

# <sup>1</sup> ROMS-Tools: A Python Package for Preparing and <sup>2</sup> Analyzing ROMS Simulations

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## <sup>9</sup> Summary

<sup>10</sup> The ocean shapes Earth's climate and sustains marine ecosystems by circulating and storing  
<sup>11</sup> vast amounts of heat, oxygen, carbon, and nutrients, while exchanging heat and gases with  
<sup>12</sup> the atmosphere. To understand these complex dynamics, scientists rely on ocean models,  
<sup>13</sup> powerful computer simulations of physical circulation and biogeochemical (BGC) processes.  
<sup>14</sup> These models represent the ocean on a grid of cells, where finer grid spacing (more, smaller  
<sup>15</sup> cells) provides higher fidelity and greater detail at the cost of more computing power. While  
<sup>16</sup> global ocean models simulate the entire ocean, **regional ocean models** focus computational  
<sup>17</sup> resources on a specific area to achieve much finer grid spacing than is computationally feasible  
<sup>18</sup> over the global domain. This finer grid spacing enables regional ocean models to explicitly  
<sup>19</sup> resolve fine-scale phenomena, like mesoscale (10-100 km) and submesoscale (0.1-10 km)  
<sup>20</sup> features, tidal dynamics, coastal currents, upwelling, and detailed BGC processes. Capturing  
<sup>21</sup> these dynamics and processes at high fidelity is essential for applications in environmental  
<sup>22</sup> management, fisheries, for assessing regional impacts of climate change, and for evaluating  
<sup>23</sup> ocean-based carbon dioxide removal (CDR) strategies.

<sup>24</sup> A widely used regional ocean model is the **Regional Ocean Modeling System (ROMS)**  
<sup>25</sup> ([Shchepetkin & McWilliams, 2005](#)). To connect physical circulation with ecosystem dynamics  
<sup>26</sup> and the ocean carbon cycle, ROMS has been coupled to a BGC model called the Marine  
<sup>27</sup> Biogeochemistry Library (MARBL) ([Long et al., 2021](#); [Molemaker & contributors, 2025a](#)).  
<sup>28</sup> This coupled framework allows researchers to explore a variety of scientific and practical  
<sup>29</sup> questions. For example, it can be used to investigate the potential of ocean-based carbon  
<sup>30</sup> removal strategies, such as adding alkaline materials to the ocean to sequester atmospheric  
<sup>31</sup> carbon dioxide. It can also be used to study how physical processes drive ecosystem dynamics,  
<sup>32</sup> such as how nutrient-rich waters from upwelling fuel the phytoplankton blooms that form the  
<sup>33</sup> base of the marine food web ([Gruber et al., 2006](#)).

## <sup>34</sup> Input Data and Preprocessing

<sup>35</sup> Whether for research or industrial-focused applications, configuring a regional ocean model  
<sup>36</sup> like ROMS-MARBL remains a major technical challenge. Generating the required input files is  
<sup>37</sup> time-consuming, error-prone, and difficult to reproduce, creating a bottleneck for both new  
<sup>38</sup> and experienced model users. The Python package ROMS-Tools addresses this challenge by  
<sup>39</sup> providing a set of efficient, user-friendly, and extensible tools to design new regional grids for  
<sup>40</sup> ROMS-MARBL and to process and stage all required model input files. ROMS-Tools supports  
<sup>41</sup> reproducible and easy-to-interpret workflows that enable faster and more robust ROMS-MARBL  
<sup>42</sup> setups. The package's user interface and underlying data model are based on xarray ([Hoyer &](#)

43 [Hamman, 2017](#)), allowing seamless handling of multidimensional datasets with rich metadata  
44 and optional parallelization via a dask ([Dask Development Team, 2016](#)) backend.

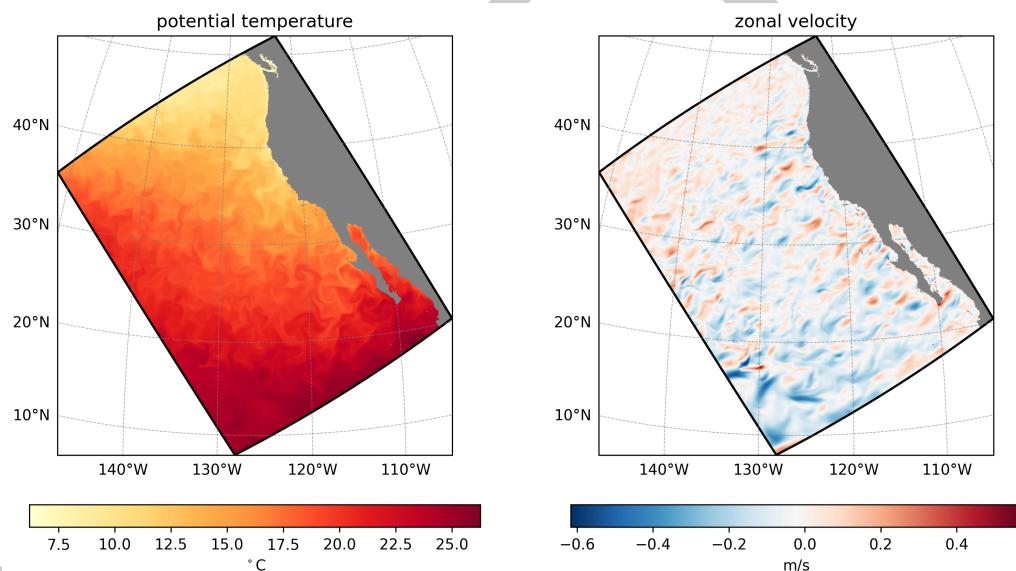
45 ROMS-Tools can automatically process commonly used datasets or incorporate custom user  
46 data and routines. Currently, it can generate the following inputs:

- 47   1. **Model Grid:** Customizable, curvilinear, and orthogonal grid designed to maintain a nearly  
48   uniform horizontal resolution across the domain. The grid is rotatable to align with  
49   coastlines and features a terrain-following vertical coordinate.
- 50   2. **Bathymetry:** Derived from **SRTM15** ([Tozer et al., 2019](#)).
- 51   3. **Land Mask:** Inferred from coastlines provided by **Natural Earth** or the Global Self-  
52   consistent, Hierarchical, High-resolution Geography (**GSHHG**) Database ([Wessel &  
53   Smith, 1996](#)).
- 54   4. **Physical Ocean Conditions:** Initial and open boundary conditions for sea surface height,  
55   temperature, salinity, and velocities derived from the 1/12° Global Ocean Physics  
56   Reanalysis (**GLORYS**) ([Lellouche et al., 2021](#)).
- 57   5. **BGC Ocean Conditions:** Initial and open boundary conditions for dissolved inorganic  
58   carbon, alkalinity, and other biogeochemical tracers from Community Earth System  
59   Model (**CESM**) output ([Yeager et al., 2022](#)) or hybrid observational-model sources  
60   [Garcia et al. (2019); Lauvset et al. (2016); Huang et al. (2022); yang\_global\_2020;  
61   Yeager et al. (2022)]
- 62   6. **Meteorological forcing:** Wind, radiation, precipitation, and air temperature/humidity  
63   processed from the global 1/4° ECMWF Reanalysis v5 (**ERA5**) ([Hersbach et al., 2020](#))  
64   with optional corrections for radiation bias and coastal wind.
- 65   7. **BGC surface forcing:** Partial pressure of carbon dioxide, as well as iron, dust, and  
66   nitrogen deposition from **CESM** output ([Yeager et al., 2022](#)) or hybrid observational-  
67   model sources ([Hamilton et al., 2022; Kok et al., 2021; Landschützer et al., 2016; Yeager  
68   et al., 2022](#)).
- 69   8. **Tidal Forcing:** Tidal potential, elevation, and velocities derived from **TPXO** ([Egbert &  
70   Erofeeva, 2002](#)) including self-attraction and loading (SAL) corrections.
- 71   9. **River Forcing:** Freshwater runoff derived from **Dai & Trenberth** ([Dai & Trenberth, 2002](#))  
72   or user-provided custom files.
- 73   10. **CDR Forcing:** User-defined interventions that inject BGC tracers at point sources or  
74   as larger-scale Gaussian perturbations, designed to simulate CDR interventions. The  
75   CDR forcing provides an external forcing term prescribed as volume and tracer fluxes  
76   (e.g., alkalinity for ocean alkalinity enhancement, iron for iron fertilization, or other BGC  
77   constituents). Users can specify the magnitude, spatial footprint, and time dependence  
78   of the forcing, enabling flexible representation of CDR interventions.

79 Some source datasets are accessed automatically by the package, including Natural Earth, Dai  
80 & Trenberth runoff, and ERA5 meteorology, while users must manually download SRTM15,  
81 GLORYS, the BGC datasets, and TPXO tidal files. While the source datasets listed above are  
82 the ones currently supported, the package's modular design makes it straightforward to add  
83 new data sources or custom routines in the future. To generate the model inputs listed above,  
84 ROMS-Tools automates several intermediate processing steps, including:

- 85   ▪ **Bathymetry processing:** The bathymetry is smoothed in two stages, first across the  
86   entire model domain and then locally in areas with steep slopes, to ensure local steepness  
87   ratios are not exceeded and to reduce pressure-gradient errors. A minimum depth is  
88   enforced to prevent water levels from becoming negative during large tidal excursions.
- 89   ▪ **Mask definition:** The land-sea mask is generated by comparing the ROMS grid's  
90   horizontal coordinates with a coastline dataset using regionmask ([Hauser et al., 2024](#)).  
91   Enclosed basins are subsequently filled with land.
- 92   ▪ **Land value handling:** Land values are filled via an algebraic multigrid method using  
93   pyamg ([Bell et al., 2023](#)) prior to horizontal regridding. This extends ocean values into  
94   land areas to resolve discrepancies between source data and ROMS land masks that  
95   could otherwise produce artificial values in ocean cells.

- 96     ▪ **Regridding:** Ocean and atmospheric fields are horizontally and vertically regridded from
  - 97       standard latitude-longitude-depth grids to the model's curvilinear grid with a terrain-
  - 98       following vertical coordinate using `xarray` ([Hoyer & Hamman, 2017](#)). Optional sea
  - 99       surface height corrections can be applied, and velocities are rotated to align with the
  - 100      curvilinear ROMS grid.
  - 101     ▪ **Longitude conventions:** ROMS-Tools handles differences in longitude conventions, con-
  - 102       verting between  $[-180^\circ, 180^\circ]$  and  $[0^\circ, 360^\circ]$  as needed.
  - 103     ▪ **River locations:** Rivers that fall within the model domain are automatically identified
  - 104       and relocated to the nearest coastal grid cell. Rivers that need to be shifted manually or
  - 105       span multiple cells can be configured by the user.
  - 106     ▪ **Atmospheric data streaming:** ERA5 atmospheric data can be accessed directly from the
  - 107       cloud, removing the need for users to pre-download large datasets locally.
- 108   Users can quickly design and visualize regional grids and inspect all input fields with built-in  
 109   plotting utilities. An example of surface initial conditions generated for a California Current  
 110   System simulation at 5 km horizontal grid spacing is shown in [Figure 1](#).



**Figure 1:** Surface initial conditions for the California Current System created with ROMS-Tools from GLORYS. Left: potential temperature. Right: zonal velocity. Shown for January 1, 2000.

111   ROMS-Tools also includes features that facilitate simulation management. It supports parti-  
 112   tioning input files to enable parallelized ROMS simulations across multiple nodes, and writes  
 113   NetCDF outputs with metadata fully compatible with ROMS-MARBL. Currently, all capabilities  
 114   in ROMS-Tools are fully compatible with UCLA-ROMS ([Molemaker & contributors, 2025a,](#)  
 115   [2025b](#)), with the potential to add other ROMS versions, such as Rutgers ROMS ([Arango &](#)  
 116   [contributors, 2024](#)), in the future.

## 117   Postprocessing and Analysis

118   ROMS-Tools also includes analysis tools for postprocessing ROMS-MARBL output. It first  
 119   provides a joining tool (the counterpart to the input file partitioning utility described earlier)  
 120   that merges ROMS output files produced as tiles from multi-node simulations. Beyond file  
 121   management, there are ROMS-Tools analysis utilities for general-purpose tasks, such as loading  
 122   model output directly into an `xarray` dataset with additional useful metadata, enabling seamless  
 123   use of the Pangeo scientific Python ecosystem for further analysis and visualization. The  
 124   analysis layer also supports regridding from the native curvilinear ROMS grid with terrain-

125 following coordinate to a standard latitude-longitude-depth grid using xesmf (Zhuang et al.,  
126 2023), and includes built-in plotting on both the native and latitude-longitude-depth grids.  
127 Beyond these general-purpose features, the ROMS-Tools analysis layer offers a suite of targeted  
128 tools for evaluating CDR interventions. These include utilities for generating standard plots,  
129 such as CDR efficiency curves, and performing specialized tasks essential for CDR monitoring,  
130 reporting, and verification.

### 131 Workflow, Reproducibility, and Performance

132 ROMS-Tools is designed to support modern, reproducible workflows. It is easily installable via  
133 Conda or PyPI and can be run interactively from Jupyter Notebooks. To ensure reproducibility  
134 and facilitate collaboration, each workflow is defined in a simple YAML configuration file.  
135 These compact, text-based YAML files can be version-controlled and easily shared, eliminating  
136 the need to transfer large NetCDF files between researchers, as source data like GLORYS and  
137 ERA5 are accessible in the cloud. For performance, the package is integrated with dask (Dask  
138 Development Team, 2016) to enable efficient, out-of-core computations on large datasets.  
139 Finally, to ensure reliability, the software is rigorously tested with continuous integration (CI)  
140 and supported by comprehensive documentation with examples and tutorials.

### 141 Statement of Need

142 Setting up a regional ocean model is a major undertaking. It requires generating a wide range  
143 of complex input files, including the model grid, initial and boundary conditions, and forcing  
144 from the atmosphere, tides, and rivers. Traditionally, this work has depended on a patchwork  
145 of custom scripts and lab-specific workflows, which can be time-consuming, error-prone, and  
146 difficult to reproduce. These challenges slow down science, create a steep barrier to entry for  
147 new researchers, and limit collaboration across groups.

148 Within the ROMS community, the preprocessing landscape has been shaped by tools like  
149 pyroms (Hedstrom & contributors, 2023). While pyroms has long provided valuable low-level  
150 utilities, it also presents challenges for new users. Installation can be cumbersome due to its  
151 Python and Fortran dependencies, and its inconsistent Application Programming Interface  
152 (API) and limited documentation make it hard to learn. The package was not designed with  
153 reproducible workflows in mind, and it lacks tests, CI, and support for modern Python tools  
154 such as xarray and dask. Since development of pyroms has largely ceased, its suitability  
155 for new projects, such as CDR simulations, is increasingly limited. Furthermore, tools from  
156 other modeling communities cannot simply be adopted, since each ocean model has distinct  
157 structural requirements. For example, the new regional-mom6 package (Barnes et al., 2024),  
158 developed for MOM6 (Adcroft et al., 2019), cannot be used to generate ROMS inputs, because  
159 ROMS employs a terrain-following vertical coordinate system that requires a specialized vertical  
160 regridding approach, whereas MOM6 accepts inputs on arbitrary depth levels and does not  
161 require vertical regridding at all. Several other differences further prevent cross-compatibility.  
162 Together, these limitations underscored the need for a modern, maintainable, and reproducible  
163 tool designed specifically for ROMS.<sup>1</sup>

164 ROMS-Tools was developed to meet this need. It draws on the legacy of the MATLAB  
165 preprocessing scripts developed at UCLA (Molemaker, 2024), which encapsulate decades of  
166 expertise in configuring regional ocean model inputs. While many of the core algorithms and  
167 design principles are retained, ROMS-Tools provides an open-source Python implementation of  
168 these MATLAB tools using an object-oriented programming paradigm. This implementation  
169 enables a modernized workflow driven by high-level user API calls, enhancing reproducibility,  
170 reducing the potential for user errors, and supporting extensibility for additional features, forcing  
datasets, and use cases. In some cases, ROMS-Tools diverges from the MATLAB implementation

<sup>1</sup>In the future, packages like ROMS-Tools and regional-mom6 could share a common backbone, with model-specific adaptations layered on top.

172 to take advantage of new methods or better integration with the modern Python ecosystem.  
173 By streamlining input generation and analysis, ROMS-Tools reduces technical overhead, lowers  
174 the barrier to entry, and enables scientists to focus on research rather than data preparation.  
175 The primary users of the package include (i) ocean modelers developing new domains for any  
176 regional modeling application and (ii) researchers in the ocean-based CDR community who  
177 use ROMS-Tools to set up simulations that mimic climate intervention scenarios.

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