

#### Research Institute for Future Media Computing Institute of Computer Vision 未来媒体技术与研究所

## 计算机视觉研究所



# 多媒体系统导论 **Fundamentals of Multimedia System**

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2022年春季课程

#### **Outline of Lecture 08**

- ◆ Introduction-简介
- ◆ Distortion Measures-失真度量
- ◆ The Rate-Distortion Theory-比率失真理论
- ◆ Quantization-量化
  - Uniform Scalar Quantization-均匀标量量化
  - Nonuniform Scalar Quantization-非均匀标量量化
  - Vector Quantization-向量量化
- ◆ Transform Coding-变换编码
- ◆ Wavelet-Based Coding-小波编码
- ◆ Experiments-实验

#### Introduction-简介

◆ What is lossy compression-什么是有损编码

Compression program	Compi Lena	ression rati Football	F-18	Flowers
Lossless JPEG	1.45	1.54	2.29	1.26
Optimal lossless JPEG	1.49	1.67	2.71	1.33
compress (LZW)	0.86	1.24	2.21	0.87
gzip (LZ77)	1.08	1.36	3.10	1.05
gzip-9 (optimal LZ77)	1.08	1.36	3.13	1.05
pack (Huffman coding)	1.02	1.12	1.19	1.00

# Introduction-简介

- ◆ What is lossy compression-什么是有损编码
  - Lossless compression algorithms do not deliver compression ratios that are high enough. Hence, most multimedia compression algorithms are *lossy*-无损编码压缩率不高,多媒体应用需较高压缩率.
  - **Lossy Compression**: The compressed data is not the same as the original data, but a *close approximation* of it-不完全相同,但感知上近似.
  - Yields a much *higher compression ratio* than that of lossless compression-较高压缩率.

#### Introduction-简介

◆ What is lossy compression-什么是有损编码



#### Distortion Measures-失真度量

- Distortion measures in image
  - A distortion measure is a mathematical quantity that specifies how close an approximation is to its original, using some distortion criteria-近似程度的数学量化.
  - Mean square error (MSE) -均方差(平均像素差异):

$$\sigma^2 = \frac{1}{N} \sum_{i=1}^{N} (x_i - y_i)^2$$
 解压值

- Signal to Noise Rate (SNR)-信噪比:

$$SNR = 10 \log_{10} \frac{\sigma_x^2}{\sigma_d^2}$$
 原数据均方 均方差

- Peak signal to noise ratio (PSNR)-峰值信噪比:

$$PSNR = 10 \log_{10} \frac{x_{peak}^2}{\sigma_d^2}$$
 原始数据最大值

#### Distortion Measures-失真度量

- Distortion measures in image
  - 例:已知原数据和经过压缩与解压缩之后得到的数据 为
  - 原始数据: {12 12 12 12 8 8 12 }
  - 处理数据: {12 12 12 8 12 8 12 12}。
  - 请分别计算MSE、SNR和PSNR的值。

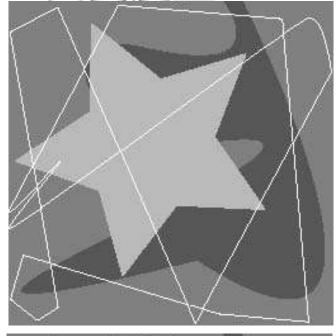
- 
$$\sigma^2 = \frac{1}{N} \sum_{i=1}^{N} (x_i - y_i)^2$$
,  $1/8*[(12-8)^2 + (8-12)^2] = 4$ 

- 
$$SNR = 10 \log_{10} \frac{\sigma_x^2}{\sigma_d^2}$$
,  $10*\log_{10}[(6*12^2+2*8^2)/8/4] \approx 14.91$ 

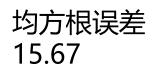
- 
$$PSNR = 10 \log_{10} \frac{x_{peak}^2}{\sigma_d^2}$$
,  $10*\log_{10}[12^2/4] \approx 15.563$ 

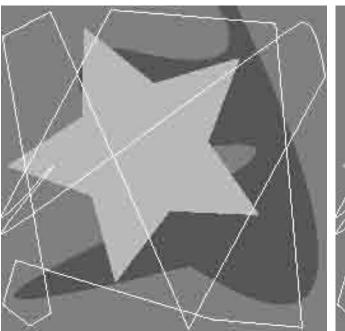
#### Distortion Measures-失真度量

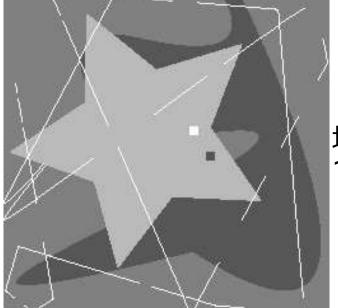
原图像



均方根误差 5.17



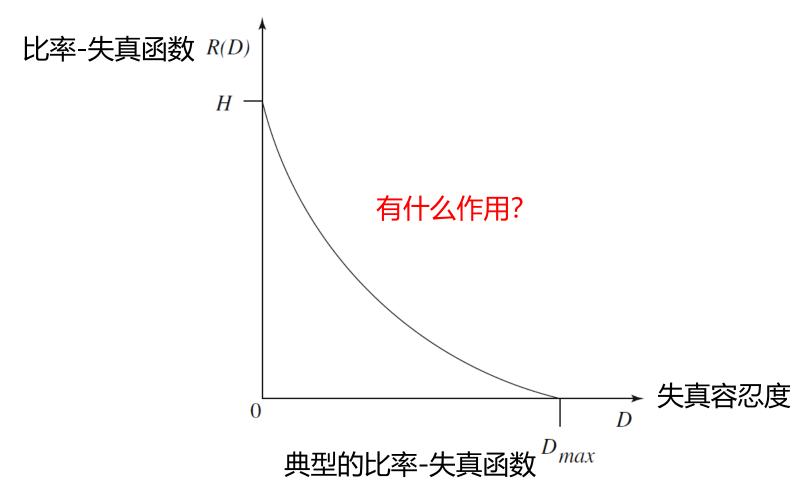




均方根误差 14.17

#### The Rate-Distortion Theory-比率失真理论

- ◆ Tradeoffs between Rate and Distortion-平衡
  - Rate is the average number of bits required to represent each source symbol-位数vs失真.



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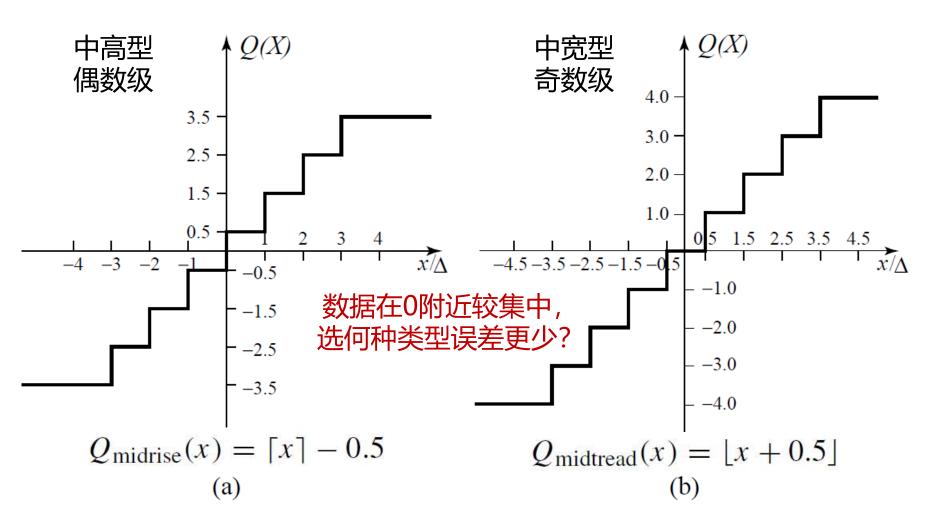
- Further understanding quantization
  - Quantization is the heart of any lossy scheme-量化是任何有损方案的核心.
  - Reduce the number of distinct output values to a much smaller set-不同输出值的数量减少到一个更小的集合.
  - Main source of the "loss" in lossy compression-信息损失主要来源.

```
- f: {0, 1, 2, ...32, ..., 64, ..., 94, ..., 128, ..., 192, ..., 255}
```

- f': {0, 64, 128, 192, 255}
- Uniform/nonuniform scalar quantization-均匀/非均匀
- Vector quantization-向量量化(LZW编码)

- ◆ Uniform Scalar Quantization-均匀标量量化
  - A uniform scalar quantizer partitions the domain of input values into equally spaced intervals, except possibly at the two outer intervals-输入值的域划分为等间隔的区间.
  - The endpoints of partition intervals are called the quantizer's decision boundaries-区间端点称决策边界.
  - The length of each interval is referred to as the *step size*, denoted by the symbol Δ-区间长短称为步长.
  - Two types of uniform scalar quantizers: *Midrise* and *Midtread* Quantizers-中高型和中宽型均匀量化器
  - The goal for the design of a successful uniform quantizer is to **minimize the distortion** for a given source input with a desired number of output values by adjusting the *step size* Δ to match the input -调整步长,匹配输入,最小化失真.

◆ Uniform Scalar Quantization-均匀标量量化



Uniform Scalar Quantizers: (a) Midrise, (b) Midtread

- ◆ Uniform Scalar Quantization-均匀标量量化
  - Performance of an M level quantizer. Let  $B = \{b_0, b_1, ..., b_M\}$  be the set of *decision boundaries* and  $Y = \{y_1, y_2, ..., y_M\}$  be the set of *output values*.
  - Suppose the input is uniformly distributed in the interval  $[-X_{max}, X_{max}]$ . The rate of the quantizer is:

$$R = \lceil log M \rceil$$

- If the quantizer is *n* bits,  $M = 2^n$ , then:

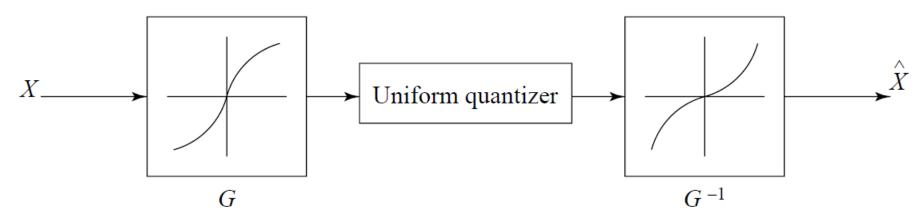
$$SQNR = 10 \log_{10} \left( \frac{\sigma_x^2}{\sigma_d^2} \right)$$

$$= 10 \log_{10} \left( \frac{(2X_{\text{max}})^2}{12} \cdot \frac{12}{\Delta^2} \right)$$

$$= 10 \log_{10} M^2 = 20 n \log_{10} 2$$

$$= 6.02 n \text{ (dB)}.$$

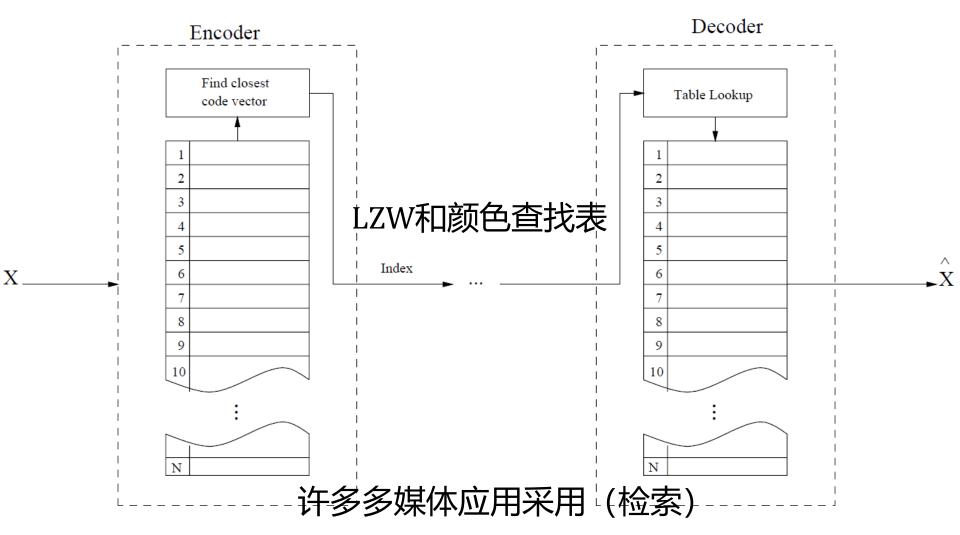
- ◆ Nonuniform Scalar Quantization-非均匀标量量化
  - Nonuniform quantization is nonlinear-非线性
  - Companded quantization: a compander consists of a compressor function G, a uniform quantizer, and an expander function  $G^{-1}$ -压缩扩展量化器



μ律和A律压缩扩展器

- ◆ Vector Quantization-向量量化
  - According to Shannon's original work on information theory, any compression system performs better if it operates on *vectors* or *groups of samples* rather than individual symbols or samples-非单个样本更好.
  - Form vectors of input samples by simply concatenating a number of consecutive samples into a single vector-一系列 样本形成向量.
  - Instead of single reconstruction values as in scalar quantization, in VQ code vectors with *n* components are used. A collection of these code vectors form the codebook-向量量化码有*n*个分量,向量码的集合形成码本.

◆ Vector Quantization-向量量化



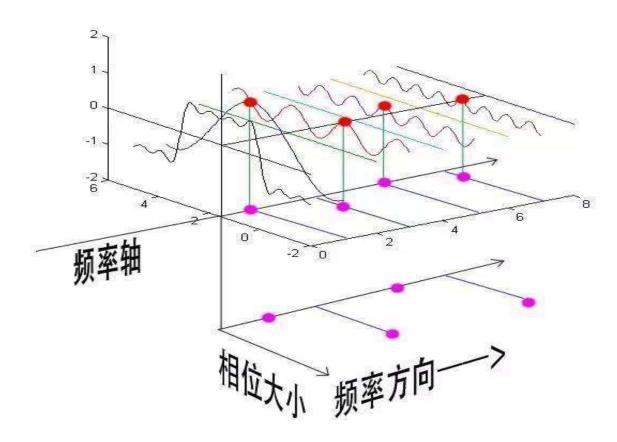
Basic vector quantization procedure

#### **Outline of Lecture 08**

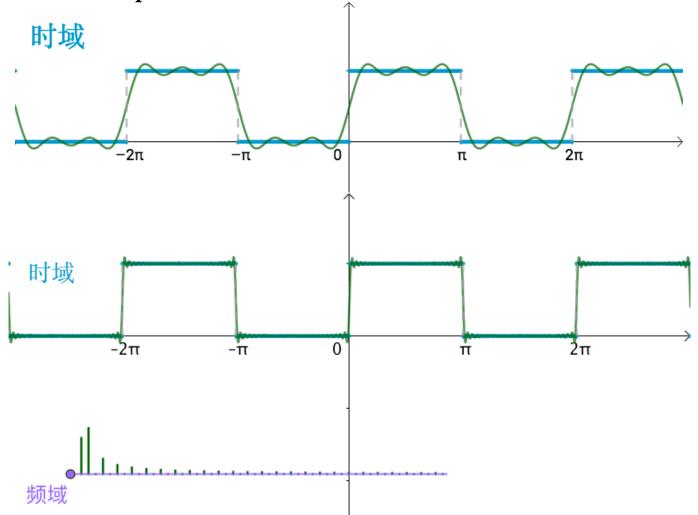
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- ◆ The rationale behind transform coding-动机
  - Coding vectors is more efficient than coding scalar-向 量编码更有效.
  - If Y is the result of a linear transform T of the input vector X, i.e. Y=T(X) in such a way that the components of Y are much less correlated, then Y can be coded more efficiently than X-对线性变换T后的Y进行编码比原数据X更有效——变换编码原理.
  - If most information is accurately described by **the first few components** of a transformed vector, then the remaining components can be coarsely quantized, or even **set to zero**, with little signal distortion-大部分信息集中在前几个分量,其它分量可能更粗精度量化,其至置0.

- ◆ The rationale behind transform coding-动机
  - An example: DFT-离散Fourier变换.



- ◆ The rationale behind transform coding-动机
  - An example: DFT-离散Fourier变换.



- ◆ Discrete Cosine Transform (DCT)-离散余弦变换
  - **Spatial frequency** indicates how many times pixel values change across an image block-图像块像素值变化通过空间频率反映.
  - The DCT formalizes this notion with a measure of how much the *image contents change* in correspondence to **the number of cycles of a cosine wave** per block-余弦 函数反映图像内容变化.
  - The role of the DCT is to decompose the original signal into its **DC** and **AC** components; the role of the IDCT is to reconstruct (re-compose) the signal- DCT分解原始 信号为直流DC分量和交流AC分量,IDCT为逆变换.

- ◆ Definition of DCT-离散余弦变换定义
  - Given an input function f(i,j) over two integer variables i and j (a piece of an image), the 2D DCT transforms it into a new function F(u,v), with integer u and v running over the same range as i and j. The general definition of the transform is-图像像素f(i,j):

$$F(u,v) = \frac{2C(u)C(v)}{\sqrt{MN}} \sum_{i=0}^{M-1} \sum_{j=0}^{N-1} \cos \frac{(2i+1) \cdot u\pi}{2M} \cdot \cos \frac{(2j+1) \cdot v\pi}{2N} \cdot f(i,j)$$

$$i, u = 0,1, ... M - 1, j, v = 0,1, ... N - 1,$$

$$C(\xi) = \begin{cases} \frac{\sqrt{2}}{2}, & \xi = 0\\ 1, & others \end{cases}$$

- ◆ 2D DCT and IDCT-二维离散余弦变换及逆变换
  - In the JPEG image compression standard (see Chap. 9), an image block is defined to have dimension M = N = 8. Therefore, the definitions for the 2D DCT and its inverse (IDCT) are as follows-JPEG图像压缩二维DCT和 IDCT:

$$F(u,v) = \frac{C(u)C(v)}{4} \sum_{i=0}^{7} \sum_{j=0}^{7} \cos \frac{(2i+1) \cdot u\pi}{16} \cdot \cos \frac{(2j+1) \cdot v\pi}{16} \cdot f(i,j)$$

$$\tilde{f}(i,j) = \sum_{u=0}^{7} \sum_{v=0}^{7} \frac{C(u)C(v)}{4} \cdot \cos\frac{(2i+1) \cdot u\pi}{16} \cdot \cos\frac{(2j+1) \cdot v\pi}{16} \cdot F(u,v)$$

$$i, u = 0, 1, \dots 7, j, v = 0, 1, \dots 7, C(\xi) = \begin{cases} \frac{\sqrt{2}}{2}, & \xi = 0\\ 1, & others \end{cases}$$

- ◆ 1D DCT and IDCT-一维离散余弦变换及逆变换
  - 1D Discrete Cosine Transform (1D DCT)-一维DCT

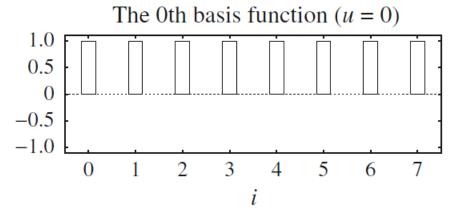
$$F(u) = \frac{C(u)}{2} \sum_{i=0}^{7} \cos \frac{(2i+1) \cdot u\pi}{16} \cdot f(i), \ i, u = 0, 1, \dots 7$$

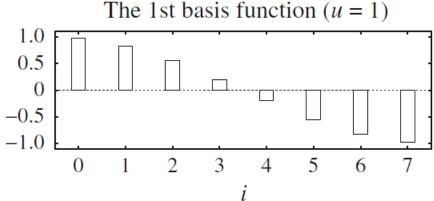
- 1D Inverse Discrete Cosine Transform (1D IDCT)-一维 逆DCT

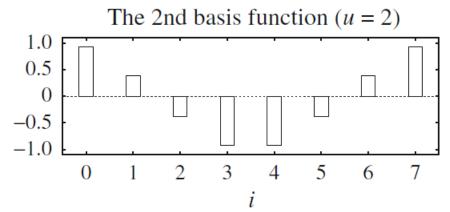
$$\tilde{f}(i) = \sum_{u=0}^{7} \frac{C(u)}{2} \cdot \cos \frac{(2i+1) \cdot u\pi}{16} \cdot F(u), \ i, u = 0, 1, \dots 7$$

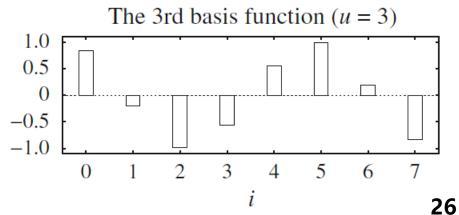
◆ 1D DCT basis functions-一维DCT基函数

$$F(u) = \frac{C(u)}{2} \sum_{i=0}^{7} \cos \frac{(2i+1) \cdot u\pi}{16} \cdot f(i), \ i, u = 0, 1, \dots 7$$



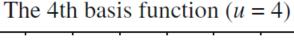


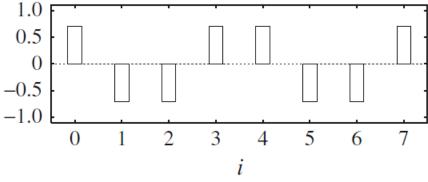




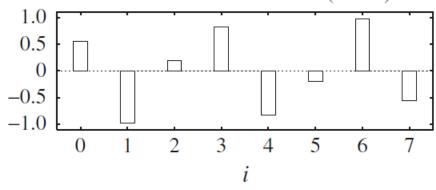
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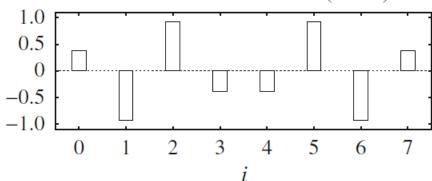




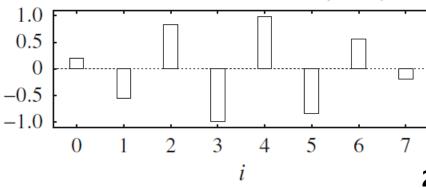
The 5th basis function (u = 5)



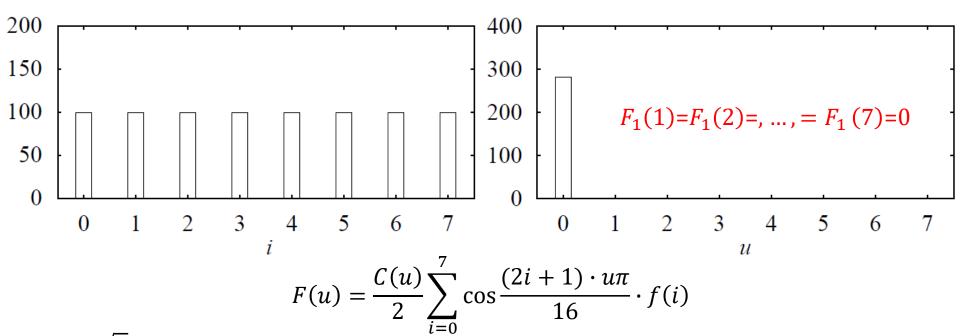
The 6th basis function (u = 6)



The 7th basis function (u = 7)



- ◆ 1D DCT 一维离散余弦变换
  - Example:  $f_1$ ={100, 100, 100, 100, 100, 100, 100}

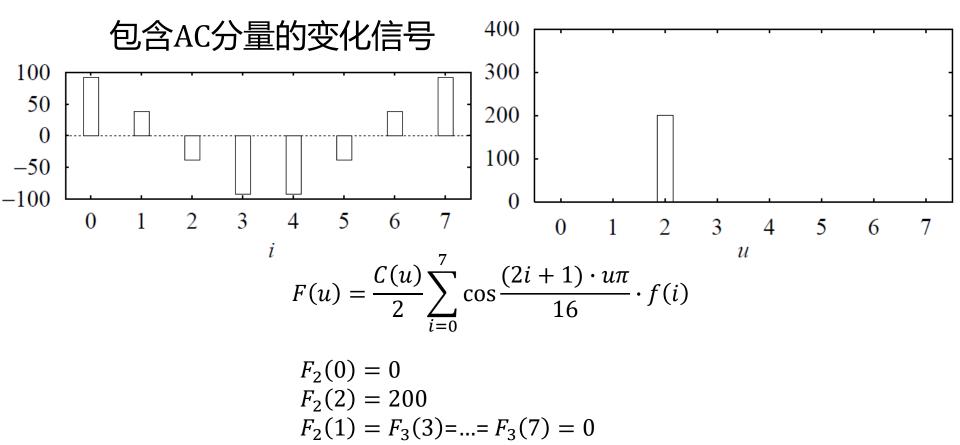


$$F_1(0) = \frac{\sqrt{2}}{2} \cdot \frac{1}{2} (1 \cdot 100 + 1 \cdot 100)$$

$$\approx 283$$

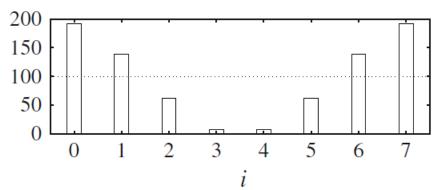
$$F_1(1) = \frac{1}{2} \left( \cos \frac{\pi}{16} \cdot 100 + \cos \frac{3\pi}{16} \cdot 100 + \cos \frac{5\pi}{16} \cdot 100 + \cos \frac{7\pi}{16} \cdot 100 + \cos \frac{9\pi}{16} \cdot 100 + \cos \frac{13\pi}{16} \cdot 100 + \cos \frac{15\pi}{16} \cdot 100 \right) = 0$$

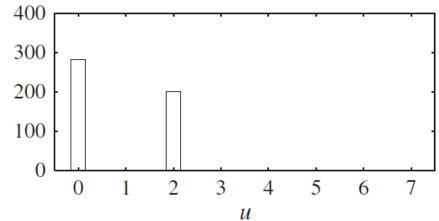
- ◆ 1D DCT-一维离散余弦变换
  - Example:  $f_2$



- ◆ 1D DCT-一维离散余弦变换
  - Example:  $f_3 = f_1 + f_2$







$$F(u) = \frac{C(u)}{2} \sum_{i=0}^{7} \cos \frac{(2i+1) \cdot u\pi}{16} \cdot f(i)$$

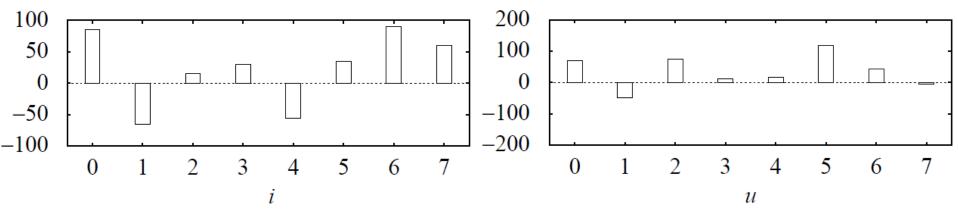
$$F_3(0) \approx 283$$

$$F_3(2) = 200$$

$$F_3(1) = F_3(3) = \dots = F_3(7) = 0$$

- ◆ 1D DCT-一维离散余弦变换
  - Example:  $f_4$ = {85, -65, 15, 30, -56, 35, 90, 60}

#### 任意变化信号



 $F_4(u)$ : {69, -49, 74, 11, 16, 117, 44, -5}

#### ◆ 1D DCT-一维离散余弦变换

- The characteristics of the DCT can be summarized as follows-离散余弦变换特性总结:
- The DCT produces the spatial frequency spectrum F(u) corresponding to the spatial signal f(i)-空间信号f(i)的频谱 F(u).
- The 0th DCT coefficient F(0) is the DC component of the signal f(i)-F(0)是直流分量,与信号的平均值对应F(0)=  $\frac{\sqrt{2}}{2}$   $\cdot \frac{1}{2} \cdot 8 \cdot f_{avg}$ =  $2\sqrt{2} \cdot f_{avg}$
- Other seven DCT coefficients reflect the various changing (i.e., AC) components of the signal f(i) at different frequencies-其它系数反映信号不同频率的AC分量.
- The DCT is a linear transform-离散余弦变换是线性变换.

- ◆ 1D IDCT-一维离散余弦逆变换
  - Example:  $f_4$ = {85, -65, 15, 30, -56, 35, 90, 60}
  - $F_4(u)$ : {69, -49, 74, 11, 16, 117, 44, -5}

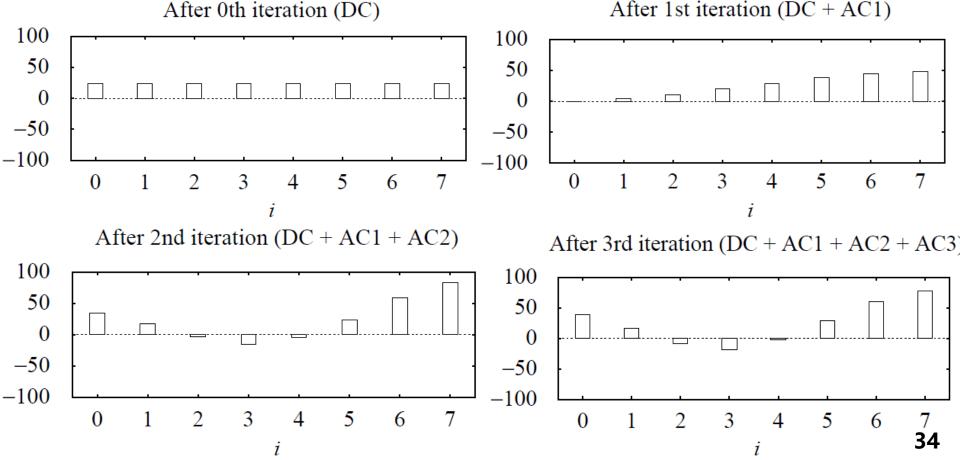
$$\tilde{f}(i) = \sum_{u=0}^{7} \frac{C(u)}{2} \cdot \cos \frac{(2i+1) \cdot u\pi}{16} \cdot F(u)$$

Iteration 0: 
$$\tilde{f}(i) = \frac{C(0)}{2} \cdot \cos 0 \cdot F(0) = \frac{\sqrt{2}}{2 \cdot 2} \cdot 1 \cdot 69 \approx 24.3.$$

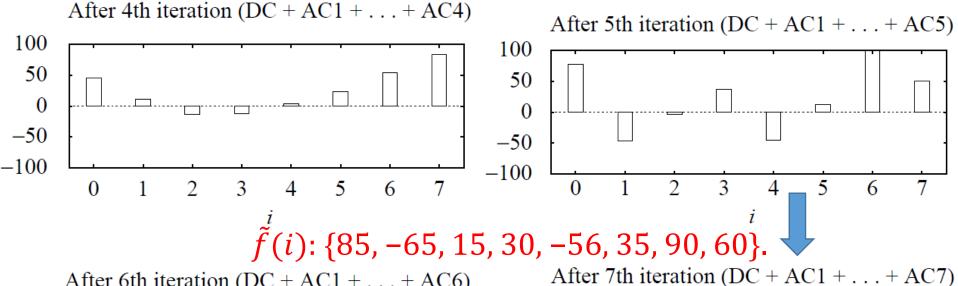
Iteration 1: 
$$\tilde{f}(i) = \frac{C(0)}{2} \cdot \cos 0 \cdot F(0) + \frac{C(1)}{2} \cdot \cos \frac{(2i+1)\pi}{16} \cdot F(1)$$
  
 $\approx 24.3 + \frac{1}{2} \cdot (-49) \cdot \cos \frac{(2i+1)\pi}{16} \approx 24.3 - 24.5 \cdot \cos \frac{(2i+1)\pi}{16}.$ 

Iteration 2: 
$$\tilde{f}(i) = \frac{C(0)}{2} \cdot \cos 0 \cdot F(0) + \frac{C(1)}{2} \cdot \cos \frac{(2i+1)\pi}{16} \cdot F(1) + \frac{C(2)}{2} \cdot \cos \frac{(2i+1)\pi}{8} \cdot F(2)$$
  
 $\approx 24.3 - 24.5 \cdot \cos \frac{(2i+1)\pi}{16} + 37 \cdot \cos \frac{(2i+1)\pi}{8}.$ 

- ◆ 1D IDCT-一维离散余弦逆变换
  - Example:  $f_4$ = {85, -65, 15, 30, -56, 35, 90, 60}
  - $F_4(u)$ : {69, -49, 74, 11, 16, 117, 44, -5}



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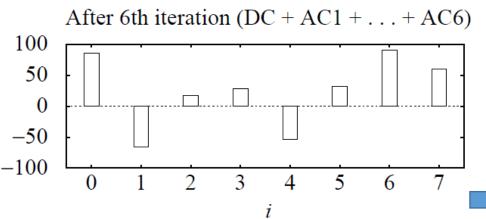


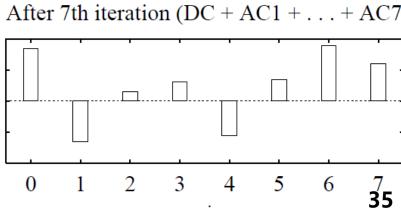
100

50

-50

-100





- ◆ The Cosine Basis Functions-余弦基函数
  - Function  $B_p(i)$  and  $B_q(i)$  are **orthogonal**, if-正交

$$\sum_{i} [B_p(i) \cdot B_q(i)] = 0, if \ p \neq q$$

- Function  $B_p(i)$  and  $B_q(i)$  are **orthonormal**, if-标准正 交

$$\sum_{i} [B_p(i) \cdot B_q(i)] = 1, if \ p = q$$

- 欧氏空间坐标系:(1,0,0), (0,1,0), (0,0,1)是一个标准正 交基,

- ◆ The Cosine Basis Functions-余弦基函数
  - With **orthogonal** property, the signal is not amplified during the transform. When the same basis function is used in both the transformation and its inverse, we will get (approximately) the same signal back-正交特性保持变换过程信号不放大,基函数相同,逆变换后信号近似相同.

$$\sum_{i=0}^{7} \left[ \cos \frac{(2i+1) \cdot p\pi}{16} \cdot \cos \frac{(2i+1) \cdot q\pi}{16} \right] = 0, p \neq q$$

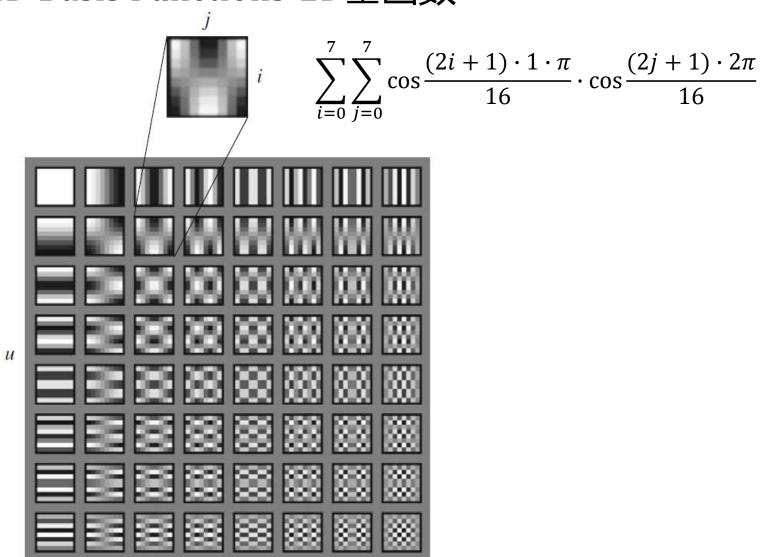
$$\sum_{i=0}^{7} \left[ \frac{C(p)}{2} \cos \frac{(2i+1) \cdot p\pi}{16} \cdot \frac{C(q)}{2} \cos \frac{(2i+1) \cdot q\pi}{16} \right] = 1, p = q$$

$$\overline{k} \text{ where }$$

- ◆ 2D Basis Functions-2D基函数
  - For two-dimensional DCT functions, we use the basis depicted as  $8 \times 8$  image. For a particular pair of u and v, the respective basis function is-具体的对(u, v) 相应基函数:

$$F(u,v) = \frac{C(u)C(v)}{4} \sum_{i=0}^{7} \sum_{j=0}^{7} \cos \frac{(2i+1) \cdot u\pi}{16} \cdot \cos \frac{(2j+1) \cdot v\pi}{16} \cdot f(i,j)$$

◆ 2D Basis Functions-2D基函数

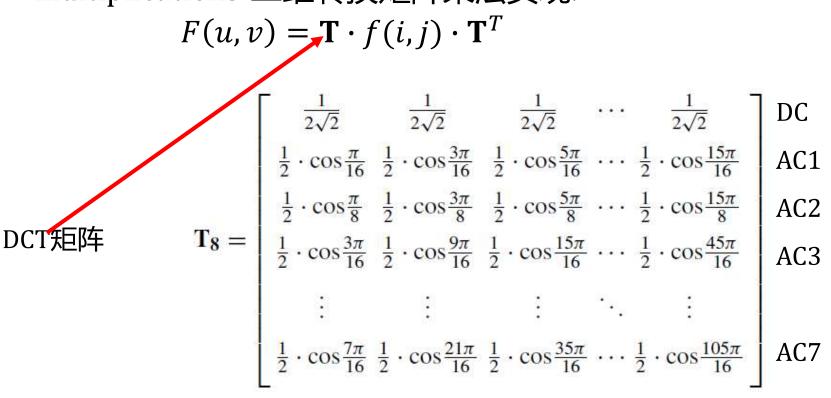


- ◆ 2D Separable Basis-2D可分离函数
  - For speed, 2D DCT coefficients—*factorization* into two 1D DCT transforms-二维转换成两个一维DCT 加快速度-先列后行.

$$G(u,j) = \frac{C(u)}{2} \sum_{i=0}^{7} \cos \frac{(2i+1) \cdot u\pi}{16} \cdot f(i,j)$$

$$F(u,v) = \frac{C(v)}{2} \sum_{j=0}^{7} \cos \frac{(2j+1) \cdot v\pi}{16} \cdot G(u,j)$$

- ◆ 2D DCT-Matrix Implementation-矩阵实现
  - The above factorization of a 2D DCT into two 1D DCTs can be implemented by two consecutive matrix multiplications-二维转换矩阵乘法实现.



- ◆ 2D IDCT-Matrix Implementation-逆变换实现
  - Reconstruct f(i, j) from F(u, v) losslessly by matrix multiplications -二维逆变换矩阵乘法实现.

$$f(i,j) = \mathbf{T}^T \cdot F(u,v) \cdot \mathbf{T}$$

- The DCT-matrix **T** is orthogonal, therefore,  $\mathbf{T}^T = \mathbf{T}^{-1}$
- How to drive this formula-如何推导?

$$f(i,j) = \mathbf{T}^{-1} \cdot \mathbf{T} \cdot f(i,j) \cdot \mathbf{T}^{T} \cdot (\mathbf{T}^{T})^{-1}$$

$$F(u,v) = \mathbf{T} \cdot f(i,j) \cdot \mathbf{T}^{T}$$

$$f(i,j) = \mathbf{T}^{-1} \cdot F(u,v) \cdot (\mathbf{T}^{T})^{-1}$$

$$f(i,j) = \mathbf{T}^{T} \cdot F(u,v) \cdot \mathbf{T}$$

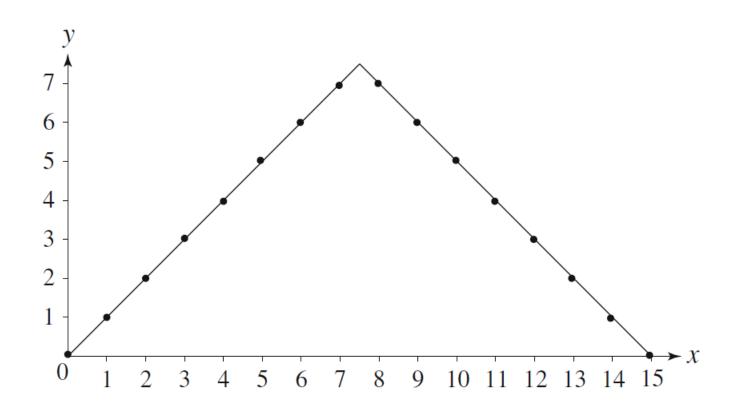
- ◆ Comparison of DCT and DFT-与Fourier比较
  - DFT-离散傅里叶变换

$$F_{\omega} = \sum_{x=0}^{7} f_{x} \cdot e^{-\frac{2\pi i \omega x}{8}}$$

$$F_{\omega} = \sum_{x=0}^{7} f_{x} \cdot \cos\left(\frac{2\pi \omega x}{8}\right) - i \sum_{x=0}^{7} f_{x} \cdot \sin\left(\frac{2\pi \omega x}{8}\right)$$

$$e^{ix} = \cos(x) + i\sin(x)$$

◆ Comparison of DCT and DFT-与Fourier比较



Symmetric extension of the ramp function-对称斜坡函数

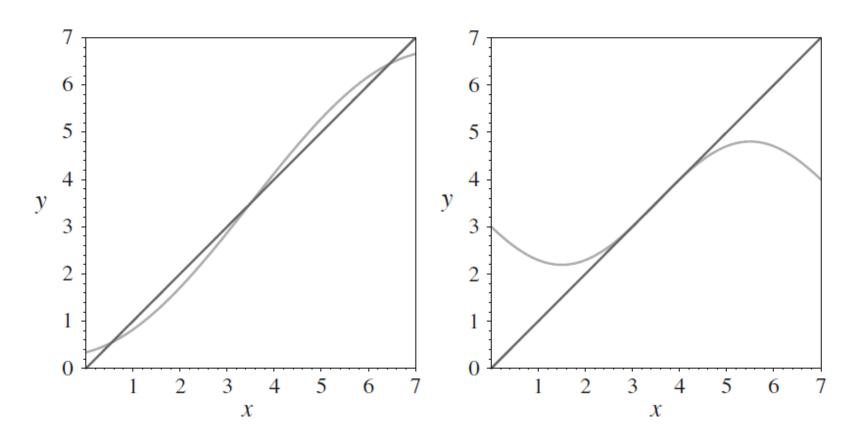
◆ Comparison of DCT and DFT-与Fourier比较

Ramp	DCT	DFT
0	9.90	28.00
1	-6.44	-4.00
2	0.00	9.66
3	-0.67	-4.00
4	0.00	4.00
5	-0.20	-4.00
6	0.00	1.66
7	-0.51	-4.00

DCT and DFT coefficients of the ramp function

DCT前几个系数包含更多的信息

◆ Comparison of DCT and DFT-与Fourier比较



Approximation of the ramp function: (a) 3 Term DCT Approximation, (b) 3 Term DFT Approximation.

#### **Outline of Lecture 08**

- ◆ Introduction-简介
- ◆ Distortion Measures-失真度量
- ◆ The Rate-Distortion Theory-比率失真理论
- ◆ Quantization-量化
  - Uniform Scalar Quantization-均匀标量量化
  - Nonuniform Scalar Quantization-非均匀标量量化
  - Vector Quantization-向量量化
- ◆ Transform Coding-变换编码
- ◆ Wavelet-Based Coding-小波编码
- ◆ Experiments-实验

- ◆ Wavelet-Based Coding-小波编码
  - The objective of the wavelet transform is to decompose the input signal into components that are easier to deal with, have *special interpretations*, or have some components that can be thresholded away, for compression purposes-小波变换.
  - We want to be able to at least approximately reconstruct the original signal given these components.
  - The basis functions of the wavelet transform are localized in both time and frequency.
  - There are two types of wavelet transforms: the continuous wavelet transform (CWT) and the discrete wavelet transform (DWT)-连续和离散小波.

- ◆ Wavelet-Based Coding-小波编码
  - The discrete wavelet transform (DWT).

LL	HL
LH	НН

LL2	HL2	HL1	
LH2	HH2		
LH1		HH1	

(a) (b)

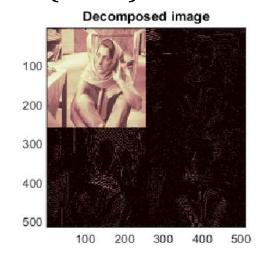
The two-dimensional discrete wavelet transform (a) One level transform, (b) two level transform.

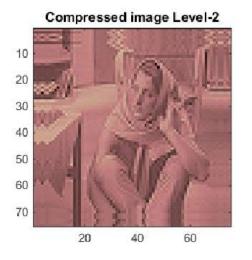
- ◆ Wavelet-Based Coding-小波编码
  - The discrete wavelet transform (DWT).

50 100 150 200 250

Compressed image Level-1

20
40
60
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20 40 60 80 100 120





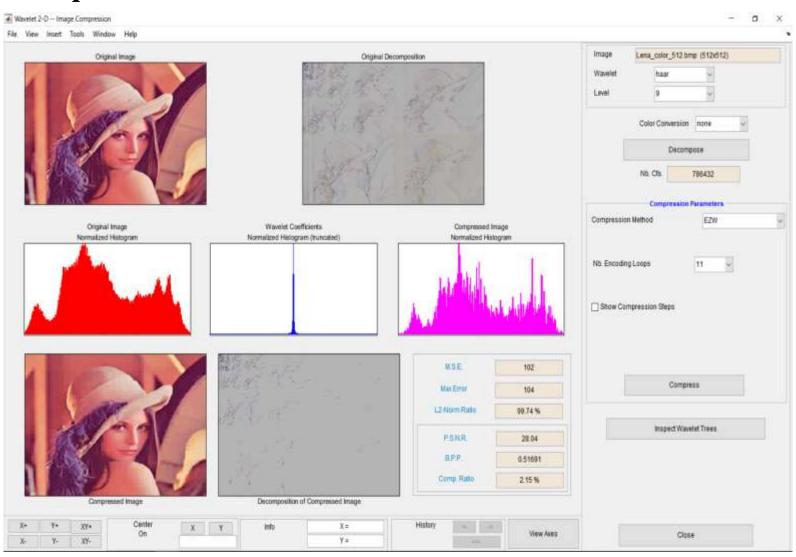
#### **Experiments & Class Assignments**

◆ Experiments-Matlab命令行输入:"wavemenu"



#### **Experiments & Class Assignments**

◆ Experiments-Matlab命令行输入:"wavemenu"



#### **Experiments & Class Assignments**

- Experiments
  - DCT Coding--ch08\_dct\_demo.m
  - Wavelet Coding--ch08\_dwt\_demo.m
- Class Assignments
  - 1、已知原来的数据为{12 16 16 12 12 8 8 12 }、经过压缩与解压缩之后得到的数据为{12 12 12 8 12 8 12 8 12 12}. 请分别计算MSE、SNR和PSNR的值,请写出计算过程,其中log<sub>10</sub>(32)≈1.505, log<sub>10</sub>(19)≈1.279.
  - 2、输入信号为f(i)=[0 10 20 30 40 50 60 70],请计算该信号的一维离散余弦变换的F(0).
  - 3、变换编码的基本原理是什么?