

1. You are given a graph  $G=(V, E)$  with a tree decomposition  $(X, T)$ , as defined on Wikipedia. So for each node  $i$  of  $T$ , we have a bag  $X_i \subseteq V$ . Suppose the decomposition has width  $k$ , meaning the maximum bag size  $|X_i|$  is  $k+1$ . By merging adjacent bags if necessary, we can assume that there is no edge  $\{i,j\}$  in  $T$  with  $X_i \subseteq X_j$  or  $X_j \subseteq X_i$ .

**(a).** Argue that such a  $T$  has at most  $|V|$  nodes.

**A.** Given hint from discussions : Pick an arbitrary root node  $r$  in  $T$ . For each  $v$  in  $V$ , there may be multiple bags containing  $v$ . But because all these bags form a subtree of  $T$ , you can argue that among them there is a unique bag  $X_i$  which contains  $v$  and is "closest to  $r$ ". (Either  $i=r$ , or else  $i$  has parent  $p$  and  $X_p$  does not contain  $v$ .) Argue that every bag  $X_j$  must be the "closest to  $r$ " bag for at least one vertex in  $X_j$ .

Algorithm :

For each tree node  $i$ , we pick a vertex  $V$  such that it belongs to  $X_i$  but not in its parents bag. Since bags  $X_i$  are subsets of  $V$ , we merged bags so there is no bag that is a subset of its parent. So each bag  $X_i$  contains a vertex  $v$  that is not in its parents bag. Such way, we can associate each node  $i$  of  $T$  with a unique vertex  $V$ . Since there are  $|V|$  vertices in  $G$  and each node in  $T$  corresponds to a unique vertex of  $G$ . So  $|T| \leq |V|$ .

Example :

Consider the graph  $G$  with  $V = \{1,2,3,4,5\}$ . So a tree decomposition could look like :

$T_1 = \{1,2\}$

$T_2 = \{2,3\}$

$T_3 = \{3,4\}$

$T_4 = \{4,5\}$

This tree has 4 nodes, one for each bag  $X_i$ . We can associate  $N_1$  with 1,  $N_2$  with 2,  $N_3$  with 3 and  $N_4$  with 4. We can't associate a node  $N_5$  because vertex 5 is already included in bag  $X_4$ . From this example,  $|T| < |V|$ . We can check for n number of cases but this will be our final solution, that is  $|T| \leq |V|$ .

**(b)** Suppose  $e=\{i,j\}$  is an edge of  $T$ . If we remove  $e$  from  $T$ , then we get two trees  $T_A$  and  $T_B$ . Define  $A \subseteq V$  as the union of all the bags in  $T_A$ , and similarly define  $B \subseteq V$  as the union of bags in  $T_B$ . (Note this implies  $A \cup B = V$ .) Let  $S = X_i \cap X_j$ . Argue that  $S$  separates  $A$  and  $B$ . That is, any path in  $G$  from a vertex  $u$  in  $A$  to a vertex  $v$  in  $B$  must include at least one vertex of  $S$ . (This is trivially true if either  $u$  or  $v$  is in  $S$ .)

**A.** We are given a tree  $T$  and an edge  $e = \{i, j\}$  in  $T$ . Removing  $e$  from  $T$  breaks the tree into two subtrees, which we call  $T_a$  and  $T_b$ .  $A$  is defined as the union of all vertices contained in the bags of  $T_a$ .  $B$  is defined

as the union of all vertices contained in the bags of  $T_b$ . By construction, A and B are disjoint and their union is V (the vertex set of T). Let  $S = X_i \cap X_j$ , the intersection of the bags containing i and j.

To show S separates A and B:

- Consider any path P in G from a vertex u in A to a vertex v in B.
- P must contain edge  $e = \{i, j\}$  since removing this edge disconnects A and B in T.
- Therefore, P must contain a vertex from  $X_i$  and a vertex from  $X_j$ .
- But  $X_i \cap X_j = S$ , so P contains a vertex from S.
- This shows any path from A to B must intersect S, so S separates A and B as required.

(c) Explain how to modify (X, T) so that it is *smooth* (as defined on Wikipedia). This means:  $|X_i| = k+1$  for each node i, and  $|X_i \cap X_j| = k$  for each tree edge  $\{i, j\}$ .

**A.** Let k be the maximum size of any set  $X_i$  in the current partitioning. Any tree-decomposition of a graph G can be transformed to a smooth tree-decomposition of G with the same treewidth: apply the following operations until none is possible:

- For each node i where  $|X_i| < k+1$ , add arbitrary nodes to  $X_i$  until  $|X_i| = k+1$ .
- For each non-tree edge  $\{i, j\}$ , remove nodes from  $X_i$  and  $X_j$  until  $|X_i \cap X_j| = k$ .

For each tree edge  $\{i, j\}$ :

- If  $|X_i \cap X_j| > k$ , remove arbitrary nodes from the intersection until  $|X_i \cap X_j| = k$ .
- If  $|X_i \cap X_j| < k$ , add arbitrary nodes that are currently not in the intersection to the smaller set until  $|X_i \cap X_j| = k$ .

After these steps, each set  $X_i$  will have size  $k+1$  and each intersection of sets along a tree edge will have size exactly k. Therefore, the partitioning will now be smooth.

**2)** Suppose we are given a graph  $G=(V, E)$  with n vertices, and a tree decomposition (X, T) of width k. Wikipedia [presents](#) a dynamic programming approach solving the MIS (maximum independent set) problem in G. It chooses a root r in T, it defines  $O(2^k n)$  subproblems, and it gives formulas to solve them all in bottom-up order. When k is a constant, the entire algorithm runs in  $O(n)$  time.

We want to redo this for 3-coloring in G. Given a subset W of V, a *3-coloring of W* is a function  $\chi: W \rightarrow \{1, 2, 3\}$  so that for every edge  $\{u, v\}$  in E that has both endpoints in W,  $\chi(u) \neq \chi(v)$ . We say G is *3-colorable* if there is a 3-coloring of V (all the vertices). Mimicking Wikipedia, we define our A and B subproblems as follows:

- Suppose i is a node in T, and s is a function  $s: X_i \rightarrow \{1, 2, 3\}$ . **A(s, i)** is a boolean (true or false), telling us whether s extends to a 3-coloring  $\chi: D_i \rightarrow \{1, 2, 3\}$ . ( $D_i$  is defined on Wikipedia. "Extends" means that  $\chi$  agrees with s on its domain  $X_i$ .)
- Suppose (j, i) is an edge in T, with j the parent of i, and s is a function  $s: X_i \cap X_j \rightarrow \{1, 2, 3\}$ . **B(s, i, j)** is a boolean, telling us whether s extends to a 3-coloring  $\chi: D_i \rightarrow \{1, 2, 3\}$ . (Again,  $\chi$  must agree with s on its domain  $X_i \cap X_j$ .)

(a) Give a boolean formula computing  $B(s,i,j)$  in terms of  $A(s',i)$ . Clearly describe the range of choices for  $s'$ .

A. Given a graph  $G = (V, E)$ , a tree decomposition is a pair  $(X, T)$ , where  $X = \{X_1, \dots, X_n\}$  is a family of subsets (sometimes called bags) of  $V$ , and  $T$  is a tree whose nodes are the subsets  $X_i$ , satisfying the following properties:

- The union of all sets  $X_i$  equals  $V$ . That is, each graph vertex is associated with at least one tree node.
- For every edge  $(v, w)$  in the graph, there is a subset  $X_i$  that contains both  $v$  and  $w$ . That is, vertices are adjacent in the graph only when the corresponding subtrees have a node in common.
- If  $X_i$  and  $X_j$  both contain a vertex  $v$ , then all nodes  $X_k$  of the tree in the (unique) path between  $X_i$  and  $X_j$  contain  $v$  as well. That is, the nodes associated with vertex  $v$  form a connected subset of  $T$ . This is also known as coherence, or the running intersection property. It can be stated equivalently that if  $X_i, X_j$  and  $X_k$  are nodes, and  $X_k$  is on the path from  $X_i$  to  $X_j$ , then  $X_i \cap X_j \subseteq X_k$ . Let  $D_i$  be the union of the sets  $X_j$  descending from  $X_i$ .

For each node  $i$  in  $T$  and each function  $s: X_i \rightarrow \{1,2,3\}$  (For all  $X_j \subseteq V$ , it colors the edge with both endpoints) :

- $A(s,i) = \text{true}$  if  $s$  can be extended to a valid 3-coloring of  $D_i$
- $A(s,i) = \text{false}$  otherwise

For each edge  $(j,i)$  in  $T$  where  $j$  is the parent of  $i$ , and each function  $s: X_i \cap X_j \rightarrow \{1,2,3\}$ :

- $B(s,i,j) = \text{true}$  if  $s$  can be extended to a valid 3-coloring of  $D_i$
- $B(s,i,j) = \text{false}$  otherwise

The recurrence relations are:

- For a non-leaf node  $i$  with children  $c_1, c_2, \dots, c_k$ :
  - $A(s,i) = \text{true}$  if there exists a child  $c_j$  such that  $B(s|_{X_i \cap X_{c_j}}, i, c_j) = \text{true}$
  - $A(s,i) = \text{false}$  otherwise
- For an edge  $(j,i)$  where  $j$  is the parent of  $i$ :
  - $B(s,i,j) = \text{true}$  if there exists a 3-coloring  $\chi$  of  $D_i$  that agrees with  $s$  on  $X_i \cap X_j$
  - $B(s,i,j)$  can be computed by checking if  $A(s',i) = \text{true}$  for any extension  $s'$  of  $s$  that assigns colors to  $X_i - X_i \cap X_j$

Boolean Formula computing  $B(s,i,j)$  in terms of  $A(s',i)$ . :

$$B(s,i,j) = \exists s' . (\forall v \in X_i \cap X_j . s'(v) = s(v)) \wedge A(s',i)$$

Where:

- $s'$  ranges over all functions  $X_i \rightarrow \{1,2,3\}$
- " $s'$  agrees with  $s$ " means  $\forall v \in X_i \cap X_j . s'(v) = s(v)$
- $A(s',i)$  evaluates to true/false based on subproblem definition

We consider all possible extensions  $s'$  of the partial coloring  $s$  that assign colors to the remaining vertices  $X_i - X_i \cap X_j$ . If any such extension  $s'$  leads to  $A(s', i)$  being true, meaning  $s'$  can be extended to a valid 3-coloring of  $D_i$ , then  $B(s, i, j)$  is true. Otherwise, if no such extension  $s'$  exists,  $B(s, i, j)$  is false.

**b)** Give a boolean formula computing  $A(s, i)$  in terms of  $B(s', j, i)$  (where  $j$  ranges over children of  $i$ ). What is your formula when  $i$  has no children?

**A.** Boolean formula for computing  $A(s, i)$  in terms of  $B(s', j, i)$  for node  $i$  with children  $j$ :

$$A(s, i) = \bigvee_{j \text{ child of } i} \exists s'. (\forall v \in X_i \cap X_j. s'(v) = s(v)) \wedge B(s', j, i)$$

Where:

- $j$  ranges over all children of node  $i$
- $s'$  ranges over functions  $X_i \cap X_j \rightarrow \{1, 2, 3\}$
- " $s'$  agrees with  $s$ " means  $\forall v \in X_i \cap X_j. s'(v) = s(v)$
- $B(s', j, i)$  evaluates to true/false based on subproblem definition

When  $i$  has no children, the problem is simplified to  $A(s, i)$  being true if  $s$  is a valid 3-coloring of  $X_i$ . Because the only way  $s$  extends to a 3-coloring of  $D_i$  is if  $s$  itself is already a valid 3-coloring of  $X_i$ .

**c)** Estimate the time you need to decide whether  $G$  is 3-colorable, in terms of  $n$  and  $k$ . It should be  $O(n)$  when  $k$  is a constant.

**A.** For each node  $i$  in the tree  $T$ , there are  $O(3^k)$  possible functions  $s: X_i \rightarrow \{1, 2, 3\}$

For each  $s$ , computing  $A(s, i)$  takes  $O(1)$  time if  $i$  is a leaf. Likewise, computing each  $B(s, i, j)$  takes  $O(1)$  time.

Since  $T$  has  $n$  nodes, the total work is:

$$O(n * 3^k * 1) = O(n * 3^k)$$

As  $k$  is a constant, this is  $O(n)$ .

Therefore, the overall running time to decide if  $G$  is 3-colorable is  $O(n)$  when the treewidth  $k$  is a constant.

**3.** Consider the following game. A “dealer” produces a sequence  $s_1 \cdots s_n$  of “cards,” face up, where each card  $s_i$  has a value  $v_i$ . Then two players take turns picking a card from the sequence, but can only pick the first or the last card of the (remaining) sequence. The goal is to collect cards of largest total value. (For example, you can think of the cards as bills of different denominations.) Assume  $n$  is even.

**(a)** Show a sequence of cards such that it is not optimal for the first player to start by picking up the available card of larger value. That is, the natural greedy strategy is suboptimal. (P1 and P2 are Player 1 and Player 2)

**A.** Consider the cards  $s_1 = 1, s_2 = 2, s_3 = 7, s_4 = 3$ . As this is a greedy approach, P1 chooses 3 which leaves P2 with  $[1, 2, 7]$ . P2 can choose between 1 and 7, but as we consider this greedy we go with 7 which leaves P1 with  $[1, 2]$ . Even if he chooses 2, the total becomes 5 which is less than of P2 (8). So in such cases, greedy is suboptimal.

If P1 chose 1 instead of 3, then he would have won because whatever P2 takes, he can get the highest valued card.

**(b)** Give an  $O(n^2)$  algorithm to compute an optimal strategy for the first player. Given the initial sequence, your algorithm should precompute in  $O(n^2)$  time some information, and then the first player should be able to make each move optimally in  $O(1)$  time by looking up the precomputed information.

**A.** To compute an optimal strategy, we can write an algorithm where the basic idea would be Player 1 trying to increase his value whereas player 2 tries to minimize the value of player 1. Considering there are  $n$  cards, we can create a  $(n \times n)$  array  $A$  such that where  $A(i,j)$  (where  $1 \leq i \leq j \leq n$ ) can be the max sum of cards when 2 players are playing optimally and we fill  $A[i][j]$ .

```
MaximumValue(V[n]):           #where V[n] = (v1, v2, ..., vn)
    for i in (1,n):
        A[i,i] = V[i]
    for len in (2,n):
        for i in (1, n - len + 1):
            j = i + len - 1
            if((len % 2) == 0):
                A[i,j] = max(V[i] + A[i+1, j], V[j] + A[i, j-1])
            else:
                A[i,j] = min(A[i+1, j], A[i, j-1])
```

From the above algorithm, the conditional statements play the main case as if the length of the array is even, P1 plays to maximize his values. The other case player 2 tries to minimize the values. The first step can be computed from the matrix as if  $A[0,n-1]$  is greater than  $A[1,n-2]$  P1 chooses the first card or vice versa.

**4.** Do DPV problem 5.22 (or 5.23) about updating an MST after changing the weight of one edge. The problem has four parts; two of the parts are very easy. Note that "linear time" means  $O(|V| + |E|)$ .

You are given a graph  $G = (V, E)$  with positive edge weights, and a minimum spanning tree  $T = (V, E')$  with respect to these weights; you may assume  $G$  and  $T$  are given as adjacency lists. Now suppose the weight of a particular edge  $e \in E$  is modified from  $w(e)$  to a new value  $\hat{w}(e)$ . You wish to quickly update the minimum spanning tree  $T$  to reflect this change, without recomputing the entire tree from scratch. There are four cases. In each case give a linear-time algorithm for updating the tree.

**(a)**  $e \notin E'$  and  $\hat{w}(e) > w(e)$ .

Since  $e$  is not originally in the MST  $E'$ , that implies it is a higher weight edge that was excluded from the MST initially. Now if  $w'(e)$  increases further, it is still excluded from the MST due to its high weight. So the MST  $E'$  remains unaffected and valid.

Therefore,  $T' = T$ . This takes  $O(1)$ .

**(b)**  $e \notin E'$  and  $\hat{w}(e) < w(e)$ .

We check if adding  $e$  creates a cycle with  $T$ . If no cycle is formed (which is a invalid case) , add  $e$  to  $T$ . Else, add  $e$  and find maximum weight edge  $e'$  in the cycle and remove  $e'$  from  $T$ . This takes  $O(|V|)$ .

**(c)**  $e \in E'$  and  $\hat{w}(e) < w(e)$

Same as Case a.  $T' = T$ . This takes  $O(1)$ .

**(d)**  $e \in E'$  and  $\hat{w}(e) > w(e)$

Removing  $e$  divides  $T$  into 2 subtrees. Find the lightest edge in  $G$  and add it to the  $T'$ . This takes  $O(|V| + |E|)$ .

**5.** You are given a simple graph  $G$  where each edge is colored either red or blue, and an integer  $k$ . Describe an efficient algorithm that either finds a spanning tree  $T$  in  $G$  with exactly  $k$  red edges, or else it announces that no such tree exists. Give a running time analysis for your algorithm. Time  $O(|V|^2)$  is possible

**A.** Case 1 : Consider  $\text{weight}(\text{red}) = 0$  and  $\text{weight}(\text{blue}) = 1$ . We can get a tree  $T_{\max}$  where there are most number of red edges  $K_{\max}$  by using MST (As per hw2 discussions). In this case if  $K_{\max} > K$ , we can say there is no solution.

Case 2 : Consider the opposite case,  $\text{weight}(\text{red}) = 1$  and  $\text{weight}(\text{blue}) = 0$ . We can get a tree  $T_{\min}$  where there are least number of red edges  $K_{\min}$  by using MST. In this case if  $K_{\min} > K$ , we can say there is no solution.

Case 3 :  $\text{red}(T_1) < k < \text{red}(T_2)$

Considering spanning trees as bases, we have Given any edge  $x$  in  $T_1 - T_2$ , we can find an edge  $y$  in  $T_2 - T_1$ , so  $T' = T_1 - \{x\} + \{y\}$  is still a spanning tree. We can implement this in our case while  $T$  has  $< k$  red edges, we can pick an edge from  $T_{\max} - T$  and add it to  $T$ . Now we can find a cycle in  $T + e$ , remove an edge  $e'$  from this where it is not present in  $T_{\max}$  and return  $T + e - e'$ . This loop goes on until number of red edges in  $T = k$ .

Time complexity would be  $O(|V|^2)$  as we are implementing Prim's or Kruskal's algorithm for first 2 cases, where as time complexity for calculating third case would be near to  $O(|V|)$ .