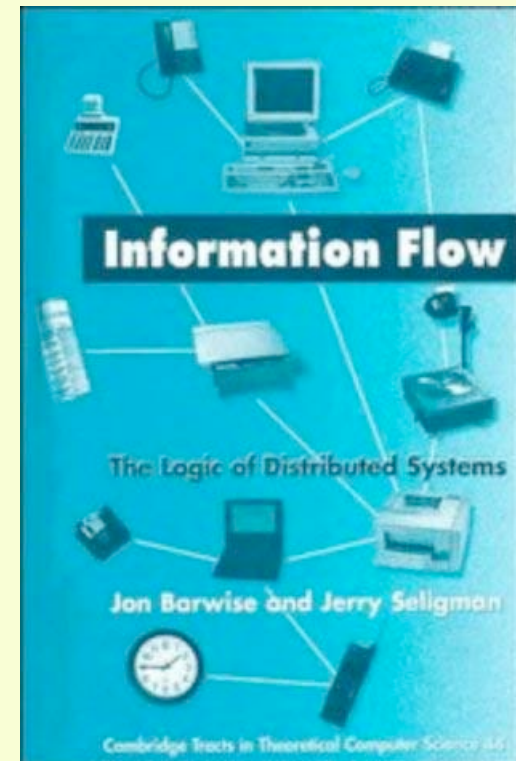
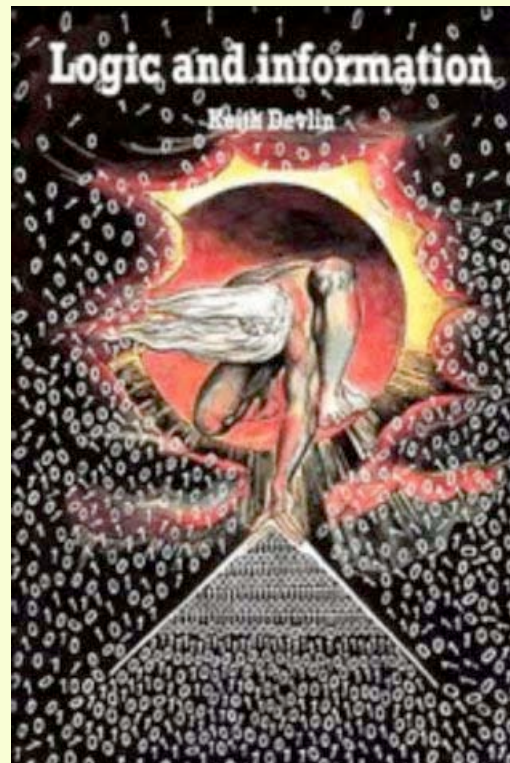
- Situations/objects/configurations/systems/etc. are in the **world**.

The two levels

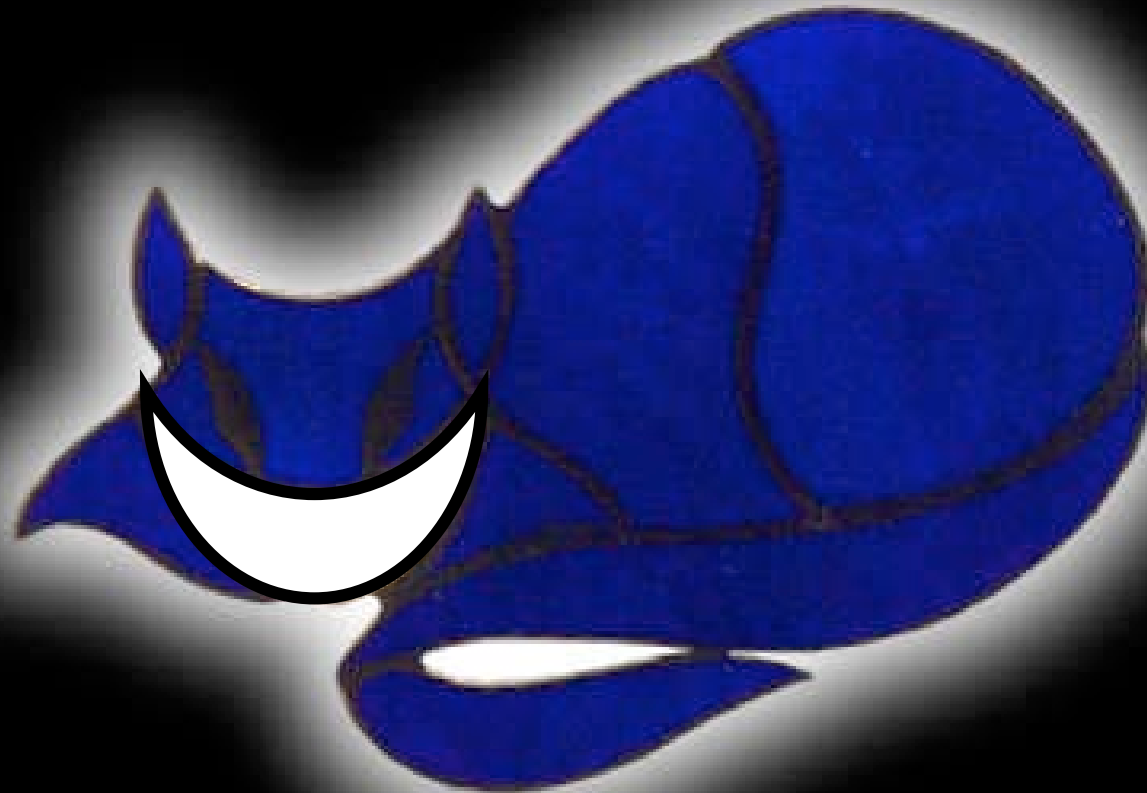
Information arises and flows as a result of the interplay of things in the **world and things in **minds**.**

- Situations/objects/configurations/systems/etc. are in the **world**.
- Types/concepts/constraints/etc. are in the **mind**.

Where to find out more



Information



devlin@csli.stanford.edu